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# A MOTIVIC FORMULA FOR THE $L$ -FUNCTION OF AN ABELIAN VARIETY OVER A FUNCTION FIELD

BRUNO KAHN

ABSTRACT. Let  $A$  be an abelian variety over the function field of a smooth projective curve  $C$  over an algebraically closed field  $k$ . We compute the  $l$ -adic cohomology groups

$$H^i(C, j_* H^1(\bar{A}, \mathbf{Q}_l)), \quad j : \eta \hookrightarrow C$$

in terms of arithmetico-geometric invariants of  $A$ . We apply this, when  $k$  is the algebraic closure of a finite field, to a motivic computation of the  $L$ -function of  $A$ .

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## INTRODUCTION

Let  $K$  be a global field, and let  $A$  be an abelian variety over  $K$ . Its  $L$ -function is classically defined as

$$L(A, s) = \prod_{v \in \Sigma_K^f} \det(1 - \pi_v N(v)^{-s} | H_{l_v}^1(A)^{I_v})^{-1}$$

where  $\Sigma_K^f$  is the set of non-archimedean places of  $K$ ,  $H_{l_v}^1(A) = H_{\text{ét}}^1(\bar{A}, \mathbf{Q}_{l_v})$  is geometric  $l_v$ -adic cohomology of  $A$  (alternately, the dual of the Tate module  $V_{l_v}(A)$ ) for some prime  $l_v$  different from the residue characteristic at finite  $v$ ,  $I_v$  is the absolute inertia group at  $v$  and  $\pi_v$  is the geometric Frobenius at  $v$ , well-defined modulo  $I_v$  as a conjugacy class.

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This function is independent of the choice of the  $l_v$ 's, as a consequence of Weil's Riemann hypothesis for curves and the "weight-monodromy conjecture", which is known in this case by [SGA7, exp. IX, Th. 4.3 and Cor. 4.4]. In positive characteristic we have the more precise

**Theorem 1.** *Suppose  $\text{char } K > 0$ ; let  $k = \mathbf{F}_q$  be the field of constants of  $K$ . Then*

a) *(Grothendieck [GS], see also [Dc, §10].) One has the formula*

$$L(A, s) = \frac{P_1(q^{-s})}{P_0(q^{-s})P_2(q^{-s})}$$

where  $P_i \in \mathbf{Q}[t]$  with  $P_i(0) = 1$ . Moreover,  $L(A, s)$  has a functional equation of the form

$$L(A, 2 - s) = ab^s L(A, s)$$

for suitable integers  $a, b$ .

b) *(Deligne [W.II].) The polynomials  $P_i$  have integer coefficients; the inverse roots of  $P_i$  are Weil  $q$ -numbers of weight  $i + 1$ .*

In this note, we give a formula for the polynomial  $P_i$  in terms of *pure motives over  $k$* . To express the result, let us take some notation:

- $B = \text{Tr}_{K/k} A$  is the  $K/k$ -trace of  $A$ .
- $\text{LN}(A, K\bar{k}/\bar{k}) = A(K\bar{k})/B(\bar{k})$  is the *geometric Lang-Néron group* of  $A$ , where  $\bar{k}$  is an algebraic closure of  $k$ : it is finitely generated by the Lang-Néron theorem (e.g. [K1, App. B] or [C]). We view it as a Galois representation of  $k$ .

**Theorem 2.** *Let  $\mathcal{M} = \mathcal{M}_{\text{rat}}(k, \mathbf{Q})$  be the category of pure motives over  $k$  with rational coefficients, modulo rational equivalence.<sup>1</sup>*

a) *We have*

$$P_0(t) = Z(h^1(B), t), \quad P_2(t) = Z(h^1(B), qt)$$

where  $h^1(B) \in \mathcal{M}$  is the degree 1 part of the Künneth decomposition of the motive of  $B$ .

b) *We have*

$$P_1(t) = Z(\text{ln}(A, K/k), qt)^{-1} \cdot Z(\text{III}(A, K/k), t)^{-1}$$

(a product of two polynomials), where  $\text{ln}(A, K/k)$  is the Artin motive associated to  $\text{LN}(A, K\bar{k}/\bar{k})$  and  $\text{III}(A, K/k) \in \mathcal{M}$  is an effective Chow motive of weight 2 whose  $l$ -adic realization is  $V_l(\text{III}(A, K\bar{k}))$ , where  $\text{III}(A, K\bar{k})$  is the geometric Tate-Šafarevič group of  $A$ .

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<sup>1</sup>Throughout this paper we use the contravariant convention for pure motives, e.g. as in [Kl].

In Theorem 2, we used the *Z-function* of a motive  $M \in \mathcal{M}$  [Kl]. It is known to be a rational function of  $t$ , with a functional equation; more precisely, if  $M$  is homogeneous of weight  $w$ , then  $Z(M, t)$  is a polynomial or the inverse of a polynomial according as  $w$  is odd or even. That its inverse roots are Weil  $q$ -numbers of weight  $w$  depends on [W.I] rather than [W.II]. Theorem 2 also provides a proof that  $L(A, s)$  is independent of the  $l_v$ 's avoiding [SGA7, Exp. IX].

The motive  $\mathfrak{m}(A, K/k)$  is really the new character in this story. We construct it “by hand” in Proposition 4.3; however, we will show in [K3] that it is actually canonical and functorial in  $A$  (for homomorphisms of abelian varieties).

Theorem 2 “reduces” the Birch and Swinnerton-Dyer conjecture for  $A$  to the non-vanishing of  $Z(\mathfrak{m}(A, K/k), t)$  at  $t = q^{-1}$ . The existence of  $\mathfrak{m}(A, K/k)$  actually yields a simple proof of the following theorem of Kato and Trihan by basically quoting the relevant literature [I, M, IR]:

**Corollary 1** ([KT]). *The following conditions are equivalent:*

- (i)  $\text{ord}_{s=1} L(A, s) = \text{rk} A(K)$ .
- (ii)  $\text{III}(A, K)\{l\}$  is finite for some prime  $l$ .
- (iii)  $\text{III}(A, K)\{l\}$  is finite for all primes  $l$ .
- (iv)  $\text{III}(A, K)$  is finite.

(We almost don't touch the special value at  $s = 1$ , see however §6.3.)

To prove Theorem 2, we start from Grothendieck's formula for  $P_i(t)$  (here we take  $l_v = l \nmid q$  for all  $v$ ):

$$(0.1) \quad P_i(t) = \det(1 - \pi_k t \mid H^i(\bar{C}, j_* H_l^1(A)))$$

where  $\pi_k$  is the geometric Frobenius of  $k$ ,  $C$  is the smooth projective  $k$ -curve with function field  $K$  and  $j : \text{Spec } K \hookrightarrow C$  is the inclusion of the generic point. The issue is then to give an expression of the cohomology groups  $H^i(\bar{C}, j_* H_l^1(A))$ : this is done in Theorem 1.1 below when  $A$  is the Jacobian of a curve, and in Corollary 1.2 in general.

When  $A$  is the Jacobian  $J$  of a curve  $\Gamma$ , we also get a precise relationship between  $L(J, s)$  and the zeta function of a smooth projective  $k$ -surface spreading  $\Gamma$ , which was my original motivation for this work. More precisely, let  $\Gamma$  be a regular, projective, geometrically irreducible curve over  $K$  and  $S$  a smooth projective surface over  $k$ , fibred over  $C$  by a flat morphism  $f$ , with generic fibre  $\Gamma$ :

$$(0.2) \quad \begin{array}{ccc} \Gamma & \longrightarrow & S \\ f' \downarrow & & f \downarrow \\ \text{Spec } K & \xrightarrow{j} & C \\ & & p \downarrow \\ & & \text{Spec } k. \end{array}$$

Define (cf. [S])

$$L(h^i(\Gamma), s) = \prod_{v \in \Sigma_K} \det(1 - \pi_v N(v)^{-s} | H_l^i(\Gamma)^{I_v})^{(-1)^{i+1}}$$

$$L(h(\Gamma), s) = \prod_{i=0}^2 L(h^i(\Gamma), s)$$

so that

$$L(h^0(\Gamma), s) = \zeta(C, s), \quad L(h^2(\Gamma), s) = \zeta(C, s-1),$$

$$L(h^1(\Gamma), s) = L(J, s)^{-1}$$

(beware the exponent change!).

**Theorem 3.** *We have*

$$\frac{\zeta(S, s)}{L(K, h(\Gamma), s)} = Z(a(D), q^{1-s})$$

where  $a(D)$  is the Artin motive associated to the “divisor of multiple fibres”

$$D = \bigoplus_{c \in C_{(0)}} \bar{D}_c, \quad \bar{D}_c = \text{Coker}(\mathbf{Z} \xrightarrow{f^*} \bigoplus_{x \in \text{Supp}(f^{-1}(c))} \mathbf{Z}).$$

Theorem 2, Corollary 1 and Theorem 3 are results on abelian varieties over a global field of positive characteristic. More intriguing for me is that Theorem 2 leads to a definition of the  $L$ -function of an abelian variety over a *finitely generated field of Kronecker dimension 2*, see Definition 7.1. This might be viewed a step towards answering the awkwardness of [T2, §4]: meanwhile, it raises more questions than it answers.

The main technical part of this work is to prove Theorem 1.1 below. The method is to “ $l$ -adify” Grothendieck’s computations with  $\mathbb{G}_m$  coefficients in [Br.III, §4]<sup>2</sup>. In a forthcoming work with Amílcar Pacheco [KP], we shall extend these results to a general fibration of smooth projective  $k$ -varieties, with a different and (hopefully) less unpleasant proof.

**Contents of this paper.** Theorem 1.1 and Corollary 1.2 are stated in Section 1. The first is proven in Section 2 and the second in Section 3: as explained above, Corollary 1.2 implies Theorem 2. In section 4, we show how Theorem 1.1 yields an identity in  $K_0$  of a category of  $l$ -adic representations or pure motives, see Theorem 4.1: it implies

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<sup>2</sup>These computations also appear with less generality in two other exposés of the volume *Dix exposés sur la cohomologie des schémas*: [Ra, §3] and [T1, Th. 3.1].

Theorem 3. In Section 5, we recall well-known facts on the crystalline realisation and present them in a convenient way. In Section 6, we examine what Theorem 2 teaches us on the functional equation and special values of  $L(A, s)$ ; in particular, we prove Corollary 1 in §6.2. Finally, in Section 7, we get a formula for the total  $L$ -function of a surface  $S$  over a global field  $k$  in terms of  $L$ -functions of motives over  $k$  associated to a fibration of  $S$  over a curve (Theorem 7.4).

**Acknowledgements.** This work was partly inspired by the papers of Hindry-Pacheco [HP] and Hindry-Pacheco-Wazir [HPW]; I would also like to acknowledge several discussions with Amílcar Pacheco around it, which eventually led to [KP]. For this, I thank the Réseau franco-brésilien de mathématiques (RFBM) for its support for two visits to Rio de Janeiro in 2008 and 2010.

Theorems 2 (for the Jacobian of a curve), 3, 1.1 and 4.1 were obtained in the fall 2008 at the Tata Institute of Fundamental Research of Mumbai during its  $p$ -adic semester; I thank this institution for its hospitality and R. Sujatha for having invited me. These results were initially part of a more ambitious project on adjunctions in categories of motives [K3], from which I extracted them. The rest of the present article was obtained more recently.

### 1. COHOMOLOGICAL RESULTS

Consider the situation of (0.2), with  $k$  separably closed. Take a prime number  $l$  invertible in  $k$ . We write

$$H_{\text{tr}}^2(S, \mathbf{Q}_l(1)) = \text{Coker}(\text{NS}(S) \otimes \mathbf{Q}_l \rightarrow H^2(S, \mathbf{Q}_l(1)))$$

where  $\text{NS}(S)$  is the Néron-Severi group of  $S$ . Here are two other descriptions of this group:

$$(1.1) \quad H_{\text{tr}}^2(S, \mathbf{Q}_l(1)) \simeq V_l(\text{Br}(S)) \quad (\text{Kummer exact sequence}).$$

$$(1.2) \quad H_{\text{tr}}^2(S, \mathbf{Q}_l(1)) \simeq V_l(\text{III}(J, K)) \quad [\text{Br.III, pp. 120/121}]$$

where  $J$  is the Jacobian variety of  $\Gamma$  and  $\text{III}(J, K)$  denotes its Tate-Šafarevič group.

**Theorem 1.1.** *Suppose  $k$  separably closed. There are isomorphisms*

$$H^0(C, j_* R^1 f'_* \mathbf{Q}_l(1)) \simeq H^1(\text{Tr}_{K/k} J, \mathbf{Q}_l(1))$$

$$H^2(C, j_* R^1 f'_* \mathbf{Q}_l(1)) \simeq H^1(\text{Tr}_{K/k} J, \mathbf{Q}_l)$$

and an exact sequence

$$0 \rightarrow \text{LN}(J, K/k) \otimes \mathbf{Q}_l \rightarrow H^1(C, j_* R^1 f'_* \mathbf{Q}_l(1)) \rightarrow H_{\text{tr}}^2(S, \mathbf{Q}_l(1)) \rightarrow 0.$$

**Corollary 1.2.** *Let  $f' : A \rightarrow \text{Spec } K$  be an abelian variety over  $K$ . Suppose  $k$  separably closed. There are isomorphisms*

$$\begin{aligned} H^0(C, j_* R^1 f'_* \mathbf{Q}_l(1)) &\simeq H^1(\text{Tr}_{K/k} A, \mathbf{Q}_l(1)) \\ H^2(C, j_* R^1 f'_* \mathbf{Q}_l(1)) &\simeq H^1(\text{Tr}_{K/k} A, \mathbf{Q}_l) \end{aligned}$$

and an exact sequence

$$0 \rightarrow \text{LN}(A, K/k) \otimes \mathbf{Q}_l \rightarrow H^1(C, j_* R^1 f'_* \mathbf{Q}_l(1)) \rightarrow V_l(\text{III}(A, K)) \rightarrow 0.$$

If  $k = \mathbf{C}$ , it seems likely that similar results hold for the analytic cohomology of  $R^1 f'_* \mathbf{Q}(1)$  with similar techniques as those used in the next section (replacing Kummer sequences by exponential sequences), but I haven't tried to prove them.

## 2. PROOF OF THEOREM 1.1

### 2.1. Reduction to the cohomology of the Néron model.

**Lemma 2.1.** *Let  $\mathcal{J} = j_* J$  be the Néron model of  $J$  over  $C$ . There are short exact sequences*

$$\begin{aligned} 0 \rightarrow (\varprojlim H^{p-1}(C, \mathcal{J})/l^\nu) \otimes \mathbf{Q} \rightarrow H^p(C, j_* R^1 f'_* \mathbf{Q}_l(1)) \\ \rightarrow V_l(H^p(C, \mathcal{J})) \rightarrow 0. \end{aligned}$$

*Proof.* Given  $c \in C$ , write  $i_c : c \hookrightarrow C$  for the corresponding closed immersion and let  $\Phi_c$  be the group of connected components of the special fibre of  $\mathcal{J}$  at  $c$ . Then  $\Phi_c$  is finite for any  $c$  and is 0 except for a finite number of  $c$ 's. Write  $\mathcal{J}^0 = \text{Ker}(\mathcal{J} \rightarrow \bigoplus_{c \in C} (i_c)_* \Phi_c)$  for the connected component of  $\mathcal{J}$ . Since  $k$  is separably closed, we have isomorphisms  $H^p(C, \mathcal{J}^0) \xrightarrow{\sim} H^p(C, \mathcal{J})$  for  $p > 0$  and an injection with finite cokernel  $H^0(C, \mathcal{J}^0) \hookrightarrow H^0(C, \mathcal{J})$ . So,

$$\begin{aligned} (\varprojlim H^*(C, \mathcal{J}^0)/l^\nu) \otimes \mathbf{Q} &\xrightarrow{\sim} (\varprojlim H^*(C, \mathcal{J})/l^\nu) \otimes \mathbf{Q}, \\ V_l(H^*(C, \mathcal{J}^0)) &\xrightarrow{\sim} V_l(H^*(C, \mathcal{J})). \end{aligned}$$

To handle the cohomology of  $\mathcal{J}^0$ , we may use the Kummer exact sequences

$$0 \rightarrow {}_\nu \mathcal{J}^0 \rightarrow \mathcal{J}^0 \xrightarrow{l^n} \mathcal{J}^0 \rightarrow 0$$

which yield exact sequences with finite central terms

$$0 \rightarrow H^{p-1}(C, \mathcal{J}^0)/l^\nu \rightarrow H^p(C, {}_\nu \mathcal{J}^0) \rightarrow {}_\nu H^p(C, \mathcal{J}^0) \rightarrow 0$$

hence other exact sequences

$$0 \rightarrow \varprojlim H^{p-1}(C, \mathcal{J}^0)/l^\nu \otimes \mathbf{Q} \rightarrow H^p(C, V_l(\mathcal{J}^0)) \rightarrow V_l(H^p(C, \mathcal{J}^0)) \rightarrow 0.$$

But  $V_l(\mathcal{J}^0) \xrightarrow{\sim} V_l(\mathcal{J})$ ; as  $R^1 f'_* \mu_{l^\nu} \xrightarrow{\sim} {}_\nu J$  and  $j_* {}_\nu J = {}_\nu \mathcal{J}$ , the lemma follows.  $\square$

**2.2. Cohomology of  $B := j_* \text{Pic}_{\Gamma/K}$ .** For  $c \in C$ , view  $f^{-1}(c)$  as a divisor on  $S$ . Let  $D_c = \bigoplus_{x \in \text{Supp}(f^{-1}(c))} \mathbf{Z}$  and  $\bar{D}_c = D_c / \langle f^{-1}(c) \rangle$ : thus  $\bar{D}_c = 0$  whenever  $f$  is smooth over  $c$ . Write

$$(2.1) \quad D = \bigoplus_{c \in C} \bar{D}_c$$

(a finite sum).

**Lemma 2.2.** *There is an isogeny*

$$\text{Pic}_{S/k}^0 / \text{Pic}_{C/k}^0 \rightarrow \text{Tr}_{K/k} J$$

and a complex

$$0 \rightarrow \text{NS}(C) \rightarrow \text{NS}(S) \rightarrow \text{Pic}(\Gamma) / \text{Tr}_{K/k} J(k) \rightarrow 0$$

which, modulo finite groups, is acyclic except at  $\text{NS}(S)$ , where its homology is  $D$ .

*Proof.* This follows from [HP, prop. 3.3 et 3.8] or [K2, 3.2 a)]. □

**Lemma 2.3.** *a) There is an exact sequence*

$$(2.2) \quad 0 \rightarrow D \rightarrow \text{Pic}(S/C) \rightarrow H^0(C, B) \rightarrow 0$$

where  $\text{Pic}(S/C) = H^0(C, \text{Pic}_{S/C})$  and  $B = j_* \text{Pic}_{\Gamma/K}$ .

*b) There is an exact sequence*

$$(2.3) \quad 0 \rightarrow \text{Pic}(C) \rightarrow \text{Pic}(S) \rightarrow \text{Pic}(S/C) \rightarrow 0$$

and isomorphisms

$$(2.4) \quad H^n(S, \mathbb{G}_m) \xrightarrow{\sim} H^{n-1}(C, B) \text{ for } n > 1.$$

In particular,

$$(2.5) \quad \text{Br}(S) \xrightarrow{\sim} H^1(C, B)$$

and  $H^n(C, B) = 0$  for  $n > 3$ .

*Proof.* This follows from the computations in [Br.III, §4]. After [Br.III, (4.1)], we have a long cohomology exact sequence (a consequence of *op. cit.*, (3.2)):

$$\cdots \rightarrow H^n(C, \mathbb{G}_m) \rightarrow H^n(S, \mathbb{G}_m) \rightarrow H^{n-1}(C, P) \rightarrow \cdots$$

where  $P = \text{Pic}_{S/C}$ . Moreover, the homomorphism  $P \rightarrow B$  is epi and its kernel is a skyscraper sheaf whose global sections are  $D$  [Br.III, p. 114]: (2.2) follows, as well as isomorphisms  $H^n(C, P) \xrightarrow{\sim} H^n(C, B)$  for  $n > 0$ . As  $H^n(C, \mathbb{G}_m) = 0$  for  $n > 1$  and  $H^n(S, \mathbb{G}_m) = 0$  for  $n > 4$ , one gets (2.3) and (2.4). □

**2.3.  $l$ -adic conversion.** Using (2.3), (2.4) and the structure of  $\text{Pic}(C)$  and  $\text{Pic}(S)$ , we find exact sequences

$$\begin{aligned} 0 \rightarrow V_l(\text{Pic}^0(C)) \rightarrow V_l(\text{Pic}^0(S)) \rightarrow V_l(\text{Pic}(S/C)) \\ \rightarrow \mathbf{Q}_l \rightarrow \text{NS}(S) \otimes \mathbf{Q}_l \rightarrow (\varprojlim \text{Pic}(S/C)/l^\nu) \otimes \mathbf{Q} \rightarrow 0 \end{aligned}$$

$$\begin{aligned} 0 \rightarrow V_l(\text{Pic}(S/C)) \rightarrow V_l(H^0(C, B)) \rightarrow D \otimes \mathbf{Q}_l \\ \rightarrow (\varprojlim \text{Pic}(S/C)/l^\nu) \otimes \mathbf{Q} \rightarrow (\varprojlim H^0(C, B)/l^\nu) \otimes \mathbf{Q} \rightarrow 0 \end{aligned}$$

$$H_{\text{tr}}^2(S, \mathbf{Q}_l(1)) \xrightarrow{\sim} V_l(H^1(C, B)), \quad (\varprojlim H^1(C, B)/l^\nu) \otimes \mathbf{Q} = 0.$$

Using Lemma 2.2, we derive new exact sequences

$$0 \rightarrow V_l(\text{Pic}^0(C)) \rightarrow V_l(\text{Pic}^0(S)) \rightarrow V_l(\text{Tr}_{K/k} J) \rightarrow 0$$

$$0 \rightarrow D \otimes \mathbf{Q}_l \rightarrow (\text{NS}(S)/\mathbf{Z}) \otimes \mathbf{Q}_l \rightarrow (\text{Pic}(\Gamma)/(\text{Tr}_{K/k} J)(k)) \otimes \mathbf{Q}_l \rightarrow 0.$$

Hence

$$\begin{aligned} V_l(\text{Tr}_{K/k} J) &\xrightarrow{\sim} V_l(\text{Pic}(S/C)) \\ (\text{NS}(S)/\mathbf{Z}) \otimes \mathbf{Q}_l &\xrightarrow{\sim} (\varprojlim \text{Pic}(S/C)/l^\nu) \otimes \mathbf{Q} \end{aligned}$$

then

$$\begin{aligned} V_l(\text{Tr}_{K/k} J) &\xrightarrow{\sim} V_l(H^0(C, B)) \\ (\text{Pic}(\Gamma)/(\text{Tr}_{K/k} J)(k)) \otimes \mathbf{Q}_l &\xrightarrow{\sim} (\varprojlim H^0(C, B)/l^\nu) \otimes \mathbf{Q}. \end{aligned}$$

**2.4. From  $B$  to  $\mathcal{J}$ .** To pass from  $B$  to  $\mathcal{J}$ , we work in the category  $\mathcal{C}$  of abelian groups modulo the thick sub-category of finite groups, which does not affect the functor  $V_l$ .

**Lemma 2.4.** *In  $\mathcal{C}$ , we have*

- (1) *A split exact sequence  $0 \rightarrow H^0(C, \mathcal{J}) \rightarrow H^0(C, B) \rightarrow \mathbf{Z} \rightarrow 0$ .*
- (2) *An isomorphism  $H^1(C, \mathcal{J}) \simeq \text{Br}(S)$ .*
- (3) *An isomorphism  $H^2(C, \mathcal{J})\{l\} \simeq \text{Im}_{K/k} J\{l\}(-1)$ ;  $H^2(C, \mathcal{J})$  is torsion.*
- (4)  *$H^p(C, \mathcal{J}) = 0$  for  $p \geq 3$ .*

*Proof.* We have an exact sequence, split in  $\mathcal{C}$

$$(2.6) \quad 0 \rightarrow \mathcal{J} \rightarrow B \rightarrow \mathbf{Z} \rightarrow 0$$

which gives (still in  $\mathcal{C}$ ) split exact sequences

$$0 \rightarrow H^p(C, \mathcal{J}) \rightarrow H^p(C, B) \rightarrow H^p(C, \mathbf{Z}) \rightarrow 0.$$

For  $p = 0$ , we get (1). For  $p = 1$ , we get (2) in view of  $H^1(C, \mathbf{Z}) = 0$  and (2.5).

For  $p > 1$ , this gives in view of (2.4)

$$0 \rightarrow H^p(C, \mathcal{J}) \rightarrow H^{p+1}(S, \mathbb{G}_m) \xrightarrow{\tau} H^p(C, \mathbf{Z}) \rightarrow 0$$

Still for  $p > 1$ , we have isomorphisms

$$H^{p-1}(C, \mathbf{Q}_l/\mathbf{Z}_l) \xrightarrow{\sim} H^p(C, \mathbf{Z})\{l\}, \quad H^{p+1}(S, \mathbf{Q}_l/\mathbf{Z}_l(1)) \xrightarrow{\sim} H^{p+1}(S, \mathbb{G}_m)\{l\}.$$

The morphism  $\tau$  then gets identified to the trace morphism, which is an isomorphism for  $p \geq 3$  (hence (4)), while for  $p = 2$  it is the dual of

$$f^* : H^1(C, \mathbf{Z}_l(1)) \rightarrow H^1(S, \mathbf{Z}_l(1)).$$

We can then identify  $H^2(C, \mathcal{J})$  with  $\text{Ker}(\text{Alb}(S)\{l\}(-1) \rightarrow \text{Alb}(C)\{l\}(-1))$ , i.e., to  $\text{Im}_{K/k} J\{l\}(-1)$ .  $\square$

**2.5. Conclusion.** From Lemma 2.4 and the computations in §2.3, we derive

$$\begin{aligned} V_l(\text{Tr}_{K/k} J) &\xrightarrow{\sim} V_l(H^0(C, \mathcal{J})) \\ V_l(H^1(C, \mathcal{J})) &\simeq V_l(\text{Br}(S)) \simeq H_{\text{tr}}^2(S, \mathbf{Q}_l(1)). \\ \text{LN}(J, K/k) \otimes \mathbf{Q}_l &\xrightarrow{\sim} (\varprojlim H^0(C, \mathcal{J})/l^\nu) \otimes \mathbf{Q} \\ H_{\text{tr}}^2(S, \mathbf{Q}_l(1)) &\xrightarrow{\sim} V_l(H^1(C, \mathcal{J})), \quad (\varprojlim H^1(C, \mathcal{J})/l^\nu) \otimes \mathbf{Q} = 0 \\ V_l(H^2(C, \mathcal{J})) &\simeq V_l(\text{Im}_{K/k} J)(-1) \simeq V_l(\text{Tr}_{K/k} J)(-1) \\ (\varprojlim H^2(C, \mathcal{J})/l^\nu) \otimes \mathbf{Q} &= 0 \\ V_l(H^p(C, \mathcal{J})) &= (\varprojlim H^p(C, \mathcal{J})/l^\nu) \otimes \mathbf{Q} = 0 \text{ for } p > 2 \end{aligned}$$

and finally the isomorphisms and the exact sequence of Theorem 1.1, using Lemma 2.1 and the isomorphisms

$$V_l(A)(-1) \simeq V_l(A)^* \simeq H^1(A, \mathbf{Q}_l)$$

valid for any abelian variety  $A$  over a separably closed field.  $\square$

### 3. PROOFS OF COROLLARY 1.2 AND THEOREM 2

If  $X$  is a smooth projective variety of dimension  $d$  over a field  $F$ , we write  $CH_{\equiv}^d(X \times_F X)$  for the quotient of the ring of Chow correspondences on  $X$  by the ideal generated by those  $Z \subset X \times X$  such that  $p_1(Z) \neq X$  or  $p_2(Z) \neq X$ , where  $p_1, p_2$  are the two projections  $X \times X \rightarrow X$  (cf. [F, ex. 16.1.2 (b)].)

**Proposition 3.1.** *a) In the situation of (0.2), there is a ring isomorphism  $CH_{\equiv}^1(\Gamma \times_K \Gamma) \xrightarrow{\sim} \text{End}_K(J)$ , and a ring homomorphism*

$$r : CH_{\equiv}^1(\Gamma \times_K \Gamma) \rightarrow CH_{\equiv}^2(S \times_k S).$$

*b) The rings  $\text{End}_K(J) \otimes \mathbf{Q}$  and  $CH_{\equiv}^2(S \times_k S) \otimes \mathbf{Q}$  act compatibly on the isomorphisms and the exact sequence of Theorem 1.1, as well as on (1.1) and (1.2).*

*Proof.* a) The first isomorphism is due to Weil [W, ch. 6, th. 22]<sup>3</sup>. We have a homomorphism

$$R : Z^1(C \times_K C) \rightarrow Z^2(S \times_k S)$$

defined as follows: let  $Z \subset \Gamma \times_K \Gamma$  be an irreducible cycle of codimension 1. Write  $\mathcal{Z}$  for its closure in  $S \times_C S$ . We set  $R(Z) = \text{image of } \mathcal{Z} \text{ in } Z^2(S \times_k S)$ . One checks that  $R$  passes to rational equivalence and to the equivalences  $\equiv$ , and that the induced map  $r$  is compatible with composition of correspondances.

A more functorial construction of  $r$  will be given in [K3].

b) This is a long but eventless verification.  $\square$

In view of Theorem 1, Theorem 2 immediately follows from (0.1) and Corollary 1.2.

#### 4. COMPARING CLASSES IN $K_0$ ; PROOF OF THEOREM 3

In (0.2), let us come back to the case of an arbitrary base field  $k$ . Let  $k_s$  be a separable closure of  $k$  and  $G = \text{Gal}(k_s/k)$ . Write  $C_s, \Gamma_s \dots$  for the objects of (0.2) after base change to  $k_s$ . Then Theorem 1.1 “over  $k_s$ ” is  $G$ -equivariant; moreover, the  $Kk_s/k_s$ -trace of  $J_s$  is  $(\text{Tr}_{K/k} J)_s$  ([K1, Prop. 6] or [C, Th. 6.8]). One might want to compare

$$R(pf)_* \mathbf{Q}_l$$

and

$$Rp_* j_* Rf'_* \mathbf{Q}_l$$

in the derived category of  $\mathbf{Q}_l[[G]]$ -modules. Unfortunately this has no meaning, because  $j_*$  has no meaning in the derived category.

On the other hand, let  $\mathbf{K}_l$  be the Grothendieck group of continuous, finite dimensional  $\mathbf{Q}_l$ -representations  $G$ . We may consider in  $\mathbf{K}_l$ :

- (1)  $H_l = [H_l^*(S_s)]$ , the alternating sum of the  $l$ -adic cohomology groups of  $S$ ;
- (2)  $H'_l = [R^* p_* j_* R^* f'_* \mathbf{Q}_l]$  (9 terms).

We may also consider  $D$  as a discrete topological  $G$ -module.

**Theorem 4.1.**  $H_l - H'_l = [D \otimes \mathbf{Q}_l(-1)]$ .

*Proof.* For simplicity, put  $B := \text{Tr}_{K/k} J$ . Let  $\mathbf{K}$  be the Grothendieck group of the category  $\mathbf{Mot}_{\text{rat}}(k, \mathbf{Q})$  of Chow motives over  $k$ , and let  $R_l : \mathbf{K} \rightarrow \mathbf{K}_l$  be the homomorphism given by  $l$ -adic realisation. (To avoid confusion, we adopt cohomological notation as in [K1] but contrary to [KMP], also for Tate twists.) Then  $H_l = R_l(h)$ , with  $h = h(S)$ .

<sup>3</sup>The homomorphism is constructed in [F, ex. 16.1.2 (c)], but its bijectivity is not mentioned...

Similarly, there exists a canonical  $h' \in \mathbf{K}$  such that  $H'_i = R_i(h')$ . Indeed, Theorem 1.1 shows that

$$\begin{aligned} [p_*j_*R^1f'_*\mathbf{Q}_l] &= R_l([h^1(B)]), [R^2p_*j_*R^1f'_*\mathbf{Q}_l] = R_l([h^1(B(-1))]), \\ [R^1p_*j_*R^1f'_*\mathbf{Q}_l] &= R_l([t^2(S)] + [\ln(J, K/k)(-1)]) \end{aligned}$$

where  $\ln(J, K/k)$  is the Artin motive associated to the Galois module  $\mathrm{LN}(J, Kk_s/k_s)$  (see [KMP] for  $t^2(S)$ ).

Using  $f'_*\mathbf{Q}_l = \mathbf{Q}_l$  and  $R^2f'_*\mathbf{Q}_l = \mathbf{Q}_l(-1)$ , we similarly get

$$[R^q p_* j_* f'_* \mathbf{Q}_l] = R_l([h^q(C)]), \quad [R^q p_* j_* R^2 f'_* \mathbf{Q}_l] = R_l([h^q(C)(-1)]).$$

Set

$$\begin{aligned} h' &= \sum_{q=0}^2 (-1)^q [h^q(C)] + \sum_{q=0}^2 (-1)^q [h^q(C)(-1)] \\ &\quad - ([h^1(B)] + [h^1(B(-1))] - ([t^2(S)] + [\ln(J, K/k)(-1)])) \in \mathbf{K}. \end{aligned}$$

To prove Theorem 4.1, it therefore suffices to show:

$$(4.1) \quad h - h' = [D(-1)].$$

From Lemma 2.2, one gets identities in  $\mathbf{K}$ :

$$\begin{aligned} [h^1(S)] &= [h^1(C)] + [h^1(B)], [h^3(S)] = [h^1(C)(-1)] + [h^1(B(-1))], \\ [\mathrm{NS}(S)] &= [\mathrm{NS}(C)] + [\mathbf{1}] + [\ln(J, K/k)] + [D] = 2[\mathbf{1}] + [\ln(J, K/k)] + [D] \end{aligned}$$

from which (4.1) easily follows.  $\square$

*Remark 4.2.* The same proof gives a more precise identity in  $\mathbf{K}$ :

$$[h^2(S)] - [Rp_*j_*h^1(J)] = [D(-1)] + 2[\mathbb{L}] - [h^1(B)] - [h^1(B)(-1)]$$

where  $\mathbb{L}$  is the Lefschetz motive and  $[Rp_*j_*h^1(J)]$  stands for the canonical element of  $\mathbf{K}$  whose  $l$ -adic realisation is  $\sum_{i=0}^2 (-1)^i [R^i p_* j_* f'_* \mathbf{Q}_l]$ .

Let us pass to the case of an abelian variety  $A$  over  $K$ . We would like to interpret the terms in Corollary 1.2 as realisations of pure motives over  $k$ . This is clearly possible, except perhaps for the term  $V_l(\mathbb{III}(A, Kk_s))$ .

**Proposition 4.3.** *There exists an effective  $k$ -Chow motive  $\mathfrak{m}(A, K/k)$  such that  $R_l(\mathfrak{m}(A, K/k)) = V_l(\mathbb{III}(A, Kk_s))(-1)$ .*

*Proof.* Write  $A$  as a direct summand of a Jacobian  $J$ , up to isogeny, where  $J$  comes from a situation (0.2). Via Proposition 3.1, the corresponding projector  $\pi \in \mathrm{End}(A) \otimes \mathbf{Q}$  defines a projector  $r(\pi) \in CH_{\equiv}^2(S \times_k S) \otimes \mathbf{Q} = \mathrm{End}(t^2(S))$  [KMP]. Define  $\mathfrak{m}(A, K/k)$  as the image of  $r(\pi)$ .  $\square$

*Remark 4.4.* We shall see in [K3] that the motive  $\mathfrak{m}(A, K/k)$  is independent of the choice of  $J$ , and is *functorial in  $A$* .

Theorem 3 immediately follows from Theorem 4.1.

## 5. THE CRYSTALLINE REALISATION

In this section,  $k$  is any perfect field of characteristic  $p > 0$ .

**5.1. Isocrystals.** We rely here on the crystal-clear exposition of Saavedra [Saa, Ch. VI, §3].

Let  $W(k)$  be the ring of Witt vectors on  $k$  and  $K(k)$  be the field of fractions of  $W(k)$ . The Frobenius automorphism  $x \mapsto x^p$  of  $k$  lifts to an endomorphism on  $W(k)$  and an automorphism of  $K(k)$ , written  $\sigma$ : we have  $K(k)^\sigma = \mathbf{Q}_p$ . A  $k$ -isocrystal is a finite-dimensional  $K(k)$ -vector space  $M$  provided with a  $\sigma$ -linear automorphism  $F_M$ .  $k$ -isocrystals form a  $\mathbf{Q}_p$ -linear tannakian category  $\mathbf{Fcriso}(k)$ , provided with a canonical  $K(k)$ -valued fibre functor (forgetting  $F_M$ ) [Saa, VI.3.2.1]. We have

$$\mathbf{Fcriso}(k)(\mathbf{1}, M) = M^{F_M} = \{m \in M \mid F_M m = m\}$$

for  $M \in \mathbf{Fcriso}(k)$ , where  $\mathbf{1} = (K(k), \sigma)$  is the unit object. For  $n \in \mathbf{Z}$ , we write more generally

$$(5.1) \quad M^{(n)} = M^{F_M = p^n} = \mathbf{Fcriso}(k)(\mathbb{L}_{\text{crys}}^n, M) = \mathbf{Fcriso}(k)(\mathbf{1}, M(n))$$

where  $M(n) = M \otimes \mathbb{L}_{\text{crys}}^{-n}$  with  $\mathbb{L}_{\text{crys}} := (K(k), p\sigma)$ .

**5.2. The realisation.** By [Saa, VI.4.1.4.3], the formal properties of crystalline cohomology yield a  $\otimes$ -functor

$$R_p : \mathbf{Mot}_{\text{rat}}(k, \mathbf{Q}) \rightarrow \mathbf{Fcriso}(k).$$

This functor sends the motive of a smooth projective variety  $X$  to  $H_{\text{crys}}^*(X/W(k)) \otimes_{W(k)} K(k)$  and the Lefschetz motive  $\mathbb{L}$  to  $\mathbb{L}_{\text{crys}}$ .

**5.3. The case of a finite field.** Suppose that  $k = \mathbf{F}_q$ , with  $q = p^m$ . Then any object  $M \in \mathbf{Mot}_{\text{rat}}(k, \mathbf{Q})$  has its *Frobenius endomorphism*  $\pi_M$ : if  $M = h(X)$  for a smooth projective variety  $X$ ,  $\pi_M = \pi_X$  is the graph of the Frobenius endomorphism  $F^m$  on  $X$ . This implies:

**Lemma 5.1.** *The action of  $\pi_M$  on  $R_p(M)$  equals that of  $F^m$ .  $\square$*

Let  $\bar{k}$  be an algebraic closure of  $k$ . There is an obvious functor

$$(5.2) \quad \mathbf{Fcriso}(k) \rightarrow \mathbf{Fcriso}(\bar{k}), \quad M \mapsto \bar{M} := M \otimes_{K(k)} K(\bar{k})$$

which is compatible with the extension of scalars  $\mathbf{Mot}_{\text{rat}}(k, \mathbf{Q}) \rightarrow \mathbf{Mot}_{\text{rat}}(\bar{k}, \mathbf{Q})$  via the realisation functors  $R_p$  for  $k$  and  $\bar{k}$ . Moreover  $F^m$  is  $K(k)$ -linear, therefore one can talk of its eigenvalues. We have the following result of Milne [M, Lemma 5.1]:

**Lemma 5.2.** *One has an equality*

$$\det(1 - \gamma t \mid \bar{M}^{(n)}) = \prod_{v(a)=v(q^n)} (1 - (q^n/at))$$

where  $\gamma$  is the arithmetic Frobenius and  $a$  runs through the eigenvalues of  $F^m$  having same valuation as  $q^n$ .

#### 5.4. Logarithmic de Rham-Witt cohomology.

**Proposition 5.3.** *Let  $X/k$  be smooth projective. Then, for any  $i, n \in \mathbf{Z}$ , there is a canonical isomorphism*

$$H^i(X, \mathbf{Q}_p(n)) \xrightarrow{\sim} (H_{\text{crys}}^i(X/W(k)) \otimes_{W(k)} K(k))^{(n)}$$

where the left hand side is logarithmic Hodge-Witt cohomology as in Milne [M, p. 309].

*Proof.* This is [M, Prop. 1.15], but unfortunately its proof is garbled (the last line of loc. cit., p. 310 is wrong). Let us recapitulate it. For simplicity, let  $W = W(k)$  and  $K = K(k)$ .

1) The slope spectral sequence

$$E_1^{i,j} = H^j(X, W\Omega^i) \Rightarrow H^{i+j}(X, W\Omega) \simeq H_{\text{crys}}^{i+j}(X/W)$$

degenerates up to torsion, yielding canonical isomorphisms of  $k$ -isocrystals

$$H^{i-n}(X, W\Omega^n) \otimes_W K \xrightarrow{\sim} (H^i(X/W) \otimes_W K)_{[n, n+1[}$$

where the index  $[n, n+1[$  means the sum of summands of slope  $\lambda$  for  $n \leq \lambda < n+1$  [I, Th. 3.2 p. 615 and (3.5.4) p. 616].

2) If  $k$  is algebraically closed, the homomorphism

$$H^i(X, \mathbf{Z}_p(n)) := H^{i-n}(X, W\Omega_{\log}^n) \rightarrow H^{i-n}(X, W\Omega^n)^F$$

is bijective [IR, Cor. 3.5 p. 194].

3) In general, descend from  $\bar{k}$  to  $k$  by taking Galois invariants.  $\square$

By [M, §2] and [G], Chow correspondences act on logarithmic Hodge-Witt cohomology by respecting the isomorphisms of Proposition 5.3: this yields functors

$$H^i(-, \mathbf{Q}_p(n)) : \mathbf{Mot}_{\text{rat}}(k, \mathbf{Q}) \rightarrow \mathbf{Vec}_{\mathbf{Q}_p}^*$$

and natural isomorphisms

$$(5.3) \quad H^i(M, \mathbf{Q}_p(n)) \xrightarrow{\sim} \mathbf{Fcriso}(k)(\mathbb{L}_{\text{crys}}^n, R_p(M)), \quad M \in \mathbf{Mot}_{\text{rat}}(k, \mathbf{Q}).$$

**5.5. The Brauer group and the Tate-Šafarevič group.** We have

**Proposition 5.4** ([I, (5.8.5) p. 629]). *Let  $k$  be algebraically closed and  $X/k$  be smooth projective. Then there is an exact sequence*

$$0 \rightarrow \mathrm{NS}(X) \otimes \mathbf{Z}_p \rightarrow H^2(X, \mathbf{Z}_p(1)) \rightarrow T_p(\mathrm{Br}(X)) \rightarrow 0.$$

As before, Chow correspondences act on this exact sequence. Therefore if  $X = S$  is a surface, applying the projector  $\pi_{\mathrm{tr}}^2$  defining  $t^2(S)$ , we get an isomorphism

$$H^2(t^2(X), \mathbf{Q}_p(1)) \simeq V_p(\mathrm{Br}(X))$$

hence, taking (5.3) into account:

$$\mathbf{Fcriso}(k)(\mathbb{L}_{\mathrm{crys}}, R_p(t^2(X))) \simeq V_p(\mathrm{Br}(X)).$$

If now  $K/k$  is a function field in one variable and  $A$  is an abelian variety over  $K$ , using the projector  $r(\pi)$  from the proof of Proposition 4.3, we get an isomorphism

$$(5.4) \quad \mathbf{Fcriso}(k)(\mathbb{L}_{\mathrm{crys}}, R_p(\mathfrak{III}(A, K/k))) \simeq V_p(\mathfrak{III}(A, K)).$$

## 6. FUNCTIONAL EQUATION, ORDER OF ZERO AND SPECIAL VALUE

**6.1. Functional equation.** Recall the functional equation of the zeta function of a pure motive  $M$  of weight  $w$  over a finite field  $k$  with  $q$  elements:

$$\zeta(M^*, -s) = \det(M)(-q^{-s})^{\chi(M)} \zeta(M, s)$$

where  $M^*$  is the dual of  $M$ ,  $\chi(M)$  is the Euler characteristic of  $M$  (computed for example with the help of its  $l$ -adic realisation) and

$$\det(M) = \pm q^{w\chi(M)/2}$$

is the determinant of the Frobenius endomorphism of  $M$ . Applying this to Theorem 2, we get the following functional equation for  $L(K, A, s)$ :

$$L(K, A, 2-s) = a(-q^{-s})^\beta L(K, A, s)$$

with

$$\begin{aligned} \beta &= -2\chi(h^1(B)) - \chi(\mathfrak{III}(A, K/k)) - \chi(\mathrm{ln}(A, K/k)) \\ &= 4 \dim B - \mathrm{cork} \mathfrak{III}(A, K/\bar{k}) - \mathrm{rk} A(K/\bar{k}) \\ a &= (\det h^1(B) \det h^1(B)(-1) \det \mathfrak{III}(A, K/k) \det \mathrm{ln}(A, K/k)(-1))^{-1} \\ &= \pm q^\beta. \end{aligned}$$

The exponent  $\beta$  compares mysteriously with the one appearing in the functional equation of Grothendieck:

$$\beta = \chi(j_* H^1(\bar{A}, \mathbf{Q}_l)) = 2 - 2g - \deg(f)$$

where  $g$  is the genus of  $C$  and  $\mathfrak{f}$  is the conductor of  $A$  (relative to  $K/k$ ), and the second equality follows from [Ra, Th. 1].

**6.2. Order of zero.** From Theorem 2, one immediately gets the well-known equality and inequality

$$(6.1) \quad \begin{aligned} \text{ord}_{s=1} L(A, s) &= \text{rk} A(K) + \text{cork}_l^1 \mathbb{III}(A, K\bar{k}) \\ &\geq \text{rk} A(K) + \text{cork}_l \mathbb{III}(A, K) \end{aligned}$$

where  $\text{cork}_l^1 \mathbb{III}(A, K\bar{k})$  is the corank of the generalised eigensubgroup for the eigenvalue 1 of the action of the arithmetic Frobenius  $\gamma$  on an arbitrary  $l$ -primary component of  $\mathbb{III}(A, K\bar{k})$  (cf. [Sch, Lemma 2 (i)] for  $l \neq p$ ).

Indeed, let us show that  $\text{ord}_{s=1} Z(\mathfrak{m}(A, K/k)) = \text{cork}_l^1 \mathbb{III}(A, K\bar{k})$  for any prime  $l$ . This order can be computed through the action of the Frobenius endomorphism  $\pi_{\mathfrak{m}}$  of  $\mathfrak{m}(A, K/k)(1)$  on  $R(\mathfrak{m}(A, K/k)(1)) = R_l(\mathfrak{m}(A, K/k))(-1)$  for any realisation functor  $R$  on  $\mathbf{Mot}_{\text{rat}}(k, \mathbf{Q})$ . If we use the  $l$ -adic realisation  $R_l$  for  $l \neq p$ , the claim is clear by Proposition 4.3 since  $R_l(\pi_{\mathfrak{m}})$  acts like the inverse of  $\gamma$ . If we now take  $R_p$ , we find from (5.1), (5.2) and (5.4):

$$R_p(\mathfrak{m}(A, K\bar{k}/\bar{k})(1))^{(0)} \simeq \overline{R_p(\mathfrak{m}(A, K/k)(1))}^{(0)} \simeq V_p(\mathbb{III}(A, K\bar{k})).$$

By Lemma 5.2, we have

$$\det(1 - \gamma t \mid \overline{R_p(\mathfrak{m}(A, K/k)(1))}^{(0)}) = \prod_{v(a)=0} (1 - 1/at)$$

where  $a$  runs through the eigenvalues of  $F^m$  acting on  $R_p(\mathfrak{m}(A, K/k)(1))$ , with valuation 0. By lemma 5.1,  $F^m = R_p(\pi_{\mathfrak{m}})$ , so we are done.

This argument does not show that  $\text{cork}_l \mathbb{III}(A, K) = \text{cork}_l \mathbb{III}(A, K\bar{k})^\gamma$  is independent of  $l$ . However, it does yield:

*Proof of Corollary 1.* For any  $l$ ,  $\text{cork}_l \mathbb{III}(A, K) = 0 \iff \mathbb{III}(A, K)\{l\}$  is finite. In view of (6.1), this shows (i)  $\iff$  (ii)  $\iff$  (iii). To get (iii)  $\implies$  (iv), consider a surface  $S/k$  used to construct the motive  $\mathfrak{m}(A, K/k)$ . The projector  $r(\pi)$  in the proof of Proposition 4.3 is represented by an algebraic correspondence with  $\mathbf{Q}$  coefficients, which have a common denominator  $D$ . For  $l$  prime to  $D$ ,  $\mathbb{III}(A, K)\{l\}$  is then a direct summand of  $\text{Br}(S)\{l\}$ , a group of cofinite type whose finite quotient is 0 for almost all  $l$ .  $\square$

**6.3. Special value.** It is less obvious to relate Theorem 2 to the value of the principal part in the Birch and Swinnerton-Dyer conjecture ([Sch, Theorem p. 509], [KT]):

$$(6.2) \quad \lim_{s \rightarrow 1} \frac{L(A, s)}{(s-1)^\rho} \sim \pm q^\rho \frac{|\text{III}(A, K)| |\det \langle, \rangle_{A(K)}|}{|A(K)_{\text{tors}}| |A'(K)_{\text{tors}}|} \prod_{c \in C} |\Phi_c(k(c))|,$$

where  $\rho = \text{rk} A(K)$ ,  $\langle, \rangle_{A(K)}$  is the height pairing constructed in [Sch, p. 502] and the  $\Phi_c$  are the groups of connected components of the Néron model of  $A$  over  $C$ , as in §2.1.

It seems that the explicit expression of  $L(A, s)$  could actually be used to provide an expression of the left hand side of (6.2) independently of the Birch and Swinnerton-Dyer conjecture, in the spirit of (6.1). This can presumably be done by the method of [Sch]: I did not succeed and leave it to better experts. Let me only note that in Theorem 2, the factors  $Z(h^1(B), q^{-s})$  and  $Z(h^1(B), q^{1-s})$  respectively contribute by  $|B'(k)|$  and  $|B(k)|$  (as usual,  $B := \text{Tr}_{K/k} A$ ), while  $Z(\ln(A, K/k), q^{1-s})$  contributes by

$$\pm q^{-\text{rk} A(K)} \frac{\det \langle, \rangle_{A(K\bar{k})}}{\det \langle, \rangle_{A(K)}} \frac{|A(K)_{\text{tors}}/B(k)|}{|(\text{LN}(A, K\bar{k}/\bar{k})_F)_{\text{tors}}|}$$

Where  $\langle, \rangle_{A(K)}$  and  $\langle, \rangle_{A(K\bar{k})}$  are the height pairings constructed in [Sch, p. 502]. This follows from the elementary lemma, in the spirit of [T1, Lemma z.4]:

**Lemma 6.1.** *Let  $\langle, \rangle : M \times M' \rightarrow \mathbf{Q}$  be a  $\mathbf{Q}$ -non-degenerate pairing between finitely generated abelian groups. Suppose  $M$  and  $M'$  are provided with operators  $F, F'$  which are adjoint for the pairing, and  $\mathbf{Q}$ -semi-simple (e.g.,  $F$  is of finite order). Let  $P = \det(1 - FT)$  be the inverse characteristic polynomial of  $F$  acting on  $M_{\mathbf{Q}}$ . Then  $\rho := \text{ord}_{T=1} P = \text{rk} M^F$  and, if  $P' = P/(1 - T)^\rho$ ,*

$$|P'(1)| = \frac{\det \langle, \rangle^F |(M^F)_{\text{tors}}|}{\det \langle, \rangle |(M_F)_{\text{tors}}|}$$

where  $M^F$  (resp.  $M_F$ ) denotes the  $F$ -invariants (resp. coinvariants) of  $F$  and  $\langle, \rangle^F$  is the ( $\mathbf{Q}$ -non-degenerate) pairing induced by  $\langle, \rangle$  on  $M^F \times M'^{F'}$ .

## 7. SURFACES OVER A GLOBAL FIELD

In §4, suppose  $k$  global:  $K$  is a function field in one variable over  $k$ .

**Definition 7.1.** If  $A$  is an abelian variety over  $K$ , we set

$$L(K, h^1(A), s) = L(k, h^1(\mathrm{Tr}_{K/k} A), s) L(k, h^1(\mathrm{Tr}_{K/k} A), s - 1) \\ L(k, \mathfrak{m}(A, K/k), s) L(k, \ln(A, K/k), s - 1)$$

where the right hand side is defined in terms of  $l$ -adic realisations.

In the right hand side, the motive  $\ln(A, K/k)$  is of weight 0 (it is an Artin motive),  $h^1(\mathrm{Tr}_{K/k} A)$  is of weight 1 and  $\mathfrak{m}(A, K/k)$  is of weight 2, a direct summand of  $h^2$  of a suitable surface. Definition 7.1 is independent of the choice of  $l$  (invertible in  $k$ ) because this is so for each individual factor (for  $\mathfrak{m}(A, K/k)$ , it follows from [RZ, Satz 2.13] and [Sa, cor. 0.6]).

If  $k$  is a number field, it may always be chosen as the algebraic closure of  $\mathbf{Q}$  in  $K$ , and this choice is unique. On the other hand, I don't know the answer to:

*Question 7.2.* If  $\mathrm{char} k > 0$ , is Definition 7.1 independent of the choice of  $k$ ?

(Said differently: does Definition 7.1 only depend on  $K$ , a function field in 2 variables over a finite field, and on  $A$ ?)

*Question 7.3.* Can one interpret  $L(K, h^1(A), s)$ , via a trace formula, as an ‘‘Euler’’ product of the form

$$L(C, j_* H_l^1(A), s) = \prod_{x \in C(0)} L(k(x), i_x^* H_l^1(\mathcal{A}), s)$$

where  $\mathcal{A}$  is the Néron model of  $A$  over  $C$ ?

(It is not even clear that the right hand side converges!)

Let us now place ourselves in the situation of (0.2). Set  $L(K, h^1(\Gamma), s) = L(K, h^1(J), s)$ , and define similarly:

$$L(K, h^0(\Gamma), s) = L(k, h(C), s) \\ L(K, h^2(\Gamma), s) = L(k, h(C), s - 1) \\ L(K, h(\Gamma), s) = \prod_{i=0}^2 L(k, h^i(\Gamma), s).$$

Theorem 4.1 then gives the following analogue to Theorem 3:

**Theorem 7.4.** *One has*

$$\frac{L(k, h(S), s)}{L(K, h(\Gamma), s)} = L(k, D(-1), s) = L(k, D, s - 1). \quad \square$$

*Question 7.5.* The height pairing defined by Schneider in [Sch, p. 507]:

$$\mathcal{A}^0(C_s) \times A'(Kk_s) \rightarrow \text{Pic}(C_s)$$

induces a pairing

$$\mathcal{A}^0(C_s)/B(k_s) \times \text{LN}(A', Kk_s/k_s) \rightarrow \mathbf{Z}$$

because  $B(k_s)$  and  $B'(k_s)$  are divisible; moreover it presumably restricts to a pairing

$$(7.1) \quad B(k_s) \times \text{LN}(A', Kk_s/k_s) \rightarrow \text{Pic}^0(C_s).$$

One way to justify (7.1) would be to show that the functor  $S \mapsto \Gamma(S, \mathcal{A} \times_k S)$  on  $k$ -schemes of finite type is representable by a  $k$ -group scheme of finite type with connected component  $B$ , and that Schneider's pairing emanates from a pairing of  $k$ -group schemes. Then (7.1) would induce a Galois-equivariant homomorphism

$$\text{LN}(A', Kk_s/k_s) \rightarrow \text{Hom}_{k_s}(B, J).$$

Can one use these pairings to describe the special values of  $L(K, A, s)$ ?

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