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### On the family of r-regular graphs with Grundy number r + 1

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#### Abstract

The Grundy number of a graph G, denoted by  $\Gamma(G)$ , is the largest k such that there exists a partition of V(G), into k independent sets  $V_1, \ldots, V_k$  and every vertex of  $V_i$  is adjacent to at least one vertex in  $V_j$ , for every j < i. The objects which are studied in this article are families of r-regular graphs such that  $\Gamma(G) = r + 1$ . Using the notion of independent module, a characterization of this family is given for r = 3. Moreover, we determine classes of graphs in this family, in particular the class of r-regular graphs without induced  $C_4$ , for  $r \leq 4$ . Furthermore, our propositions imply results on partial Grundy number.

#### 1 Introduction

We consider only undirected connected graphs in this paper. Given a graph G = (V, E), a proper k-coloring of G is a surjective mapping  $c: V \to \{1, \ldots, k\}$  such that  $c(u) \neq c(v)$  for any  $uv \in E$ ; the color class  $V_i$  is the set  $\{u \in V | c(u) = i\}$  and a vertex v has color i if  $v \in V_i$ . A vertex v of color i is a Grundy vertex if v is adjacent to at least one vertex colored j, for every j < i. A Grundy k-coloring is a proper k-coloring such that every vertex is a Grundy vertex. A partial Grundy k-coloring is a proper k-coloring such that every color class contains a Grundy vertex. The Grundy number (partial Grundy number, respectively) of G denoted by  $\Gamma(G)$  ( $\partial \Gamma(G)$ , respectively) is the largest k such that G admits a Grundy k-coloring (partial Grundy k-coloring, respectively).

Let  $N(v) = \{u \in V(G) | uv \in E(G)\}$  be the neighborhood of v. A set X of vertices is an *independent module* if X is an independent set and all vertices

<sup>\*</sup>Author partially supported by the Burgundy Council

in X have the same neighborhood. The vertices in an independent module of size 2 are called *false twins*. Let  $P_n$ ,  $C_n$ ,  $K_n$  and  $I_n$  be respectively, the path, cycle complete and empty graph of order n. The concepts of Grundy k-coloring and domination are connected. In a Grundy coloring,  $V_1$  is a dominating set. Given a graph G and an ordering  $\phi$  on V(G) with  $\phi = v_1, \ldots, v_n$ , the greedy algorithm assigns to  $v_i$  the minimum color that was not assigned in the set  $\{v_1, \ldots, v_{i-1}\} \cap N(v_i)$ . Let  $\Gamma_{\phi}(G)$  be the number of colors used by the greedy algorithm with the ordering  $\phi$  on G. We obtain the following result [7]:  $\Gamma(G) = \max_{\phi \in S_n} (\Gamma_{\phi}(G))$ .

The Grundy coloring is a well studied problem. Zaker [15] proved that determining the Grundy number of a given graph, even for complements of bipartite graphs, is an NP-complete problem. However, for a fixed t, determining if a given graph has Grundy number at least t is decidable in polynomial time. This result follows from the existence of a finite list of graphs, called t-atoms, such that any graph with Grundy number at least t contains a t-atom as an induced subgraph. It has been proven that there exists a Nordhaus-Gaddum type inequality for the Grundy number [8, 15], that there exist upper bounds for d-degenerate, planar and outerplanar graphs [2, 5], and that there exist connections between the products of graphs and the Grundy number [6, 1, 4]. Recently, Havet and Sampaio [9] have proven that the problem of deciding if for a given graph G we have  $\Gamma(G) = \Delta(G) + 1$ , even if G is bipartite, is NP-complete. Moreover, they have proven that the dual of Grundy k-coloring problem is in FPT by finding an algorithm in  $O(2k^{2k}.|E| + 2^{2k}k^{3k+5/2})$  time.

Note that a Grundy k-coloring is a partial Grundy k-coloring, hence  $\Gamma(G) \leq \partial \Gamma(G)$ . Given a graph G and a positive integer k, the problem of determining if a partial Grundy k-coloring exists, even for chordal graphs, is NP-complete but there exists a polynomial algorithm for trees [13].

Another coloring parameter with domination constraints on the colors is the b-chromatic number, denoted by  $\varphi(G)$ , which is the largest k such that there exists a proper k-coloring and for every color class  $V_i$ , there exists a vertex adjacent to at least one vertex colored j, for every j, with  $j \neq i$ . Note that a b-coloring is a partial Grundy k-coloring, hence  $\varphi(G) \leq \partial \Gamma(G)$ . The b-chromatic number of regular graphs has been investigated in a series of papers ([11, 10, 3, 12]). Our aim is to establish similar results for the Grundy coloring. We present two main results: A characterization of the Grundy number of every cubic graph and the following theorem: For  $r \leq 4$ , every r-regular graphs without induced  $C_4$  has Grundy number r + 1. We conjecture that this assertion is also true for r > 4.

Conjecture 1. For any integer  $r \geq 1$ , every r-regular graph without induced  $C_4$  has Grundy number r + 1.

Section 2 gives characterizations of some classes of graphs with Grundy number at most k,  $2 \le k \le \Delta(G)$ , using the notion of independent module. Section 3 contains the first main theorem: A description of the cubic graphs with Grundy number at most 3 that also allows us to prove that every cubic graph except

 $K_{3,3}$  has partial Grundy number 4. This theorem implies the existence of a linear algorithm to determine the Grundy number of cubic graphs. In Section 4, we present examples of infinite families of regular graphs with Grundy number exactly or at most k,  $3 \le k \le r$ . To determine these families we use recursive definitions. The last section contains the second main theorem of this article: 4-regular graphs without induced  $C_4$  have Grundy number 5.

#### 2 General results

The reader has to be aware of the resemblance of name between the following notion and that of partial Grundy k-coloring.

**Definition 2.1.** Let G be a graph. A Grundy partial k-coloring is a Grundy k-coloring of a subset S of V(G).

**Observation 2.2** ([1],[6]). If G admits a Grundy partial k-coloring, then  $\Gamma(G) \geq k$ .

This property has an important consequence: For a graph G, with  $\Gamma(G) \geq t$  and any Grundy partial t-coloring, there exist smallest subgraphs H of G such that  $\Gamma(H) = t$ . The family of t-atoms corresponds to these subgraphs. This concept was introduced by Zaker [15]. The family of t-atoms is finite and the presence of a t-atom can be determined in polynomial time for a fixed t. The following definition is slightly different from Zaker's one, insisting more on the construction of every t-atom.

**Definition 2.3** ([15]). For any integer t, we define the family of t-atoms, denoted by  $\mathscr{A}_t$ ,  $t = 1, \ldots$  by induction. Let the family  $\mathscr{A}_1$  contain only  $K_1$ . A graph G is in  $\mathscr{A}_{t+1}$  if there exists a graph G' in  $\mathscr{A}_t$  and an integer m,  $m \leq |V(G')|$ , such that G is composed of G' and an independent set  $I_m$  of order m, adding edges between G' and  $I_m$  such that every vertex in G' is connected to at least one vertex in  $I_m$ . Moreover a t-atom A is minimal, if there is no t-atom included in A other than itself.

**Theorem 1** ([15]). For a given graph G,  $\Gamma(G) \geq t$  if and only if G contains an induced minimal t-atom.

We now present conditions related to the presence of modules that allows us to upper-bound the Grundy number.

**Proposition 2.4** ([1]). Let G be a graph and X be an independent module. In every Grundy coloring of G, the vertices in X must have the same color.

**Definition 2.5.** Let G be an r-regular graph. A vertex v is a  $(0, \ell)$ -twin-vertex if there exists an independent module of cardinality  $r + 2 - \ell$  that contains v.

**Proposition 2.6.** Let G be an r-regular graph. The color of an  $(0, \ell)$ -twinvertex is at most  $\ell$  in every Grundy coloring of G.

*Proof.* Let v be a  $(0, \ell)$ -twin-vertex colored  $\ell + 1$  in G. By Definition, v is in an independent module X of cardinality  $r + 2 - \ell$  and by Proposition 2.4, every other vertex of X should be colored  $\ell + 1$ . Let u be a neighbor of v. There are at most  $\ell - 2$  neighbors of u in V(G - X). Therefore, u cannot be colored  $\ell$ .  $\square$ 

**Definition 2.7.** A vertex v of a graph G is a  $(1, \ell)$ -twin-vertex if N(v) can be partitioned into at least  $\ell-1$  independent modules.

**Proposition 2.8.** Let G be a graph. The color of an  $(1, \ell)$ -twin-vertex is at most  $\ell$  in every Grundy coloring of G.

*Proof.* By Proposition 2.4, vertices of the neighborhood of v can only have  $\ell-1$  different colors. Therefore, the color of v is at most  $\ell$ .

**Definition 2.9.** A vertex v of a graph G is a  $(2, \ell)$ -twin-vertex if N(v) is independent and every vertex in N(v) is a  $(1, \ell)$ -twin-vertex.

**Proposition 2.10.** Let G be a graph. The color of an  $(2, \ell)$ -twin-vertex is at most  $\ell$  in every Grundy coloring of G.

*Proof.* Let v be a  $(2, \ell)$ -twin-vertex in G. Every vertex in N(v) is a  $(1, \ell)$ -twin-vertex. If a vertex in N(v) is colored  $\ell$ , then v could only have a color at most  $\ell-1$ . If the vertices in the neighborhood of v have colors at most  $\ell-1$ , then in every Grundy coloring of G, v has a color at most  $\ell$ .

**Corollary 2.11.** Let G be a graph. If every vertex is a  $(1, \ell)$ -twin-vertex or a  $(2, \ell)$ -twin-vertex, then  $\Gamma(G) \leq \ell$ .

Corollary 2.12. Let G be a regular graph. If every vertex is an  $(i, \ell)$ -twinvertex, for some  $i, 0 \le i \le 2$ , then  $\Gamma(G) \le \ell$ .

**Proposition 2.13** ([1],[15]). Let G be a graph. We have  $\Gamma(G) \leq 2$  if and only if  $G = K_{n,m}$  for some integers n > 0 and m > 0.

#### 3 Grundy numbers of cubic graphs

In the following sections, the figures describe Grundy partial k-colorings. By a dashed edge we denote a possible edge. The vertices not connected by edges in the figures cannot be adjacent as it would contradict the hypothesis.

**Proposition 3.1** ([6]). Let G be a connected 2-regular graph.  $\partial\Gamma(G) = \Gamma(G) = 2$  if and only if  $G = C_4$ .

The following definition gives a construction of the cubic graphs in which every vertex is an (i,3)-twin-vertex, for some  $i, 0 \le i \le 2$ . Figure 2 gives the list of every graph of order at most 16 in this family.

**Definition 3.2.** Let  $K_{2,3}$  and  $K_{3,3}^*$  be the graphs from Figure 1. We define recursively the family of graphs  $\mathscr{F}_3^*$  as follows:

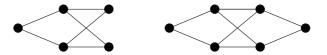


Figure 1: The graphs  $K_{2,3}$  (on the left) and  $K_{3,3}^*$  (on the right).

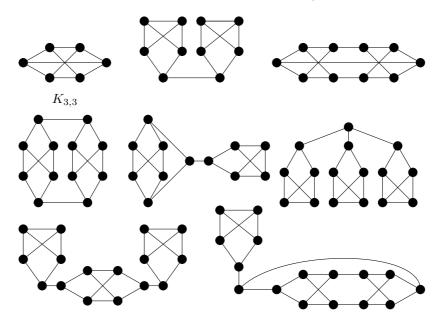


Figure 2: The cubic graphs G such that |V(G)| < 18 and  $\Gamma(G) < 4$ .

- 1.  $K_{2,3} \in \mathscr{F}_3^*$  and  $K_{3,3}^* \in \mathscr{F}_3^*$ ;
- 2. the disjoint union of two elements of  $\mathscr{F}_3^*$  is in  $\mathscr{F}_3^*$ ;
- 3. if G is a graph in  $\mathscr{F}_3^*$ , then the graph H obtained from G by adding an edge between two vertices of degree at most 2 is also in  $\mathscr{F}_3^*$ ;
- 4. if G is a graph in  $\mathscr{F}_3^*$ , then the graph H obtained from G by adding a new vertex adjacent to three vertices of degree at most 2 is in  $\mathscr{F}_3^*$ .

The family  $\mathscr{F}_3$  is the subfamily of cubic graphs in  $\mathscr{F}_3^*$ .

**Proposition 3.3.** Let G be a cubic graph. Every vertex of V(G) is an (i,3)-twin vertex, for some  $i, 0 \le i \le 2$ , if and only if  $G \in \mathscr{F}_3$ .

*Proof.* Every graph G in  $\mathscr{F}_3$  has three kind of vertices: (0,3)-twin-vertices (called also false twins), vertices where an edge is added by Point 3 and vertices

added by Point 4. Vertices where an edge is added by Point 3 are (1,3)-twinvertex and vice versa. Vertices added by Point 4 are (2,3)-twin-vertices and vice versa.

**Theorem 2.** Let G be a cubic graph.  $\Gamma(G) \leq 3$  if and only if every vertex is an (i,3)-twin-vertex, for some  $i, 0 \leq i \leq 2$ .

Proof. By Corollary 2.12, the "if" part is proven. Assume that G contains a vertex v which is not an (i,3)-twin-vertex, for some  $i, 0 \le i \le 2$  and  $\Gamma(G) < 4$ . In every configuration we want to either find a Grundy partial 4-coloring, contradicting  $\Gamma(G) < 4$  or proving that v is an (i,3)-twin-vertex, for some i, with  $0 \le i \le 2$ . We will refer to a given Grundy partial 4-coloring by its reference in Figure 3. We consider three cases: v or a neighbor of v is in a  $C_3$ , v is in an induced  $C_4$  and v or a neighbor of v are not in a  $C_3$  and v is not in an induced  $C_4$ . Let C be an induced cycle of order 3 or 4 which contains v or a neighbor of v and let  $D_1 = \{x \in V(G) | d(x,C) = 1\}$ , where d(x,C) is the distance from v to v in the graph v. To simplify notation, v will also denote the subgraph of v induced by v.

- Case 1: Assume that v or a neighbor of v is in C and  $C = C_3$ . If  $|D_1| = 1$ , then  $G = K_4$  and  $\Gamma(K_4) = 4$ . If  $|D_1| = 2$  and  $D_1 = P_2$ , then v is a (0,3)-twin-vertex or a (1,3)-twin-vertex. If  $D_1 = I_2$  then Figure 3.1.a yields a Grundy partial 4-coloring of G. If  $|D_1| = 3$ , then we have four subcases:  $D_1$  is  $C_3$  or  $P_3$  (Figure 3.1.b),  $P_2 \cup I_1$  (Figure 3.1.c) or  $I_3$  (Figure 3.1.d). In every case G admits a Grundy partial 4-coloring.
- Case 2: Assume that v is in C and  $C = C_4$ . Note that for two non adjacent vertices of C who have a common neighbor in  $D_1$ , the vertex v is a (0,3)-twin-vertex or a (1,3)-twin-vertex. Hence, we will not consider these cases. If  $|D_1| = 2$ , then  $D_1 = P_2$  or  $D_1 = I_2$  (Figure 3.2.a) and in both cases, G admits a Grundy partial 4-coloring. If  $|D_1| = 3$ , Figure 3.2.b yields a Grundy partial 4-coloring of G. In the case  $|D_1| = 4$ , we first assume that two adjacent vertices of C have their neighbors in  $D_1$  adjacent (Figure 3.2.c). Afterwards, we suppose that the previous case does not happen and that two non adjacent vertices of C have their neighbors in  $D_1$  adjacent (Figure 3.2.d). In the case  $D_1 = I_4$ , we first suppose that two vertices of  $D_1$  which have two adjacent vertices of C as neighbor, are not adjacent to two common vertices (Figure 3.2.e) and after consider they are (Figure 3.2.f).
- Case 3: Assume that v or a neighbor of v is not in a  $C_3$  and v is not in an induced  $C_4$ . Firstly, suppose that a neighbor u of v is in an induced  $C_4$ . Using the coloring from the previous case, G admits a Grundy partial 4-coloring in every cases except in the case where two neighbors of v in the  $C_4$  have a common neighbor outside the  $C_4$ . However, this case cannot happen for every neighbor of v, otherwise v would be a (2,3)-twin-vertex. Assume that u is the neighbor of v not in the previous configuration. If u is in an induced  $C_4$ , then using the coloring from the previous case, G

admits a Grundy partial 4-coloring. If u is not in an induced  $C_4$ , then Figure 3.3.a yields a Grundy partial 4-coloring of G. In this figure, the color 2 is given to a neighbor of u not adjacent to both  $f_1$  and  $f_2$ . Secondly, suppose that v is in an induced  $C_5$ . Figure 3.3.b yields a Grundy partial 4-coloring of G. Thirdly, if v is not in an induced  $C_5$ , then Figure 3.3.c yields a Grundy partial 4-coloring of G.

Therefore, if  $\Gamma(G) \leq 3$ , then every vertex is an (i,3)-twin-vertex, for some  $i, 0 \leq i \leq 2$ .

Observe that if an edge is added between the two vertices of degree 2 in  $K_{3,3}^*$ , then we obtain  $K_{3,3}$  which has Grundy number 2. By Proposition 3.3, in all the remaining cases, the cubic graphs which have Grundy number at most 3 are different from complete bipartite graphs. Therefore, they have Grundy number 3.

**Corollary 3.4.** A cubic graph G does not contain any induced minimal subcubic 4-atom if and only if every vertex is an (i,3)-twin-vertex, for some  $i, 0 \le i \le 2$ .

Corollary 3.5. Let G be a cubic graph. If G is without induced  $C_4$ , then  $\Gamma(G) = 4$ .

*Proof.* As every graph G with  $\Gamma(G) < 4$  is composed of copies of  $K_{2,3}$  or  $K_{3,3}^*$ , the graph G always contains a square if  $\Gamma(G) < 4$ .

For a fixed integer t, the largest (t+1)-atom has order  $2^t$ . Thus, for a graph G of maximum degree t, there exists an  $O(n^{2^t})$ -time algorithm to determine if  $\Gamma(G) < t+1$  (which verifies if the graph contains an induced (t+1)-atom). For a cubic graph, we obtain an  $O(n^8)$ -time algorithm, whereas our characterization yields a linear-time algorithm.

**Observation 3.6.** Let G be a cubic graph of order n. There exists an O(n)-time algorithm<sup>1</sup> to determine the Grundy number of G.

Proof. Suppose we have a cubic graph G with its adjacency list. Verifying if G is  $K_{3,3}$  can be done in constant time. We suppose now that G is not  $K_{3,3}$ . For each vertex v, the algorithm verifies that v is an (i,3)-twin-vertex, for some i,  $0 \le i \le 2$ . If the condition is true for all vertices, then  $\Gamma(G) = 3$ , else  $\Gamma(G) = 4$ . To determine if a vertex v is a (0,3)-twin-vertex, it suffices to verify that there is a common vertex other than v in the adjacency lists of the neighbors of v. To determine if a vertex v is a (1,3)-twin-vertex, it suffices to verify that there are two neighbors of v which have the same adjacency list. To determine if a vertex v is a (2,3)-twin-vertex, it suffices to verify that the neighborhood of v is independent and that every neighbor is a (1,3)-twin-vertex. Hence, checking if a vertex is an (i,3)-twin vertex can be done in constant time, so the algorithms runs in linear time.

<sup>&</sup>lt;sup>1</sup>Independently of our work, Yahiaoui et al. [14] have established a different algorithm to determine if the Grundy number of a cubic graph is 4.

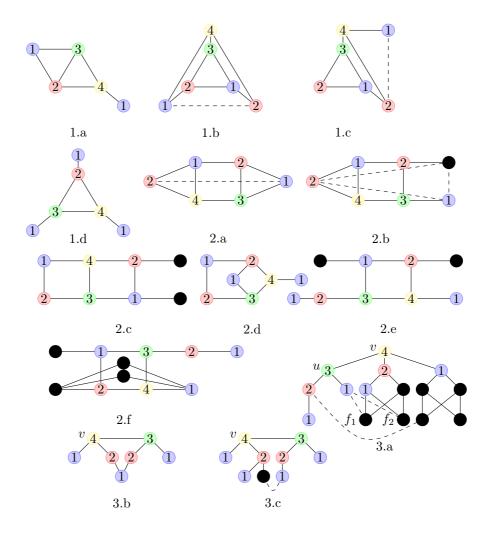


Figure 3: Possible configurations in a cubic graph (bold vertices: Uncolored vertices, vertices with number i: Vertices of color i).

**Proposition 3.7.** If G is a connected cubic graph and  $G \neq K_{3,3}$ , then  $\partial \Gamma(G) = 4$ .

Proof. Let G be a cubic connected graph. Note that if  $\Gamma(G) = 4$  then  $\partial \Gamma(G) = 4$ . Every graph G with  $\Gamma(G) < 4$  is composed of copies of  $K_{2,3}$  or  $K_{3,3}^*$ . If G contains more than two copies (so it is different from  $K_{3,3}$ ), then a vertex can be colored 4 in the first copy and a vertex can be colored 3 in the second copy. Hence,  $\partial \Gamma(G) = 4$ .

Only  $K_{3,3}$  and three other cubic graphs have b-chromatic number at most 3 [10]. Thus, our result is coherent with the results on the b-chromatic number. Shi et al. [13] proved that there exists a smallest integer  $N_r$  such that every r-regular graph G with more than  $N_r$  vertices has  $\partial \Gamma(G) = r + 1$ . Observe that we have  $N_2 = 4$  and  $N_3 = 6$ . It is an open question to determine  $N_r$  for  $r \geq 4$ . However, using results on b-chromatic number [3], we have  $N_r \leq 2r^3 - r^2 + r$ .

# 4 Properties on the Grundy number of r-regular graphs

**Definition 4.1.** Let  $r \geq 2$  be an integer. We define recursively the family of graphs  $\mathscr{G}_r^*$  as follows:

- 1.  $K_{r-k,k+2} \in \mathscr{G}_r^*$ , for any  $k, 0 \le k \le (r-2)/2$ ;
- 2. the disjoint union of two elements of  $\mathscr{G}_r^*$  is in  $\mathscr{G}_r^*$ ;
- 3. if G is a graph in  $\mathscr{G}_r^*$ , then the graph H obtained from G by adding an edge between two vertices of degree at most r-1 is also in  $\mathscr{G}_r^*$ ;
- 4. if G is a graph in  $\mathscr{G}_r^*$ , then the graph H obtained from G by adding a new vertex adjacent to r vertices of degree at most r-1 is in  $\mathscr{G}_r^*$ .

The family  $\mathscr{G}_r$  is the subfamily of r-regular graphs in  $\mathscr{G}_r^*$ .

**Proposition 4.2.** Let G be an r-regular graph. If  $G \in \mathcal{G}_r$ , then  $\Gamma(G) < r + 1$ .

Proof. By  $I_{r-k}$  and  $I_{k+2}$ , with  $|I_{r-k}| = r - k$  and  $|I_{k+2}| = k + 2$ , we denote the two sets of vertices in the bipartition of an induced subgraph  $K_{r-k,k+2}$  in G. Firstly, suppose there exists a vertex u in an induced subgraph  $K_{r-k,k+2}$  colored r+1. Without loss of generality, suppose u is in  $I_{r-k}$ . The r neighbors of u should have colors from 1 to r. Among the neighbors of u, k+2 neighbors are in  $I_{k+2}$ . Let v be the neighbor of v in  $I_{k+2}$  with the largest color in  $I_{k+2}$ . The vertex v has color at least v and v hence, there exists an integer v of such that the color of v is v is v in v in

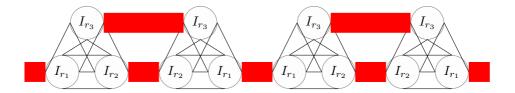


Figure 4: The Graph  $G_{r,4,i}$ ,  $i \ge 2$ ,  $r = r_1 + r_2 + r_3$ .

color r+1. Secondly, suppose there exists a vertex u added by Point 4 which has color r+1. As a neighbor of u in an induced  $K_{r-k,k+2}$  should be colored r, the argument is completely similar to the previous one.

Corollary 4.3. Let G be a 4-regular graph. If  $G \in \mathcal{G}_4$ , then  $\Gamma(G) < 5$ .

The reader can believe that the family of 4-regular graphs with  $\Gamma(G) < 5$  contains only the family  $\mathcal{G}_4$ . However, there exist graphs with Grundy number r which are not inside this family. For example, the power graph (the graph where every pair of vertices at pairwise distance 2 become adjacent) of the 7-cycle  $C_7^2$  satisfies  $\Gamma(C_7^2) < 5$  and is not in  $\mathcal{G}_4$ .

The next proposition shows that unlike the *b*-chromatic number, *r*-regular graphs of order arbitrarily large with Grundy number k can be constructed for any r and any k,  $3 \le k \le r + 1$ .

**Proposition 4.4.** Let  $r \geq 4$  and  $3 \leq k \leq r+1$  be integers. There exists an infinite family  $\mathscr{H}$  of connected r-regular graphs such that for all G in  $\mathscr{H}$ ,  $\Gamma(G) = k$ .

Proof. Let  $i \geq 2$  be a positive integer and  $r_1, \ldots, r_{k-1}$  be a sequence of positive integers such that  $r = r_1 + \ldots + r_{k-1}$ . We construct a graph  $G_{r,k,i}$  as follows: Take 2i copies of  $K_{r_1,\ldots,r_{k-1}}$ . Let  $H_{j-1}$  be the copy number j of  $K_{r_1,\ldots,r_{k-1}}$  and  $H_{j,r_l}$  be the independent  $r_l$ -set in  $H_j$ . If  $j \equiv 1 \pmod{2}$ , do the graph join of  $H_{j \pmod{2i},r_l}$  and  $H_{j-1 \pmod{2i},r_l}$  and for an integer l, 1 < l < k, do the graph join of  $H_{j \pmod{2i},r_l}$  and  $H_{j+1 \pmod{2i},r_l}$ . The r-regular graph obtained is the graph  $G_{r,k,i}$ . Figure 4 gives  $G_{r,k,i}$ , for k=4 and  $i\geq 2$ . Note that  $H_{j,r_i}$  is an independent module. Thus, every vertex is a (0,k)-twin-vertex. By Proposition 2.6,  $\Gamma(G_{r,k,i}) \leq k$ .

For an integer l, 1 < l < k, color one vertex l-1 in  $H_{1,r_l}$  and  $H_{2,r_l}$ . Afterwards, color one vertex k-1 in  $H_{1,r_1}$  and one vertex k in  $H_{2,r_1}$ . The given coloring is a Grundy partial k-coloring of  $G_{r,k,i}$  for  $i \geq 2$ . Therefore,  $\Gamma(G_{r,k,i}) = k$ , for  $i \geq 2$ .

# 5 Grundy number of 4-regular graphs without induced $C_4$

The following lemmas will be useful to prove the second main theorem of this paper: The family of 4-regular graphs without induced  $C_4$  contains only graphs with Grundy number 5.

**Lemma 5.1.** Let G be a 4-regular graph without induced  $C_4$ . If G contains (an induced)  $K_4$  then  $\Gamma(G) = 5$ .

*Proof.* Note that if  $G = K_5$ , we have  $\Gamma(G) = 5$ . If G is not  $K_5$  then every pair of neighbors of vertices of  $K_4$  cannot be adjacent (G would contain a  $C_4$ ). Giving the color 1 to each neighbor of the vertices of  $K_4$  and colors 2, 3, 4, 5 to the vertices of  $K_4$ , we obtain a Grundy partial 5-coloring of G.

**Lemma 5.2.** Let G be a 4-regular graph without induced  $C_4$  and let W be the graph from Figure 5. If G contains an induced W then  $\Gamma(G) = 5$ .

*Proof.* The names of the vertices of W come from Figure 5. Depending on the different cases that could happen, Grundy partial 5-colorings of G will be given using their references on Figure 5. Let  $D_1$  be the set of vertices at distance 1 from vertices of W in G-W. Suppose that two vertices of W have a common neighbor in  $D_1$ . This two vertices could only be  $u_4$  and  $u_5$  or  $u_3$  and  $u_5$  (or  $u_1$ and  $u_4$ , by symmetry). In the case that  $u_4$  and  $u_5$  have a common neighbor in  $D_1$ , colors will be given to neighbors of  $u_3$  in  $D_1$ , depending if they are adjacent (Figure 5.1.a) or not (Figure 5.1.b). In the case that  $u_3$  and  $u_5$  have a common neighbor w in  $D_1$ , w can be adjacent with a neighbor of  $u_3$  in  $D_1$ (Figure 5.2.a) or not (Figure 5.2.b). Suppose now that no vertices in W have a common neighbor in  $D_1$ . Let  $w_1$  and  $w_2$  be the neighbors of  $u_3$  in  $D_1$ . We first consider that  $w_1$  and  $w_2$  are adjacent (Figure 5.3.a). Secondly, we consider that  $w_1$  and  $w_2$  are not adjacent and that  $u_5$ ,  $u_3$  and  $w_1$  are in an induced  $C_5$  (Figure 5.3.b). Finally, we consider that the previous configurations are impossible (Figure 5.3.c). 

**Proposition 5.3.** Let G be a 4-regular graph without induced  $C_4$ . If G contains  $C_3$  then  $\Gamma(G) = 5$ .

*Proof.* Depending on the different cases that could happen, a reference to the Grundy partial 5-coloring of G in Figure 6 will be given. Let  $M_i$ , i=2 or 3, be the graph of order 2+i containing two adjacent vertices  $u_1$  and  $u_2$  which have exactly i common neighbors,  $\{v_1,\ldots,v_i\}$ , that form an independent set. Let  $D_1$  be the set of vertices at distance 1 from an induced  $M_i$  in  $G-M_i$ , for  $2 \le i \le 3$ .

Case 1: Firstly, assume that G contains an induced  $M_3$  and a vertex of  $M_3$  has its two neighbors in  $D_1$  adjacent (Figure 6.1.a). Secondly, assume that G contains an induced  $M_2$  and a vertex of  $M_2$  has its two neighbors in  $D_1$ 

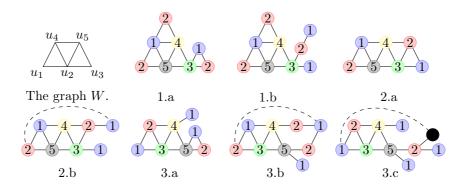


Figure 5: Possible configurations when G contains an induced W.

adjacent (Figure 6.1.b). Note that these Grundy partial 5-colorings use the fact that G cannot contain a  $K_4$  by Lemma 5.1.

Case 2: Assume that G contains an induced  $M_3$  excluding the previous configuration. There are three cases:  $u_1$ ,  $v_2$  and  $v_3$  are in an induced  $C_5$  (Figure 6.2.a),  $u_1$ ,  $v_2$  and  $v_3$  are in an induced  $C_6$  and not in an induced  $C_5$  (Figure 6.2.b) and  $u_1$ ,  $v_2$  and  $v_3$  are neither in an induced  $C_5$  nor  $C_6$  (Figure 6.2.c).

Case 3: Suppose that G contains an induced  $M_2$  excluding the previous configurations. Firstly, we suppose that  $u_1$ ,  $v_1$  and  $v_2$  are in an induced  $C_5$  (Figure 6.3.a). Secondly, we suppose that  $u_1$ ,  $v_1$  are in an induced  $C_5$  excluding the previous case (Figure 6.3.b). Thirdly, we suppose that  $u_1$ ,  $v_1$  and  $v_2$  are in an induced  $C_6$  and not in an induced  $C_5$  (Figure 6.3.c) and finally neither in an induced  $C_5$  nor  $C_6$  (Figure 6.3.d).

Suppose that G contains a 3-cycle C and no induced  $M_2$ . Let  $u_1$ ,  $u_2$  and  $u_3$  be the vertices of C. Let  $w_1$  and  $w_2$  be the neighbors of  $u_1$  outside C, let  $w'_1$  and  $w'_2$  be the neighbors of  $u_2$  outside C and let  $w''_1$  and  $w''_2$  be the neighbors of  $u_3$  outside C.

Case 4: Firstly, suppose that  $u_1$ ,  $u_2$ ,  $w_1$  and  $w'_1$  are in a 5-cycle and a neighbor of  $u_1$ , say  $w_1$ , has a common neighbor with  $w'_1$  (Figure 6.4.a). Secondly, excluding the previous configuration, suppose that  $u_1$ ,  $u_2$ ,  $w_1$  and  $w'_1$  are in a 5-cycle;  $w''_1$ ,  $v_1$ ,  $u_1$  and  $w_1$  are in another 5-cycle and  $w_1$  is in a triangle (Figure 6.4.b). We suppose that  $w_1$  is not in a triangle (Figure 6.4.c). Thirdly, excluding the previous configurations, we obtain a Grundy partial 5-coloring if two vertices of C are in a 5-cycle (Figure 6.4.d). Fourthly, we suppose that two vertices of C cannot be in a 5-cycle (Figure 6.4.e).

In the following two lemmas, we consider a graph G of girth g=5 and possibly containing an induced Petersen graph. Let  $u_1$ ,  $u_2$ ,  $u_3$ ,  $u_4$  and  $u_5$  be

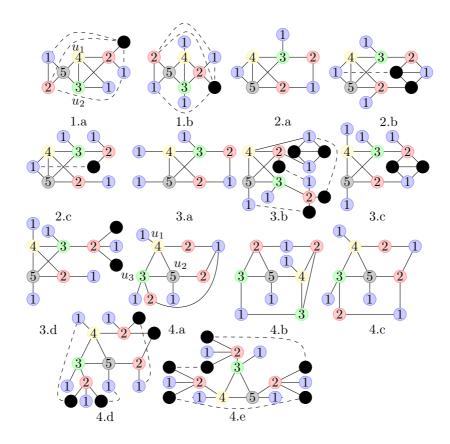


Figure 6: Possible configurations when G an induced  $C_3$ .

the vertices in an induced  $C_5$  (or in the the outer cycle of a Petersen graph, if any). Let  $v_1, \, v_1', \, v_2, \, v_2', \, v_3, \, v_3', \, v_4, \, v_4', \, v_5$  and  $v_5'$  be the remaining neighbors of respectively  $u_1, \, u_2, \, u_3, \, u_4$  and  $u_5$  (all different as g=5).

**Lemma 5.4.** Let G be a 4-regular graph with girth g = 5. If G contains the Petersen graph as induced subgraph then  $\Gamma(G) = 5$ .

Proof. Suppose that  $v_1$ ,  $v_2$ ,  $v_3$ ,  $v_4$  and  $v_5$  form an induced  $C_5$  (the inner cycle of the Petersen graph). Let  $u_2'$  and  $u_5'$  be the remaining neighbors of respectively  $v_2$  and  $v_5$ . Observe that  $v_1'$  can be adjacent with no more than three vertices among  $v_3'$ ,  $v_4'$ ,  $u_2'$  and  $u_5'$ . Firstly, suppose that  $v_1'$  is not adjacent with  $v_3'$  (or  $v_4'$ , without loss of generality since the configuration is symmetric). The left part of Figure 7 illustrates a Grundy partial 5-coloring of the graph G. Secondly, assume that  $v_1'$  is not adjacent with  $u_5'$  (or  $u_2'$ , without loss of generality). The right part of Figure 7 illustrates a Grundy partial 5-coloring of the graph G.  $\square$ 

In a graph G, let a neighbor-connected  $C_n$  be an n-cycle C such that the set

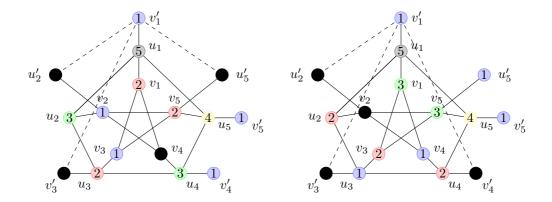


Figure 7: Two Grundy partial 5-colorings of a subgraph containing an induced Petersen graph.

of vertices of G at distance 1 from C is not independent.

**Lemma 5.5.** Let G be a 4-regular graph with girth g = 5. If G contains a neighbor-connected  $C_5$  as induced subgraph, then  $\Gamma(G) = 5$ .

Proof. Let C be a neighbor-connected  $C_5$  in G. By Lemma 5.4 we can suppose that the neighbors of the vertices of C do not form an induced  $C_5$  (otherwise a Petersen would be an induced subgraph). Hence, we can assume that the neighbors of the vertices of C form a subgraph of a  $C_{10}$ . If there are two edges between the neighbors of the vertices of C, then Figure 8 illustrates Grundy partial 5-colorings of the graph G. Suppose that two neighbors are adjacent, say  $v_1$  and  $v_3'$  and the graph G does not contain the previous configuration. Note that  $v_3'$  can be adjacent with  $v_1'$  and  $v_5'$ . Let  $w_1$ ,  $w_2$  and  $w_3$  be the three neighbors of  $v_2$  different from  $u_2$ . We suppose that  $w_1$  can be possibly adjacent with  $v_3'$  and  $w_2$  can be possibly adjacent with  $v_1'$ . Figure 9 illustrates a Grundy partial 5-coloring of G in this case. In this figure, the vertex  $w_3$  can be possibly adjacent with  $v_5'$  or  $v_4$ , but in this case we can switch the color 1 from  $v_5'$  to  $v_5$  or from  $v_4'$  to  $v_4$ .

#### **Proposition 5.6.** If G is a 4-regular graph with girth g = 5, then $\Gamma(G) = 5$ .

Proof. Let C be a 5-cycle in G. Assume that two neighbors of consecutive vertices of C, for example  $v_1$  and  $v_5$ , have a common neighbor  $w_1$ . The left part of Figure 10 illustrates a Grundy partial 5-coloring of the graph G. In this figure the vertex  $w_1$  can be possibly adjacent with  $v_2'$ ,  $v_3'$  or  $v_4$ , but in this case we can switch the color 1 from  $v_2'$  to  $v_2$ , from  $v_3'$  to  $v_3$  or from  $v_4$  to  $v_4'$ . Hence, we can suppose that no neighbors of consecutive vertices of C are adjacent. Among the neighbors of  $v_1$ , there exists one vertex  $v_1$  not adjacent with both  $v_4$  and  $v_4'$  (otherwise G would contain a  $C_4$ ). Among the neighbor of  $v_5'$ , there exists one

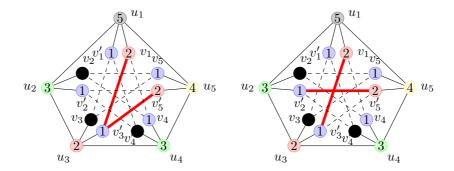


Figure 8: Two Grundy partial 5-colorings of a subgraph containing an induced neighbor-connected  $C_5$ .

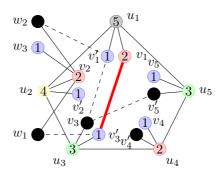


Figure 9: A Grundy partial 5-coloring of a subgraph containing an induced neighbor-connected  $C_5$ .

vertex, say  $w_2$ , not adjacent with  $w_1$ . The right part of Figure 10 illustrates a Grundy partial 5-coloring of the graph G. In this figure the vertex  $w_1$  can be possibly adjacent with  $v_4$  and the vertex  $w_2$  can be possibly adjacent with  $v_2'$  or  $v_4$ , but in these cases we can switch the color 1 from  $v_2'$  to  $v_2$  or from  $v_4$  to  $v_4'$ .

In the following lemma and proposition, we consider a graph G of girth g=6. Let  $u_1,\ u_2,\ u_3,\ u_4,\ u_5$  and  $u_6$  be the vertices in an induced  $C_6$ . Let  $v_1,\ v_1',\ v_2,\ v_2',\ v_3,\ v_3',\ v_4,\ v_4',\ v_5,\ v_5',\ v_6$  and  $v_6'$  be the remaining neighbors of respectively  $u_1,\ u_2,\ u_3,\ u_4,\ u_5$  and  $u_6$  (all different as g=6).

**Lemma 5.7.** If G is a 4-regular graph with girth g = 6 which contains a neighbor-connected  $C_6$  as induced subgraph, then  $\Gamma(G) = 5$ .

*Proof.* Firstly, suppose that there are two edges which connect the neighbors in the same way than in the left part of Figure 11. Let  $w_1$  be a neighbor of  $v'_1$  not adjacent with  $v_4$ . The graph G admits a Grundy partial 5-coloring as the

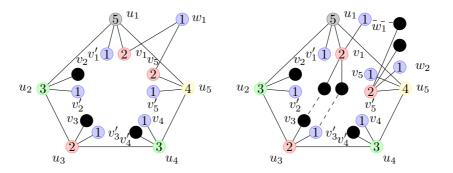


Figure 10: Two Grundy partial 5-colorings of a subgraph containing an induced  $C_5$ .

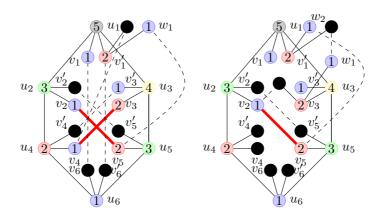


Figure 11: Two Grundy partial 5-colorings of a subgraph containing an induced neighbor-connected  $C_6$ .

left part of Figure 11 illustrates it. Secondly, suppose that there is one edge (or more) which connect the neighbors without the configuration from the previous case. Let  $w_1$  be a neighbor of  $v_3$  not adjacent with  $v_2$  and let  $w_2$  be a neighbor of  $v_1'$  not adjacent with  $w_1$ . The graph G admits a Grundy partial 5-coloring as the right part of Figure 11 illustrates it.

**Proposition 5.8.** If G is a 4-regular graph with girth g = 6, then  $\Gamma(G) = 5$ .

*Proof.* By Lemma 5.7, assume that no neighbors of the vertices of the induced  $C_6$  are adjacent. Firstly, suppose that there are two neighbors at distance 4 along the cycle  $C_6$ , for example  $v'_1$  and  $v_5$ , which have a common neighbor  $w_1$ . Let  $w_2$  be a neighbor of  $v_3$  not adjacent with  $w_1$ . G admits a Grundy partial 5-coloring as the left part of Figure 12 illustrates it. Secondly, suppose that there

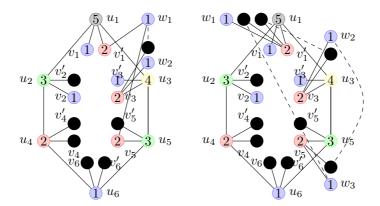


Figure 12: Two Grundy partial 5-colorings of a subgraph containing an induced  $C_6$ .

are no two neighbors at distance 4 along the cycle  $C_6$  which have a common neighbor. Let  $w_1$  be a neighbor of  $v_1'$  not adjacent with a neighbor of  $v_5$  or a neighbor of  $v_3$ , let  $w_2$  be a neighbor of  $v_3$  not adjacent with a neighbor of  $v_5$ , and let  $w_3$  be a neighbor of  $v_5$ . The graph G admits a Grundy partial 5-coloring as the right part of Figure 12 illustrates it.

**Proposition 5.9.** If G is a 4-regular graph with girth  $g \geq 7$ , then  $\Gamma(G) = 5$ .

*Proof.* Suppose that G contains a 7-cycle. We denote the 5-atom which is a tree by  $T_5$  (the binomial tree with maximum degree 4). It can be easily verified that G contains  $T_5$  where two leaves are merged (which is a 5-atom). Moreover, if G does not contain a 7-cycle, then it contains  $T_5$  as induced subgraph.

**Theorem 3.** Let G be a 4-regular graph. If G does not contain an induced  $C_4$ , then  $\Gamma(G) = 5$ .

*Proof.* Suppose that G does not contain an induced  $C_4$ . Using Proposition 5.9 for the case  $g \geq 7$ , Propositions 5.6 and 5.8 for the case g = 5, 6, and Proposition 5.3 when G contains a  $C_3$  yields the desired result.

By Proposition 3.1, Corollary 3.5 and Theorem 3, any r-regular graph with  $r \leq 4$  and without induced  $C_4$  has Grundy number r+1. Therefore, it is natural to propose Conjecture 1.

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