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# Diversification and Intensification in Parallel SAT Solving

Long Guo<sup>1</sup>, Youssef Hamadi<sup>2</sup>, Said Jabbour<sup>3</sup>, and Lakhdar Sais<sup>1</sup>

<sup>1</sup> Université Lille-Nord de France  
CRIL - CNRS UMR 8188  
Artois, F-62307 Lens  
{guo, sais}@cril.fr

<sup>2</sup> Microsoft Research  
7 J J Thomson Avenue  
Cambridge, United Kingdom  
youssefh@microsoft.com

<sup>3</sup> INRIA-Microsoft Joint Centre  
28 rue Jean Rostand  
91893 Orsay Cedex, France  
said.jabbour@inria.fr

**Abstract.** In this paper, we explore the two well-known principles of diversification and intensification in portfolio-based parallel SAT solving. These dual concepts play an important role in several search algorithms including local search, and appear to be a key point in modern parallel SAT solvers. To study their trade-off, we define two roles for the computational units. Some of them classified as *Masters* perform an original search strategy, ensuring diversification. The remaining units, classified as *Slaves* are there to intensify their master’s strategy. Several important questions have to be answered. The first one is what information should be given to a slave in order to intensify a given search effort? The second one is, how often, a subordinated unit has to receive such information? Finally, the question of finding the number of subordinated units along their connections with the search efforts has to be answered. Our results lead to an original intensification strategy which outperforms the best parallel SAT solver, and solves some open SAT instances.

**Keywords:** Satisfiability, SAT and CSP, Search

## 1 Introduction

In addition to the traditional hardware and software verification fields, SAT solvers are gaining popularity in new domains. For instance they are also used for general theorem proving and computational biology. This widespread adoption is the result of the efficiency gains made during the last decade [1]. Indeed, industrial instances with hundred of thousand of variables and millions of clauses are now solved within a few minutes. This impressive progress can be related to both the algorithmic improvements and to the ability of SAT solvers to exploit the hidden structures<sup>1</sup> of such instances. However, new applications are always more challenging with instances of increasing size and complexity, while the gains traditionally given by low level algorithmic adjustments are now stalling. As a result, a large number of industrial instances from the last competitions remain challenging for all the available SAT solvers. Fortunately, the previous comes

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<sup>1</sup> By structure, we understand the dependencies between variables, which can often appear through Boolean functions. One particular example being the well known notion of backdoors.

at a time where the generalization of multicore hardware gives parallel processing capabilities to standard PCs. While in general it is important for existing applications to exploit these new hardwares, for SAT solvers, this becomes crucial.

Many parallel SAT solvers have been previously proposed. Most of them are based on the divide-and-conquer principle. They either divide the search space using for example guiding-paths or the formula itself using decomposition techniques. The main problem behind these approaches rises in the difficulty to get workload balanced between the different processing units. Portfolio-based parallel SAT solving has been recently introduced [2]. It avoids the previous problem by letting several DPLL engines compete and cooperate to be the first to solve a given instance. Each solver works on the original formula, and search spaces are not split or decomposed anymore. To be efficient, the portfolio has to use diversified search engines. This maximizes the chance of having one of them solving the problem. However, when clause sharing is added, diversification has to be restricted in order to maximize the impact of a foreign clause whose relevance is more important in a similar or related search effort.

Therefore, a challenging question is to maintain a good and relevant "distance" between the parts of the search space explored by the different search efforts which is equivalent to the finding of a good diversification and intensification tradeoff. This question heavily depends on the problem instance. On hard ones it might be more convenient to direct the search towards building the same and common proof (intensification), whereas on easy ones diversifying it might be the way towards finding a short proof.

Taking this in mind, we propose to study the diversification/intensification tradeoff in a parallel SAT portfolio. We define two roles for the computational units. Some of them classified as *Masters* perform an original search strategy, ensuring diversification. The remaining ones, classified as *Slaves* are there to intensify their master's strategy. Doing so, several important questions have to be answered. The first one is what information should be given to a unit in order to intensify a given search effort? The second one is, how often, a subordinated unit has to receive such information? Finally, the question of finding the number of subordinated units along their connections with original search efforts has to be answered.

In the following, Section two describes the internals of modern SAT solvers, and the architecture of a portfolio-based parallel SAT engine. Section three studies the best way to intensify a given search strategy. Section four, considers the different diversification/intensification tradeoffs in a portfolio. Section five, presents our experimental results. Finally, before the general conclusion, section six presents the related works.

## 2 Technical Background

In this section, we first introduce the most salient computational features of modern SAT solvers. Then, we describe a typical portfolio based parallel SAT solver.

### 2.1 Modern SAT Solvers

Modern SAT solvers [3, 4], are based on classical DPLL search procedure [5] combined with (i) restart policies [6, 7], (ii) activity-based variable selection heuristics (VSIDS-

like) [3], and (iii) clause learning [8]. The interaction of these three components being performed through efficient data structures (e.g., Watched literals [3]).

Modern SAT solvers are especially efficient with "structured" SAT instances coming from industrial applications. On these problems, Selman et al. [9] have identified a heavy tailed phenomenon, i.e., different variable orderings often lead to dramatic differences in solving time. This explains the introduction of restart policies in modern SAT solvers, which attempt to discover a good variable ordering. VSIDS and other variants of activity-based heuristics [10], on the other hand, were introduced to avoid thrashing and to focus the search: when dealing with instances of large size, these heuristics direct the search to the most constrained parts of the formula. Restarts and VSIDS play complementary roles since the first component reorders assumptions and compacts the assumptions stack while the second allows for more intensification. Conflict Driven Clause Learning (CDCL) is the third component, leading to non-chronological backtracking. In CDCL a central data-structure is the *implication graph*, which records the partial assignment under construction made of the successive *decision literals* (chosen variable with either positive or negative *polarity*) with their propagations [8]. Each time a conflict is encountered (say at level  $i$ ) a *conflict clause* or nogood is learnt thanks to a bottom up traversal of the implication graph. Such a traversal can be seen as a resolution derivation starting from the two implications of the conflicting variable. The next resolvent is generated, from the previous one and another clause from the implication graph. Such linear resolution derivation stops when the current resolvent  $(\alpha \vee a)$ , contains only one literal  $a$  from the current conflict level, called an *asserting literal*. The node in the graph labeled with  $\neg a$  is called the *first Unique Implication Point* (first-UIP). This traversal or resolution process is also used to update the activity of related variables, allowing VSIDS to always select the most active variable as the new decision point. The learnt conflict clause  $(\alpha \vee a)$ , called *asserting clause*, is added to the learnt data base and the algorithm backtracks non chronologically to level  $j < i$ .

Modern SAT solvers can now handle propositional satisfiability problems with hundreds of thousands of variables or more. However, it is now recognized (see the recent SAT competitions) that the performances of the modern SAT solvers evolve in a marginal way. More precisely, on the industrial benchmarks category usually proposed to the annual SAT Races and/or SAT Competitions, many instances remain open (not solved by any solver within a reasonable amount of time). Consequently, new approaches are clearly needed to solve these challenging industrial problems.

## 2.2 ManySAT: a Parallel SAT Solver

ManySAT is a DPLL-engine which includes all the classical features like two-watched-literal, unit propagation, activity-based decision heuristics, lemma deletion strategies, and clause learning. In addition to the classical first-UIP scheme [11], it incorporates a new technique which extends the implication graph used during conflict-analysis to exploit the satisfied clauses of a formula [12]. Unlike others parallel SAT solvers, ManySAT does not implement a divide-and-conquer strategy based on some dynamic partitioning of the search space. At contrary, it uses a portfolio philosophy which lets several sequential DPLLs compete and cooperate to be the first to solve the common

instance. These DPLLs are differentiated in many ways. They use different and complementary restart strategies, VSIDS, polarity heuristics, and learning schemes. Additionally, all the DPLLs are exchanging learnt clauses up to some size limit.

This solver finished first in the parallel tracks of the 2008 and 2009 SAT Race and Competition (industrial categories).

### 3 Towards a Good Intensification Strategy

In this section, we first determine the relevant knowledge to be passed from a Master to a Slave in order to intensify the search. Secondly, we address the frequency of such directed intensification.

To this end, we consider a simple system with two computing units, respectively a Master (M) and a Slave (S) (see Figure 1). The role of the Master is to invoke the Slave for search intensification (dotted blue arrow in the Figure 1). By intensification we mean that the slave would explore "differently" around the search space explored by the Master. Consequently, the clauses learnt by the Master and the Slave are relevant to each other and shared in both direction (plain line in the Figure 1).

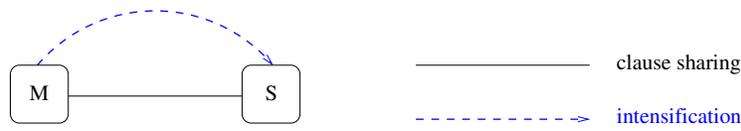


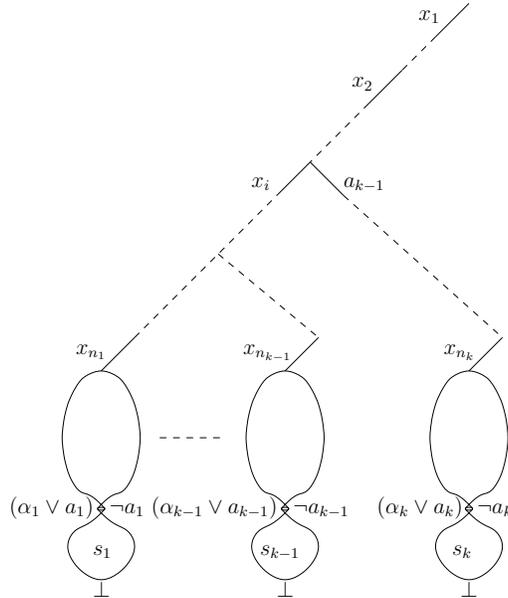
Fig. 1. Intensification topology

To explore differently around a given search effort, several kind of knowledge can be considered. Suppose that the Master is currently at a given state  $S_M = (\mathcal{F}, \mathcal{D}_M, \Gamma_M)$ , where  $\mathcal{F}$  is the original SAT instance,  $\mathcal{D}_M$  the set of decision literals, and  $\Gamma_M$  the learnt database. In the following, from a given state  $S_M$ , we derive three different characterizations of the Master search effort.

We use the Figure 2, to illustrate such characterizations. It represents a current state  $S_M$  corresponding to the branch leading to the last conflict  $k$ . The decisions made in the last branch are  $x_1, x_2, \dots, x_{n_k}$ . The boxes give a partial view of the implication graph obtained on the last  $k$  conflicts derived after the assignment of the last decisions  $x_{n_k}, x_{n_{k-1}}, \dots$ , and  $x_1$ . The learnt clauses are  $(\alpha_{n_k} \vee a_k), (\alpha_{n_{k-1}} \vee a_{k-1}), \dots, (\alpha_{n_1} \vee a_1)$  where  $a_k, a_{k-1}, \dots$ , and  $a_1$  are the asserting literals corresponding to the first-UIP  $\neg a_k, \neg a_{k-1}, \dots$ , and  $\neg a_1$ .

The first characterization of the Master search effort uses the current set of decisions  $\mathcal{D}_M$  (in short *decision list*). Using such decisions, the Slave can build the whole or a subset of the current partial assignment of the Master depending if all the asserting clauses generated by M are passed to S. Since the activity of the variables are not passed to the Slave, it shall explore the same area in a different way.

The second one, uses the sequence  $A_M = \langle a_k, a_{k-1}, \dots, a_2, a_1 \rangle$  (in short *asserting set*) of the Master asserting literals associated to the clauses learnt before

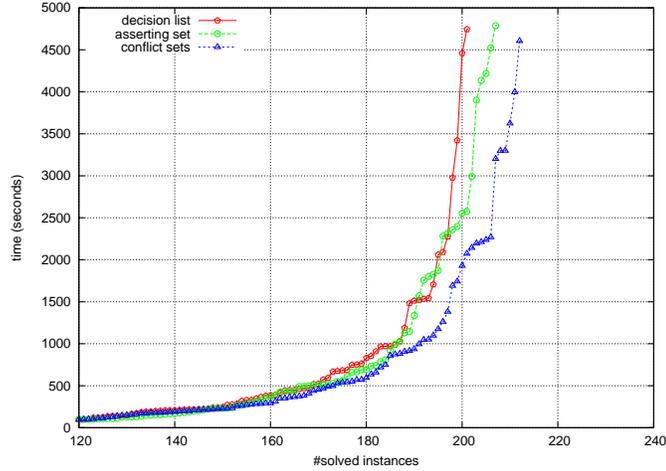


**Fig. 2.** A partial view of the Master search tree

the current state  $S_M$ . The sequence is ordered from the latest to the oldest conflict. By branching on the ordered sequence  $A_M$  using the same polarity, the Slave is able to construct a partial assignment involving the most recent asserting literals learnt from the Master unit. Let us recall that an asserting literal  $a_i$  is part of the Master learnt clause  $(\alpha \vee a_i)$ . As the Slave branches on  $a_i$ , future conflicts analysis involving  $a_i$ , might lead to learnt clauses containing  $\neg a_i$ . More generally, invoking the Slave using  $A_M$  pushes it to learn more relevant clauses, connected by resolution (contains complementary literals) to the most recent clauses learned by  $M$ . This is clearly an intensification process, as the clauses learnt by  $S$  involve the most important literals of  $M$ , and lead in some way to a more constructive resolution proof thanks to the complementary shared literals between  $M$ 's learnt clauses, and the future clauses that will be learnt by  $S$ .

The last one, uses the sequence of ordered sets  $C_M = \langle s_k, s_{k-1}, \dots, s_2, s_1 \rangle$  of literals collected during the Master conflict analysis (in short *conflict sets*). The set  $s_k$  represents the set of literals collected during the last conflict analysis. More precisely, the literals in  $s_k$  correspond to the nodes of the implication graph located between the conflict side and the the first-UIP node  $\neg a_k$  (see the Figure 2). Moreover, the set  $s_k$  includes a literal of the conflicting variable and the literal labeling the first-UIP node  $\neg a_k$ . It can be defined as  $s_k = \langle y_{k_1}, y_{k_2}, \dots, y_{k_m} \rangle$ , where  $y_{k_1}$  corresponds to the literal of the first-UIP node  $\neg a_k$  and  $y_{k_m}$  to the literal of the conflict variable as it appears in the partial interpretation. The aim of considering this sequence of sets is to intensify the search by directing  $S$  around the same conflicts.

We can remark that, the sequence  $A_M$  and  $C_M$  might contain redundant literals (the same literal occurs several times). As the Slave  $S$  assign such literals according to the defined ordering,  $S$  chooses the next unassigned literal in the ordering.



**Fig. 3.** Three intensification strategies

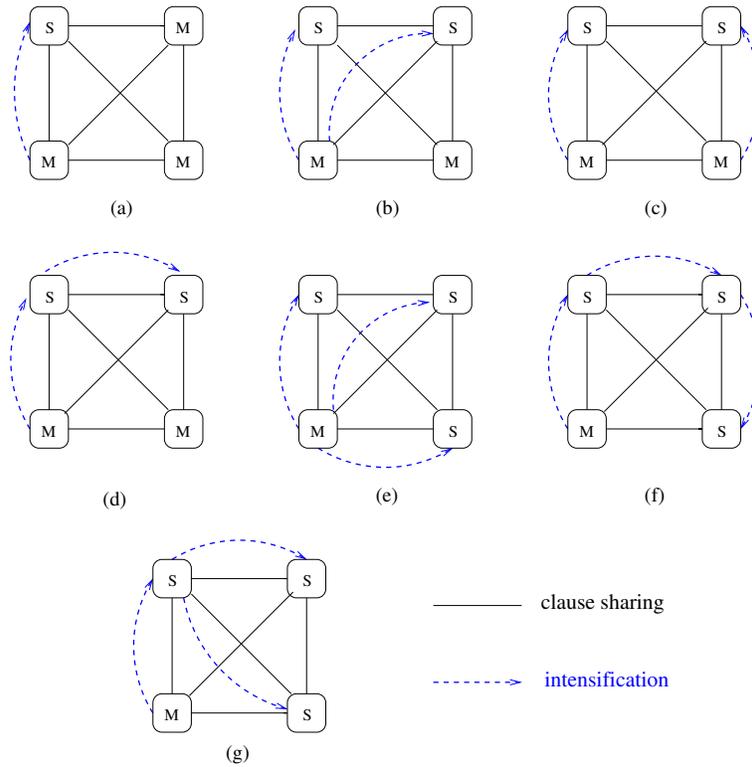
To compare the relevance of the previously defined intensification strategies, we conducted the following experiments on the 2009 SAT Competition industrial category. We use ManySAT with two computing units (see figure 1) sharing clauses of size less or equal to 8. The Master  $S$  invokes the Slave  $S$  at each restart and transmits at the same time the intensification knowledge. For the Master  $M$  we used a rapid restart strategy. It is widely admitted that rapid restarts lead to better learning [13] or to learnt clauses of small width [14]. Additionally, rapid restarts provide frequent intensification of the Slave leading to a tight synchronization of the search efforts.

Let us note that, the Slave do not implement any restart strategy. It restarts when invoked by the Master.

The Figure 3, shows the experimental comparison using the above three intensification strategies (*decision list*, *asserting set*, and *conflict sets*). As we can observe, directing the search using *conflict sets* gives the best results. The number of solved instances using the *decision list*, *asserting set* and *conflict sets* are 201, 207 and 212 respectively. In the rest of this paper, we use *conflict sets* as the intensification strategy.

## 4 Towards a Good Search Tradeoff

This section explores the diversification and intensification tradeoff. We are using the ManySAT architecture which is represented by a clique of four computational units interacting through clause sharing [2] up to size 8. These units represent a fully diversified set of strategies. In order to add some intensification, we propose to extend this architecture and to partition the units between Masters and Slaves. If we allow a Slave to intensify its own search effort through another Slave, we have a total of seven possible configurations. They are presented in Figure 4. In this Figure, dotted lines represents the Master/Slave relationships. Remark that when a unit has to provide intensification directives to several Slaves, it alternates its guidance between them, i.e., round-table. Moreover, when a configuration contains chain(s) of Slaves, (see (d), (f), and (g) in the Figure), the intensification of a Slave of level  $i$  is triggered by the Slave of level  $i - 1$ .



**Fig. 4.** Diversification/Intensification topologies

These configurations represent all the possible diversification and intensification tradeoffs which can be implemented on top of the ManySAT architecture. The follow-

ing section explores their respective performances and compare them to the original ManySAT solver.

## 5 Experiments

Our tests were done on Intel Xeon quadcore machines with 32GB of RAM running at 2.66 Ghz. For each instance, we used a timeout of 4 hours of CPU time which corresponds to a 1 hour timeout per computational unit (core). Our Master/Slave roles and their different configurations were implemented on top of the original ManySAT. This solver was also used as a baseline for comparison. We used the *conflict sets* intensification strategy.

We used the 292 industrial instances of the 2009 SAT competition to compare our different algorithms.

Method	# SAT	# UNSAT	Total	Tot. time	Avg. time
ManySAT	87	125	212	329338	1127
Topo. (a)	86 (7)	133 (49)	219 (56)	311545	1066
Topo. (b)	84 (28)	130 (73)	214 (101)	324900	1112
Topo. (c)	<b>89</b> (23)	132 (74)	<b>221</b> (97)	<b>307419</b>	<b>1052</b>
Topo. (d)	87 (25)	132 (67)	219 (92)	315795	1081
Topo. (e)	86 (45)	131 (109)	217 (154)	323501	1107
Topo. (f)	82 (44)	128 (102)	210 (146)	339640	1163
Topo. (g)	80 (45)	126 (107)	206 (152)	343233	1175

**Table 1.** 2009 SAT Competition, Industrials: overall results

The Table 1 summarizes our results. The first column presents the method, i.e., the original ManySAT (first line) or ManySAT extended with one of our seven diversification/intensification topology (see Figure 4). In the second column, the first number represents the overall number of SAT instances solved by the associated method, the second number (in parenthesis) gives the number of instances found SAT by a Slave. The third column gives similar information for UNSAT problems. The column four, gives the overall number of instances solved, again the parenthesis gives the number solved by one of the Slaves. Finally, the last two columns give respectively, the total time (cumulated), and the average time in seconds. The average is calculated over the overall set of 292 instances, using the 1 hour timeout when an instance is not solved.

This Table shows that the vast majority of our topology-based extensions are superior to the original ManySAT. This algorithm solves 212 problems whereas the best topology (c) solves 221. Remarkably, all the topologies are able to solve more UNSAT problems than ManySAT. This unsurprisingly shows that adding intensification, is more beneficial on this last category of problems. Indeed, our intensification strategy increases the relevance of the learnt clauses exchanged between masters and slaves, since unsatisfiable instances are mainly solved by resolution, improving the quality of the learnt clauses increases the performances on UNSAT problems.

When we compare the results achieved by our different topologies. It seems that balancing the tradeoff between 2 Slaves and 2 Masters works better (topo. b, c, and d). Among them, balancing the slaves to the masters gives the most efficient results i.e., topology c.

Instance	Status	ManySAT	Topology (c)
9dlx_vliw_at_b_iq1	UNSAT	87.3	<b>7.6</b>
9dlx_vliw_at_b_iq2	UNSAT	256.3	<b>31.9</b>
9dlx_vliw_at_b_iq3	UNSAT	605.8	<b>103.2</b>
9dlx_vliw_at_b_iq4	UNSAT	1106	<b>163.5</b>
9dlx_vliw_at_b_iq5	UNSAT	2490	<b>313.1</b>
9dlx_vliw_at_b_iq6	UNSAT	–	<b>735.6</b>
9dlx_vliw_at_b_iq7	UNSAT	–	<b>983</b>
<b>9dlx_vliw_at_b_iq8</b>	<b>UNSAT</b>	–	<b>1807.6</b>
<b>9dlx_vliw_at_b_iq9</b>	<b>UNSAT</b>	–	<b>2640.9</b>
velev-pipe-sat-1.0-b10	SAT	4.8	<b>3.6</b>
velev-engi-uns-1.0-4nd	UNSAT	5	<b>4.8</b>
velev-live-uns-2.0-ebuf	UNSAT	6.9	<b>6.8</b>
velev-pipe-sat-1.0-b7	SAT	47.3	<b>5.1</b>
velev-pipe-o-uns-1.1-6	UNSAT	61.9	<b>31.4</b>
velev-pipe-o-uns-1.0-7	UNSAT	159.9	<b>110.2</b>
velev-pipe-uns-1.0-8	UNSAT	262.2	<b>88.9</b>
velev-vliw-uns-4.0-9C1	UNSAT	314.6	<b>236.6</b>
velev-vliw-uns-4.0-9-i1	UNSAT	–	<b>1307.8</b>
goldb-heqc-term1mul	UNSAT	21.8	<b>4.8</b>
goldb-heqc-i10mul	UNSAT	39.5	<b>24.1</b>
goldb-heqc-alu4mul	UNSAT	46.2	<b>42.6</b>
goldb-heqc-dalumul	UNSAT	380.3	<b>36.2</b>
goldb-heqc-frg1mul	UNSAT	2566	<b>63.8</b>
goldb-heqc-x1mul	UNSAT	–	<b>226.9</b>

**Table 2.** 2009 SAT Competition, Industrials: time (s) results on three families

The Table 2 highlights the results achieved by our best topology (c) against ManySAT on three complete families of problems. We can see that our best topology outperforms ManySAT on all these problems. Even more importantly, our algorithm allowed the resolution of two open instances (9dlx\_vliw\_at\_b\_iq8, and 9dlx\_vliw\_at\_b\_iq9), proved UNSAT for the first time.

The Figure 5, presents cumulated time results for ManySAT and for our best topology on the whole set of problems. On easy and medium problems, (solved in less than 10 minutes), the algorithms have the same behavior. On the other hand, when the problems become more difficult, the new technique exhibits an important improvement, and solves 9 more instances.

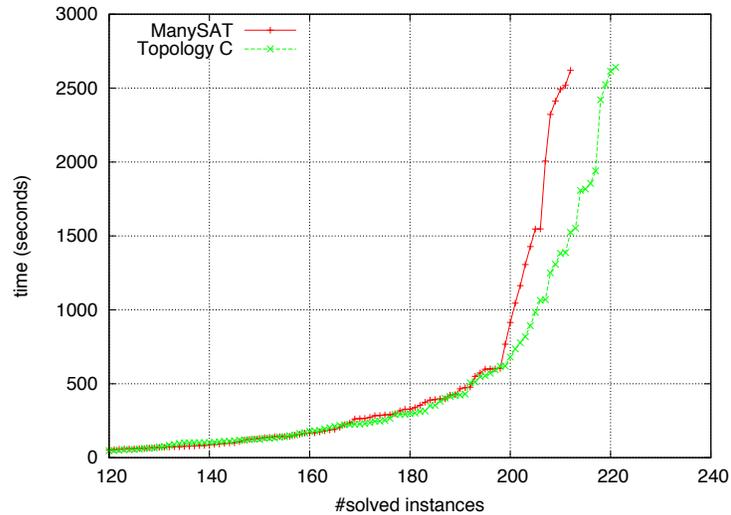


Fig. 5. 2009 SAT Competition, Industrials: cumulated time

## 6 Previous Work

We present here the most noticeable approaches related to parallel SAT solving.

In [15] a parallelization scheme for a class of SAT solvers based on the DPLL procedure is presented. The scheme uses a dynamic load-balancing mechanism based on work-stealing techniques to deal with the irregularity of SAT problems. PSatz is the parallel version of the well known Satz solver. Gradsat [16] is based on zChaff. It uses a master-slave model and the notion of guiding-paths to split the search space and to dynamically spread the load between clients. Learned clauses are exchanged between all clients if they are smaller than a predefined limit on the number of literals. A client incorporates a foreign clause when it backtracks to level 1 (top-level).

[17] uses an architecture similar to Gradsat. However, a client incorporates a foreign clause if it is not subsumed by the current guiding-path constraints. Practically, clause sharing is implemented by *mobile-agents*. This approach is supposed to scale well on computational grids.

In [18], the input formula is dynamically divided into disjoint subformulas. Each subformula is solved by a sequential SAT-solver running on a particular processor. The algorithm uses optimized data structures to modify Boolean formulas. Additionally workload balancing algorithms are used to achieve a uniform distribution of workload among the processors.

MiraXT [19], is designed for shared memory multiprocessors systems. It uses a divide and conquer approach where threads share a unique clause database which represents the original and the learnt clauses. When a new clause is learnt by a thread, it uses a lock to safely update the common database. Read access can be done in parallel.

PMSat uses a master-slave scenario to implement a classical divide-and-conquer search [20]. The user of the solver can select among several partitioning heuristics. Learnt clauses are shared between workers, and can also be used to stop efforts related to search spaces that have been proven irrelevant. PMSat runs on networks of computer through an MPI implementation.

[21], uses a standard divide-and-conquer approach based on guiding-paths. However, it exploits the knowledge on these paths to improve clause sharing. Indeed, clauses can be large with respect to some static limit, but when considered with the knowledge of the guiding path of a particular thread, a clause can become small and therefore highly relevant. This allows pMiniSat to extend the sharing of clauses since a large clause can become small in another search context.

## 7 Conclusion

We have explored the two well-known principles of diversification and intensification in portfolio-based parallel SAT solving. These dual concepts play an important role in several search algorithms including local search, and appear to be a key point in modern parallel SAT solvers. To study their tradeoff, we defined two roles for the computational units. Some of them classified as *Masters* perform an original search strategy, ensuring diversification. The remaining units, classified as *Slaves* are there to intensify their master's strategy.

Several important questions have been addressed. The first one is what information should be given to a slave in order to intensify a given search effort? It appeared that passing the set of literals found during previous conflict analysis gives the best results. This strategy aims at directing the slave towards conflicts highly related to the master's conflicts, allowing masters and slaves to share highly relevant clauses.

The second one is, how often, a subordinated unit has to receive such information? We have decided to exploit the restart policy of a master to refresh the information given to its slave(s). As shown in other works, rapid restarts lead to better learning [13] or to learnt clauses of small width [14]. Therefore, a rapid restarts strategy on the master node reinforces the interests of the clauses shared with its slaves. In our context it allows frequent intensification of a Slave leading to a tight synchronization of the search efforts.

Finally, the question of finding the number of subordinated units along their connections with the search efforts had to be answered. Our tests have shown that balancing the set of nodes between Masters and Slaves roles, and balancing the slaves to the masters gives the best results. In particular, our best topology solves 9 more industrial instances than the actual best solver, ManySAT. The results have also demonstrated the relative performance of the intensification strategy on UNSAT problems. Remarkably, our new strategy was able to close the 9dlx\_vliw\_at\_b.iq\* family by finding the proofs of unsatisfiability for two open instances.

As future work, we would like to dynamically adapt the topology and roles in a portfolio based on the perceived hardness of a given instance. This should benefit to hard UNSAT proofs where several units could be used for intensification, and similarly help the quick discovery of satisfiable assignments.

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