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PAPER

Switching of excited states in cyclometalated platinum complexes incorporating pyridyl-acetylide ligands (Pt–C≡C–py): a combined experimental and theoretical study†‡

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This article presents the design of cyclometalated platinum(II) complexes incorporating pyridyl-appended acetylide ligands of the form Pt–C≡C–py, acting either as sites for protonation or methylation reactions or as a host receptor for binding metal cations. The complexes studied are Pt(*t*-Bu₂phbpy)(–C≡C–py), **2**, which can undergo protonation at the pyridyl N; its cationic *N*-methylated derivative [Pt(*t*-Bu₂phbpy)(–C≡C–pyMe)]⁺, **4**, which serves as a model of the *N*-protonated species; and a derivative in which the pyridyl ring is incorporated into a macrocyclic diamide-crown ether ligand (**3**). The co-ligand *t*-Bu₂phbpy is a cyclometalated, N¹N²C-coordinated phenylbipyridine ligand carrying *tert*-butyl groups at the 4-positions of the pyridyl rings. The photophysical properties of the neutral compounds **2** and **3** have been compared to those of the pyridinium, methyl-pyridinium or metal-complexed species (namely **2**-H⁺, **4** and **3**-Pb²⁺). Detailed TD-DFT calculations provide a theoretical basis to account for the experimentally-observed changes upon protonation/methylation/complexation. The joint TD-DFT and experimental studies provide evidence for an unprecedented molecular switch in the nature of the excited state (from mixed L/LCT/MLCT to ML/CT) in which the acceptor ligand in the CT process switches from being the N¹N²C ligand to the pyridyl acetylide.

Introduction

Significant work in the field of responsive materials has recently focused on cyclometalated d8 square-planar Pt(II) complexes owing to their photophysical properties: large Stokes' shifts, long emission lifetimes compared to those of purely organic luminophores and the large shifts in emission wavelength maxima that are possible in response to changes in the local environment.^{1–7} Among them, (C¹N²N³)PtX complexes (C¹N²N³ = 6-phenyl-2,2'-bipyridine (phbpy), X = halide, acetylide, *etc.*) are particularly interesting because of their intense phosphorescent emission.^{8–13} The ability to vary the ancillary ligand X is an elegant strategy to induce structural modification of the [(C¹N²N³)PtX] complexes and to

tune their photophysical properties. In these series, acetylide derivatives^{9,14–20} are of special interest, not only because the strong-field nature of the acetylide ligand can help to augment the emission quantum yield, but also due to the facility with which functionalized terminal aryl-alkynes can be accessed, opening a way to new systems containing a diversity of host receptors.^{21–31} The more electron-withdrawing the group on the phenyl acetylide ligand, the higher the emission energy. This trend is consistent with an assignment of the emission to a triplet metal-to-ligand charge transfer ³MLCT (dPt → π* C¹N²N³) state, mixed with some acetylide-to-phenylbipyridine ligand-to-ligand charge transfer (³L/LCT) character. Ancillary acetylide ligands with large electron-withdrawing abilities do not significantly affect the HOMO of the metal center but raise the L/LCT excited-state energy, thus lowering its contribution to the emissive state.

In a previous preliminary contribution,³¹ we described how the complexation of Pb²⁺ ions into the macrocyclic cavity of complex **3** (Chart 1) resulted in the appearance of a new low-energy band, concomitant with the extinction of the luminescence. These surprising changes were tentatively attributed to an example, unprecedented for cyclometalated Pt(II) acetylide derivatives, of a switch of CT to the opposite direction upon metal binding, from mixed MLCT/L/LCT to

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† This paper is dedicated to Professor Didier Astruc on the occasion of his 65th birthday.

‡ Electronic supplementary information (ESI) available: ESI1—experimental details and computational details, TD-DFT results; ESI2—MO diagrams. See DOI: 10.1039/c1nj20225a

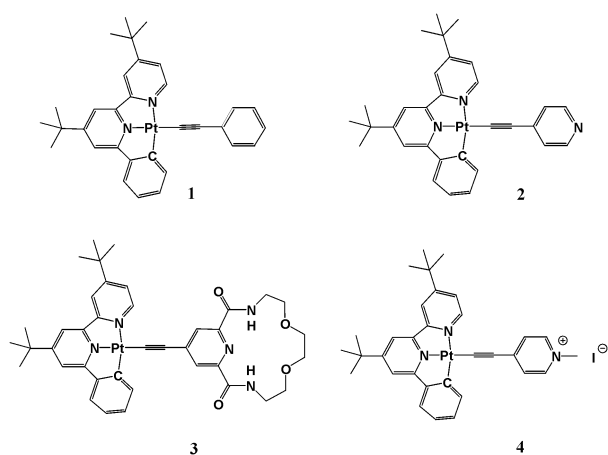


Chart 1

ML/CT; in other words, the acceptor ligand in the CT process was thought to change in response to binding of the cation. In the present paper, we describe a joint TD-DFT and experimental study of the protonation of model compound **2** (Chart 1) that allows us to more precisely investigate this process. The characterization of compounds **1–3** and their TD-DFT assignments will be presented, followed by the experimental investigation of the changes in their photophysical properties upon protonation and metal cation binding. These studies highlight the predominant role of the energy levels of the LUMOs in these complexes as the key to tune their emission.

Results and discussion

The synthesis of compounds **2** and **4** was carried out using straightforward procedures. Complex **2** was prepared starting from $[\text{Pt}(\text{tBu}_2\text{C}^*\text{N}^*\text{N})\text{Cl}]^9$ and ethynylpyridine,³² in the presence of sodium methoxide. It could be converted into **4** upon treatment with a large excess (5 equivalents) of methyl iodide, at room temperature for 24 h. The complete formation of **4** was monitored by proton NMR. Compounds **2** and **4** were obtained as powders and were fully characterized (see ESI†).

Photophysical properties of complexes 2–4

The electronic absorption spectrum of **2**, in acetonitrile solution, exhibits intense absorption bands at 320–380 nm and less intense bands at 390–460 nm (Fig. 1; Table 1). With reference to previous spectroscopic work on the platinum(II) phenylbipyridyl complex^{1,9} the high-energy intense absorption bands are assigned to intraligand (IL) transitions of the phenylbipyridine and alkynyl ligands (IL $[\text{C}^*\text{N}^*\text{N}] + \text{IL}' (-\text{C}\equiv\text{C}-\text{Ar}), \pi \rightarrow \pi^*$) (L' represents the acetylide ligand and L the phenylbipyridine). The bands at 390–460 nm are assigned to $[\text{d}\pi\text{Pt} \rightarrow \pi^*(\text{C}^*\text{N}^*\text{N})]$ metal-to-ligand charge transfer (MLCT) and $[\pi\text{C}\equiv\text{C} \rightarrow \pi^*\text{C}^*\text{N}^*\text{N}]$ ligand-to-ligand charge-transfer (L'LCT) transitions.

The electron-withdrawing effect of the pyridine-containing acetylide ligands does not result in a significant blue-shift of the L'LCT/MLCT-based absorption bands compared to **1**.^{9,19} In contrast, the observed 10 nm blue-shift in the L'LCT + MLCT absorption band in **3**³¹ compared to **2** is likely to be the

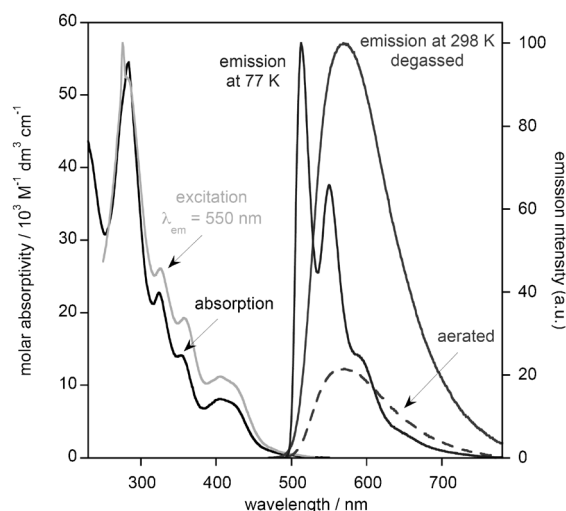


Fig. 1 Electronic absorption (black line) and luminescence excitation ($\lambda_{\text{em}} = 550$ nm) spectra of complex **2** in acetonitrile, and the normalized emission spectra ($\lambda_{\text{exc}} = 420$ nm) under the same conditions and in EPA glass at 77 K.

result of the electron-withdrawing effect of the two amido groups of the macrocycle and/or the presence of hydrogen bonding interactions between pyridine N and amide N–H.

The room-temperature emission spectrum of complex **2** (CH_3CN solution) exhibits structurally unresolved bands with a maximum at 570 nm and an emission quantum efficiency of the order of 6%. In accordance with previous studies,^{9,14–20} this emission is assigned to $^3\text{MLCT}$ excited states to which $^3\text{L}'\text{LCT}$ states may also contribute. The emission energy of **2** is blue-shifted when compared to that of $[\text{Pt}(\text{tBu}_2\text{C}^*\text{N}^*\text{N})(\text{C}\equiv\text{CPh})]$ **1** ($\lambda_{\text{em}} = 588$ nm, CH_3CN solution). The corresponding blue-shift in **3** is a little larger ($\lambda_{\text{em}} = 566$ nm, CH_3CN solution), qualitatively mirroring the trend in absorption.³¹

Computational studies of complexes 1–3

DFT calculations (see Computational Details) were performed using the B3LYP functional^{34–36} and a polarized double zeta LANL2DZ basis set,³⁷ to model the geometries of complexes **1–3** in the singlet ground-state and the lowest-energy triplet excited state. *tert*-Butyl groups have been replaced by hydrogen atoms for simplification of the calculations. Solvent (CH_2Cl_2 or CH_3CN) effects have been taken into account using the PCM model.^{38,39}

The main optimized geometrical parameters of complexes **1–3** are listed in Table 2. These geometrical parameters are comparable to the experimental values for $[\text{Pt}(\text{C}^*\text{N}^*\text{N})(\text{C}\equiv\text{CPh})]$.⁹ The bond angles of $\text{N1}-\text{Pt}-\text{N1}'$ and $\text{N1}-\text{Pt}-\text{C3}$ are about 77.8° and 82.0° for **1–3**, corresponding well to the experimental values of $78.4(2)^\circ$ and $82.1(2)^\circ$ for $[\text{Pt}(\text{C}^*\text{N}^*\text{N})(\text{C}\equiv\text{CPh})]$ ⁹ and indicating that the coordination geometry of Pt(II) exhibits nearly square planar conformation. The geometries of **1–3** are quite insensitive to the groups (phenyl, pyridyl or macrocyclic pyridyl) on the acetylide ligand. The calculated $\text{Pt}-\text{N1}$ and $\text{Pt}-\text{N1}'$ bond lengths range from 2.018 to 2.182 Å corresponding to the experimental values, 1.987(4) and 2.124(4) Å, respectively.⁹ The calculated $\text{Pt}-\text{C3}$ bond length (1.999 Å) fits well with the experimental value (1.992(4) Å).

Table 1 Photophysical data for complexes **1–4** in acetonitrile solution at 298 K

Compounds	Absorption, ^a $\lambda_{\text{max}}/\text{nm}$ ($\epsilon/\times 10^3 \text{ L mol}^{-1} \text{ cm}^{-1}$)	Emission, ^b $\lambda_{\text{em}}/\text{nm}$	$\Phi_{\text{lum}} \times 10^2$ degassed (aerated) ^{b,c}	τ/ns , degassed (aerated)
1	330 (15.5); 357 (11.0); 420 (5.85)	588	5.0 ⁹	800 ⁹
2	330 (18.6); 360 (11.8); 420 (6.6)	570	6.3 (1.4)	540 (95)
2-H⁺	360 (25.5); 395 (13.7)	525	nd	nd
3	322 (23.1); 350 (13.7); 410 (7.1) ³¹	566	7.3 (1.5)	600 (105)
4	326 (32.5); 355 (8.5); 400 (0.1)	549	2.9 (0.9)	410 (110)

^a Measured in CH₃CN solution at 298 K. ^b Measured in CH₃CN solution at 298 K. ^c Emission quantum yields were determined using [Ru(bpy)₃]Cl₂ in air-equilibrated water ($\Phi_{\text{lum}} = 0.028$)³³ as the standard.

Table 2 Optimized geometrical parameters in the gas-phase (distances in Å and angles in deg., experimental values in the solid state determined by X-ray diffraction are given in square brackets) (atom numbering is defined in Fig. S1, ESI†)

Complex	1 [exp] ⁹	2	3	4
φ	9.5 [49.9]	0.1	9.1	2.2
Pt2–C3	1.95 [1.97]	1.95	1.95	1.94
C3–C4	1.24 [1.18]	1.24	1.24	1.24
C4–C5	1.44 [1.45]	1.43	1.43	1.42
N1–Pt2–C3	101 [102]	102	103	—
Pt2–C3–C4	178 [177]	177	179	—
C3–C4–C5	178 [178]	179	178	—
C4–C5–C6	121 [121]	122	121	—

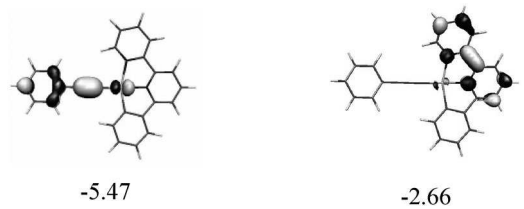
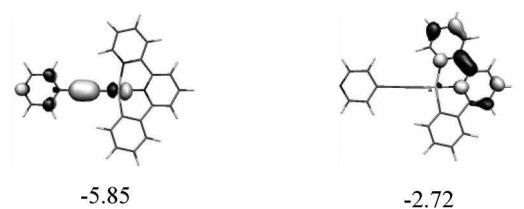
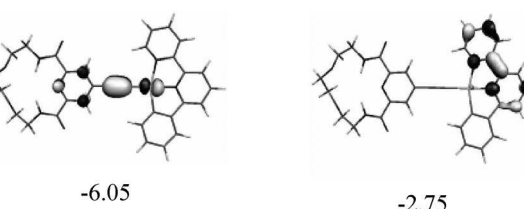
Similarly, the computed parameters for the acetylide ligand in **1–3** agree reasonably well with the values for [Pt(C[^]N[^]N)(C≡CPh)].⁹ As observed for other similar systems, the computed C≡C distances are longer than the X-ray one (1.24 Å vs. 1.184(7) Å), while the calculated Pt–C distances of 1.95 Å are slightly shorter than the experimental value (1.970(5) Å). The differences for the computed φ

dihedral angles (Fig. S1, ESI†) formed by the metallacycle Pt–N1–N1' and the phenyl or pyridyl rings for **1–3**, and the experimental values (from 0.1° to 9.5° vs. 49.9°) can be attributed to packing effects in the crystal structure of [Pt(C[^]N[^]N)(C≡CPh)].⁹ Furthermore, the rotation barrier around the Pt2–C3–C4–C5 axis is low. Indeed the energy difference between the conformations of **1** at $\varphi = 9.5^\circ$ and $\varphi = 49.9^\circ$ was estimated to be less than 0.2 kcal mol⁻¹.

On the basis of the optimized structures in the ground state, the spectroscopic properties related to absorption in acetonitrile were obtained using TD-DFT calculations at the same level of theory. As depicted in Table 3, the HOMO (Highest Occupied Molecular Orbital) and LUMO (Lowest Unoccupied Molecular Orbital) of **1–3** exhibit considerable similarities. In this table are also given the energies of the frontier orbitals and the dipole moments of these complexes.

The HOMOs of **1**, **2** and **3** are combinations of the Pt(II) d_{yz} orbital (respectively 15% (**1**), 22% (**2**) and 22% (**3**)) and the $\pi(\text{C}\equiv\text{CPh})$ orbital (respectively 77% (**1**), 69% (**2**) and 66% (**3**)). The LUMOs of these neutral complexes are mainly

Table 3 Computed properties of complexes **1–4**

Complex	HOMO energy	LUMO energy	HOMO–LUMO gap	Dipole moment/D
1	 -5.47 -2.66	2.81	13.7	
2	 -5.85 -2.72	3.13	17.7	
3	 -6.05 -2.75	3.3	10.5	

localized (about 82% (**2**)) on the bipyridine part of the C[∧]N[∧]N moiety. Therefore, lowest-lying absorptions can be attributed to combined [$\pi(\text{C}\equiv\text{C}) \rightarrow \pi^*(\text{C}^{\wedge}\text{N}^{\wedge}\text{N})$] ligand-to-ligand charge-transfer (L/LCT) and [$d\pi(\text{Pt}) \rightarrow \pi^*(\text{C}^{\wedge}\text{N}^{\wedge}\text{N})$] metal-to-ligand charge transfer (MLCT) transitions, if these HOMO to LUMO transitions are allowed. The replacement of the phenyl group on the acetylide ligand L' by the more electron-withdrawing pyridine-containing groups in **2** and **3** leads to a stabilisation of the HOMOs in **2** and **3** (0.4 eV (**2**); 0.6 eV (**3**)). Conversely, the energy level of the LUMOs remains essentially unchanged (from -2.66 eV for **1** to -2.72 and -2.75 eV for **2** and **3** respectively). Thus, the HOMO–LUMO gap increases by *ca.* 0.3 to 0.5 eV from **1** to **2** and **3**.

The TD-DFT calculations (see ESI[†]) fully confirm the assignment of the lowest-energy observed absorption band. The computed wavelengths at, respectively, 483, 444 and 438 nm correspond to the observed absorptions at 455, 430 and 410 nm for complexes **1**, **2** and **3** respectively. The corresponding transitions are HOMO–LUMO ones for the three complexes, the nature of the singlet excited state being MLCT + L/LCT. The blue shift of this band when passing from **1** to **2** and **3** was expected on the basis of the energies of the involved MOs (Table 2) and is correlated to the electron-withdrawing character of the pyridyl ligand.

Protonation and methylation of complex **2**: an experimental study

Protonation of the pendant pyridyl groups of **2**, by adding aliquots of CF₃CO₂H (up to 7 equivalents) to a 5.5×10^{-5} M sample of complex **2** in CH₃CN, results in a blue-shift of the absorption maxima in the 330–400 nm region and an approximate doubling in the intensity of the band centred at about

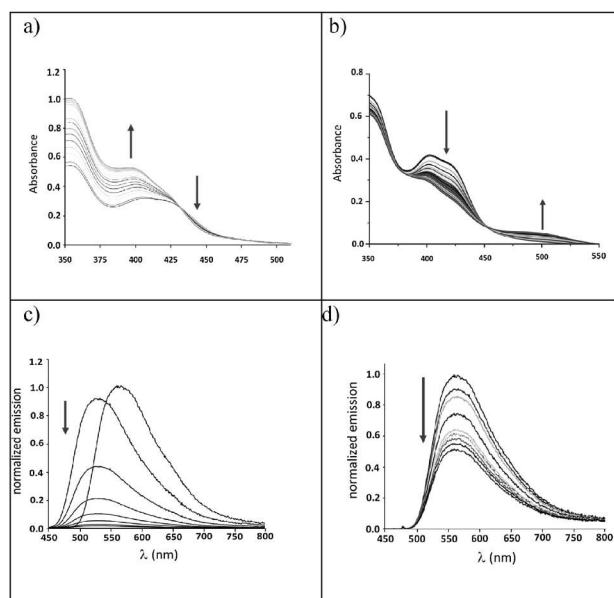


Fig. 2 Changes in the (a) UV/Vis absorption and (c) luminescence spectra of **2** in CH₃CN solution (5.5×10^{-5} M) upon addition of CF₃CO₂H (up to 7 molar equivalents) ($\lambda_{\text{exc}} = 430$ nm). Changes in the (b) UV/Vis absorption and (d) luminescence spectra of **3** in CH₃CN solution (5.7×10^{-5} M) upon addition of Pb²⁺(ClO₄[−])₂ (up to 3 molar equivalents) ($\lambda_{\text{exc}} = 450$ nm).

400 nm (Fig. 2a). This is consistent with the expected formation of a pyridinium unit acting as a stronger electron-withdrawing substituent on **2-H**⁺. Its introduction would be anticipated to substantially stabilise the ethynyl and metal d orbitals and thereby increase the energy gap between the ground and excited states. Similarly, the methylated complex **4** shows a 30 nm blue-shift of the absorption bands at 430 nm, compared with the absorption of complex **2**.

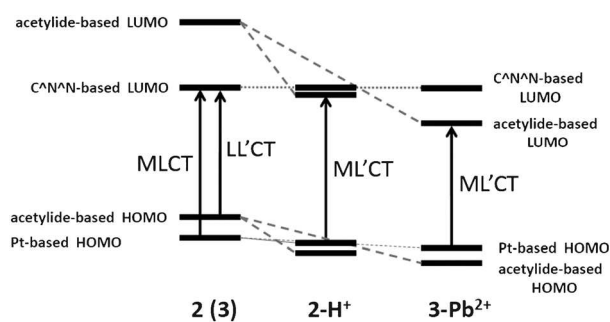
The luminescence of **2** is blue-shifted from 569 to 525 nm upon protonation (Fig. 2c). This blue-shift is consistent with an enhancement of the electron-withdrawing character of the ancillary acetylide ligand on **2** when protonated, stabilizing both the metal d_{Pt} and $\pi_{\text{C}\equiv\text{C}}$ orbitals and thereby increasing the MLCT/L/LCT (respectively ³MLCT/³L/LCT) energy gap.

Similarly, the emission of **4** (549 nm) is slightly blue shifted (20 nm) compared with the absorption of complex **2**, and its quantum yield is lower ($\phi = 0.02$ compared to 0.05 for **2**). The emission of **2** is even more substantially reduced in intensity upon protonation (by $\sim 90\%$ after addition of 7 equivalents of CF₃CO₂H) (Fig. 2c).

This loss in emission both for **2-H**⁺ and for **4** is in apparent contradiction of the energy-gap law,^{40,41} which has been shown to apply to Pt(II) complexes when states of the same orbital parentage are involved.^{41–44} Higher-energy excited states normally display more intense luminescence because there is less likelihood of quenching by low energy molecular vibrations. Thus, that the energy gap law does not hold suggests that the nature of the excited state changes.

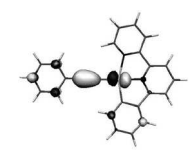
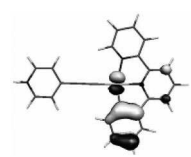
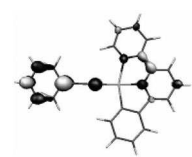
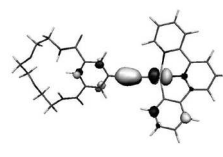
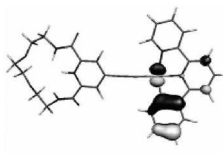
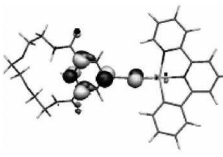
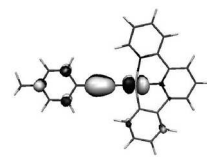
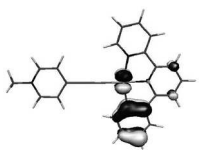
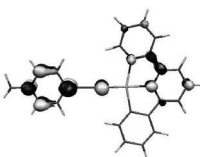
The loss in emission for the protonated **2-H**⁺ and methylated **4** species could be first explained by the presence of low-lying deactivating metal-centred d–d states. Indeed, such d–d transitions are known to induce fast non-radiative deactivation, if they are thermally accessible, as they are in complexes with weak-field ligands.⁴⁵ However, the introduction of the strong-field acetylide¹⁴ and cyclometalating ligands^{8–13} into the coordination sphere of Pt(II) generally helps to push up d–d states to high energies,⁴⁶ and radiationless decay *via* the d–d states becomes less accessible. This explanation therefore appears rather unlikely to account for the loss of emission of **2-H**⁺ and **4**.

Another possible explanation is that protonation of the ethynyl-pyridine ligand leads to the stabilisation of a low-energy π^* orbital localized on the ancillary alkynyl ligand, which provides an efficient deactivation pathway *via* equilibration



Scheme 1 Proposed qualitative energetic scheme for the switching of the low-lying excited states of **2** upon protonation and of **3** upon addition of Pb²⁺ cations.

Table 4 Frontier MOs of the cationic complexes

Complex	HOMO – 1 energy	HOMO energy	LUMO energy	HOMO–LUMO gap
2-H ⁺	 -5.47	 -6.31	 -3.13	3.18
3-H ⁺	 -6.71	 -6.33	 -3.43	2.90
4	 -6.57	 -6.28	 -3.13	3.15

between the “normal” ³MLCT/³L/LCT and a short-lived non-radiative ³ML/CT state (Scheme 1).

At a first glance, the experimental results for **2-H⁺** appear to contradict those observed for **3** upon binding of Pb²⁺ cations.^{31,47} Addition of Pb²⁺ cations, as their perchlorate salts, resulted in significant changes of the absorption spectrum (Fig. 2b). The absorption band at 400–435 nm strongly decreased while a new band centred at 500 nm concomitantly grew in monotonically. Concomitantly, the luminescence emission intensity of **3** decreased, but there was no change whatsoever in the shape or position of the emission spectrum (Fig. 2d). These changes in absorption and emission of **3** upon binding of Pb²⁺ cations were explained by the stabilisation of a low-energy ³ML/CT (dPt → π*C≡C) state, which would be non-emissive and would compete with the usual ³MLCT (dPt → π*C^N^N) state, mixed with some ³L/LCT character.³¹

The difference between the experimental behaviours of the **2-H⁺** and **3-Pb²⁺** species may thus be rationalized as the result of a stronger electron-withdrawing effect of the macrocyclic-pyridine, where the two amido units involved in the complexation of Pb²⁺ cations will reinforce its electron-withdrawing character (Scheme 1).

Protonation and methylation: computational studies for complexes **2-H⁺**, **3-H⁺** and **4**

DFT and TD-DFT calculations were carried out with the goals of establishing the electronic structure of the cationic complexes **2-H⁺** and **4**, and understanding their photophysical properties. Calculated **3-H⁺** was considered as a simplified model for this species.⁴⁷ Both the absorption and emission wavelengths were compared with the available experimental data (**2-H⁺** and **4**).

The computed geometry for complexes **2-H⁺**, **3-H⁺** and **4** is similar to that of the parent complexes **1–3**. The main difference is in the values of the dihedral angle ϕ , which are close to zero, except for **3-H⁺** (22.8° to be compared to 9.1° obtained for **3**). The significance of these differences might be attenuated because of the low values for the rotation barrier when varying ϕ .

The frontier MOs of the **2-H⁺**, **3-H⁺** and **4** species revealed obvious differences from that of the parent complexes **2–3** (Table 4). The HOMOs of **2-H⁺**, **3-H⁺** and **4** are localized on the phenyl moiety of the metallacycle with contributions from the metal, respectively, 22% (**2-H⁺**), 21% (**3-H⁺**) and 24% (**4**). The acetylide ligand definitely does not contribute to the HOMOs at all. The LUMOs of the **2-H⁺**, **3-H⁺** and **4** species also revealed dramatic changes. For **2-H⁺** and **4**, the LUMOs are partly localized on the acetylide ligand, with small contribution (39% and 40%) of the bipyridine part of the C^N^N. For **3-H⁺**, the LUMO is mainly localized on the acetylide ligand, with a small contribution (4%) of the metal.

The stabilization of the HOMOs and LUMOs levels in **2–3** upon protonation or methylation results in a dramatically wide redistribution of the MOs. Let us first consider the protonation of **2**. As expected for cationic species, the energies of the HOMO and LUMO of **2-H⁺** are lower than that of **2**. The HOMO–LUMO gap remains quasi constant (3.18 eV instead of 3.13 eV), but involves MOs whose character differs between **2** and **2-H⁺**. The HOMO for **2**, which arises from a combination of the π(C≡CPh) (69%) and of the Pt(n) d_{yz} orbital (23%), is strongly stabilised (0.46 eV) upon protonation. The HOMO – 1 in **2-H⁺** is equivalent to this HOMO of **2**. Conversely, the HOMO – 1 in **2** is less stabilized (0.25 eV) because of a smaller contribution (0.5%) of the acetylide ligand. This MO is the counterpart of the HOMO in **2-H⁺**. At the same time, the HOMO – 2 in **2** which is strongly

Table 5 Contributions (%) of the Pt, C≡CAr and C^N^N units in the LUMOs of **2-H⁺**, **4**, and **3-H⁺**

	2-H⁺ Pt; C≡C-Ar; C^N^N	4 Pt; C≡C-Ar; C^N^N	3-H⁺ Pt; C≡C-Ar; C^N^N
LUMO + 3		0; 100; 0	2; 2; 96
LUMO + 2	2; 5; 93	2; 5; 93	0; 100; 0
LUMO + 1	4; 36; 60	4; 36; 60	4; 13; 83
LUMO	5; 56; 39	5; 54; 41	4; 84; 12

stabilised (0.61 eV) upon protonation corresponds to the HOMO – 2 in **2-H⁺**.

The LUMOs are also fully redistributed upon protonation: the most dramatic effects concern the LUMO and LUMO + 1 in **2-H⁺** which correspond to LUMO + 3 and LUMO + 4 in **2**, because of their stabilisation (respectively 1.93 eV and 2.06 eV). This can be related to the important contribution of the acetylide part to these MOs. Conversely, the LUMO + 1 in **2**, whose character is mainly relative to the phenylbipyridine ligand, is less affected (0.1 eV). It is the counterpart of the LUMO + 2 in **2-H⁺**. Similar effects are observed for **4**.

The lowest-lying absorption of **2-H⁺** is attributed to a HOMO – 1 to LUMO transition (see ESI†). Therefore, the lower-energy absorption corresponds to combined transitions of [dπ_{Pt} → π*_{C≡CAr}] metal-to-ligand charge-transfer (ML/CT) and π_{C^N^N} to π*_{C≡CAr} ligand-to-ligand charge-transfer (LL/CT) transitions. Higher energy absorptions were attributed to ILCT and LLCT combined with MLCT transitions (see ESI†). Similar transitions can be attributed in **4**. Interestingly, the energy gap of the lowest-lying absorption in **2-H⁺** (HOMO – 1 → LUMO) increases by 0.38 eV in comparison with the HOMO → LUMO transition in **2**. Indeed, the absorption wavelength is blue shifted from 444 to 415 nm whereas the oscillator strength increases from 0.1933 to 0.4194 after protonation, in agreement with the observed increase of the band intensity. This increase is consistent with the experimental blue-shift of the lowest-energy absorptions from **2** to **2-H⁺** (respectively from **2** to **4**).

The nature of the HOMOs (in particular from HOMO to HOMO – 4) in **3-H⁺** is comparable to that of their analogues in **2-H⁺**. Conversely, the contributions of the Pt, C≡CAr and C^N^N fragments in the LUMOs of **3-H⁺** significantly differ from those in **2-H⁺** and **4** (Table 5). It appears that, in **3-H⁺**, the contributions are quasi totally localized on the C≡C-Ar fragment (LUMO and LUMO + 2) or the C^N^N ligand (LUMO + 1 and LUMO + 3), while the distribution is more delocalized in **2-H⁺** and **4**.

The lowest-lying absorption in **3-H⁺** (see ESI†) can be attributed to a combined HOMO – 1 to LUMO transition (61%) combined with some HOMO – 2 to LUMO (22%), with the average contribution of the Pt and –C≡C moiety estimated to be 78%, resulting in a complex ML/CT + L/L/CT excited state. The energy gap of this lowest-lying absorption decreases by 0.2 eV in comparison with the HOMO – 1 → LUMO transition in **2-H⁺**. This decrease is mainly due to the stronger stabilisation of the LUMO in **3-H⁺** (–0.3 eV), while the energy levels of the HOMO – 1 remain comparable (–6.713 eV in **3-H⁺** vs. –6.642 eV in **2-H⁺**). This could be related to the presence of the C=O units in the macrocyclic pyridyl acetylide ligand that

Table 6 Comparison of phosphorescence wavelengths

Complex	1	2	2-H⁺	3	4
Experimental (nm)	588	570	525	566	549
Theoretical (nm)	578	564	543	559	551

will reinforce the electron-withdrawing effect in this ligand. Interestingly, the ML/CT contribution to the excited state increases from **2-H⁺** to **3-H⁺**, while the L/L/CT contribution decreases by 6%. This effect would be even more sensitive in the case of the complexation of lead cations, leading to a low energy absorption band with an enhanced ML/CT character, in agreement with the experimental results observed for **3-Pb²⁺**.

Phosphorescence emission: a TD-DFT study

The geometries of all complexes in their triplet states have been optimized and were found to be almost unchanged relatively to the ground state. On the basis of the triplet state optimized geometries, the spectroscopic properties related to phosphorescence, *i.e.* emitted wavelengths in acetonitrile, were estimated using TD-DFT (see ESI†).

The computed phosphorescence wavelengths (Table 6), which fit well with the experimental data, correspond to emission from a triplet state with a main component HOMO – 1 to LUMO excited configuration (percentage composition of the triplet state between 62–63% for the neutral complexes and 59–61% for the cations). The LUMO is mainly localized on L for complexes **2** and **3** (90–89%) but exhibits a higher L' character, *i.e.* 56% and 54%, respectively, for **2-H⁺** and **4**, whereas the percentage composition of L decreases to 39% and 41% respectively. This effect is even more sensitive for **3-H⁺**, which exhibits a contribution from L' of 89%.

The d–d excited states remain thermally inaccessible to the CT transitions in **2-H⁺**, **4** and **3-H⁺**, computed TD-DFT absorption energies leading to these d–d states being of the order of 4.80–4.90 eV for all complexes. Thus, the impact of either the protonation of **2** or the coordination of lead cations to the macrocyclic pyridine in **3** is not sufficient for exchanging the energy levels of the d–d and CT states in the complexes **2-H⁺**, **3-H⁺** and **4**, or for introducing such a pathway of non-radiative decay. Indeed, the energy of the d–d states is much higher than those of the MLCT, L/LCT and ML/CT transitions.

Conclusions

The photophysical properties of the neutral cyclometalated platinum complexes **2–3** incorporating pyridyl-acetylide ligands were compared to those of the protonated, *N*-methylated or metal-complexed species, namely **2-H⁺**, **4** and **3-Pb²⁺**.

These processes resulted in the appearance of new low-energy bands, tentatively assigned to $[d\pi(\text{Pt}) \rightarrow \pi^*(\text{C}\equiv\text{C}-\text{py})]$ ML'/CT transitions, and leading to a concomitant reduction in the luminescence intensity. TD-DFT calculations were performed in order to provide a theoretical basis to account for these changes. The calculations reveal that the lowest-lying absorptions for **2** and **3** result from combined L'/LCT and MLCT transitions. The nature of the lowest energy phosphorescence is attributed to a combination of $^3\text{L}'\text{LCT}$ and $^3\text{MLCT}$ transitions. Finally, the impact of the protonation of **2** and of the methylation of **2** leading to **4** at the pyridyl site of the acetylide ligands was examined on the basis of experimental and TD-DFT studies. These studies clearly establish that switching of the energy levels of MLCT, L'/LCT and ML'/CT transitions is indeed responsible for the drastic changes observed both in the absorption and phosphorescence properties of the studied compounds.

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