



**HAL**  
open science

## A simple proof of the tree-width duality theorem

Frédéric Mazoit

► **To cite this version:**

| Frédéric Mazoit. A simple proof of the tree-width duality theorem. 2013. hal-00859912

**HAL Id: hal-00859912**

**<https://hal.science/hal-00859912>**

Submitted on 9 Sep 2013

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# A SIMPLE PROOF OF THE TREE-WIDTH DUALITY THEOREM

FRÉDÉRIC MAZOIT

ABSTRACT. We give a simple proof of the “tree-width duality theorem” of Seymour and Thomas that the tree-width of a finite graph is exactly one less than the largest order of its brambles.

## 1. INTRODUCTION

A *tree-decomposition*  $\mathcal{T} = (T, l)$  of a graph  $G = (V, E)$  is tree whose nodes are labelled in such a way that

- i.  $V = \bigcup_{t \in V(T)} l(t)$ ;
- ii. every  $e \in E$  is contained in at least one  $l(t)$ ;
- iii. for every vertex  $v \in V$ , the nodes of  $T$  whose bags contain  $v$  induce a connected subtree of  $T$ .

The label of a node is its *bag*. The *width* of  $\mathcal{T}$  is  $\max\{|l(t)| ; t \in V(T)\} - 1$ , and the *tree-width*  $\text{tw}(G)$  of  $G$  is the least width of any of its tree-decomposition.

Two subsets  $X$  and  $Y$  of  $V$  *touch* if they meet or if there exists an edge linking them. A set  $\mathcal{B}$  of mutually touching connected vertex sets in  $G$  is a *bramble*. A *cover* of  $\mathcal{B}$  is a set of vertices which meets all its elements, and the *order* of  $\mathcal{B}$  is the least size of one of its covers.

In this note, we give a new proof of the following theorem of Seymour and Thomas which Reed [Ree97] calls the “tree-width duality theorem”.

**Theorem 1** ([ST93]). *Let  $k \geq 0$  be an integer. A graph has tree-width  $\geq k$  if and only if it contains a bramble of order  $> k$ .*

Although our proof is quite short, our goal is not to give a shorter proof. The proof in [Die05] is already short enough. Instead, we claim that our proof is much simpler than previous ones. Indeed, the proofs in [ST93, Die05] rely on a reverse induction on the size of a bramble which is not very enlightening. A new conceptually much simpler proof appeared in [LMT10] but this proof is a much more general result on sets of partitions which through a translation process unifies all known duality theorem of this kind such as the branch-width/tangle or the path-width blockade Theorems. We turn this more general proof back into a specific proof for tree-width which we believe is interesting both as an introduction to the framework of [AMNT09, LMT10], and to a reader which does not want to dwell into this framework but still want to have a better understanding of the tree-width duality Theorem.

---

This research was supported by the french ANR project DORSO..

## 2. THE PROOF

So let  $G = (V, E)$  be a graph and let  $k$  be a fixed integer. A bag of a tree-decomposition of  $G$  is *small* if it has size  $\leq k$  and is *big* otherwise. A *partial* ( $< k$ )-*decomposition* is a tree-decomposition  $\mathcal{T}$  with no big internal bag and with at least one small bag. Obviously, if all its bags are small, then  $\mathcal{T}$  is a tree-decomposition of width  $< k$ . If not, it contains a big leaf bag and the neighbouring bag  $l(u)$  of any such big leaf bag  $l(t)$  is small. The nonempty set  $l(t) - l(u)$  is a  $k$ -*flap* of  $\mathcal{T}$ .

Now suppose that  $X$  and  $Y$  are respectively  $k$ -flaps of some partial ( $< k$ )-decompositions  $(T_X, l_X)$  and  $(T_Y, l_Y)$ , and that  $S = N(X) \subseteq N(Y)$ . Then by identifying the leaves of the two decompositions which respectively contains  $X$  and  $Y$  and relabelling this node  $S$ , then we obtain a new “better” partial ( $< k$ )-decomposition.

This gluing process is quite powerful. Indeed let  $S \subseteq V$  have size  $\leq k$  and let  $C_1, \dots, C_p$  be the components of  $G - S$ . The star whose centre  $u$  is labelled  $l(u) = S$  and whose  $p$  leaves  $v_1, \dots, v_p$  are labelled by  $l(v_i) = C_i \cup N(C_i)$  is a partial ( $< k$ )-decomposition which we call the *star decomposition from  $S$* . It can be shown that if  $\text{tw}(G) < k$ , then an optimal tree-decomposition can always be obtained by repeatedly applying this gluing process from star decompositions from sets of size  $\leq k$ . But this process is not powerful enough for our purpose. We need the following lemma.

**Lemma 1.** *Let  $X$  and  $Y$  be respectively  $k$ -flaps of some partial ( $< k$ )-decompositions  $(T_X, l_X)$  and  $(T_Y, l_Y)$  of some graph  $G = (V, E)$ . If  $X$  and  $Y$  do not touch, then there exists a partial ( $< k$ )-decomposition  $(T, l)$  whose  $k$ -flaps are subsets of  $k$ -flaps of  $(T_X, l_X)$  and  $(T_Y, l_Y)$  other than  $X$  and  $Y$ .*

*Proof.* Since,  $X$  and  $Y$  do not touch, there exists  $S \subseteq V$  such that no component of  $G - S$  meet both  $X$  and  $Y$  (for example  $N(X)$ ). Choose such an  $S$  with  $|S|$  minimal. Note that  $|S| \leq |N(X)| \leq k$ . Let  $A$  contain  $S$  and all the components of  $G - S$  which meet  $X$ , and let  $B = (V - A) \cup S$ .

**Claim 1.** *There exists a partial ( $< k$ )-decomposition of  $G[B]$  with  $S$  as a leaf and whose  $k$ -flaps are subsets of the  $k$ -flaps of  $(T_X, l_X)$  other than  $X$ .*

Let  $x$  be the leaf of  $T_X$  whose bag contains  $X$ . Since  $|S|$  is minimum, there exists  $|S|$  vertex disjoint paths  $P_s$  from  $X$  to  $S$  ( $s \in S$ ). Note that  $P_s$  only meets  $B$  in  $s$ . For each  $s \in S$ , pick a node  $t_s$  in  $T_X$  with  $s \in l_X(t_s)$ , and let  $l'_X(t) = (l_X(t) \cap B) \cup \{s \mid t \in \text{path from } x \text{ to } t_s\}$  for all  $t \in T$ . Then  $(T_X, l'_X)$  is the tree-decomposition of  $G[B]$ . Indeed, since we removed only vertices not in  $B$ , every vertex and every edge of  $G[B]$  is contained in some bag  $l'_X(t)$ . Moreover, for any  $v \notin S$ ,  $l'_X(t)$  contains  $v$  if and only if  $l_X(t)$  does. And  $l'_X(t)$  contains  $s \in S$  if  $l_X(t)$  does or if  $t$  is on the path from  $x$  to  $t_s$ . In either cases, the vertices  $t \in V(T_X)$  whose bag  $l'_X(t)$  contain a given vertex induce a subtree of  $T_X$ .

Now the size of a bag  $l'_X(t)$  is at most  $|l_X(t)|$ . Indeed, since  $P_s$  is a connected subgraph of  $G$ , it induces a connected subtree of  $T_X$ , and this subtree contains the path from  $x$  to  $t_s$ . So for every vertex  $s \in l'_X(t) \setminus l_X(t)$ , there exists at least one other vertex of  $P_s$  which has been removed. The decomposition  $(T_X, l'_X)$  is thus indeed a partial ( $< k$ )-decomposition of  $G[B]$ . It remains to prove that the  $k$ -flaps of  $(T_X, l'_X)$  are contained in the  $k$ -flaps of  $(T_X, l_X)$  other than  $X$ . But by construction, the only leaf whose bag received new vertices is  $x$  and  $l'_X(x) = S$  which is small. This finishes the proof of the claim.

Let  $(T_Y, l_Y)$  be obtained in the same way for  $G[A]$ . By identifying the leaves  $x$  and  $y$  of  $T_X$  and  $T_Y$ , we obtain a partial  $(< k)$ -decomposition which satisfies the conditions of the lemma.  $\square$

We are now ready to prove the tree-width duality Theorem.

*Proof.* For the backward implication, let  $\mathcal{B}$  be a bramble of order  $> k$  in a graph  $G$ . We show that every tree-decomposition  $(T, l)$  of  $G$  has a part that covers  $\mathcal{B}$ , and thus  $\mathcal{T}$  has width  $\geq k$ .

We start by orienting the edges  $t_1 t_2$  of  $T$ . Let  $T_i$  be the component of  $T \setminus t_1 t_2$  which contains  $t_i$  and let  $V_i = \cup_{t \in V(T_i)} l(t)$ . If  $X := l(t_1) \cap l(t_2)$  covers  $\mathcal{B}$ , we are done. If not, then because they are connected, each  $B \in \mathcal{B}$  disjoint from  $X$  is contained in some  $B \subseteq V_i$ . This  $i$  is the same for all such  $B$ , because they touch. We now orient the edge  $t_1 t_2$  towards  $t_i$ . If every edge of  $T$  is oriented in this way and  $t$  is the last vertex of a maximal directed path in  $T$ , then  $l(t)$  covers  $\mathcal{B}$ .

To prove the forward direction, we now assume that  $G$  has tree-width  $\geq k$ , then any partial  $(< k)$ -decomposition contains a  $k$ -flap. There thus exists a set  $\mathcal{B}$  of  $k$ -flaps such that

- (i)  $\mathcal{B}$  contains a flap of every partial  $(< k)$ -decomposition;
- (ii)  $\mathcal{B}$  is upward closed, that is if  $C \in \mathcal{B}$  and  $D \supseteq C$  is a  $k$ -flap, then  $D \in \mathcal{B}$ .

So far, the set of all  $k$ -flaps satisfies (i) and (ii).

- (iii) Subject to (i) and (ii),  $\mathcal{B}$  is inclusion-wise minimal.

The set  $\mathcal{B}$  may not be a bramble because it may contain non-connected elements but we claim that the set  $\mathcal{B}'$  which contains the connected elements of  $\mathcal{B}$  is a bramble of order  $\geq k$ . Obviously, its elements are connected. To see that its order is  $> k$ , let  $S \subseteq V$  have size  $\leq k$ . Then  $\mathcal{B}'$  contains a  $k$ -flap of the star-decomposition from  $S$ , and  $S$  is thus not a covering of  $\mathcal{B}'$ .

We now prove that the elements of  $\mathcal{B}$  pairwise touch, which finishes the proof that  $\mathcal{B}'$  is a bramble. Suppose not, then let  $X$  and  $Y \in \mathcal{B}$  witness this. Obviously, no subsets of  $X$  and  $Y$  can touch so let us suppose that they are inclusion-wise minimal in  $\mathcal{B}$ . The set  $X$  being minimal,  $\mathcal{B} \setminus \{X\}$  is still upward closed and is a strict subset of  $\mathcal{B}$ . There thus exists at least one partial  $(< k)$ -decomposition  $(T_X, l_X)$  whose only flap in  $\mathcal{B}$  is  $X$ . Likewise, let  $(T_Y, l_Y)$  have only  $Y$  as a flap in  $\mathcal{B}$ . Let  $(T, l)$  be the partial  $(< k)$ -decomposition satisfying the conditions of Lemma 1. Since  $\mathcal{B}$  is upward closed and contains no  $k$ -flap of  $(T_X, l_X)$  and  $(T_Y, l_Y)$  other than  $X$  and  $Y$ , it contains no  $k$ -flap of  $(T, l)$ , a contradiction.  $\square$

## REFERENCES

- [AMNT09] Omid Amini, Frédéric Mazoit, Nicolas Nisse, and Stéphan Thomassé. Sumodular partition functions. *Discrete Mathematics*, 309(20):6000–6008, 2009.
- [Die05] Reinhard Diestel. *Graph theory*, volume 173. Springer-Verlag, 3rd edition, 2005.
- [LMT10] Laurent Lyaudet, Frédéric Mazoit, and Stéphan Thomassé. Partitions versus sets : a case of duality. *European journal of Combinatorics*, 31(3):681–687, 2010.
- [Ree97] Bruce A. Reed. Tree width and tangles: A new connectivity measure and some applications. *Surveys in Combinatorics*, 241:87–162, 1997.
- [ST93] Paul D. Seymour and Robin Thomas. Graph Searching and a Min-Max Theorem for Tree-Width. *Journal of Combinatorial Theory Series B*, 58(1):22–33, 1993.

*E-mail address:* `Frederic.Mazoit@labri.fr`

LABRI, UNIVERSITÉ DE BORDEAUX, 351 COURS DE LA LIBRATON, F-33405 TALENCE CEDEX, FRANCE.