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Analytical Predictions for a Natural Spacing within Dyke Swarms

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Abstract

Dykes often grow next to other dykes, evidenced by the widespread occurrence of dyke swarms that comprise many closely-spaced dykes. In giant dyke swarms, dykes are observed to maintain a finite spacing from their neighbors that is tens to hundreds of times smaller than their length. To date, mechanical models have not been able to clarify whether there exists an optimum, or natural spacing between the dykes. And yet, the existence of a natural spacing is at the heart of why dykes grow in swarms in the first place. Here we present and examine a mechanical model for the horizontal propagation of multiple, closely-spaced blade-like dykes in order to find energetically optimal dyke spacings associated with both constant pressure and constant

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influx magma sources. We show that the constant pressure source leads to an optimal spacing that is equal to the height of the blade-like dykes. We also show that the constant influx source leads to two candidates for an optimal spacing, one which is expected to be around 0.3 times the dyke height and the other which is expected to be around 2.5 times the dyke height. Comparison with measurements from dyke swarms in Iceland and Canada lend initial support to our predictions, and we conclude that dyke swarms are indeed expected to have a natural spacing between first generation dykes and that this spacing scales with, and is on the order of, the height of the blade-like dykes that comprise the swarm.

**Keywords:**
dyke swarms, dyke spacing, fluid-driven cracks, hydraulic fractures

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1. **Introduction**

Dykes represent the dominant mode of magma transport through the Earth’s lithosphere, and one striking feature is that they often occur as swarms made of several hundreds of individual, sub-parallel dykes originating from apparently a single source region. At the smallest scale, volcanic dyke systems originate from individual magma chambers, such as the Koolau dyke complex, Oahu, in Hawaii (Walker, 1986), the Spanish Peaks, Colorado (Odé, 1957), and the dyke swarms of Iceland (Gudmundsson, 1983; Paquet et al., 2007). At a larger scale, sheeted dyke complexes form an integral part of the crustal structure at mid-ocean ridges. At the largest scale, one finds giant mafic dyke swarms (Figure 1) that extend over hundreds to several thousands of kilometers in length (Ernst and Baragar, 1992). These giant
structures are found not only on Earth, where they are often associated with continental breakup and flood basalts, but also on Mars and Venus (Halls and Fahrig, 1987; Ernst et al., 2001). The width of these swarms is assumed to reflect the lateral extend of their feeding source, usually thought to be mantle plumes (e.g. Ernst et al., 2001).

Yet, in spite of their ubiquity, dyke swarms have been studied rather descriptively. As a result, field data that could inform about the mechanics and dynamics of dyke swarms remain scarce. The crustal dilation that is induced or accommodated by a swarm is sometimes recorded at different locations within that swarm (e.g. Walker, 1986; Hou et al., 2010), but most field studies record only the strike and dip of the dykes, along with their length and thickness distributions. Length distributions seem to be power-law (e.g. Paquet et al., 2007, and references therein), whereas thickness distributions have been variously described as power-law (e.g. Gudmundsson, 1995), negative-exponential or log-normal (e.g. Jolly and Sanderson, 1995; Jolly et al., 1998).

Comparatively, data on dyke spacing are rarely reported. Jolly and Sanderson (1995) demonstrate log-normal distribution of the dyke spacing within the Mull Swarm, Scotland, and from this infer the existence of characteristic length scale that is best described by the median or geometric mean of the spacing. In a similar study, Jolly et al. (1998) examine the geometry of clastic dykes in the Sacramento Valley, California. In this case the authors interpret the dyke spacing to follow a power-law distribution, although it should be noted that their discrimination between power-law and log-normal behavior seems it was not carried out formally but rather relied on visual assessment and is therefore prone to misinterpretation (e.g. Clauset et al.,
2009). Hence, the limited available data provide sufficient motivation to pursue model-derived insight into whether or not a characteristic length scale is expected to exist related to dyke spacing, and if so, what are its physical origins and significance.

The mechanics of dyke propagation and prediction of spacing between cracks in rocks have both received significant attention over the past few decades. On the one hand, the growth of a single dyke has been analyzed in a variety of combinations of geometry and boundary conditions (e.g. Lister, 1990; Mériaux and Jaupart, 1998; Roper and Lister, 2005; Taisne and Jaupart, 2009; Taisne et al., 2011). On the other hand, both analytical (e.g. Hobbs, 1967) and numerical (e.g. Narr and Suppe, 1991; Bai and Pollard, 2000; Olson, 2004) approaches have been applied for the purpose of predicting the spacing between opening mode cracks in layered rocks. But, while there has been a number of mainly industry-driven contributions aimed at understanding crack patterns and driving pressure associated with the growth of multiple hydraulic fractures (e.g. Germanovich et al., 1997; Zhang et al., 2007; Olson, 2008; Jin and Johnson, 2008; Olson and Dahi-Taleghani, 2009; Zhang et al., 2011; Roussel and Sharma, 2011; Bunger et al., 2012; Vermylen and Zoback, 2011; Weng et al., 2011), the issue of optimal spacing between fluid-driven cracks for geometries and boundary conditions that are relevant to dyke propagation has not been addressed.

In this paper we ask whether there is evidence from mechanical analysis that dyke swarms should form with a particular inter-dyke spacing. This question is at the heart of the issue of why dykes should form swarms at all. If mechanical models predict a natural spacing that tends to zero or
infinity, then it remains fundamentally unclear why there is a widespread
morphology wherein many distinct dykes maintain a finite separation over
tens to thousands of kilometers of growth.

Whether mechanical analysis can identify a finite characteristic spacing
for dyke swarms is not apparent at the outset. There is a temptation to view
the problem in terms of fracture mechanics alone. But if we do this, we im-
mediately discover the well-known fact that closely-spaced pressurized cracks
exert compressive stresses on each other that reduce the stress intensity that
drives the fracturing process (e.g. Benthem and Koiter, 1973). Viewed this
way, it is unclear how dykes in a swarm can grow to be a hundred times
longer than the spacing between them.

One potential resolution to this issue is to suggest that the dykes must
form sequentially, with one dyke propagating after the next to eventually
form the observed dyke swarm morphologies. It seems reasonable that this
should be a part of the answer. However, crosscutting relationships observed
in the field indicate that contemporaneous as well as successive dyke em-
placement can be observed within the same swarm (Burchardt et al., 2011).
Moreover, the analysis of Bunger (2013) shows that multiple, simultane-
ously growing fluid-driven cracks can propagate to a length that is much
greater than their separation provided that the fluid driving them is suffi-
ciently viscous — which is to say that the energy dissipated in viscous flow
greatly exceeds the energy dissipated through breakage of the rock — and
provided that their growth in height is constrained so that they are much
longer than they are high and hence grow in the well-known blade-like geom-
etry (e.g Perkins and Kern, 1961; Nordgren, 1972; Rubin and Pollard, 1987;
Lister, 1990; Adachi and Peirce, 2008). Here we examine the mechanical evidence for a natural, or optimal spacing within dyke swarms by extending the method that has been previously developed by Bunger (2013) in order to account for both the asymptotic limits of widely and closely spaced swarms of blade-shaped dykes under both constant pressure and constant influx source conditions.

2. Dyke Propagation Model

We consider a model for an array of equally-spaced blade-like dykes that are propagating horizontally through brittle host rock, as sketched in Figure 2. This model is justified for large dyke swarms that grow to be many times greater in length than the thickness of the crust. Examples include the Mackenzie swarm, the Matachewan swarm, the Grenville swarm, and the Abitibi swarm, all in Canada, the Yakut swarm in Siberia, and the Central Atlantic reconstructed swarm (Ernst et al., 1995, and references therein).

For the sake of simplicity, we assume the swarm is characterized by a single spacing $h$ between adjacent dykes (Figure 2), and we investigate how this spacing $h$ affects the propagation of the dykes. In this regard, we neglect the details of the source geometry and the radial propagation of dykes near the source and instead focus on the parallel propagation in a regime that is taken to persist after an early time, source geometry dominated period of growth. Subject to this geometric limitation, details of dyke initiation and early growth wherein the dyke length $R$ is not substantially greater than the height $H$ will not be considered. Practically, the model is valid when $R$ is at least 3 to 5 times greater than $H$ (Adachi and Peirce, 2008). When this is the
Figure 1: The 1270 Ma giant Mackenzie mafic dyke swarm in the northwestern Canadian Shield (after LeCheminant and Heaman (1989)), whose dykes extend over more than 2,000 km with an average thickness of 30 m (Fahrig, 1987).
case, it is valid to assume (Nordgren, 1972): 1) fluid flow to be unidirectional
and along the $x$ direction in Figure 2, that is, parallel to the direction of
dyke propagation, and 2) pressure to be uniform within each vertical $y - z$
planar cross section of the hydraulic fracture with the pressure and thickness
related according to a local, plane strain condition. The elasticity relation
between net pressure ($p = p_f - \sigma_o$ for minimum in situ stress $\sigma_o$ and total
magma pressure $p_f$) and thickness ($w$) along center line of the dyke ($y = 0$)
is thus given by

$$w(x, t) = \alpha_1 H \frac{p(x, t) - \sigma_I}{E'},$$

(1)

where $E' = E/(1 - \nu^2)$ for Young’s modulus $E$ and Poisson’s ratio $\nu$, and
$\sigma_I$ is the compressive stress exerted on the dyke by its neighbors, which is
approximated for the widely-spaced case $H \ll h \ll R$ as (Benthem and
Koiter, 1973; Bunger, 2013)

$$\sigma_I = p \frac{3H^2}{8h^2} \left(1 + O(h/H)^{-2}\right),$$

(2)

where the classical “Big O” notation is used to indicate the limiting behavior
of the series. Similarly for the closely spaced case $h \ll H \ll R$ (Supplemen-
tary Section 1) the interaction stress is approximated by

$$\sigma_I = p \left(1 - \frac{4h}{H} + O(h/H)^3\right).$$

(3)

Also, $\alpha_1(H/h)$ is a factor that accounts for interaction where

$$\alpha_1(H/h) \sim \begin{cases} 2, & H/h \ll 1 \\ 0.35, & H/h \gg 1 \end{cases}$$

with the large spacing limit ($H/h \ll 1$) readily available from the solution
for a single, pressurized crack in plane strain (Sneddon, 1946), and the small
Figure 2: Sketch of the model geometry, showing two members of an infinite array of blade-like dykes.

Assuming the magma is incompressible, fluid continuity, which comprises the second governing equation, is given by (Nordgren, 1972)

\[ \alpha_2 H \frac{\partial w}{\partial t} + \frac{\partial q}{\partial x} = 0, \]

where \( q(x, t) \) is the volume rate of flow through a cross section, once again \( w \) is the opening along \( y = 0 \), and \( \alpha_2(H/h) \) is a factor that behaves like

\[ \alpha_2(H/h) \sim \begin{cases} \frac{\pi}{4}, & H/h \ll 1 \\ 1, & H/h \gg 1 \end{cases} \]

with the large spacing limit \( (H/h \ll 1) \) arising from the area of an elliptical cross section \( (\pi wH/4) \) and the small spacing \( (H/h \gg 1) \) limit coinciding with a rectangular cross-section, which is taken as an approximation of the
cross section of the dyke in this case, as demonstrated in Supplementary Section 2.

The third governing equation is the Poiseuille equation relating the fluid flux to the fluid pressure gradient. This equation results from solution of the Navier-Stokes equations for laminar flow of a Newtonian fluid subjected to no-slip boundary conditions at the boundaries of the channel and where the thickness of the flow channel is much less than its length. The result is (Nordgren, 1972)

\[ q = -\alpha_3 \frac{H w^3}{\mu'} \frac{\partial p}{\partial x}, \]

where \( \mu' = 12\mu \) and \( \mu \) is the dynamic viscosity of the magma, and

\[ \alpha_3(H/h) \sim \begin{cases} \frac{3\pi}{16}, & H/h \ll 1 \\ 1, & H/h \gg 1 \end{cases} \]

where the large spacing limit \( (H/h \ll 1) \) arises from integrating the flux over an elliptical cross section and the small spacing \( (H/h \gg 1) \) limit arises from integrating the flux over an approximately rectangular cross section. It should be noted, however, that in the present work we are concerned with orders of magnitude so that what is important is not the precise values of \( \alpha_1, \alpha_2, \alpha_3 \), but rather that we have confirmed these to be order one.

The leading edge of the dyke requires a condition governing its propagation. However, one of the well-known deficiencies of the approach of Perkins and Kern (1961) and Nordgren (1972) to modeling blade-like hydraulic fractures is that the stresses are not well-defined in the near-tip region, therefore precluding a well-defined propagation condition. A recent asymptotic analysis of the full elasticity equation by Adachi and Peirce (2008) provides a way
forward, however a fluid-driven blade-like crack model has yet to be developed. But a lack of such a model is not important for our analysis provided that we assume that the energy dissipated by flow of the viscous fluid is much larger than the energy that is dissipated by rock fracture (after e.g. Lister, 1990; Lister and Kerr, 1991). It follows that if we are in viscosity dominated conditions, the scaling and energy relations that are subsequently derived will not depend on this moving boundary condition at the dyke tip.

Finally, assuming that the behavior for $R \gg H$ (long blade-like dykes) does not depend on the details of the initial conditions, these can be neglected for now. The system of equations is thus completed by homogeneous boundary conditions on the thickness and magma flux at the leading edge

$$x = R: \quad w = 0, \quad q = 0.$$  \hspace{1cm} (6)

and the magma source condition, which is discussed in the following section.

3. Magma Source Condition

The source is idealized as a time varying volume of magma ($V(t)$) that is characterized by a compressibility $C_m$ that describes the change in pressure associated with a given change in stored magma volume. The source is overpressurized relative to the minimum component of the in situ stress $\sigma_o$ by a time dependent amount

$$p_o(t) = p_o(0) + \frac{V_r(t) - V_d(t)}{V(0)C_m}.$$  \hspace{1cm} (7)

Hence, $p_o(0)$ and $V(0)$ are the source overpressure and volume at the start of dyke growth and $V_d$ and $V_r$ are the total volume injected into dykes and
added to the source region through recharge processes, respectively. Giant
dyke swarms are usually thought to be fed by mantle plumes (Ernst et al.,
2001), and so the recharge processes envisaged here would be the supply of
magma from the tail to the head of these mantle plumes.

The total volume is thus given by $V(t) = V(0) + V_r(t) - V_d(t)$. Letting
$Q(t) = q(0, t)$ be the volumetric flow rate out of the source and into the
dykes, and $Q_r(t)$ be the recharge rate of the source region, we have

$$p_o(t) = p_o(0) + \frac{1}{V(0)C_m} \int_0^t (Q_r - Q) \, dt. \tag{8}$$

This description of the source leads naturally to consideration of two
limiting cases. The first is for an infinitely large and compressible source,
where we are left with a constant pressure condition

$$x = 0 : \quad p = p_0 = p_o(0), \quad V(0)C_m \to \infty. \tag{9}$$

Obviously, for $Q_r \neq Q$, this boundary condition is associated with time being
sufficiently small so that the second term on the right hand side of Eq. (8)
vanishes relative to $p_0$.

On the other hand, the small, incompressible source limit is most clearly
represented by differentiating Eq. (8) with respect to time to obtain

$$Q = Q_r - V(0)C_m \frac{dp_o(t)}{dt}, \tag{10}$$

where it is clear, then, that the source boundary condition is

$$x = 0 : \quad q = Q_r, \quad V(0)C_m \to 0, \tag{11}$$

which is a condition of constant influx if we further assume $Q_r(t) = Q_o$, a constant. Furthermore, it is apparent from Eq. (10) that the constant
influx condition is associated with large time if $dp_o/dt$ decays with time – for example if $p_o \sim t^b$ for $b < 1$.

We note, however, that dyke flow rates $Q$ are usually several orders of magnitude greater than their source recharge rates $Q_r$. For instance, studies of long-term magma supply rate at Kilauea, Hawaii (Swanson, 1972) and Krafla, Iceland (Johnsen et al., 1980) give $Q_r \sim 1 - 5 \text{ m}^3 \text{s}^{-1}$. Estimates of dyke flow velocities are in the range 0.1 - 1 m/s (Brandsdóttir and Einarsson, 1979; Peltier et al., 2007; Ayele et al., 2009; White et al., 2011), which would amount to average volumetric flow rates $Q \sim 10^2 - 10^4 \text{ m}^3 \text{s}^{-1}$ for horizontally propagating dykes that are 1 m wide and 1-10 km high. This range of values reflects the requirement that dykes need to propagate fast enough through the Earth’s crust to avoid death by solidification: continued magma flow in dykes requires a minimum dyke width hence magma flow rate for the advective supply of heat by flowing magma to be able to offset the heat conducted away by the colder host rocks (Bruce and Huppert, 1989; Petford et al., 1993). This range of values agrees with the volumetric flow rates estimated for the 1783-1785 Laki eruption in Iceland ($100 - 9000 \text{ m}^3 \text{s}^{-1}$, Thordarson and Self, 1993), the magmatic activity in Hawaii in the 1970s ($1 - 700 \text{ m}^3 \text{s}^{-1}$, Wright and Tilling, 1980; Duffield et al., 1982), the September 1984 eruption of Krafla, Iceland ($10 - 10^3 \text{ m}^3 \text{s}^{-1}$, Tryggvason, 1986), or the 2003 magmatic activity at Piton de la Fournaise, Réunion Island ($10 - 700 \text{ m}^3 \text{s}^{-1}$, Peltier et al., 2007). Some of these volumetric-flow-rate estimates are eruption rates and are observed to decline with time, whereas dyke intrusions might involve more constant rates (e.g. Peltier et al., 2007; Traversa et al., 2010). Moreover, one could argue that volumetric flow rates $Q$ for giant
dyke swarms would be even greater than these reported values due to the larger average thickness of their dykes. This being the case, \(Q\) would have to be derived mainly from the stored volume, hence the infinitely large and compressible source, Eq. (9) is probably applicable to many, if not most, dyke swarms.

4. Energy Considerations

For a compressible magma source, the elastic strain energy \( (\mathcal{E}) \) is increased by the work done on the magma source by the recharge (\( W_r \)) and work done on the source by the in situ stress (\( W_{so} \)), and it is decreased by the work done by the magma source on the array of dykes (\( W_{df} \)). Energy conservation thus requires

\[
\dot{\mathcal{E}} = \dot{W}_r + \dot{W}_{so} - \dot{W}_{df},
\]

where the overdot indicates the time derivative and, following Lecampion and Detournay (2007), it is easy to show that \( \dot{W}_r = Q_r p_f \), \( \dot{W}_{df} = Q p_f \), and \( \dot{W}_{so} = \sigma_o (Q - Q_r) \). Hence

\[
\dot{\mathcal{E}} = (Q_r - Q) p.
\]

For the infinitely compressible source, that is, when \( p = p_0 \) at the inlet according to Eq. (9), maximizing the rate of decrease in stored elastic energy in the magma source corresponds to maximizing \( Q \) (when \( Q_r \) is a constant).

The first of two energy conjectures, then, is that dyke systems associated with infinitely compressible sources will energetically favor configurations that maximize \(-\dot{\mathcal{E}}\), and therefore growth geometry that maximizes the magma
influx rate to the dykes $Q$ will be considered advantageous. What’s more, if $Q_r \ll Q$, as indicated by field data, we have $-\dot{\mathcal{E}} \sim Qp$ so that it makes sense to focus on quantifying what we will call the “net dyke propagation work rate”, $\dot{W}_d = Qp$.

On the other hand, for an incompressible source, fluid can neither be stored nor mobilized from storage, hence $Q = Q_r$ (Eq. 11). So it is obvious that $\dot{\mathcal{E}} \equiv 0$ and therefore we cannot consider the change in strain energy of the source as we did when it was compressible. In this case, we follow Bunger (2013) and consider the rate of work done on the dykes $\dot{W}_{df} = Qp_f$. The second energy conjecture is that dyke swarms associated with incompressible sources will energetically favor configurations that minimize $\dot{W}_{df}$, and therefore growth geometry that minimizes the pressure required to drive growth at a fixed rate of influx ($Q(t) = Q_o$) will be considered advantageous. Furthermore, when the in situ stress $\sigma_o$ is a constant, the minimum of $\dot{W}_{df}$ coincides with the minimum of $\dot{W}_d = Qp$, so that once again it is sensible to focus on quantifying the dyke propagation work rate, $\dot{W}_d$.

Ongoing studies are required to better understand the conditions under which these conjectures are valid. When the overall geometry of a dyke swarm is relatively simple, they seem reasonable. However, when the dyke patterns become more complicated, the energy conjectures may not always hold. For example, mine-through mapping of hydraulic fracture growth through rock masses that contain natural fractures has shown the hydraulic fracture path can offset as it grows through some of the discontinuities so that the final fracture is not planar, but rather follows a stair-like morphology (Jeffrey et al., 2009). The available 2D modeling (Jeffrey et al., 2009) shows that
these offsets lead to an increase in the wellbore pressure relative to the case of planar growth for a given injection rate. This implies that the pattern of hydraulic fracture growth does not always result in a final configuration that would be predicted from global, equilibrium energy considerations. Instead, the morphology, or pattern of hydraulic fractures, appears to be determined by local interaction laws that determine the evolution of the system to attain a final configuration that cannot in general be predicted from simply considering global, equilibrium energy minimization.

These caveats aside, it is prudent to investigate a relatively simple dyke swarm geometry as a starting point from which we can understand if, in fact, the mathematical model implies the existence of an energetically optimal spacing between the dykes and to determine how this spacing depends on the nature of the source.

5. Approximating the Energy Rate

We consider a uniform array of blade-like dykes originating from the same source and maintaining a constant spacing and equal lengths as they grow. In the absence of a fully coupled model that accounts for all of the mechanical interactions among the dykes, a straightforward method for estimating the “input power” \( \dot{W}_d \) based on scaling relationships can be used. Following Bunger (2013), the input power required to propagate a swarm of \( N \) growing dykes can be expressed as

\[
\dot{W}_d = \sum_{i=1}^{N} \dot{W}^{(i)}, \quad \dot{W}^{(i)} = \dot{U}^{(i)} - \dot{W}_f^{(i)} + D_{c}^{(i)} + D_{f}^{(i)}. \tag{14}
\]
Which is to say that the input power to each dyke increases the strain energy in the host rock $\dot{U}^{(i)}$, overcomes the work that is done on that dyke by the stresses induced by the others $\dot{W}^{(i)}$, or is dissipated either through rock fracture $D^{(i)}_{f}$ or viscous flow of the magma $D^{(i)}_{c}$. Recalling that our consideration is limited here to viscosity dominated hydraulic fractures, we only consider cases wherein $D^{(i)}_{c} \ll D^{(i)}_{f}$. Hence the contribution of $D^{(i)}_{c}$ to Eq. (14) can be neglected for the present study (see Bunger (2013) for a more thorough discussion).

For the case of a uniform array of dykes that are at the onset of interaction such that $h \gg H$, Bunger (2013) shows that

$$\dot{U}^{(i)} \approx \frac{LPXH}{t}, \quad \dot{W}^{(i)} \approx -\frac{LPXH}{t} \left( \frac{H^2}{h^2} + O(H/h)^4 \right),$$

$$D^{(i)}_{f} \approx \frac{X^3P^2H}{L\mu'} \left(1 + \frac{H^2}{h^2} + O(H/h)^4\right).$$

(15)

Here $L$, $P$, and $X$ are characteristic quantities that estimate the dyke length, the magma over pressure, and the dyke thickness, respectively. The form of Eq. (15), then, clearly shows that $\dot{W}^{(i)}$ is negligible as $h/H \to \infty$, that is, for very widely spaced dykes, and its importance is greater for smaller dyke spacing. Before moving on to obtain $\{L, P, X\}$ from the governing equations, let us also present the approximations for the terms in Eq. (14) for the case of closely spaced dykes ($h \ll H$),

$$\dot{U}^{(i)} \approx \frac{LPXH}{t}, \quad \dot{W}^{(i)} \approx -\frac{LPXH}{t} \left(1 + \frac{h}{H} + O(h/H)^2\right),$$

$$D^{(i)}_{f} \approx \frac{X^3P^2H}{L\mu'} \left(1 + \frac{h}{H} + O(h/H)^2\right).$$

(16)

The governing equations (Eqs. 1-11) directly lead to appropriate expressions for $L$, $P$, and $X$. A useful technique (after Detournay (2004)) is to
substitute
\[ w = X\Omega, \quad p = P\Pi, \quad R = L\gamma, \] (17)

whereupon the objective becomes to define \{X, P, L\} such that the dimensionless quantities \{\Omega, \Pi, \gamma\} are all of order one (O(1)). For example, in the case of an infinitely compressible source with widely-spaced dykes, the inlet boundary condition (Eq. 9) tells us that \( \Pi = O(1) \) if we take \( P = p_0 \). Then, substituting into the elasticity equation (Eq. 1), we can ensure that \( O(\Omega) = O(\Pi) \) (and hence \( \Omega = O(1) \)) by taking \( X = HP/E' \). Finally, the characteristic dyke length is obtained by first substituting the Poiseuille equation (Eq. 5) into the continuity equation (Eq. 4) along with aforementioned values of \( P \) and \( X \). The characteristic length \( L \) is then chosen so that the two terms of the continuity equation are guaranteed to be of the same order, which is to set the group of parameters that appears after the substitution to one. The result is
\[ L = H p_0^{3/2} t^{1/2} / (E' \mu^{1/2}). \]

The procedure can be repeated for each of the four limiting regimes that come from the widely and closely spaced limits for infinitely compressible and incompressible sources, respectively. This scaling procedure is both straightforward and it has been discussed at length in a number of prior contributions (see Detournay (2004) for a review), hence the details are omitted. The resulting characteristic quantities are summarized in Table 1. Substituting these quantities into the appropriate choice of Eq. (15) or (16) and summing according to Eq. (14) provides a rapid way of estimating the total input power required to sustain the growth of a swarm of dykes.
Table 1: Scaling factors that estimate the dyke thickness $X$, magma net pressure $P$, and dyke length $L$ for the four limiting regimes, where the $q = Q_o$, $h \gg H$ case comes from Nordgren (1972).

<table>
<thead>
<tr>
<th>Source Condition</th>
<th>Spacing</th>
<th>$X$</th>
<th>$P$</th>
<th>$L$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$p = p_0$</td>
<td>$h \gg H$</td>
<td>$\frac{H p_0}{E'}$</td>
<td>$p_0$</td>
<td>$\frac{H p_0^{3/2} t^{1/2}}{E' \mu^{1/2}}$</td>
</tr>
<tr>
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<td>$\frac{h p_0}{E'}$</td>
<td>$p_0$</td>
<td>$\frac{h p_0^{3/2} t^{1/2}}{E' \mu^{1/2}}$</td>
</tr>
<tr>
<td>$q = Q_o$</td>
<td>$h \gg H$</td>
<td>$(\frac{Q_o^2 \mu' t}{E'H})^{1/5}$</td>
<td>$(\frac{E' Q_o^2 \mu' t}{H^6})^{1/5}$</td>
<td>$(\frac{E' Q_o^2 \mu' t}{h^4 H^2})^{1/5}$</td>
</tr>
<tr>
<td>$q = Q_o$</td>
<td>$h \ll H$</td>
<td>$(\frac{h Q_o^2 \mu' t}{E'H^2})^{1/5}$</td>
<td>$(\frac{E' h Q_o^2 \mu' t}{h^4 H^2})^{1/5}$</td>
<td>$(\frac{E' Q_o^2 \mu' t}{h H^3 \mu'})^{1/5}$</td>
</tr>
</tbody>
</table>

6. Constant Pressure Limit

For the constant inlet pressure limiting case the applicable energy conjecture is that the dyke configuration that maximizes the rate of work done by the magma source on the dyke swarm will be energetically advantageous (Section 4). By this statement, searching for an optimum spacing between the dykes is synonymous with searching for a spacing that maximizes $\dot{W}_d$ (Eq. 14).

Because we are limiting consideration to a uniform array of dykes, the summation in Eq. (14) can be expressed simply as $\dot{W}_d = N \dot{W}^{(i)}$, where $\dot{W}^{(i)}$ is the input power required to propagate one dyke in the array. Furthermore, it is not physically reasonable to let the width of the swarm grow unconstrained as would be the case if $h$ and $N$ were both unconstrained. Rather, natural dyke swarms are usually observed to cover a zone of some finite width (Halls and Fahrig, 1987; Ernst et al., 2001; Paquet et al., 2007). For example, this finite width, $Z$, can be considered to be on the order of the lateral extent of the magmatic source feeding the swarm. This being the
case, the swarm width $Z$, the number of dykes $N$, and their spacing $h$ are related as $h = Z/(N - 1)$, which for $N \gg 1$ can be approximated as $h \approx Z/N$, so that $\dot{W}_d \approx (Z/h)\dot{W}^{(i)}$. Taking the approximations from Eq. (15) and characteristic quantities from Table 1, the input power for the widely-spaced ($h \gg H$) regime is

\[
\dot{W}_d \approx \frac{H^3 p_0^{7/2} Z}{hE^{n/2}\mu^{1/2}t^{1/2}} (1 + O(H/h)^2).
\] (18)

On the other hand, for the closely-spaced ($h \ll H$) regime, the approximations from Eq. (16) lead to

\[
\dot{W}_d \approx \frac{hH^3 p_0^{7/2} Z}{E^{n/2}\mu^{1/2}t^{1/2}} (1 + O(h/H)).
\] (19)

These two expressions hold a number of important insights regarding the behavior of the problem under consideration. Firstly we can see that $\dot{W}_d$ decreases with time for a fixed initial number of dykes $N_0$. This is an intriguing result because it means that at some time it will be advantageous, that is, in the sense of causing an increase in $\dot{W}_d$, to initiate new dykes in the spaces between the initial dykes. And after some time with these two generations of dykes growing, it could become advantageous again to initiate a third generation of dykes growing in the spaces between the existing dykes.

It is important to realize, then, that field observations, especially in the vicinity of the source, can be expected to show a dyke spacing that is less than the predictions from our analysis. Also, calculations of median or mean dyke spacings across an entire swarm will be smaller than what is predicted here. So to summarize: 1) the subsequent analysis in this paper provides an estimate of the spacing between dykes in the first generation, and 2)
the dependence of $\dot{W}_d$ on $t$ as shown in Eqs. (18) and (19) suggests that subsequent generations can be expected to form leading to hierarchical sets of dykes within the swarm. Clearly a simulator of dyke swarm growth that is able to capture this complex behavior, and especially the point at which the system prefers to initiate new, infilling dykes rather than to continue growing the original array of dykes, would be a highly valuable tool for further investigation of this anticipated phenomenon.

It is also useful to identify a characteristic work rate ($\dot{W}^{*}_d$) that emerges when $h \approx H$ given by

$$\dot{W}^{*}_d = \frac{H^2 p_0^{7/2} Z}{E^2 \mu^{1/2} t^{1/2}}.$$ (20)

Recalling that $\dot{W}_d \approx p_0 Q$, we can therefore estimate the total rate of influx to the swarm from the magma source when $h \approx H$ as

$$Q \approx \frac{H^2 p_0^{5/2} Z}{E^2 \mu^{1/2} t^{1/2}}, \quad h \approx H.$$ (21)

By integrating $Q$ with respect to time we can obtain an estimate of the volume of the swarm, $V$, given by

$$V \approx \frac{H^2 p_0^{5/2} Z t^{1/2}}{E^2 \mu^{1/2}}, \quad h \approx H.$$ (22)

Note that the factor of 2 that arises from the integration of $Q$ has been dropped because it is spurious in light of the fact that these quantities are intended to estimate order of magnitude, not to provide precise predictions.

Most importantly, though, Eqs. (18) and (19) provide insight into the dependence of $\dot{W}_d$ on the spacing $h$. As a visual approach, we have normalized both expressions by $\dot{W}^{*}_d$ (Eq. 20) and plotted the resulting normalized input
power as a function of $h/H$ in Figure 3. This result, and indeed direct inspection of Eqs. (18) and (19), shows that $\dot{W}_d$ increases with decreasing $h$ for $h \gg H$ and decreases for decreasing $h$ for $h \ll H$, with suggestion of a sharp peak at $h \approx H$. Therefore, we conclude that a dyke swarm that is driven by a constant pressure source will have an optimum (first generation) dyke spacing of $h \approx H$.

7. Constant Influx Limit

For the constant influx limiting case the applicable energy conjecture is that the dyke configuration that minimizes the rate of work done by the magma source on the dyke swarm will be energetically advantageous (Section
4). By this statement, searching for an optimum spacing between the dykes is synonymous with searching for a spacing that minimizes $\dot{W}_d$ (Eq. 14). Also we recall that the constant influx limit is probably not as widely applicable to dyke swarms as the constant pressure limit (Section 3).

Nonetheless, for the limiting case of constant total influx $Q_o$ that is partitioned equally among all of the dykes, the approximations from Eq. (15) and characteristic quantities from Table 1 lead to an estimate for the input power for the widely-spaced ($h \gg H$) regime as

$$\dot{W}_d \approx \left( \frac{h^2 E'^4 \mu' Q_o^7 t}{H^6 Z^2} \right)^{1/5} \left( 1 + O(H/h)^2 \right). \quad (23)$$

On the other hand, for the closely-spaced ($h \ll H$) regime, the approximations from Eq. (16) lead to

$$\dot{W}_d \approx \left( \frac{E'^4 \mu' Q_o^7 t}{h^2 H^2 Z^2} \right)^{1/5} \left( 1 + O(h/H) \right). \quad (24)$$

To leading order $\dot{W}_d \approx Q_o P$ in both cases, with $P$ from Table 1. And so we see that $P$, and hence $\dot{W}_d$, increases with time. Recalling that the energy conjecture for the constant influx case is that the system will favor configurations that minimize $\dot{W}_d$, this increasing behavior with time once again opens the possibility that subsequent generations of dykes could be initiated in the spaces between the primary dykes.

As in the case of the constant pressure source, the most interesting implication of Eqs. (23) and (24) has to do with the spacing that optimizes (in this case minimizes) $\dot{W}_d$. And here we have a somewhat more complicated situation than for the constant pressure source. By introducing and
normalizing by a characteristic power

\[ \dot{\bar{W}}_d^* = \left( \frac{E^4 \mu' Q_o^5 t}{H^4 Z^2} \right)^{1/5}, \tag{25} \]

it is apparent that for the constant pressure source, the leading order term of the widely-spaced approximation (Eq. 18) goes like \( H/h \) with subsequent terms going like \( (h/H)^{1-2n} \) for \( n = 1, 2, \ldots \). Which is to say that the leading order term and all subsequent correction terms show \( \dot{W}_d \) increases with decreasing \( h/H \). The converse is true for the closely-spaced approximation (Eq. 19), with the important point being that the leading order term and all subsequent correction terms in the series indicate that \( \dot{W}_d \) decreases with decreasing \( h \). This shows that both expansions can be pushed all the way to \( h = H \) without a change in the sign of the derivative of \( \dot{W}_d \) with respect to \( h/H \).

The behavior of both series is fundamentally different for the constant influx limiting case. Starting with the widely-spaced approximation (Eq. 23), we see that the leading order term of the series goes like \( (h/H)^{2/5} \). But the next term in the series goes like \( (h/H)^{-8/5} \) with subsequent terms going like \( (h/H)^{(-10n+2)/5} \) for \( n = 2, 3, \ldots \). So the leading order term indicates that \( \dot{W}_d \) decreases (which is considered advantageous in this case) with decreasing \( h/H \) for \( h \gg H \). However, as \( h \to H \) the subsequent terms in the series become important and will at some point change the sign of \( d\dot{W}_d/d(h/H) \).

The situation is similar for the closely-spaced approximation (Eq. 24), so that we also expect the sign of \( d\dot{W}_d/d(h/H) \) to change in the range \( 0 < h/H < 1 \).

Figure 4 shows the behavior of both the widely and closely spaced approximations of \( \dot{W}_d \) (Eqs. 23 and 24), normalized by the characteristic power \( \dot{W}_d^* \). Four curves are graphed for each approximation. These are labeled with
Figure 4: Normalized input power $\dot{W}_d$ to the dyke swarm for the case of constant influx from the source, where the numerical label indicates the number of terms retained in the asymptotic series.
an u m b e rt h a ti n d i c a t e st h en u m b e ro ft e r m s ( M + 1 ) r e t a i n e di nt h es e r i e s

\\dot{W}_d / \dot{W}_d^* \approx \sum_{n=0}^{M} (h/H)^{(-10n+2)/5} \text{ corresponding to Eq. (23)}, \text{ or the series}
\\dot{W}_d / \dot{W}_d^* \approx \sum_{n=0}^{M} (h/H)^{(5n-2)/5} \text{ corresponding to Eq. (24)}. \text{ Per the relevant}
energy conjecture (Section 4), in this case we are looking for minima rather
than maxima in these curves and, as expected we observe two local minima,
one in the range 0 < h/H < 1 and one for h/H > 1.

It is important to be clear that Figure 4 represents an approximation
to the behavior of \( \dot{W}_d \). From it we can see clearly that the model predicts
two local minima and we can be confident that they will be \( O(1) \) and in
the ranges 0 < h/H < 1 and h/H > 1. However, we cannot precisely pre-
dict the values of h/H that minimize \( \dot{W}_d \) nor can we be sure which of the
local minima will be the global minimum. This is because the actual large
and small h/H expansions embodied in Eqs. (23) and (24) have the form
\\dot{W}_d / \dot{W}_d^* \approx \sum_{n=0}^{M} a_n (h/H)^{(-10n+2)/5} \text{ and } \dot{W}_d / \dot{W}_d^* \approx \sum_{n=0}^{M} b_n (h/H)^{(5n-2)/5},\text{ re-
spectively, where } a_n \text{ and } b_n \text{ are } O(1) \text{ quantities that must be determined from}
a solution to the governing equations (Eqs. 1-11) that enables computation
of the energy integrals defined by Bunger (2013) (for example see Supplemen-
tary Section 3). Here we have simply taken \( a_n = 1 \) and \( b_n = 1 \). In this coarse
approximation, the widely-spaced local minimum appears as the global min-
imum, and its location is h/H = 2 for the 2 term series and it moves towards
h/H \approx 2.5 \text{ when many terms are included in the series. On the other hand,}
the location of the closely spaced local minimum is h/H = 2/3 for the 2 term
series and it moves towards h/H \approx 0.3 \text{ when many terms are included in the}
series.

The striking conclusion is that there exist two local minima in the input
power $\dot{W}_d$, both of which could represent optimal spacings for dyke growth under conditions of constant influx (if indeed the constant influx condition is relevant to some field cases). Further analysis is required to pinpoint the locations of the minima, but we roughly expect them to be around $h/H \approx 2.5$ and $h/H \approx 0.3$. Further analysis is also required to determine which of these is the global minimum.

8. Field Comparisons

Our model predicts the optimal first-generation dyke-spacing that dyke swarms will tend to develop. By “first-generation” we mean the spacing of the first set of dykes that grow into the host rock. These will naturally arrest at some point and additional dykes will fill in between them. However, we expect from this model, based on the constant pressure inlet conditions (as argued in Section 3), that the first-generation will be the thickest dykes and these will have a spacing that is commensurate with the dyke height $H$. The model provides also an estimate of how the volume of the swarm will increase with time (Eq. 22). Both predictions can be tested against field observations.

8.1. Iceland

We first devote our attention to the magmatic activity that took place at Krafla in the late 1970s, and to the Tertiary Alftafjördur dyke swarm in eastern Iceland.

According to Sigurdsson (1987), the Krafla rifting episode involved the repeated horizontal injection of fairly similar dykes, whose height ranged between 2 km and 5 km (with an average of 2.8 km) and which propagated at an average velocity of 0.5 m/s over distances of 10 km to 30 km from a
magmatic source with an estimated overpressure of about 10 MPa. The total volume of magma that was evacuated from the magma chamber during the whole event has been estimated to be 1–2 km$^3$ (Sigurdsson, 1987).

Eq. (22) provides an estimate of a dyke-swarm volume as a function of time. Conversely, we can use this equation to estimate the time required to emplace a swarm of a particular volume. Taking the average values provided by Sigurdsson (1987) along with a dyke swarm width of 10 km, values for the Young’s modulus $E = 10$ GPa and the Poisson’s ratio $\nu = 0.25$, and assuming a magma viscosity of 100 Pa s, Eq. (22) predicts an injection duration for a 1-km$^3$ dyke swarm of about 7 h. This is in the same order of magnitude as the duration of dyke injections at Krafla, which was estimated to last about 25 h based on the monitoring of their seismic activity (Sigurdsson, 1987).

Paquet et al. (2007) studied the Tertiary Alftafjördur dyke swarm in Eastern Iceland where they measured the dyke-thickness distribution within the swarm at two different locations. They observed a clustering of dykes with a characteristic spacing of 1.5 km to 2.5 km, which seems to have been determined visually. Additionally, a Fast Fourier Transform analysis gives a mode of 2.5 km. Importantly, these spacing values are reported to correspond to the distribution of the thickest dykes, which would reflect the first generation of dykes and hence those we expect to be consistent with our model. If one takes the average dike height given by Sigurdsson (1987) at Krafla of 2.8 km as representative of horizontally-propagating dykes throughout Iceland, then the study of Paquet et al. (2007) suggests that the Tertiary Alftafjördur dyke swarm developed a characteristic dyke-spacing comparable to the average height of its dykes, as suggested by our model.
8.2. Canada

In crustal-scale giant radiating dyke swarms (Halls and Fahrig, 1987) it is reasonable to assume that individual dykes traverse the entire thickness of the crust \((H \approx 30-40 \text{ km})\) or a significant portion of the crust. Here we focus on constraining the spacing of first-generation dykes in the 1270 Ma Mackenzie (Figure 1) and the 2470-2450 Ma Matachewan dyke swarms, Canada.

Dykes in the Mackenzie swarm converge towards a common origin, attributed to the head of the mantle plume that supplied magma to the dykes, north of Coppermine in the Canadian Arctic archipelago (Figure 1). The swarm radiates across the northern half of the Canadian Shield with a fan angle close to the origin of 100 degrees, covering an area of \(2.1 \times 10^6 \text{ km}^2\) and extending up to 2,400 km along strike (Ernst and Buchan, 2001). In more distal southeastern parts of the swarm, >1000 km from the origin, the dyke pattern is more linear and attributed to a transition from propagation within a radial plume-related stress regime to a regional stress regime (Ernst and Buchan, 2001; Hou et al., 2010). Magnetic fabric analysis indicates a second transition from vertical to horizontal magma flow regimes occurring 500-600 km from the swarm center, probably associated with the outer boundary of the plume head (Ernst and Baragar, 1992). Mackenzie dykes range in thickness from 1 m to 150 m, with a mean of 30 m (Fahrig, 1987). The mean thickness increases from \(\sim 18 \text{ m}\), 400 km from the swarm center to \(\sim 33 \text{ m}\) more than 600 km out (Baragar et al., 1996). Likewise, the mean spacing between dykes increases from \(\sim 6.7 \text{ km}\) about 500 km from the swarm center to \(\sim 25 \text{ km}\) approximately 2100 km to the southeast in northwestern Ontario (Hou et al., 2010). A recent compilation of Proterozoic intrusions in
northwest Ontario confirms the mean spacing of distal Mackenzie dykes to be 27 km with a range from 7.8 to 93 km (Stott and Josey, 2009). However, spacing between the most continuous dykes is typically between 35 and 65 km.

The systematic outward increase in both mean dyke thickness and spacing from the swarm center can be explained by a corresponding decrease in the number of second- and higher-generation dykes. We therefore suggest that the thickness and spacing of Mackenzie dykes at the distal fringes of the swarm in northwestern Ontario are characteristic of the first-generation dykes. Assuming the dykes propagated horizontally over a height approximately equal to the thickness of the crust then $h \approx H$, in agreement with the model prediction under the constant pressure inlet condition.

The 2490-2450 Ma Matachawan dyke swarm of central Ontario is well characterized by aeromagnetic mapping, and paleomagnetic, geochemical, geochronological and petrologic studies (West and Ernst, 1991; Bates and Halls, 1991; Halls et al., 1994; Percival et al., 1994; Phinney and Halls, 2001). The Matachewan swarm fans northwards from a center located in Lake Huron and covers an area of 250,000 km$^2$ (Halls et al., 1994). Dykes can be traced for more than 1000 km northwards from the center across a fan angle of $\sim$45 degrees and they occur in three sub-swarms now offset and uplifted differentially by the ca. 2000 Ma Kapuskasing structure (West and Ernst, 1991). Geothermobarometric analysis indicates that the dykes exposed at the surface today were emplaced at paleodepths of 10 to 21 km (Percival et al., 1994). A study of dyke geochemistry concluded that their petrogenesis was a two stage process involving lower-crustal fractionation and assimilation of
plume head-derived melts, followed by later compositional modification in mid-crustal, 15-20 km deep magma chambers (Phinney and Halls, 2001). This contrasts with the Mackenzie dyke swarm, which appears to have been extracted directly from a plume head, and suggests that propagation of the Matachewan dykes may have been confined to the top 20 to 25 km of crust.

The average width of Matachewan dykes outside of the Kapuskasing zone is 10 to 20 m, but there is a strong tendency for dykes to become fewer in number and thicker moving away from the swarm center (Bates and Halls, 1991; Halls et al., 1994). For example, towards the northern end of the M2 sub-swarm, >40% of dykes have widths in the range 25 to 55 m, whereas in the southern part of the same sub-swarm only ∼20% of dykes are wider than 25 m (Halls et al., 1994). Based on aeromagnetic interpretation by West and Ernst (1991), the mean spacing between Matachewan dykes ∼500 km north of the swarm center in all three sub swarms is 4.2±2.4 km. However, this is likely sampling second- and high-order dykes. Moving out to the distal fringes of the swarm, the spacing between continuous dykes with the strongest magnetic anomalies is 19 to 32 km in the northern part of the M2 sub-swarm and between 12.4 and 16.5 km in the northwest part of the M3 sub-swarm. As noted by Halls et al. (1994), there is a correlation between the widest dykes and the strongest magnetic anomalies, hence we consider this to be a reasonable estimate of the spacing between first-generation dykes in the Matachewan swarm. If the interpretation above that these dykes propagated within the mid- to upper crust is correct, then this observation is consistent with the predicted $h \approx H$ relationship. The lower spacing in the western M3 swarm may indicate a slightly shallower source magma chamber than the
9. Conclusions

Analysis of the work rates associated with driving dyke swarms, coupled with scaling analysis that gives rise to estimates of the dyke pressure, thickness, and length, allows us to search for an optimal dyke spacing. To this point it has been a mystery, from a mechanical perspective, as to why multiple dykes would grow in close, but apparently not too close, proximity to one another, thus forming the morphology described as a dyke swarm. Now we can see that, in fact, the mechanical model for a uniform array of horizontally-propagating blade-like dykes implies that an intermediate spacing, on the order of the height of the dykes themselves, is energetically optimal. What’s more, we have found that the optimal spacing depends on the nature of the magma source condition, with the constant pressure source condition giving rise to an optimal spacing of \( h \approx H \), while the constant magma influx source condition gives rise to two candidates, one near \( h \approx 2.5H \) and one near \( h \approx 0.3H \), the former of which tentatively appears as the global minimum based on a coarse analysis.

We have also shown that in the case of the constant pressure source, the total flow rate of magma into the dyke swarm decreases with time. Similarly, for the case of constant influx from the source, the pressure required to propagate the dyke swarm increases with time. Both of these behaviors suggest that at some point the system will prefer to initiate new generations of dykes rather than continuing to propagate only the primary generation.
Hence we anticipate that the dyke spacing will actually be more dense than what is predicted by the optimal spacing, especially in the vicinity of the source.

Dyke swarms in both Iceland and Canada demonstrate spacing between the thickest dykes, which we interpret to be the first generation of growth and which is the set of dykes to which our model is applicable, that scales with and is of the same order as the dyke height. Hence these comparisons with field data lend preliminary support to our analysis.

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1. Near-Field Interaction Stress

Here we present the asymptotic form of the interaction stress for the closely-spaced limit $h \ll H \ll R$. Proceeding in the same way as Bunger (2012), we begin with the expression for the normal traction $\sigma_z$ (compression positive) induced on a plane $z = \pm h$ due to a crack located at $z = 0$, $-H/2 < y < H/2$ and subjected to an internal pressure $p_o$ is given by (Sneddon, 1946)

$$-\frac{\sigma_z}{p_o} = \text{Re}Y + \zeta\text{Im}Y', \quad (1)$$
where $\text{Re}$ and $\text{Im}$ indicate the real and imaginary parts, respectively, $Y$ is the Westergaard stress function

$$Y = \frac{z}{\sqrt{z^2 - 1}} - 1,$$

and the $'$ denotes the derivative with respect to the complex coordinate

$$z = \eta + i\zeta,$$

with $i = \sqrt{-1}$ and where $\eta = 2y/H$ and $\zeta = 2h/H$. Taking the Taylor Series of Eq. (2) for $\zeta \ll 1$ and substituting into Eq. (1) gives

$$\sigma_I = p \left( 1 - \frac{2\zeta}{(1 - \eta^2)^{3/2}} + O(h/H)^3 \right).$$

Considering the stress along $\eta = 0$ leads directly to Eq. (3, Main Text). Note that the influence of the $\eta$ ($y$) dependence of the interaction stress in the near-field case on the opening at the center $w$ is compensated using the variable coefficient $\alpha_1$ (Eq. 1, Main Text), which is determined numerically in 2.

2. Calculations for Interacting Cracks

Calculation of the cross sections of multiple interacting cracks was carried out using the MineHF implementation (Zhang et al., 2007) of the displacement discontinuity method (Crouch and Starfield, 1983). Because we consider cross sections of blade-like cracks, the pressure is taken to be uniform (e.g. Nordgren, 1972). We also take the pressure to be equal in each crack in the array. For these calculations, $p_f = 7$ MPa, $\sigma_o = 6$ MPa, $E' = 52.5$ GPa, $H = 2$ m, and the spacing $h$ is varied between 20 m and 0.1 m. Each
crack was discretized with 80 elements, and numerical experiments with 50 elements confirm mesh insensitivity at this discretization. The crack tip is captured using a square root element and the other elements are linear displacement discontinuities. We use the central crack in an array of $N = 13$ cracks in each case we present.

Figure S1 shows that the cracks transition from an elliptical shape when widely-spaced to the closely-spaced case wherein it takes a shape that increases from the central portion to the vicinity of the tip where it rapidly decreases to zero. For the modified Poiseuille equation (Eq. 5, Main Text with $\alpha_3 = 1$) we assume a rectangular cross section in the closely-spaced limit.

Figure S2 shows the transition from the elasticity relationship \( w = 2Hp/E' \) when interaction can be neglected to \( w \approx 0.35H(p - \sigma_I)/E' \) with $\sigma_I$ given by Eq. (3, Main Text) when the cracks are closely spaced. This calculation is the basis for the value of $\alpha_1$ in Eq. (1, Main Text).

Figure S3 shows the transition from the area given by an ellipse when widely-spaced to a scenario where the area exceeds by 10% that which would be obtained from a rectangular crack opening when $H/h = 20$. Because the present work is aimed at approximation, we take the area to be equal to $wH$ for the purpose of the continuity equation (Eq. 4, Main Text).

3. Closely-Spaced Power Factors

Following Bunger (2012), the rate of work of the interaction stress (shown here for a single blade-like wing in contrast to the reference which considers
Figure S1: Opening profile for widely-spaced and closely-spaced cracks, where the $y > 0$ half of the crack is presented by symmetry and here we have used the central crack in an array of $N = 13$. Here $u_z(y)$ is the displacement of each crack face and $w = 2u_z(0)$.

A hydraulic fracture with two wings is given by

$$
\dot{W}_I = -\frac{\pi}{4} H \int_0^R \sigma_I \frac{\partial w}{\partial t} dx.
$$

(5)

Substituting Eq. (3, Main Text) for the near-field stress ($h \ll H$) and the scaling from Eq. (17, Main Text) leads to

$$
\dot{W}_I = -\frac{HLPX \pi}{t} \frac{\pi}{4} \gamma \int_0^1 \frac{t}{X} \frac{\partial \Omega}{\partial t} \Pi \left(1 - \frac{4h}{H} + O(h/H)^2\right) d\rho.
$$

(6)

Hence it is clear that $\dot{W}_I$ is approximated according to Eq. (16, Main Text) provided that the characteristic quantities $\{L, X, P\}$ are chosen such that $\{\gamma, \Omega, \Pi\}$ are all $O(1)$.

Similarly, following Bunger (2012), the expression for the fluid dissipation
Figure S2: Relationship between $w$ and $w^*$ determined from elasticity as a function of $H/h$, where for “no interaction” $w^* = H p / E'$ and $w^* = H (p - \sigma_I) / E'$ otherwise, with $\sigma_I$ from Eq. (2, Main Text) for the “far field interaction” ($h/H \gg 1$) case and from Eq. (3, Main Text) for the “near field interaction” ($h/H \ll 1$) case.
Figure S3: Dependence of the crack opening area normalized by $wH$ on $H/h$ showing tendency to $\pi/4$ for the elliptical profile for widely-spaced cracks and to tend to a value that is a bit greater than 1 for closely-spaced cracks.

is given by

$$D_f = \frac{3\pi H}{32 \mu'} \int_0^R w^3 \left( \frac{\partial \sigma_f}{\partial x} + \frac{\partial \sigma_f}{\partial x} \right)^2 \, dx.$$  \hfill (7)

Again, substituting Eq. (3, Main Text) for the near-field stress ($h \ll H$) and the scaling from Eq. (17, Main Text) leads to

$$D_f = \frac{HX^3P^2}{L\mu'} \int_0^1 \Omega^2 \left( \frac{\partial \Pi}{\partial \rho} \right)^2 \left( 1 - \frac{2h}{H} + O(h/H)^2 \right)^2 \, d\rho.$$  \hfill (8)

And so it is again clear that $D_f$ is approximated according to Eq. (16, Main Text) provided that the characteristic quantities $\{L, X, P\}$ are chosen such that $\{\gamma, \Omega, \Pi\}$ are all $O(1)$. 

6
References


