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Packings of irregular polyhedral particles: Strength, structure, and effects of angularity

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We present a systematic numerical investigation of the shear strength and structure of granular packings composed of irregular polyhedral particles. The angularity of the particles is varied by increasing the number of faces from 8 (octahedronlike shape) to 596. We find that the shear strength increases with angularity up to a maximum value and saturates as the particles become more angular (below 46 faces). At the same time, the packing fraction increases to a peak value but declines for more angular particles. We analyze the connectivity and anisotropy of the microstructure by considering both the contacts and branch vectors joining particle centers. The increase of the shear strength with angularity is shown to be due to a net increase of the fabric and force anisotropies but at higher particle angularity a rapid falloff of the fabric anisotropy is compensated by an increase of force anisotropy, leading thus to the saturation of shear strength.

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I. INTRODUCTION

The paradigm of sphere packings is commonly used to describe the structure of matter at different scales, from ordered atomic arrangements to disordered assemblies of colloidal particles. The sphere packing is also a model for granular materials when the interactions are governed by contact and friction. However, compared to real granular materials, the spherical shape of the particles is an enormous simplification. For example, it is classically well known in soil mechanics that the mechanical behavior of granular soils is strongly influenced by deviations of particles from spherical shape, described in terms of elongation, angularity, platiness, and other shape characteristics [1,2]. It is obvious that sphere packings are more easily amenable to numerical simulations and analysis. Moreover, it is often believed that, major ingredients such as frictional contact, dissipative collisions, steric exclusions, and structural disorder being equally present in packings of spherical and aspherical particles, the shape is a secondary factor that should not lead to significant amendment of our understanding of granular materials. The issue remains, nevertheless, as to the limits of deviation from spherical shape. For example, a packing of very elongated particles such as fibers is likely to behave very differently from a sphere packing. This holds true also for characteristics such as flatness and nonconvexity.

Recently, the packing fraction and shear strength of two-dimensional (2D) packings of different particle shapes were investigated in a collective work by discrete-element simulations [3]. It was found that, for different shapes, the shear strength is mainly controlled by a low-order common parameter describing particle shape by its deviation from circular shape. At the same time, the packing fraction increases with slightest deviation, reaches a peak value, and then declines for still larger deviation. This is an interesting observation as it means that an assembly of particles with large deviation from circular shape has a high shear strength despite a low packing fraction.

The nonmonotonic evolution of packing fraction in packings prepared by isotropic compression or sheared towards a steady shear state has been more extensively studied in the case of elongated particles [4–9]. Such particles are interesting since they give rise to a rich microstructure due to local and long-range orientational ordering of the particles [10–15], but also because they form a large class defined by a single parameter (the aspect ratio) having sphere as a special case (unit aspect ratio). This behavior was also observed in the case of nonconvex aggregates in 2D [16,17].

Angular shape represents basically a property of polygonal particles in 2D and polyhedral particles in 3D [18–29]. It is only very recently that systematic studies were reported on the maximal-density packings of monodisperse Platonic polyhedra [30–32] on one hand and for sheared packings composed of regular polygons on the other hand [33]. In this case, a nonlinear dependence of the shear strength and packing fraction has been evidenced by means of numerical simulations in 2D packings. The shear behavior and microstructure of granular materials composed of polyhedral particles was also investigated by comparing numerically a packing of polyhedral particles with a packing of similar particle size distribution and contact friction but with spherical particles [22,24]. The polyhedra packing is found to have a higher shear stress and dilatancy. The origin of these enhanced properties of the polyhedra packing was traced back to the properties of both packings in terms of branch vectors and contact forces. In particular, it was shown that the face-face contacts capture strong force chains, leading to a higher force anisotropy.

In this paper, we perform a systematic study of the effect of angularity by simulating different packings of irregular polyhedral particles with different numbers of faces but similar values of their other characteristics. The angularity increases as the number of faces declines from 596 (least angular shape) to 8 (most angular shape). We are interested in the packing properties in the dense state generated by isotropic compaction and the shear behavior and evolution of fabric parameters under axial compression as particle angularity is varied. The least angular particles are very close to spherical shape but, because of the large number of faces, the simulations take much more time. Simulating such particles makes it possible to evaluate directly the influence of small deviations from
spherical shape. Ultimately, we would like to understand to what extent very angular particles are different from less angular particles.

In the following, the numerical procedures in the framework of the contact dynamics method are presented in Sec. II. In Sec. III, we focus on shear strength and packing fraction for different values of angularity. The microstructure is analyzed in Sec. IV in terms of connectivity, fabric anisotropy, and forces anisotropy. We conclude with a summary of the main findings of this work.

II. NUMERICAL MODEL

A. Simulation of polyhedral particles

The simulations were carried out by means of the contact dynamics (CD) method [27,34–36]. The CD method is based on implicit time integration of the equations of motion and a nonsmooth formulation of mutual exclusion and dry friction between particles. This method requires no elastic repulsive potential and no smoothing of the Coulomb friction law for the determination of forces. For this reason, the simulations can be performed with large time steps compared to molecular dynamics simulations. The unknown variables are particle velocities and contact forces. These are calculated at each time step by taking into account the conservation of momenta and the constraints due to mutual exclusion between particles and Coulomb friction. We use an iterative research algorithm based on a nonlinear Gauss-Seidel scheme. The uniqueness is not guaranteed for perfectly rigid particles in absolute terms.

Angular polyhedral particles are constructed following a strict procedure in order to isolate and control precisely the shape. First, a set of \( n_v \) vertices is randomly generated on a unit sphere. The convex hull of these points is created by associating three vertices for each face. This condition implies that the number \( n_f \) of faces is simply given by \( n_f = 2n_v - 4 \). Second, as illustrated in Fig. 1, the principal axis lengths \( L_p \), \( l_p \), and \( h_p \) of the particle are calculated. The aspect ratios of a particle is then given by \( L_p/h_p \) and \( l_p/h_p \). In order to eliminate the effect of particle eccentricity, we impose that both ratios \( L_p/h_p \) and \( l_p/h_p \) be greater than 0.9. This can be evaluated also thanks to the sphericity parameter \( S \), defined as the ratio of the surface area of a sphere with the same volume as that of the given particle [37]. This means that, numerically, the sets of vertices are generated until this condition is satisfied. We define thus the angularity of a polyhedral particle as the mean exterior angle between its touching faces. In this simple way, for a given aspect ratio, we can control the angularity of the particles with a single continuously variable shape parameter depending only on the number of faces \( n_f \); see Table I.

Contact detection represents an important part of the discrete numerical modeling of polyhedra particles. The detection methods are split into different steps. First, a rough description of the particles leads to building a list of neighboring particle pairs. This first step is known as the “bounding box” method [38]. Then the distance between each pair of polyhedra is calculated by computing the separating plane through the so-called “common plane” method introduced by Cundall [39]. This is an iterative method based on the perturbation of the orientation of the normal vector. The process is initialized by a first guess based on the the vector joining the centers of the two polyhedra. The main advantage of this method is to be quite fast when optimized as proposed by [18,40,41]. Note that, a dual approach based on separated axis, also known as the “shadow overlap” method, has been developed by Saussine et al. [23,24,28,33,38,42,43].

Finally, different situations may arise, such as grazing, separated, or penetrated contacts. For penetrated or ideal grazing contacts, different cases are possible, such as those shown in Fig. 2: contact point, contact line, or contact surface. Point contacts include face-vertex (fv), edge-edge (ee), vertex-vertex (vv), or vertex-edge (ve) contacts. Note that vv and ve contacts are very rare. However, when they occur, the common plane method is able to give us the normal direction. Without any modification of the contact law, a contact line (or face-edge fe) can be represented by two points, whereas a flat contact (or face-face ff) can be replaced by three points since they involve an equivalent number of geometrical unilateral constraints. In the iterative procedure of determination of the contact forces and velocities, the points representing the contact between two particles are treated as independent points but the net resultant of the calculated forces is attributed to the contact with its application point located on the contact plane. In our simulations the average overlap between particles at different types of contact (simple, double, or triple) is 0.01\( d \).

For our simulations, we used the LMG90, which is a multipurpose software developed in Montpellier, capable...

FIG. 1. (Color online) Definition of the aspect ratio.
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FIG. 2. (Color online) Different types of contacts between two polyhedra: (a) vertex to face, (b) edge to face, (c) face to face, (d) edge to edge.

of modeling a collection of deformable or nondeformable particles of various shapes by different algorithms [43–46].

B. Sample generation

We prepared seven different packings, each of them comprising 40 000 irregular polyhedra with the same number \( n_f \) of faces. The mean packing angularity \( \langle \alpha \rangle \) is given by the mean angularity of the particles in the packing. We prepare one more packing composed of spheres. In order to avoid long-range ordering, we introduce also a weak size polydispersity by varying the diameter \( d \) of the circumscribing sphere of the particles in the range of \([d_{\text{min}}, 2d_{\text{min}}]\) with a uniform distribution of particle volume fractions. The geometrical characteristics of each sample are summarized in Table I. Note that the standard deviation of particle angularity \( \Delta \alpha \) in each sample is used in all plots as the error bar on the angularity. Figure 3 displays several snapshots of the packings for different values of \( \langle \alpha \rangle \) at the end of isotropic compaction (see below).

All samples are prepared according to the same protocol. A dense packing composed of spheres is first constructed by means of a layer-by-layer construction model based on simple geometrical rules [47]. The spherical particles are sequentially placed inside a box by searching a position of lowest gravitational potential energy so that each new particle is supported by three particles. For polyhedral particles, the same sphere packing is then used with each sphere serving as the circumscribing sphere of a polyhedral particle. The latter is inscribed with the given value of \( \langle \alpha \rangle \) and a random orientation.

Following this geometrical process, each packing is compacted by isotropic compression inside a box of dimensions \( L_0 \times l_0 \times H_0 \) in which the left, bottom, and back walls are fixed and the top, right, and front walls are subjected to the same compressive stress \( \sigma_0 \) as illustrated in Fig. 4(a). The gravity \( g \) and friction coefficient between particles and with the walls are set to zero during isotropic compression in order to obtain isotropic dense packings.

The isotropic samples obtained in this way are subjected to vertical compression by downward displacement of the top wall at a constant velocity \( v_z \) for a constant confining stress \( \sigma_0 \) exerted on the lateral walls; see Fig. 4(b). The friction coefficient between particles is set to \( \mu = 0.4 \) and to zero with the walls. Since we are interested in quasistatic behavior, the shear rate should be such that the kinetic energy supplied by
shearing is negligible compared to the static pressure. This can be formulated in terms of an inertia parameter $I$ defined by [48]

$$I = \dot{\varepsilon} \frac{m}{d \tilde{\sigma}},$$

where $\dot{\varepsilon} = \dot{z}/z$ and $m$ is the particle mass. The quasistatic limit is characterized by the condition $I \ll 1$. In our simulations, $I$ remains below $10^{-3}$ during shear. Note that video samples of the simulations analyzed in this paper can be found following this link: www.cgp-gateway.org/ref016.

III. MACROSCOPIC BEHAVIOR

A. Macroscopic parameters

We need to evaluate the stress tensor and packing fraction during deformation from the simulation data. For the stress tensor, we start with the internal moment $M^i$ of each particle $i$ defined by [49]

$$M^i_{\gamma \delta} = \sum_{c \in i} f_c^\gamma r_c^{\delta},$$

where $f_c^\gamma$ is the (only) $\gamma$ component of the force exerted on particle $i$ at the contact $c$, $r_c^{\delta}$ is the $\delta$ component of the position vector of the same contact $c$, and the summation runs over all contact neighbors of particle $i$ (noted briefly by $c \in i$). The average stress tensor $\sigma$ in the volume $V$ of the granular assembly is given by the sum of the internal moments of individual particles divided by the total volume [49],

$$\sigma = \frac{1}{V} \sum_{i \in V} M^i = \frac{1}{V} \sum_{c \in V} f_c^\gamma r_c^{\delta},$$

where $\ell^c$ is the branch vector joining the centers of the two touching particles at the contact point $c$. We note that the first summation runs over all particles, whereas the second summation involves the contacts, each contact appearing only once.

Under triaxial conditions with vertical compression, we have $\sigma_1 \geq \sigma_2 = \sigma_3$, where the $\sigma_\alpha$ are the stress principal values. The mean stress $p$ and stress deviator $q$ are defined by

$$p = (\sigma_1 + \sigma_2 + \sigma_3)/3,$$

$$q = (\sigma_1 - \sigma_3)/3.$$

For our system of perfectly rigid particles, the stress state is characterized by the mean stress $p$ and the normalized shear stress $q/p$.

FIG. 4. (Color online) Boundary conditions for (a) isotropic and (b) triaxial compression.

The cumulative strain components $\varepsilon_\alpha$ are defined by

$$\varepsilon_1 = \int_0^H \frac{dH'}{H'} = \ln \left( 1 + \frac{\Delta H}{H_0} \right),$$

$$\varepsilon_2 = \int_{L_0}^L \frac{dL'}{L'} = \ln \left( 1 + \frac{\Delta L}{L_0} \right),$$

$$\varepsilon_3 = \int_0^l \frac{dl'}{l'} = \ln \left( 1 + \frac{\Delta l}{l_0} \right),$$

where $H_0, l_0,$ and $L_0$ are the initial height, width, and length of the simulation box, respectively, and $\Delta H = H - H_0$, $\Delta l = l - l_0$, and $\Delta L = L - L_0$ are the corresponding cumulative displacements. The volumetric strain is given by

$$\varepsilon_p = \varepsilon_1 + \varepsilon_2 + \varepsilon_3 = \int_0^V \frac{dV'}{V'} = \ln \left( 1 + \frac{\Delta V}{V_0} \right),$$

where $V_0$ is the initial volume and $\Delta V = V - V_0$ is the total volume change. The cumulative shear strain is defined by

$$\varepsilon_q \equiv \varepsilon_1 - \varepsilon_3.$$
Figure 6(b) shows the variation of $\phi^*$ as a function of $\langle \alpha \rangle$. As for $(q/p)^*$, we see that the friction angle increases from $\phi_0^* \simeq 0.3$ and tends asymptotically to $\phi_1^* \simeq 0.5$. The data are well fit to an exponential function,

$$\phi^* = \phi_0^* + (\phi_1^* - \phi_0^*)(1 - e^{-\alpha/\alpha_c}),$$

with $\alpha_c \simeq 0.4$.

The fast increase of $\phi^*$ with $\langle \alpha \rangle$ and its saturation is rather unexpected as it indicates that small deviations from spherical shape have much stronger effect on $\phi^*$ than the larger variations of angularity (in the range of low numbers of faces). Heuristically, this effect may be understood by remembering that the particles may interact at two contacts (face-edge) or three contacts (face-face), so that the kinematic constraint due to such contacts increases even if the contact surface is small, as, for example, the case of polyhedra having 596 faces. This point is discussed in more detail when we analyze the microstructure.

Figure 7 displays the cumulative volumetric strain $\varepsilon_p$ as a function of $\varepsilon_q$ for each samples.

A careful analysis of the velocity field indicates that the volume change is inhomogeneous and involves nonpersistent shear bands passing through different parts of the samples. For this reason, the shear strain $\varepsilon_q$ required to reach the critical state depends on the evolution of shear bands as well as the angularity. According to our data, it may be considered that the critical state with isochoric deformation is reached with a good approximation for all packings at $\varepsilon_q \simeq 0.6$.

Figure 8 displays the packing fraction $\nu$ as a function of $\langle \alpha \rangle$ at the initial state ($\nu^0$) and averaged in the critical state ($\nu^*$). It is remarkable that initially the packing fraction increases with $\langle \alpha \rangle$ up to a maximum value for $\langle \alpha \rangle \simeq 0.5$ and then declines as $\langle \alpha \rangle$ increases. We note that packing fractions as large as $\nu_0 \simeq 0.75$ can be obtained in the initial state. A similar nonmonotonic behavior was observed for packings of ellipses, ellipsoidal, and platy particles with varying aspect ratios [4–7,51]. Recently, using molecular dynamic simulations, the maximally random jammed (MRJ) packings were also analyzed with “superballs” [52] whose shapes, defined by a single parameter $k \in [0, \infty]$, vary continuously from octahedronlike shapes ($k < 1$) to cubelike shapes ($k > 1$) and with Platonic polyhedra [31,32]. In both cases, the variation of packing fraction is also found to be nonmonotonic with $k$ or with the number of faces of the polyhedra. In particular, the packing fraction for octahedral particle shape (i.e., $k = 0.85$ for superballs) or for regular

FIG. 6. (Color online) Normalized shear stress at peak and averaged in the residual state (a) and internal angle of friction $\phi^*$ together with an exponential fit (b) as a function of $\langle \alpha \rangle$. Error bars represent the standard deviation in the residual state.

FIG. 7. (Color online) Cumulative volumetric strain $\varepsilon_p$ as a function of shear strain $\varepsilon_q$ for each samples.

FIG. 8. (Color online) Packing fraction $\nu$ at the initial state ($\nu^0$) and averaged in the residual state as a function of $\langle \alpha \rangle$. Error bars represent the standard deviation in the residual state.
polyhedral shapes is nearly equal to 0.68, which is similar to what we obtain with our irregular octahedral particles (sample S8) by means of CD simulations.

A series of discrete element simulations reported recently with different particles shapes indicate that the nonmonotonic evolution of the packing fraction as a function of a shape parameter is a generic behavior [3]. The initial rapid increase of the packing fraction reveals that excluded-volume effects are not the prevailing mechanism at low angularity \( \langle \alpha \rangle \). In this limit, slight deviations from spherical shape have strong space-filling effect on the packing although the excluded volume increases at the same time and becomes dominant for the higher angularities. In contrast, the packing fraction \( \nu^* \) decreases from 0.58 (sphere) to 0.54 (octahedron).

The fact the packing fraction follows a trend opposite to that of the shear strength with increasing angularity is a somewhat counterintuitive finding, since it is often assumed that the shear strength in granular materials increases with the packing fraction. Our results for angular particles extend recent findings based on 2D simulations of elongated [8,9], polygonal [33], and nonconvex [16,17] particle shapes.

### IV. GRANULAR MICROSTRUCTURE

#### A. Topology of the contact network

A typical gray-level map of particle connectivity is shown in Fig. 9 for two values of \( \alpha \) in the residual state. The packings of more angular particles are more connected. The coordination number \( z \) in the initial state and its average value in the residual state are plotted as a function of \( \langle \alpha \rangle \) in Fig. 10(a). The initial value \( z_0 \simeq 6 \) corresponds to a frictionless packing of spherical particles in the isostatic state. Indeed, sphere rotations being immaterial, the isostatic condition \( z = 2d_f \), where \( d_f \) is the number of degrees of freedom, implies three independent constraints (normal forces), which amounts to a coordination number 6. As \( \langle \alpha \rangle \) increases, \( z_0 \) increases and tends to a plateau value \( \sim 8 \).

These polyhedral shapes seem to violate the isostatic condition because for frictionless aspherical particles the rotations should be considered as effective degrees of freedom and a similar counting argument leads to a coordination number of 12. It has been found that ellipses, ellipsoids [53], and tetrahedra [54] have an average jamming contact number below their respective isostatic values (so “underconstrained”). The departure of ellipsoids from isostatic behavior has been attributed to the presence of floppy modes, which provide vanishing restoring force, whereas for tetrahedra it has been attributed to the varying degrees of rotational constraint by discrete contact topologies (e.g., vertex-face, edge-edge, edge-face, and face-face contacts).

However, remembering that face-edge and face-face contacts between polyhedra are equivalent to two and three contact points (involving thus, respectively, two and three unilateral constraints), we distinguish the coordination number \( z \) (number of contact neighbors), from the connectivity number \( z_c \) defined as the mean number of contacts per particle. We have

\[
\frac{z_c}{z} = k_{ee} + k_{fe} + 2k_{fe} + 3k_{ff},
\]  

(13)

where \( k_{ff}, k_{fe}, k_{ff} \), and \( k_{ee} \) are the proportions of \( ff, fe, ffe, \) and \( ee \) contacts. Note that for spheres we have \( z = z_c \). In
contacts as a function of $\alpha$ in initial (a) and residual (b) states. The error bars represent the standard deviation in the residual state.

In the residual state $z$ and $z^\circ$ are lower but both increase from 4 (spheres) to 5.5 and 7, respectively, as angularity increases. The effect of increasing angularity is therefore expressed by an increasing number of complex contacts as well as an increasing number of neighbors per particle, and thus for large $\langle \alpha \rangle$ the packings are loose but well connected. A similar behavior was found with elongated, nonconvex, and polygonal particles in 2D [8,17,55]. Hence, the increase of shear strength with angularity seems to be linked more fundamentally with the isostatic nature of our initial packings. This observation indicates that aspherical particles are not underconstrained, and in numerical simulations the complex contacts can be represented by a set of point contacts. A similar result was also obtained by Saint-Cyr et al. in 2D with nonconvex particles [17].

To get further insight into the properties of the contact network, we plot in Fig. 11(a) the proportions of different contact types in the initial isostatic and residual states as a function of $\langle \alpha \rangle$. Interestingly, we observe that the proportion of $fv$ and $fe$ contacts in the isostatic state are nearly independent of $\langle \alpha \rangle$, and we have $k_{fe} \sim 2k_{fv} \sim 0.3$. In contrast, $k_{ff}$ decreases from 0.4 to 0.1 and at the same time $k_{ee}$ increases from $\sim 0$ to 0.55 as a function of angularity. Hence, as particle angularity increases, the packing changes from a network dominated by $ff$ contacts to a network dominated by $ee$ contacts. Furthermore, remarking that $k_{ee} + k_{fe} + k_{ff} + k_{fe} = 1$ in Eq. (13), we have the following relation between $z$ and $z^\circ$:  

$$z = (1 + k_{fe} + 2k_{ff}) z^\circ. \quad (14)$$

This shows that in the initial state where $z^\circ \simeq 12$ the loss of face-face contacts is compensated by the increase of $z$. In the residual state, the proportion of $fv$ contacts is higher than in the isostatic state but it decreases with angularity from 0.6 to 0.2. In contrast, we observe a loss of $ff$ and $fe$ contacts in the residual state compared to the initial isotropic state for all values of $\langle \alpha \rangle$.

B. Anisotropies and origins of strength

The above description of the microstructure in terms of the average coordination and connectivity numbers between polyhedra provides a basic picture of the effect of shape angularity. However, this picture is clearly insufficient since the contact and force networks are generically anisotropic as shown in Fig. 12, where a typical map of “radial” forces, defined as the components of contact forces along the branch vectors, is displayed in the sample S4. In this section, we would like to evaluate these anisotropies, their relation with shear strength, and their evolution with particle angularity.

1. Integral expression of the stress tensor

The stress tensor defined by Eq. (3) is an arithmetic mean involving the branch vectors $\mathbf{t}$ and contact force vectors $\mathbf{f}$. Hence, it can be expressed as an integral through the joint probability density $P_{\ell f}$ of the force and branch vectors,

$$\sigma_{\gamma\delta} = n_c \int f_{\gamma} \ell_{\delta} P_{\ell f} d\mathbf{f} d\mathbf{t}, \quad (15)$$

where $n_c$ is the number density of contacts.

The components of the branch vector and contact force for each pair of particles can be projected onto the local contact frame $(n, t, s)$, where $t$ is an orthonormal unit vector oriented along the tangential force, and $s$ is defined such that the triad $(n, t, s)$ is an orthonormal basis; see Fig. 13(a). We have

$$\mathbf{f} = f_{\gamma} \mathbf{n} + f_{t} \mathbf{t}, \quad \mathbf{t} = \ell_{\alpha} \mathbf{n} + \ell_{t} \mathbf{t} + \ell_{s} \mathbf{s}, \quad (16)$$
where \( f_n \) and \( f_t \) are the normal and tangential components of the contact force, and \( \ell_n \), \( \ell_t \), and \( \ell_s \) are the components of the branch vector. We note that only for disks or spherical particles we have \( \ell = (n, \ell) \), where \( \ell \) is the length of the branch vector.

A similar decomposition can be applied also to the “neighborhood network” defined by the branch vector frames \((n', t', s')\), where \( n' \) is the unit vector along the branch vector; see Fig. 13(b). We express the components of the branch vector and contact force also in this frame by orienting the pair \((t', s')\) such that the component of the force along \( s' \) vanishes. We have

\[
\ell = \ell_n n' + f_t t',
\]

where \( f_n \) and \( f_t \) are the radial and orhtoradial components of the contact force, and \( \ell_n = \ell \).

The orientations of the orthonormal local basis attached to the contact or to the branch vector can be parametrized by the angles \( \theta \) and \( \phi \):

\[
\begin{align*}
n &= \cos \theta \sin \phi, \quad \sin \theta \sin \phi, \\
t &= -\sin \theta \cos \phi, \quad \cos \theta \cos \phi, \\
s &= (0, -\sin \phi, \cos \phi),
\end{align*}
\]

where \( \Omega = (\theta, \phi) \) is the solid angle that defines the orientation of \( n \) or \( n' \) as illustrated in Fig. 13(c). Introducing the force and branch vector components in Eq. (15) and integrating with respect to \( \phi \), we get the following expressions for the two independent invariants of the stress tensor in the contact frame:

\[
\begin{align*}
p &= \frac{n_\Omega}{3} (f_n \ell_n + f_t \ell_t), \\
q &= \frac{n_\Omega}{6} (f_n \ell_n (3 \cos^3 \theta - 1) - 3(f_t \ell_t \cos^2 \theta) \\
&\quad - \frac{3}{2} (f_t \ell_t + f_t \ell_n) \sin 2\theta).
\end{align*}
\]

In this expression, the average \( \langle \cdot \cdot \cdot \rangle \) involves only the angle \( \theta \) through the measure \( P(\theta) \sin \theta d\theta df_n df_t d\ell_n d\ell_t \). Note that, due to the axial symmetry of the system, the \( s \) components do not appear. In the same way, we get the following expressions in the branch-vector frame:

\[
\begin{align*}
p &= \frac{n_c}{3} (f_n \ell_n), \\
q &= \frac{n_c}{6} \left( f_n \ell_n (3 \cos^3 \theta - 1) - \frac{3}{2} (f_t \ell_t \sin 2\theta) \right).
\end{align*}
\]

2. 3D Harmonic representation of the microstructure

In order to extract useful information from the expressions given in Eqs. (19) and (20), we can integrate out all force and branch components and keep only the dependence of the force and branch-vector components with respect to \( \theta \). Let \( S(\Omega) \) be the set of contact vectors or branch vectors pointing in the direction \( \Omega \) up to a solid angle \( d\Omega \). From our numerical data we can evaluate the probability density functions \( P_\Omega(\Omega) \) and \( P_\Omega(\Omega) \) of contact orientations and branch vector orientations, respectively, as well as the angular averages of the force components \( \langle f_n(\Omega) \rangle, \langle f_t(\Omega) \rangle, \langle f_c(\Omega) \rangle, \langle f_v(\Omega) \rangle \) and \( \langle \ell_n(\Omega) \rangle, \langle \ell_t(\Omega) \rangle, \langle \ell_v(\Omega) \rangle \), defined by

\[
\begin{align*}
P_\Omega(\Omega) &= \frac{N_c(\Omega)}{N_c}, \\
\langle f_n(\Omega) \rangle &= \frac{1}{N_c(\Omega)} \sum_{n \in S(\Omega)} f_n^2, \\
\langle f_t(\Omega) \rangle &= \frac{1}{N_c(\Omega)} \sum_{t \in S(\Omega)} f_t^2, \\
\langle \ell_n(\Omega) \rangle &= \frac{1}{N_c(\Omega)} \sum_{n \in S(\Omega)} \ell_n^2, \\
\langle \ell_t(\Omega) \rangle &= \frac{1}{N_c(\Omega)} \sum_{t \in S(\Omega)} \ell_t^2,
\end{align*}
\]

where \( N_c \) is the total number of contacts, \( N_c(\Omega) \) the number of contacts pointing in the direction \( \Omega, (n, t) = (n', t') \) if \( \Omega = \Omega' \) and \((n, t) = (n', t') \) if \( \Omega = \Omega' \).

Under axisymmetric conditions, these probability density functions are independent of the azimuthal angle \( \phi \). Figure 14 displays a polar representation of these functions in the \( \theta \) plane in the residual state for S6. We observe an anisotropic behavior.
in all cases. The peak value occurs along the compression axis \( \theta = 0 \) for \( P_0(\theta) \) and for \( \langle f_n \rangle(\theta) \), where \( \theta \) stands for \( \theta \) or \( \theta' \). The peak values for \( \langle \ell_n \rangle(\theta) \), \( \langle f_n \rangle(\theta) \), and \( \langle f_t \rangle(\theta) \) occur at \( \theta = \pi/4 \). For \( \langle \ell_n \rangle(\theta) \) and \( \langle f_n \rangle(\theta) \) the probability density function’s are nearly isotropic. We see also that \( \langle f_n \rangle(\theta) \simeq \langle f_n \rangle(\theta) \).

The simple shapes of the above functions suggest that an approximation based on spherical harmonics at leading terms should capture their anisotropic behavior. There are nine second-order basis functions \( Y_m^\ell(\theta,\phi) \) but only the functions compatible with the symmetries of the problem (i.e., independent with respect to \( \phi \) and \( \pi \) periodic in \( \theta \)) are admissible. The only admissible functions are, therefore, \( Y_0^0 \) and \( Y_2^0 = 3 \cos^2 \theta - 1 \). Hence, within the harmonic model of fabric and force, we have [24,56]

\[
\begin{align*}
  P_0(\theta) &= \frac{1}{\pi^2} [1 + \bar{a}_c [3 \cos^2 \theta - 1]], \quad (a) \\
  \langle \ell_n \rangle(\theta) &= \langle \ell_n \rangle [1 + a_{\ell n} (3 \cos^2 \theta - 1)], \quad (b) \\
  \langle \ell_t \rangle(\theta) &= \langle \ell_t \rangle a_{\ell t} \sin 2\theta, \quad (c) \\
  \langle f_n \rangle(\theta) &= \langle f_n \rangle [1 + a_{f n} (3 \cos^2 \theta - 1)], \quad (d) \\
  \langle f_t \rangle(\theta) &= \langle f_t \rangle a_{f t} \sin 2\theta, \quad (e)
\end{align*}
\]

where \( \langle \ell_n \rangle \) and \( \langle f_n \rangle \) are the mean normal and radial lengths and the mean normal and radial forces. The anisotropy parameters are \( (a_c, a_{\ell n}, a_{\ell t}, a_{f n}, a_{f t}) \) in the frame \( n,f,t,s \), and \( (a_{c'}, a_{\ell n'}, a_{\ell t'}, a_{f n'}, a_{f t'}) \) in the frame \( n',f',t',s' \). As a result of axial symmetry, the privileged direction of all these functions is the vertical direction (\( \theta = 0 \)).

In the following, we refer to \( a_c \) as contact anisotropy, to \( a_c' \) as branch orientation anisotropy, to \( (a_{\ell n}, a_{\ell t}) \) as branch length anisotropies, and to \( (a_{f n}, a_{f t}) \) as normal and tangential or radial and orthoradial force anisotropies, respectively, depending on the local frame used for harmonic decomposition. In practice, the values of all anisotropy parameters can be calculated from generalized fabric tensors presented in Appendix A.

Using the harmonic approximation (26), the averages given by Eqs. (19) and (20) can be evaluated by integration with respect to space directions \( \theta \). Neglecting cross products between all anisotropies, we get the following simple relations [8,24,56,57]:

\[
\frac{q}{p} \simeq \begin{cases} 
  \frac{3}{2} (a_c + a_{\ell n} + a_{\ell t} + a_{f n} + a_{f t}), \quad (27a) \\
  \frac{3}{2} (a_{c'} + a_{\ell n'} + a_{f n'} + a_{f t'}). \quad (27b)
\end{cases}
\]

These equations express the normalized shear stress as a function of the anisotropy parameters with two different local frames. These equations hold only under axial symmetry. In the most general case, more anisotropy parameters should be introduced.

3. Granular texture

Here, we analyze the anisotropies described in the previous section and their respective roles in the shear strength as a function particle angularities. Figure 15 displays the variations of the orientation anisotropies of contacts and branch vectors, respectively \( a_c \) and \( a_c' \), averaged in the steady state as a function of \( \langle \alpha \rangle \). We see that \( a_c \) increases with \( \langle \alpha \rangle \) up to a maximum value and then declines for \( \langle \alpha \rangle > 0.6 \). \( a_c' \) follows a similar trend with an increasing phase following by a decreasing phase for \( \langle \alpha \rangle > 0.2 \). It is often admitted that the shear strength in granular media is a consequence of the buildup of an anisotropic structure. However, here we have a nonmonotonic evolution of both anisotropies as a function of \( \langle \alpha \rangle \) although the shear strength continues to increase.

Figure 16 shows the variation of branch-vector length anisotropies \( a_{f n} \) and \( a_{f t} \) averaged in the steady state as a function of \( \langle \alpha \rangle \). These parameters are negligibly small (in particular, \( a_{f n} = a_{f t} \simeq 0 \)) for all values of \( \langle \alpha \rangle \). This is due to the absence of shape eccentricity of the particles and also because of the low span in the particle size distribution [58]. It is remarkable also that \( a_{f t} \) is negative and increases in absolute value in the residual state. This means that the particles tend to form longer branch vectors with their neighbors in the direction of extension rather than compression [8].

4. Force transmission and friction mobilization

The normal and tangential force anisotropies \( a_{f n} \) and \( a_{f t} \) are plotted in Fig. 17 as a function of \( \langle \alpha \rangle \), together with the radial and orthoradial force anisotropies \( a_{f r} \) and \( a_{f t'} \), averaged in the steady state. We see that \( a_{f n} \simeq a_{f r} \). In connection with the variations of \( a_c \) and \( a_c' \), the increase of \( a_{f n} \) and \( a_{f r} \) shows that stronger force chains are transmitted along the principal stress direction and thus the mean normal and radial forces increase, too. It is worth mentioning that \( a_{f n} \)
and \( a_{fr} \) increase slowly at higher angularity while \( a_c \) and \( a'_c \) decrease at the same time. This means that the stress along the compression axis is sustained by less contacts but stronger forces. As mentioned previously, the new contacts coming into play at higher angularity are mainly face-face contacts, which capture stronger forces.

Figure 17 shows also the variation of tangential and orthoradial force anisotropies. We see that both \( a_{ft} \) and \( a'_{ft} \) increase with \( \langle \alpha \rangle \). In particular, \( a_{ft} \) is larger than \( a_{tf} \), and increases also faster. The dry friction law implies that \( |f_t| < \bar{\mu} f_n \), where \( \bar{\mu} = \mu \) in the contact frame and \( \bar{\mu} = (f_n/f_n) \sqrt{(1 + \mu^2) - (f_n/f_n)^2} \) in the branch-vector frame. Hence, the ratio \( L_t = |f_t|/\bar{\mu} f_n \) can be used as an index of friction mobilization for a contact \( c \). A map of mobilized friction forces is shown in Fig. 18 for S5. At the scale of the packing, the index \( I_{\bar{\mu}} = \langle |f_t|/\bar{\mu} f_n \rangle \) represents the mean friction mobilization. Integrating Eq. (26)(e) in the range of \([0, \pi/2]\) and assuming that \( \bar{\mu} \) and \( f_n' \) are weakly correlated, we get

\[
I_{\bar{\mu}} = \frac{5}{2\bar{\mu}} a_t. \tag{28}
\]

![Figure 17](image1.png)

**FIG. 17.** (Color online) Normal and tangential force anisotropies \( a_n \) and \( a_t \), and radial and orthoradial force anisotropies \( a'_n \) and \( a'_{ft} \), as a function of \( \langle \alpha \rangle \) in the residual state. The error bars represent the standard deviation in the residual state.

As a result, the increase of \( a_{ft} \) and \( a'_{ft} \) with \( \langle \alpha \rangle \) implies that at higher angularity the force balance is ensured by a strong activation of friction forces. This effect can also be evidenced by plotting the proportion \( k_{slide} \) of sliding contacts as a function of \( \langle \alpha \rangle \); see Fig. 19. As expected, \( k_{slide} \) increases as the particles become more and more angular, reaching values as high as 0.6, i.e., 2 times above those measured in the packing composed of spheres. This finding can be related to a recent work showing that one of the main influencing factors behind the mechanical behavior of granular systems composed of angular particles is the partial hindrance of rotations pushing the particles to slide over one another to accommodate the imposed deformations [59]. The contributions of each contact type to the force anisotropies are analyzed more precisely in the following section.

The anisotropy parameters can also be calculated at the stress peak state. They are not shown here, but the trends are similar, except for \( a_{fr} \) and \( a'_{fr} \), which decline at higher values of \( \langle \alpha \rangle \). Figure 20 displays the peak-state and residual-state values of the normalized shear stresses \( (q/p)^* \) and \( (q/p)^{**} \), respectively, as a function of \( \langle \alpha \rangle \) calculated both directly from the simulation data and from Eqs. (27a) and (27b) separately for the contact frames and branch-vector frames. We see that Eq. (27b), which is based on general considerations, expressed

![Figure 19](image2.png)

**FIG. 19.** Proportion of sliding contacts as a function of \( \langle \alpha \rangle \) averaged in the residual state. The error bars represent the standard deviation.

![Figure 20](image3.png)

**FIG. 20.** (Color online) Normalized shear stress \( (q/p)^* \) and \( (q/p)^{**} \) at peak and residual states as a function of \( \langle \alpha \rangle \) together with the analytical expressions given by Eqs. (27a), (27b), and (B1). The error bars represent the standard deviation in the residual state.
in the branch-vector frame, provides an excellent fit, whereas the approximation proposed in the contact frame is correct but it underestimates the shear strength both at peak and residual states. This can, however, be easily corrected by including the cross products among the anisotropies, which are neglected \[56\]; see Appendix B.

Equations (27a) and (27b) allows us to evaluate the relative weights of fabric anisotropy, force chains, and friction mobilization with regard to shear strength. We see that, expressed in the contact frame, the increase of the shear strength at low angularities is due to an increase of both contact and force anisotropies, whereas the saturation trend is a consequence of a rapid falloff of the contact anisotropies compensated by an increase of the tangential force anisotropy. Expressed in the branch-vector frame, the variation of the shear strength follows that of the radial force anisotropy. In other words, the loss of branch-vector anisotropy is fully compensated by the gain in radial force anisotropy.

### C. Role of contact types

In this section, we would like to quantify the relative contributions of each type of contact to the shear strength and anisotropy parameters. A map of contact forces projected along the branch vectors is displayed in Fig. 21 for S5 in different colors according to the contact type. The network of strong force chains appears to be mostly composed of \(ff\) and \(fe\) contacts mediated occasionally by \(ee\) and \(fv\) contacts.

Let us consider the discrete expression of the stress tensor in Eq. (3) and partition it as a sum of different terms, each containing only the contribution of all contacts of the same type,

\[
\sigma = \sigma_{ff} + \sigma_{fe} + \sigma_{fv} + \sigma_{ee},
\]

where \(\sigma_{ff}, \sigma_{fe}, \sigma_{fv},\) and \(\sigma_{ee}\) are obtained by restricting the summation to \(ff\), \(fe\), \(fv\), and \(ee\) contacts, respectively. The respective stress deviators \(q_{ff}, q_{fe}, q_{fv},\) and \(q_{ee}\) normalized by the pressure \(p\) are shown in Fig. 22. We see that, at low angularity \(q_{ee}/p \sim q_{ee}/p < q_{ff}/p\) even if \(k_{ee} < k_{ff} < k_{ee}\). At higher angularities, the stress plateau appears to be a consequence of a decrease of \(q_{ff}/p\) (resulting from the decrease of \(k_{ff}\)) compensated by an increase of both \(q_{fe}/p\) and \(q_{ee}/p\). It is remarkable that \(q_{fe}/p \sim q_{ee}/p\), whereas \(q_{ff}/p \ll q_{ee}/p\). We also see that \(q_{fe}/p\) decreases with \(\alpha\) as a result of the decrease of \(k_{fe}\). In this way, the growth of the number of \(ff\) and \(fe\) contacts shown in Fig. 11 is clearly the main origin of the increase of shear strength at low angularity.

We now consider the “relative” texture and force anisotropies supported by each contact type. Since the texture and force anisotropies expressed in the branch frames are simpler and more accurate than in the contact frames, we restrict here our analysis to the branch-vector partition. By partitioning the fabric and force tensors mentioned in Appendix A as we did for the stress tensor, we can also calculate the partial fabric and force anisotropies \((a'_{ff}, a_{wff}, a_{ffg}, \text{ and } a_{ffy})\), where \(\gamma\) stands alternatively for \(ff, fs, fv, ee\). In our case, assuming that in the steady state the principal directions of the partial angular functions of branch vectors and forces are the same as the global branch and force angular functions, we have

\[
\frac{q_{\gamma}}{p} \simeq \frac{2}{5} (a'_{\gamma} + a_{w\gamma} + a_{f\gamma} + a_{f\gamma}).
\]

As illustrated in Fig. 22, this relation holds for each contact type.

![FIG. 21. (Color online) Snapshot of radial forces for S5. Line thickness is proportional to the radial force. \(ee\) contacts are in green, \(fv\) contacts in red, \(fe\) contacts in blue, and \(ff\) contacts in yellow.](image1)

![FIG. 22. (Color online) Shear strength \((q/p)\)' for \(fv, ee, fe,\) and \(ff\) contacts as a function \((\alpha)\), together with the harmonic approximation fits in \((n',t')\) frame \((\cdots)\). The error bars represent the standard deviation in the residual state.](image2)

![FIG. 23. (Color online) Contact anisotropy in the frame \((n',t')\) for \(fv, ee, fe,\) and \(ff\) contacts as a function \((\alpha)\). The error bars represent the standard deviation in the residual state.](image3)
from spherical shape have stronger effect on the shear strength than large variations of angularity at low number of faces. To understand the microscopic origins of this behavior, we performed an additive partition of the stress tensor based on a harmonic approximation of the angular dependence of the average local forces and branch vectors expressed either in the contact frame or in the branch-vector frame. These two descriptions provide complementary points of view of the microstructure. As a result of the aspherical shape of the particles, the contact network is different from the network of neighboring particles, materialized by the branch vectors, and one important issue is then how the particle shape is reflected in the differences between the two viewpoints. The nature of the contact network is obviously guided by the organization of the contact types. In contrast, the branch network reflects mainly the spatial distribution of the particles. In this sense, this network may be used as the reference microstructure to characterize the contact network.

Our detailed analysis of the corresponding fabric and force anisotropies allowed us to highlight the microscopic mechanisms that lead to the dependence of shear strength with respect to \(\langle\alpha\rangle\). The increase of shear strength results from that of both contact and force anisotropies. The plateau of the shear strength is a consequence of the decrease of the contact anisotropy compensated by the increase of the tangential anisotropy. This means that the increasing mobilization of friction forces is the key effect of particle angularity. In particular, the proportion of sliding contacts increases strongly as the particles become more angular.

In this investigation, the sphericity of the particles was fixed to \(\sim 1\) in order to isolate the effect of particle angularity. However, it should be interesting to vary the sphericity of the particles in order to compare the effects of flatness or elongation of the particles with those of angularity. In the same way, since friction mobilization plays a major role at large angularity, it should be interesting to investigate also the influence of the coefficient of friction for angular particles.

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**APPENDIX A: FABRIC TENSORS**

It is convenient to estimate the anisotropy parameters from the following fabric and force tensors [8,60]:

\[
\bar{F}_{\alpha\beta} = \frac{1}{\Omega} \int_{\Omega} \bar{t}_{\alpha} \bar{t}_{\beta} \bar{P}_{\Omega}(\Omega) d\Omega, \\
\chi_{\alpha\beta}^{\bar{t}} = \frac{1}{\Omega} \int_{\Omega} \langle \bar{t}_{\alpha} \rangle \langle \bar{t}_{\beta} \rangle \bar{P}_{\Omega}(\Omega) d\Omega, \\
\chi_{\alpha\beta}^{f} = \frac{1}{\Omega} \int_{\Omega} \langle \bar{f}_{\alpha} \rangle \langle \bar{f}_{\beta} \rangle \bar{P}_{\Omega}(\Omega) d\Omega, \\
\chi_{\alpha\beta}^{ff} = \frac{1}{\Omega} \int_{\Omega} \langle \bar{f}_{\alpha} \rangle \langle \bar{f}_{\beta} \rangle \bar{P}_{\Omega}(\Omega) d\Omega.
\]
where $\alpha$ and $\beta$ design the components in the considered frame. Note that, by construction, we have $\chi_{\alpha\beta} = 0$. From Eqs. (26) and (A1), assuming that under axial symmetry the major principal direction of all tensors is vertical ($\theta = 0$), the following relations are easily obtained:

$$\bar{a}_c = \frac{1}{2} (\bar{F}_1 - \bar{F}_3) / (\bar{F}_1 + \bar{F}_2 + \bar{F}_3),$$

$$a_{fn} = \frac{\chi^{[a]}_1 - \chi^{[a]}_3}{(\chi^{[a]}_1 + \chi^{[a]}_2 + \chi^{[a]}_3)} - \bar{a}_c,$$

$$a_{li} = \frac{\chi^{[l]}_1 - \chi^{[l]}_3}{(\chi^{[l]}_1 + \chi^{[l]}_2 + \chi^{[l]}_3)} - \bar{a}_c,$$

$$a_{fi} = \frac{\chi^{[f]}_1 - \chi^{[f]}_3}{(\chi^{[f]}_1 + \chi^{[f]}_2 + \chi^{[f]}_3)} - \bar{a}_c,$$

(A2)

As we see in Fig. 20, Eq. (B1) gives a better approximation than Eq. (27a). Note also that the excellent fits to the data by Eqs. (27b) and (B1) indicate that the assumption of weak correlations between forces and branch vector lengths is indeed a good approximation. This can be checked directly from the simulation data by evaluating the Pearson correlation parameter $C_{ef}$ defined by

$$C_{ef} = \frac{\langle \ell f \rangle}{\sqrt{\langle \ell^2 \rangle \langle f^2 \rangle}},$$

(B2)

where $f$ is the magnitude of the contact force. We find that $|C_{ef}|$ does not exceed 0.05.

APPENDIX B: SHEAR STRENGTH APPROXIMATION AT SECOND ORDER

The shear strength can be expanded to the second order without neglecting the cross products between anisotropies. In the contact frame we have [56]

$$\frac{q}{p} \approx \frac{2}{5} (a_c + a_{fn} + a_{li} + a_{fn} + a_{fi})$$

$$+ \frac{4}{105} (a_c \cdot a_{fn} + a_c \cdot a_{li} + a_{fn} \cdot a_{fn})$$

$$+ \frac{16}{105} (a_c \cdot a_{fi} + a_c \cdot a_{li} + a_{fn} \cdot a_{fi} + a_{li} \cdot a_{fn}).$$

(B1)


[38] F. Dubois, in [27], Chapter on Numerical Modeling of Granular Media Composed of Polyhedral Particles.


