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## Accepted Manuscript

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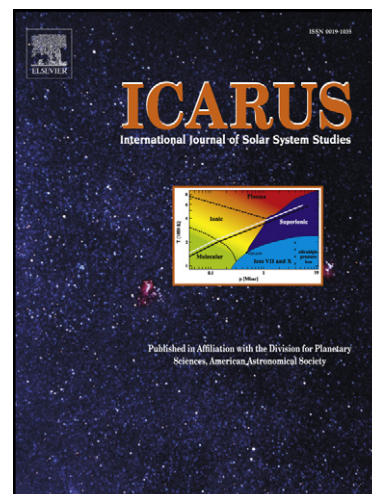
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# Hapke modeling of Rhea surface properties through Cassini-VIMS

## spectra

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36

37 Abstract

38 The surface properties of the icy bodies in the saturnian system have been investigated by means of

39 the CASSINI- VIMS (Visual Infrared Mapping Spectrometer) hyperspectral imager which operates

40 in the 0.35-5.1  $\mu\text{m}$  wavelength range. In particular, we have analyzed 111 full disk hyperspectral41 images of Rhea ranging in solar phase between  $0.08^\circ$  and  $109.8^\circ$ . These data have been previously

42 analyzed by Filacchione et al. (2007, 2010) to study, adopting various “spectral indicators” (such as

43 spectral slopes, band depth, continuum level, etc.), the relations among various saturnian satellites.

44 As a further step we proceed in this paper to a quantitative evaluation of the physical parameters

45 determining the spectrophotometric properties of Rhea’s surface. To do this we have applied Hapke

46 (1993) IMSA model (Isotropic Multiple Scattering Approximation) which allow us to model the  
47 phase function at VIS-IR (visible-infrared) wavelengths as well as the spectra taking into account  
48 various types of mixtures of surface materials. Thanks to this method we have been able to  
49 constrain the size of water ice particles covering the surface, the amount of organic contaminants,  
50 the large scale surface roughness and the opposition effect surge. From our analysis it appears that  
51 wavelength dependent parameters, e.g. opposition surge width ( $h$ ) and single-particle phase function  
52 parameters ( $b, \nu$ ), are strongly correlated to the estimated single-scattering albedo of particles. For  
53 Rhea the best fit solution is obtained by assuming: 1) an intraparticle mixture of crystalline water  
54 ice and a small amount (0.4%) of Triton tholin; 2) a monodisperse grain size distribution having a  
55 particle diameter  $a_m = 38 \mu\text{m}$ ; and 3) a surface roughness parameter value of  $33^\circ$ . The study of phase  
56 function shows that both Shadow Hiding and Coherent Backscattering contribute to the opposition  
57 surge. This study represents the first attempt, in the case of Rhea, to join the spectral and the  
58 photometric analysis. The surface model we derived gives a good quantitative description of both  
59 spectrum and phase curve of the satellite. The same approach and model, with appropriate  
60 modifications, shall be applied to VIMS data of the other icy satellites of Saturn, in order to reveal  
61 similarities and differences in the surface characteristics to understand how these bodies interact  
62 with their environment.

### 63 1. Introduction and Rationale

64 The Cassini spacecraft completed its initial four-year mission to explore the saturnian system in  
65 June 2008. Since then it entered in the extended mission phase (*Cassini-Huygens Equinox Mission*),  
66 which will last until September 2010. During all these years the VIMS (Visual and Infrared  
67 Mapping Spectrometer) instrument on board Cassini extensively observed the saturnian moons. The  
68 VIMS experiment consists of two imaging spectrometers observing the same field of view in two  
69 spectral ranges (Brown et al., 2004; McCord et al., 2004): VIMS-V spectrometer covering the 0.35 -  
70 1.05  $\mu\text{m}$  range in 96 spectral channels with a spectral sampling of  $\Delta\lambda = 7.3 \text{ nm/band}$  and spatial

71 resolutions of  $500 \times 500$  (nominal) or  $166 \times 166$  (high resolution)  $\mu\text{rad} \times \mu\text{rad}$  /pixel; VIMS-IR channel  
72 covering the  $0.885 - 5.1 \mu\text{m}$  range with 256 bands, a spectral sampling of  $\Delta\lambda \approx 16 \text{ nm/band}$  and  
73 spatial resolutions of  $500 \times 500$  (nominal) or  $250 \times 500$  (high resolution)  $\mu\text{rad} \times \mu\text{rad}$  /pixel (Miller et  
74 al., 1996).

75 VIMS collected both resolved and disk-integrated spectra of the moons in a wide range of  
76 observing conditions (solar phase angle and hemispheric coverage). While high spatial resolution  
77 observations of the satellites are essential to obtain compositional maps of the objects, the disk  
78 integrated observations are very useful to study the global properties of the surfaces and to point out  
79 correlations as well as differences among the various satellites.

80 The full disk observations constitute of a huge database with more than 1400 observations, obtained  
81 over a wide range of phase angles, for a total of 126000 spectra, covering the full VIMS spectral  
82 range. For a detailed description of the database see Filacchione et al. (2007, 2010) (in the  
83 following referred to as F2007 and F2010 respectively).

84 Spectrophotometry is a very powerful diagnostic tool in remote sensing to study the composition  
85 and the physical properties of the surfaces of objects under investigation. The amount of solar  
86 radiation, as a function of the wavelength, scattered from a surface towards the observer under  
87 varying observing conditions (incidence, emergence and phase angles) is a nonlinear function of  
88 several parameters such as the composition of the materials making up the surface, their grain size,  
89 the porosity and surface roughness of the interacting surface layers.

90 To retrieve quantitative information on these fundamental parameters of the surfaces, we need a  
91 multiple scattering model which provide approximate solutions to the radiative transfer in a  
92 particulate medium. The Hapke IMSA model (Hapke, 1993, 2002, 2008; Hapke et al. 2009) is one  
93 of several models developed to study the scattering properties of packed media (Lumme and  
94 Bowell, 1981; Drossart 1993; Shkuratov et al., 1999). It is an analytic two stream approximate

95 solution to the radiative transfer equation and it has been applied successfully to perform  
96 photometric corrections of imaging data (Hudson and Ostro, 1999; Domingue et al., 2009), to  
97 investigate physical properties of regoliths (Mallama et al., 2002; Buratti et al., 2004) and to  
98 estimate surface compositions of planetary surfaces (Cruikshank et al., 2001; Cruikshank et al.,  
99 2005; Poulet et al., 2002).

100 In F2007 and F2010 the authors have adopted an empirical method of spectral analysis to reduce the  
101 dimensionality of the spectra by mapping high dimensional data into a lower dimension while  
102 preserving the main features of the original spectra. This led to the definition of a number of  
103 “Spectrophotometric Indicators” which are able to synthetically describe the spectrum. For instance  
104 spectral slopes in the visible range are a useful indicator of the degree of purity of water ice with  
105 respect to the presence of contaminants, thus two of the selected indicators are the slopes in the blue  
106 range of the spectrum (350-550nm) and in the NIR (near infrared) range (550-1000nm). In the IR  
107 range the most prominent features are the water ice absorption bands; consequently the authors have  
108 selected the depth of the 1.2, 1.5, 2.0 and 3.0 $\mu$ m water ice bands as additional indicators.

109

110 The systematic analysis performed in F2007/F2010 on the basis of these indicators indeed pointed  
111 out several compositional trends within the satellites system and raised several questions which  
112 have not yet received a satisfactory answer. For instance:

113 • the correlation among Phoebe, Iapetus and Hyperion. The origin of the material that causes  
114 the albedo dichotomy of Iapetus has been the subject of a long standing debate (Buratti and  
115 Hicks, 2003; Spencer and Denk, 2010; Tosi et al., 2010). However, Clark et al. (2008,  
116 2011b), showed that the visible colors and UV absorber are consistent with a single source  
117 with varying abundance of the contaminants. Key to solution of the problem was the  
118 discovery of Rayleigh scattering from small particles (Clark et al., 2008). From the VIMS

119 data the spectral behaviour in the VIS-NIR range shows similarities between Iapetus and  
120 Hyperion; while the IR spectra point out a strong correlation between the features observed  
121 on Iapetus and Phoebe.

122 • The Band Depth at 1.5  $\mu\text{m}$  versus Band Depth at 2.0  $\mu\text{m}$  trend is the result of the combined  
123 effect of ice contamination, due to “darkening agents” as well as variable grain sizes, but  
124 the relative contribution of the two effects could not be ascertained. However, Clark et al.  
125 (2011a, 2011b) show that the 1.5 and 2.0  $\mu\text{m}$  ice band depth ratio is affected by the amount  
126 of sub-micron sized ice grains in the regolith.

127 • The symmetry of the 2-micron ice bands is unusual, being asymmetric toward longer  
128 wavelengths in spectra of the icy saturnian satellites (Clark et al., 2008) and in other icy  
129 objects (see review by Clark et al., 2011a). Clark et al., (2010b) showed that this  
130 asymmetry could be due to the presence of sub-micron ice grains and modelled the spectra  
131 using Hapke theory modified to include the diffraction component from those particles.

132

133 From this point of view the analysis performed in F2007 and F2010 represent a valuable empirical  
134 study to describe the global properties of the surfaces of the moons, however quantitative estimates  
135 on the nature (composition and physical properties) of the regolith require a full radiative transfer  
136 model. We have then set ourselves to work to this task (Ciarniello et al., 2010a, 2010b), and this  
137 paper describes the results we have obtained applying a radiative transfer model to the complete  
138 data set of Rhea’s full disk observations.

139 Rhea, with a radius of  $764 \pm 1.1$  km (Thomas et al., 2006), is the second largest moon of Saturn; it  
140 orbits in the E ring with mean semimajor axis of 527070 km (see <http://ssd.jpl.nasa.gov> and  
141 reference therein); its mass is  $M = (2.306481 \pm 0.000059) \times 10^{21}$  kg, which corresponds to a density



142 of  $1232.8 \pm 5.4 \text{ kgm}^{-3}$  (Iess et al., 2007) and geometric albedo of the satellite is 0.83 at  $0.51 \mu\text{m}$   
 143 (Pitman et al., 2010).

144 We have selected Rhea as the starting point for this analysis as it has the largest coverage in solar  
 145 phase angle and thus allows to thoroughly test the model. Our approach is based on a two steps  
 146 analysis: first a spectral fit is performed to retrieve the abundances of contaminants and ice grain  
 147 size, then these properties are used to compute single-scattering albedo at each wavelength, whose  
 148 values are adopted in the Rhea's phase function fit for the whole VIMS spectral range.

149 In section 2 we have described the method applied to model the phase curves and the spectra. In  
 150 section 3 the selected dataset is described as well as the reduction data procedure. In sections 4 and  
 151 5 spectral and phase function fits respectively are analyzed. Section 6 concerns the feedback of  
 152 phase function fit to the spectrum fit. Conclusions and suggested future work are given in section 7.

153

154

155 .

## 156 2. Hapke model

157 Hapke's IMSA (Isotropic Multiple Scattering Approximation) model has been widely used to  
 158 describe both solar phase curve and spectral properties of various objects in the solar system  
 159 (Buratti 1985; Bowell et al. 1989; Domingue et al. 1995; Domingue and Verbiscer 1997). In this  
 160 paper we refer to Hapke (1993) in order to describe the spectrophotometric properties of Rhea. For  
 161 our analysis we have chosen full-disk images of the satellite and the formula we applied to describe  
 162 the object full disk reflectance (FDR) as a function of the phase angle  $g$  is straightforward derived  
 163 by Eq. 10.40, p.275 in Hapke (1993):

$$164 \quad FDR(g) = \int_{A(i,v)} r(i, e, g) S(i, e, g) \mu d\Omega = \left\{ \frac{1}{8} \left[ 1 - \sin\left(\frac{g}{2}\right) \tan\left(\frac{g}{2}\right) \ln\left[\cot\left(\frac{g}{4}\right)\right] \right] \left[ (1 + B(g)) p(g) - 1 \right] w + 4r_0(1 - r_0) \right\} + \\ + \frac{4}{3} r_0^2 \left[ \frac{\sin(g) + (\pi - g) \cos(g)}{2\pi} \right] \left. \right\} K(g, \bar{\theta})$$

165

166

(1.)

167 where  $r_0 = (1 - \gamma)/(1 + \gamma)$  and  $\gamma = \sqrt{1 - w}$ .

168 The effect of porosity, which has been introduced in Hapke (2008), is not considered here, similarly  
 169 to Warell and Davidsson (2010), in order to reduce the number of free parameters in the following  
 170 inversion process. An improvement of the IMSA model is given in Hapke (2002). It provides a  
 171 more accurate analytic expression of the Ambartsumian-Chandrasekhar function  $H(x)$  which  
 172 appears in the definition of the bidirectional reflectance  $r(i, e, g)$ . However, we adopted a linear  
 173 expression for  $H(x)$  in the derivation of eq. 1 (eq. 8.56, p.212 in Hapke (1993)), since this  
 174 expression could be easily integrated. Eq. 1 represents the sum of the reflectances  $r(i, e, g)$  of each  
 175 point on the surface  $A(i, v)$ , which is both viewed by the instrument and illuminated by the Sun, as a  
 176 function of the incidence ( $i$ ), emergence ( $e$ ) and phase ( $g$ ) angles. Each term is weighted by the  
 177 cosine of the emergence angle  $\mu = \cos(e)$  which correctly projects the emitting area on the plane  
 178 orthogonal to the emission direction, and by the term  $S(i, e, g)$  which describes the large scale surface  
 179 roughness (craters, depressions and other reliefs). Two mechanisms contribute to the emission  
 180 process: single scattering and multiple scattering. The first one depends on the single-particle phase  
 181 function  $p(g)$ , which describes how the light interacting with a particle is scattered. Actually the  
 182 single-particle phase function is an average on a small but statistically significant given volume of  
 183 particles. We modeled it assuming a double lobed Henyey-Greenstein (Henyey and Greenstein,  
 184 1941; Domingue and Verbiscer, 1997) phase function (Eq. 2) which depends on two parameters  $b$   
 185 and  $v$ : the first one describes the angular width of both forward and back scattering lobes, while the  
 186 second one describes their relative amplitude.

$$187 \quad p(g) = \frac{1+v}{2} \frac{1-b^2}{(1-2b \cos g + b^2)^{\frac{3}{2}}} + \frac{1-v}{2} \frac{1-b^2}{(1+2b \cos g + b^2)^{\frac{3}{2}}} \quad (2)$$

188 Another term which depends on single scattering is the one that accounts for the opposition effect  
189 (OE),  $B(g)$ . It describes the observed non-linear increase in reflectance towards small phase angles.  
190 In this work we use the mathematical formulation developed to treat shadow hiding opposition  
191 effect SHOE, which depends on the parameters  $B_0$  and  $h$ , respectively the amplitude and the angular  
192 width of the effect. Rigorously,  $B_0$  can assume values in the 0-1 range, however we allowed  $B_0$  to  
193 be greater than 1 in order to take into account coherent backscattering (CB), which is another  
194 mechanism contributing to OE (Roush, 1994). We choose not to model explicitly the CBOE to  
195 reduce the number of free parameters. The term  $K(g, \bar{\theta})$  in (Eq.1) is the full disk correction due to  
196 large scale surface roughness. Its value is always less than 1 and decreases with increasing  
197 roughness parameter  $\bar{\theta}$ , which is an average slope of the facets composing the surface.

198 Spectral information is included in the single-scattering albedo  $w(\lambda)$ . This parameter represents the  
199 fraction of light interacting with the particle (light can be absorbed, scattered or diffracted) that  
200 undergoes only scattering. It's value is in the range 0-1 and depends on the medium optical  
201 constants  $n$  and  $k$  ( which are respectively the real and the imaginary part of the refractive index  
202  $m=n+ik$ ), and on the grain size. Similarly to the case of single-particle phase function, the value of  
203 the single-scattering albedo is an average over a small but statistically significant volume of  
204 particles, and it is calculated as the ratio of the scattering and extinction efficiencies  $Q_S$  and  $Q_E$ . In  
205 close-packed particulate media with spherical grains much larger than the wavelength (which is the  
206 assumption we made in our analysis) the IMSA model assumes that diffraction is negligible. In that  
207 case the extinction efficiency is 1 (the cross section of the particle is equal to the geometrical cross  
208 section) and single-scattering albedo is equal to the scattering efficiency  $Q_S$ , which can be directly  
209 calculated in the Hapke model once that optical constants of end-members, type of mixing and  
210 grain size are fixed.

211 We have investigated three types of mixing: areal, intimate and intraparticle. *Areal mixing* (Fig. 1a)  
 212 is obtained averaging the reflectance of different patches of surface covered with different  
 213 materials:

$$214 \quad r_{tot} = \sum_i p_i r_i \quad (3)$$

215 where  $r_i$  is the reflectance of  $i$ 'th component and  $p_i$  is the fraction of total surface covered.

216 *Intimate mixing* (Fig. 1b) describes a medium in which particles of different composition are mixed  
 217 together : this kind of mixing is obtained through a weighted average of single-scattering albedos of  
 218 the different types of grains:

$$219 \quad w_{tot} = \frac{\sum_i p_i \sigma_i w_i}{\sum_i p_i \sigma_i} \quad (4)$$

220 where  $\sigma_i$  is the geometrical cross section of the  $i$ 'th particle type and  $p_i$  is the volume percentage of  
 221 each component.

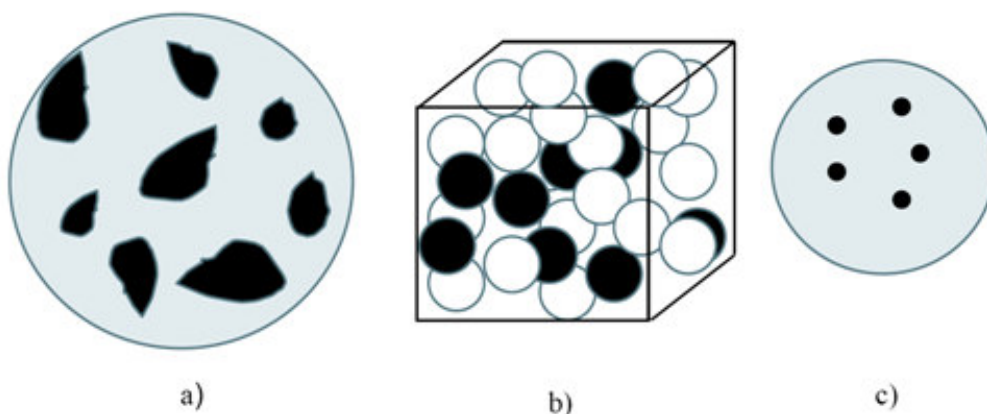
222 *Intraparticle mixing* (Fig. 1c) describes media in which inclusions of contaminants are embedded  
 223 in a matrix of different optical properties. This kind of mixing is obtained with the Maxwell-Garnett  
 224 rule (Maxwell-Garnett, 1904; Mallet et al.2005; Grundy, 2009):

$$225 \quad \varepsilon_{eff} = \varepsilon_1 + 3\varepsilon_1 p_2 \frac{(\varepsilon_2 - \varepsilon_1)}{[\varepsilon_2 + 2\varepsilon_1 - p_2(\varepsilon_2 - \varepsilon_1)]} \quad (5)$$

226 where  $\varepsilon_1$  and  $\varepsilon_2$  are the complex dielectric constants of the matrix and of the embedded material  
 227 respectively,  $p_2$  is the fraction of contaminant and  $\varepsilon_{eff}$  is the effective complex dielectric constant  
 228 of the particle. The dielectric constant is related to optical constants by the following relation:

$$229 \quad m = \sqrt{\varepsilon} = n + ik \quad (6)$$

230 Once the medium optical constants and particle diameter  $a_m$  are fixed it is possible, following  
 231 Hapke's model, to compute single-scattering albedo  $w$  for a given type of particles. We do not  
 232 report the single-scattering albedo derivation but refer to Hapke (1993) for further details and final  
 233 equations.



234

235 [FIGURE 1]

236

### 237 3. Observations and data reduction

238 Our dataset is composed of 140 observations acquired by VIMS in the period January 2005 -  
 239 January 2008. Since Rhea exhibits a marked dichotomy between leading and trailing side  
 240 (Verbiscer and Veverka, 1989; Buratti et al., 1998) we have selected only images in which the  
 241 illuminated and observed area was more than 60% on the leading side, in order to study  
 242 homogeneous regions of the satellite. With this limitation the total number of observations reduces  
 243 to 111 with solar phase angles ranging from  $0.08^\circ$  to  $109.8^\circ$ .

244 VIMS collected full disk images of the satellite acquired at different spacecraft-target distance  $D$   
 245 both in normal and high-resolution modes. Accordingly, the satellite image size in the instrument

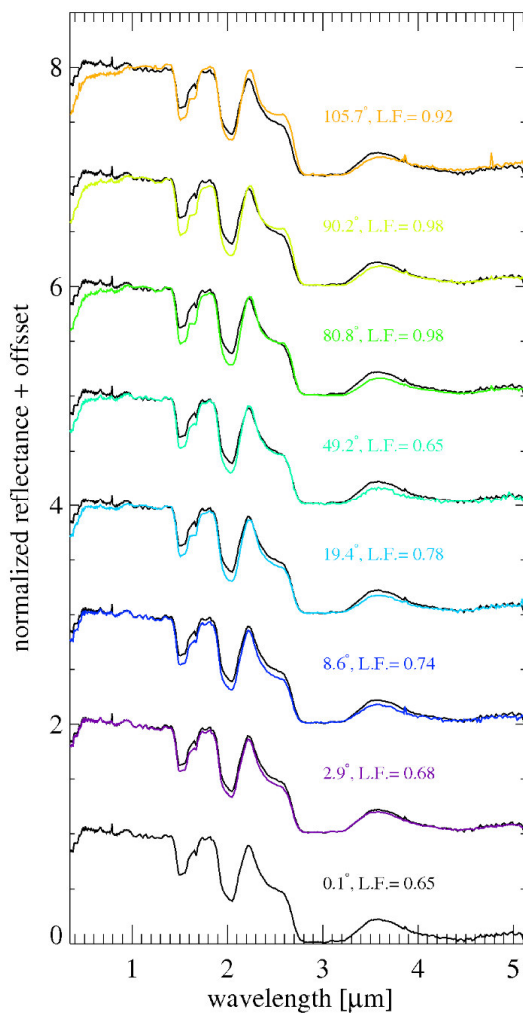
246 field of view can cover from a few tens to some hundreds of pixels. In order to produce phase  
 247 function curves of the satellite at each wavelength we developed an IDL procedure that sums up the  
 248 reflectances ( $I/F$ ) of observed-illuminated pixels in the image, correcting them by the multiplicative  
 249 factor  $\delta\mathcal{E}D^2/R^2$  (where  $R$  is Rhea's radius and  $\delta\mathcal{E}$  is the solid angle subtended by the pixel). This  
 250 factor represents the solid angle increment on the satellite surface times the emission angle cosine.  
 251 The relation between observed data and FDR is:

$$252 \int_{A(i,v)} r(i, e, g) S(i, e, g) \mu d\Omega \approx \sum_j \left( \frac{I}{F} \right)_j \frac{\delta\mathcal{E}D^2}{R^2} \quad (7)$$

253 where the subscript  $j$  identifies each single pixel.

254 In Fig. 2 Rhea full-disk normalized spectra acquired at various phase angle are plotted (for VIMS  
 255 calibration uncertainties please refer to McCord et al. (2004)). All the spectra exhibit typical  
 256 features of water ice (1.51, 2.2 and 3.1  $\mu\text{m}$  absorption bands), however towards the UV region the  
 257 shape of the spectrum strongly departs from the flat behavior of water ice producing a strong  
 258 reddening. This feature has been traditionally assigned to the presence of organic contaminants as  
 259 suggested by Cruikshank et al. (1998) and Poulet et al. (2002). However there is no clear additional  
 260 signature in the IR, and this constrains the amount of contaminants to be at most few percent (Clark  
 261 and Owensby, 1981). Clark et al., 2008 gave alternative explanations, including UV absorption by  
 262 other compounds and very small grains (nano-phase) of opaque minerals such as hematite. Clark et  
 263 al., (2011b) model the shape of the UV absorber with combinations of metallic iron (both large  
 264 grained and nano-sized particles) and nano-phase hematite. A feature centered at 0.9  $\mu\text{m}$  is present  
 265 in all the spectra. This seems to be an artifact due to the data calibration process. However, the  
 266 presence of this feature does not affect the global slope in VIS-IR region and doesn't introduce any  
 267 offset between the two channels, and thus does not alter the results of the following analysis. The  
 268 spectra show a certain dependence on observing geometry. The slope in VIS-NIR (around 1  $\mu\text{m}$ )  
 269 region and the band depth at 1.5 and 2.2  $\mu\text{m}$  slowly increase with increasing phase angle, while this

270 trend is reversed at 3.5  $\mu\text{m}$ . This peculiar behavior can be partially explained by varying the relative  
 271 contributions of single and multiple scattering at different wavelengths (related to different values  
 272 of the single-scattering albedo  $w$  as we will discuss later) and to a variation of single-particle phase  
 273 function along the spectrum (even in this case related to  $w$ ).

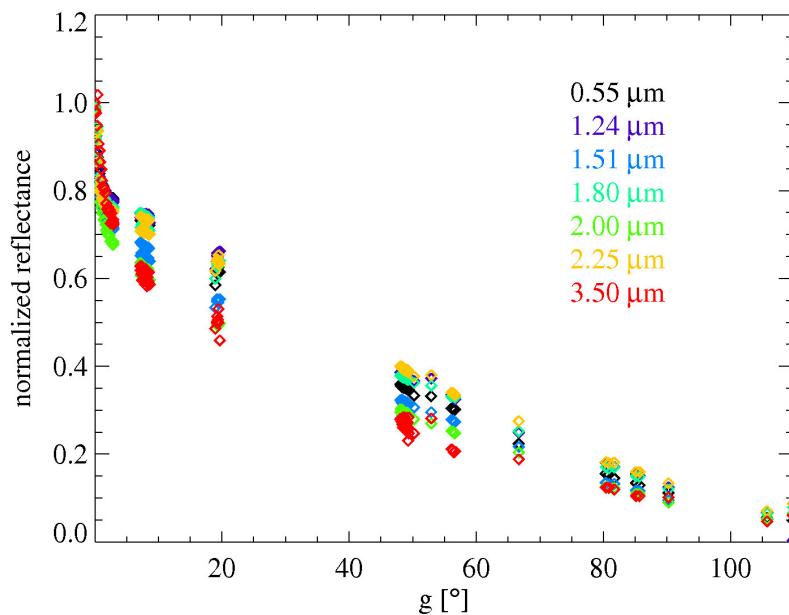


274

275 **[FIGURE 2]**

276 In Fig. 3 Rhea's normalized full-disk phase functions at various wavelengths are plotted. The  
 277 coverage is fairly complete across the whole range, except for the 20°-40° region. The shape of the  
 278 phase function is not constant with wavelength, which is obvious considering the dependence of the  
 279 spectrum on phase angle mentioned above. In particular, the OE width and reflectance at

280 intermediate phase angles show a lot of variability while the differences decrease towards larger  
 281 phase angles.



282

283 **[FIGURE 3]**

284

#### 285 **4. Spectral fit**

286 The first step of our investigation is the interpretation of Rhea spectra in terms of physical  
 287 characteristics of the surface involved in the scattering process. The principal properties that  
 288 determine the observed spectral shapes are the composition (in this case water ice + contaminants)  
 289 and the grain size. The presence of certain end-members is directly correlated with spectral  
 290 signatures (absorption bands), while the grain size basically affects the depth of the bands as well as  
 291 the IR slope (Clark and Lucey, 1984; Emery et al., 2005). In Hapke's model the spectral behavior is  
 292 described by the single-scattering albedo  $w$ , whose value at each wavelength can be calculated once  
 293 the end-members, their relative abundances, mixing mode and grain size distribution have been  
 294 fixed. The single-scattering albedo cannot be directly compared to the observed spectra, because the



295 reflectance at each wavelength depends on single scattering, which relies on the single-particle  
296 phase function, and multiple scattering, which involves  $w$  in a non linear way, as shown in (Eq.1).  
297 Moreover, at low phase angle OE must be taken into account, while at high phase angles large scale  
298 surface roughness decrease the reflectance.

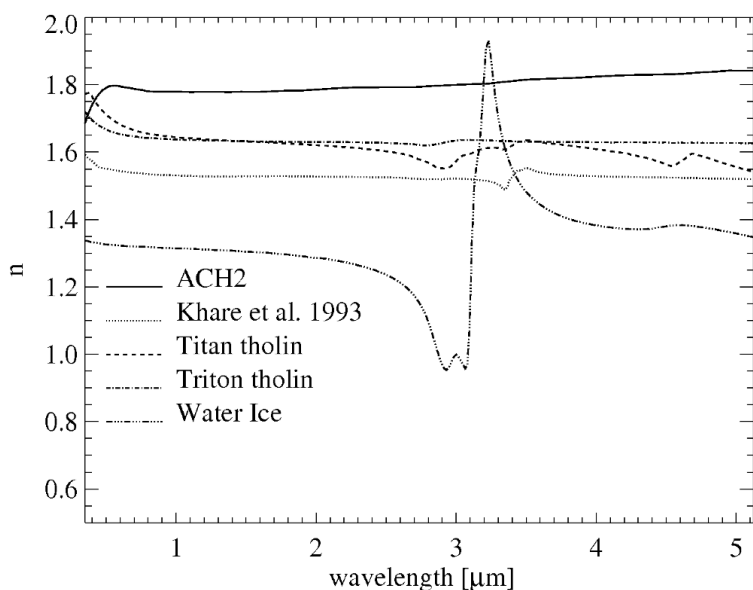
299 We have chosen to model Rhea's surface by means of a mixture of crystalline water ice and one  
300 organic contaminant. We used separately tholin from Khare et al. (1993), Triton tholin (McDonald  
301 et al., 1994; optical constants from Cruikshank, personal communication), Titan tholin (McDonald  
302 et al., 1994; Khare et al., 1984; optical constants from Cruikshank, personal communication) and  
303 hydrogenated amorphous carbon (ACH2) from Zubko et al. (1996). Optical constants for crystalline  
304 water ice are those derived by Warren (1984) (0.35-1.25  $\mu\text{m}$ , 266.15 K), Mastrapa et al. (2008)  
305 (1.25-2.5  $\mu\text{m}$ , 120 K), Mastrapa et al..(2009) (2.5-3.20  $\mu\text{m}$ , 120 K) and Clark et al. (20110b) (3.20-  
306 5.12  $\mu\text{m}$ , 120 K). We investigated areal mixing, intimate mixing and intraparticle mixing. In order  
307 to investigate spectral behavior of different mixtures without superimposing any grain size effect  
308 we studied only monodisperse particle diameter distribution (particles are all equal in size). This  
309 may lead to a non-unique solution, but as we shall see, provides information on the single particle  
310 phase function as a function of single particle albedo.

311 The other parameter fixed by the fitting procedure is the volume fraction of water ice  $p$ , with  $pc =$   
312  $1-p$  being the amount of contaminants.

313 In order to retain a minimum number of parameters we decided to perform the spectral fit at high  
314 phase angle ( $90^\circ$ ) thus avoiding the OE surge. To remove the contribution of large scale surface  
315 roughness  $K$  we fitted normalized spectra (normalization was performed at 1  $\mu\text{m}$ ). This choice also  
316 allows to minimize the geometrical effects of single-particle phase function which at this stage is  
317 assumed isotropic, but may further reduce the uniqueness of the solution.

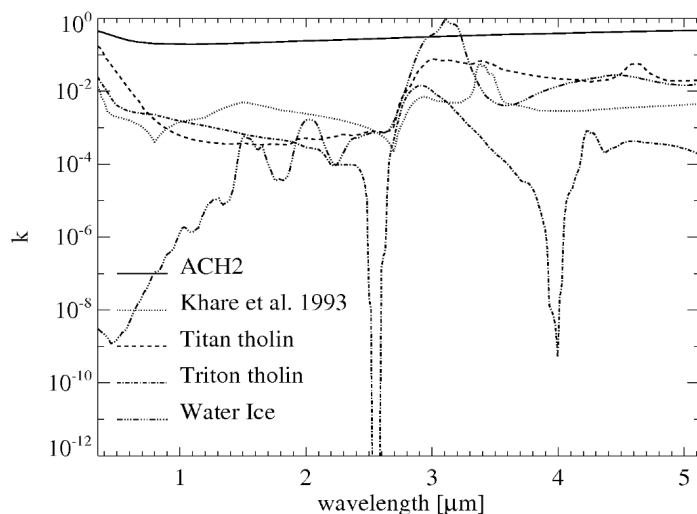
#### 318 4.1 Optical constants

319 Summary plots of optical constants Vs wavelength used in this work are shown in Fig. 4-5. In the  
320 VIS-NIR range optical constants from Warren et al. (1984) pertain to ice at  $-7\text{ }^{\circ}\text{C}$ , whose  
321 temperature is too high if compared to Rhea's surface at 77 K (Pitman et al., 2010). However these  
322 values match reasonably well with the ones derived by Mastrapa et al. (2008) at 120 K. Optical  
323 constants in the 3.2-5.1  $\mu\text{m}$  range are from Clark et al. (2011b) and have been computed starting  
324 from Mastrapa's values at the same wavelengths. The temperature difference between Rhea's  
325 surface and ice for which optical constants are determined introduces a tolerable error in our  
326 calculations, because it only minimally affects the results concerning grain size and contamination.  
327 The organic compounds, listed above, used to contaminate water ice, all have the effect of  
328 producing a red spectrum towards the UV (Fig. 5). Tholin from Khare et al. (1993) have been  
329 produced by plasma irradiation in an iced 6:1 mixture of  $\text{H}_2\text{O}$  and  $\text{C}_2\text{H}_6$  at 77 K. Titan and Triton  
330 tholin are instead obtained in gaseous phase by irradiation of 0.9:0.1 and 0.999:0.001  $\text{N}_2\backslash\text{CH}_4$   
331 mixtures. ACH2 is obtained by arc discharge between carbon electrodes in  $\text{H}_2$  atmosphere.



332

333 [FIGURE 4]



334

335 **[FIGURE 5]**

336

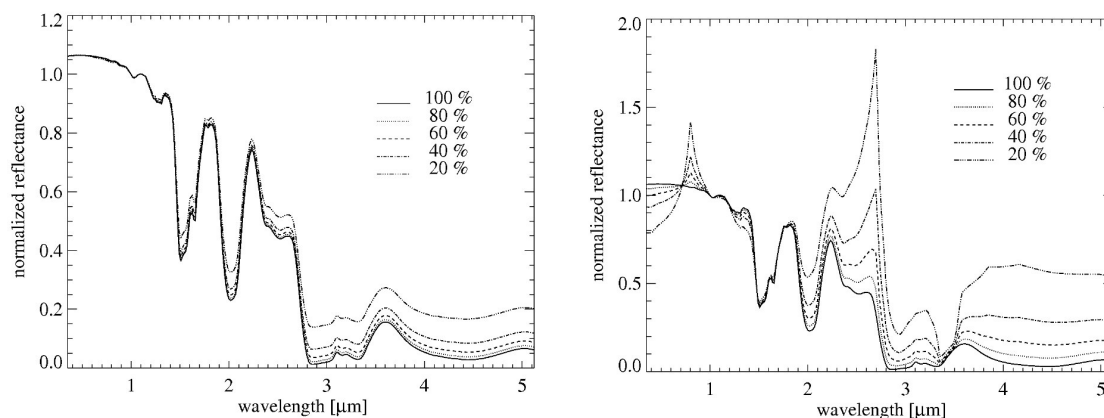
337

338 4.2 Areal mixing

339 We fitted observed spectra with different areal mixtures of two components where the main end-  
 340 member is always water ice and the second is one among the selected organic contaminants. In  
 341 areal mixing, the two different types of surface are characterized by different single-scattering  
 342 albedo, and a beam of light interacts only with particles of the same composition. The resulting  
 343 spectrum is a linearly-weighted average of the reflectances relative to the different regions. This  
 344 kind of mixing is inefficient to produce the observed reddening towards UV. As an example we  
 345 examine the cases of ACH2 and tholin (Khare et al., 1993). In the case of ACH2 the problem is  
 346 mainly due to the fact that contaminant spectrum is not red enough to produce a sensible effect. It  
 347 just reduces the reflectance across the whole spectrum without producing any absorption in the UV  
 348 region, where water ice is strongly non-absorbing. In the normalized spectra this corresponds to an  
 349 increment of reflectance of the darker wavelengths. This is shown in Fig. 6 (left panel) where the

350 results of five simulations with different abundances of ACH2 are plotted. The case of tholins (Fig.  
351 6, right panel) is different because their spectra are not as flat as ACH2 far from UV region. In  
352 order to produce an effective feature towards short wavelengths many unobserved features are  
353 introduced in other regions of the spectrum. The outcome of this simulation is that the strong UV  
354 downturn observed in the Rhea spectrum it is not compatible with mixtures including compounds  
355 expected to be found on its surface. As an example, the best fit obtained with an areal mixture of  
356 water ice and Titan tholin is plotted in Fig. 7 (upper left panel). The results are similar using other  
357 types of contaminants. The fit, fairly good in IR, is completely lost in UV-VIS where a plateau is  
358 formed at the shortest wavelengths, missing the observed spectral downturn. Results for areal  
359 mixtures fits are summarized in Table 1.

360



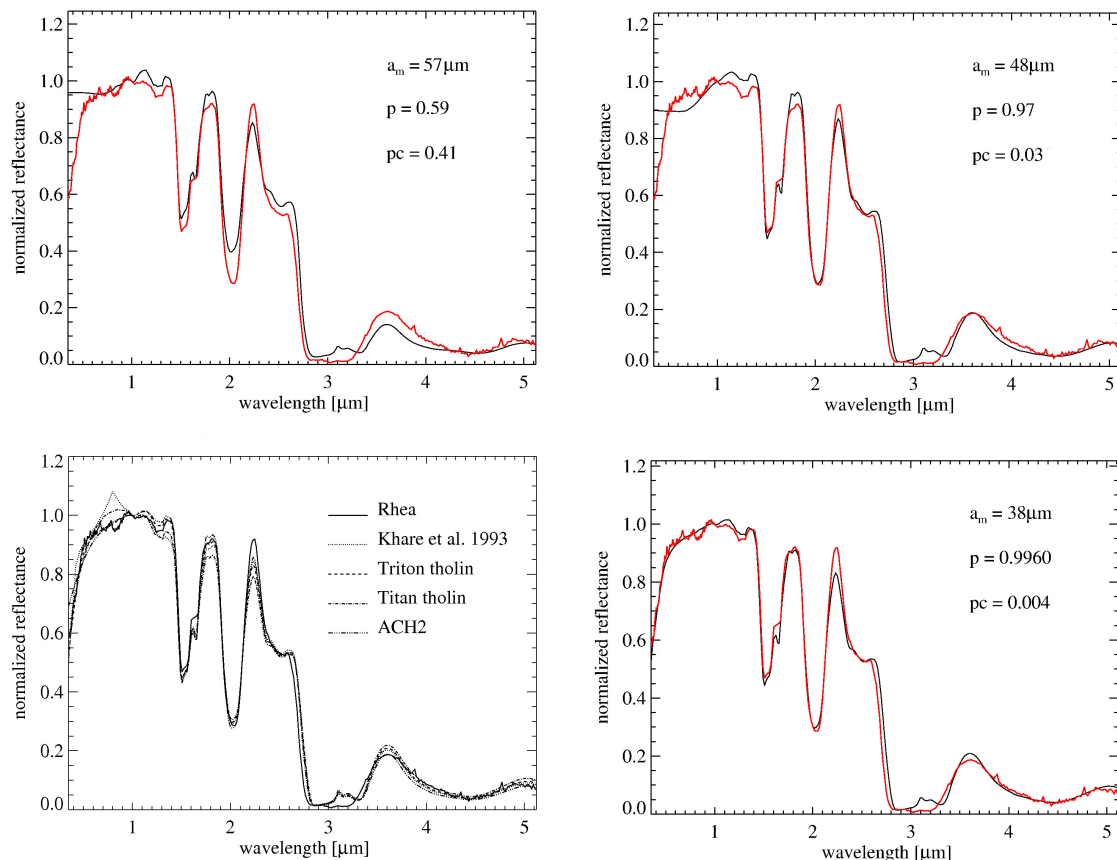
361

362 **FIGURE 6]**

363

364

365



366

367 [FIGURE 7]

368

369 [TABLE I]

370 4.3 Intimate mixing

371 Similar to the case of areal mixtures we obtained fits to Rhea's spectrum considering intimate  
 372 mixtures of water ice and the available contaminants. In an intimate mixture, particles of different  
 373 composition are in close contact (Clark, 1999), so this kind of mixing is also named "salt and  
 374 pepper" (Poulet et al., 2003). A single ray of light entering the medium in a given position interacts  
 375 both with water ice particles and contaminant particles. A small volume containing a statistically  
 376 significant number of particles behaves as if it had an effective single-scattering albedo given by the

377 average of the albedo of the single particles. This kind of mixing is expected to be more efficient  
378 than areal mixing because at this stage spectral signatures (e.g. reddening) affect the effective  
379 single-scattering albedo and are stretched in higher order terms ( $w^2$ ,  $w^3$ ...) involved in the resulting  
380 reflectance, while in the case of areal mixtures, spectra of contaminants are only linearly combined.  
381 As a result, in intimate mixing, the darker component dominates the spectra signature (Clark, 1999).  
382 However, intimate mixing alone is still unable to reproduce the observed reddening of Rhea's  
383 spectrum for all the contaminants analyzed. Fig. 7 (upper right panel) shows the best fit obtained  
384 with an intimate mixture of water ice and Titan tholin. As with the result of the areal mixture the fit  
385 is acceptable in the IR but no reddening is produced towards the UV. The results of the fits are  
386 summarized in Table 2.

387

388 **[TABLE II]**

389

#### 390 4.4 Intraparticle mixing

391 In this approach we consider the surface covered by identical particles of water ice with small  
392 inclusion of contaminants. The single particle behaves as if it had effective optical constants derived  
393 from a combination, given by the Maxwell-Garnett equation, of optical constants of ice and  
394 inclusions. For small amount of contaminants (which is the case of this work) the Maxwell-Garnett  
395 equation gives results similar to those obtained by a weighted average of the optical constants  
396 (Cuzzi and Estrada, 1998). This kind of mixing is the most efficient means of producing reddening  
397 because it exaggerates spectral differences, working directly with the complex refractive indices. As  
398 shown in Fig. 7 (bottom left panel), intraparticle mixing produces the required UV reddening with  
399 every contaminant considered in this work, although the type of reddening change from one  
400 contaminant to another. Extremely low concentrations of ACH2 (0.01%) are able to introduce UV

401 reddening, but the resulting spectrum falls too quickly towards short wavelengths before 1  $\mu\text{m}$  and  
402 it is not steep enough below 0.4  $\mu\text{m}$ . Titan tholin produces good fits at the shortest wavelengths but  
403 it is not sufficiently absorbing towards 1  $\mu\text{m}$ . Tholin from Khare et al. (1993) shows a good  
404 agreement below 0.5  $\mu\text{m}$  but has an unobserved feature at 0.8  $\mu\text{m}$ . The best fit (Fig. 7, bottom right  
405 panel) is obtained with Triton tholin which reproduces even the change of slope in the spectrum  
406 around 0.5  $\mu\text{m}$ . The particle size varies depending on the chosen contaminant. However, if we  
407 discard the results given by Titan tholin which produces the worst fit in the IR where the spectrum  
408 is more sensitive to grain size, we find that the particles diameter is limited to the range 40-50  $\mu\text{m}$ .  
409 This diameter can be considered as an average size of particles, once we assume a monodisperse  
410 grain size distribution. Some discrepancies between the final fit and observed spectrum due to  
411 particle size are discussed in the next section where the best spectral fit is shown. Results for  
412 intraparticle mixtures fits are summarized in Table 3.

413 [TABLE III]

#### 414 4.5 Best spectral fit

415 Considering the results presented in previous sections the best way to reproduce the spectral  
416 properties of Rhea is to assume an intraparticle mixture of  $99.60 \pm 0.05$  % water ice and  $0.40 \pm 0.05$  %  
417 Triton tholin, with a grain diameter of  $38.0 \pm 0.5$   $\mu\text{m}$  (Fig. 7, bottom right panel). Uncertainty on the  
418 derived values is related to the procedure we applied to perform the fit, as explained in Appendix  
419 A. Despite the small number of free parameters and end-members, the simulated spectrum fits well  
420 the observed spectrum. With such a low amount of tholin as a contaminant in the ice, other tholin  
421 absorption bands have a small effect on the infrared spectrum where ice is more absorbing. VIS  
422 reddening as well as water ice bands are very well reproduced. The secondary absorption band of  
423 crystalline water ice at 1.65  $\mu\text{m}$ , which is shown in simulated spectrum, cannot be confirmed in  
424 VIMS data because in the wavelength range 1.60-1.66  $\mu\text{m}$  the measured signal is affected by the  
425 presence of an order sorting filter on the detector. Consequently, the measured signal in that region

426 is replaced by an interpolated value. One of the stronger discrepancies is in the peak at 2.2  $\mu\text{m}$ . This  
427 problem is shown in all the mixtures that have been analyzed so does not depend on the spectral  
428 properties of the contaminant but is instead most probably due to the chosen grain size distribution.  
429 In the case of monodisperse grain size distribution all the particles are equal and the contribution  
430 from smaller particles (with particle size similar or smaller than the wavelength), which certainly  
431 are present in a real distribution of sizes, is not considered. It must be noted that Hapke's model is  
432 developed in the geometric optics domain, so normally does not deal with grain size smaller than  
433 the wavelength. Clark et al. (2011b) have extended the Hapke model to include the diffractive  
434 scattering and absorption effects from sub-micron particles. Adopting a distribution of sizes which  
435 includes smaller particles it might be possible to reproduce the peak at 2.2  $\mu\text{m}$ . Another part of the  
436 spectrum where the fit is lost for all the mixtures we deal with, is given by the absorption band at 3  
437  $\mu\text{m}$ . In simulated spectra the 3.1  $\mu\text{m}$  Fresnel peak is always visible, while in the measured spectra it  
438 completely disappears. The absence of the Fresnel peak cannot be completely attributed to the  
439 relative abundance of amorphous versus crystalline ice, as in amorphous ice the Fresnel peak  
440 doesn't fully disappear as shown by (Mastrapa et al., 2009). Moreover ground-based telescopic  
441 spectra of Rhea also attest to the crystalline nature of  $\text{H}_2\text{O}$  dominating its surface (Cruikshank et al.  
442 2005; Emery et al. 2005). The absence of the Fresnel peak in Rhea's spectra (as in the case for the  
443 others icy bodies of the saturnian system) is probably due again to a grain size effect. In large  
444 particles light at 3  $\mu\text{m}$  is almost completely absorbed, given the high value of  $k$  and the longer path  
445 that light travels inside the grain. This implies that when we deal with big grains the light scattered  
446 by the particles is the one coming from surface reflections (that involves  $n$ ) and which generates the  
447 Fresnel peak. Scattered light from smaller particles is the result of both surface reflection and  
448 internal reflection, thus the Fresnel peak is minimized. Since in our analysis the grain size is around  
449 40  $\mu\text{m}$  and the contribution from small particles is neglected, the resulting spectrum exhibits an  
450 evident peak at 3.1  $\mu\text{m}$ .



451 An intraparticle mixture of water ice and Triton tholin was adopted in Cruikshank et al. (2005)  
452 where a fit of Rhea spectrum was performed applying the Shkuratov model. It is interesting to note  
453 that both approaches require a comparable amount of embedded contaminant (0.4% in this work,  
454 0.2% in Cruikshank et al. (2005)) and they both reproduces the reddening in the UV, even if the  
455 adopted spectral models and the surface modeling are different. It reinforces the idea that  
456 intraparticle mixing is the best approach to explain the UV feature and that Triton tholin is a  
457 reasonable candidate as the water ice contaminant.

## 458 **5. Phase function fit**

459 Results from the spectral fit allow us to determine the mixture (type of contaminant and mixing  
460 modality) and the grain size. The knowledge of these two parameters enables us to compute single-  
461 scattering albedo  $w$  which determines the spectral behavior of the observed surface. This quantity is  
462 involved in Eq. 1, which in our approach depends on 5 parameters (apart from  $w$ ). The possibility to  
463 fix the single-scattering albedo reduces the complexity of phase function fit procedure and allows  
464 the decoupling of spectral effect from photometric ones.

465 A phase function fit at each wavelength available in VIMS range has been performed, using the  
466 surface model given by the best spectral fit that is represented by an intraparticle mixture of water  
467 ice and Triton tholin (99.6% - 0.04%), with 38  $\mu\text{m}$  grain size. Parameters relative to the OE ( $B_0$ ,  $h$ )  
468 are allowed to vary along the spectrum because, as we mention in section 2, we want to take into  
469 account the CBOE as well which can depend on wavelength. Even the single-particle phase  
470 function ( $b, v$ ) parameters can vary with wavelength because the single-scattering albedo has  
471 spectral variation and modifies the scattering properties of the grain. The large-scale surface  
472 roughness parameter ( $\bar{\theta}$ ) is constant all over the spectrum because it accounts only for geometric  
473 effects due to surface structures. Details on the fit procedure are in Appendix. In Table 4 the best  
474 phase function fit variables for each VIMS channel are reported.

475 As we deal with particles that are in average larger than the wavelength, we do not expect a direct  
 476 correlation between the values of parameters obtained by the fit and the wavelength itself. On the  
 477 other hand, the single-scattering albedo, which determines the contribution of scattering in the light  
 478 extinction process, plays a fundamental role for the photometric properties at a given wavelength.

479 This implies that the estimated parameters should be correlated with the single-scattering albedo  
 480 rather than with the wavelength.

481 **[TABLE IV]**

### 482 5.1 Residuals

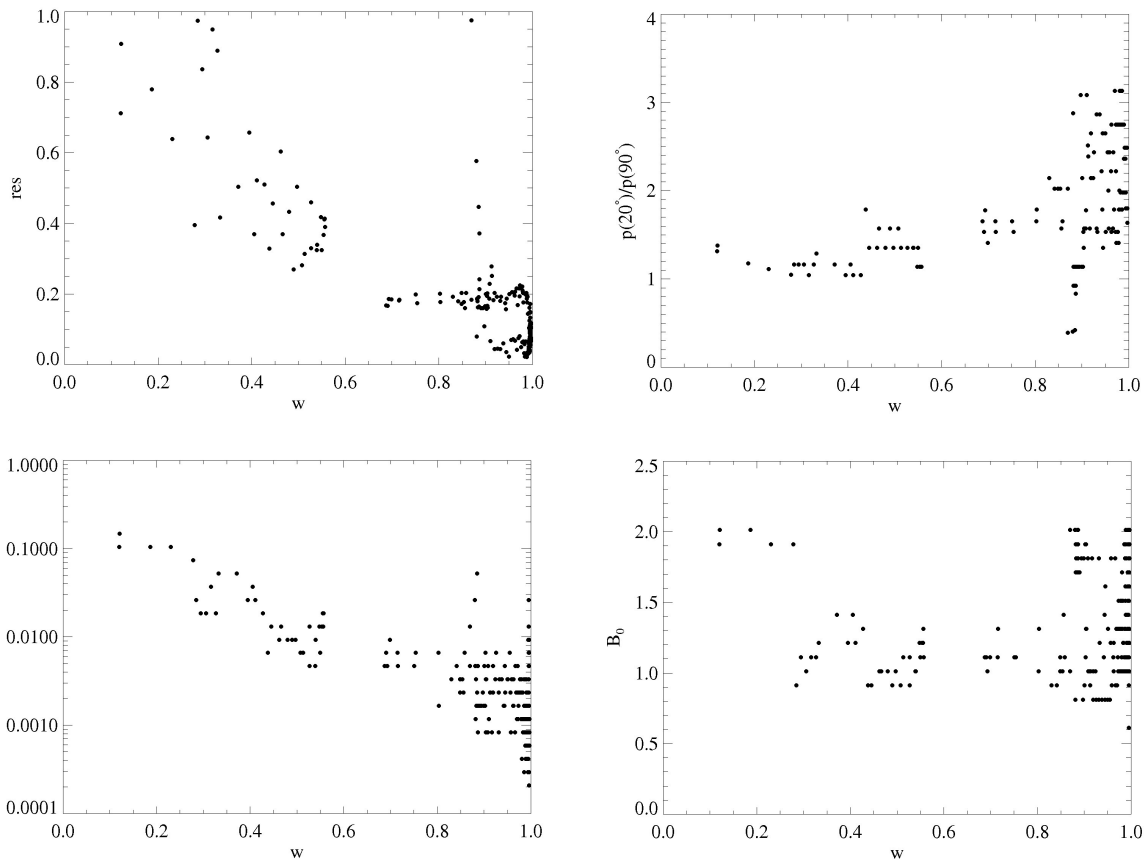
483 Fits are performed minimizing the residuals that are calculated for each wavelength following the  
 484 formula:

$$485 \quad res = \sum \left( \frac{r_i^m - r_i^c}{r_i^m} \right)^2, \quad (8)$$

486 where  $r_i^m$  is the measured absolute reflectance at  $i$ 'th phase angle while  $r_i^c$  is the value computed by  
 487 the fit procedure (solar spectrum used to calibrate VIMS data is derived from Thekaekara (1973)).  
 488 This kind of choice aims to give equal weight to the head and the tail of the full-disk phase function.  
 489 Reconstructed full-disk phase functions for those wavelengths where the albedo is very low are  
 490 often dominated by noise, and the corresponding fit parameters values are thought to have no  
 491 physical meaning. Additionally, they produce high residuals because the model is unable to perform  
 492 a satisfactory fit. For this reason we have considered for the following analysis only wavelengths  
 493 with well determined full disk phase function and low value of the residuals. We found that for a  
 494 residual value of 1 the full disk phase function can be considered well reproduced. From this point  
 495 to the end of the paper we refer only to results relative to residuals lower than 1, unless explicitly  
 496 written.

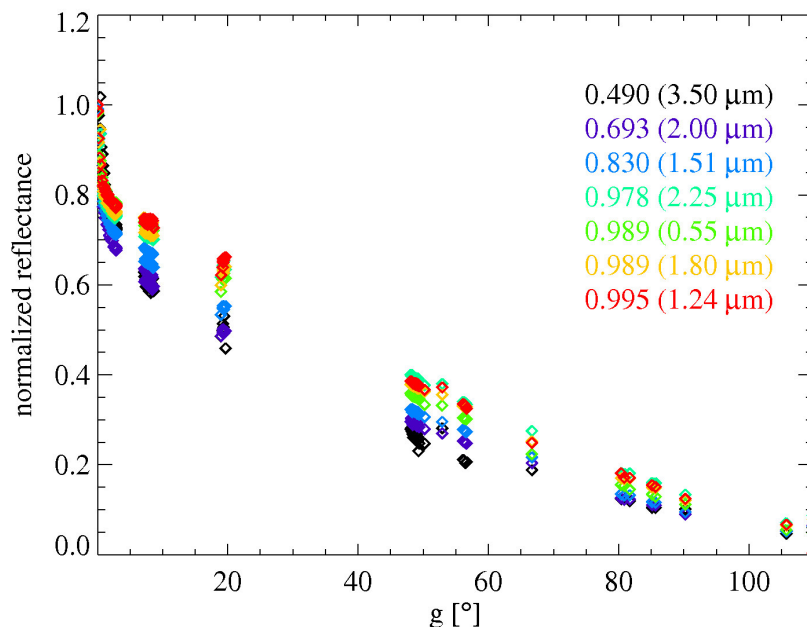
497 In Fig. 8 (upper left panel) fit residuals for each band are plotted against the value of single-  
 498 scattering albedo computed for the correspondent wavelength. As we can see the accuracy of the  
 499 fits increases with larger values of the single-scattering albedo. This effect is due to two reasons.  
 500 The first is that for those wavelengths corresponding to a higher value of  $w$  the signal to noise ratio  
 501 is typically higher and reconstructed phase functions are more accurate. The second is that at low  
 502 values of the single-scattering albedo the dominating process is single scattering. In this regime the  
 503 full-disk phase function is more sensitive to single-particle phase function and worse regression  
 504 accuracy indicates that the Heyney-Greenstein expression is not able to completely describe the  
 505 scattering process.

506



507

508 **FIGURE 8]**



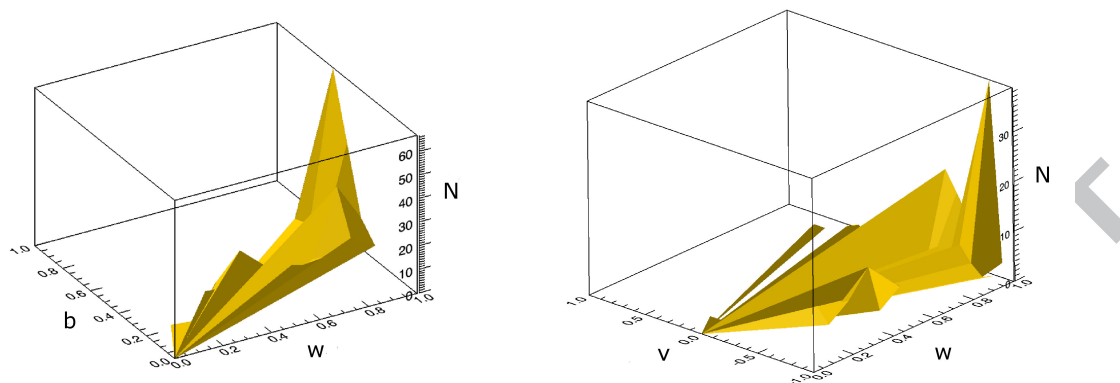
509

510 **[FIGURE 9]**

511

512 5.2 Single-particle phase function

513 In fig.10 the distributions of  $b$  and  $v$  parameters are plotted. In these graphs it is shown how many  
 514 times a certain value of the parameter occurs in a given range of  $w$  values. According to McGuire  
 515 and Hapke (1995) a clear spherical particle should have  $b \sim 0.5-0.7$  and  $v \sim -0.9$ . Most of our  $b$   
 516 values are slightly lower (0.3-0.4) as it's expected for real grains that are not perfectly spherical.  
 517 Moreover  $b$  values should decrease with increasing absorption and it is what we found, since the  
 518 fitted values shows a positive correlation with  $w$ . Concerning the  $v$  values, they are negative for a  
 519 large part but greater than -0.9 (average value is -0.5), again as expected for not perfectly spherical  
 520 particles.



521

522

523

524 [FIGURE 10]

525

526

527

528 In order to show any relation between single-particle phase function and single-scattering albedo is  
 529 useful to investigate the final shape of  $p(g)$ . In Fig. 8 (upper right panel), the ratio  $p(20^\circ)/p(90^\circ)$  vs  
 530  $w$  is shown. This choice aims to point out the trend of the single-particle phase function at  
 531 intermediate phase angles, avoiding the phase angle regions where the contribution of the OE and  
 532 large scale roughness become relevant. The  $p(20^\circ)/p(90^\circ)$  ratio increases with single-scattering  
 533 albedo meaning that reflectance increases at intermediate phase angles ( $10^\circ$ - $60^\circ$ ) for more  
 534 transparent particles. This behavior can be explained by the possibility for a light ray to undergo  
 535 multiple internal reflections in a non-absorbing particle, producing an higher backscattering lobe  
 536 respect to a darker particle, where surface reflection dominates and produces a quite flat single-  
 537 particle phase function at phases  $< 90^\circ$  (Hapke, 1993, p. 77, Fig. 5.7a). This result is better shown in

538 Fig. 9, where normalized full disk phase functions are plotted ordered according to increasing  
539 values of single-scattering albedo (listed along with their relative wavelengths).

540

541

### 542 5.3 Opposition effect

543 As anticipated in previous sections we choose to model the opposition effect following the results  
544 developed in Hapke (1993) which consider only shadow hiding (SHOE). This model depends on  
545 two parameters ( $B_0$ ,  $h$ ) which describes respectively the amplitude and angular width. Nonetheless,  
546 another mechanisms, coherent backscattering opposition effect (CBOE), has been recognized as  
547 contributing to the OE (Hapke et al., 1998; MacKintosh and Sajejev, 1998; Shkuratov et al. 1999b;  
548 Hapke, 2002). Given these reasons the interpretation of results concerning the OE is not trivial,  
549 because the two parameters ( $B_0$ ,  $h$ ) must describe the contributes of both SHOE and CBOE. The  
550 two effects have different characteristics that help us discern between them. The major difference is  
551 that angular width of SHOE doesn't depend on wavelength, while the CBOE does (MacKintosh and  
552 Sajejev 1998; Hapke 2002). The second one is that the SHOE width extends up to  $10^\circ$  or more while  
553 the CBOE is limited to a width of  $2^\circ$ - $3^\circ$  at most (Hapke et al. 1998, Shkuratov et al. 1999b).  
554 Additionally we must consider that SHOE is a single-scattering effect while the CBOE develops in  
555 a multiple-scattering process. Therefore, we expect that the SHOE dominates for wavelengths  
556 corresponding to low values of  $w$  while the CBOE dominates at wavelengths where  $w$  values is  
557 close to 1.

558 Figure 8 (bottom left panel) shows the plot  $h$  against  $w$ . The values of  $h$  spread over almost three  
559 orders of magnitude (0.0002-0.14) giving an OE half width  $\Delta g \approx 2h$  ranging between  $0.01^\circ$  -  $16^\circ$ , and  
560 show a clear correlation with single-scattering albedo. At low values of  $w$  we have high  $h$  while the  
561 opposite is true when the albedo is low. This behavior reveals the presence of two competing

562 mechanisms in the OE: the CBOE for wavelengths with high value of albedo and the SHOE for the  
 563 opposite case. This fact agrees with the argument that the CBOE depends on multiple scattering and  
 564 the SHOE on single scattering. Moreover, we would expect that the  $h$  values at low  $w$  would be  
 565 nearly constants, since SH is independent of wavelength. What we see, in fact, is that spreading  
 566 reduces considerably towards small values of  $w$  with  $h$  approaching 0.1.

567 In the SH regime a rough estimation of the porosity of water ice particles on the surface can be  
 568 computed from the following relation (Hapke 1993):

$$569 \quad h \approx -\frac{3}{8} \ln(1-\phi) \quad (9)$$

570 where  $\phi$  is the filling factor. The value of  $h$  we chose to use is 0.1, which is referred to low values  
 571 of the single-scattering albedo, where single scattering dominate and the OE is due to SH. Moreover  
 572 this value is almost constant with  $w$ , so it is independent of wavelength, which is what we expect  
 573 for the SHOE. With this choice we obtain  $\phi = 0.23$ . However, considering that a real grain size  
 574 distribution has a non null dispersion and that the particle diameter we have measured is just an  
 575 average value the filling factor can be higher. Assuming a grain size distribution of the form:

$$576 \quad N(a) \propto a e^{-\frac{a}{a_m}} \quad (10)$$

577 we obtain  $\Phi = 0.35$ . The derived porosity is then in the range 65-77 %. These values are lower than  
 578 those derived in a similar study by Domingue et al. (1995). It must be noted that in Domingue's  
 579 work the analysis was performed at 0.47 and 0.55  $\mu\text{m}$ , where the single-scattering albedo is close to  
 580 1 and the CBOE contribution is important, reducing  $h$  and increasing the estimated porosity.

581 Regarding the amplitude of the opposition effect  $B_0$  (Fig. 8, bottom right panel) we do not find any  
 582 particular trend with  $w$ , apart from the fact that values have a larger spreading for single-scattering

583 albedo close to 1 tending to be higher respect to the rest of the spectrum. This could be an indication  
584 of the superimposition of the CB on SH, but a compensating effect due to the unsatisfactory  
585 modeling of the single-particle phase function (backscattering region) cannot be excluded.

586

587

588

#### 589 5.4 Large-scale surface roughness

590 The large-scale surface roughness is characterized by the  $\bar{\theta}$  parameter which Hapke (Hapke, 1993)  
591 interprets an average slope of the surface structures. This is the only parameter that doesn't depend  
592 on wavelength in our fit procedure. To constrain it we performed fits of full-disk phase functions  
593 for each value of  $\bar{\theta}$  in the range  $10^{\circ}$ - $35^{\circ}$  and chose the one that produced the smallest residual. We  
594 found a final value of  $\bar{\theta}=33^{\circ}$  (we must point out that all the values above  $30^{\circ}$  gave similar fits).  
595 The result obtained seems too high if related to common slopes of craters and other topographic  
596 structures, and exceeds the values found by Domingue et al. (1995), while is close to the one found  
597 by Buratti (1985) for Mimas. Recent experimental analysis performed by Shepard and Helfenstein  
598 (2007), has shown that the value of  $\bar{\theta}$  is not only affected by subpixel topography but also, and  
599 mainly, by roughness on the scale of particles clumping which can produce fairly high slopes,  
600 related to the angle of repose of the regolith, and consistent with our determination.

601 Typically, in phase function fit procedures, the estimated values of single-scattering albedo and  
602 surface roughness show a certain degree of correlation, which of course has no physical meaning  
603 and reveals a degeneration in the inversion process.

604 In our approach the evaluation of  $w$  comes from the spectral fit and it's completely independent on  
605 the determination of the roughness parameter, so the degree of degeneration of the regression is



606 reduced making the result more reliable. However, it must be noted, as pointed out in Davidsson et  
607 al. (2009), that the treatment of surface roughness in Hapke's theory relies on the assumption of  
608 "small mean slope" ( $\bar{\theta} < 10^\circ$ ), which allows to obtain analytical solutions. The value we retrieved  
609 from the phase function analysis is far beyond this limit and must be considered with care.

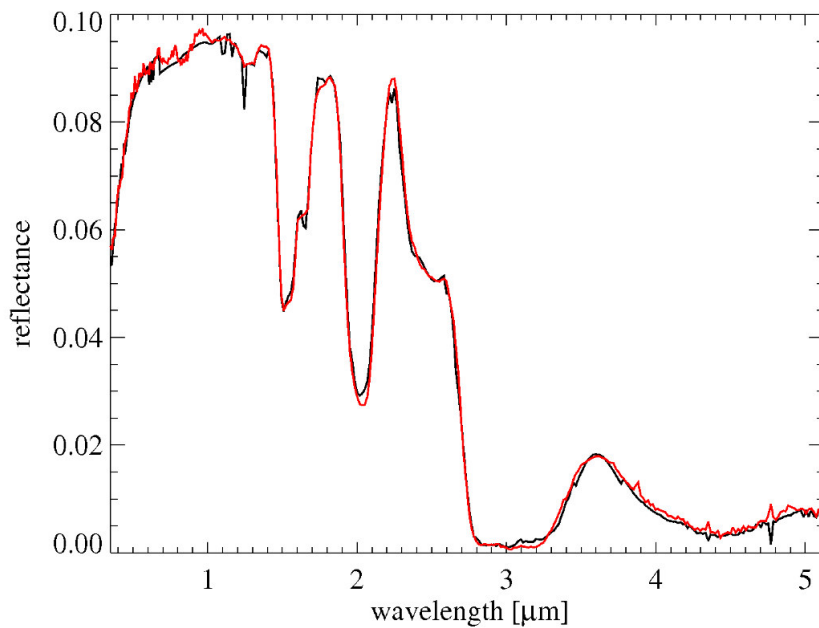
610 Another issue is represented by the fact that in Hapke's theory multiple scattering between the  
611 facets composing the surface is neglected which is not applicable for high albedo materials and  
612 rough surfaces. In particular, icy surfaces have high albedo and, as shown in Shepard and  
613 Helfenstein (2007), the sub-centimeters scale roughness implies fairly large slope angles (typically  
614 above  $10^\circ$ ).

615 This would limit the applicability of the roughness correction only to low albedo media, which is  
616 not the case of icy surface, unless the analysis is restricted to wavelengths where strong absorption  
617 bands are located (eg. 2.0 and 3.0  $\mu\text{m}$ ). In our analysis the derived  $\bar{\theta}$  value produces good fits both  
618 for high and low reflectance values (e.g. 1 and 2  $\mu\text{m}$ ). This would imply that the effect of multiple  
619 scattering is less relevant than the "small mean slope" approximation.

## 620 **6. Feedback on the spectral fit**

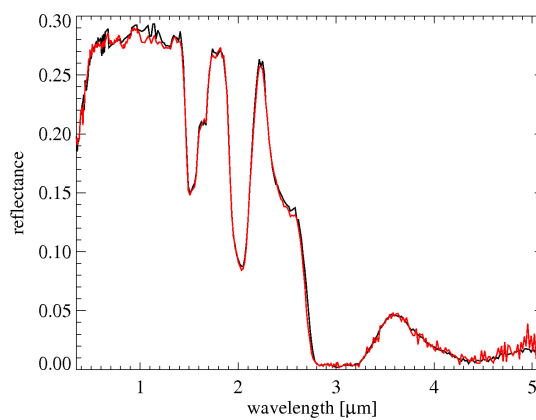
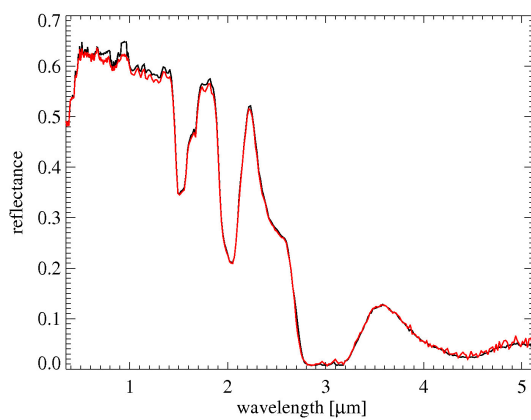
621 The results of the phase function fits can be used to improve the spectral fit, which represented the  
622 starting point of our analysis. The spectral fit, as explained in section 4.0, was performed assuming  
623 an isotropic phase function and at phase angles large enough to avoid contributions from any OE.  
624 Now, for each phase angle it is possible to compute the absolute spectrum, removing the  
625 approximation of an isotropic single-particle phase function, including the OE and surface  
626 roughness. We have recalculated the spectrum at  $g=90^\circ$ , the one chosen to perform the starting  
627 spectral fit, and plotted it in Fig. 11. The agreement between the fitted spectrum and the measured  
628 one is almost perfect throughout the VIS-NIR region. Similar fits have been performed for each

629 spectrum (phase angle) of the dataset. As example the results relative to spectra at  $g=2.1^\circ$  and  
630  $g=49.4^\circ$  are plotted in Fig. 12.



631

632 [FIGURE 11]



633

634 [FIGURE 12]

635

636

637 This high level of fit accuracy is possible because we deal with a large number of free parameters  
638 and this allows us to tune the reflectance at each wavelength. This represents also the limitation of  
639 this approach. In fact, any mismatches in the spectral fit due to grain size effects, as shown in  
640 section 4.5 for the reflectances at  $2.2\mu\text{m}$  and  $3.1\mu\text{m}$ , or due to an incomplete knowledge of the ice  
641 optical constants, for instance, can be compensated by the contribution of the single-particle phase  
642 function. The spectral fit will be optimal but we shall be misled in the interpretation of the  
643 scattering mechanisms at work.

644 On the other hand, the trends observed in Fig. 8, 9 and 10 between phase curve parameters and the  
645 single-scattering albedo points out some degree of physical correlation among those variables. For  
646 instance, it is not totally unexpected to find that brighter surfaces have a narrower width of the  
647 opposition effect than darker surfaces; the contribution of multiple scattering within the grains  
648 attenuates the shadow hiding effect limiting it to a narrow region around the zero phase condition.  
649 Also, for planetary surfaces, the slope of the linear part is larger for brighter surfaces and this is  
650 consistent with the results shown in Fig. 8-9.

651 In other words, we could use these correlations to give preliminary estimates of the phase curve  
652 parameters to be used in the determination of the spectral fit; this approach can prove valuable also  
653 in case of incomplete or undersampled phase curves.

654

## 655 **7. Summary and conclusions**

656 We have studied surface spectrophotometric properties of Rhea, the largest icy satellite in the  
657 saturnian system. The analysis has been performed on spectra acquired by VIMS onboard Cassini,  
658 in the  $0.35\text{-}5.12\mu\text{m}$  spectral range, covering the  $0.08^\circ\text{-}110^\circ$  phase angle interval. This approach  
659 enabled us to investigate the compositional state of the ice covering the moon, the agglomeration  
660 state of the medium and the roughness properties. Hapke's spectral and photometric model has been

661 used for the analysis. Four different organic compounds have been investigated as water ice  
662 contaminants in order to model the reddening observed towards the UV. The best spectral fit in our  
663 model is represented by an intraparticle mixture of crystalline water ice (99.6 %) and Triton tholin  
664 (0.4%), with a grain size (diameter) of 38  $\mu\text{m}$ . Major discrepancies between the measured and fitted  
665 spectrum can be addressed to a grain size effect, because our particle diameter distribution  
666 represents only the average size of the particles and therefore neglects the contribution of grains  
667 with dimensions comparable to or less than the wavelength.

668 Once the composition of the particles in the model was frozen it was possible to investigate the  
669 photometric properties of the satellite fitting the full-disk phase function at each wavelength. The  
670 picture that emerges is that the dominating parameter in the scattering process is the single-  
671 scattering albedo  $w$ , showing correlation with the single-particle phase function and OE. No  
672 particular dependence of OE on wavelength was found, as expected, considering that the typical  
673 grain size is larger than  $\lambda$ . The analysis of the OE indicates that both SH and CB are active, and  
674 their relative contribution depend on the single scattering albedo value. Measuring the OE angular  
675 width  $h$  in the SH regime we estimated a porosity varying between 65-77% .

676 The relatively high value of the roughness parameter ( $\bar{\theta}=33^\circ$ ) is not compatible with the mean  
677 slope of surface structures like craters, depressions or other reliefs. This points to a correlation of  $\bar{\theta}$   
678 with roughness on smaller scale, possibly on the order of centimeters, confirming the Shepard and  
679 Helfenstein (2007) results, and providing an estimation of the regolith angle of repose.

680 The next step will be to apply the methodology described in this paper to the other full disk  
681 observations of the Saturn's icy satellites. From this point of view the huge VIMS dataset represents  
682 an extremely useful resource, given the large number of observations over a wide variety of  
683 geometric conditions. Our goal will be to determine the distribution of contaminants in the saturnian  
684 system and to point out compositional correlations among the moons. This analysis will represent a

685 useful tool for characterizing the effect of processes shaping the surfaces of these bodies:  
686 interactions with other moons, with the rings, and with the saturnian magnetosphere, as well as the  
687 surface activity of the moon itself.

688 As a future development we intend to investigate different kinds of grain size distributions  
689 involving even smaller particles (e.g. as done by Clark et al., 2011b). This new approach is beyond  
690 the limit of geometric optics applied in the present formulation of Hapke's model and requires to  
691 use Mie theory (Mie, 1908) to compute single-scattering albedo of the particles.

692

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#### 699 APPENDIX: FIT PROCEDURE

700 Performing an inversion of a model with several free parameters is a challenging task. The most  
701 common problem is to discriminate between different solutions that give similar results. Fitting  
702 algorithms are able to find minima in the parameters space but it is difficult to discriminate between  
703 local and absolute ones. To overcome this problem we adopted a very simple and transparent  
704 method. We determined a grid in the parameter space through a quantization of the parameters over  
705 the full range of variability. For each point of the grid (a single combination of the parameters) we  
706 calculated the model prediction and compared it to the data. The best prediction represents the final

707 results of the fit. This method correctly finds the absolute minimum if the parameters space is  
 708 sufficiently sampled. The quantization we chose is related to the degree of precision needed by the  
 709 fit. At the same time the variability range for unbounded parameters has been fixed considering a  
 710 range of values with physical sense.

711 Concerning the spectral fit we chose a quantization for the particle diameter  $a_m$  of 1  $\mu\text{m}$  in a range  
 712 extending from 10 to 100  $\mu\text{m}$ ; we knew from previous analysis that higher values were  
 713 unnecessary and that diameter values lower than 10  $\mu\text{m}$  would have broken the limits given by  
 714 geometric optics in Hapke model. The water ice mixing percentage range changes corresponding to  
 715 the different mixtures, and in any case  $p$  was  $\leq 1$ . The quantization “step” of the parameters has  
 716 been chosen as the minimum variation that could create an appreciable change in the output,  
 717 consequently the fitted value can be assumed with an uncertainty of half “step”.

718 For the phase function fit the various parameters have been quantized in the following way:

719  $B_0 \in [0;2], B_{0i} = i \cdot 0.1, i = 0,1,2,\dots,10$

720  $h \in [0.0001;0.1], h_i = 10^{(-4+0.15 \cdot i)}, i = 0,1,2,\dots,30$

721  $b \in [0;9], b_i = i \cdot 0.1, i=0,1,2,\dots,9$

722  $v \in [-1;1], v_i = -1 + i \cdot 0.1, i = 0,1,2,\dots,20$

723  $\bar{\theta} \in [10^\circ;35^\circ], \bar{\theta}_i = i, i=10^\circ,11^\circ,12^\circ,\dots,35^\circ$

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884

## 885 **FIGURES CAPTIONS**

886 Figure 1. Schematic representations of two-components mixtures: areal (a), intimate (b) and  
887 intraparticle (c). In a) the circle represents the field of view of the observing instrument, while in c)  
888 the circle represents a single grain.

889

890 Figure 2. VIMS full-disk spectra of Rhea acquired at different phase angles, normalized at 1  $\mu\text{m}$ .  
891 An offset is added for clarity. The spectrum at each phase angle is compared to the spectrum at  
892  $0.08^\circ$  (black curve). The leading fraction L.F. of each spectrum is reported.

893

894 Figure 3. Rhea's full-disk phase functions at various wavelengths. All the curves are normalized to  
895 the value at minimum phase angle ( $0.08^\circ$ ).

896

897 Figure 4. Real part ( $n$ ) of the refractive index for water ice and four organic contaminants:  
898 hydrogenated amorphous carbon (ACH2), tholin from Khare et al. 1993, Titan tholin and Triton  
899 tholin.

900

901 Figure 5. Imaginary part ( $k$ ) of the refractive index for water ice and four organic contaminants:  
902 hydrogenated amorphous carbon (ACH2), tholin from Khare et al. 1993, Titan tholin and Triton  
903 tholin.

904

905 Figure 6. Simulated spectra of areal mixtures of water ice and ACH2 (left panel) and of water ice  
906 and Tholin from Khare et al.1993 (right panel). The percentage of water ice is indicated. Spectra are  
907 normalized at 1  $\mu\text{m}$ . Grain size is 50  $\mu\text{m}$ .

908

909

910 Figure 7. *Upper left panel:* areal mixture best fit. It is obtained with water ice and Titan tholin. The  
911 percentages of water ice ( $p$ ) and contaminant ( $pc$ ) and the grain size are indicated. Observed  
912 spectrum is in red. Spectra are normalized at 1  $\mu\text{m}$ . *Upper right panel:* intimate mixture best fit. It  
913 is obtained with water ice and Titan tholin. The percentages of water ice ( $p$ ) and contaminant ( $pc$ )  
914 and the grain size are indicated. Observed spectrum is in red. Spectra are normalized at 1  $\mu\text{m}$ .  
915 *Bottom left panel:* Summary plot of intraparticle mixtures fits. Rhea spectrum is the continuum line.  
916 All the mixtures are water ice + contaminant. Spectra are normalized at 1 $\mu\text{m}$ . Grain sizes and  
917 compounds abundances are in Table 3. *Bottom right panel:* intraparticle mixture best fit. It is  
918 obtained with water ice and Triton tholin. The percentages of water ice ( $p$ ) and contaminant ( $pc$ )  
919 and the grain size are indicated. Observed spectrum is in red. Spectra are normalized at 1  $\mu\text{m}$ .

920

921 Figure 8. *Upper left panel:* phase function fit residuals at each wavelength against the single-  
922 scattering albedo. *Upper right panel:* single-particle phase function at 20° and 90° ratio for each  
923 wavelength against the single-scattering albedo. *Bottom left panel:* opposition effect width against

924 the single-scattering albedo. *Bottom right panel:* opposition effect amplitude against the single-  
925 scattering albedo.

926

927 Figure 9. Rhea full-disk phase functions at wavelengths relative to increasing value of the single-  
928 scattering albedo. Single-scattering albedo values and corresponding wavelengths are indicated.  
929 Curves are normalized at minimum phase angle ( $0.08^\circ$ ).

930

931 Figure 10. Distribution of fitted  $b$  (left panel) and  $\nu$  (right panel) values respect to the single  
932 scattering albedo.  $N$  represents how many times a certain value of the parameter is obtained in a  
933 given range of  $w$  values. The  $w$  range (0-1) is divided in intervals 0.1 wide.

934

935 Figure 11. Final absolute spectral fit at  $g = 90.2^\circ$  ( $p = 0.996$ ,  $pc = 0.004$ ,  $a_m = 38 \mu m$ , intraparticle  
936 mixture). Isotropic single-particle phase function approximation has been removed and the  
937 correction due to roughness has been introduced. Observed spectrum is red.

938

939 Figure 12. *Left panel:* final absolute spectral fit at  $g = 2.1^\circ$ . *Right panel:* final absolute spectral fit at  
940  $g = 49.4^\circ$ . The two simulations are obtained with an intraparticle mixture ( $p = 0.996$ ,  $pc = 0.004$ ,  $a_m$   
941  $= 38 \mu m$ ). Isotropic single-particle phase function approximation has been removed and the  
942 correction due to roughness has been introduced. Observed spectrum is red.

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Highlights

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&gt;Rhea's spectrum and phase function modeling. &gt;Spectra from VIMS on board

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Cassini spacecraft. &gt;Intraparticle mixture of water ice and Triton tholin reproduces

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observed reddening. &gt;Determination of phase function parameters. &gt;Dependence

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of phase function parameters on single scattering albedo.

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