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# Monitoring groundwater storage changes in the highly seasonal humid tropics: Validation of GRACE measurements in the Bengal Basin

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1           **Monitoring groundwater storage changes in the highly**  
2           **seasonal humid tropics: validation of GRACE measurements**  
3                           **in the Bengal Basin**

4  
5                           **M. Shamsudduha<sup>1</sup>, R. G. Taylor<sup>1</sup>, and L. Longuevergne<sup>2</sup>**

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13  
14           **Abstract**

15           [1] Satellite monitoring of changes in terrestrial water storage provides invaluable  
16 information regarding the basin-scale dynamics of hydrological systems where ground-based  
17 records are limited. In the Bengal Basin of Bangladesh, we test the ability of satellite  
18 measurements under the Gravity Recovery and Climate Experiment (GRACE) to trace both  
19 the seasonality and trend in groundwater storage associated with intensive groundwater  
20 abstraction for dry-season irrigation and wet-season (monsoonal) recharge. We show that  
21 GRACE (CSR, GRGS) datasets of recent (2003 to 2007) groundwater storage changes  
22 ( $\Delta GWS$ ) correlate well ( $r=0.77$  to  $0.93$ ,  $p$ -value  $<0.0001$ ) with *in situ* borehole records from a  
23 network of 236 monitoring stations and account for 44% of the total variation in terrestrial  
24 water storage ( $\Delta TWS$ ); highest correlation ( $r=0.93$ ,  $p$ -value  $<0.0001$ ) and lowest root mean  
25 square error ( $<4$  cm) are realized using a spherical harmonic product of CSR. Changes in  
26 surface water storage estimated from a network of 298 river gauging stations and soil-  
27 moisture derived from Land Surface Models explain 22% and 33% of  $\Delta TWS$  respectively.

28 Groundwater depletion estimated from borehole hydrographs ( $-0.52\pm 0.30$  km<sup>3</sup>/yr) is within  
29 the range of satellite-derived estimates ( $-0.44$  to  $-2.04$  km<sup>3</sup>/yr) that result from uncertainty  
30 associated with the simulation of soil moisture (CLM, NOAH, VIC) and GRACE signal-  
31 processing techniques. Recent (2003 to 2007) estimates of groundwater depletion are  
32 substantially greater than long-term (1985 to 2007) mean ( $-0.21\pm 0.03$  km<sup>3</sup>/yr) and are  
33 explained primarily by substantial increases in groundwater abstraction for the dry-season  
34 irrigation and public water supplies over the last two decades.

35

## 36 **1. Introduction**

37 [2] Groundwater is the world's largest distributed store of freshwater [*Shiklomanov and*  
38 *Rodda, 2003*]. Quantification of changes in groundwater storage ( $\Delta GWS$ ) is consequently  
39 critical to understanding terrestrial freshwater dynamics and assessing the impacts of  
40 groundwater withdrawals as well as climate variability and change [*Yeh and Famiglietti,*  
41 *2009*]. Reductions in groundwater storage, referred to as “groundwater depletion”, have  
42 recently been detected in arid and semi-arid areas where intensive groundwater abstraction  
43 sustains irrigated agriculture [*Konikow and Kendy, 2005; McGuire, 2007; Leblanc et al.,*  
44 *2009; Rodell et al., 2009; Famiglietti et al., 2011*]. The magnitude of groundwater depletion  
45 is such that it is estimated to account for up to 25% of recently observed rises in global sea  
46 levels [*Wada et al., 2010*]. There is, however, no global reporting of *in situ* groundwater  
47 observations to monitor  $\Delta GWS$  [*Rodell and Famiglietti, 2001; Taylor et al., 2010*].

48 [3] The Gravity Recovery and Climate Experiment (GRACE) [*Tapley et al., 2004*] offers  
49 the opportunity to monitor monthly changes in total terrestrial water storage ( $\Delta TWS$ ) via  
50 satellite observations at regional scales starting from April 2002 [*Cazenave and Chen, 2010*].  
51  $\Delta GWS$  is estimated from GRACE-derived  $\Delta TWS$  after deducting the contribution of changes

52 in remaining terrestrial water stores including soil moisture ( $\Delta SMS$ ), surface water ( $\Delta SWS$ ),  
53 and ice and snow ( $\Delta ISS$ ) over a particular time period ( $t$ ) (equation 1).

$$54 \quad \Delta GWS_t = \Delta TWS_t - \Delta SMS_t - \Delta SWS_t - \Delta ISS_t \quad (1)$$

55 [4] Accurate disaggregation of GRACE  $\Delta TWS$  into different water stores is therefore  
56 critical to quantifying  $\Delta GWS$ . Recent studies in humid environments [*Frappart et al.*, 2008;  
57 *Han et al.*, 2009; *Kim et al.*, 2009; *Frappart et al.*, 2011] highlight the substantial  
58 contribution (>25%) of  $\Delta SWS$  to  $\Delta TWS$ . Robust estimates of  $\Delta GWS$  have been resolved from  
59 GRACE  $\Delta TWS$  in the USA where these satellite data are validated using ground-based (*in*  
60 *situ*) hydrological datasets [*Swenson et al.*, 2006; *Yeh et al.*, 2006; *Rodell et al.*, 2007;  
61 *Strassberg et al.*, 2007]. Several studies have sought to quantify changes in terrestrial water  
62 stores in the humid tropics [*Crowley et al.*, 2006; *Winsemius et al.*, 2006; *Tiwari et al.*, 2009]  
63 but none of these is well constrained by ground-based observations.

64 [5] GRACE measurements record large-scale variations in  $\Delta TWS$ . The application of  
65 GRACE measurements to space-limited areas (e.g. river basin) is associated with both bias  
66 (i.e. amplitude damping from mass inside the basin) and leakage (i.e. sensitivity to masses  
67 outside the basin) [*Chambers*, 2006; *Swenson and Wahr*, 2006; *Klees et al.*, 2007;  
68 *Longuevergne et al.*, 2010]. Multiplicative [*Swenson and Wahr*, 2006] and additive [*Klees et*  
69 *al.*, 2007] approaches to account for bias and leakage have been developed using a priori  
70 information on terrestrial distributions in water stores derived from Land-Surface Models  
71 (LSMs). Such data-processing methods for GRACE data are critical when the basin area  
72 (Bengal Basin  $\sim 138,000 \text{ km}^2$ ) marginally exceeds the limits in the resolution ( $\sim 100,000 \text{ km}^2$ )  
73 of GRACE observations [*Longuevergne et al.*, 2010].

74 [6] The Bengal Basin of Bangladesh and West Bengal (India) (Figure 1), the largest river  
75 delta in the world [*Shamsudduha and Uddin*, 2007], is an ideal location to test the robustness  
76 of GRACE-derived estimates of  $\Delta GWS$  in the humid tropics for four reasons. First, the basin

77 features dense networks of ground-based, surface-water and groundwater level monitoring  
78 stations with which to resolve and test estimates of  $\Delta GWS$  from  $\Delta TWS$  [Shamsudduha *et al.*,  
79 2009; Steckler *et al.*, 2010]. Second, a basin-wide database of storage coefficients, derived  
80 from 279 pumping-test records [BWDB, 1994; Shamsudduha *et al.*, 2011], enables  
81 conversion of groundwater-level observations to  $\Delta GWS$ . Third, substantial intra-annual  
82 (seasonal) and inter-annual changes in groundwater storage (see supplementary Figures S1  
83 and S2 for dry and wet-season groundwater levels in Bangladesh) occur as a result of  
84 intensive groundwater abstraction for dry-season irrigation and wet-season (monsoonal)  
85 recharge [Shamsudduha *et al.*, 2011]. Fourth, the basin's area in Bangladesh ( $\sim 138,000 \text{ km}^2$ )  
86 is around the limit in the resolution of GRACE observations. In addition, the Bengal Basin  
87 provides a representative case study for other Asian Mega-Deltas for which detailed ground-  
88 based monitoring records are unavailable.

89 [7] Here, we test the ability of GRACE satellite measurements to trace intra-annual  
90 (seasonal) and inter-annual  $\Delta GWS$  in a highly seasonal, tropical humid hydrological system,  
91 the Bengal Basin, over the period of January 2003 to December 2007 using *in situ* (ground-  
92 based) observations of groundwater levels [Shamsudduha *et al.*, 2009] and distributed  
93 specific yield estimates [Shamsudduha *et al.*, 2011]. Critically, we resolve contributions of  
94  $\Delta SWS$  and  $\Delta SMS$  to  $\Delta TWS$  using *in situ* observations of  $\Delta SWS$  from a network of 298 river-  
95 level monitoring stations across Bangladesh [Steckler *et al.*, 2010] and simulations of  $\Delta SMS$   
96 from three Land Surface Models (LSMs) (CLM, NOAH, VIC) provided by the Global Land  
97 Data Assimilation System (GLDAS) [Rodell *et al.*, 2004]. Further, we evaluate the robustness  
98 of different GRACE data-processing methods for resolving  $\Delta GWS$  in a highly seasonal,  
99 tropical humid basin where variations in the dry and wet-season groundwater levels are  
100 substantial (mean annual amplitude  $5.4 \pm 2.6 \text{ m}$ ). Finally, we place estimates of recent (2003 to

101 2007) trends in  $\Delta GWS$  in the context of long-term trends (1985 to 2007) derived from long-  
102 term *in situ* observations.

103

## 104 **2. Datasets and Methods**

### 105 **2.1. GRACE datasets**

106 [8] In this study, we use both post-processed gridded GRACE datasets and spherical  
107 harmonic (SH) products, provided by the Centre for Space Research (CSR) and Groupe de  
108 Recherche en Géodesie Spatiale (GRGS), to derive  $\Delta GWS$  in the Bengal Basin. Gridded files  
109 include: (i) a monthly,  $1^\circ \times 1^\circ$  CSR GRACE time-series dataset masked over the Bengal Basin  
110 in Bangladesh (land grid version “ss201008”; <http://grace.jpl.nasa.gov/data/>; hereafter  
111 referred to as CSR GRID) [Swenson and Wahr, 2006] wherein bias and leakage are  
112 compensated using a scaling factor to restore GRACE TWS signal amplitude for each grid;  
113 and (ii) a 10-day,  $1^\circ \times 1^\circ$  GRGS GRACE time-series data (version RL02; [http://grgs.omp.obs-](http://grgs.omp.obs-mip.fr)  
114 [mip.fr](http://grgs.omp.obs-mip.fr); hereafter referred to as GRGS GRID) [Lemoine et al., 2007; Bruinsma et al., 2010];  
115 no scaling factor is applied for the GRGS GRID data. The scaling coefficients provided for  
116 each  $1^\circ$  bin of the CSR GRID data are intended to restore much of the energy removed by  
117 destriping, filtering, and truncation processes [Swenson and Wahr, 2006]. Unlike CSR GRID  
118 monthly data, GRGS GRID products do not require additional filtering [Biancale et al., 2006;  
119 Lemoine et al., 2007; Ramillien et al., 2008; Tregoning et al., 2008; Bruinsma et al., 2010].  
120 SH-based products (hereafter referred to as CSR SH for CSR and GRGS SH for GRGS  
121 products) are processed based on methods described by Longuevergne et al. [2010]. Bias and  
122 leakage are calculated using the additive hypothesis of Klees et al. [2007]. In the Bengal  
123 Basin, GRACE error amounts to 5 cm and is estimated by computing variability in the oceans  
124 at the same latitude and by propagating LSM error into leakage corrections according to  
125 Longuevergne et al. [2010]. The estimated error might be slightly overestimated as variability

126 in the oceans may still contain geophysical signals. We convert the 10-day GRGS GRID  
127 solutions to a monthly time series by taking the average values in order to directly compare  
128 them with other GRACE solutions used in this study. Missing GRACE TWS data in CSR  
129 (June 2003) and GRGS (January, February, and June 2003) time-series products were  
130 imputed (i.e. infilling of missing values) using linear interpolation and monthly mean values.  
131

## 132 **2.2. Borehole hydrograph and groundwater storage**

133 [9] We use weekly time-series records of borehole hydrographs from a subset of 236  
134 shallow (mean well depth of 30 m below ground level, bgl) monitoring wells (see  
135 supplementary Figure S3 for borehole location) to assess changes in the groundwater storage  
136 over two periods (January 2003 – December 2007; January 1985 – December 2007). The first  
137 period represents recent changes in groundwater storage that are directly comparable to  
138 satellite observations under GRACE. The second period represents the longest period of  
139 groundwater storage changes for which observational records of high quality (mean missing  
140 record <4.3%) and density are available.

141 [10] The annual range (annual maxima – annual minima) in observed groundwater levels  
142 or hydraulic heads ( $\Delta h$ ) in the regionally unconfined shallow aquifer (<100 m below ground  
143 level, bgl) in the Bengal Basin is translated into an equivalent groundwater depth (GWD) to  
144 derive *in situ*  $\Delta GWS$ . Groundwater levels in shallow aquifers in Bangladesh reach the peak  
145 around September following rain-fed recharge through the monsoon season after their  
146 deepest levels observed towards the end of dry-season irrigation [Shamsudduha *et al.*, 2011].  
147 Estimates of *in situ*  $\Delta GWS$  are compared with GRACE-derived estimates according to  
148 equation 2 wherein  $S_{gw}(t)$  is the trend in GWD and  $A$  is area of the same grid cells ( $n=27$ )  
149 within the Bengal Basin of Bangladesh over which time-series measurements of GRACE  
150  $\Delta TWS$  and  $\Delta SMS$  data were collated.

151 
$$\Delta GWS_t = \sum_{i=1}^n (S_{gw}(t) \times A_i) \quad (2)$$

152 [11]  $S_{gw}$  is calculated at each monitoring location using specific yield value ( $S_y$ ) and range  
 153 in annual groundwater levels according to equation 3.

154 
$$S_{gw} = \Delta h \times S_y \quad (3)$$

155 [12] Similar to GRACE-derived  $\Delta GWS$  estimates we apply both linear (August to  
 156 October) and multiple linear trends to estimate *in situ*  $\Delta GWS$  over the entire Bangladesh.  
 157 Spatially distributed  $S_y$  values derive from 279 pumping test records [Shamsudduha *et al.*,  
 158 2011] are applied across Bangladesh (see supplementary Figure S4 for the location of  
 159 pumping test and spatial distribution of  $S_y$ ). The mean value of the estimated  $S_y$  in  
 160 Bangladesh is 0.06 (range 0.01 to 0.2) with a standard deviation of 0.04. In light of  
 161 uncertainty in values of  $S_y$  in Bangladesh [Michael and Voss, 2009], we compare this  
 162 estimates derived from distributed  $S_y$  values with an upper-limit uniform value of 0.10; such  
 163 a high  $S_y$  value (0.12) has similarly been applied regionally [Rodell *et al.*, 2009] where *in situ*  
 164 derived values are absent.

165

166 **2.3. Surface water storage and soil moisture**

167 [13]  $\Delta SWS$  used in our analysis refers primarily to flood-water loads and river storage as  
 168 there are no irrigation dams or reservoirs in Bangladesh [WARPO, 2000]. The Bengal Basin  
 169 in Bangladesh is, however, flood prone. Areas of up to one-third of the country (~48,000  
 170 km<sup>2</sup>) are inundated by flood water each year and two-thirds of the country may be under  
 171 water during extensive flood years [Steckler *et al.*, 2010]. We generate monthly time-series  
 172 data of  $\Delta SWS$  of a spatial resolution of 1°×1° using daily river-stage observations from 298  
 173 monitoring stations throughout Bangladesh (supplementary Figure S5 for seasonal variations



174 in the in-situ  $\Delta SWS$ ). This procedure involves: (i) conversion of daily river-stage records to  
175 mean monthly time series; (ii) interpolation (applying the Inverse Distance Weighting  
176 method using the GSTAT package in R programming language) of mean monthly river-level  
177 records (point data) over the entire Bangladesh on a regular grid size of  $0.05^\circ \times 0.05^\circ$ ; (iii)  
178 subtracting gridded surface-water level data from a resampled 300-m digital elevation model  
179 data on a regular grid size of  $0.05^\circ \times 0.05^\circ$ , and (iv) aggregating interpolated values over a  
180 larger grid size of  $1^\circ \times 1^\circ$  ( $n=27$ ) over a period of January 2003 to December 2007 to generate  
181 mean monthly time-series of  $\Delta SWS$ .

182 [14] Soil moisture is often the dominant contributor to  $\Delta TWS$  variability in warm and  
183 temperate regions [Rodell *et al.*, 2009]. We apply monthly time-series soil moisture records  
184 from three simulations of the Global Land Data Assimilation System (GLDAS) [Rodell *et al.*,  
185 2004]. Time series records of  $\Delta SMS$  of a spatial resolution of  $1^\circ \times 1^\circ$  derived from three LSMs  
186 such as CLM (v. 2) [Dai *et al.*, 2003], NOAH [Ek *et al.*, 2003], and VIC [Liang *et al.*, 2003].  
187 The total depth of  $\Delta SMS$  in CLM (10 layers), NOAH (4 layers), and VIC (3 layers) models  
188 are 3.4 m, 2.0 m, and 1.9 m respectively. In the absence of *in situ*  $\Delta SMS$  data we use the  
189 ensemble mean of 3 LSMs-derived time-series data to represent  $\Delta SMS$  in the Bengal Basin; a  
190 similar approach was used to estimate  $\Delta GWS$  in northwestern India by Rodell *et al.* [2009]  
191 and central valley of California by Famiglietti *et al.* [2011]. None of these LSMs, however,  
192 includes groundwater storage [Dai *et al.*, 2003; Rodell *et al.*, 2004] or a specific module for  
193 surface water routing.

194

#### 195 **2.4. Disaggregation of GRACE $\Delta TWS$**

196 [15] Disaggregation of GRACE  $\Delta TWS$  into GRACE-derived  $\Delta GWS$  is carried out  
197 differently for GRID and SH products. For CSR GRID and GRGS GRID, we derive temporal  
198 changes in groundwater storage,  $\Delta GWS$ , over the basin area ( $\sim 138,000 \text{ km}^2$ ) in Bangladesh

199 by (i) extracting GRACE  $\Delta TWS$ ,  $\Delta SMS$ , and  $\Delta SWS$  time-series (January 2003 to December  
200 2007) records for each  $1^\circ \times 1^\circ$  grid cell ( $n=27$ ; see supplementary Figure S6 for location), and  
201 (ii) averaging these time-series signals from all grids and applying the equation 1. Note that  
202  $\Delta SMS$  represents changes in soil moisture storage in all soil horizons and  $\Delta SWS$  includes  
203 river and flood water storage. Changes in freshwater storage derived from ice and snow  
204 ( $\Delta ISS$ ) are negligible in Bangladesh and not considered in this study. For CSR SH and GRGS  
205 SH,  $\Delta GWS$  is resolved differently to reduce the propagation of uncertainties from bias and  
206 leakage variations on surface water and soil moisture. Equation (1) is applied to GRACE SH  
207 solutions to derive  $\Delta GWS$  estimates. Bias (due to signal loss in internal water mass) and  
208 leakage (due to contribution from water mass outside of basin area) corrections are applied to  
209 GRACE-derived estimates of  $\Delta GWS$  following the method described in *Longuevergne et al.*,  
210 [2010]. This method, however, requires information on  $\Delta SMS$  and  $\Delta SWS$  mass distribution in  
211 inside and outside of the basin area. The same filtering used for GRACE solutions (truncation  
212 at degree 60 and a 300 km Gaussian smoothing for CSR SH, truncation at degree 50 for  
213 GRGS SH) is applied to  $\Delta SMS$  and  $\Delta SWS$  before subtracting from the raw GRACE data  
214 (uncorrected for bias and leakage). Both spatial extent and mass variations in  $\Delta SWS$  are  
215 known for the Bengal Basin. To account for temporal and spatial mass variability of  $\Delta SWS$   
216 outside of the Bengal Basin we use a global-scale model of surface water extent [*Papa et al.*,  
217 2010].

218 [16] Linear and multiple linear trends were estimated from the basin-averaged GRACE  
219 derived  $\Delta GWS$ . Linear trends (i.e. simple linear regression) in  $\Delta GWS$  were calculated using  
220 data from the latter part of the wet season (August to October) of each year as these represent  
221 net changes in groundwater storage after the dry-season irrigation for high-yielding rice  
222 (“Boro”) (Figure 1) cultivation and monsoon recharge have taken place [*Shamsudduha et al.*,  
223 2011]. Estimates of linear trend in observed  $\Delta GWS$  can be biased by the strong seasonality

224 (dry and wet season variations) present in the time-series records. To capture the highly  
225 seasonal structure in the  $\Delta GWS$  signal, multiple linear trends (i.e. multiple linear regression)  
226 were calculated through the annual means of time series where, in addition to time ( $t$ ), both  
227 sine ( $\sin(2\pi / T)$ ) and cosine ( $\cos(2\pi / T)$ ) functions of time are included as covariates;  
228 where  $T$  is the total number ( $T = 12$ ) of time unit (month) in the complete seasonal cycle of  
229 the time series. Other approaches to separate seasonality from trend and residual components  
230 in the time series (e.g., seasonal-trend decomposition based on filtering procedure) can be  
231 applied but accurate, bias-free (due to seasonality) decomposition will require longer time  
232 scales [Cleveland *et al.*, 1990; Shamsudduha *et al.*, 2009].

233

### 234 **3. Results**

235 [17] Figure 2 shows monthly time-series anomalies in all GRACE derived  $\Delta TWS$ ,  
236 simulated  $\Delta SMS$  from 3 LSMs and their average, observed groundwater levels and river-stage  
237 levels, and average monthly rainfall in Bangladesh for the period of January 2003 to  
238 December 2007.  $\Delta TWS$  signals derived from basin-averaged gridded GRACE products (CSR  
239 GRID, GRGS GRID) compare favorably ( $r > 0.94$ ,  $p$ -value  $> 0.0001$ ) with  $\Delta TWS$  derived from  
240 GRACE SH data applying a basin function (CSR SH and GRGS SH) over the Bengal Basin  
241 in Bangladesh. Mean annual amplitudes in  $\Delta TWS$  between 2003 and 2007 are 51 cm (CSR  
242 GRID), 52 cm (GRGS GRID), 49 cm (CSR SH) and 58 cm (GRGS SH). Although GRACE  
243  $\Delta TWS$  solutions are highly correlated, the amplitude is less well constrained and can vary by  
244 up to 15%. Variability among GRACE  $\Delta TWS$  solutions (3.5 cm) is, however, within the  
245 estimated GRACE error (5 cm). The leakage correction error for the defined basin area is  
246 large and accounts for 3.5 cm of the estimated GRACE error.

247 [18] Substantial variations in magnitude are observed between  $\Delta SMS$  signals derived from  
248 three LSMs (Figure 2c) and introduce considerable uncertainty in recovering  $\Delta GWS$  from

249  $\Delta TWS$ . The mean seasonal amplitude in  $\Delta SMS$  varies among the LSMs: 8 cm (CLM), 26 cm  
250 (NOAH) and 20 cm (VIC). At the outset of the monsoon season,  $\Delta SWS$  rises quickly whereas  
251  $\Delta GWS$  responds more slowly with a lag of  $\sim 1$  month to  $\Delta SMS$  (Figure 2d). Overall, variations  
252 in individual water stores compare well with observed variability in monthly rainfall (Figure  
253 2e). Figure 3 shows that the strong seasonality associated with the unimodal (monsoonal)  
254 distribution in annual rainfall is reflected in mean monthly time-series records of GRACE-  
255 derived  $\Delta TWS$ , modeled  $\Delta SMS$ , and *in situ*  $\Delta SMS$  and  $\Delta GWS$ .

256 [19] Estimates of  $\Delta GWS$  over the period of 2003 to 2007 from observed borehole  
257 hydrographs, and all GRACE datasets are plotted in Fig 4. Changes in groundwater storage  
258 over the period of 2003 to 2007, estimated from GRACE data sets and borehole (*in situ*)  
259 hydrographs, are strongly correlated (Figure 4; Table 1). The highest Pearson correlation  
260 ( $r=0.93$ ,  $p$ -value  $<0.0001$ ) is observed between *in situ*  $\Delta GWS$  and CSR SH derived  $\Delta GWS$   
261 time series. Time-series records of  $\Delta GWS$  derived from GRGS SH are also strongly  
262 correlated ( $r=0.89$ ,  $p$ -value  $<0.0001$ ) to *in situ*  $\Delta GWS$  in Bangladesh. Pearson correlations  
263 between *in situ*  $\Delta GWS$  and GRGS (GRID and SH) derived  $\Delta GWS$  are slightly lower than  
264 CSR datasets but cross-correlation analysis reveals that improved correlations (Table 1) are  
265 achieved by employing a time lag of 1 month in the time series (Table 1). The 1-month phase  
266 lag in time series of  $\Delta GWS$  between GRGS-derived estimates and observed records in the  
267 highly-seasonal hydrological system of Bengal Basin can be attributed to the leakage  
268 correction in GRACE processing methodologies. Phases of  $\Delta SMS$  and  $\Delta SWS$  time series are  
269 in advance with respect to  $\Delta GWS$  and a slight error in leakage correction can introduce such a  
270 time lag. Calculated uncertainty in GRACE-derived  $\Delta GWS$ , represented in Figure 4, results  
271 from 16 possible estimates (4 GRACE solutions  $\times$  4  $\Delta SMS$  estimates derived from 3 LSMs  
272 and the mean of these).

273 [20] Linear trends and their standard errors in estimates of GRACE-derived and *in situ*  
274  $\Delta GWS$  averaged over the Bengal Basin in Bangladesh are summarized in Tables 2 and 3.  
275 Standard error in the simple linear regression is a measure of error (uncertainty) of an  
276 estimated coefficient (slope of trend line). Linear trends in wet-season (August – October)  
277 groundwater levels represent changes in  $\Delta GWS$  as wet-season groundwater levels reflect  
278 groundwater storage after monsoonal recharge has taken place. The trend (January 2003 to  
279 December 2007) in  $\Delta GWS$  based on wet-season groundwater levels is  $-0.52 \pm 0.30 \text{ km}^3/\text{yr}$  ( $\pm$   
280 standard error of linear trend estimate) using distributed  $S_y$  values; this rate of groundwater  
281 depletion increases to  $-1.06 \pm 0.59 \text{ km}^3/\text{yr}$  if a uniform  $S_y$  value of 0.1 is applied. Multiple  
282 linear trends in annual means represent net changes in  $\Delta GWS$  that can be influenced by  
283 declining groundwater levels or increased seasonality over time associated with increased  
284 groundwater-fed irrigation during the dry season. These *in situ*  $\Delta GWS$  estimates therefore  
285 produce slightly higher rates of groundwater depletion ( $-0.85 \pm 0.17$  to  $-1.61 \pm 0.32 \text{ km}^3/\text{yr}$ ).  
286 GRACE-derived estimates of  $\Delta GWS$  losses using a simulated mean  $\Delta SMS$  range from  
287  $-0.44 \pm 1.24$  to  $-2.04 \pm 0.79 \text{ km}^3/\text{yr}$  for wet-season trends and  $-0.52 \pm 0.50$  to  $-2.83 \pm 0.42$   
288  $\text{km}^3/\text{yr}$  based on trends in annual means.

289 [21] Short-term changes in  $\Delta GWS$ , estimated over the period for which GRACE data are  
290 available, are highly sensitive to the length of the time series. For example, trends in  $\Delta GWS$   
291 estimated for a shorter (2003 to 2006) period are nearly twice that calculated for the period of  
292 2003 to 2007 (Tables 2 and 3). Long-term (1985 to 2007) trends derived from *in situ*  $\Delta GWS$   
293 rates are considerably lower ( $-0.21 \pm 0.03$  to  $-0.23 \pm 0.02 \text{ km}^3/\text{yr}$ ) than those calculated over  
294 the period of GRACE observations. The estimation of *in situ*  $\Delta GWS$  from borehole  
295 hydrographs enables the identification of areas of rising and falling groundwater storage over  
296 both short (2003 to 2007) and long (1985 to 2007) periods of observation (Figure 5). Over  
297 both periods, there are decreasing trends in  $\Delta GWS$  in central and northwestern parts of

298 Bangladesh and rising trends in southwestern and coastal regions. Relative to long term  
299 terms, trends in recent *in situ*  $\Delta GWS$  have reversed in northern areas and intensified in central  
300 and northwestern regions.

301

#### 302 **4. Discussion**

303 [22] Intra-annual (seasonal) variations and inter-annual trends in  $\Delta GWS$  derived from both  
304 gridded GRACE and GRACE SH datasets in the tropical, humid Bengal Basin compare very  
305 well with estimates of *in situ*  $\Delta GWS$  derived from borehole observations (Table 1, Figure 4).  
306 Similarity in the signals of *in situ* and GRACE time-series records of  $\Delta GWS$  is characterized  
307 using their correlation coefficients, centered root mean square (RMS) difference and  
308 amplitude of variations (represented by standard deviations) and represented graphically in  
309 Figure 6 [Taylor, 2001]. High correlation coefficients ( $r > 0.85$ ,  $p$ -value  $< 0.0001$ ) and low  
310 RMS error ( $< 5$  cm) suggest that all CSR GRACE datasets (both gridded and SH) closely  
311 match *in situ* observations among the GRACE-derived  $\Delta GWS$  estimates. There are, however,  
312 a number of sources of uncertainty and underlying assumptions that are inherent to both  
313 techniques. Estimation of *in situ*  $\Delta GWS$  assumes: (1) trends in groundwater levels do not  
314 result from inhomogeneities in observation records; and (2) values of  $S_y$  used to convert  
315 groundwater levels to  $\Delta GWS$ , are representative of the monitored aquifer. Estimation of  
316 GRACE-derived  $\Delta GWS$  assumes: (1) an accurate estimate of  $\Delta SMS$  contribution from LSMs  
317 and  $\Delta SWS$  from observations to recover  $\Delta GWS$ ; and (2) water storage is well described by  
318 LSMs inside the area of interest and in the surrounding area to estimate bias and leakage  
319 effects. The second point is not obvious in a highly seasonal basin featuring large spatial and  
320 temporal variability in (water) mass. For example, variability among LSMs is not  
321 substantially reduced following the application of filters to GRACE data; variability  
322 expressed as a standard deviation that is 6.5 cm for raw LSM data, becomes 4.0 cm and 5.1

323 cm under CSR-like and GRGS-like filters respectively. GRACE solutions consequently  
324 suffer from the propagation of uncertain storage variability (different for CSR and GRGS  
325 solutions) surrounding their region of interest. Indeed, this problem may explain the noted  
326 differences in seasonal amplitudes and leads to larger RMS error in  $\Delta GWS$  recovery (6 cm)  
327 relative to the amplitude of seasonal variations (20 cm).

328 [23] Another difficulty in trend estimation in this region relates to leakage of glacier melt  
329 from the Himalayas [Matsuo and Heki, 2010]. Forward modeling indicates that leakage of  
330 glacial mass changes (+2%) from the Himalayas into the Bengal Basin region for the CSR  
331 solution whereas for the GRGS solution it is the reverse (-1%). The difference in sign may be  
332 explained by the hard truncation for the GRGS solution. Although the value is small, a large  
333 glacier mass loss of  $\sim 50$  cm/yr [Matsuo and Heki, 2010; Bolch et al., 2011] induces mass  
334 changes of  $+1.38$  km<sup>3</sup>/yr for CSR GRACE data and  $-0.69$  km<sup>3</sup>/yr for GRGS GRACE data  
335 into the Bengal Basin. This explains why estimated trends in  $\Delta GWS$  derived from GRGS (SH  
336 and GRID) data are systematically smaller than those for CSR (SH and GRID) data.

337 [24] Uncertainty in simulated  $\Delta SMS$  associated with the choice of LSM (GLDAS) for  
338 GRACE disaggregation also contributes substantially (standard deviations from CLM,  
339 NOAH and VIC models are 3, 11 and 8 cm respectively) to overall calculated uncertainty in  
340  $\Delta GWS$ . Seasonal variability in simulated  $\Delta SMS$  is observed in LSMs derived time-series  
341 datasets (supplementary Figure S7). NOAH model derived  $\Delta SMS$  represents the greatest  
342 seasonal variability (i.e. annual amplitude) whereas CLM-derived  $\Delta SMS$  shows the least  
343 seasonal variation. Our estimated  $\Delta SMS$  ( $\Delta TWS - \Delta GWS - \Delta SWS$ ) shows strong correlations  
344 ( $r=0.83$ ,  $p$ -value  $<0.0001$  for CSR GRID;  $r=0.89$ ,  $p$ -value  $<0.0001$  for CSR SH) with the  
345 average  $\Delta SMS$  derived from 3 LSMs. Individually, VIC model derived  $\Delta SMS$  compare well  
346 with the estimated  $\Delta SMS$  time-series data. It is also unclear whether LSMs capture large  
347 inter-annual variability in the Asian monsoon associated with climatic teleconnections such

348 as ENSO and IOD. Other uncertainties in GRACE-derived estimate of  $\Delta GWS$  associated with  
349 the use of simulated (GLDAS LSMs)  $\Delta SMS$  can arise from (1) under-representation of  $\Delta SMS$   
350 in areas of thick unsaturated zone, and (2) over-representation of  $\Delta SMS$  in areas of very  
351 shallow groundwater table and substantial surface water storage. In the latter case, simulated  
352  $\Delta SMS$  may include parts of shallow groundwater and surface water storage due to poor  
353 compartmentalization of individual terrestrial water stores [Gulden *et al.*, 2007]. In the  
354 Bengal Basin, areas featuring a deep unsaturated soil zone are minimal (present only in thick  
355 clay-covered Pleistocene terrace areas) as groundwater levels in Bangladesh predominantly  
356 occur at very shallow depths (see supplementary Figures S1 and S2). In this study, the use of  
357 an average value of simulated  $\Delta SMS$  from 3 LSMs, however, minimizes the uncertainty in  
358 estimation of  $\Delta GWS$  using GRACE satellite measurements.

359 [25] We demonstrate that resolving trends in  $\Delta GWS$  is problematic over short (e.g. 4 to 5  
360 year) periods in a highly seasonal basin where seasonality in water storage is greater than the  
361 trend. Seasonality (i.e. annual amplitude) in  $\Delta TWS$  in the Bengal Basin generally results from  
362 monsoonal flooding during the wet season and intensive groundwater abstraction during the  
363 dry-season. The trend in estimated  $\Delta GWS$  for a 5-year period (2003 to 2007) is approximately  
364 half of that estimated from 2003 to 2006. Additionally, estimation of trend in  $\Delta GWS$  in the  
365 Bengal Basin can be problematic due to the presence of strong seasonality in the dataset. We  
366 demonstrate that the strong seasonality in  $\Delta GWS$  can however be captured well in multiple  
367 linear regression by using additional covariates (e.g. sine and cosine function of time) and  
368 error in trend estimates can be minimized (Tables 2 and 3).

369 [26] Critical to our estimation of  $\Delta GWS$  from GRACE data in the Bengal Basin is the  
370 robust resolution of  $\Delta SWS$  from *in situ* observations as  $\Delta SWS$  accounts for 22% of the total  
371 variability in GRACE-derived  $\Delta TWS$ . This contribution although very critical in humid  
372 tropics [Frappart *et al.*, 2011] is often ignored in flood-prone regions around the world



373 [Swenson *et al.*, 2006; Rodell *et al.*, 2007; Tiwari *et al.*, 2009] as flood water is mostly  
374 unregulated or its effect on  $\Delta TWS$  is assumed to be negligible relative to  $\Delta SMS$ .

375 [27] Estimated rates of groundwater depletion in the Bengal Basin ( $-0.52 \pm 0.30$  to  
376  $-1.61 \pm 0.32$  km<sup>3</sup>/yr equivalent to  $-0.34$  to  $-1.14$  cm/yr) are substantially lower than those  
377 recently estimated elsewhere on the Indian sub-continent by Rodell *et al.* [2009] in semi-arid,  
378 northwestern India ( $-4.0$  cm/yr), and Tiwari *et al.* [2009] for Bangladesh, Nepal and West  
379 Bengal (India), their “zone D” ( $-2.5$  cm/yr). More recently, another study [Llovel *et al.*,  
380 2010] has reported trends in  $\Delta TWS$  (August 2002 to July 2009) of  $-1.1$  cm/yr and  $-1.5$  cm/yr  
381 over the River Ganges and Brahmaputra Basins respectively. Each of these studies attributes  
382 groundwater depletion to intensive groundwater-fed irrigation. In the Bengal Basin, more  
383 rapid groundwater storage depletion estimated for the period 2003 to 2007, relative to 1985 to  
384 2007, is linked to substantial increases in groundwater abstraction for irrigation and urban  
385 water supplies [Hoque *et al.*, 2007; Shamsudduha *et al.*, 2009; Shamsudduha *et al.*, 2011]. *In*  
386 *situ* measurements show further that groundwater depletion primarily occurs in central  
387 (Dhaka city) and northwestern Barind Tract areas of Bangladesh where a low-permeability  
388 surficial deposit (Madhupur Clay Formation; see supplementary Figure S4 and S8 for  
389 hydraulic properties of the shallow aquifer in Bangladesh) of variable thickness (6 to 40 m)  
390 inhibits direct rainfall-fed recharge [Shamsudduha *et al.*, 2011].

391 [28] A curious finding is the more favorable comparison that is observed between wet-  
392 season trends in  $\Delta GWS$  derived from GRACE ( $-0.44 \pm 1.24$  to  $-2.04 \pm 0.79$  km<sup>3</sup>/yr) and *in situ*  
393 observations using a high, uniform estimate (0.1) of  $S_y$  ( $-1.06 \pm 0.59$  to  $-1.61 \pm 0.32$  km<sup>3</sup>/yr)  
394 rather than a spatially distributed value (mean:  $0.06 \pm 0.04$ ) of  $S_y$  ( $-0.52 \pm 0.30$  to  $-0.85 \pm 0.17$   
395 km<sup>3</sup>/yr) derived from pumping tests. In the large Mississippi Basin, Rodell *et al.* [2007] stress  
396 the importance of applying representative, distributed storage coefficients but, as recognized  
397 by a recent study [Sun *et al.*, 2010], the determination of  $S_y$  is challenging.  $S_y$  values derived

398 from pumping tests can be biased toward low values in two ways. First, elastic storage often  
399 dominates short pumping tests where confined or semi-confined exist locally and water-table  
400 drainage has insufficient time to respond. Second, *in situ* estimates of  $S_y$ , that sample an area  
401 of  $<0.5 \text{ km}^2$  but are scaled up to a  $1^\circ \times 1^\circ$  grid cell (used in our analysis of *in situ*  $\Delta GWS$ ), do  
402 not represent the considerable variability in  $S_y$  that naturally exists in alluvial aquifers. The  
403 influence of low  $S_y$  values may be exaggerated at regional scales as abstraction and resultant  
404 groundwater depletion are biased to areas of higher  $S_y$ . Our deductions highlight the current  
405 but under-explored uncertainty associated with the selection of storage coefficients to  
406 reconcile  $\Delta GWS$  from GRACE, as an equivalent groundwater depth, with *in situ* monitoring  
407 observations from borehole hydrographs.

408

## 409 **5. Conclusions**

410 **[29]** In a highly seasonal hydrological system in the humid tropics, the Bengal Basin, we  
411 show that GRACE satellite measurements closely trace recent (2003 to 2007) intra-annual  
412 (seasonal) and inter-annual variations in groundwater storage ( $\Delta GWS$ ) indicated by *in situ*,  
413 ground-based observations (borehole hydrographs). Critical to this analysis is the resolution  
414 of  $\Delta GWS$  from total water storage ( $\Delta TWS$ ) derived from GRACE using (1) changes in  
415 observed surface water storage ( $\Delta SWS$ ) derived from river stage records monitored at 298  
416 gauging stations; and (2) changes in simulated soil moisture storage ( $\Delta SMS$ ) using 3 Land  
417 Surface Models (LSMs) (CLM, NOAH, and VIC). GRACE-derived  $\Delta TWS$  in the Bengal  
418 Basin from 2003 to 2007 is explained well by changes in surface water storage ( $\Delta SWS$ )  
419 (22%), changes in soil moisture storage ( $\Delta SMS$ ) (33%), and  $\Delta GWS$  (44%). Groundwater  
420 depletion in the Bengal Basin estimated from *in situ* observations using a distributed specific  
421 yield ( $S_y$ ) ranges from  $-0.52 \pm 0.30 \text{ km}^3/\text{yr}$  (wet season trends) to  $-0.85 \pm 0.17 \text{ km}^3/\text{yr}$  (trend in

422 annual means). These estimates are highly comparable (within error) to the range in  
423 estimates,  $-0.44 \pm 1.24$  to  $-2.04 \pm 0.79$   $\text{km}^3/\text{yr}$  (wet-season trends) and  $-0.52 \pm 0.50$  to  
424  $-2.83 \pm 0.42$   $\text{km}^3/\text{yr}$  (trends in annual means), derived from different GRACE datasets  
425 (gridded and spherical harmonic (SH) products of CSR and GRGS). Of the 4 GRACE  
426 solutions, CSR SH derived  $\Delta GWS$  shows the highest correlation ( $r=0.93$ ,  $p$ -value  $>0.0001$ )  
427 and the lowest ( $<4.0$  cm) RMS error with *in situ*  $\Delta GWS$  estimates with distributed specific  
428 yield. It remains unclear whether the small discrepancy between *in situ* and GRACE satellite  
429 estimates derives from uncertainties in resolving GRACE  $\Delta GWS$  from  $\Delta TWS$  or the  
430 representivity of storage coefficients derived from *in situ* pumping tests. Estimates of the  
431 linear trend in  $\Delta GWS$  are highly dependent upon the length of the time series (e.g. 2003-2006  
432 vs. 2003-2007). Calculated trends are also strongly influenced by the annual variability in the  
433 amplitude; errors can arise from residual inter-annual variations once the seasonal component  
434 is removed from the time series. Long-term (1985 to 2007) trends in observed  $\Delta GWS$   
435 ( $-0.21 \pm 0.03$  to  $-0.23 \pm 0.02$   $\text{km}^3/\text{yr}$ ) are considerably lower than recent (2003 to 2007) trends  
436 and indicate higher rates of groundwater depletion as a result of increased groundwater  
437 abstraction for irrigation and urban water supplies.

438

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449

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- 596
- 597

598 **Figure Captions:**

599 **Figure 1.** Map shows areas of dry-season Boro rice cultivation in 2007–2008 in Bangladesh  
600 (data from Bangladesh Space Research and Remote Sensing Organization) and percentage of  
601 land (graduated circles) in each of the country’s 64 districts irrigated with groundwater using  
602 shallow and deep tubewells. Map also shows digital elevation (gray shades), river channels  
603 (blue polylines), district level boundaries (thin gray lines), and the international boundary  
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608 spherical harmonics GRACE products with measurement error (CSR SH and GRGS SH)  
609 extracted over the Bengal Basin of Bangladesh using a basin function; (c) 3 simulated soil  
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611 Land Surface Models (LSMs); (d) monthly anomalies in groundwater storage averaged from  
612 a total of 236 monitoring locations and surface water storage averaged from a total of 298  
613 gauging stations; and (e) mean monthly rainfall averaged from a total of 250 BWDB stations  
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617 **Figure 3.** Mean (2003-2007) monthly GRACE TWS (both gridded and spherical harmonics  
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629 hydrograph with distributed specific yield ( $GWS$   $S_y$  = distributed; blue line) and a uniform



630 value of 0.10 (GWS  $S_y = 0.10$ ; red line) and an average time series (Mean GRACE-GWS;  
631 black line) from various GRACE solutions (CSR GRID, GRGS GRID, CSR SH and GRGS  
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633 series records of surface water storage ( $\Delta SWS$ ) were used for these GRACE  $\Delta GWS$  estimates.  
634 Variability in GRACE-derived  $\Delta GWS$  is observed in time series records of a total of 16  
635 estimates.

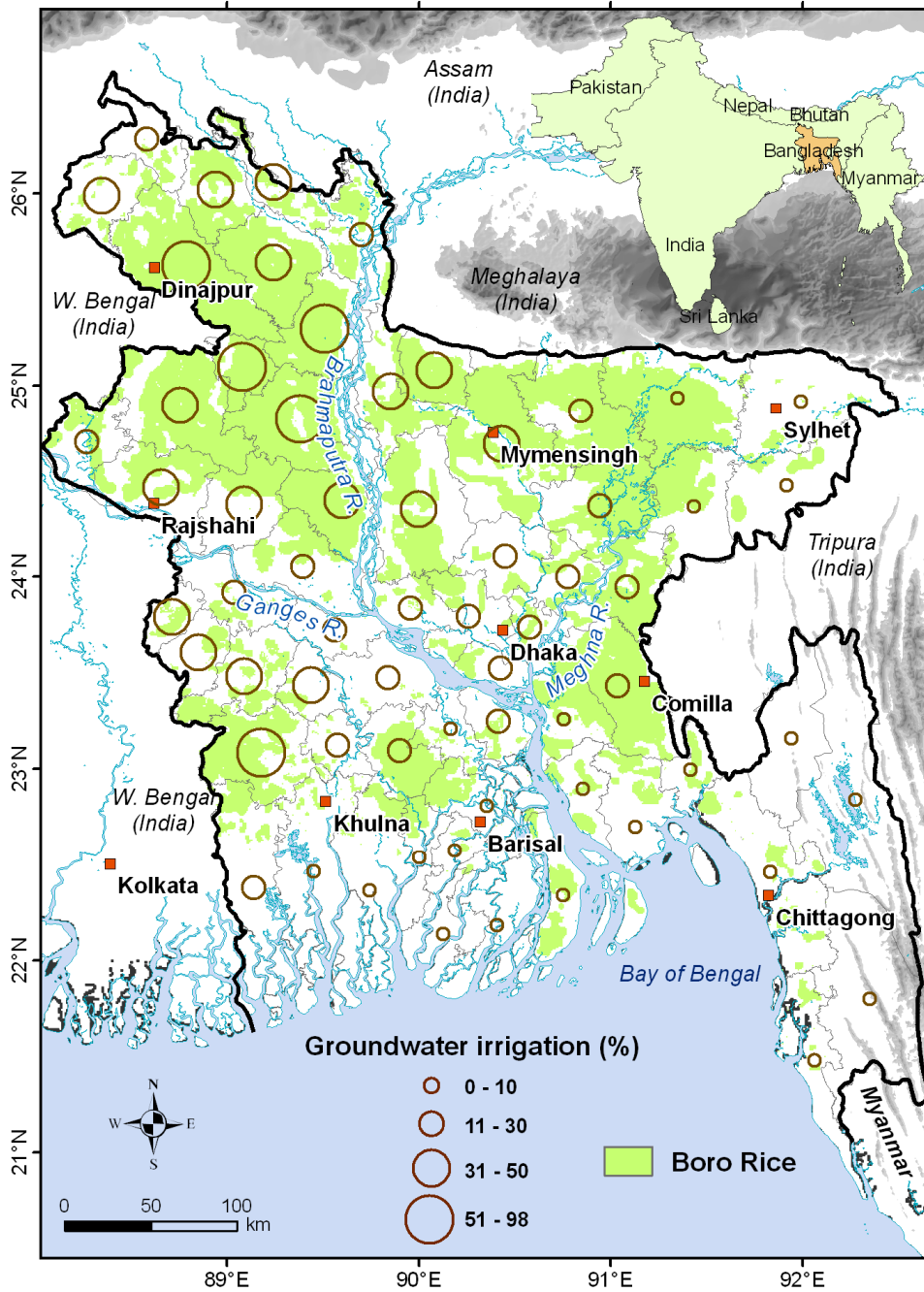
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637 **Figure 5.** Groundwater storage changes ( $\Delta GWS$ ) in the Bengal Basin of Bangladesh  
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639 hydrographs. Panels (a) and (b) show trend estimates in GWD from linear (through wet-  
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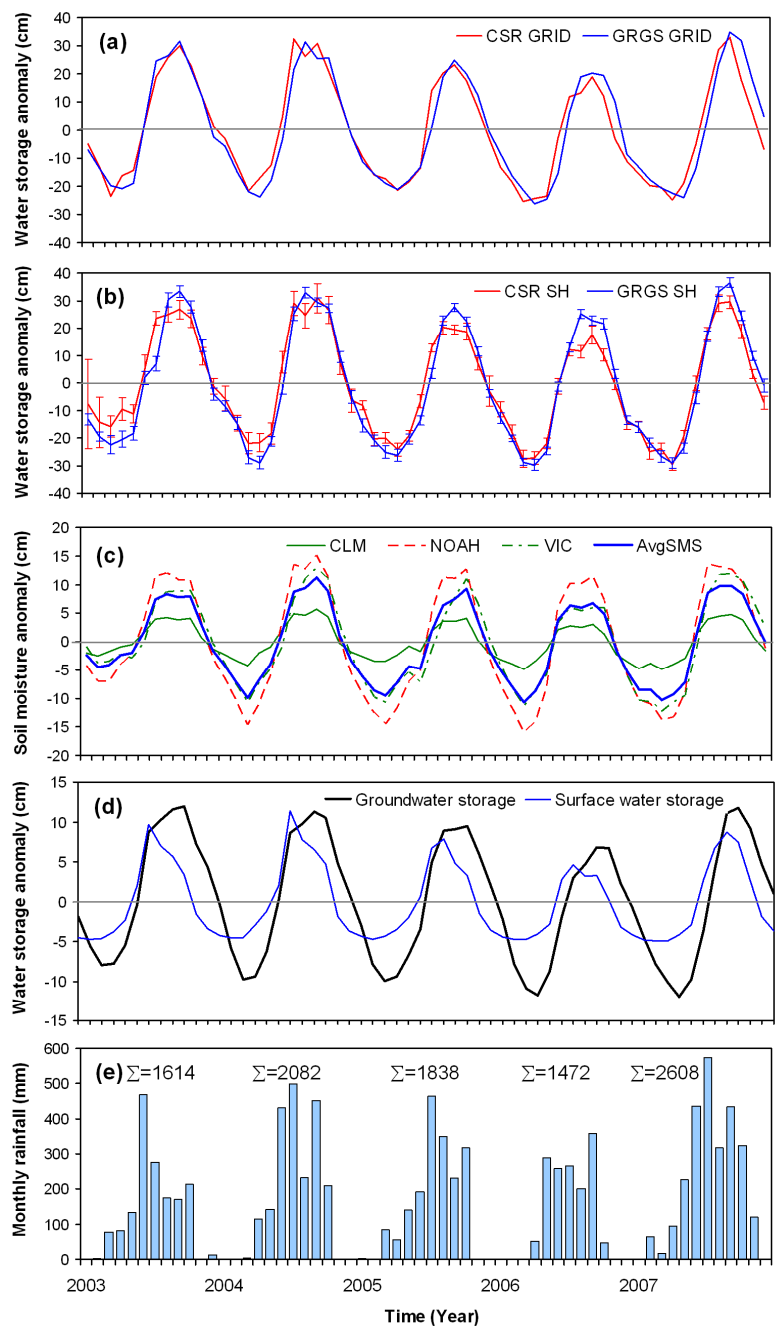
644 **Figure 6.** A Taylor diagram [Taylor, 2001] displaying pattern statistics between *in situ*  
645  $\Delta GWS$  with distributed specific yield ( $S_y = \text{dist}$ ) and 6 models of GRACE-derived  $\Delta GWS$  and  
646 2 *in situ*  $\Delta GWS$  models with  $S_y = 0.1$  and the national mean (0.06) value. The radial distance  
647 (dashed blue lines) from the origin is proportional to the standard deviation of  $\Delta GWS$   
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649 circles) and observed field (black square) is proportional to their distance apart (in the same  
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651 position of the modeled observation (dashed black lines). In the legend, CSRSH GWS (corr)  
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655 Based on the diagram it is evident that CSR GRACE datasets compare well *in situ*  $\Delta GWS$   
656 estimate whereas all estimated values range between *in situ*  $\Delta GWS$  estimates with  $S_y = 0.1$   
657 and 0.06 values.

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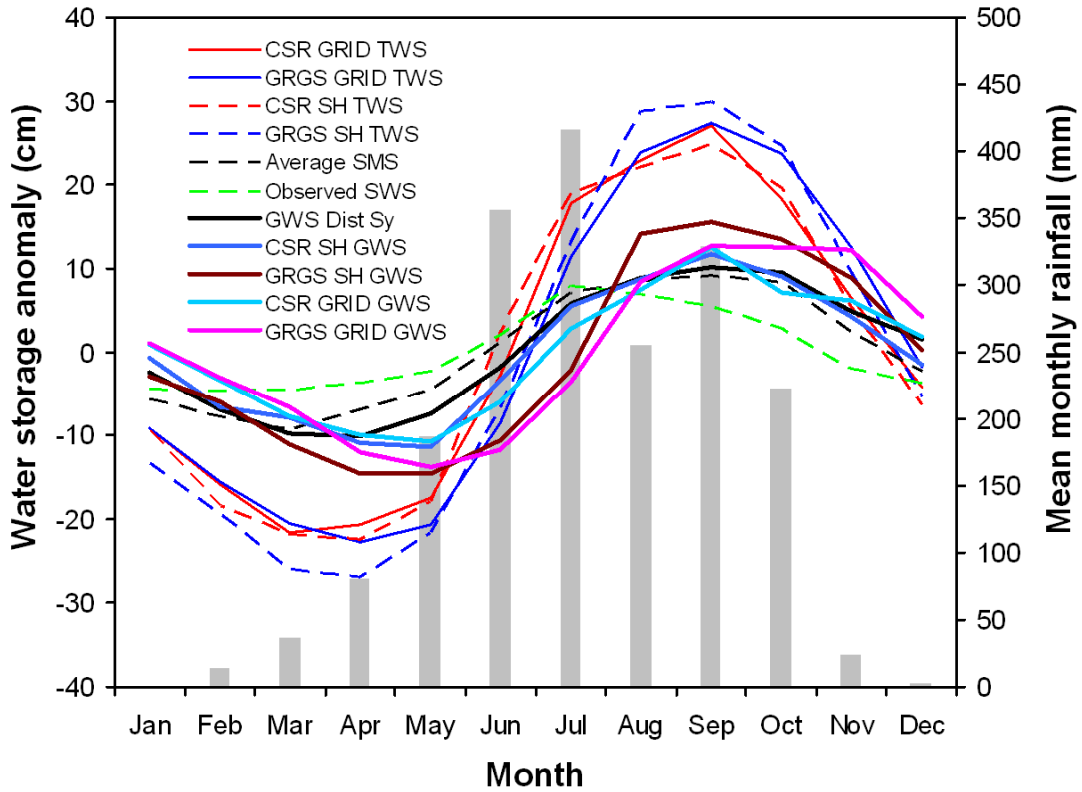
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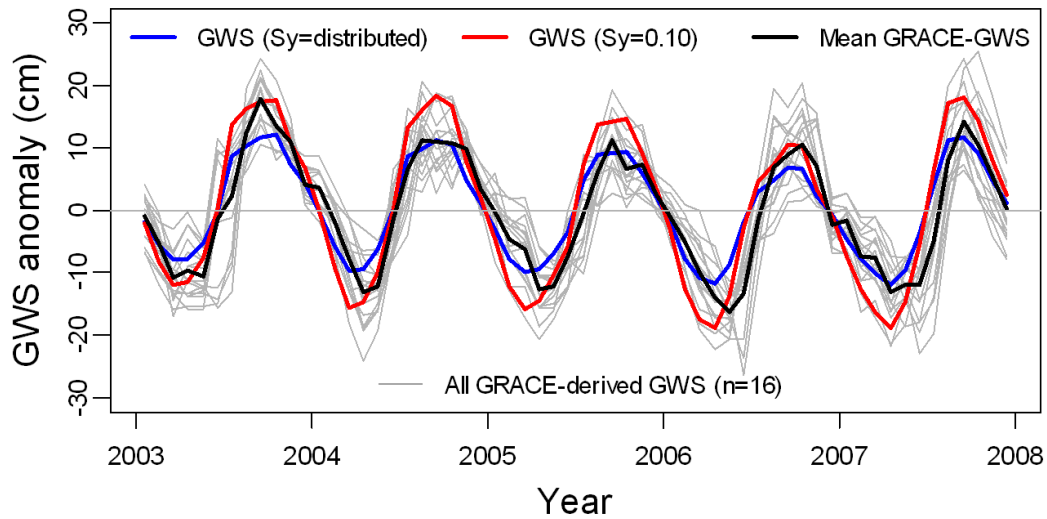
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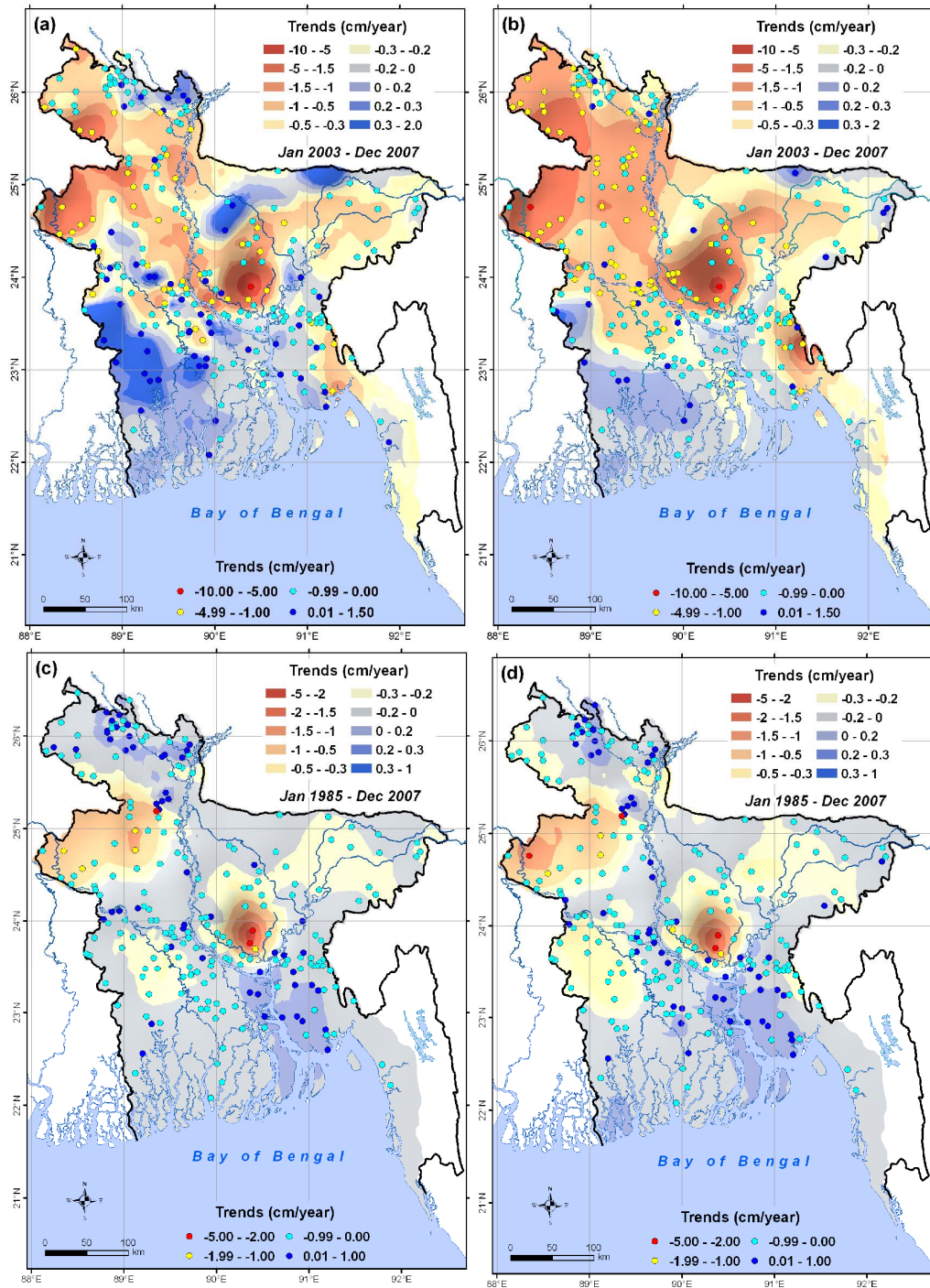
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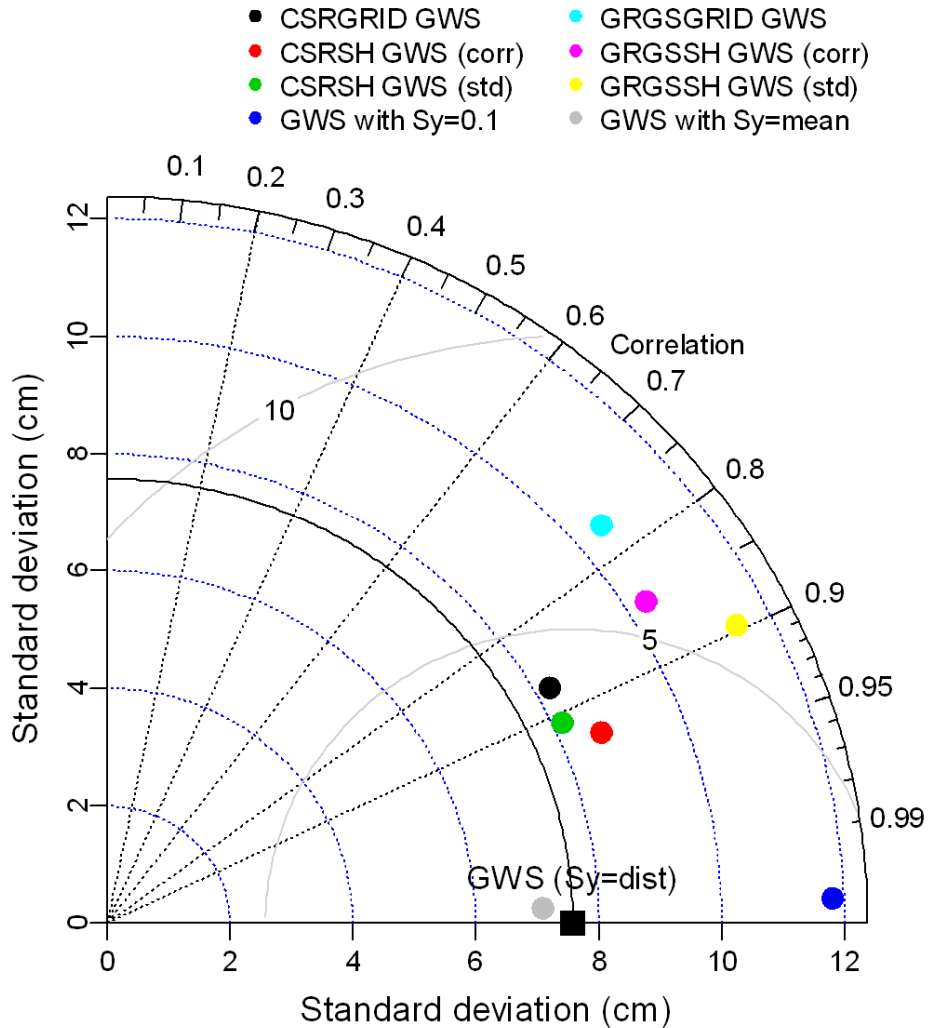
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