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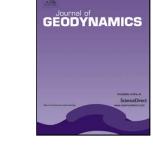
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Calibration of accelerometers aboard GRACE satellites by comparison with POD-based nongravitational accelerations

Aleš Bezděk*

Astronomical Institute, Academy of Sciences of the Czech Republic, Fričova 298, 251 65 Ondřejov, Czech Republic

Abstract

The proposed calibration method uses the precise kinematic positions derived from the data of the GPS receivers aboard the twin GRACE satellites (POD, Precise Orbit Determination). The total satellite accelerations are obtained numeri-3 cally as a second derivative of the kinematic positions, from these the modelled 4 forces of gravitational origin are subtracted. The resulting nongravitational ac-5 celerations then serve as a calibration standard for the uncalibrated accelerometer 6 data. The calibration parameters for the GRACE accelerometers have already 7 been published using other methods. The aim of our study was to obtain not only 8 the calibrated accelerometer measurements, but also a statistically correct estimate 9 of their uncertainty. 10

The main problem in the application of a numerical derivative to observational data is the amplification of noise, especially at high frequencies. Besides, the filter of the numerical derivative introduces the correlation structure in the noise, which complicates the uncertainty estimates using the ordinary least squares. We succeeded in solving both of these problems by using the generalized least squares (GLS) method.

*Tel: +420 323620232, fax: +420 323620117. Preprint submitted to Journal of Geodynamics Email address: bezdek@asu.caś.cz(Aleš Bezděk) URL: http://www.asu.cas.cz/~bezdek/(Aleš Bezděk)

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Using the proposed procedure, the calibration parameters for all three ac-17 celerometer data components were obtained. To remove the serial correlation in 18 the POD positions, we used the GLS method together with a fitted autoregressive 19 process. In this way, a realistic estimate of accuracy of the calibrated accelerom-20 eter data was obtained for the along-track component. The time evolution of the 21 calibration parameters over a 1.5-year period (08/2002-03/2004) display approx-22 imately constant scale factors and slowly changing biases for both GRACE A and 23 B satellites, which is in accordance with the results in the references. 24 *Key words:* Space accelerometers, Nongravitational forces, Generalized least squares, Autoregressive processes

1. Introduction

The wealth of quality data from the two GRACE satellites (launched in 2002), 26 and also from its predecessor satellite CHAMP (launched in 2000), has substan-27 tially contributed to the improved modelling of the global Earth's gravity field, its 28 static part as well as its temporal variations (Reigber et al., 2006; Schmidt et al., 29 2006). As the orbital altitude of these satellites is very low (below 550 km), they 30 are equipped with space accelerometers, whose purpose is to measure the non-31 gravitational accelerations. When processing the measurements from the CHAMP 32 and GRACE missions to produce the gravity field models, the measurements from 33 the onboard accelerometers have to be calibrated. The gravity on the ground is so 34 much larger than the nongravitational accelerations measured in space that the 35 electronic properties of a space accelerometer do not allow it to be calibrated be-36 fore the launch. Many scientific teams using the CHAMP and GRACE data for 37 the gravity field modelling therefore calibrated the accelerometer measurements 38

(Flury et al., 2006; Reigber et al., 2003, 2005a). Ideally, the calibrated accelerom-39 eter measurements should be accompanied with correct uncertainty estimates, but 40 this is usually impossible, because the accelerometer calibration parameters con-41 stitute only a tiny part of the fitted parameters. Moreover, to stabilize the solution 42 of large regression equations in the gravity field studies, one must usually use 43 some regularization scheme, but then the regularized solution is biased and the 44 bias could be much larger than the computed confidence intervals (Aster et al., 45 2005). Over the years it was found that the accelerometer calibration parameters 46 can vary a lot depending on the analysis methods and the context of data usage 47 (Bettadpur, 2004a). 48

Besides the gravity field modelling, the accelerometer measurements may also 49 be used for the analysis of sources of the nongravitational forces themselves, espe-50 cially for studying problems related to thermospheric density and winds (Doorn-51 bos et al., 2009; Flury et al., 2008). Specific for the attitude stabilized satellites 52 CHAMP and GRACE are the firings of the attitude control thrusters, which show 53 up in the linear accelerometer measurements, mainly because of thruster misalign-54 ments (Frommknecht et al., 2006). As real forces, the thruster firings are properly 55 registered by the onboard accelerometers so that the full nongravitational signal 56 can later be eliminated in the gravity field determination; this is one of the reasons 57 why space accelerometers are useful in geodetic missions. However, from the 58 point of view of aeronomy studies, the magnitude of the thruster events is often at 59 the same order as that of the external nongravitational accelerations themselves, 60 especially in the cross-track and radial directions. On the other hand, thermo-61 spheric density is derived from air drag, which is the dominant nongravitational 62 acceleration in the along-track direction. The use of the properly calibrated ac-63

celerometer data for aeronomy studies was the main motive for writing this paper. 64 From the several calibration methods currently in use, we chose the satellite 65 acceleration approach. The basic idea of the acceleration approach is to derive 66 satellite accelerations by double numerical differentiation of the satellite positions 67 along the precise orbit. Newton's second law of motion then links the resulting ac-68 celeration vectors to the forces acting upon the satellite. The successful implemen-69 tation of this technique with results comparable to the classical, dynamic approach 70 was enabled by the fact that kinematic orbits can nowadays be determined at a few 71 cm accuracy. The satellite acceleration approach has been used by several scien-72 tific teams for the modelling of the geopotential (e.g., Ditmar et al., 2006; Reubelt 73 et al., 2006; Švehla and Földváry, 2006). Numerically, the accelerometer cali-74 bration is much simpler compared to the gravity field determination, where one 75 needs an inversion of normal matrix with tens of thousands unknowns and mil-76 lions of measurements, a difficult computational problem, which requires special 77 techniques to be applied. In this study, the calibration standard, the vector of the 78 POD-based nongravitational acceleration, is projected into the accelerometer ref-79 erence frame, where each component is directly compared with the uncalibrated 80 accelerometer data and the linear least-squares calibration model may be used. In 8 the ideal case, the residuals should be approximately independent and normally 82 distributed to enable statistical inference concerning the regression results. On the 83 other hand, the acceleration approach has the problem with the amplified noise. 84 The double numerical differentiation increases the noise in the positions propor-85 tionally to the squared frequency, and, therefore, the high-frequency noise will be 86 amplified very significantly. 87



The prime motivation of this paper is that for a proper use of the accelerometer

measurements, and more generally of any observational data, one needs not only 89 the measurement result, a point estimate of the true value, but also an estimate of 90 the uncertainty of the result, a realistic error bar, which is a quantitative statement 91 about where the true value 'really' is, with a given probability (cf., Taylor and 92 Kuyatt, 1994). Without error bars it is not possible to assess the quality of obser-93 vations in question, to compare two competing theories using the observational 94 data, to properly combine measurements from different sources, or to correctly 95 combine the measurements even from the same experiment, if they have noncon-96 stant variance. 97

98 2. Gravitational and nongravitational accelerations

⁹⁹ 2.1. GRACE project and SuperSTAR accelerometer

The Gravity Recovery And Climate Experiment (GRACE) is a joint US/German 100 satellite mission (Tapley et al., 2004) designed to very accurately map variations 101 in the Earth's gravity field. The two almost identical GRACE satellites were 102 launched in March 2002 into a near polar orbit at about 500-km altitude, separated 103 by approximately 200 km. Each spacecraft carries a science payload consisting of 104 microwave ranging system, GPS receiver, star cameras and accelerometer. Based 105 on data from this mission, the most recent global Earth gravitational field models 106 were published (Förste et al., 2008; Pavlis et al., 2008; Tapley et al., 2007). 107

The SuperSTAR accelerometer on board of the GRACE satellites is a three axis capacitive accelerometer with two sensitive and one less sensitive axes. The sensitive axes point in the flight and radial directions, the less sensitive axis points in the cross-track direction. The precision of the sensitive axes is specified to be 10^{-10} m s⁻², and that of the less sensitive axis 10^{-9} m s⁻², within the bandwidth

of 2×10^{-4} – 10^{-1} Hz (Flury et al., 2008). Compared to the CHAMP accelerometer, the GRACE accelerometers have thermally controlled environment with the temperature variations below 0.1 K/orbit (Tapley and Reigber, 2002).

116 2.2. Nongravitational accelerations

Figure B.1 shows the simulated nongravitational accelerations acting on the 117 GRACE A satellite during one orbital revolution. The projection of the accelera-118 tion vectors refers to the satellite local reference frame; the three components are 119 the along-track (A-T; projected to the velocity direction), the cross-track (C-T; 120 direction of angular momentum) and the radial one (RAD; completes the right-121 handed system). The figure is typical for satellites in low Earth orbits (LEO, 122 altitudes 100-2000 km, mainly 150-800 km): the dominant nongravitational ac-123 celerations change with the satellite local reference frame directions; the close-124 Earth motion makes the satellite to pass through the Earth's shadow, which is 125 visualized by the characteristic jumps. In the along-track component, the main 126 nongravitational driver is the *atmospheric drag* (DRAG), pointing always in the 127 direction opposite to the satellite's motion. Even in the along-track component, 128 there may appear jumps in the smooth waveform of the drag acceleration caused 129 by the direct solar radiation pressure (DSRP), whose action is dominant in the 130 sunlit part of the cross-track and radial components. In the shadow of Earth, the 131 terrestrial infrared radiation (IR) dominates the radial component. Sometimes, 132 when the satellite passes directly below the Sun, also the signal from the *reflected* 133 solar radiation (ALB) may be recognizable in the graphs of the nongravitational 134 accelerations. In each panel, there is also the sum of the individual simulated non-135 gravitational accelerations, a_{NG}^{SIM} . The magnitude of the nongravitational forces in 136 each local reference frame direction depends on the satellite shape and its phys-137

ical properties; in this study, for the GRACE satellites we used the macro model
and surface properties from Bettadpur (2007) and the mass from ISDC/GFZ data
centre (http://isdc.gfz-potsdam.de/grace/). General formulae for computing the
nongravitational accelerations may be found e.g. in Montenbruck and Gill (2001)
or Milani et al. (1987), in this study we used the model of neutral thermospheric
density DTM-2000 (Bruinsma et al., 2003) and the zonal and seasonal models of
the Earth's albedo and emissivity (Knocke et al., 1988).

¹⁴⁵ Figure 1 should be positioned here.

¹⁴⁶ Figure 2 should be positioned here.

Figure B.2 displays the Level-1B accelerometer data of GRACE A during 147 the same period as in Figure B.1. There is an apparent similarity between the 148 waveforms of the sum of the simulated nongravitational accelerations (Fig. B.1) 149 and the uncalibrated accelerometer readouts (Fig. B.2). This is typical for all 150 GRACE Level-1B accelerometer data and provides evidence that the smoother 151 simulated nongravitational accelerations and the accelerometer measurements are 152 consistent with each other. On the other hand, if we compare the units on vertical 153 axes of graphs in Figures B.1 and B.2, it is clear that the accelerometer data are 154 not calibrated; for example, it follows from the geometry of the GRACE A motion 155 during the revolution in question that in the radial component the nongravitational 156 acceleration must pass through zero. In the cross-track and radial components, the 157

sudden spikes in the waveform correspond to the cold-gas thruster firings, which
are activated on average every 2.3 minutes by the attitude control system in order
to satisfy the pointing requirements of the microwave ranging system (Flury et al.,
2008).

¹⁶² Figure 3 should be positioned here.

163 2.3. Gravitational vs. nongravitational accelerations

The histograms in Figure B.3 show the magnitude of the individual accelerations in the satellite local reference frame components. We simulated the orbital evolution of the GRACE A satellite during 1.5 years, every 60 minutes we recorded the magnitudes of the accelerations acting on the satellite and then draw a histogram for each acceleration. We do not show the specific numbers for the histogram counts on the vertical axis, which is linear, as these are only formal depending on the sampling period and would add complexity to the graphs.

The dominant acceleration is due to the static gravitational field; the accel-171 eration caused by the central term (GRAV μ/r ; 8.5 m s⁻²) is projected mainly 172 in the radial direction because of the almost circular orbit of the GRACE satel-173 lites. Then follows the acceleration due to the Earth flattening (GRAV J_2) and to 174 the remaining terms of the geopotential (GRAV rest). Considering the range of 175 the nongravitational accelerations (DRAG, DSRP, ALB, IR: 1-500 nm s⁻²), it is 176 clear that for a successful accelerometer calibration also the other accelerations 177 of gravitational origin must be taken into account: direct lunisolar perturbations 178 (LUNISOL), solid Earth tides (SETID), ocean tides (OTID), and relativistic cor-179

180 rection (REL).

181 2.4. Geopotential – acceleration with respect to its degree

The graphs in Figure B.4 show the accelerations produced by the spherical harmonic terms of the geopotential model EGM96 summed over the orders for a given degree. The individual curves correspond to the altitude of a satellite in a circular orbit around the Earth.

The histograms in Figure B.3 set the upper limit of the nongravitational ac-186 celerations acting on the GRACE satellites to be 500/30/70 nm s⁻² for the along-187 track/cross-track/radial components, while the altitude of the satellites decreased 188 from 510 km to 450 km. From Figure B.4 we infer that the geopotential-induced 189 accelerations approximately equal in magnitude to the upper limit must start at de-190 gree 50–60/80–100/70–90 and go up to degree 125–150 to cover 1 nm s⁻² lower 191 limit of the nongravitational acceleration level, or up to degree 150–180 to reach 192 0.1 nm s⁻². In this study, we used the geopotential harmonic expansion up to 193 degree and order 180 (or the maximum allowable value of a given model). 194

¹⁹⁵ Figure 4 should be positioned here.

3. Method of calibration – a general look

In this section, we will explain the proposed method of calibration using the simulated positions and accelerations. To the simulated satellite positions we will add white noise of a known variance, to have an approximate representation of the POD positions. The uncalibrated accelerometer data will be represented by

the simulated nongravitational accelerations, shifted and scaled by given values. 201 We will look for a linear filter that would realize the second derivative of positions, 202 taking into account the character of the waveforms in question (Figs. B.1 and B.2). 203 Filtering the positions yields the estimated second derivatives, the POD-based to-204 tal acceleration vectors, from which the modelled gravitational accelerations are 205 subtracted. In this way, the POD-based nongravitational acceleration vector is 206 obtained, which serves as the calibration standard (etalon). The calibration equa-207 tion then connects the mean curve, given here by the simulated nongravitational 208 accelerations, with the calibration standard as the observation vector containing a 209 random component. From this simple linear regression model, we find the bias 210 and scale factor as the calibration parameters for each accelerometer component. 211 When filtering the positions, the filter of the second derivative introduces serial 212 correlation into the random component of the POD-based nongravitational accel-213 erations. While the mean values of the fitted calibration parameters are not much 214 affected, the standard fit error and all the confidence intervals are not correct. The 215 generalized least squares method (GLS) is used to find the correct estimates of the 216 uncertainty in the calibrated nongravitational accelerations. 217

An important aspect of the presented calibration method is that we use the 218 kinematic orbits, i.e. those determined directly from GPS measurements and not 219 influenced by any force models (cf. Ditmar et al., 2006). This is of concern es-220 pecially for modelling the accelerations due to the geopotential, where different 221 geopotential models might give different POD-based nongravitational signals. It is 222 an assumption of the presented method that the noise in the modelled gravitational 223 accelerations is negligible compared to that of the POD-based total acceleration 224 (more on this point in Sec. 5.4). 225

10

226 3.1. Simulated POD positions

Simulated positions are computed by the numerical integration of the satellite 227 motion using the simulated gravitational $a_{\text{GRAV}}^{\text{SIM}}$ and nongravitational $a_{\text{NG}}^{\text{SIM}}$ accel-228 erations (SIM stands for 'simulated' or 'modelled'). The time step of positions 229 and other quantities used in this study is 10 seconds. To these approximately 230 error-free positions, which are given in the celestial reference frame, we added a 231 normally distributed white noise Z, with a variance of $\sigma^2=1$ cm in each position 232 component. The resulting sequence of random vectors r represents the kinematic 233 positions from the POD. 234

3.2. Filter of the second derivative

²³⁶ We obtain the POD-based total accelerations a_{TOTAL}^{POD} by double differentiation ²³⁷ of the positions *r*. For this purpose we used the *Savitzky-Golay* or *polynomial* ²³⁸ *smoothing filters* (e.g., Press et al., 2001). A polynomial of a chosen order is ²³⁹ least-squares fitted to the data points within a running window of a chosen length; ²⁴⁰ the approximate numerical derivative at the central point is obtained by the differ-²⁴¹ entiation of the fitted polynomial.

We looked for the best agreement between the simulated and POD-based non-242 gravitational accelerations, when no noise in positions is introduced. We started 243 with the first approximation to the numerical second derivative, the simple three-244 -point formula, but we found that such low order derivatives produce too high a 245 bias $(10^{-6} \text{ m s}^{-2} \text{ with the time step of } 1 \text{ sec}, 10^{-4} \text{ m s}^{-2} \text{ with } 10 \text{ sec})$ between the 246 simulated and POD-based nongravitational accelerations. We then systematically 247 tested many combinations of the polynomial orders and window lengths to find a 248 suitable pair with low values of both parameters that would produce a satisfactory 249 agreement between the simulated and POD-based nongravitational accelerations. 250

Finally, we have chosen the combination of the polynomial order 6 with the window length 9; other combinations, e.g. 8/13, 9/11, 9/21 yielded similar results. The tested combinations comprised also the case with no smoothing, where the window length equals the polynomial order plus one, but again, the bias was too high for our purposes. For later reference, we will symbolically write the filtering of positions as the convolution of the second-derivative filter \mathcal{F} and the radiusvector \mathbf{r} ,

$$\boldsymbol{a}_{\text{TOTAL}}^{\text{POD}} = \mathcal{F} * \boldsymbol{r}. \tag{1}$$

258 3.3. POD-based nongravitational accelerations

The calibration standard, the POD-based nongravitational acceleration vector a_{NG}^{POD} , is obtained from the POD-based vector of total accelerations a_{TOTAL}^{POD} by subtracting the modelled accelerations of gravitational origin a_{GRAV}^{SIM} ,

$$a_{\rm NG}^{\rm POD} = a_{\rm TOTAL}^{\rm POD} - a_{\rm GRAV}^{\rm SIM} , \qquad (2)$$

where the vector $a_{\text{GRAV}}^{\text{SIM}}$ is the sum of the acceleration vectors caused by the Earth static gravitational field, direct lunisolar perturbations, solid Earth and ocean tides, and relativistic effects (Sec. 2.3). The relatively high degree and order of the geopotential model, which is necessary for the generation of gravitational accelerations of low enough magnitude comparable to that of the calibrated accelerometer measurements, was discussed in Section 2.4.

While the numerical differentiation of the positions is most easily done in the (inertial) celestial reference frame, the POD-based nongravitational accelerations obtained in Eq. (2) must be projected into the science reference frame, in which all GRACE Level-1B data products are specified (Case et al., 2004). The axes of the science reference frame are close to those of the satellite local reference

frame (Sec. 2.2) to within a few degrees, except for the sign. In this section, we use the exact satellite local reference frame, in Section 4, where the attitude information of the GRACE satellites is used, we perform a simple sign change to have all our calculations and figures in an approximate satellite local reference frame. The motivation for using the satellite local reference frame lies in its clear physical meaning, e.g. the air drag vector always points in the negative alongtrack direction, the terrestrial infrared radiation in the positive radial direction.

In Figure B.5 the components of the POD-based nongravitational acceleration vector a_{NG}^{POD} in the satellite local reference frame are shown. Using the secondderivative filter, the 1-cm noise in positions is amplified to high-frequency noise in accelerations with oscillations on the order of 10^{-4} m s⁻². The "true" signal a_{NG}^{SIM} of amplitudes 10–500 nm s⁻² is buried in noise.

²⁸⁵ Figure 5 should be positioned here.

286 3.4. Calibration equation

²⁸⁷ The calibration equation is given by the linear model

$$a_{\rm NG}^{\rm POD} = B + S a_{\rm ACC}^{\rm UNCAL} + \epsilon, \tag{3}$$

where *B* is bias, *S* scale factor, a_{ACC}^{UNCAL} uncalibrated accelerometer data, ϵ statistical error. On the assumption that the accelerometer measures independently in its three axes, we have one independent calibration equation (3) for each accelerometer axis.

In this section, the uncalibrated data a_{ACC}^{UNCAL} are represented by the simulated

nongravitational accelerations a_{NG}^{SIM} , which were scaled by S=1.1 and shifted by B=1.2×10⁻⁶m s⁻².

295 3.5. Problem of autocorrelated noise

The probability model, $y = b_0 + b_1 x + \epsilon$, for which the ordinary least squares 296 (OLS) method of estimation is best suited, relates the error-free predictor variable 297 x and the random variable y (see Appendix A). In this respect, the calibration 298 equation (3) matches well the OLS model: the noise in the simulated nongravita-299 tional accelerations $a_{NG}^{SIM} \equiv x$ is several orders of magnitude lower than that of the 300 response variable $a_{NG}^{POD} \equiv y$ (Fig. B.5). Also the noise in the accelerometer readouts 301 should be, according to the specifications (Sec. 2.1), much lower than that of a_{NG}^{POD} . 302 The OLS provide correct uncertainty estimates, if the errors ϵ are independent 303 and normally distributed. If the random errors are positively correlated, the uncer-304 tainty in the fitted parameters is usually underestimated, thus giving a false sense 305 of accuracy (e.g., Chatterjee and Hadi, 2006; Rawlings et al., 1998). 306

When a digital filter is applied to a data sequence containing a random compo-307 nent, the random errors within the filter window are linearly combined to the new 308 output value; hence the newly formed random vector has components, which are 309 correlated. This happens to the POD-based nongravitational accelerations a_{NG}^{POD} 310 obtained from the positions by applying the second-derivative filter (1) and after 311 subtracting the modelled accelerations of gravitational origin in Eq. (2); the noise 312 in positions, which in this section is supposed to be white (Sec. 3.1), after filtering 313 becomes a correlated random component of a_{NG}^{POD} . The OLS applied to the calibra-314 tion equation (3) now enables one to calculate acceptable estimates of B and S, as 315 the point estimates of the regression parameters are usually not much affected by 316 the autocorrelated errors, but it is not possible to correctly estimate the uncertainty 317

of the calibrated accelerations. For a correct estimation of the uncertainties in Band S, we will use the generalized least squares method; see Appendix B for a short review.

321 3.6. Use of GLS to remove autocorrelation

In fact, the non-diagonal covariance matrix of the random component in a_{NG}^{POD} was created by the action of the second-derivative filter \mathcal{F} from the covariance matrix of the white noise $Var(Z_i) = \sigma^2 \mathbb{1}$. Namely,

$$Var(\epsilon) = FVar(Z)F' = \sigma^2 FF',$$
(4)

where F is a square matrix, generated from the coefficients of the filter \mathcal{F} and 325 whose multiplication is equivalent to the action of the filter (e.g., Gray, 2006). 326 But the situation, where we *know* the covariance matrix of the random errors in a 327 linear model, is exactly what the GLS method is suited for. In our case, finding the 328 GLS transformation matrix is straightforward, $W=F^{-1}$. After applying W to the 329 calibration equation (3), and solving the transformed equation (Eq. B.3) through 330 the OLS, the residuals become again uncorrelated and the original σ^2 should be 331 recovered. As regards the implementation of the filtering, we throw away the first 332 and last few acceleration points during the filter warm-up phase, and we find the 333 transformation matrix W through the Cholesky decomposition of the covariance 334 matrix FF' (cf. Eq. B.2). 335

336 3.7. Decorrelation of the observations

The results of the GLS transformation of the POD-based nongravitational accelerations a_{NG}^{POD} are in Figure B.6; only the solution in the along-track component is shown. As the GLS transformation matrix $W=F^{-1}$ is actually the inverse

to the second-derivative filter, which produces accelerations from positions, the "nongravitational positions" are obtained as a sort of double integral of a_{NG}^{POD} . Effectively, we got back into the positions, but now with the gravitational signal removed.

In the upper panel of Figure B.6, the nongravitational positions are shown 344 (y_{OLS1}) as the observations for the OLS estimates, and the fitted function (\hat{y}_{OLS1}) , 345 which is the simulated nongravitational acceleration a_{NG}^{SIM} transformed to positions 346 by W. Several statistics shown in the lower panels confirm the fact that the OLS 347 residuals in the middle panel are uncorrelated normal: autocorrelation function 348 (ACF), partial autocorrelation function (PACF; more about it in Sec. 4.2), normal 349 probability plot and Jarque-Bera test (e.g., Brockwell and Davis, 2002). Through 350 the OLS applied to the transformed linear model (Eq. B.3), apart from the es-351 timates of the calibration parameters \hat{b}_0 and \hat{b}_1 , the original error variance of the 352 nongravitational positions (Sec. 3.1) is estimated by the OLS residual mean square 353 $\hat{\sigma}^2$ (labelled as $\sigma_{\text{iid,est}}$ in Fig. B.6). 354

³⁵⁵ Figure 6 should be positioned here.

356 3.8. Very high correlation between the calibration parameters

In Figure B.6 the reader may have noticed that the coefficient of correlation between the fitted calibration parameters \hat{b}_0 and \hat{b}_1 is very close to one, typically, when calibrating the simulated or real accelerometer data, we get $\rho(\hat{b}_0, \hat{b}_1) \approx 0.999...$ Of course, such a high correlation is not good for the stability of the fitted parameters. The cause of this situation lies in the collinearity of the predictor variables,

one of the standard problems encountered in multiple regression (e.g., Chatterjee
and Hadi, 2006; Rawlings et al., 1998; Weisberg, 2005).

For simplicity, let us use for the calibration equation (3) the notation of the 364 OLS from Appendix A and calibrate the accelerometer measurements against 365 the simulated nongravitational accelerations, so in this subsection $x \equiv a_{ACC}^{UNCAL}$ and 366 $y \equiv a_{NG}^{SIM}$. We may approximately take both x (Fig. B.2) and y (Fig. B.1) as signals 367 made up by two components, by a constant signal plus an oscillatory component 368 (sum of sinusoids). This is not very far from the truth, as the patterns of one 369 revolution in Figures B.1 and B.2 repeat themselves relatively regularly during a 370 period of weeks or so. From the point of view of Fourier analysis, the constant 371 component \bar{x} and the oscillatory component $(x - \bar{x})$ are orthogonal to each other, 372 the same applies to \bar{y} and $(y - \bar{y})$, so comparing the constants \bar{x} , \bar{y} would produce 373 an estimate of an 'intuitive' bias, i.e. a distance between the mean values \bar{x} and 374 \bar{y} , and fitting the oscillations $(x - \bar{x})$ and $(y - \bar{y})$ would estimate the 'scale factor', 375 i.e. a mean ratio of the oscillatory amplitudes (provided that x and y are in phase, 376 which is true here). But this is not the case of the calibration equation (3); here 377 the parameter b_1 multiplies the predictor x, which is a sum of the constant \bar{x} and 378 oscillations $(x - \bar{x})$, but the predictor connected with b_0 is also a constant, hence 379 the collinearity. What makes the correlation between \hat{b}_0 and \hat{b}_1 so high is the very 380 large value of the offset \bar{x} in the accelerometer readouts compared to the ampli-381 tude of the oscillations $(x - \bar{x})$. For large sample sizes and $\bar{x}^2 \gg \hat{\sigma}_x^2$, where $\hat{\sigma}_x^2$ is 382 the sample variance of x, we may approximate the expression for the coefficient 383 of correlation (Eq. A.6) by 384

$$o(\hat{b}_0, \hat{b}_1) = \frac{-\bar{x}}{\sqrt{\hat{\sigma}_x^2 + \bar{x}^2}} \simeq \frac{-\bar{x}}{|\bar{x}|} \left(1 - \frac{1}{2}\frac{\hat{\sigma}_x^2}{\bar{x}^2}\right).$$
(5)

Taking the along-track component of a_{ACC}^{UNCAL} in Figure B.2 as a quantitative ex-

I

ample, the power of the constant component $\bar{x}^2 \simeq (10^{-6})^2 \text{ m}^2 \text{ s}^{-4}$ and that of the oscillatory component $\hat{\sigma}_x^2 \simeq (5.10^{-8})^2/2 \text{ m}^2 \text{ s}^{-4}$ give $\rho(\hat{b}_0, \hat{b}_1) \simeq 0.9995$.

The extremely high correlation between the parameters \hat{b}_0 and \hat{b}_1 may be avoided by changing the calibration model (3). From Eq. (5), the correlation between the parameters in the simple linear regression is zero, if the predictor *x* has zero mean. In the notation of Appendix A, a modified calibration model might be

$$y - \bar{x} = b_0^* + b_1^* (x - \bar{x}) + \epsilon,$$
 (6)

together with the definitions $y^* = y - \bar{x}$ and $x^* = x - \bar{x}$. The modified model has 392 perfectly uncorrelated parameters b_0^{\star} and b_1^{\star} , moreover, one can easily show that 393 $b_0^{\star} = \bar{y} - \bar{x}$ is the 'intuitive' bias mentioned above. The scale factors b_1 , b_1^{\star} of both 394 models have the same fitted value, $\hat{b}_1^{\star} = \hat{b}_1$, and, perhaps surprisingly, also the same 395 standard error, $\hat{\sigma}(\hat{b}_1) = \hat{\sigma}(\hat{b}_1^*)$. Only the modified intercept b_0^* has a substantially 396 smaller standard error, from (A.4), $\hat{\sigma}(\hat{b}_0^{\star}) = \hat{\sigma}/\sqrt{n}$. Indeed, the calculated values of 397 the modified intercept \hat{b}_0^{\star} are much less noisy compared to those of \hat{b}_0 . But on 398 rearranging the terms in (6), $y = \bar{x} + b_0^* - b_1^* \bar{x} + b_1^* x + \epsilon$, one can express the 'old' 399 calibration parameters b_0 and b_1 by means of the modified ones, 400

$$b_0 = b_0^{\star} + \bar{x}(1 - b_1^{\star}), \qquad b_1 = b_1^{\star}. \tag{7}$$

We might believe that the 'statistically better', completely uncorrelated parameters b_0^{\star} , b_1^{\star} and their uncertainties would somehow help b_0 , b_1 to have less correlation – but this does not happen; starting from (7) and using the rules for variances of the linear functions of random variables (e.g., Rawlings et al., 1998), we arrive at exactly the same formulae (A.4), (A.6) as before.

In this study, for the regression calculations themselves we used the modified model (6). During the inversion of the normal equations, MATLAB (2007) indi-

cated a bad condition number, which was caused by a difference of several orders 408 between the magnitudes of the two predictors; a simple solution was to multiply 409 the intercept b_0^{\star} by 10⁻⁷. In fact, both these computational modifications are anal-410 ogous to standardizing the predictor variables in multiple regression or using the 411 MATLAB option 'center and scale X data'. For the sake of comparison of our 412 calibration parameters with those computed by other groups, and because, after 413 all, the calibration models (3) and (6) are equivalent, the final results are given in 414 terms of the original parameters b_0 and b_1 . 415

416 **4.** Calibration of the accelerometer data over several revolutions

In this section we will apply the calibration method to the real GRACE data covering several orbital revolutions in order to analyze the calibration results in more detail. As the POD positions, we used the high-quality 10-second kinematic orbits of the GRACE satellites, kindly provided by D. Švehla (TU Munich). The orbits were computed using the zero-difference ionosphere-free phase measurements, the 10-sec orbits are based on the interpolated 30-sec POD satellite clocks (Švehla and Rothacher, 2005).

The simulated gravitational accelerations, needed for obtaining the POD-based 424 nongravitational accelerations (Sec. 3.3), the coordinate transformations and the 425 simulated nongravitational accelerations were calculated by our own orbital prop-426 agator NUMINTSAT (Bezděk et al., 2009). When working with the real-world 427 data, it has become clear that in contrast to simulations the use of the most up-to-428 date physical models is crucial for obtaining meaningful calibration results. We 429 used: coordinate transformations between ICRF and ITRF systems (McCarthy 430 and Petit, 2003), the model of static gravitational field EIGEN-5C to order and 431

degree 180 (Förste et al., 2008), lunar and solar ephemerides JPL DE405, the
model of solid Earth tides (anelastic Earth; McCarthy, 1996), the model of ocean
tides CSR 4.0 (Bettadpur, 2004b).

We obtain the POD-based nongravitational accelerations a_{NG}^{POD} in Eq. (2) using the second-derivative filter (1) and the modelled accelerations of gravitational origin. Figure B.7 shows a typical result for the three accelerometer axes, the amplified noise from the POD positions being roughly of the same order of magnitude as that for the simulated case in Figure B.5. The components shown in Figure B.7 are not exactly 'along-track', 'cross-track' and 'radial', as the accelerometer readouts are now given in the science reference frame (Sec. 3.3).

442 Figure 7 should be positioned here.

443 4.1. Correlated noise in the POD positions

We apply the GLS transform W to the calibration equation (3), which now 444 relates the observations given by a_{NG}^{POD} and the regressor equal to the uncalibrated 445 accelerometer readouts a_{ACC}^{UNCAL} . The acquired "nongravitational positions" are in 446 Figure B.8; clearly, the OLS residuals from the real POD positions are correlated 447 (middle panel), which is confirmed by the graph of the estimated autocorrelation 448 function (ACF; in blue, bottom left panel). This is not surprising, the kinematic 449 orbits are reported to be correlated (Švehla and Földváry, 2006). On the other 450 hand, the standard error of the OLS fit $\hat{\sigma}$ of a few centimetres as an estimate of 451 the noise in the real kinematic POD positions is a plausible value. 452

⁴⁵³ Figure 8 should be positioned here.

454 4.2. Removing the autocorrelation with an AR model

In this subsection, we will use a general approach for drawing statistical inferences from time series (Brockwell and Davis, 2002; Chatfield, 1995). In most practical problems involving time series we see only one realization, but we imagine it to be one of the many sequences that might have occurred. It is necessary to setup a hypothetical probability model to represent the data; after an appropriate family of models has been chosen, it is then possible to estimate parameters, check for goodness of fit to the data, and possibly to use the fitted model.

We suppose that the correlated OLS residuals (middle panel of Fig. B.8) are a 462 realization of a stationary process and we want to represent its correlation structure 463 by fitting an appropriate autoregressive moving-average (ARMA) model. This 464 class of linear time series models has the property that any autocovariance func-465 tion that asymptotically tends to zero can be approximated arbitrarily well by the 466 autocovariance function of some ARMA process. The fact that the sample au-467 tocorrelation function (ACF) is negligible for some finite lag q suggests that a 468 moving-average model MA(q) might provide a good representation of the data. 469 Analogously, the partial autocorrelation function (PACF; in cyan, bottom left 470 panel of Fig. B.8) of a causal autoregressive process AR(p) is zero for lags greater 471 than p. Both the ACF and PACF of the OLS residuals are in the bottom left panel 472 of Figure B.8. The sample PACF clearly falling off, we chose the pure AR(7)473 process to be fitted to the residuals using the Yule-Walker estimation. The ACF 474 of the fitted AR process of order 7 (in green, bottom left panel of Fig. B.8) agrees 475

well with the sample ACF for lags less than 100; in our experience, the order 7 is
sufficient to match the correlation structure of the OLS residuals.

We suppose that the OLS residuals may be viewed as a realization of the fitted 478 AR(7) process, in other words, as an output to filtering a white noise input by 479 the corresponding AR filter. Therefore, the covariance matrix of the correlated 480 residuals in Fig. B.8 is now given as that of the fitted AR process. This new 481 covariance matrix replaces the matrix Var(Z) in Eq. (4) and the GLS method 482 is applied in the same way as in Section 3.6. We will use the subscript 2 to 483 distinguish the new GLS transformation. The GLS₂ transformation matrix W_2 484 is obtained numerically by the Cholesky decomposition of the new covariance 485 matrix (Eq. B.2). After transforming the calibration equation (3) using W_2 , and 486 using the OLS₂ estimation to find the calibration parameters, we finally obtain an 487 approximately uncorrelated series of residuals, in the middle panel of Figure B.9. 488 Indeed, the ACF and PACF (bottom left panel) are negligible except at zero lag. 489

⁴⁹⁰ Figure 9 should be positioned here.

491 4.3. Calibrated accelerometer measurements

On solving the calibration equation (3) by the GLS₂ method described in the previous section, we obtained the calibrated accelerometer measurements a_{ACC}^{CAL} and their estimated uncertainty band $\hat{\sigma}(a_{ACC}^{CAL})$ given by the confidence interval (B.5). The fact that the GLS₂ residuals appear to be approximately uncorrelated and normal (bottom panels of Fig. B.9) for the along-track component permits us to use statistical inference and to assert that the 'true' signal measured by the accelerom-

eter should be located with a high level of confidence within the $\pm 3\hat{\sigma}(a_{ACC}^{CAL})$ band around a_{ACC}^{CAL} . This is in accordance with the usual definition of the 99.7-*percent confidence interval*, within which we expect the 'true' value of the estimated parameter to be located with the coverage probability of 99.7 %, when the normal distribution is sampled ('three-sigma rule'). For the statement of uncertainties in this study, we used the coverage factor (CF) of 1 ('one-sigma' uncertainty, coverage probability 68.3 %) or that of 3 (coverage probability 99.7 %).

The calibrated accelerometer measurements a_{ACC}^{CAL} together with the $3\hat{\sigma}(a_{ACC}^{CAL})$ 505 uncertainty band for two orbital revolutions are in Figure B.10. The uncertainty 506 band is wider when the fitted value is farther from the mean, similarly to the usual 507 OLS model (A.7). The sample mean $\langle 3\hat{\sigma}(a_{ACC}^{CAL})\rangle$, which we can use to characterize 508 the obtained uncertainty band in the along-track component, is around 25 nm s⁻². 509 In the same way, we can use the calibration equation to fit the simulated non-510 gravitational accelerations and obtain $a_{NG}^{SIM,CAL}$. As is apparent from Figure B.10, 511 the uncertainty bands of both $\hat{\sigma}(a_{ACC}^{CAL})$ and $\hat{\sigma}(a_{NG}^{SIM,CAL})$ are of similar size. But the 512 calibration equation (3) was used in a usual OLS sense, however, after the GLS_2 513 transformation W_2 was applied. In the bottom panel of Figure B.10 there are the 514 calibrated accelerometer and simulated nongravitational accelerations with their 515 means subtracted and then projected to the W_2 space. It is evident that the W_2 516 transformation matrix is an integrator, which, inversely to the second-derivative 517 filter (1), effectively filters out the high frequencies from both a_{ACC}^{CAL} and $a_{NG}^{SIM,CAL}$. 518 Indeed, the estimated frequency response of the filter W_2 shows that only sinu-519 soids of periods longer than 30 minutes are retained. Although the accelerometer 520 waveform give more details in the 'acceleration domain' than the modelled non-52⁻ gravitational accelerations, the calibration in the GLS-induced nongravitational 522

positions effectively smoothes these differences out, and the final uncertainties $\langle \hat{\sigma}(a_{\text{ACC}}^{\text{CAL}}) \rangle$ and $\langle \hat{\sigma}(a_{\text{NG}}^{\text{SIM,CAL}}) \rangle$ are very close.

⁵²⁵ Figure 10 should be positioned here.

Similar calibration results have been obtained also for the radial component; 526 the mean uncertainty $\langle \hat{\sigma}(a_{ACC}^{CAL}) \rangle$ is around three times larger, but the normality of 527 the GLS₂ residuals is questionable. In the cross-track direction, we have not suc-528 ceeded to find a suitable AR process to decorrelate the GLS₁ calibration residuals. 529 So, in the cross-track and radial directions, we found the calibration parameters 530 \hat{b}_0 and \hat{b}_1 , but we are not able to calculate a reliable estimate of the uncertainty 531 of a_{ACC}^{CAL} . From the point of view of the atmospheric density modelling, this is 532 not a problem, by far the strongest signal from the atmospheric drag is in the 533 along-track component and besides, the cross-track and radial components of the 534 accelerometer readouts contain the disturbing signal from the attitude thrusters. 535

536 5. Evolution of calibration parameters over 1.5 years

The presented calibration method has been applied to the accelerometer data 537 of both GRACE satellites within a period of 1.5 years (08/2002-03/2004), for 538 which the 10-sec kinematic orbits were available to us. The following calibration 539 scheme is based on the assumption that the calibration parameters vary slowly 540 in time. As the accelerometer data as well the POD positions contain relatively 541 frequent portions of outliers (cf. Flury et al., 2008), we used a running window 542 covering several satellite revolutions, within which we calibrated the accelerome-543 ter readouts. From these calibration results we selected the non-overlapping seg-544

ments with the best statistical properties. Simple long-term expressions for the
calibration parameters may be obtained by fitting the linear (or quadratic) regression models to the selected calibration results. The long-term statistical results
are better suited for a comparison of different gravitational models and calibration
algorithms than a few days studies, where chance may play a role.

550 5.1. Long-term values of the obtained uncertainties

In the regression analysis, the squared standard error of the fit $\hat{\sigma}^2$ (A.5) is an 551 estimate of the constant variance of the observations, provided the assumptions of 552 the OLS are met. As a factor, $\hat{\sigma}$ then enters the uncertainty estimates (A.4, A.7, A.8). 553 Although the correlated noise in the POD positions prevents the usual $3-\sigma$ in-554 terpretation of the OLS_1 residuals (in the middle panel of Fig. B.8), in physics 555 and engineering this 'RMS value' $\hat{\sigma}$ is widely used to characterize the power of 556 the residual signal. The upper panel of Figure B.11 shows the standard error of 557 the fit $\hat{\sigma}_{OLS1}$ for the 1.5-year period. The label OLS₁ refers to the case, where the 558 GLS transformation is based only on the inverse second derivative filter $W=F^{-1}$, 559 and thus the accelerations a_{ACC}^{UNCAL} and a_{NG}^{SIM} are 'integrated' to give the 'nongrav-560 itational positions' (Sec. 3.6). This is interesting, because on supposing that the 561 modelled gravitational accelerations have negligible errors, $\hat{\sigma}_{OLS1}$ then estimates 562 the RMS value of the POD positions when compared with the independently mea-563 sured accelerometer data. The figure shows that the empirical distributions of 564 $\hat{\sigma}_{OLS1}$ for both a_{ACC}^{CAL} and $a_{NG}^{SIM,CAL}$ are very close, with no statistically significant 565 difference, their mean values being equal to around 3 cm with an approximate 566 uncertainty of 1–2 cm. 567

The aim of this paper is to obtain the calibrated accelerometer data together with a realistic error bar. As mentioned in Section 4.3, this can be achieved in

the along-track component only. The uncertainty estimates of the calibrated accelerometer and simulated nongravitational accelerations $\hat{\sigma}(a_{ACC}^{CAL})$ and $\hat{\sigma}(a_{NG}^{SIM,CAL})$ in the lower panel of Figure B.11 are again statistically equivalent, the mean uncertainty being 8.5±3.0 nm s⁻². This is due to the severe smoothing, when the accelerations a_{ACC}^{CAL} and $a_{NG}^{SIM,CAL}$ are calibrated against the POD positions, as explained in Section 4.3.

⁵⁷⁶ Figure 11 should be positioned here.

The results in Figure B.11 come from the calibrating the accelerometer data 577 within a running window of 2 revolutions. We processed the accelerometer data 578 from both GRACE satellites using the window of 2-4 orbital revolutions. The 579 long-term results for both satellites were statistically equivalent. The estimated 580 RMS value $\hat{\sigma}_{OLS1}$ of the POD positions compared to the integrated accelerometer 581 signal is: 3-4 cm in the along-track, 4-7 cm in the cross-track, and 6-12 cm 582 in the radial components, the values are increasing with the length of the fitting 583 window. At the same time, the mean uncertainty of the calibrated accelerometer 584 measurements $\langle \hat{\sigma}(a_{\rm ACC}^{\rm CAL}) \rangle$ in the along-track component decreased from 8.5 nm s⁻² 585 to 6.5 nm s⁻². 586

Let us note here that we also calibrated the accelerometer data without a special treatment of the autocorrelation present in the POD residuals (Sec. 4.2). Then, in the along-track component we obtained the long-term mean of the uncertainty $\langle \hat{\sigma}(a_{ACC}^{CAL}) \rangle = 1.0 \text{ nm s}^{-2}$, which is approximately 7 times "better" than that stated above (window of 3-revs. used). This illustrates the overly optimistic accuracy estimates, when the autocorrelated errors are ignored in the linear regression prob-

⁵⁹³ lems (Sec. 3.5, Appendix B).

594 5.2. Long-term evolution of scale factors and biases

In the long term, the scale factor \hat{b}_1 of the accelerometer data is approximately constant (upper panel of Figure B.12), with the mean value near 1 for both GRACE satellites, with the 3- σ uncertainty of a few percent. Using the fitted value of \hat{b}_1 and Eq. (7), the biases \hat{b}_0 are obtained, which we can subsequently fit with a straight line regression model to obtain simple long-term expressions (lower panel of Fig. B.12), similarly to Bettadpur (2004a).

In Figure B.13, there are the results of the same procedure applied to the mod-601 elled nongravitational accelerations. While the long-term statistical results of the 602 scale factor \hat{b}_1 are comparable for both accelerometer-based and simulated ac-603 celerations, the biases are different: on average, the simulated nongravitational 604 accelerations are very close to the calibration standard a_{NG}^{POD} , the fitted mean value 605 of \hat{b}_0 is less than 0.01 nm s⁻²; but the variation in the straight-line model of the 606 bias is 3-8 times greater in the simulated accelerations than in the accelerometer-607 based accelerations. In other words, the long-term accelerometer bias is more 608 stable with respect to the calibration standard than the bias of the simulated non-609 gravitational accelerations. This may be attributed to the fluctuating errors in the 610 nongravitational acceleration models, which depend on the orbital conditions. 611

⁶¹² Figure 12 should be positioned here.

⁶¹³ Figure 13 should be positioned here.

⁶¹⁴ 5.3. Comparison with the calibration parameters from an independent study

In a technical note, Bettadpur (2004a) states the constant scale factors and simple linear (or quadratic) models of the changes in bias for each accelerometer axis of the GRACE A/B satellites. These estimates were obtained in the GRACE data processing for the precise orbit and gravity field determination, and their limit of applicability is from the launch until 1 November 2003.

Considering the very high correlation between the fitted calibration parameters 620 (Sec. 3.8), we can set the scale factors \hat{b}_1 equal to the values specified in Bettadpur 621 (2004a) and expect that the biases will 'adapt' their values accordingly. Indeed, 622 in the three accelerometer axes of GRACE A, Figure B.14 shows a similar time 623 evolution of our biases and those from the report. In this case, the fixed values 624 of the scale factors were 0.961 (along-track), 0.98 (cross-track), 0.94 (radial). We 625 obtained similar results for GRACE B, Figure B.15, for the fixed scale factors 626 0.947 (along-track), 0.97 (cross-track), 0.92 (radial). 627

- ⁶²⁸ Figure 14 should be positioned here.
- ⁶²⁹ Figure 15 should be positioned here.

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630 5.4. Uncertainties for different gravity field models

In Table B.1, there are the long-term means of the estimated RMS of noise in the POD positions $\langle \hat{\sigma}_{OLS1} \rangle$ and of the uncertainty in the calibrated accelerometer measurements $\langle \hat{\sigma}(a_{ACC}^{CAL}) \rangle$ obtained using selected models of the static gravity field. We calculated the accelerations for degree/order 180 or less, according to the definition of the model (indicated by superscripts).

In the first group, there are the most recent models based also on the data from the GRACE mission: EIGEN-5C (Förste et al., 2008), EGM08 (Pavlis et al., 2008), GGM03C/S (Tapley et al., 2007). These models provided the best results; the accelerometer calibration also does not indicate any statistical difference between the results from the combination and satellite-only gravity field models GGM03C and GGM03S.

The second group in Table B.1 are models computed using the CHAMP data, 642 but not those from GRACE: EIGEN-CHAMP03S (Reigber et al., 2005b), DEOS_CHAMP-643 01C_70 (Ditmar et al., 2006). To test the influence of including the higher degree 644 terms of the static geopotential models on the proposed accelerometer calibration, 645 we also used the EIGEN-5C model limited to degree/order 70 (Sec. 2.4). From the 646 statistical point of view, the results of this group of models are equivalent to the 647 GRACE models. While there is no visible change in the results pertaining to the 648 along-track component, those of the cross-track and radial components display a 649 slight systematic decrease in the precision for the models with the maximum de-650 gree/order 70, which might be attributed to the lower magnitude of the nongravi-651 tational accelerations in these directions (Fig. B.3). So for a precise accelerometer 652 calibration it is better to include the higher degree/order gravity terms. 653

⁶⁵⁴ The results based on the pre-CHAMP gravity models EGM96 (Lemoine et al.,

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⁶⁵⁵ 1998) and GRIM5C (Gruber et al., 2000) are worse by a factor of about 4 in the ⁶⁵⁶ cross-track and radial components. Thus the proposed accelerometer calibration ⁶⁵⁷ provides an indirect evidence that the gravity missions CHAMP and GRACE have ⁶⁵⁸ appreciably contributed to improve the higher degree/order terms of the current ⁶⁵⁹ global static gravity field models.

Table 1 should be positioned here.

The main purpose of including this section was to show that the calibration 661 method does not depend upon a particular gravity model used, in other words 662 that it is plausible to suppose that the errors in the accelerations derived from the 663 gravity field model are negligible compared to those of the accelerations derived 664 from kinematic positions. This is clearly demonstrated by the long-term results in 665 Table 1, where the four most recent gravity models, derived by different groups 666 using different processing schemes, give statistically equivalent results in all three 667 accelerometer components. Besides, if nowadays the best available gravitational 668 model EGM08 goes up to degree/order 2159, and the new EIGEN or GGM mod-669 els go up to degree/order 360, then we may expect that they are consistent in 670 predicting the geopotential functionals with a relatively low limit of degree/order 671 less than 150 and that they should generate rather close vectors of the gravitational 672 acceleration. 673

674 6. Discussion

As mentioned in Section 1, many scientific teams have calculated the calibration coefficients of the GRACE accelerometers for periods of differing length,

from days to years. The question of the accuracy of the calibrated accelerometer measurements, however, seems not to be discussed very much, as either the primary research objective in other studies is the gravity field modelling, or the complexity of the calibration process prevents the uncertainty estimates from quantifying, e.g. due to regularization.

Van den Ijssel and Visser (2007) estimated the nongravitational accelerations for the CHAMP and GRACE A satellites as piecewise constant empirical accelerations via the reduced-dynamic POD approach. To obtain a solution, regularization was necessary. Only the longer wavelengths were recovered, at best in the along-track direction, with a bias in the cross-track direction. The authors concluded that no meaningful solution could be obtained in the radial direction.

Van Helleputte et al. (2009) used the reduced-dynamic POD technique to determine the calibration parameters of the CHAMP and GRACE A/B satellites over a 5-year period. The method needs strong constraints to be set on the a priori bias values in the cross-track and radial direction.

For the derivation of the satellite accelerations from kinematic positions, Reubelt et al. (2006) used the second derivative of the Gregory-Newton interpolation scheme; the explicitly stated coefficients of the 9-point filter are the same as those from the second derivative of a 9-point polynomial filter of order 8 (i.e. with no smoothing, cf. Sec. 3.2). The choice of this filter was driven by the aim of the study, which was the determination of the gravity field parameters from 2 years of the CHAMP kinematic orbits without a regularization to guarantee an unbiased solution.

There are several scientific groups, which used the fitted ARMA models when solving the inverse problem of the gravity field determination, but with different aims and details of implementation compared to our method. In the context of

processing the future GOCE gradiometer data, Schuh (2003) used the discrete 702 linear filters and the GLS method for handling the correlated measurements in the 703 frequency domain. The target was to obtain decorrelated observational equations 704 and to distribute the computational effort to a cluster of computers. A need to treat 705 the huge least-squares problems in the gravity field determination motivated Klees 706 et al. (2003) and Ditmar et al. (2007) to study how the coloured noise represented 707 by the ARMA processes might be used as a fast method to solve a Toeplitz system 708 of linear equations. 709

Ditmar et al. (2007) points out that the assumption about the stationarity of the noise in the kinematic POD positions may not be realistic in many cases, due to a quickly changing constellation of visible GPS satellites for a LEO satellite, and therefore, the orbit accuracy may vary considerably in time. This might be the reason for the increase in the estimated RMS of the POD positions with longer length of the fitting windows (Sec. 5.1).

The fact that the RMS of noise in the cross-track and radial components of the POD positions is several times worse, when comparing the accelerometer calibration statistics based on the pre-CHAMP gravity field models with those using the recent models including the CHAMP and GRACE data (Sec. 5.4), is in accordance with a similar improvement in the accuracy of the radial orbit component of the altimeter satellites (Klokočník et al., 2005, 2008).

722 **7. Conclusions**

In this study it was demonstrated that the proposed method of calibration of the linear accelerometer measurements is capable of finding the point estimates of the calibration parameters in all three accelerometer components for

⁷²⁶ both GRACE A/B satellites. A statistically correct estimate of the accuracy of
⁷²⁷ the calibrated accelerometer measurements have been obtained for the along-track
⁷²⁸ component of the accelerometer data.

The calibration procedure makes use of the generalized least squares method, which might be useful in other linear regression problems, where one has to deal with the correlated residuals. In the case of the accelerometer calibration, the situation is particularly convenient for the application of the GLS method, as we know exactly the regression mean function, equal to the uncalibrated accelerometer measurements, and we need to shift it to the "right place" determined by the calibration standard.

From the point of view of aeronomy and atmosphere research, the most important is the along-track component of the accelerometer data, where the signal from the atmospheric drag is dominant; moreover, the cross-track and radial components of the accelerometer data contain the relatively strong disturbing signal due to the action of the attitude control thrusters.

Throughout the study, we have also used the modelled nongravitational ac-741 celerations, whose waveform matches well that of the accelerometer readouts but 742 is generally smoother, and in the cross-track and radial components it does not 743 contain the spikes caused by the attitude thrusters. After the calibration of the 744 along-track component, the accelerometer data and the modelled nongravitational 745 accelerations have approximately the same mean uncertainty; this is due to the 746 fact that the GLS calibration effectively integrates the acceleration signal, so in 747 the calibration only the longer period waves are actually used. This is closely 748 connected with the fact that the calibration standard is calculated from the orbital 749 positions. 750

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We applied the calibration method to the accelerometer data covering a 1.5-751 year period in 2002–2004. Taking into account the previous experience, we sup-752 posed that the calibration parameters, i.e. the scale factors and biases for each 753 accelerometer axis, evolve slowly in time. We used the running window of 2-4754 orbital revolutions, within which we calibrated the accelerometer data and finally 755 selected the non-overlapping segments with the best statistical results. The time 756 evolution of the calibration parameters agrees well with that published in an inde-757 pendent report. 758

On the assumption that the errors in the modelled accelerations of gravitational origin are very small, the GLS calibration method defines a transformation of the accelerometer data, which may be used to estimate the RMS of noise in the kinematic positions. Based on this comparison between the POD kinematic positions with the independently measured accelerometer data set, we found plausible mean values of (3–4; 4–7; 6–12) cm in the (along-track; cross-track; radial) directions.

We compared the long-term calibration results for several models of the Earth 765 static gravity field. The recent models EIGEN-5, EGM08 and GGM03, which are 766 based also on the data from the CHAMP and GRACE missions, gave statistically 767 equivalent results, the mean uncertainty in the along-track component of the cali-768 brated accelerometer data being 6.5-8.5 nm s⁻² (one sigma). The same long-term 769 results were also obtained using the EIGEN-CHAMP03 model, which does not 770 contain the GRACE data. The estimated statistical errors produced using the pre-771 CHAMP gravity models were several times worse in the cross-track and radial 772 components. 773

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774 8. Acknowledgements

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782 Appendix A. Ordinary least squares (OLS)

In the *ordinary least squares* we suppose that the vector of observations y is given as the sum of a deterministic mean function E(y) to which a vector of random errors ϵ with constant variance is added. The probability model of the *simple linear regression* is

$$y = b_0 + b_1 x + \epsilon, \tag{A.1}$$

where *y* is the vector of *n* observations, b_0 intercept, b_1 slope, *x* predictor, ϵ statistical error. The OLS estimates \hat{b}_0 and \hat{b}_1 are given by

$$\hat{b}_1 = SXY/SXX, \quad \hat{b}_0 = \bar{y} - \hat{b}_1 \bar{x},$$
 (A.2)

where $SXY = \sum (x_i - \bar{x})(y_i - \bar{y})$, $SXX = \sum (x_i - \bar{x})^2$. Using \hat{b}_0 and \hat{b}_1 we form the fitted function \hat{y} as the estimate of the mean function E(y)

$$\hat{y} = \hat{b}_0 + \hat{b}_1 x.$$
 (A.3)

⁷⁹¹ Under the assumption that the errors ϵ_i are independent and normal with constant ⁷⁹² variance σ^2 , the OLS estimates \hat{b}_0 , \hat{b}_1 are also normally distributed with the stan-

793 dard errors

$$\hat{\sigma}(\hat{b}_1) = \frac{\hat{\sigma}}{\sqrt{SXX}}, \qquad \hat{\sigma}(\hat{b}_0) = \hat{\sigma} \sqrt{\frac{1}{n} + \frac{\bar{x}^2}{SXX}}, \tag{A.4}$$

⁷⁹⁴ where the standard error of the OLS fit is

$$\hat{\sigma} = \sqrt{\sum_{i=1}^{n} (y_i - \hat{y})^2 / (n-2)}.$$
 (A.5)

In general, the estimated parameters are correlated with the coefficient of correla tion (Weisberg, 2005)

$$\rho(\hat{b}_0, \hat{b}_1) = \frac{-\bar{x}}{\sqrt{SXX/n + \bar{x}^2}}.$$
 (A.6)

⁷⁹⁷ We can calculate the uncertainty band around the fitted function \hat{y} , which is ⁷⁹⁸ called the *confidence interval*,

$$\hat{\sigma}(\hat{y}_i) = \hat{\sigma} \sqrt{\frac{1}{n} + \frac{(x_i - \bar{x})^2}{SXX}},\tag{A.7}$$

⁷⁹⁹ and the *prediction interval*, the uncertainty of a single (possibly future) observa-⁸⁰⁰ tion y_F ,

$$\hat{\sigma}(y_F) = \hat{\sigma} \sqrt{1 + \frac{1}{n} + \frac{(x_F - \bar{x})^2}{SXX}}.$$
 (A.8)

Appendix B. Generalized least squares (GLS)

⁸⁰² Defining X as the matrix of predictors and b as the vector of parameters, let

$$y = Xb + \epsilon \tag{B.1}$$

⁸⁰³ be an OLS problem, where the post-fit tests showed that the random errors ϵ_i are ⁸⁰⁴ correlated or have a nonconstant variance, i.e. the covariance matrix of the random ⁸⁰⁵ errors is not equal to the scaled identity matrix, $Var(\epsilon) \equiv \sigma^2 V \neq \sigma^2 \mathbb{1}$. The *general*-⁸⁰⁶ *ized least squares* (GLS) then define a linear transformation (e.g., Rawlings et al.,

807 1998)

$$W = T^{-1}$$
, where $V = TT'$, (B.2)

⁸⁰⁸ which maps the original linear model into a new one,

$$y^* = X^* b + \epsilon^*, \tag{B.3}$$

such that the covariance matrix of the transformed errors ϵ^* is again a scaled identity matrix. Indeed,

$$Var(\epsilon^*) = WVar(\epsilon)W' = \sigma^2 T^{-1}TT'T^{-1} = \sigma^2 \mathbb{1}.$$
 (B.4)

In the transformed variables, $y^*=Wy$, $X^*=WX$, the usual OLS are then used to find the regression parameters *b* of the *original* problem with correct estimates of their uncertainties. The thus obtained GLS estimator \hat{b} is also known as the Aitken estimator. By using the inverse transformation matrix $T=W^{-1}$, we may obtain the confidence and prediction intervals of the *original* fitted function $\hat{y}=T\hat{y}^*$ from (A.7) and (A.8). Namely, the estimated confidence interval of \hat{y} is expressed in matrix notation as the square root of the diagonal of the covariance matrix

$$Var(\hat{y}) = \hat{\sigma}^2 T P^* T', \tag{B.5}$$

where $P^* \equiv X^* (X^* X^*)^{-1} X^{*'}$ is the 'hat matrix' of the transformed model.

⁸¹⁹ Figure 16 should be positioned here.

Figure 17 should be positioned here.

To illustrate the importance of taking into account the autocorrelated errors, 821 we generated the random errors ϵ as a realization of an autoregressive model of 822 order 7 with coefficients found in Section 4.2. In Figure B.16 we directly used 823 the OLS to find \hat{y} as an estimate of the true value E(y)=10. The standardized 824 residuals and the estimated autocorrelation function of the residuals (middle and 825 bottom panels) show clearly that the residuals are correlated. The confidence 826 interval with the coverage factor of 3 locates the estimated true value within the 827 interval $\hat{y}\pm 3\hat{\sigma}(\hat{y})=9.02\pm0.21$; this interval is too narrow, it does not contain the 828 actual E(y); using the OLS will not give correct uncertainty estimates. 829

⁸³⁰ When the GLS method is used to solve the problem with the same data (Fig. B.17), ⁸³¹ the confidence interval of \hat{y} is 9.07±3.8 and does indeed cover the true value. In ⁸³² this example, the GLS confidence interval is approximately ten times larger than ⁸³³ that of the OLS estimate. Also the autocorrelation function of the OLS residuals ⁸³⁴ in the transformed variables, $y_i^* - \hat{y}_i^*$, is now that of a white noise (bottom panel of ⁸³⁵ Fig. B.17).

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954 **Figure captions**

Figure B.1: Simulated nongravitational accelerations during one orbital revolution of the GRACE A satellite (11 Aug 2003). Shown are the components in the satellite local reference frame, namely the accelerations in the along-track (A-T; upper panel), cross-track (C-T; middle panel) and the radial direction (RAD; lower panel). The total acceleration (in black) is a superposition of the accelerations due to atmospheric drag (DRAG), direct solar radiation pressure (DSRP), reflected solar radiation pressure (ALB) and terrestrial infrared radiation (IR).

Figure B.2: Uncalibrated accelerometer data a_{ACC}^{UNCAL} (the same arc as in Fig. B.1).

Figure B.3: Histograms of gravitational and nongravitational accelerations in the satellite local reference frame components (GRACE A, 08/2002–03/2004).

Figure B.4: Acceleration due to the spherical harmonic terms of the gravitational model EGM96 grouped according to the degree.

Figure B.5: The POD-based nongravitational accelerations a_{NG}^{POD} in the satellite local reference frame (derived from the simulated POD positions). Also shown are the simulated nongravitational accelerations a_{NG}^{SIM} .

Figure B.6: The ordinary least squares applied to "nongravitational positions": observations and the fitted function (upper panel), residuals and numerical results of the fit (middle panel), several indicators that the residuals are uncorrelated and normal (lower panels). Simulated data were used, only along-track component is shown.

Figure B.7: The POD-based nongravitational accelerations a_{NG}^{POD} in the satellite local reference frame (derived from the kinematic positions, GRACE A, 25 Nov 2003).

Figure B.8: The ordinary least squares applied to "nongravitational positions" (panels as in Fig. B.6). Real data used (GRACE A, 25 Nov 2003, along-track).

Figure B.9: The ordinary least squares applied to the transformed residuals from Fig. B.8, the transformation matrix is based on the fitted AR(7) process.

Figure B.10: Calibrated accelerometer readouts and simulated nongravitational accelerations (upper panel), after centring and the transformation given by W_2 (bottom panel) (GRACE A, 25 Nov 2003, along-track).

Figure B.11: Time evolution of the standard fit error for the nongravitational positions (upper panels) and the uncertainty of the calibrated accelerations (lower panels) compared for the accelerometer-based and simulated nongravitational accelerations (GRACE A, along-track, window of 2 revs., approx. 2000 values).

Figure B.12: Long-term fit of the calibration parameters for the accelerometer measurements (GRACE A, along-track, window of 2 revolutions).

Figure B.13: Long-term fit of the calibration parameters for the simulated nongravitational accelerations (GRACE A, along-track, window of 2 revolutions).

Figure B.14: Comparison of the computed bias for GRACE A with that derived independently by Bettadpur (2004a).

Figure B.15: Comparison of the computed bias for GRACE B with that derived independently by Bettadpur (2004a).

Figure B.16: Example of a linear model with the errors generated by a stationary AR(7) process: the direct ordinary least squares solution. Upper panel: \hat{y}_{CI} define the confidence interval around \hat{y} , \hat{y}_{PI} the prediction interval; middle panel: standardized residuals and the fit results; lower panel: autocorrelation function of residuals.

Figure B.17: Data as in Fig. B.16: the generalized least squares solution.

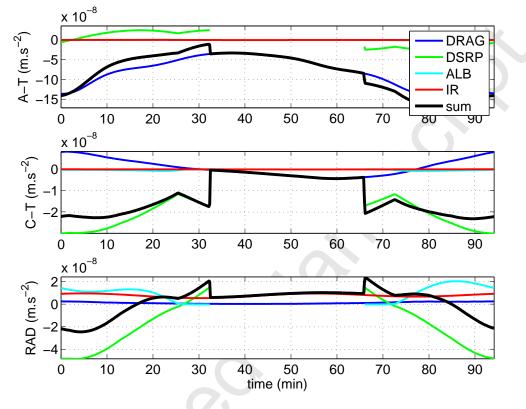
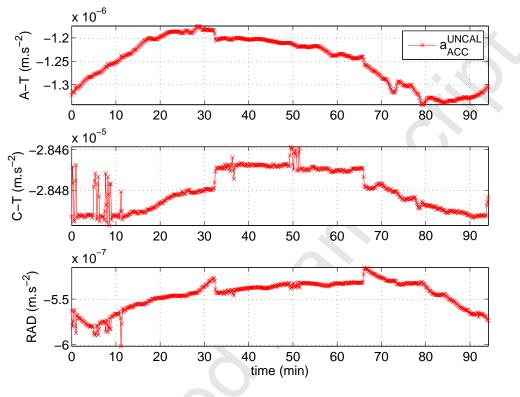


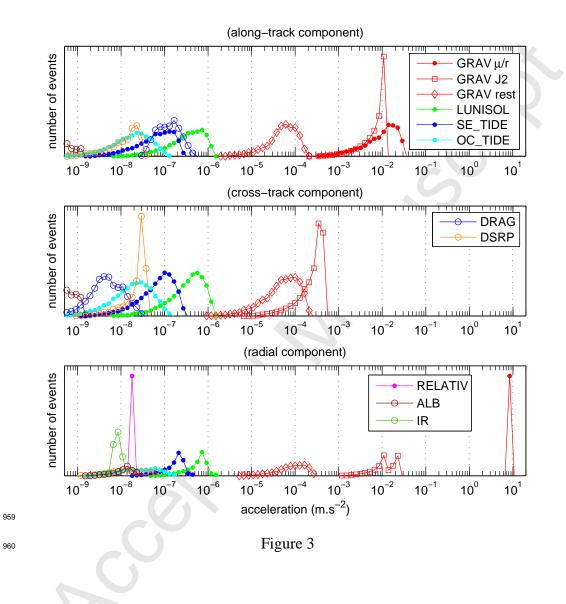
Figure 1

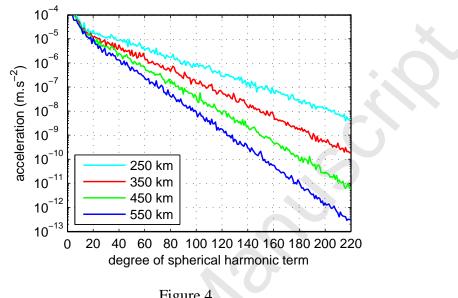


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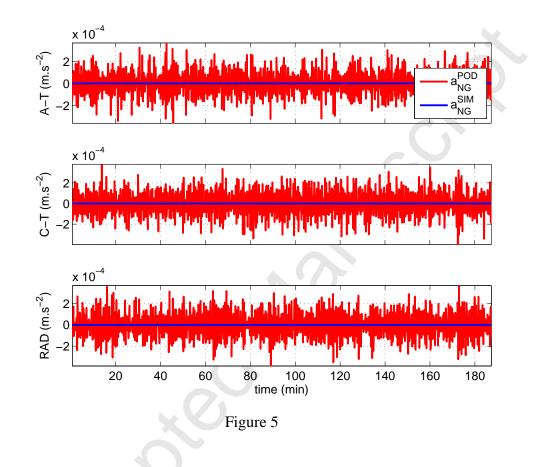


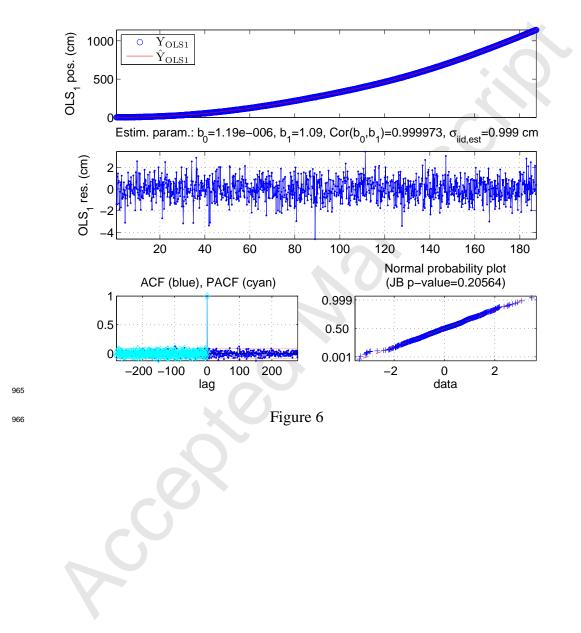




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Figure 4





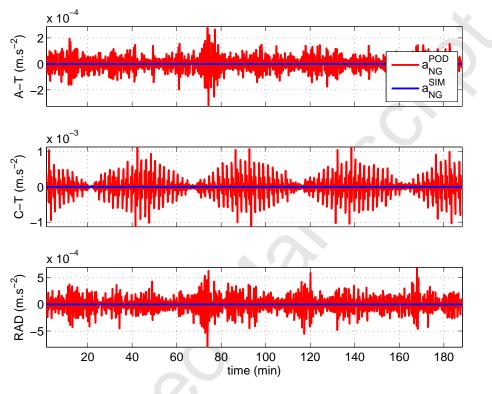
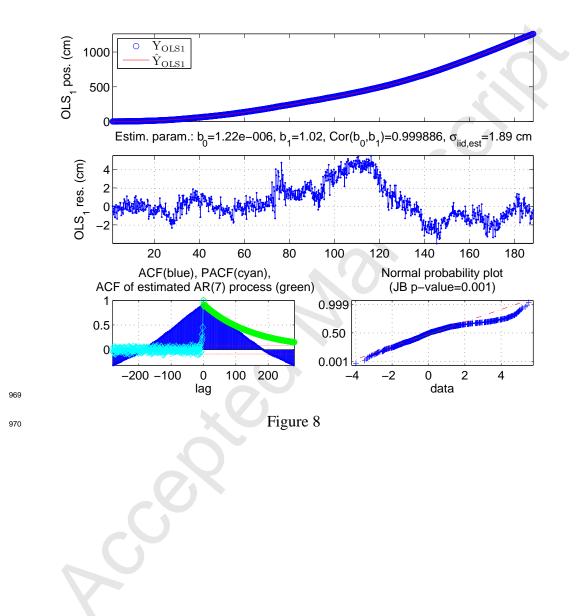
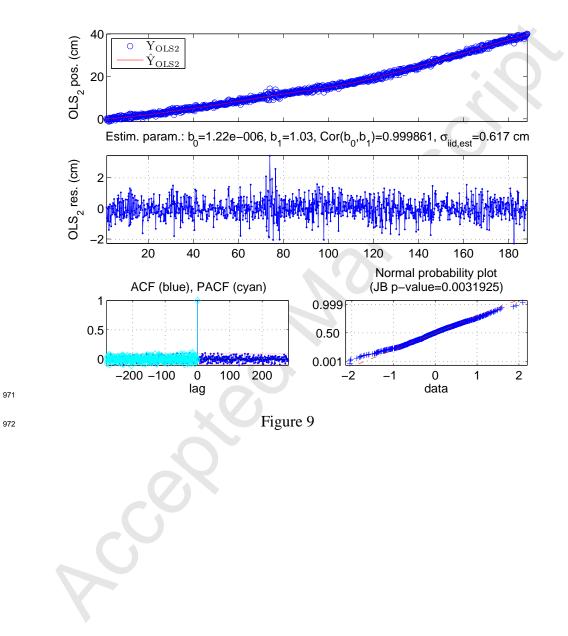
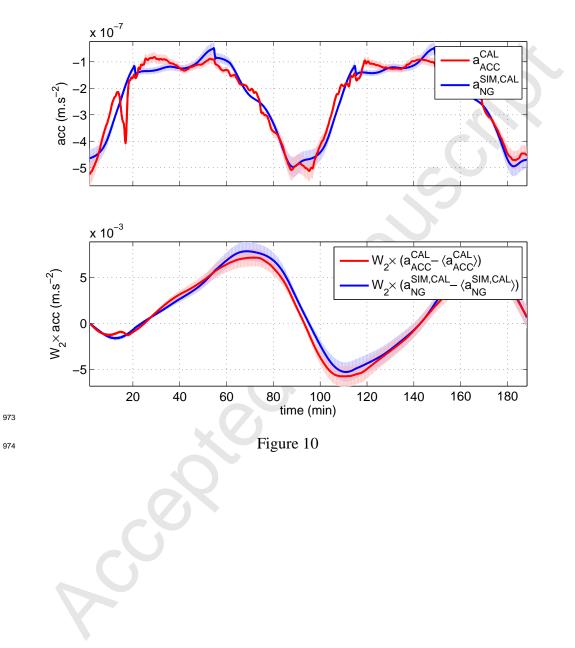


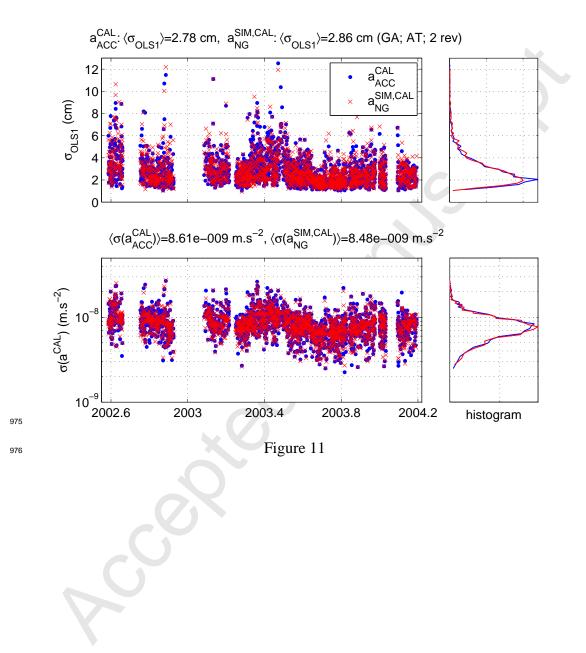
Figure 7

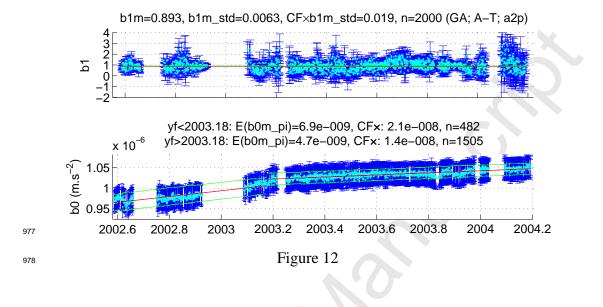
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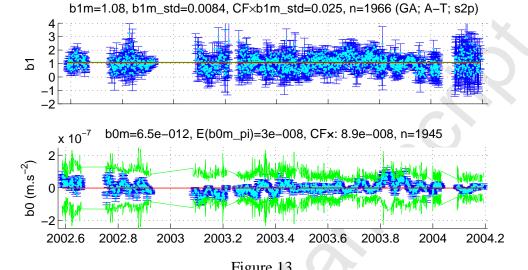






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Figure 13

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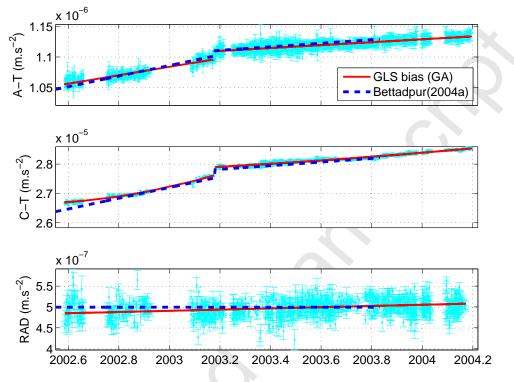


Figure 14

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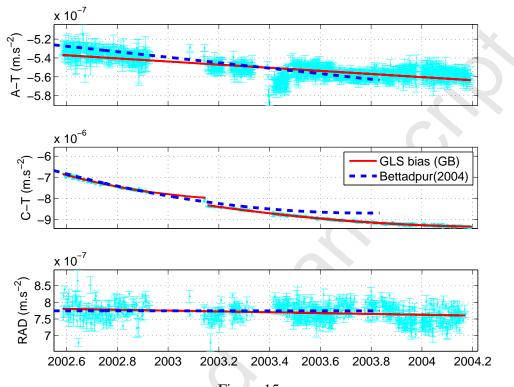
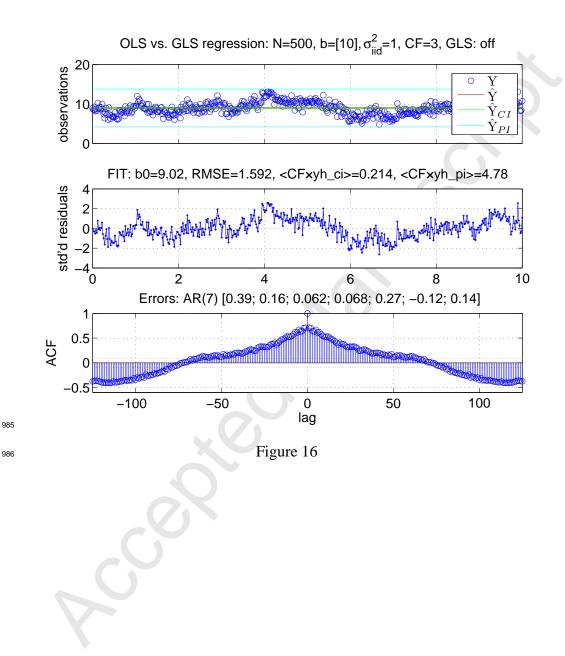
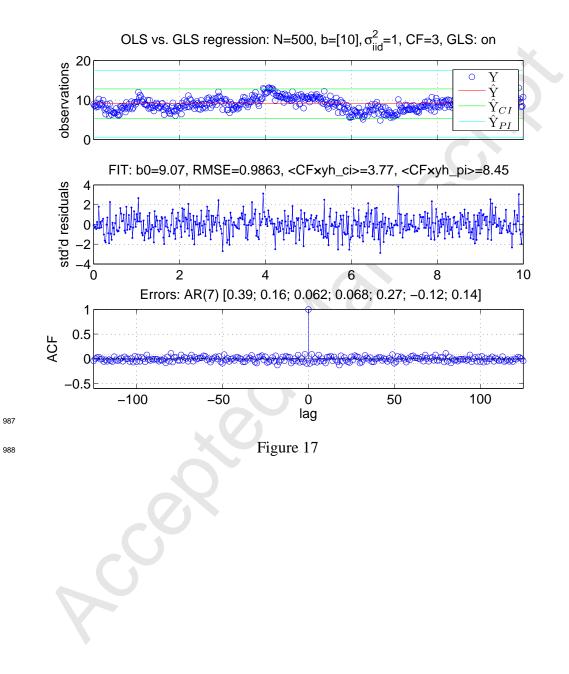


Figure 15





gravity	$\langle \hat{\sigma}_{OLS1} angle$ (cm)			$\langle \hat{\sigma}(a_{\rm ACC}^{\rm CAL}) \rangle ({\rm nm.s^{-2}})$		
model	A-T	C-T	RAD	A-T	C-T	RAD
EIGEN-5C ⁽¹⁸⁰⁾	3.4	5.3	9.0	7.4	_	20.9
EGM08 ⁽¹⁸⁰⁾	3.5	5.1	9.1	7.5	_	21.1
GGM03C ⁽¹⁸⁰⁾	3.5	5.3	9.9	7.7	_	22.6
GGM03S ⁽¹⁸⁰⁾	3.5	5.3	9.8	7.7	-	22.5
EIG-CH03S ⁽¹⁴⁰⁾	3.5	4.9	9.8	7.6	-	22.1
DEOS-CH ⁽⁷⁰⁾	3.5	6.2	10.7	7.7	-	23.1
EIGEN-5C ⁽⁷⁰⁾	3.5	6.1	10.7	7.7	-	23.5
EGM96 ⁽¹⁸⁰⁾	4.2	20.1	46.3	8.4	_	47.2
GRIM5C ⁽¹²⁰⁾	3.9	18.8	40.5	8.8	_	46.4

Table B.1: Statistical results of selected gravity field models for GRACE A over the period of 1.5 years. The numbers in superscript indicate the degree/order of the model used in our calculations (window of 3 revs., mean of approx. 1000–1400 values).

R. Cool