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Extraction of Foetal Contribution to ECG Recordings Using Cyclostationarity-Based Source Separation Method

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Abstract. In this paper we propose a cyclostationary approach to the problem of the foetal electrocardiogram (FECG) extraction from a set of cutaneous potential recordings of an expectant mother. We adopted a semi-blind source separation (BSS) method for which the only necessary prior knowledge is that of the fundamental cyclic frequency of the cyclostationary process to estimate. The estimated cyclostationary FECG source of interest is found to be free from any interferences with the mother's ECG (MECG) signal. Experimental results and perspectives for future research conclude this paper.

Key words: Cyclostationarity, Semi-Blind Source Extraction, Foetal Electrocardiogram

1 Introduction

Electrocardiograms (ECG) are very often used as diagnostic tools for heart monitoring. In the case of pregnant women, the recorded ECGs contain information concerning both mother's and foetus' condition. Early diagnosis in the case of the mother's ECGs (MECG) can be helpful for medical assessment of possible diseases, while in the foetus case (FECG), any prenatal information that can be provided may be of great importance to prevent complications. ECGs acquired with non-invasive techniques do not give direct access to FECGs, because those are hidden by the maternal ECGs of higher amplitude and contaminated by various sources of disturbances (biological or other).

It is shown in the literature ([1], [2], [3], [4]), that this problem can be formulated in blind source separation (BSS) terms. It consists of separating or extracting one or more signals of interest from a set of observations which contain a linear or non-linear mixing of the unknown source signals that one wants to estimate.

In the case of ECG signals, m electrodes are placed at several key locations on the mother's body and the observations carried out by these electrodes are modelled in terms of BSS as a vector $\mathbf{x}$ assumed to be a linear mixture of the unknown source vector $\mathbf{s}$:

$$\mathbf{x}(t) = A\mathbf{s}(t) \quad (1)$$

where $\mathbf{s}(t)$ are the n unknown sources $[s_1(t), s_2(t), \dots, s_n(t)]^\dagger \in \mathbb{R}^{n \times 1}$, $\mathbf{x}(t)$ outputs the m linearly mixed observations $[x_1(t), x_2(t), \dots, x_m(t)]^\dagger \in \mathbb{R}^{m \times 1}$, A stands for the $m \times n$ mixing matrix and $\dagger$ denotes the transpose operator. Equation (1) is the well known *noiseless linear instantaneous* model of the BSS problem.

Various approaches have been used to solve the FECC extraction problem by using BSS techniques. One can find in the literature second-order statistics (SOS) based BSS methods [5] or adaptive noise cancelling methods compared with higher-order statistics (HOS) based BSS techniques [6]. Sparse representations [7] as well as wavelet based ICA [9] applied to this particular problem have also been reported.

Here we choose to apply an algorithm that allows to extract one specific cyclostationary source whose cyclic frequency is *a priori* known. A preliminary study of the data indicates that the observations exhibit cyclostationarity at the frequency of the foetal heartbeat rate, which is estimated and then used for the extraction.

2 Methods

2.1 Extraction Principle

The extraction method was previously presented in [10]. It aims at extracting a cyclostationary source of known cyclic frequency α_0 from a set of observations. We thus look for a $1 \times m$ extraction vector B such that:

$$z(t) = B\mathbf{x}(t) \quad (2)$$

is an estimate of the cyclostationary source. Hypotheses are as follows:

- ☐ The observations are instantaneous and additive mixtures of the sources.
- ☐ There are at least as many sensors as sources.
- ☐ The sources are supposed to be zero mean and uncorrelated to each other.
- ☐ The source to be extracted is cyclostationary at a frequency α_0 that is *a priori* known, i.e. it can either be measured or computed.
- ☐ The other sources can be either stationary or cyclostationary, provided that none of them is cyclostationary at the same frequency α_0 .

The extraction is performed by minimising over B a criterion $C(B)$ based on second-order statistics of the observations. Let us denote by $R_z(t, 0)$ the autocorrelation function of the estimate $z(t)$ at zero time-lag. Then:

$$C(B) = \left| \frac{R_z(0)}{R_z^{\alpha_0}(0)} \right| \quad (3)$$

where $R_z(0)$ and $R_z^{\alpha_0}(0)$ are the coefficients of the Fourier series decomposition of $R_z(t, 0)$ at respective frequencies 0 and α_0 .

Fig. 1. Eight skin electrodes recordings from a pregnant woman

2.2 Algorithm

Let us denote by $\hat{R}_{\mathbf{X}}(0) = \langle \mathbf{x}(t)\mathbf{x}^\dagger(t) \rangle_\theta$ and $\hat{R}_{\mathbf{X}}^{\alpha_0}(0) = \langle \mathbf{x}(t)\mathbf{x}^\dagger(t)e^{-2\pi j\alpha_0 t} \rangle_\theta$ the estimates of the covariance matrix of the observations and their cyclic covariance matrix at frequency α_0 , respectively. The $\langle \cdot \rangle_\theta$ operator stands for the temporal averaging over θ seconds. The criterion is estimated from the observations as follows:

$$\hat{C}(B) = \left| \frac{B\hat{R}_{\mathbf{X}}(0)B^\dagger}{B\hat{R}_{\mathbf{X}}^{\alpha_0}(0)B^\dagger} \right|. \quad (4)$$

It has been shown in [10] that minimising eq. (4) over B leads to the extraction of the corresponding cyclostationary component.

3 Methodology and Results

The proposed algorithm is very well adapted to the problem of FECG extraction as shown below. We apply it to a commonly used dataset in relation to FECG extraction research [11].

3.1 Experimental Dataset

The dataset we used is illustrated in Fig. 1. These are eight-channel cutaneous potential recordings from eight skin electrodes placed at different positions of an expectant mother's body. The first five observations denoted $[x_1(t), \dots, x_5(t)]$ were recorded from the abdominal area, while the last three $[x_6(t), \dots, x_8(t)]$ correspond to electrodes placed at the mother's thoracic region. All signals were sampled at frequency $f_e = 500 \text{ Hz}$ during 5 s , so that the observations vector $\mathbf{x}(t)$ is $N = 2500$ samples long. The foetal heartbeat component can be perceived together with MEGC contribution and noise in the first $x_{1-5}(t)$ observations subset, while in the second one $x_{6-8}(t)$, the FEKG contributions are barely visible because of the much longer distance between the foetus and the electrodes located on the mother's chest.

Fig. 2. Mother's (MHF) and foetus' (FHF) heartbeat frequencies and their harmonics. Theoretical evolution of the MHF within the $k \times [2.538, 2.958] \text{ Hz}$ values range ($k = 1, \dots, 6$) drawn in dotted and dash-dotted lines (minimum and maximum value, respectively).

We performed envelope spectrum analysis of the observations, i.e. the Fourier transform of the squared signals [12]. All eight channels give substantially the same envelope spectra; but as on the temporal ECG representations, it is at the first channel that the foetal heartbeat rate can be distinguished clearly. Fig. 2 is a zoom of the first mixture $x_1(t)$ vector's envelope spectrum; mother's heartbeat frequency (MHF) and its harmonic components $k \times \text{MHF}$, $k \in [1, 6]$ are dominating the whole spectrum. The frequency intervals $[\min \text{MEGC}, \max \text{MEGC}]$ plotted with dotted and dash-dotted lines, respectively, correspond to the $[2.538, 2.958] \text{ Hz}$ frequency range values, within which mother's heartbeats vary. As expected, MHF lies in this interval.

Foetus' heartbeat frequency (FHF) frequency and its second harmonic also appear in this figure. But, the widening of the MHF harmonics at higher frequencies, makes it difficult to point out with certainty which spectral line corresponds to a FHF harmonic. The presence of spectral lines in the envelope spectrum supports the thesis of second order cyclostationarity characterizing the FECG component, with a fundamental cyclic frequency α_0 equal to 4.49 Hz , i.e. the value of the FHF.

Fig. 3. From the top: four FECG estimations provided by the proposed algorithm with input mixture vectors $\mathbf{x}_1(t), \dots, \mathbf{x}_4(t)$, respectively.

3.2 Experiments and Results

We carried out experiments by applying our algorithm to different sets of observations. We describe, hereafter, the results obtained by using four different observations vectors. The first mixture vector $\mathbf{x}_1(t)$ is composed of observations $[x_1(t), x_2(t), x_3(t)]^\top$, the second one $\mathbf{x}_2(t) = [x_1(t), x_2(t), x_5(t)]^\top$, the third one $\mathbf{x}_3(t)$ is $[x_1(t), x_3(t), x_5(t)]^\top$ and the last one is made of four observations vectors $\mathbf{x}_4(t) = [x_1(t), x_2(t), x_3(t), x_5(t)]^\top$ (see Fig. 1).

Note, that, we did not include into our mixtures vectors recorded data from channel number 4, to which FECG contribution is very low. Furthermore, baseline wander is observed in this channel as this is the case in many other ECG datasets. This introduces amplitude variations to all separated FECG contributions after application of a BSS algorithm [7]. One can find in the literature (e.g., [8]) preprocessing methods for baseline wander removal and bandpass filtering of ECG recordings. After running the new cyclostationary criterion based BSS algorithm [10], with fundamental FECG cyclic frequency $\alpha_0 = 4.49 \text{ Hz}$ as estimated from the first channel's envelope spectrum, we obtain for each mixture

vector $\mathbf{x}_i(t)$, $i \in [1, 4]$ an estimate of the foetal electrocardiogram signal. Extraction results are shown in Fig. 3. The extracted FECG that exhibits both very little baseline wandering and the foetus heartbeats, is the last estimate, i.e. the one that used information from 4 channels for minimising criterion of eq. (4).

Fig. 4. From top to bottom: zoom to the envelope spectra of the extracted FECGs by using 4 different mixture vectors.

Fig. 4 shows the envelope spectra of the extracted FECG signals after application of the proposed estimation criterion algorithm to the mixture vectors $\mathbf{x}_i(t)$, $i \in [1, 4]$ (from top to bottom). In order to compare these results with the envelope spectra of Fig. 2, we plotted a zoom for each extracted FECG signature. It is worth pointing out that our algorithm works well for all different combinations of the mixture vector components, as well as for a different observations number m . Indeed, one can see in this figure that, the FHF fundamental frequency and its next three harmonics are not contaminated by any MHF spectral lines. Experiments have been carried out with all eight channels ECG recordings as input mixing vector to our extraction algorithm. A study of the FECG signal spectrum extracted after application of our algorithm to the whole ECG recordings set, confirms the existence of the first MHF harmonic, while no MHF components can be found in the spectrum computed from the extracted FECG using $x_4(t)$. This could be justified by assuming that recordings from the mother's thoracic region bring very few new information concerning the foetus' heartbeats.

3.3 Robustness to Foetus's Cyclic Frequency

The only prior knowledge required for our estimation method to work, is that of the foetus's fundamental cyclic frequency value α_0 . As explained in section 3.2,

we used a value of 4.49 Hz for α_0 as it is estimated from the envelope spectrum of the first ECG channel recordings. Deviations from this value can be detected depending which channel's envelope spectrum the estimation is based on. Thus, we run some experiments with the previously described $x_4(t)$ mixing vector. At each run, we applied the proposed cyclostationary extraction method to $x_4(t)$ with a different α_0 value and we computed a separation quality criterion for the corresponding estimated FECG signal. This is a periodicity measure (PM $\in [0, 100]$) based on second-order statistical properties and introduced in [8]; it is computed at the mean mother's heartbeat rate without taking into account its variability:

$$PM = \frac{|E\{x(t)x(t + \tau_\mu)\}|}{\sqrt{E\{x(t)^2\}E\{x(t + \tau_\mu)^2\}}} * 100\%, \quad (5)$$

where τ_μ is the mean mother's heartbeat period. If the extracted FECG is clear of MHF components, then PM should be 0%.

Computed PMs for α_0 values over the frequency range of interest, i.e., from 4.4 Hz to 4.6 Hz by steps of 0.01 Hz , take values $< 0.5\%$. PM values computed for every single ECG channel lie within $[17, 29]\%$. This means that, even by introducing small variations on the estimated α_0 value, the extraction algorithm still provides estimate FECG signals with about three times better quality in terms of PM with respect to the best ECG channel. Therefore, for a given ECG channel, the estimation of the foetus fundamental cyclic frequency from its envelope spectrum seems to be robust for small deviations from the true value of α_0 .

4 Discussions and Conclusions

We have introduced in this paper a novel application of our cyclostationarity-based BSS algorithm to the problem of foetus heartbeat signals extraction from a pregnant's woman ECG recordings. We pointed out the main lines of the estimation principle and then successfully applied it to real ECG signals.

To use the proposed method, there is no need to prewhiten (by the principal component analysis, for example) the sensor signals; centering the observations (zero mean) and decorrelation assumption are sufficient. We just have to know/estimate the fundamental cyclic frequency of the cyclostationary process (i.e. the FECG signal, in this study) to extract from the mixtures. It then estimates the source signal of interest. In the case presented in this paper, since the cyclostationary frequency chosen for the extraction was that of the FECG signal, the estimated source fits the heartbeats of the foetus. The indeterminacies inherent to BSS methods, i.e. the sign and the amplitude of the separated source signal, still remain in the proposed method. But post processing of the extracted FECG signal may be used to compute its contribution to each sensor.

Further experiments are under progress in order to be able to compare our algorithm's performance with that of similar work reported in the literature; its robustness to the foetus' cyclic frequency is also studied for different ECG

channels and different number of mixtures. Taking into account the presence of baseline wander in some sensors, extracting the mothers's heartbeat signals and the characteristic waveforms associated with each heartbeat are of great interest in order to perform a robust monitoring of the foetus well-being.

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