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Mild hemorheological changes induced by a moderate endurance exercise in patients with sickle cell anemia



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3 **Mild hemorheological changes induced by a moderate endurance exercise in patients**
4 **with sickle cell anemia**
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46 **Running title:** Blood rheology and homozygous sickle cell disease.
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Abstract

The levels and duration of physical activity that can be considered as completely safe in patients with sickle cell anemia (SCA) is unknown. The present study compared the hemorheological and hematological profile, cell density distribution and basic biochemistry between a group of 17 patients with SCA and 21 healthy subjects before and after a 20 min duration submaximal cycling exercise at the same absolute workload. Blood was sampled at rest and 3 min after the end of exercise for measurement of biological parameters. Exercise did not affect the hematocrit and blood viscosity in the two groups. Plasma viscosity was not different between the two groups at rest and similarly increased with exercise. The proportion of intermediary dense cells (with density between 1.11 and 1.12 g/ml) decreased with exercise in the SCA group resulting in an increase in the proportion of red blood cells with a density > 1.12 g/ml. No change was observed in the control group. The present study suggests that mild-moderate exercise is not very harmful for SCA patients. The hemorheological and hematological changes very mild, except for the formation of dense cells but no clinically significant signs of medical complication were present in any of the patients.

Key words: Sickle cell anemia, dense cells, blood viscosity

Introduction

Although regular physical activity has been shown to reduce the morbidity and mortality from many chronic diseases [metabolic syndrome and diabetes (Balducci *et al*, 2009, Colberg & Grieco 2009), heart failure (Dubach *et al*, 2001), rheumatoid arthritis (Hurkmans *et al*, 2009), renal disease (Kouidi 2001), asthma (Welsh *et al*, 2005), clinical depression (Craft & Perna 2004)], there is uncertainty as to whether patients with sickle cell anemia (SCA) should participate safely in a physical activity (Connes *et al*, 2010). Although laboratory exercise tests are more and more used by physicians to assess the cardio-respiratory responses and clinical severity of patients with SCA (Alameri *et al*, 2008, Anthi *et al*, 2007, Callahan *et al*, 2002, Delclaux *et al*, 2005, Sylvester *et al*, 2007) or to investigate the benefits of various medical interventions on SCA clinical expression (Hackney *et al*, 1997, Machado *et al*, 2005, Miller *et al*, 1980), very few studies focused on the potential benefits of exercise therapy in SCA.

The dilemma faced by health care professionals involved in SCA management is the level of physical activity (at work or in sport practice) they should recommend for their patients, in order to avoid potential complications (Connes *et al*, 2010). The presence of anemia is responsible for a reduction of oxygen delivery to tissues (Lonsdorfer *et al*, 1983) and faster transition from aerobic to anaerobic metabolism during exercise (Moheeb *et al*, 2007), which may stimulate the polymerization of hemoglobin S (HbS), lead to red blood cells (RBCs) sickling and promote microvascular occlusions. In addition, it has been reported that vigorous exercise in patients with SCA may lead to sporadic hemoglobinuria (Platt 1982), massive splenic infarction (Jama *et al*, 2002) or a worsening (if present) of pulmonary hypertension (Machado *et al*, 2007).

However it has been observed that exercise therapy consisting of moderate strength and endurance exercise of 10-30 min duration may contribute to a reduction in the length of

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3 hospitalization in SCA children with vaso-occlusive crises (Alcorn *et al*, 1984). But such
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5 experiences are scarce because the levels and duration of physical activity that can be
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7 considered as completely safe in SCA patients is unknown. Before the establishment of
8
9 accurate exercise program in SCA, there is a need to test the exercise type that SCA patients
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11 could be able to sustain without any risks of vaso-occlusive and medical complication. To fill
12
13 part of the gap in this field, the present study was conducted to test the effect of a mild-
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15 moderate endurance exercise of 20 min duration (an exercise bout that mimics a very
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17 common and daily physical activity of healthy subjects/workers) on several biomarkers of
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19 increased risk for vaso-occlusives events. This approach has already been extensively used in
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21 asymptomatic heterozygous carriers (sickle cell trait carrier) to better understand the putative
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23 causes of exercise-related adverse event in that population (Connes *et al*, 2008). Most of the
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25 studies performed in sickle cell trait carrier demonstrated an abnormal response of blood
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27 rheology during prolonged intense endurance exercise or strenuous exercise, such as a large
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29 increase in blood viscosity (Tripette *et al*, 2010, Tripette *et al*, 2007) that could participate in
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31 microcirculatory impairment (Connes 2010). Since exercise intensity may be very
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33 problematic in SCA patients, the exercise intensity was set at 43 ± 6 W and can be qualified
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35 as mild to moderate (Lonsdorfer *et al*, 1983). It corresponds to 3-4 metabolic equivalents
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37 (METs) and is closed to the energy expenditure of working activities such as masonry or
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39 carrying medium to heavy load. We compared the blood rheological profile, cell density
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41 distribution and basic biochemical and hematological parameters between a group of SCA
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43 patients and a group of healthy subjects before and after the submaximal exercise bout.
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Methods

Patients

Seventeen male patients with SCA (age: 25 ± 3 yrs, weight: 56 ± 7 kg, height: 172 ± 6 cm, HbS: $87.5 \pm 6.3\%$ and fetal Hb: $9.9 \pm 6.5\%$) and a control group composed of 21 sedentary male with normal HbA (age: 22 ± 1 yrs, weight: 61 ± 7 kg and height 172 ± 6 cm) were enrolled in the present study. Body weight was assessed to the nearest 0.5 kg using a set of balance scales. The patients were clothed, wearing a pair of shorts and socks but no shoes or shirts when body weight was measured. Height measurement was recorded to the nearest 0.5 cm for each patient and measurements were taken while the patient was holding a full breath in. All patients were in their steady state condition at the time of the study and none of them had been transfused or in crisis for at least 90 days prior to enrollment. SCA participants were randomly selected among steady-state SCA patients who came within the last six months in the Sickle Cell Center of Abidjan and met the inclusion criteria. No patient from the present study was on hydroxyurea therapy. Because alpha-thalassemia is known to widely modulate blood rheology in SCA (Ballas *et al*, 1988, Serjeant *et al*, 1983), patients with alpha gene deletion were excluded from the study. Alpha globin genotypes were determined according to published methods (Chong *et al*, 2000). Before enrollment, all patients and control subjects had clinical examination and underwent resting electrocardiography (ECG), echocardiography and spirometry to check for the absence of severe exercise cons indication. Data are provided in the table 1. All subjects provided informed consent and the ethics committee of the Academic Hospitals of Yopougon (Abidjan, Ivory Coast) approved the study in accordance with the guidelines set by the Declaration of Helsinki.

Exercise protocol

The two groups were submitted to a classical symptom limited incremental exercise test. Finger pulse oxymetry, arterial pressure measurements, spirometry and electrocardiography were used for clinical monitoring. Cycling exercise test in supine position consisted of 4 min warm-up at 20 W in SCA patients and 40 W in controls, and then the load was increased by 0.15 W/kg body weight and 0.3 W/kg body weight every two minutes in SCA and control group, respectively, until volitional exhaustion. The peak power (P_{peak}), peak ventilation (VE_{peak}) and peak heart rate were determined. On a second occasion, the two groups were submitted to a submaximal exercise bout in supine position consisting of 20 min duration at the same absolute workload (43 ± 6 W) that corresponded to 48 ± 1% and 30 ± 1% of the P_{peak} reached by the SCA and control group, respectively. Finger pulse oxymetry, arterial pressure measurements, spirometry and electrocardiogram were used for clinical survey. Oxygen saturation, heart rate, ventilation, systolic and diastolic arterial pressure values are reported. Dynamic ventilation response (VE kinetic) during exercise was modeled using previously validated exponential equation (Casaburi *et al*, 1989, Keslacy *et al*, 2008).

The general model comprising one or two components was the following:

$$VE(t) = VE(BL) + A_F \cdot [1 - e^{-(t - TD_F) / \tau_F}] + A_S \cdot [1 - e^{-(t - TD_S) / \tau_S}]$$

where t is time (s), VE(BL) is the baseline VE before starting the exercise (ml/min), A_F and A_S are the increases at time t in the amplitude of VE (l/min), TD_F and TD_S are the time delay (s), and τ_F and τ_S are the time constant (s) for the first and second phases, respectively.

Blood was sampled into heparin, EDTA and dry tubes for biological measurements at rest and at the end of exercise (3rd minute of recovery). An additional blood sample was taken at 20 minutes recovery for hematocrit and hemorheological measurements only.

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3 A three days observation period was performed after the submaximal exercise bout to monitor
4 the possibility of vaso-occlusive crisis occurrence. None of the SCA patients exhibited vaso-
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A three days observation period was performed after the submaximal exercise bout to monitor the possibility of vaso-occlusive crisis occurrence. None of the SCA patients exhibited vaso-occlusive event within this period.

Hemorheology and hematology

Hemorheological parameters were measured immediately after sampling. Measurements of blood viscosity (η_b) and plasma viscosity (η_p) were performed with a cone plate viscometer (Brookfield DVII+, with CPE40 spindle) at 37°C. Blood viscosity was determined at a shear rate of 45 and 90 s⁻¹, and at both native hematocrit (uncorrected η_b) and corrected hematocrit (i.e. after adjustment of hematocrit at 40%). The measurement of η_p was performed at 1500 s⁻¹. Hemorheological measurements were performed according to the recent guidelines for hemorheological laboratory techniques (Baskurt *et al*, 2009). Automated hematology analyzer (Max M-retic, Coulter, USA) was used for hemoglobin concentration (Hb), red blood cell (RBC), white blood cell (WBC) and platelet (PLT) count, mean cell volume (MCV) and mean corpuscular hemoglobin concentration (MCHC). Hematocrit (Hct) was measured after blood microcentrifugation (JOUAN-HEMA-C, Saint Herblain, France).

In addition, the index of red blood cell rigidity used by Dintenfass (Dintenfass 1985) was calculated according to the following equation and at a shear rate of 350 s⁻¹:

$$Tk = (\eta_r^{0.4} - 1) / (\eta_r^{0.4} * Hct)$$

with η_r corresponding to the relative blood viscosity; i.e., the ratio η_b/η_p .

Red blood cell density

Density distribution of RBCs was obtained using phthalate esters in microhematocrit tubes (Danon & Marikovsky 1964). Briefly, mixtures of dibutyl and diethyl phthalate esters were prepared to give a range of twelve densities between 1.075 and 1.14 g/mL. Hematocrit tubes

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3 were filled with 30 μ L cell suspension and 10 μ L different phthalate solutions. Tubes were
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5 centrifuged at 12 200 rpm for 10 minutes at room temperature. The proportion of
6
7 intermediary dense cells comprised in the following range of (≥ 1.11 g/ml; ≤ 1.12 g/ml) was
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9 measured as well as the proportion of cells with densities > 1.12 g/mL usually reflecting the
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11 percent of irreversibly sickle cells (ISC) (Durpes *et al*, 2010).
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16 17 *Biochemistry*

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19 Plasma sodium (Na^+) and potassium (K^+) concentrations were measured with an electrolyte
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21 analyzer (flame photometer FP 20, SEAC, Italy). Routine coagulation measurements were
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23 performed on a coagulation analyzer (Biomerieux option 4, Switzerland) using proprietary
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25 reagents for prothrombin time, activated partial thromboplastin time and fibrinogen testing. A
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27 small drop of blood was also taken from a finger for blood lactate concentration determination
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29 (lactate pro analyser, Arkray, Japon)
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36 37 *Statistics*

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39 Data are expressed as means \pm standard deviation (SD). **Peak exercise responses were**
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41 **compared between the two groups using an unpaired student t test.** The pre- and post-exercise
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43 biological data, **as well as the exercise responses during the submaximal bout,** were compared
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45 between the two groups using a two-way analysis of variance (ANOVA) with repeated
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47 measures. Pair-wise contrasts were used when necessary to locate where significant
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49 differences occurred. The significance level was defined as $p < 0.05$. Analyses were
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51 conducted using Statistica (v. 5.5, Statsoft, Tulsa, OK, USA).
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Results

Baseline cardiac and spirometric parameters

Data are reported in the table 1. The forced vital capacity, forced expiratory volume in one second and peak expiratory flow were lower in SCA group than in the control group. Mean tiffeneau index was greater than 80% in both groups and no difference was observed between them. The forced expiratory flow 25-75% was not different between the groups. One control subject only exhibited abnormal spirometry with a pattern characteristic of minor central obstructive syndrome. In the SCA group, 7 patients had a pulmonary restrictive syndrome and one had a distal pulmonary obstruction. Heart rate measured in supine position did not differ between SCA patients and the control group but stroke volume was greater in the former population. As a consequence, the SCA group exhibited higher cardiac index than the control group. One SCA patient had a coronary sinus rhythm and another one had repolarization abnormality in the inferior leads (T waves inversion). None of the other SCA patients or control subjects exhibited ECG abnormalities.

Exercise responses

Symptom limited incremental exercise test

The peak power (P_{peak}) reached by SCA patients (84.1 ± 10.8 W; 1.50 ± 0.19 W/kg) was lower than in the control group (150 ± 16 W; 2.45 ± 0.28 W/kg) ($p < 0.001$). Peak ventilation (VE_{peak}) reached was lower in SCA group (47 ± 10 l/min) than in the control group (84 ± 14 l/min) ($p < 0.001$). The peak heart rate was 160 ± 12 bpm and 175 ± 16 bpm in SCA and control group, respectively ($p < 0.01$).

Submaximal exercise test

Although a little bit higher in SCA patients, resting heart rate was not significantly different between the two groups at that time. With exercise, heart rate increased in the two groups but

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3 the increase was higher in SCA group. SCA patients exhibited higher heart rate during
4 exercise and the first minutes of recovery (figure 1). Mean resting SpO₂ of SCA patients (92 ±
5 4%) was below the control values (98 ± 1%). Exercise did not change SpO₂ in the two groups
6 and thus, the values still remained lower in SCA group (91 ± 5% and 98 ± 1% at the end of
7 exercise in the SCA group and control group, respectively).
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15 At rest, no difference was observed between the two groups for systolic pressure (119 ± 9
16 mmHg and 119 ± 5 mmHg in the SCA group and control group, respectively). In contrast,
17 resting diastolic pressure of SCA patients (75 ± 8 mmHg) was lower than control group (80 ±
18 6 mmHg) (p < 0.05). Both systolic and diastolic pressures were above baseline values during
19 exercise and the first minutes of recovery in the two groups (data not shown, p < 0.001).
20 While systolic pressure remained not different between the two groups during exercise, the
21 SCA group still exhibited lower values for diastolic pressure than control group during the
22 effort (data not shown, p < 0.01).
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34 Ventilation at rest was not different between the two groups (figure 2). Ventilation increased
35 similarly with exercise in both groups until the 6th minute of exercise. Then ventilation seems
36 to be stabilized in the control group whereas it continues rising in the SCA group with the
37 latter exhibiting greater values than the control group from the 7th minute of exercise to the
38 end of recovery.
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46 VE kinetic was modeled using a first-order exponential model for the control group whereas a
47 second-order exponential model was necessary for the VE kinetic modeling of the SCA group
48 indicating the presence of a second phase. Mathematical modeling of VE demonstrated that
49 first phase of VE did not differ between the two groups with A_F and τ_F being very closed
50 (mean A_F = 24.73 and 26.99 l/min, and mean τ_F = 73 s and 79 s, in the control group and SCA
51 group, respectively). After this first phase, the control group was well adapted and reached a
52 VE plateau confirming that VE was stabilized. In contrast, the SCA group exhibited a second
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3 phase with no plateau ($A_S = 3.0$ l/min). The VE reached at the end of the 20 minutes exercise
4 was 63% and 29% of VE_{peak} in the SCA and control group, respectively.
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10 *Hemorheology, hematology and red blood cell density*

11 SCA patients were characterized by low Hb level, low Hct and low RBC number (table 2).

12 Exercise did not change any of these two parameters in the two groups. As a consequence,

13 SCA group exhibited lower uncorrected η_b (table 2), at any time. Exercise did not

14 significantly change uncorrected η_b in SCA patients. When corrected for Hct, corrected η_b

15 was significantly higher in SCA group than in the control group at any time and exercise did

16 not induce significant change. Exercise significantly increased η_p above resting value but no

17 difference was observed between the two groups at any time. Then η_p returned to baseline in

18 the two groups. The index of RBC rigidity (Tk) was significantly greater in SCA group than

19 in the control group at any time (Table 2). Exercise did not significantly affect Tk in any of

20 the two groups. Higher level of WBC and PLT was observed in SCA patients both at rest and

21 the end of exercise (Table 2). While PLT count did not change significantly with exercise,

22 WBC count exhibited a slight but significant increase above baseline in SCA patients. MCV

23 and MCHC were not statistically different between the two groups and did not change with

24 exercise (Table 2).
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46 Nevertheless, the study of the red blood cell density distribution for both groups shown that

47 SCA patients exhibited significantly greater proportion of intermediary dense cells than

48 control group at rest ($p < 0.001$; table 2). Exercise had no effect in the control group but

49 decreased the proportion of intermediary dense cells in SCA patients (-8%). The difference

50 between the two groups at the end of exercise did not reach statistical significance ($p = 0.1$).

51 The percentage of cells with density higher than 1.12 was low in the control group (0.4%) and

52 was not modified with exercise. In contrast, the proportion of cells with a density higher than
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3 1.12, generally reflecting the ISC population, was around 11% in the SCA group at rest and
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5 significantly increased to higher values with exercise (+7%) ($p < 0.01$; table 1).
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10 *Biochemistry*
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12 The results are summarized in the table 3. Both groups exhibited no difference in the plasma
13 level of K^+ or fibrinogen, as well as for the activated partial thromboplastin time (data not
14 shown) or the prothrombin time. The higher Na^+ level at rest in SCA is not clinically
15 meaningful. Blood lactate concentration was not different between the two groups at rest.
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17 Whereas exercise did not affect blood lactate level in the control group, it increases it in SCA
18 patients leading this group to exhibit significantly greater values than control group after
19 exercise ($p < 0.01$).
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Discussion

The acute painful vaso-occlusive crisis is the major clinical manifestation of SCA. The initial patho-physiologic mechanisms of vaso-occlusion seem to involve several interacting key factors such as impaired blood rheology (Ballas & Mohandas 2004, Tripette *et al*, 2009), marked pro-inflammatory vascular environment with abnormally adherent sickle cells, neutrophils and monocytes (Belcher *et al*, 2000, Hebbel 1997, Okpala *et al*, 2002), coagulation abnormalities (Key *et al*, 1998) and important endothelial dysfunction related to decreased nitric oxide bio-availability (Gladwin *et al*, 2003).

All baseline hematological and biochemical data of SCA patients are comparable to the hematological data usually found in sickle cell patients with no associated alpha-thalassemia (Serjeant & Serjeant 2001). Thrombocytosis and leucocytosis was also found in the SCA group, as it has been previously described in this disease (Kenny *et al*, 1980, Leslie *et al*, 1975). Resting blood rheological values of our SCA group were also very closed to the values previously reported (Tripette *et al*, 2009). Spirometric results were in accordance with previous studies showing that patients with SCA have often reduced FVC, FEV1 and PEF (Pianosi *et al*, 1993, Young *et al*, 1988). Seven (41%) SCA patients were found to have restrictive pulmonary function that is very common in SCA (Hijazi *et al*, 2005, Sylvester *et al*, 2004). Resting systolic pressure was found normal in this SCA group but diastolic pressure was lower than the control group. Low diastolic pressure is frequent in SCA and is presumably the consequence of the peripheral vasodilation and decreased cardiac afterload (Grell *et al*, 1981, Serjeant & Serjeant 2001). Although works done in SCA reported higher resting HR in this population compared with control population (Alpert *et al*, 1981), we found no difference between our two groups at rest. The reason could lie in the fact that baseline HR was measured in supine position. Despite this lack of difference, we found higher CI in SCA patients than in the control group because SV was widely increased in the former group. This

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3 result is in agreement with previous studies showing that SV is usually markedly increased in
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5 SCA (Lonsdorfer *et al*, 1983). In summary, baseline lung and cardiac function, hematological
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7 and hemorheological profile of SCA patients of the present study reflect the profile generally
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9 described for most of the SCA patients when they are clinically monitored at rest and in
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11 steady-state condition.
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15 Studies that focused on blood rheology demonstrate that at the initial phase of a painful crisis
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17 there is a decrease in RBC deformability and an increase in the number of dense cells (Ballas
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19 & Smith 1992). This is followed with a gradual rebound increase in RBC deformability to
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21 levels that are higher than the steady state values and a decrease in the number of dense cells
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23 as the crisis resolves. Although hemorheological parameters can not fully explain or predict
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25 the occurrence of vaso-occlusive crisis, several studies have observed that when a vaso-
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27 occlusive event occurs, there is a strong rise in blood viscosity (Awodu *et al*, 2009, Charache
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29 *et al*, 1982, Richardson *et al*, 1979, Stuart & Johnson 1987) in relationship with other
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31 biological changes such as an increase in plasma viscosity and fibrinogen concentration
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33 and/or a decrease in RBC deformability. Indeed, the measurement of blood viscosity may be a
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35 witness of the other biological changes occurring during vaso-occlusion. Physical exercise
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37 can induce metabolic/physiological changes such as lactic acid production, hypoxia and
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39 hyperthermia, which may promote the polymerization of HbS, and in turn sickling. It is
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41 therefore difficult for physicians to recommend physical activity in SCA patients.
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48 As suggested by the heart rate and ventilation values during and at the end of submaximal
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50 exercise, the effort proposed in the present study may be considered as very mild (3-4 METs)
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52 for control group and mild to moderate for SCA patients: it reflects what can presumably
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54 happen in the daily life of SCA patients; i.e. working or exercising at the same absolute
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56 submaximal intensity than healthy population. The higher heart rate increase found in SCA
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58 group during exercise is not surprising since the same absolute exercise intensity (43 ± 6 W)
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3 appeared to be harder for SCA group ($48 \pm 1\%$ of their Ppeak) than for the control group (30
4 $\pm 1\%$ of their Ppeak). In addition, the greater heart rate response may also be interpreted as a
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6 physiological adaptation to compensate for the decreased blood oxygen transport capacity
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8 related to anemia (i.e. low Hct and Hb levels) and lower SpO₂. Despite these hemodynamic
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10 adjustments, SCA group exhibited a significant increase in the blood lactate level at the end of
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12 exercise. Although a blood lactate level reflects the balance between lactic acid production
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14 and removal at exercise, the greater blood lactate concentration usually found in SCA and
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16 other anemia at exercise is usually interpreted as the consequence of a greater anaerobic
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18 contribution to exercise (Moheeb *et al*, 2007). The ventilation data obtained during the
19
20 submaximal exercise strengthen this hypothesis. Although the first phase of VE kinetic was
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22 similar in the two groups and indicated that exercise adaptation during the first minutes was
23
24 not different between SCA patients and the control group, the pattern of the second phase was
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26 strictly different between the two groups. The control group exhibited a plateau suggesting
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28 that ventilation is well adapted to the need of exercise in this group. However, the SCA
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30 groups exhibited a second phase indicating that ventilation does not adapt as well as in the
31
32 control group during exercise. Indeed, SCA patients probably exercised around or slightly
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34 above their anaerobic threshold (Nery *et al*, 1982). Ventilation during this second phase is
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36 widely dependent on the production of carbon dioxide (Casaburi *et al*, 1989, Nery *et al*,
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38 1982). Since the SCA group had an excess of lactate at the end of exercise, it can be
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40 hypothesized that carbon dioxide production was also higher in this group as a consequence
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42 of the buffering of accumulated hydrogen ion by bicarbonates. Indeed, the newly formed
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44 carbon dioxide, as well as the remaining hydrogen ion, could have stimulated ventilation to a
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46 greater extent in SCA group, notably at the level of peripheral chemoreceptors such as the
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48 carotid ones (Nery *et al*, 1982). The higher ventilatory strain in SCA patients suggests lower
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50 ventilatory efficiency in this group than in the control group for an exercise bout performed at
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3 40-45 W only. Indeed, one may conclude that the low Ppeak determined during the
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5 incremental test, and the greater heart rate, ventilation and blood lactate responses during the
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7 submaximal exercise test in the SCA group confirm previous findings showing that SCA
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9 patients have usually a limited exercise capacity (Callahan *et al*, 2002, Delclaux *et al*, 2005).
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11 The presence of anemia and abnormalities in lung function probably participate in the limited
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13 exercise capacity of SCA patients (Callahan *et al*, 2002). Nevertheless, although all the SCA
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15 patients exercised at a higher metabolic level than control group, none of them complain of
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17 any difficulty during the whole test and none of them exhibited clinical or ECG signs of
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19 complication. Indeed, although exercise tolerance is reduced in SCA patients, it seems that
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21 they can safely practice at such an intensity level.
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27 These metabolic changes could explain the observed alterations in the proportion of
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29 intermediary dense cells and ISCs after exercise in the SCA group. As expected (Ballas &
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31 Mohandas 2004, Ballas & Smith 1992) patients with SCA had higher proportions of
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33 intermediary dense cells and ISCs than the control group at rest, as well as a higher RBC
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35 rigidity, which explain their higher corrected blood viscosity. Exercise increased the
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37 proportion of ISCs in SCA patients and, as a consequence, decreased the proportion of
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39 intermediary dense cells. The lack of change in MCHC in SCA group after exercise despite
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41 the fact that ISCs increased could seem surprising. However, hematological counters often
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43 fail to detect the increased MCHC of the most dense cells because ISCs are incapable of
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45 undergoing the sphering that should occur before measurement of RBC hematological
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47 variables by these instruments (Bain 2006). The intermediary dense cells are relatively more
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49 deformable than ISCs and, hence, are more likely to adhere to vascular endothelium and
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51 initiate the process of vasoocclusion. Since the percent of this cell subpopulation decreased
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53 with exercise, one could suggest that the risks for triggering vaso-occlusion could be reduced
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55 in SCA patients at the end of the effort. ISCs, in contrast, are rigid cells not capable of
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3 establishing contact points with vascular endothelium to cause vaso-occlusion and, hence, are
4 more likely to hemolyze intravascularly (Kaul & Nagel 1993). The formation of ISCs results
5 from the loss of solute and water through specific pathways (Brugnara et al, 2003) and might
6 be the direct consequence of the increase in the blood lactate concentration leading to cellular
7 acidification. As initially proposed by Smith et al. (Smith *et al*, 1997), the excessive uptake of
8 lactate and hydrogen by RBCs may promote cells dehydration via the activation of the pH-
9 dependent K^+/Cl^- co-transporter. In addition, mechanical impairment of the cytoskeleton
10 following repeated sickling cycles could have participated in ISC formation (Nash et al,
11 1984). The increased number of dehydrated cells in blood is usually interpreted as a risk
12 factor for microvascular disorders in SCA, and therefore one could suggest that the risk for
13 mechanical occlusion of microcirculation could be increased at the end of exercise. However,
14 the decrease in the percent of the most adherent cells (i.e. intermediary dense cells) could
15 have compensated the adverse microcirculatory effects of the increased ISCs percent.
16 Moreover, as recently underlined by Hebbel (Hebbel 2011), the percent of ISCs may widely
17 fluctuate for a given SCA patient, even if the patient remains in clinical steady-state
18 condition. That indicates that the measurement of ISCs in SCA patients is not sufficient to
19 predict the occurrence of a vaso-occlusive event. In addition, calculation of the index of red
20 blood cell rigidity demonstrated that overall RBC deformability was not widely affected in
21 SCA patients after exercise, as well as after 20 minutes of recovery. This lack of change could
22 seem surprising but analysis of the whole percent of dense RBCs population (intermediary
23 dense cells + ISCs) shown that it does not differ between rest and the end of exercise: the
24 whole percent remains very stable at 40%. Indeed, the risk for mechanical occlusion by poor
25 deformable RBCs after exercise seems to be, finally, of same level than before exercise.

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58 Whole η_b determined at high shear rate, as it is the case in the present study, is mainly
59 dependant on Hct, η_p , the ability of RBCs to deform and, to a lesser extent, on the number of
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3 WBC (Baskurt *et al*, 2009). Although the proportion of ISCs increased at exercise, as well as
4 the total number of WBC, the consequences of these changes on the whole ηb of SCA
5 patients was not very pronounced since, as for the control group, we observed no statistical
6 change in uncorrected ηb after exercise as compared with baseline (non-significant increase
7 of uncorrected ηb of 4.3% ($p = 0.15$) and 2.7% ($p = 0.34$) at 45 s^{-1} and 90 s^{-1} , respectively).
8 This 4.3% increase in whole ηb is by far less than the 15-20% increase previously observed in
9 sickle trait carriers (Tripette *et al*, 2007) or athletes with hypoxemia (Connes *et al*, 2004)
10 submitted to intense exercise. As described above, the sum of the proportion of intermediary
11 dense cells and ISCs in SCA group remained unchanged at the end of exercise as compared
12 with resting value, and Hct and Tk did not change with exercise; these phenomena have
13 played a role in the lack of significant change in ηb . In addition, the other hematological,
14 hemorheological and biochemical parameters exhibited very mild changes (ηp) or no
15 modification at all (Hb, Na^+ , K^+ , prothrombin time or fibrinogen) with exercise. Nevertheless,
16 WBC number slightly increased with exercise in the SCA group. This observation has already
17 been reported by numerous groups in healthy subjects (Shek & Shephard 1998) and indicates
18 the presence of a slight inflammatory response and/or WBC recruitment from marginal pool
19 following the catecholaminergic stress of exercise (Yalcin *et al*, 2003). Although, the increase
20 of WBC number did not impact on the whole ηb , one could suggest the presence of a greater
21 risk for vaso-occlusive event after exercise since WBC are highly involved in the
22 pathophysiological mechanisms of vaso-occlusion (Okpala 2006). However, the 10% WBC
23 increase observed in SCA patients is far by less the increase observed in healthy subjects
24 (+43%) or sickle cell trait carriers (+47%) after a 15 minutes moderate exercise performed
25 slightly above the first ventilatory threshold (Tripette *et al*, 2010). Of importance, no subject
26 experienced vaso-occlusive crisis or complained of any pain during and after exercise and
27 within the 3 days of follow-up. Thus, despite the increase of ISCs proportion after exercise

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3 and the slight increase in WBC number, the physical effort proposed seems to be well
4 tolerated and the hemorheological, hematological and biochemical disturbances remained
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6 very mild and probably of insufficient magnitude to trigger vaso-occlusion.
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12 In conclusion, the present study suggested that mild-moderate exercise corresponding to 50%
13 of the Ppeak of SCA patients is not very harmful for them. Although the physiological
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15 exercise tolerance is reduced in SCA patients as compared with the control group, the
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17 hemorheological and hematological changes are very mild, except for the formation of ISCs
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19 and the slight increase in WBCs count. However, no clinical sign of medical complication
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21 was present in any of the patients. We propose that the analysis of several biological factors,
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23 such as blood rheology, as well as inflammatory and hemolysis markers, could be necessary
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25 to clearly assess the risk for vaso-occlusive crisis at exercise in each SCA patient. Although
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27 the exercise proposed in the present study seems to be fairly safe, higher intensity or longer
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29 duration could promote greater metabolic changes and dehydration that could be very harmful
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31 for this population. Our results constitute a preliminary step and further studies are clearly
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33 warranted to test other exercise modalities and to find the most appropriate one, from a
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35 risk/benefit balance point of view. The present work can be considered as a first step in that
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37 way.
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For Peer Review

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Table 1: Baseline cardiac and spirometric parameters measured at rest in the control group and SCA group

	Control	SCA
FVC (l)	4.02 ± 0.42	3.37 ± 0.63
%th	100 ± 9	85 ± 13 ^{†††}
FEV1 (l)	3.43 ± 0.36	2.93 ± 0.47
%th	99 ± 9	87 ± 11 ^{†††}
PEF (l/s)	9.3 ± 1.4	7.7 ± 1.5
%th	95 ± 14	79 ± 14 ^{†††}
FEV1/FVC (%)	85 ± 7	87 ± 6
%th	100 ± 7	103 ± 7
FEF 25-75 (l/s)	3.78 ± 1.08	3.38 ± 0.55
%th	91 ± 25	84 ± 14
HR (bpm)	76 ± 11	74 ± 11
SV (ml)	85 ± 13	115 ± 25 [†]
CI (l/min/m²)	3.72 ± 0.46	4.95 ± 0.83 [†]

FVC = forced vital capacity, FEV1 = forced expiratory volume in one second, PEF : peak expiratory flow, FEV1/FVC = Tiffeneau index, FEF 25-75 = Forced Expiratory Flow 25-75%, HR = heart rate, SV = stroke volume, CI = cardiac index. Values represent mean ± SD. Different from the control group ([†]p < 0.05; ^{†††}p < 0.001).

Table 2: Hematological and hemorheological parameters in the control and SCA group at rest and at the end of exercise.

	Control			SCA		
	Rest	Exercise	20 minutes recovery	Rest	Exercise	20 minutes recovery
Hb (g/dL)	14.6 ± 1.4	14.7 ± 1.1	-	8.9 ± 1.8 ^{†††}	9.1 ± 1.7 ^{†††}	-
Hct (%)	44.0 ± 1.9	44.3 ± 2.8	44.0 ± 2.4	23.9 ± 3.2 ^{†††}	24.9 ± 3.4 ^{†††}	24.2 ± 3.3 ^{†††}
RBC (10¹²/l)	5.0 ± 0.5	5.0 ± 0.5	-	3.0 ± 0.6 ^{†††}	3.1 ± 0.6 ^{†††}	-
WBC (10⁹/l)	4.2 ± 1.2	4.5 ± 1.1	-	10.3 ± 2.2 ^{†††}	11.3 ± 2.5* ^{†††}	-
PLT (10⁹/l)	178 ± 70	189 ± 58	-	302 ± 117 ^{†††}	342 ± 74 ^{†††}	-
MCV (fl)	84.8 ± 5.2	84.4 ± 5.3	-	89.2 ± 10.8	89.0 ± 11.5	-
MCHC (g/dl)	33.9 ± 0.7	33.9 ± 0.6	-	34.2 ± 1.0	33.9 ± 0.9	-
Native η_b at 45 s⁻¹ (mPa/s)	5.72 ± 0.39	5.68 ± 0.56	5.51 ± 0.60	4.64 ± 0.28 ^{†††}	4.84 ± 0.33 ^{†††}	4.76 ± 0.30 ^{†††}
Native η_b at 90 s⁻¹ (mPa/s)	5.41 ± 0.42	5.31 ± 0.55	5.13 ± 0.56	4.36 ± 0.26 ^{†††}	4.48 ± 0.28 ^{†††}	4.45 ± 0.29 ^{†††}
Corrected η_b at 45 s⁻¹ (mPa/s)	5.15 ± 0.20	5.18 ± 0.24	5.05 ± 0.33	5.58 ± 0.39 ^{†††}	5.70 ± 0.41 ^{†††}	5.72 ± 0.39 ^{†††}
Corrected η_b at 90 s⁻¹ (mPa/s)	4.89 ± 0.20	4.87 ± 0.23	4.77 ± 0.35	5.29 ± 0.38 ^{†††}	5.38 ± 0.44 ^{†††}	5.42 ± 0.39 ^{†††}
η_p (mPa/s)	1.20 ± 0.07	1.26 ± 0.06*	1.24 ± 0.07	1.20 ± 0.07	1.28 ± 0.10**	1.20 ± 0.08

Tk	0.96 ± 0.06	0.91 ± 0.05	0.91 ± 0.05	1.57 ± 0.16 ^{†††}	1.47 ± 0.19 ^{†††}	1.55 ± 0.16 ^{†††}
Intermediary dense cells (%)	12.2 ± 11.4	12.1 ± 13.8	-	28.6 ± 8.9 ^{†††}	20.6 ± 13.1*	-
Cells with density > 1.120 (%)	0.4 ± 1.3	0.5 ± 1.0	-	11.4 ± 12.9 ^{††}	18.3 ± 13.7** †††	-

RBC = red blood cell count, WBC = white blood cell count, PLT = platelet count, MCV = mean cell volume, MCHC = mean corpuscular hemoglobin concentration, η_b = blood viscosity, η_p = plasma viscosity, Tk = index of RBC rigidity. Hemorheological parameters (η_b , η_p , Tk) and Hct have been determined at 20 minutes recovery too. Values represent mean ± SD. Different from rest (*p < 0.05; **p < 0.01) ; different from the control group (††p < 0.01; †††p < 0.001)

Table 3: Biochemical parameters in the control and SCA group at rest and at the end of exercise.

	Control		SCA	
	Rest	Exercise	Rest	Exercise
Na⁺ (mM)	143 ± 4	143 ± 4	139 ± 5 [†]	140 ± 4
K⁺ (mM)	4.0 ± 0.7	3.9 ± 0.4	3.9 ± 0.6	3.9 ± 0.4
Prothrombin time (%)	84 ± 13	85 ± 10	78 ± 10	78 ± 13
Fibrinogen (g/L)	2.6 ± 0.4	2.6 ± 0.4	2.7 ± 0.5	2.6 ± 0.5
Lactate (mM)	2.0 ± 0.8	2.1 ± 0.9	2.0 ± 1.0	3.1 ± 1.7* ^{††}

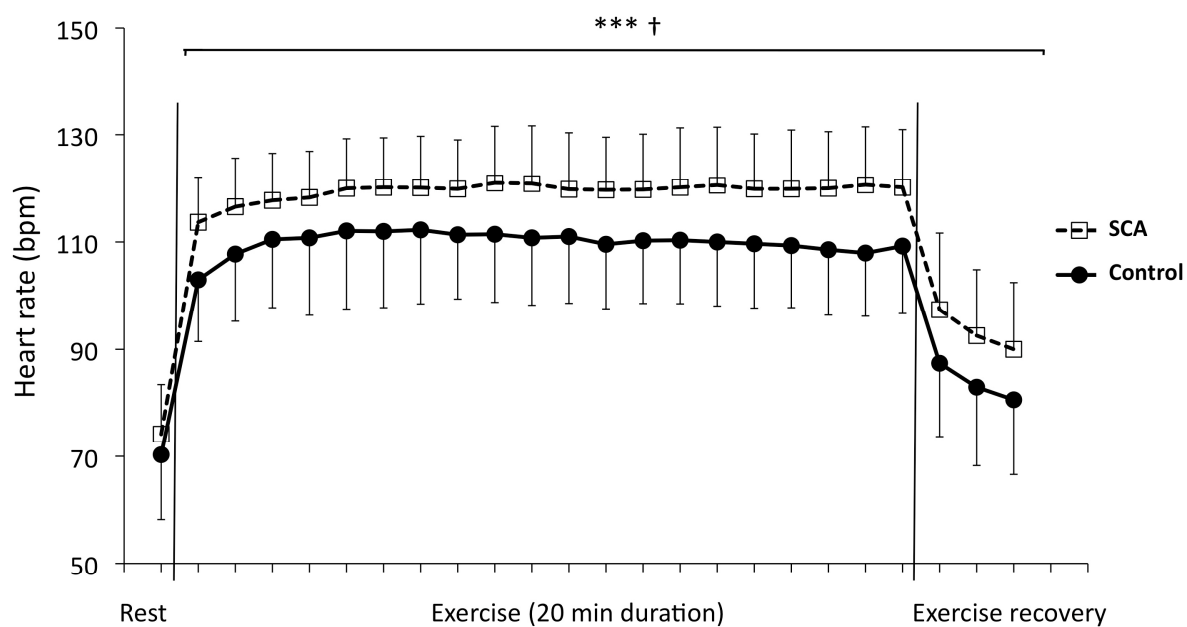
Values represent mean ± SD. Different from rest (*p < 0.05); different from the control group ([†]p < 0.05 ; ^{††}p < 0.01)

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3 **Figure 1:** Effects of exercise on heart rate in the control group and SCA group. Different
4 from rest (**p < 0.001) ; different from the control group (†p < 0.05)
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10 **Figure 2:** Effects of exercise on ventilation in the control group and SCA group. Different
11 from rest (**p < 0.001) ; different from the control group (†p < 0.05)
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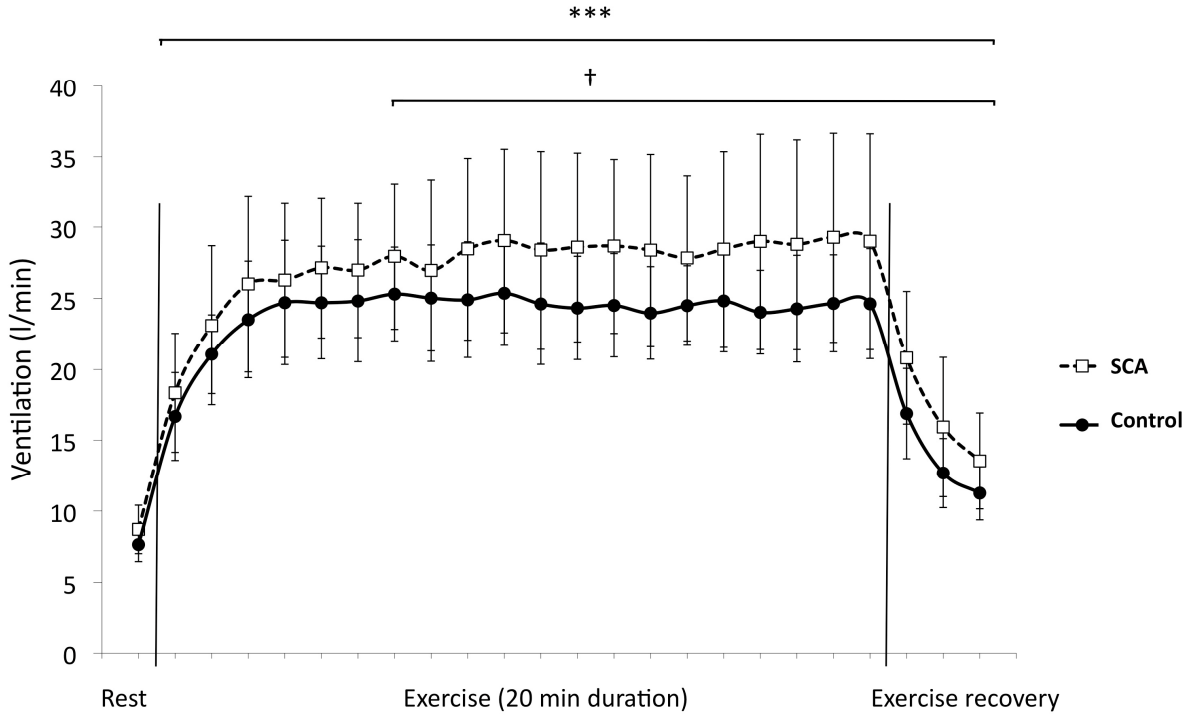
For Peer Review

Figure 1



Review

Figure 2



er Review