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A solid-state NMR study of C\textsubscript{70}: a model molecule for amorphous carbons.

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Abstract
We show that natural abundance, solid-state MAS-NMR \textsuperscript{13}C INADEQUATE spectra can be recorded for crystallized C\textsubscript{70}, using the through-bond J-coupling for the magnetization transfer. The effect of strong J-coupling can be lessened at high magnetic fields, allowing the observation of cross-peaks between close resonances. DFT calculations of the chemical shifts show an excellent agreement with the experimental values. A correlation is observed between the average CCC bond angles and the \textsuperscript{13}C chemical shift, offering a way to understand the dispersion of \textsuperscript{13}C chemical shifts in nanoporous activated carbons in terms of local deviations from planarity.

Introduction
Electrochemical double-layer capacitors are made of porous carbon electrodes containing an electrolyte. Upon charging, the electronic charges are stored on the carbon electrode surface while the electrolyte structure is reorganized to maintain local electroneutrality. The carbon materials used for the electrodes, including materials originating from biomass, such as coconut shells, have been shown to have a large impact on the energy and power densities, which can be obtained in supercapacitors. Recent research using more sophisticated materials such as curved graphene sheets\textsuperscript{1,2,3,4} or nanotubes\textsuperscript{5,6,7,8} have shown that these carbon structures can be used as electrode materials and provide very promising strategies for the electrochemical storage of energy.

However, little is known concerning the interplay between the carbon atomic structure and the repartition of electronic charges on the carbon surface. The molecules of the fullerenes family may be interesting models for the understanding of more complicated carbon structures. First, it has been shown that the charge distribution in C\textsubscript{70}\textsuperscript{6} is not uniform and is concentrated near the poles of the C\textsubscript{70} molecule (Figure 1A) and the presence of electronic charges mainly affects five-members rings.\textsuperscript{9} Second, the \textsuperscript{13}C chemical shifts have been shown to depend upon the local curvature of the fullerene molecule\textsuperscript{10,11} and upon the local charge density when anions\textsuperscript{9} or cations\textsuperscript{12} are considered.
Figure 1. A: C70 molecule showing the five classes of equivalent carbon atoms in the room-temperature structure where C70 rotates along one of the symmetry axis. B: 1D quantitative $^{13}$C solid-state NMR spectrum of C70 (blue), recorded at 7 T, with the 5 corresponding resonances (green) and the resulting model (red). The results of the fit are shown in Table S2 of the Supplementary Material section. C: Double-Quantum/Single-Quantum natural abundance $^{13}$C refocused INADEQUATE spectrum recorded at 7T, showing the expected pairs of cross-peaks (red) and the missing pair b-c, which vanishes because of the strong coupling effect (the J-coupling and the chemical shift difference between the coupled spins are of the same order). The star (*) indicates zero-quantum (ZQ) artifacts. D: the same spectrum, recorded at 20 T, showing all the expected pairs of cross-peaks, including the b-c cross-peaks. Again, ZQ artifacts are observed (*).

In order to assign the $^{13}$C NMR resonances of fullerenes, INADEQUATE spectra have been recorded using $^{13}$C-enriched samples since the low $^{13}$C natural abundance (1.07%) makes the probability of finding naturally occurring $^{13}$C-$^{13}$C pairs quite low.$^{13,9}$ Otherwise, $ab initio$ calculations have been performed to assign the observed lines in $^{13}$C, $^3$He or $^7$Li NMR.$^{14,15}$ NMR has also been used for the characterization of more complicated molecules of the same family such as C80.$^{16}$ To probe the magnetic properties of fullerene molecules, $^3$He NMR on He@C70 complexes where the He atoms are located inside the C70$^{17,18}$ has been successfully used, while the surface properties have been characterized using $^1$H and $^{13}$C NMR of surface groups grafted on reduced C70 molecules.$^{19}$

Crystallized solvated C70 molecules have also been studied by solid-state NMR using $^1$H-$^{13}$C cross-polarization to enhance the $^{13}$C signal.$^{20}$ In the solid-state, pure C70 molecules have been shown to rotate freely around their symmetry axis at room temperature using longitudinal relaxation ($T_1$) and Chemical Shift Anisotropy (CSA) considerations.$^{21}$

In this paper, we show for the first time that natural-abundance $^{13}$C INADEQUATE solid-state NMR spectra can be recorded for C70 samples, using the J-couplings between naturally occurring $^{13}$C-$^{13}$C pairs for magnetization transfer. The 2D INADEQUATE can be recorded at “low” magnetic fields (7 T or 300 MHz for $^1$H) where the line widths are narrower (in Hz) and the J-splittings can be resolved. However, the $T_1$ values are longer at low fields, and the strong J-coupling effect between two close resonances additionally leads to vanishing cross-peaks.$^{22}$ At higher magnetic fields (20 T
or 850 MHz for $^1$H), the Boltzmann population difference is enhanced, the longitudinal relaxation is faster, and the strong J-coupling effects are lessened even if the broader line widths (in Hz) preclude the direct observation of J-splittings.

The $^{13}$C chemical shifts can be calculated using a DFT approach. The calculated values show an excellent agreement with the experimental measurements. The $^{13}$C chemical shifts of these carbons (experimental and calculated) are shown to correlate with the average bond angles with the three neighboring carbons. The presence of a broad contribution between 140 and 160 ppm in the $^{13}$C NMR spectra of nanoporous amorphous activated carbons can be explained by the presence of carbon atoms with smaller CCC angles in regions of higher carbon surface curvatures.

**Experimental NMR**

The solid C$_{70}$ powder have been purchased from Sigma-Aldrich and used as is, without further purification. The supercapacitor electrode was provided by Batscap and was obtained from carbonized and activated coconut shell, with a PVDF binder and carbon black additive for conductivity. The carbon powders have been placed in a 4 mm Bruker ZrO$_2$ rotor. The NMR spectra have been obtained with Bruker NMR spectrometers operating at 7 and 20 T, corresponding to Larmor frequencies of 75.5 and 213.8 MHz for $^{13}$C (300 and 850 MHz for $^1$H), using Magic Angle Spinning (MAS) rates of 10.000 and 14.286 kHz in Bruker double resonance MAS-NMR probes. The $^{13}$C chemical shifts are referenced to TMS at 0 ppm. The longitudinal relaxation times for C$_{70}$ have been found to range between 2.6 and 2.9 s at 7 T, and 1.6 to 2 s at 20 T. The recovery delays have been set to 5xT$_1$ for the quantitative 1D spectra and 3 and 2.4 s to obtain the best signal-to-noise ratio in the INADEQUATE spectra. The 1D $^{13}$C MAS-NMR spectrum of the supercapacitor electrode was recorded with a recovery delay of 60 s (due to the slower longitudinal relaxation of PVDF, compared to T$_1$ ≤ 5 s for the disordered nanoporous carbon). The 90° excitation pulses have been performed using B$_1$ RF fields of 68 and 50 kHz respectively, and trains of eight 90° pulses 20 ms apart were used for presaturation. The INADEQUATE spectra were recorded using a refocused INADEQUATE sequence, followed by a 20 ms Z-filter to obtain a pure phase spectrum. 2048 transients have been recorded for each natural-abundance $^{13}$C INADEQUATE, using conversion and reconversion delays of 8.2 ms ($\approx 1/2$) to allow for the build-up of antiphase magnetization considering that $^2J(^{13}$C-$^{13}$C) $\approx$ 60 Hz. In the indirect dimension, spectral widths of 2000 and 7143 Hz have been recorded using 100 and 50 increments at 7 and 20 T, respectively. The experiments lasted 7 and 3 days, respectively. Single component baseline corrections and exponential broadenings of 20 and 100 Hz have been applied on the INADEQUATE spectra in both dimensions. The 1D spectra and the INADEQUATE slices have been fitted and/or extracted using the dmfit software. 24 The simulations showing the strong J-coupling effects were performed using SPINEVOLUTION.

**DFT**

First principles calculations with periodic boundary conditions were achieved using the CASTEP code, which relies on a plane-wave-based density functional theory (DFT) approach. The electron correlation effects are modeled using the Perdew-Burke-Ernzerhof (PBE) generalized gradient approximation (GGA). For geometry optimizations we employed a planewave cut-off energy of 450 eV and the default “ultrasoft” pseudo-
potentials of CASTEP 5.5 (described in Supporting Information, Table S1). Convergence thresholds were set to $10^{-5}$ eV/Å for the maximum ionic force, and $10^{-3}$ Å for the maximum ionic displacement. Weak non-bonding forces, which are generally absent in DFT calculations and yet crucial in the description of $\pi$-$\pi$ interactions, for example, were accounted for here by the damped atom-pairwise semi-empirical dispersion corrections of Tkatchenko and Scheffler.\textsuperscript{30} The NMR calculations were performed using the Gauge Including Projector Augmented Wave approach (GIPAW),\textsuperscript{31,32} at the same cut-off energy of 450 eV.

The crystal structure of C\textsubscript{70} was previously determined by diffraction.\textsuperscript{33} For gas-phase calculations on isolated C\textsubscript{70}, one of the four C\textsubscript{70} molecules was put in a cell of 15x15x15 Å\textsuperscript{3} to avoid inter-molecular interactions, with a 2x2x2 Monkhorst-Pack (MP) grid\textsuperscript{34} to sample the Brillouin zone. The solid-state calculations were conducted with and without the symmetries of the Pbmn (#62) space group, with unit cell parameters $a = 10.016$ Å, $b = 17.349$ Å, $c = 18.530$ Å, and $\alpha = \beta = \gamma = 90^\circ$ kept fixed during geometry optimizations, and a 2x1x1 MP grid. An internal reference was used to calculate chemical shifts using the expression $\delta_{\text{calc}} = \sigma_{\text{ref}} - \sigma_{\text{calc}} + \delta_{\text{ref}}$, where $\sigma_{\text{ref}}$ is the average of all calculated shieldings for a given calculation, and $\delta_{\text{ref}}$ the corresponding average of all experimental $^{13}$C shifts of C\textsubscript{70} (weighed by populations) with respect to TMS.

**Results and discussion**

Although the low-temperature XRD structure of crystallized C\textsubscript{70} shows 40 inequivalent sites,\textsuperscript{33} rotation about the polar axis at room temperature reduces the number of inequivalent sites to five, with populations in a 1:1:2:2:1 ratio. These sites are labeled from a to e and the lines were first assigned using the local curvature and the population ratio.\textsuperscript{10} The assignment has been confirmed with a $^{13}$C liquid-state NMR 2D refocused INADEQUATE recorded on isotopically enriched C\textsubscript{70}.\textsuperscript{13} The C\textsubscript{70} molecule with the a-e sites and the quantitative 1D $^{13}$C MAS-NMR spectrum are shown in Figure 1. The results from the fits are provided in Table S2. The longitudinal relaxation time constants ($T_1$) are rather small for these compounds (between 1.5 and 3s) and become smaller with increasing $B_0$ strength. This is expected given the large chemical shift anisotropies (CSA) calculated by DFT (190 ppm) for the (static) low-temperature Pbmn structure and the comparatively small values (30-50 ppm) measured at room temperature, where C\textsubscript{70} molecules undergo free rotation around the polar axis.\textsuperscript{21} CSA fluctuations are thus expected to be the main source of relaxation,\textsuperscript{21} which are consequently more efficient at higher magnetic fields. It is possible as a result to directly record a natural-abundance $^{13}$C spectrum in a short time without cross-polarization for C\textsubscript{70}.

No $^1$H or paramagnetic defect is found in the crystallized C\textsubscript{70} samples. The loss of coherence is consequently slow (long $T_2$), no decoupling is needed, and MAS easily removes the CSA sidebands and is expected to quench any undesired cross-term.\textsuperscript{35} The J-coupling between adjacent $^{13}$C spins, around 60 Hz,\textsuperscript{13} is then sufficient to mediate the creation of antiphase coherences between chemically bound $^{13}$C pairs and thus to allow acquisition of J-mediated correlation experiments. The optimum refocused INADEQUATE signal was found for conversion and reconversion delays of 8.2 ms before and after the double-quantum evolution time, which is equal to the theoretical optimum of
$1/(2J)$, as expected for comparatively long $T_2$.

The 2D refocused INADEQUATE spectra recorded at 7 and 20 T are shown in Figure 1C and D. For the first time, these spectra were recorded using non-enriched $C_{70}$ samples. Whereas a few examples of $^{13}C-^{13}C$ correlation spectra collected at natural abundance in the solid state have been reported (most of which with the refocused INADEQUATE experiment), this is also the first example using direct $^{13}C$ excitation rather than the $^{13}C\{^1H\}$ cross-polarization (CP) classically employed in organic solids. The spectrum obtained at 7 T shows three pairs of cross-peaks, a-b, c-d and d-e. The b-c pair of cross-peaks is missing because the frequency separation of b and c (40 Hz) remains too small as compared to the J-coupling (60 Hz) inducing strong J-coupling effects, i.e. the J-coupling Hamiltonian $(2\pi J S_1 S_2)$ cannot be reduced to $2\pi J s_z s_z$. In such a case, antiphase terms are not created, and simulations performed with SPINEVOLUTION confirm this result.

The line widths ($\approx$ 40 Hz) of the $^{13}C$ lines are small enough to allow direct observation of the $^2J(^{13}C,^{13}C)$ splitting, as illustrated in the corresponding slices (Figure 2). The SPINEVOLUTION simulations accurately reproduce the distortion of the multiplets stemming from the strong J-coupling effect (“roof” effect, shown in Figure 2).

To observe the missing b-c cross-peaks, the frequency separation between b and c lines (in Hz) must be increased, which is easily achieved by using a stronger $B_0$ field. At 20 T, the frequency separation between b and c (113 Hz) becomes large enough to observe the b-c cross-peaks in the 2D INADEQUATE spectrum (Figure 1D). However, the line widths (resulting from the interplay of J-splitting and chemical shift distribution stemming from structural disorder) become larger ($\approx$ 150 Hz full width at half maximum, FWHM) and the multiplets cannot be observed directly anymore. Correlated disorder effects can even be distinguished from the elongated shapes of the cross-peaks.

![Figure 2](image)

Figure 2. 1D slices of the refocused $^{13}C$ INADEQUATE spectrum of $C_{70}$ obtained at 7T (blue), for each observed pair of cross-peaks (a-b, c-d and d-e). The b-c pair is not observed because of the strong coupling effect as the spectral separation between b and c (40Hz) becomes small and interferes with the J-coupling ($\approx$ 60 Hz). The theoretical spectra with no strong J-coupling effect are shown in red (obtained with dmfit24) and the simulated spectra obtained with SPINEVOLUTION are shown in purple to illustrate the “roof” effect resulting from the strong J-coupling.

The main interest behind studying $C_{70}$ lies in its ability to mimic on a small scale the expected structure of nanoporous carbons. The $^{13}C$ MAS-NMR spectrum of a supercapacitor electrode made with a nanoporous carbon is compared to the $C_{70}$ MAS-NMR spectrum in Figure 3. The expected chemical shifts of commonly found moieties are also represented. In the nanoporous carbon, the signals appearing between 140-160 ppm does not correspond to commonly found chemical groups and therefore may indicate sp$^2$ carbons with stronger curvatures, as seen in the $C_{70}$ NMR spectrum.
Figure 3. $^{13}$C MAS-NMR spectra of a supercapacitor electrode (A) and solid-state C$_{70}$ (B) with the expected chemical shift ranges for the commonly found chemical entities (C).

D: Plot of the $^{13}$C chemical shifts calculated by DFT (obtained with the low-temperature Pbmn structure, in which the C$_{70}$ molecules do not rotate, as opposed to the room-temperature behavior) vs the experimentally measured $^{13}$C shifts. We assumed that the C$_{70}$ rotation averaged the chemical shifts in each class of a-e atoms, and the error bars represent the standard deviations observed for each set of calculated chemical shifts. E: Plot of the three CCC angles obtained from the DFT-optimized structure and their average as a function of the experimental $^{13}$C chemical shifts measured for C$_{70}$. The error bars correspond to the standard deviations for each set of angles. The green lines indicate the CCC bond angles obtained for sp$^2$ carbons (120°) and sp$^3$ carbons (109.5°).

To understand the origins in terms of local structure of the chemical shift variations in C$_{70}$, the chemical shifts have also been calculated with DFT. Three cases have been considered: isolated C$_{70}$ molecules and crystalline C$_{70}$ with and without the symmetries of the original Pbmn space group of the low-temperature structure. Although the solid-state calculations provide slightly better agreement with the experimental values, the three calculations methods provided chemical shifts with strong linear correlation to the experimental values. Systematic deviations from the perfect 1:1 correlation are not unusual for DFT calculations of NMR parameters. They are most probably accounted for by approximations of the exchange-correlation functional and, in the presence case, by the comparison of a static model and a strongly dynamic experimental system. The results are provided in the supplementary materials section (Table S3). The values obtained with the immobile C$_{70}$ molecules in the Pbmn structure have been averaged to reproduce the effect of the molecular rotation about the polar axis. The resulting values are shown in Figure 3, and the standard deviations of the calculated shifts for each type of $^{13}$C site are plotted as error bars thus reflecting the spread in chemical shifts expected when the C$_{70}$ rotation is stopped. One can see that the linear correlation is excellent, with R=0.9990, showing that the DFT calculations are perfectly able to offer an alternate way to assign the $^{13}$C resonances. Interestingly, the average shifts calculated for each type of site do not significantly differ when the C$_{70}$ molecule is considered in isolation (see Supplementary Material, Table S3). The spread of calculated values for each $^{13}$C
site, in contrast, varies considerably between the isolated molecule and the solid-state model. Specifically, calculated shifts are virtually identical of every atom of a given type in isolated C\textsubscript{70} (standard deviations of 0.01 to 0.03 ppm, see Table S3) and are substantially distributed for crystalline C\textsubscript{70} with and without symmetry (standard deviations of 0.8 to 2.0 ppm). This result clearly indicates that the spread of calculated \textsuperscript{13}C shifts for static crystalline C\textsubscript{70} is entirely due to inter-molecular effects due to ring currents induced by the magnetic field in adjacent C\textsubscript{70} molecules. At ambient temperature, fast rotation of the molecules is expected to reduce these effects to zero.

Translating the observed chemical shift values into simple geometric considerations is more difficult, but important trends can nevertheless be obtained based on the combination of experimental NMR and diffraction data and DFT calculations. As shown in Figure 3E, for each carbon in C\textsubscript{70}, the three CCC bond angles (obtained from the DFT-optimized structure) can be divided into two groups: two large angles with values close to 120°, which correspond to the typical angles observed for sp\textsuperscript{2} carbons, and one smaller angle, close to 109°, which is close to the CCC angle observed for sp\textsuperscript{3} carbons. Therefore, we may expect activated carbons to have similar behaviors for the CCC bond angles, where the curvature of graphene layer is achieved with similar bond angles variations by including five-members rings among the network of 6-members rings.

The best linear correlation we found was between the average of the three CCC angles and the \textsuperscript{13}C chemical shift of the carbon atom in the center. The correlation obtained between the experimental shifts and the CCC angles obtained from XRD, CCC = 131.0 - 0.100 δ, is quite good (R = 0.916, see Figure S4A from the Supplementary Materials). Furthermore, this correlation improves with the angles obtained from the DFT-optimized structure: CCC = 131.95 - 0.1065 δ, with R = 0.936 (Figure 3E). It is a common finding that, for crystal structures obtained with powder diffraction rather than on single crystals, the precision of atomic positions, and -as a result- of calculated NMR parameters, can be significantly improved by a DFT minimization with fixed unit cell parameters\textsuperscript{41}. In this case the variations in atomic positions between the powder XRD and the DFT-optimized structure are small (RMSD of 0.051 Å), but nevertheless sufficient to improve the trend between experimental NMR results and structural features. Finally, further improvement of the correlation is obtained when considering calculated rather than experimental chemical shifts and the average CCC angles from the DFT-optimized structure: CCC = 129.4 - 0.087 δ, with R = 0.946 (Figure S4B from the Supplementary Materials). This improvement may be primarily due to a compensation of small residual errors on the atomic positions as minimized by DFT. In the three cases, some discrepancies are observed and must result from other effects which have not been accounted for. Nonetheless, using this linear correlation, one can assume that the chemical shift range between 120 and 160 ppm corresponds to average CCC angles between 119.2 and 114.9°. It is also interesting to notice that the deviation from the planar sp\textsuperscript{2} carbons found in C\textsubscript{70} remains quite small, with only one angle expected to deviate significantly from 120°.

**Conclusion**

Carbon materials are now used in supercapacitors, graphite electrodes in batteries, and hybrid systems, “supercapattery”, try to take advantage of the best of the two worlds. Therefore,
with nanotubes, graphene and graphite oxide, elaborated carbon compounds have a bright future ahead in the search for better energy storage strategies. In this paper, we show that natural abundance $^{13}$C correlation spectra can be recorded owing to the short longitudinal relaxation times of $^{13}$C in C$_{70}$. A similar effect may be expected in some of the more complex carbon materials, either because of the CSA induced relaxation, or due to the presence of paramagnetic centers. Moreover, experimental chemical shifts can be reproduced with a reasonable accuracy using DFT, and the $^{13}$C chemical shift may be translated in terms of average CCC bond angles, offering a plausible interpretation of the $^{13}$C MAS-NMR spectra of activated carbons in terms of deviations of graphene layers from planarity.

Acknowledgements
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Supplementary materials

Table S1. Description of pseudopotential used for planewave-based DFT calculations.

<table>
<thead>
<tr>
<th>Atom</th>
<th>Core-states</th>
<th>Local channel</th>
<th>$r_{loc}$ (a.u.)</th>
<th>$r_{nonloc}$ (a.u.)</th>
<th>$r_{aug}$ (a.u.)</th>
<th>Pseudopotential projectors</th>
<th>PAW projectors</th>
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<tr>
<td>C</td>
<td>1s</td>
<td>D</td>
<td>1.4</td>
<td>1.4</td>
<td>1.3</td>
<td>2x2s, 2x2p</td>
<td>2x2s, 2x2p</td>
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</table>

Where $r_{loc}$ is the pseudisation radius for the local component of the pseudopotential, $r_{nonloc}$ is the pseudisation radius for the non-local components of the pseudopotential, and $r_{aug}$ is the pseudisation radius for the charge augmentation functions.

The corresponding castep on-the-fly string used to generate these potentials is: C 2|1.4|1.4|1.3|6|10|12|20:21(qc=6)

Table S2. Results from the fit of the 1D NMR spectra.

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<th>Site</th>
<th>δ  (ppm) @850MHz</th>
<th>Width (Hz) @850MHz</th>
<th>Width (Hz) @300MHz</th>
<th>Area  (%)</th>
<th>Width (Hz) @300MHz</th>
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<tr>
<td>b</td>
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Table S3. Chemical shifts obtained by DFT.

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<th>Site</th>
<th>Average δ (ppm) Isolated C$_{70}$</th>
<th>StDev (ppm)</th>
<th>Average δ (ppm) P1 C$_{70}$</th>
<th>StDev (ppm)</th>
<th>Average δ (ppm) Pbmn C$_{70}$</th>
<th>StDev (ppm)</th>
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<tr>
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<tr>
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<td>2.02</td>
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Figure S4. A: Plot of the three CCC angles obtained from the XRD structure and their average as a function of the experimental $^{13}$C chemical shifts measured for C$_{70}$. The green lines indicate the CCC bond angles obtained for sp$^2$ carbons (120°) and sp$^3$ carbons (109.5°). B: Same graph with the $^{13}$C chemical shifts calculated with the DFT. The horizontal error bars correspond to the standard deviations for each set of chemical shifts from the a-e sites. The vertical bars are very small and correspond to the standard deviations obtained for each set of CCC angles.
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