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Preparation of chiral ruthenium(IV) complexes and applications in regio- and enantioselective allylation of phenols†

Zeyneb Sahli, Nolwenn Derrien, Simon Pascal, Bernard Demerseman, Thierry Roisnel, Frédéric Barrière, Mathieu Achard and Christian Bruneau*

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Facile preparations of chiral [Ru(Cp*)]- and [Ru(Cp')] -based allyl complexes featuring N,O chelate derived from (+)-nopinone are described. Single crystal X-ray structural analysis of one complex revealed the preferential configuration of the ruthenium centre and the orientation of the unsymmetrical allylic substituent. Applications of these complexes in catalysis for nucleophilic allylic substitution allowed regio- and enantioselective formation of branched allyl ethers from phenols.

Introduction

Allylation reactions catalyzed by transition-metal complexes have attracted much interest as a powerful tool in organic synthesis for C–C and C-heteroatom bond formation. Among them, allylation of phenols and alcohols has retained lot of interest due to their potential applications in syntheses for the access of bioactive compounds and polymers. In the last decade, ruthenium precatalysts have been used in these reactions with unsymmetrical allylic substrates to promote regio- and enantioselective formation of branched compounds containing a chiral centre. Since the seminal work of asymmetric allylation and amination catalyzed by ruthenium complexes starting from symmetrical substrates by Takahashi, we reported the first ruthenium-catalyzed enantioselective etherification starting from unsymmetrical allylic chlorides using a catalytic system based on [Ru(Cp*)(CHCN)][PF6] along with chiral bisoxazolines reaching 82% ee but with moderate regioselectivities. In a similar manner, enantioselective Caroll rearrangement or decarboxylative etherification from allyl aryl carbonate in the presence of iminopyridines and pyridine-oxazolines afforded good regioselectivities and ee up to 87%. Cyclopentadienyl ruthenium centres coordinated by chiral diamines have also been investigated in allylation reaction. The best regioselectivity (94%) and enantioselectivity (54%) were obtained during the substitution of cinnamyl chloride by phenol. More recently, planar-chiral cyclopentadienyl ruthenium catalysts have led to a breakthrough allowing excellent regio- and enantioselectivities in etherification and other allylation reactions starting from allylic chlorides. Ruthenium complexes featuring N,O and P,O chelate have demonstrated good activities in allylation reactions. and recently, Kitamura has shown the high efficiency of chiral naphthyl pyridine carboxylic acids with atropochirality acting as N,O chelates associated to [Ru(Cp)(CH2CN)][PF6] for the enantioselective dehydrative cyclisation of ω-hydroxy allyl alcohols. However, such N,O chelates require preparative chiral HPLC for ligand purification and to date no N,O chelates derived from the chiral pool have been applied in ruthenium-catalyzed enantioselective etherification. Moreover, no structure of chiral complexes was reported to determine the preferential configuration of the ruthenium centre. We report herein the straightforward synthesis of chiral allyl ruthenium(IV) complexes containing an optically pure N,O chelate along with various substituted cyclopentadienyl ligands, and their applications in enantioselective allylation starting from cinnamyl carbonate.

Results and discussion

The ester 2 was synthesized in 45% overall yield from (+)-nopinone using a reported methodology involving Michael addition, imine formation and ring closure followed by triflation and methoxycarbonylation. Its hydrolysis in the presence of lithium hydroxide afforded the expected pyridine carboxylic acid 3 in 95% yield (Scheme 1).

With this ligand in hand, we undertook the preparation of allyl ruthenium(IV) complexes. Thus, reaction of the ligand 3 in the presence of complex I followed by the addition of allyl alcohol resulted in substitution of the labile acetonitrile ligands and to the generation of water to yield the expected allyl ruthenium(IV) complex II as a diastereoisomeric mixture in a 75/25 ratio and almost quantitative yield (Scheme 2).
The 1H NMR data of the fully characterized air stable complex II gives two singlets at 0.8 ppm and 0.7 ppm that allow facile determination of the major and minor diastereoisomer, respectively. 1H NMR analyses show evidence of a constant stereoisomeric mixture but importantly, as indicated by disappearance of the minor singlet, recrystallization of II by layering dichloromethane and hexane in the presence of a small amount of methanol allowed the formation of pure crystals of the major isomer in 51% yield and a major/minor ratio superior to 99.1. In a similar manner, reactions of [Ru(Cp*)(CH3CN)][PF6] where Cp* contains isopropl, neopentyl or terttiobutyl groups led to the formation of the expected complexes III, IV and V, respectively, also as a stereoisomeric mixture (Scheme 2). Unfortunately, attempts to isolate the major isomer of these complexes were unsuccessful. Comparing the influence of the substituent on the cyclopentadienyl ligand, 1H NMR of complexes II–V reveals that the steric hindrance of the substituent led to decrease of the diastereoisomers ratio. Thus, the lowest ratio was obtained with complex 10a,b,c affording the enantiopure complex VI.

Table 1 Selected bond lengths (Å), angles (°), atom distances and summary of crystallographic parameters and refinement results for complex VI

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<td>0.756</td>
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<td>T/K</td>
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<td>GOF</td>
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<td>Rint (all data)</td>
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<td>0.1091</td>
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<tr>
<td>Δapmx/e Å⁻¹</td>
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<td>Δapmnic/e Å⁻¹</td>
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<td>134.2050(10)</td>
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Fig. 1 Structure of enantiomerically pure complex VI. Thermal ellipsoids are drawn at the 50% probability level. Hydrogen atoms, PF6 counter anion are omitted for clarity.

stabilization of the major complex VI of 1.1 kcal mol⁻¹ (Fig. 2). In addition, theoretical investigation of the carboxylic/crotyl alcohol intermediates shows optimized isoelectronic structures displaying a hydrogen bond between the proton of the coordinated carboxylic acid and the oxygen atom of the η¹-coordinated crotyl alcohol (Fig. 3). Calculations on the earlier carboxylic/acetonitrile intermediates yield a stabilization of 1.5 kcal mol⁻¹ of the “pro-VI” diastereoisomer. Taken together, these calculations indicate that the synthesis yielding the major diastereoisomer of VI is probably driven early in the synthetic sequence, and that the hydrogen-bonded complex is a valid intermediate before dehydration.
Complexes II–VI were evaluated for their activities in regio- and enantioselective allylation, using cinnamyl carbonate as linear substrate in the presence of phenol acting as nucleophile and potassium carbonate as mineral base (Table 2). Complexes II–VI were efficient in acetone or halogenated solvent such as dichloromethane or 1,2-dichloroethane, and gave conversions located in the range 64–89% in THF (entries 5–9). Notably, for all the solvents and complexes evaluated, excellent regioselectivities toward the branched compound 7 were obtained when potassium carbonate was used as base. In contrast, lower regioselectivities were obtained with the use of cesium carbonate using THF as solvent (not presented in the Table). However, although conversions and regioselectivities were satisfactory, enantioselectivities were found to be strongly solvent dependent. Thus, when the reactions were carried out in low polar halogenated solvent such as CH$_2$Cl$_2$ or DCE, branched product 7 was formed with low levels of enantioselectivity of 29 and 8%, respectively (entries 1 and 2). On the contrary, reactions in THF or acetone afforded promising enantioselectivities (entries 3–9). It is important to note that the best enantioselectivity was obtained with the less hindered pentamethylcyclopentadienyl ligand (entry 4, 5 and 9). Complexes II and VI differ only from the nature of the allylic ligand, which is removed during the first catalytic cycle and as a result, the same ee value of 76% was reached when complex II and VI were used as precatalyst (entries 4 and 9). Concerning the stereoisomeric purity of the precatalysts, entries 4 and 5 with complex II emphasized that the presence of the other stereoisomer is not prejudicial for the enantioselectivity and almost identical conversion, ratio and ee were obtained. This result might be explained by the formation of a transient intermediate during the catalytic cycle demonstrating a possible equilibrium between the two ruthenium(II) species leading after reaction with the allylic derivatives to the formation of both allyl ruthenium(IV) stereoisomer intermediates (Fig. 4). We cannot also exclude an inner sphere mechanism during allylation reaction leading to the formation of unchelated species by exchange of the carboxylate moiety and the nucleophile. The absolute configuration of the major enantiomer of ether 7 determined by optical rotation measurement was S(+), which tends to demonstrate that an inner sphere mechanism seems unprobable and thus supports the possible equilibrium of Fig. 4 prior to allylic activation and nucleophilic attack. With regard to the use of other phenol derivatives such as o-cresol 8, reaction in the presence of pure precatalyst II proceeded smoothly allowing the exclusive formation of the branched allyl ether 10 in 85% isolated yield and 72% enantioselectivity (Scheme 4).

![Fig. 3 Depiction of computed transient intermediates for complex VI.](image)

![Fig. 2 Optimized diastereoisomer complexes VI.](image)

![Fig. 4 Proposed equilibrium of the two ruthenium(II) intermediates.](image)

![Scheme 4 Allylation with o-cresol.](image)

<table>
<thead>
<tr>
<th>entry</th>
<th>solvent</th>
<th>catalyst</th>
<th>B/L</th>
<th>conv.</th>
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<td>2$^a$</td>
<td>DCE</td>
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<td>99</td>
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<td>9$^c$</td>
<td>THF</td>
<td>VI</td>
<td>97/3</td>
<td>89/85$^c$</td>
<td>76 (S)</td>
</tr>
</tbody>
</table>

$^a$ Experimental conditions: all reactions were performed under an inert atmosphere of argon and carried out at 0.12 M concentration with 4/5/base/precatalyst in 1/1.2/1.2/0.025 molar ratio at room temperature. $^b$ entry 1–4: II dr = 99/1, entry 9: V1 dr = 99/1. $^c$ Isolated yield. $^d$ reaction performed with precatalysts as a stereoisomeric mixture indicated in Scheme 2.
better results with allylic chlorides and poor enantioselectivities with carbonates.

**Conclusions**

Chiral allyl ruthenium(IV) complexes featuring a N,O chelate derived from (+)-nopinone were easily prepared. As expected from our previous results with ruthenium catalysts featuring an achiral N,O ligand derived from quinaldic acid,14 the nucleophilic allylic substitution of cinnamyl carbonate by phenol, was highly regioselective in favour of branched chiral aryl allyl ethers. In addition, with the new ligand 3, both high regioselectivity and satisfactory enantioselectivity were obtained. Studies in enantioselective allylation reactions demonstrate the influence of the substituent on the cyclopentadienyl group for the enantioselectivity. The results also highlight the crucial importance of the leaving group of the allylic substrate for synthetic applications.

**Experimental section**

Unless otherwise stated, all manipulations were performed under inert atmosphere (argon) following conventional Schlenk techniques. Solvents were purified according to standard procedures. [Ru(Cp*)(CH₂CN)]₃[PF₆] was prepared according to the literature method.14 [Ru(Cp*)(CH₂CN)]₃[PF₆] complexes were prepared following reported protocols.14 Chiral ester 2 was synthesized according Kočovský methodology.15 All other reagents were obtained from the usual commercial suppliers, and used as received. NMR spectra were recorded in Bruker GPX (200 MHz) in CDCl₃ or CD₂Cl₂, at room temperature unless otherwise stated. NMR spectra are referred to the internal residual solvent peak for ¹H and ¹³C{¹H} NMR.

**X-ray diffraction studies**

Suitable crystals were collected on a APEXII. Bruker-AXS diffractometer equipped with a CCD detector, using graphite-monochromated Mo-Kα radiation (λ = 0.71073 Å) at T = 100(2) K. The structure was solved by direct methods using the SIR97 program,26 and then refined with full-matrix least-square methods based on F² (SHELX-97) with the aid of the WINGX21 program. The contribution of the disordered solvents to the calculated structure factors was estimated following the BYPASS algorithm,25 implemented as the SQUEEZE option in PLATON28 A new data set, free of solvent contribution, was then used in the final refinement. All non-hydrogen atoms were refined with anisotropic displacement parameters. H atoms were finally included in their calculated positions. A final refinement on F² with 12429 unique intensities and 692 parameters converged at \(\alpha R(F²) = 0.1076\) (\(R(F) = 0.0427\)) for 11682 observed reflections with \(I > 2\sigma(I)\). For parameters see Table 1.

**Synthesis of compound 3**

A solution of ester 2 (389 mg, 1.68 mmol, 1 eq.) in THF–MeOH (5:1, 6 mL) was slowly added at 0 °C to a solution of lithium hydroxide (201.1 mg, 8.40 mmol, 5 eq.) in water (2 mL). After stirring the resulting mixture for one hour at 0 °C, the solution was allowed to warm at room temperature and stirred for four hours. After complete conversion (TLC), the mixture was acidified to pH = 2 with 1 N HCl. After evaporation of THF, the remaining solution was extracted three times with dichloromethane. The combined organic layers were dried over sodium sulfate and concentrated to afford ligand 3 as a white powder in 92% yield (336 mg). ¹H NMR (200 MHz, CDCl₃) δ 8.02 (d, J = 7.3 Hz, 1H), 7.66 (d, J = 7.5 Hz, 1H), 6.00 (brs, 1H), 3.06–3.02 (m, 3H), 2.84–2.74 (m, 1H), 2.46–2.38 (m, 1H), 1.46 (s, 3H), 1.29 (d, J = 10.1 Hz, 1H), 0.66 (s, 3H); ¹³C NMR (50 MHz, CDCl₃) δ 166.6, 165.3, 142.3, 137.5, 136.6, 122.2, 50.2, 40.2, 39.6, 31.9, 30.9, 26.3, 21.7; [\(\delta\)]D² = −24.6 (c 0.5, CH₂Cl₂).

**General procedure for the preparation of complexes II–VI**

A resulting violet solution containing [Ru(Cp*)(CH₂CN)]₃[PF₆] or [Ru(Cp*)(CH₂CN)]₃[PF₆] (0.4 mmol) and ligand 3 (0.4 mmol) in dichloromethane (6 mL) was stirred for thirty minutes at room temperature. Alkyl alcohol or crotyl alcohol (0.8 mmol) was then added and the solution turned immediately to yellow. After stirring overnight, the mixture was concentrated leaving a yellow solid, which was washed several times with degassed diethyl ether. The remaining powder was dried to afford complexes II and VI by layering dichloromethane and hexane allowing the isolation of the major complex.

**[Ru(C₃Me₃)(N−O)(η¹-CH₂CHCH₂)][PF₆]**

Prepared from ligand 3 (100 mg, 0.46 mmol, 1 eq.). [Ru(Cp*)(CH₂CN)]₃[PF₆] (232 mg, 0.46 mmol, 1 eq.) and allyl alcohol (78 μL, 2 eq.) was added and the solution turned immediately to yellow. After stirring overnight, the mixture was concentrated leaving a yellow solid, which was washed several times with degassed diethyl ether. The remaining powder was dried to afford complex as a stereoisomeric mixture. Further crystallization was possible with complexes II and VI by layering dichloromethane and hexane allowing the isolation of the major complex.
[Ru(C₅Me₅)(N–O)(η¹–CH₂CHCH₂Me)][PF₆] complex VI
Prepared from ligand 3 (90 mg, 0.41 mmol, 1 eq.), [Ru(Cp*)₂(CH,CN)][PF₆] (208 mg, 0.41 mmol, 1 eq.) and crotyllalcohol (70 µL) to yield after recrystallization 55% (148 mg) of a brown complex in a ratio superior to 99:1. 1H NMR (200 MHz, CD₂Cl₂) : 7.96 (d, J = 7.6 Hz, 1H (CH)), 7.87 (d, J = 7.6 Hz, 1H (CH)), 4.46 (dt, J = 10.3, 6.2 Hz, 1H (allylic CH)), 4.12 (dq, J = 10.3, 6.2 Hz, 1H (allylic CHMe)), 3.58 (dd, J = 0.6, 6.2 Hz, 1H (syrn allylic CH)), 3.16 (m, 2H), 2.96 (dd, J = 5.9, 11.6 Hz, 1H, 2.61 (t, J = 5.4 Hz, 1H), 2.54–2.45 (m, 2H), 1.63 (s, 15H (Cp*)), 1.56 (s, 3H (Me)), 1.37 (d, J = 6.2 Hz, 3H (Me)), 1.27 (d, J = 10 Hz, 1H), 0.81 (s, 3H); ¹³C NMR (50 MHz, CD₂Cl₂) : 171.8 (CO₂), 168.1 (N–C(O)), 146.4 (N≡C), 141.4 (CH≡C), 139.9 (C≡C), 126.3 (CH=C), 107.5 (C=CMe), 101.3 (CH allyl), 85.0 (CHMe allyl), 62.3 (CH₂ allyl), 54.5 (CH), 40.1 (CMe), 40.0 (CH), 32.5 (CH₂), 32.4 (CH₂), 25.3 (Me), 21.1 (Me), 17.3 (Me), 9.5 (CMe₆); anal. calc. for C₅H₆F₂NO₂PRu : C 49.69, H 5.56 found: C 49.59, H 5.59; [α]D= +145 (c 0.5, CH₂Cl₂).

[Ru(C₅Me₅-t-Bu)(N–O)(η¹–CH₂CHCH₂)][PF₆] complex V
Prepared from ligand 3 (30 mg, 0.14 mmol, 1 eq.), [Ru(C₅Me₅-t-Bu)(CH,CN)][PF₆] (75 mg, 0.14 mmol, 1 eq.) and allyl alcohol (30 µL) to yield after treatment 89% (83 mg) of a brown complex as a stereoisomeric mixture in a 60/40 ratio. Only major isomer is described : ¹H NMR (200 MHz, CD₂Cl₂) : 8.01 (d, J = 7.8 Hz, 1H (CH)), 7.94 (d, J = 7.7 Hz, 1H (CH)), 4.73–4.56 (m, 1H (allylic CH)), 4.42 (d, J = 5.6 Hz, 1H (syrn allylic CH)), 3.66 (d, J = 5.4 Hz, 1H (syrn allylic CH)), 3.52 (d, J = 10 Hz, (anti allylic CH)), 3.18 (brs, 2H), 2.67–2.94 (m, 1H), 2.70 (d, J = 10.5 Hz, 1H (anti allylic CH)), 2.52–2.46 (m, 2H), 1.87 (s, 3H (Me)), 1.85 (s, 3H (Me)), 1.72 (s, 3H (Me)), 1.64 (s, 3H (Me)), 1.55 (s, 3H (Me)), 1.33 (brs, 9H (CMe₂)), 0.81 (s, 3H (Me)); ¹³C NMR (75 MHz, CD₂Cl₂) : 172.1 (CO₂), 169.2 (N≡C–C(O)), 146.6 (N≡C), 141.8 (CH≡C), 112.0 (C≡C), 127.4 (CH=C), 119.8 (Cp'), 117.7 (Cp'), 110.6 (Cp'), 104.8 (Cp'), 101.7 (Cp'), 100.7 (CH allyl), 79.4 (CH₂ allyl), 65.3 (CH₃ allyl), 53.4 (CH), 39.5 (CMe), 39.4 (CH₂), 36.4 (CMe₂), 32.6 (CH₂), 32.4 (CH₃), 30.5 (CMe), 25.3 (CMe₂), 21.2 (CMe), 13.8 (Me), 13.1 (Me), 11.1 (Me), 10.3 (Me); HRMS calculated for C₅H₆NO₂: C 536.21025, found [M⁺] 536.2110.

General procedure for the allylation of phenols
In a Schlenk tube containing cinnamyl carbonate (50 mg, 0.24 mmol, 1 eq.), phenol (27 mg, 0.29 mmol, 1.2 eq.) in THF (2 mL), potassium carbonate (40 mg, 0.29 mmol, 1.2 eq.) and precatalyst (2.5 mol%) were sequentially added. After stirring the solution at room temperature for 16 h, the solution was filtered through a silica plug using diethyl ether as eluent. Conversions and B/L ratio were determined by ¹H NMR. Enantioselectivities were determined with HPLC using chiralcel-OJ, H/I 99.5/0.5, 0.8 mL min⁻¹, λ = 220, 250 nm; t₁ (major) = 29.1 min. and t₂ (min) = 32.1 min.

Acknowledgements
The authors wish to thank Dr C. Guillaume and Dr S. Guillaume for the methoxycarboxylation reaction. Z. S. thanks the Ministry of Higher Education and Research of Algeria for PNE fellowship.

Notes and references


