CANCERIZATION OF CUTANEOUS FLAP RECONSTRUCTION FOR ORAL SQUAMOUS CELL CARCINOMA: REPORT OF THREE CASES STUDIED WITH THE mtDNA D-LOOP SEQUENCE ANALYSIS

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► To cite this version:

Maria Pia Foschini, Luca Morandi, Claudio Marchetti, Roberto Cocchi, Leonardo Henry Eusebi, et al..
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CARCINOMA: REPORT OF THREE CASES STUDIED WITH THE mtDNA D-LOOP SEQUENCE
ANALYSIS. *Histopathology*, 2011, 58 (3), pp.361. 10.1111/j.1365-2559.2011.03754.x . hal-00610739

HAL Id: hal-00610739

<https://hal.science/hal-00610739>

Submitted on 24 Jul 2011

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CANCERIZATION OF CUTANEOUS FLAP RECONSTRUCTION FOR ORAL SQUAMOUS CELL CARCINOMA: REPORT OF THREE CASES STUDIED WITH THE mtDNA D-LOOP SEQUENCE ANALYSIS

Journal:	<i>Histopathology</i>
Manuscript ID:	HISTOP-01-10-0028.R1
Manuscript Type:	Original Article
Date Submitted by the Author:	28-Feb-2010
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Keywords:	Oral cancer, skin graft, cutaneous flap, mitochondrial DNA D-loop

For Peer Review

CANCERIZATION OF CUTANEOUS FLAP RECONSTRUCTION FOR ORAL SQUAMOUS CELL CARCINOMA: REPORT OF THREE CASES STUDIED WITH THE mtDNA D-LOOP SEQUENCE ANALYSIS.

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Running title: Cutaneous flap cancerization.

Key words: Oral cancer, skin graft, cutaneous flap, mitochondrial DNA D-loop.

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ABSTRACT

Aims

Tissue defects, resulting from surgical resection of oral squamous cell carcinoma (OSCC), are routinely reconstructed with skin graft. OSCC arising from the grafted skin have been described, however, it is still unclear whether primary and second tumours have a common clonal origin. By screening mitochondrial DNA D-loop region (mtDNA), we evaluated the clonal relationship between the primary OSCC and the second neoplastic features appearing in the skin graft in three patients.

Methods and Results

In all the three cases, the neoplastic lesions arising in the skin graft showed a clonal relationship with the previous OSCC and, on the basis of the results obtained with the mtDNA analysis, could be considered a recurrence of the primary OSCC rather than a second primary OSCC.

Conclusions

Starting from a field of genetically altered cells of the oral mucosa, the spreading of the clonal cell population to the cutaneous flap might be stimulated by cytokines produced by the grafted skin. More studies are needed to evaluate the molecular relationship between primary and second OSCC to identify patients at higher risk of developing a second tumour of the skin graft.

INTRODUCTION

Oral squamous cell carcinoma (OSCC) is the most common type of cancer affecting the oral cavity. Despite its frequency, diagnoses are often delayed and in order to achieve complete tumour removal, major surgical resections are needed. More extensive eradication of OSCC are possible since tissue defects, resulting from surgical resection, are routinely reconstructed with skin flaps obtained from radial forearm including a microvascular anastomosis for attachment at the site of reconstruction.¹

Recurrences and second primary OSCC are not uncommon in the oral cavity with a development rate of 2-3% of new cases per year.²

Several cases of squamous cell carcinoma or dysplasia arising from the grafted skin have been described (table 1); however, knowledge on behaviour and modification in the grafted skin is still scanty.^{3,4}

In 1953, Slaughter and colleagues introduced the concept of “Field cancerization” as a pathogenic pathway for multiple primary OSCC arising in different areas, associated to pre-neoplastic lesions.⁵ The Authors⁵ based the concept of “Field cancerization” on histological observations from OSCCs and associated pre-neoplastic lesions, such as dysplasia or in situ carcinoma, developed in different areas of a single patients.⁵

Subsequently, the concept of field cancerization was sustained by further clinical and histological evidence, and in the last decade several studies, based on molecular techniques, evaluated the genetic basis of this concept. Indeed, this observation was considered of crucial importance to differentiate recurrences from second primary OSCC.

Furthermore, the oral mucosa undergoes malignant transformation through the development of genetically altered keratinocytes that progressively gain further mutations, most probably as a result of continued exposure to carcinogens, such as tobacco and alcohol.⁶⁻⁸ Mutated keratinocytes with growth advantage gradually expand and replace normal epithelial cells of the oral mucosa favouring the development of a second primary OSCC.^{9, 10}

Nevertheless, data sustaining the concept of field cancerization are difficult to reconcile with the development of dysplasia and squamous carcinoma in cutaneous skin grafted into the oral cavity.

To date, no molecular studies have been performed on squamous carcinomas arising on the grafted skin; furthermore, the clonal relationship between the primary OSCCs and the second neoplastic features appearing in the skin grafts still needs to be assessed, in order to differentiate recurrences from second primary OSCCs.

The high frequency rate of mtDNA mutations in tumours¹¹⁻¹³ especially those found in the D-loop region, a non coding region, along with numerous mitochondrial genomes present in a single cell, makes mtDNA a reliable marker for clonality assays from microdissected paraffin-embedded tissue samples.¹⁴⁻¹⁶

We evaluated the clonal relationship between the primary cancer affecting the oral mucosa and the second neoplastic features appearing in the skin graft by screening the mtDNA D-loop region in three OSCC patients.

Case histories

Case 1

In 2004, a 61 year old female, heavy smoker, presented with an ulcerated mass located in the retromolar trigone of the right mandible. An incisional biopsy diagnosed an invasive OSCC. Thereafter, the right mandible was surgically removed and substituted with a peroneal bone and an osteocutaneous flap comprising forearm skin. In February 2006 the patient presented an exophytic mass of the right maxillary gingiva, which was diagnosed as OSCC by an incisional biopsy. The patient underwent right maxillectomy with reconstruction. In April 2006 the patient presented a polypoid lesion located in the centre of the cutaneous mandibular graft used to reconstruct the defect caused by the surgical resection of the first OSCC. The lesion was surgically removed.

The patient has never discontinued smoking. She died of OSCC, 4 years after the first surgery.

Case 2

In February 2004 a 58 year old female patient presented with an ulcerated lesion of the mouth floor (Figure 1A), diagnosed by an incisional biopsy as invasive OSCC (Figure 1B). The patient was a heavy smoker and previous personal and familial anamneses were unremarkable. Radical surgical excision of the neoplastic mass was performed at the time and a cutaneous graft of forearm skin was used to repair the defect (Figure 1C). No radiotherapy was performed. The patient discontinued smoking and was put on follow-up.

Four years later, in April 2008, an ulcerated lesion appeared at the periphery (Figure 1D) of the cutaneous graft, close to the margin with the oral mucosa. An incisional biopsy was performed to define the nature of the ulcer, diagnosing in situ OSCC of the cutaneous graft (Figure 1E). The lesion was then completely removed.

In October 2008, a third lesion was observed, consisting of an erythroplasic area located at the right side of the skin graft, with no spatial relationship with the in situ OSCC. Five years after the first surgical resection, the patient is alive, still on follow up, and free of neoplastic disease.

Case 3

In February 2005, a 52 year old male patient, heavy smoker, presented a leucoplastic area, ulcerated in the centre, in the anterior third of the right margin of the tongue. After the histological diagnosis of invasive OSCC, radical surgical excision of the neoplastic lesion was performed together with bilateral submandibular lymph node dissection. A forearm free flap was used to reconstruct the defect of the right margin of the tongue.

In august 2009, the patient presented an exophytic lesion with focal ulceration of the cutaneous graft used. The lesion was diagnosed as squamous cell carcinoma in incisional biopsy and thereafter, it was surgically removed.

Five months after the last surgical resection the patient is alive, on follow up, and free of neoplastic disease.

MATERIALS AND METHODS

All tissues had been formalin fixed and paraffin embedded. Staging was performed according to the TNM staging system,¹⁷ grading was performed according to the criteria defined by Kademani et al.¹⁸ Moreover, in all three cases, the skin flap specimens which included the second tumours were all completely sampled for histological examination.

Microdissection and mtDNA sequencing analysis

Pertinent lesions were microdissected using the laser assisted SL µcut microtest GmbH distributed by Nikon (Firenze, Italy, <http://www.mmi-micro.com>) as previously described.¹⁹⁻²¹ Different portions of normal epithelial tissue located far away from the neoplastic lesions were dissected and used as reference DNA sequence. DNA was extracted using the QIAamp® DNA Micro kit (Qiagen, Hilden, Germany) following the manufacturer's instructions. An extraction control, to which no tissue was added, was processed in parallel with each sample extraction. The mtDNA D-loop sequence analysis was performed by amplifying four overlapping segments of about 300 bp, covering the whole region from position 16056 to position 729, according to Anderson and colleagues²² (see www.mitomap.org for revised Cambridge mtDNA reference sequence). Primers were designed using primer3 (http://www-genome.wi.mit.edu/cgi-bin/primer/primer3_www.cgi, see Table 2 for sequence details). These primers were selected to avoid amplification of human mitochondrial pseudogenes in the nuclear genome.²³ PCR products were directly sequenced using CEQ2000 XL instrument (Beckman Coulter, Inc., Fullerton, CA) following the instructions of the provider.

Phylogenetic and cluster analyses were conducted using MEGA software version 3.1¹⁹⁻²¹

(<http://www.megasoftware.net>) using the neighbour joining method (NJ)²⁴ and Kimura-2 parameter with Gamma model that corrects for multiple hits taking into account transitional and transversional substitution rates and differences in the site substitution rates.²⁵ Every NJ tree was tested for standard error by the Bootstrap method.²⁶

RESULTS

Case 1

Histology: histological examination of the tumour removed from the right mandible (indicated as T1 in the mtDNA analysis) confirmed the diagnosis of OSCC, staged T2N0M0,¹⁷ grade 3.¹⁸ Resection margins were tumour free, but a small neoplastic nest was present close (< 5 mm) to the medial margin.

The tumour of the right maxilla (indicated as T2 in the mtDNA analysis) was diagnosed as a second primary OSCC as it arose at a distance > 3 cm from the first OSCC and was staged T4N0M0,¹⁷ grade 3.¹⁸ All resection margins were tumour free (distance >5mm).

The lesion on the skin graft (indicated as T3 in the mtDNA analysis) showed the epidermis filled with atypical keratinocytes appearing as in situ squamous cell carcinoma.²⁷ In addition, nests of neoplastic cells invaded the superficial dermis. Therefore, the diagnosis of OSCC with early dermis invasion was reported. Resection margins were free of carcinoma and of dysplastic features.

mtDNA analysis: the phylogenetic neighbour joining tree revealed that the two normal epithelial samples (indicated as N1 and N2) clustered together while the three lesions should be considered independent entities with a clonal relationship between T1 (OSCC

arising in the retromolar trigone of the right mandible) and T3 (the in situ OSCC arising on the skin graft) (Figure 2).

T2 (the OSCC arising in the maxilla) appears completely separated from T1 and T3 indicating lack of clonal relationship.

Case 2

Histology: the tumour affecting the floor of the mouth (indicated as T1 in the mtDNA analysis) was a conventional type of OSCC, staged T1N0M0,¹⁷ grade 2.¹⁸ All resection margins were tumour free, with a minimum distance between the tumour and the closest margin > 5 mm.

The lesion appearing in the skin graft (T2) was characterised by the epidermis filled by atypical keratinocytes extending to the follicular infundibula replacing the follicular epithelium. This lesion met the criteria for in situ OSCC.²⁷ The resection margins were free of tumour and dysplastic lesions.

The oral mucosa adjacent to the skin graft margin (T3) was composed of atypical keratinocytes, arranged in an irregular architecture, replacing the lower two-thirds of the squamous epithelium. Therefore, the diagnosis of moderate dysplasia was performed.²⁷

mtDNA analysis: the phylogenetic neighbour joining tree showed a close genetic relationship between T1 and T2. On the contrary, the dysplastic lesion appeared in 2008 (T3) had several mutations not in common with the previous ones, a sign of wide genetic distance. As expected, in the tree, normal epithelia (N1, N2 and N3) were located far away from the four lesions (Figure 3).

Case 3

Histology: histological examination of the OSCC removed from the right margin of the tongue (indicated as T1 in the mtDNA analysis) showed features of a conventional well differentiated OSCC and no lymph node metastases were present. Thus, the tumour was staged T1N0M0,¹⁷ grade 2.¹⁸ The cancer was surrounded by an area of in situ carcinoma (T1IS). Resection margins were tumour free, however, a small neoplastic nest was present close (distance <5 mm) to the deep margin.

The histological analysis of the lesion developed in the skin graft (T2) showed the epidermis filled by atypical keratinocytes with the appearance of in situ squamous cell carcinoma.²⁷ In addition, well differentiated invasive OSCC was present.

mtDNA analysis: the phylogenetic neighbour joining tree revealed that the two normal epithelial samples (N1 and N2) were clustered together, while the two lesions can be considered independent entities. Furthermore, a moderate relationship between the T1 in situ carcinoma (T1IS) and both the in situ carcinoma (T2IS) and the OSCC (T2) and arising from the skin graft was observed (Figure 4). However, T1 (the OSCC arising in the tongue) appeared to have no clonal relationship and was divergent from the other carcinomas. This might be the consequence of new random acquired mutations during cellular proliferation.

DISCUSSION

To define a second primary OSCC, mainly clinical criteria, such as distance between the first and the second primary tumour >2 cm or time interval between the tumours >3

years, have been proposed.²⁸ However, increasing evidence suggests that in some cases, regardless of clinical characteristics, primary and second tumours may have a common clonal origin.

Several methods are nowadays available to assess the clonal relationship between two lesions. Clonal assessment by mtDNA analysis²⁹ is based on the concept that mitochondrial DNA is present in a high copy number in each cell ($10^3 - 10^4$) and that the vast majority of these copies are identical at birth (homoplasmic). In other words, neoplastic cells preserve the high copy number but show a high frequency of mutations in the mtDNA especially in the D-loop region. Coller et al.¹² demonstrated that the mtDNA D-loop region is not involved in transformation and disease progression, since it would appear that mutations in this mtDNA segment do not offer a proliferation advantage to the cell. Therefore, these acquired mutations may be considered a reliable marker to assess clonality as previously indicated.¹⁴⁻¹⁶

In all three present cases the neoplastic lesions arising in the skin graft showed a clonal relationship with the previous OSCC. Therefore, on the basis of the results obtained with mtDNA analysis, the present cases of OSCC arising in the skin graft can be considered a recurrence of the primary OSCC rather than a second primary OSCC.

However, these results seem difficult to reconcile with the clinical and histological features of these cases. All lesions arising in the skin graft were characterized by the presence of in situ OSCC. Furthermore, in cases two and three, the skin graft lesions developed more than 3 and 5 years after the removal of the first OSCC, respectively.

These observations are difficult to reconcile with the molecular analysis which shows a clonal relationship between the primary OSCC and the neoplastic lesion affecting the

graft. However, it should be kept in mind that we are dealing with skin grafted in the oral cavity and, as it is well known, skin tumour cells can spread through the epidermis, as commonly seen in Borst-Jadasson phenomenon,³⁰ horizontal growth of melanomas and Paget disease of the nipple.

All these phenomena, that are a part of routine surgical pathology, have been explained by demonstrating the capacity of epidermal keratinocytes to produce cytokines that allow and induce the cell movements.

Currently available data indicate that the skin basically maintains its morphology, even when implanted in the oral cavity. Indeed, diseases typically affecting the skin such as psoriasis³¹ and focal acantholytic dyskeratosis³² have been reported in skin grafts. Therefore, it is not implausible to think that skin grafted into the oral cavity may retain its ability to produce cytokines continuing to give its instructive signals.⁴

Taken together, our results suggest that single neoplastic cells from the original OSCC can spread laterally, reach the epidermis of the skin graft and implant there giving rise to the OSCC.

The hypothesis of an intra-epithelial spread of neoplastic keratinocytes in the oral cavity has been proposed and described as the “patch-field-carcinoma model” by Braakhuis and colleagues.⁷ Starting from a patch of genetically altered cells, through a clonal expansion in a lateral direction, a field lesion develops and gradually grows taking over the normal epithelium. Within this genetically altered field, a transforming event eventually leads to the development of a subclone with invasive growth that turns into a carcinoma.

Within this background, it is possible that after radical resection of the tumour, the genetically altered field is still present in the patient. Therefore, the field expansion

continues and may spread into the skin graft used to reconstruct the tissue defect and be the cause of a new cancer.

In conclusion, primary OSCC and tumours arising from grafted skin might develop from a common genetically altered field. Moreover, the spreading of the clonal cell population to the cutaneous flap might be stimulated by cytokines produced by the grafted skin.

More studies are needed to evaluate the molecular relationship between primary and second OSCC in order to identify those patients who are at higher risk of developing a second tumour and thus require a more accurate long-term follow-up.

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Conflict of interest statement

None declared

For Peer Review

Table 1 – Cases of carcinoma developed from skin grafts

Author [ref]	Year	N. of cases	Site of tumour	Tumour histology	Interval between grafting and recurrence
Deans et al. ³³	1990	1	Larynx	SCC	24 years
Iseli et al. ³⁴	2002	1	Larynx	SCC	27 years
Monnier et al. ³⁵	2008	2	Oral mucosa	SCC	3,5-20 years
Sa'do et al. ³⁶	1994	1	Lower gum	SCC	19 years
Sakamoto et al. ³⁷	1998	1	Hypopharynx	SCC	10 years
Scott et al. ³⁸	1992	1	Pharynx	SCC	40 years
Present cases	2009	3	Oral mucosa, tongue	SCC	2-4 years

SCC: Squamous Cell Carcinoma; RT: Radiotherapy.

Table 2 – Primers for mtDNA D-loop sequence analysis

Primer	Sequence
Primer1	CCAAGTATTGACTCACCCATCAAC
Primer2	TGTGCGGGATATTGATTTACG
Primer3	TGAAATCAATATCCCGCACA
Primer4	GGATGAGGCAGGAATCAAAG
Primer5	GAGCTCTCCATGCATTTGGT
Primer6	TGGTTAGGCTGGTGTAGGG
Primer7	CCCTAACACCAGCCTAACCA
Primer8	AGGGTGAACCTACTGGAACG

FIGURE LEGEND

Figure 1: Macroscopic view (A) and histology (B) of the primary OSCC; skin graft placed to repair the mucosal defect (C); macroscopic view (D) and histology (E) of the SCC on the skin graft.

Figure 2: Phylogenetic neighbour joining tree of case 1.

Figure 3: Phylogenetic neighbour joining tree of case 2.

Figure 4: Phylogenetic neighbour joining tree of case 3.

Acknowledgements

Work financed by grants from the Ministry of the University and Research (Fund ex 40% “Fundamental Oriented Research”) and Regione Emilia Romagna (Funds: Region - University Research Program 2007-2009, Project Oncology).

AF is supported by “Vanda Vanini e Sandro Cavagnino” grants.

For Peer Review

Macroscopic view of the primary OSCC
65x42mm (300 x 300 DPI)

Review

Histology of the primary OSCC
150x126mm (300 x 300 DPI)

Skin graft placed to repair the mucosal defect
65x45mm (300 x 300 DPI)

Review

Macroscopic view of the SCC arised on the skin graft
65x39mm (300 x 300 DPI)

Histology of the SCC arising on the skin graft
150x112mm (300 x 300 DPI)

Review

Phylogenetic neighbour joining tree of case 1
113x44mm (400 x 400 DPI)

Phylogenetic neighbour joining tree of case 2
111x50mm (400 x 400 DPI)

Phylogenetic neighbour joining tree of case 3
111x50mm (400 x 400 DPI)