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## **Semi-empirical modeling of abiotic and biotic factors controlling ecosystem respiration across eddy covariance sites**

Mirco Migliavacca, Markus Reichstein, Andrew D. Richardson, Roberto Colombo, Mark A. Sutton, Gitta Lasslop, Georg Wohlfahrt, Enrico Tomelleri, Nuno Carvalhais, Alessandro Cescatti, et al.

### ► To cite this version:

Mirco Migliavacca, Markus Reichstein, Andrew D. Richardson, Roberto Colombo, Mark A. Sutton, et al.. Semi-empirical modeling of abiotic and biotic factors controlling ecosystem respiration across eddy covariance sites. *Global Change Biology*, 2010, 17 (1), pp.390. 10.1111/j.1365-2486.2010.02243.x . hal-00599515

**HAL Id: hal-00599515**

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Submitted on 10 Jun 2011

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**Semi-empirical modeling of abiotic and biotic factors  
controlling ecosystem respiration across eddy covariance  
sites**

Journal:	<i>Global Change Biology</i>
Manuscript ID:	GCB-10-0015
Wiley - Manuscript type:	Primary Research Articles
Date Submitted by the Author:	07-Jan-2010
Complete List of Authors:	<p>Migliavacca, Mirco; University of Milano-Bicocca, Remote Sensing of Environmental Dynamics; Max Planck Institute for Biogeochemistry, Model Data Integration Group          Reichstein, Markus; Max Planck Institute for Biogeochemistry, Model Data Integration Group          Richardson, Andrew; Harvard University, Department of Organismic and Evolutionary Biology          Colombo, Roberto; University of Milano-Bicocca, Remote Sensing of Environmental Dynamics          Sutton, Mark A.; Centre for Ecology and Hydrology, Edinburgh Research Station          Lasslop, Gitta; Max Planck Institute for Biogeochemistry, Model Data Integration Group          Wohlfahrt, Georg; University of Innsbruck, Institute of Ecology          Tomelleri, Enrico; Max Planck Institute for Biogeochemistry, Model Data Integration Group          Carvalhais, Nuno; Universidade Nova de Lisboa, Faculdade de Ciências e Tecnologia; Max Planck Institute for Biogeochemistry, Model Data Integration Group          Cescatti, Alessandro; European Commission, DG-JRC, Institute for Environment and Sustainability          Mahecha, Miguel; Max Planck Institute for Biogeochemistry, Model Data Integration Group; Swiss Federal Institute of Technology-, Department of Environmental Sciences          Montagnani, Leonardo; Provincia Autonoma di Bolzano, Agenzia per l'Ambiente, Servizi Forestali          Papale, Dario; University of Tuscia, DISAFRI          Zaehle, Sönke; Max Planck Institute for Biogeochemistry, Department for Biogeochemical System          Arain, M Altaf; McMaster University, School of Geography &amp; Earth Sciences          Arneth, Almut; Lund University, 13- Department of Physical Geography and Ecosystems Analysis</p>

	<p>Black, T Andrew; University of British Columbia, Faculty of Land and Food Systems  Dore, Sabina; Northern Arizona University, School of Forestry  Gianelle, Damiano; Fondazione Edmund Mach, Centro di Ecologia Alpina  Helfter, Carole; Centre for Ecology and Hydrology, Edinburgh Research Station  Hollinger, David; USDA Forest Service, NE Research Station  Kutsch, Werner; Johann Heinrich von Thünen Institut, Institut für Agrarrelevante Klimaforschung  Law, Beverly; Oregon State University, College of Forestry  Lafleur, Peter M; Trent University, 20- Department of Geography  Nouvellon, Yann; CIRAD, Persyst  Rebmann, Corinna; Max-Planck Institute for Biogeochemistry, Biogeochemical Processes; University of Bayreuth, Department of Micrometeorology  da Rocha, Humberto; Universidade de São Paulo, Dept. of Atmospheric Sciences  Rodeghiero, Mirco; Fondazione Edmund Mach, Centro di Ecologia Alpina  Olivier, Roupsard; CIRAD, Persyst; Centro Agronómico Tropical de Investigación y Enseñanza, CATIE  Sebastià, Maria-Teresa; University of Lleida, Agronomical Engineering School; Forest Technology Centre of Catalonia, Laboratory of Plant Ecology and Botany  Seufert, Guenther; Institute for Environment and Sustainability, European Commission, DG-JRC  Soussana, Jean-Francoise; Institut National de la Recherche Agronomique  van der Molen, Michiel K; University de Boeleaan, Department of Hydrology and Geo-Environmental Sciences</p>
Keywords:	Ecosystem Respiration, Productivity, FLUXNET, Eddy Covariance, Leaf Area Index, Inverse Modeling
Abstract:	<p>In this study we examined ecosystem respiration (RECO) data from 104 sites belonging to FLUXNET, the global network of eddy covariance flux measurements. The main goal was to identify the main factors involved in the variability of RECO: temporally and between sites as affected by climate, vegetation structure and plant functional type (PFT) (evergreen needleleaf, grasslands, etc.). We demonstrated that a model using only climate drivers as predictors of RECO failed to describe part of the temporal variability in the data and that the dependency on gross primary production (GPP) needed to be included as an additional driver of RECO. The maximum seasonal leaf area index (LAIMAX) had an additional effect that explained the spatial variability of reference respiration (the respiration at reference temperature <math>T_{ref}=15^{\circ}\text{C}</math>, without stimulation introduced by photosynthetic activity and without water limitations), with a statistically significant linear relationship (<math>r^2=0.52</math> <math>p&lt;0.001</math>, <math>n=104</math>) even within each PFT. Besides LAIMAX, we found that the reference respiration may be explained partially by total soil carbon content. For undisturbed temperate and boreal forest a negative control of the total nitrogen deposition on the reference respiration was also identified. We developed a new semi-empirical model incorporating abiotic factors (climate), recent productivity (daily GPP), general site productivity and canopy structure (LAIMAX) which performed well in predicting the spatio-temporal variability of RECO, explaining &gt;70% of the variance for most vegetation types. Exceptions include tropical and Mediterranean broadleaf forests and deciduous</p>

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	broadleaf forests. Part of the variability in respiration that could not be described by our model could be attributed to a range of factors, including phenology in deciduous broadleaf forests and management practices in grasslands and croplands.



For Review Only

## 1 Semi-empirical modeling of abiotic and biotic factors controlling ecosystem 2 respiration across eddy covariance sites

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Mirco Migliavacca<sup>1,2</sup>, Markus Reichstein<sup>2</sup>, Andrew D. Richardson<sup>3</sup>, Roberto Colombo<sup>1</sup>, Mark A. Sutton<sup>4</sup>, Gitta Lasslop<sup>2</sup>, Georg Wohlfahrt<sup>5</sup>, Enrico Tomelleri<sup>2</sup>, Nuno Carvalhais<sup>6,2</sup>, Alessandro Cescatti<sup>7</sup>, Miguel D. Mahecha<sup>2,8</sup>, Leonardo Montagnani<sup>9</sup>, Dario Papale<sup>10</sup>, Sönke Zaehle<sup>11</sup>, Altaf Arain<sup>12</sup>, Almut Arneth<sup>13</sup>, T. Andrew Black<sup>14</sup>, Sabina Dore<sup>15</sup>, Damiano Gianelle<sup>16</sup>, Carole Helfter<sup>4</sup>, David Hollinger<sup>17</sup>, Werner L. Kutsch<sup>18</sup>, Beverly E. Law<sup>19</sup>, Peter M. Lafleur<sup>20</sup>, Yann Nouvellon<sup>21</sup>, Corinna Rebmann<sup>22,23</sup>, Humberto Ribeiro da Rocha<sup>24</sup>, Mirco Rodeghiero<sup>16</sup>, Olivier Roupsard<sup>21,25</sup>, Maria-Teresa Sebastião<sup>26,27</sup>, Guenther Seufert<sup>7</sup>, Jean-Francoise Soussana<sup>28</sup>, Michiel K. van der Molen<sup>29</sup>

- 1- Remote Sensing of Environmental Dynamics Laboratory, DISAT, University of Milano-Bicocca, Milano, Italy.
- 2- Model Data Integration Group, Max Planck Institute for Biogeochemistry, Jena, Germany.
- 3- Department of Organismic and Evolutionary Biology, Harvard University, Cambridge MA, USA.
- 4- Centre for Ecology and Hydrology, Edinburgh Research Station, Bush Estate, Penicuik, Midlothian, Scotland, EH26 0QB, UK.
- 5- Institut für Ökologie, Universität Innsbruck, Innsbruck, Austria.
- 6- Faculdade de Ciências e Tecnologia, FCT, Universidade Nova de Lisboa, 2829-516, Caparica, Portugal.
- 7- European Commission, DG-JRC, Institute for Environment and Sustainability, Climate Change Unit, Via Enrico Fermi 2749, T.P. 050, 21027 Ispra (VA), Italy.
- 8- Department of Environmental Sciences, Swiss Federal Institute of Technology-ETH Zurich, 8092 Zurich, Switzerland.
- 9- Agenzia Provinciale per l'Ambiente, Via Amba-Alagi 5, 39100 Bolzano, Italy.
- 10-DISAFRI, University of Tuscia, via C. de Lellis, 01100 Viterbo Italy.
- 11-Department for Biogeochemical System, Max Planck Institute for Biogeochemistry, Jena, Germany.
- 12-McMaster University, School of Geography & Earth Sciences, 1280 Main Street West, Hamilton, ON, L8S 4K1, Canada.
- 13-Department of Physical Geography and Ecosystems Analysis, Lund University, Sölvegatan 12, SE-223 62 Lund, Sweden.
- 14-Faculty of Land and Food Systems, University of British Columbia, Vancouver, BC, Canada.
- 15-Department of Biological Sciences and Merriam-Powell Center for Environmental Research, Northern Arizona University, Flagstaff, Arizona, USA.
- 16-IASMA Research and Innovation Centre, Fondazione E. Mach, Environment and Natural Resources Area, San Michele all'Adige, I-38040 Trento, Italy
- 17-USDA Forest Service, NE Research Station, Durham, NH, USA
- 18-Johann Heinrich von Thünen Institut (vTI), Institut für Agrarrelevante Klimaforschung, Braunschweig, Germany
- 19-College of Forestry, Oregon State University, 97331-5752 Corvallis, OR, USA
- 20-Department of Geography, Trent University, Peterborough, ON K 9J 7B8, Canada.
- 21-CIRAD, Persyst, UPR80, TA10/D, 34398 Montpellier Cedex 5, France.
- 22-University of Bayreuth, Department of Micrometeorology, Bayreuth, Germany.
- 23-Max Planck Institute for Biogeochemistry, Jena, Germany.
- 24-Departamento de Ciências Atmosféricas/IAG/Universidade de São Paulo, Rua do Matão, 1226 - Cidade Universitária - São Paulo, SP - Brasil.

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- 51 25- CATIE, Centro Agronómico Tropical de Investigación y Enseñanza, Turrialba Costa Rica.  
52 26- Laboratory of Plant Ecology and Botany. Forest Technology Centre of Catalonia, Solsona,  
53 Spain.  
54 27- Agronomical Engineering School, University of Lleida, E-25198 Lleida, Spain.  
55 28- INRA, Institut National de la Recherche Agronomique, Paris, France.  
56 29- Department of Hydrology and Geo-Environmental Sciences, VU-University, de Boeleaan  
57 1085, 1081 HV Amsterdam, The Netherlands.

59 Corresponding author:

60 Mirco Migliavacca

61 Remote Sensing of Environmental Dynamics

62 Laboratory, DISAT, University of Milano-Bicocca, P.zza della Scienza 1, 20126

63 Milan, Italy. Tel.: +39 0264482848; fax: +39 0264482895.

64 E-mail address: m.migliavacca1@campus.unimib.it

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## Abstract

In this study we examined ecosystem respiration ( $R_{\text{ECO}}$ ) data from 104 sites belonging to FLUXNET, the global network of eddy covariance flux measurements. The main goal was to identify the main factors involved in the variability of  $R_{\text{ECO}}$ : temporally and between sites as affected by climate, vegetation structure and plant functional type (PFT) (evergreen needleleaf, grasslands, etc.).

We demonstrated that a model using only climate drivers as predictors of  $R_{\text{ECO}}$  failed to describe part of the temporal variability in the data and that the dependency on gross primary production (GPP) needed to be included as an additional driver of  $R_{\text{ECO}}$ . The maximum seasonal leaf area index ( $\text{LAI}_{\text{MAX}}$ ) had an additional effect that explained the spatial variability of reference respiration (the respiration at reference temperature  $T_{\text{ref}}=15^{\circ}\text{C}$ , without stimulation introduced by photosynthetic activity and without water limitations), with a statistically significant linear relationship ( $r^2=0.52$   $p<0.001$ ,  $n=104$ ) even within each PFT. Besides  $\text{LAI}_{\text{MAX}}$ , we found that the reference respiration may be explained partially by total soil carbon content. For undisturbed temperate and boreal forest a negative control of the total nitrogen deposition on the reference respiration was also identified.

We developed a new semi-empirical model incorporating abiotic factors (climate), recent productivity (daily GPP), general site productivity and canopy structure ( $\text{LAI}_{\text{MAX}}$ ) which performed well in predicting the spatio-temporal variability of  $R_{\text{ECO}}$ , explaining  $>70\%$  of the variance for most vegetation types. Exceptions include tropical and Mediterranean broadleaf forests and deciduous broadleaf forests. Part of the variability in respiration that could not be described by our model could be attributed to a range of factors, including phenology in deciduous broadleaf forests and management practices in grasslands and croplands.

Keywords: Ecosystem Respiration, Productivity, FLUXNET, Eddy Covariance, Leaf Area Index, Inverse Modeling

## Introduction

Respiration of terrestrial ecosystems ( $R_{\text{ECO}}$ ) is one of the major fluxes in the global carbon cycle and its responses to environmental change is important for understanding climate-carbon cycle interactions (e.g. Cox *et al.*, 2000, Houghton *et al.*, 1998). It has been hypothesized that relatively



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3 100 small climatic changes may impact respiration with the effect of rivalling the annual fossil fuel  
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5 101 loading of atmospheric CO<sub>2</sub> (Jenkinson *et al.*, 1991, Raich & Schlesinger, 1992).

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7 102 Recently, efforts have been made to mechanistically understand how temperature and other  
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9 103 environmental factors affect ecosystem and soil respiration, and various modeling approaches have  
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11 104 been proposed (e.g. Davidson *et al.*, 2006a, Lloyd & Taylor, 1994, Reichstein & Beer, 2008,  
12 105 Reichstein *et al.*, 2003a). Nevertheless, the description of the conceptual processes and the complex  
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14 106 interactions controlling R<sub>ECO</sub> are still under intense research and this uncertainty is still hampering  
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16 107 bottom-up scaling to larger spatial scales (e.g. regional and continental) which is one of the major  
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18 108 challenges for biogeochemists and climatologists.

19 109 Heterotrophic and autotrophic respiration in both data-oriented and process-based  
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21 110 biogeochemical models are usually described as a function of air or soil temperature and  
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23 111 occasionally soil water content (e.g. Lloyd & Taylor, 1994, Reichstein *et al.*, 2005, Thornton *et al.*,  
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25 112 2002), although the functional form of these relationships varies from model to model. These  
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27 113 functions represent the dominant role of reaction kinetics, possibly modulated or confounded by  
28 114 other environmental factors such as soil water content or precipitation, which some model  
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30 115 formulations include as a secondary effect (e.g. Carlyle & Ba Than, 1988, Reichstein *et al.*, 2003a,  
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32 116 Richardson *et al.*, 2006).

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34 117 A large number of statistical, climate-driven models of ecosystem and soil respiration have been  
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36 118 tested and compared using data from individual sites (Del Grosso *et al.*, 2005, Janssens &  
37 119 Pilegaard, 2003, Richardson & Hollinger, 2005, Savage *et al.*, 2009), multiple sites (Falge *et al.*,  
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39 120 2001, Rodeghiero & Cescatti, 2005), and from a wide range of models compared across different  
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41 121 ecosystem types and measurement techniques (Richardson *et al.*, 2006).

42 122 Over the course of the last decades, the scientific community has debated the role of productivity  
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44 123 in determining ecosystem and soil respiration. Several authors (Bahn *et al.*, 2008, Curiel Yuste *et*  
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46 124 *al.*, 2004, Davidson *et al.*, 2006a, Janssens *et al.*, 2001, Reichstein *et al.*, 2003a, Valentini *et al.*,  
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48 125 2000) have discussed and clarified the role of photosynthetic activity, vegetation productivity and  
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50 126 their relationship with respiration.

51 127 Linking photosynthesis and respiration might be of particular relevance when modelling R<sub>ECO</sub>  
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53 128 across biomes or at the global scale. Empirical evidence for the link between GPP and R<sub>ECO</sub> is  
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55 129 reported for most, if not all, ecosystems: grassland (e.g. Bahn *et al.*, 2008, Bahn *et al.*, 2009, Craine  
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57 130 *et al.*, 1999, Hungate *et al.*, 2002), crops (e.g. Kuzyakov & Cheng, 2001, Moyano *et al.*, 2007),  
58 131 boreal forests (Gaumont-Guay *et al.*, 2008, Hogberg *et al.*, 2001) and temperate forests, both  
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60 132 deciduous (e.g. Curiel-Yuste *et al.*, 2004, Liu *et al.*, 2006) and evergreen (e.g. Irvine *et al.*, 2005).



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3 133 Moreover, several authors have found a time lag between productivity and respiration response.  
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5 134 This time lag depends to the vegetation structure it is related to the translocation time of assimilates  
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7 135 from aboveground to belowground organs through the phloem. Although the existence of a time lag  
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9 136 is still under debate, it has been found to be a few hours in grasslands, and croplands and a few  
10 137 days in forests (Baldocchi *et al.*, 2006, Knohl & Buchmann, 2005, Moyano *et al.*, 2008, Savage *et*  
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12 138 *al.*, 2009).

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14 139 While the link between productivity and respiration appears to be clear, to our knowledge, few  
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16 140 model formulations include the effect of productivity or photosynthesis as a biotic driver of  
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18 141 respiration and these models are mainly developed for the simulation of soil respiration using a  
19 142 relatively small data set of soil respiration measurements (e.g. Hibbard *et al.*, 2005, Reichstein *et*  
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21 143 *al.*, 2003a).

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23 144 In this context, the increasing availability of ecosystem carbon, water and energy flux  
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25 145 measurements collected by means of the eddy covariance technique (e.g. Baldocchi, 2008) over  
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27 146 different plant functional types (PFTs) at more than 400 research sites, represents an useful tool for  
28 147 understanding processes and interactions behind carbon fluxes and ecosystem respiration. These  
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30 148 data serve as a backbone for bottom-up estimates of continental carbon balance components (e.g.  
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32 149 Ciais *et al.*, 2005, Papale & Valentini, 2003, Reichstein *et al.*, 2007) and for ecosystem model  
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34 150 development, calibration and validation (e.g. Baldocchi, 1997, Hanson *et al.*, 2004, Law *et al.*,  
35 151 2000, Owen *et al.*, 2007, Reichstein *et al.*, 2003b, Reichstein *et al.*, 2002, Verbeeck *et al.*, 2006).  
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37 152 The database includes a number of added products such as gap-filled net ecosystem exchange  
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39 153 (NEE), gross primary productivity (GPP), ecosystem respiration ( $R_{ECO}$ ) and meteorological drivers  
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41 154 (air temperature, radiation, precipitation etc) aggregated at different time-scale (e.g. half-hourly,  
42 155 daily, annual) and consistent for data treatment (Papale *et al.*, 2006, Reichstei *et al.*, 2005)

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44 156 In this paper we analyze with a semi-empirical modeling approach the  $R_{ECO}$  at 104 different sites  
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46 157 belonging to the FLUXNET database with the primary objective of synthesizing and identifying the  
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48 158 main factors controlling *i*) the temporal variability of  $R_{ECO}$ , *ii*) the between-site (spatial) variability  
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50 159 and *iii*) to provide a model which can be used for diagnostic up-scaling of  $R_{ECO}$  from eddy  
51 160 covariance flux sites to large spatial scales.

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53 161 Specifically, the analysis and the model development followed these two steps:

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55 162 1. we developed a semi-empirical  $R_{ECO}$  model site by site (site-by-site analysis) with the aim of  
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57 163 clarifying if and how GPP should be included into a model for improving the description of  
58 164  $R_{ECO}$  and which factors are best suited for describing the spatial variability of reference  
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60 165 respiration (i.e. the daily  $R_{ECO}$  at the reference temperature without moisture limitations).

166 We follow these three steps:

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3 167           ○ the analysis of  $R_{\text{ECO}}$  data was conducted by using a purely climate driven model: ‘*TP*  
4            *Model*’ (Raich et al., 2002). The accuracy of the model and the main bias were  
5 168            analyzed and discussed;  
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9 170           ○ we evaluated the inclusion of biotic factors (i.e. GPP) as drivers of  $R_{\text{ECO}}$ . A range of  
10 171            different model formulations, which differ mainly in regard to the functional  
11            responses of  $R_{\text{ECO}}$  to photosynthesis, were tested in order to identify the best model  
12 172            formulation for the daily description of  $R_{\text{ECO}}$  at each site;  
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16 174           ○ we analyzed variability of the reference respiration estimated at each site with the  
17 175            aim of identifying, among the different site characteristics, one or more predictors of  
18            the spatial variability of this crucial parameter. This can be extremely useful for the  
19 176            application of the model at large spatial scale;  
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23 178           2. we optimized the developed model for each PFT (PFT analysis) with the aim of generalizing  
24            the model parameters in a way that can be useful for diagnostic, PFT-based, up-scaling of  
25 179             $R_{\text{ECO}}$ . The accuracy of the model was assessed by a cross-validation technique and the main  
26 180            weak points of model were critically evaluated and discussed.  
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## 31 183 **Material and Methods**

### 32 184 **Data set**

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39 187           The data used in this analysis is based on the dataset from the FLUXNET ([www.fluxdata.org](http://www.fluxdata.org))  
40            eddy covariance network (Baldocchi, 2008, Baldocchi *et al.*, 2001). The analysis was restricted to  
41 188            104 sites (cf. Table in Appendix I and II) on the basis of the ancillary data availability (i.e. only  
42 189            sites containing at least both leaf area index (LAI) of understorey and overstorey were selected) and  
43 190            of the time series length (all sites containing at least one year of carbon fluxes and meteorological  
44 191            data of good quality data were used). Further, we only analyzed those sites for which the relative  
45            standard error of the estimates of the model parameters  $E_0$  (activation energy) and reference  
46 192            respiration ( $R_0$ ) (please see further sections for more details on the meaning of parameters) were  
47            less than 50% and where  $E_0$  estimates were within an acceptable range (0–450 K).  
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55 196           The latitude spans from 71.32° at the Alaska Barrow site (US-Brw) to -21.62° at the Sao Paulo  
56 197            Cerrado (BR-Sp1). The climatic regions include tropical to arctic.  
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59 198           All the main PFTs as defined by the IGBP (International Geosphere-Biosphere Programme)  
60 199            were included in this study: the selected sites included 28 evergreen needleleaf forests (ENF), 17

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3 200 deciduous broadleaf forests (DBF), 16 grasslands (GRA), 11 croplands (CRO), 8 mixed forests  
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5 201 (MF), 5 savannas (SAV), 9 shrublands (SHB), 7 evergreen broadleaved forests (EBF) and 3  
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7 202 wetlands (WET). Due to limited number of sites and their similarity, the class SAV included both  
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9 203 the sites classified as savanna (SAV) and woody savannas (WSA), while the class SHB included  
10  
11 204 both the open (OSH) and closed (CSH) shrubland sites. For abbreviations and symbols refer to  
12  
13 205 Appendix III.

14  
15 206 Daily  $R_{ECO}$ , GPP and the associated uncertainties of NEE data, together with daily  
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17 207 meteorological data such as mean air temperature ( $T_A$ ) and 30-day precipitation running average  
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19 208 (P), were downloaded from the FLUXNET database.

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21 209 At each site data are storage corrected, spike filtered,  $u_*$ -filtered according to Papale et al. (2006)  
22  
23 210 and subsequently gap-filled and partitioned as described by Reichstein et al. (2005). Only days  
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25 211 containing both meteorological and daily flux data with a percentage of gap-filled half hours below  
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27 212 15% were used for this analysis. The median of the  $u_*$  threshold applied in the FLUXNET database  
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29 213 for the site-years used in the analysis are listed in the Appendix II. The average of the median  $u_*$   
30  
31 214 values are lower for short canopies (e.g. for grasslands  $0.075 \pm 0.047 \text{ ms}^{-1}$ ) and higher for tall  
32  
33 215 canopies (e.g. for evergreen needleleaf forests  $0.221 \pm 0.115 \text{ ms}^{-1}$ ).

34  
35 216 Along with fluxes and meteorological data, main ancillary data such as maximum ecosystem  
36  
37 217 LAI (overstory and understory for forest sites) ( $LAI_{MAX}$ ), LAI of overstory ( $LAI_{MAX,o}$ ), stand age  
38  
39 218 for forests (StandAge), total soil carbon stock (SoilC) and the main information about disturbance  
40  
41 219 (date of cuts, harvesting) were also downloaded from the database. Total atmospheric nitrogen  
42  
43 220 deposition ( $N_{depo}$ ) is based on the atmospheric chemistry transport model *TM3* (Rodhe et al., 2002)  
44  
45 221 and calculated at  $1^\circ \times 1^\circ$  resolution. These data are grid-average downward deposition velocities and  
46  
47 222 do not account for vegetation effects. The data used for the selected sites are shown in the Appendix  
48  
49 223 II.

## 48 225 **Development of the ecosystem respiration model**

### 51 227 *Site-by-site analysis – TP Model description*

52  
53 228 For the analysis of  $R_{ECO}$  we started from a widely used climate-driven model: ‘*TP Model*’ (Eq. 1)  
54  
55 229 proposed by Raich et al. (2002) and further modified by (Reichstein *et al.*, 2003a). Here we used the  
56  
57 230 ‘*TP Model*’ for the simulation of  $R_{ECO}$  at the daily time-step using as abiotic drivers daily  $T_A$  and P:  
58  
59 231

$$232 \quad R_{ECO} = R_{ref} \cdot f(T_A) \cdot f(P) \quad (1)$$

where  $R_{\text{ref}}$  ( $\text{gC m}^{-2}\text{day}^{-1}$ ) is the ecosystem respiration at the reference temperature ( $T_{\text{ref}}$ , K) without water limitations.  $f(T_A)$  and  $f(P)$  are functional responses of  $R_{\text{ECO}}$  to air temperature and precipitation, respectively.

Here temperature dependency  $f(T_A)$  is changed from the  $Q_{10}$  model to an Arrhenius type equation (Eq. 2).  $E_0$  (K) is the activation energy parameter and represents the ecosystem respiration sensitivity to temperature,  $T_{\text{ref}}$  is fixed at 288.15 K (15°C) and  $T_0$  is fixed at 227.13 K (-46.02°C):

$$f(T_A) = e^{E_0 \left( \frac{1}{T_{\text{ref}} - T_0} - \frac{1}{T_A - T_0} \right)} \quad (2)$$

We refine the approach of Reichstein *et al.* (2003) and propose a reformulation of the response of  $R_{\text{ECO}}$  to precipitation (Eq. 3), where  $k$  (mm) is the half saturation constant of the hyperbolic relationship and  $\alpha$  is the response of  $R_{\text{ECO}}$  to null P.

$$f(P) = \frac{\alpha k + P(1 - \alpha)}{k + P(1 - \alpha)} \quad (3)$$

Although soil water content is widely recognized as the best descriptor of soil water availability, we preferred to use precipitation since the model developed is oriented to up-scaling and soil water maps are more affected by uncertainty than precipitation maps.

The model parameters –  $R_{\text{REF}}$ ,  $E_0$ ,  $\alpha$ ,  $k$  - were estimated for each site in order to evaluate the accuracy of the climate-driven model. At each site the Pearson's correlation coefficient ( $r$ ) between 'TP Model' residuals ( $R_{\text{ECO}}$  observed minus  $R_{\text{ECO}}$  modelled) and GPP was also computed.

#### Site-by-site analysis - Effect of productivity on the temporal variability of $R_{\text{ECO}}$

The role of GPP, as an additional biotic driver of  $R_{\text{ECO}}$  that has been included into Eq. 1, was analysed at each site using three different formulations of the dependency of ecosystem respiration on productivity  $f(\text{GPP})$ :

Linear response:  $f(\text{GPP}) = k_2 \cdot \text{GPP} \quad (4)$

Exponential response:  $f(\text{GPP}) = R_2 \cdot (1 - e^{-k_2 \cdot \text{GPP}}) \quad (5)$

Michaelis-Menten:  $f(\text{GPP}) = \frac{R_{\text{max}} \cdot \text{GPP}}{h_{R_{\text{max}}} + \text{GPP}} \quad (6)$

1  
2  
3 265 Beside the linear dependency the exponential and Michaelis-Menten responses were tested.  
4  
5 266 According to different authors (e.g. Hibbard et al., 2005, Reichstein et al., 2007) we hypothesized  
6  
7 267 that respiration might saturate at high productivity rates in a similar way to the Michaelis-Menten  
8  
9 268 enzyme kinetics. This saturation can also occur by a transition of carbon limitation to other  
10  
11 269 limitations. The exponential curve was used as another formulation of a saturation effect.

12 270 We tested two different schemes for the inclusion of  $f(\text{GPP})$  (Eqs. 4, 5, 6) in the '*TP Model*'  
13  
14 271 (Eq.1):

15  
16 272  
17  
18 273 1)  $f(\text{GPP})$  was included by replacing the reference respiration at reference temperature  
19 274 ( $R_{\text{ref}}$  in Eq. 1) with the sum of a new reference respiration ( $R_0$ ) and the  $f(\text{GPP})$ :

$$20 \quad R_{\text{ref}} = R_0 + f(\text{GPP}) \quad (7)$$

21 275  
22  
23 276 2)  $f(\text{GPP})$  was included as an additive effect into the '*TP Model*'. In this case one part  
24  
25 277 of ecosystem respiration is purely driven by biotic factors (e.g. independent from  
26  
27 278 temperature) and the other one by abiotic ones.

28  
29 279  
30 280 In Table 1,  $R_0$  is the new reference respiration term (i.e. ecosystem respiration at  $T_{\text{ref}}$ , when the  
31  
32 281 GPP is null and the ecosystem is well watered). This quantity is considered to be an indicator of the  
33  
34 282 ecosystem respiration of the site, strictly related to site conditions, history and characteristics, while  
35  
36 283  $k_2$ ,  $R_2$ ,  $R_{\text{max}}$  and  $h_{R_{\text{max}}}$  describe the assumed functional response to GPP.

### 37 284 38 39 285 [TABLE1] 40

41 286  
42  
43 287 The model parameters -  $R_0$ ,  $E_0$ ,  $\alpha$ ,  $k$  and the parameters of  $f(\text{GPP})$  - were estimated for each site  
44  
45 288 in order to evaluate which model formulation best describes the temporal variability of  $R_{\text{ECO}}$ .

46 289 With the aim of confirming the existence of a time lag between photosynthesis and the  
47  
48 290 respiration response we ran the model with different time lagged GPP time-series ( $\text{GPP}_{\text{lag},i}$ ), starting  
49  
50 291 from the GPP estimated on the same day ( $\text{GPP}_{\text{lag},0}$ ), and considering daily increments back to GPP  
51  
52 292 estimated one week before the measured  $R_{\text{ECO}}$  ( $\text{GPP}_{\text{lag},7}$ ).

53 293 GPP and  $R_{\text{ECO}}$  estimated with the partitioning method used in the FLUXNET database are  
54  
55 294 derived from the same data (i.e.  $\text{GPP} = R_{\text{ECO}} - \text{NEE}$ ) and this may to some extent introduce spurious  
56  
57 295 correlation between these two variables. In literature two different positions on that can be found:  
58  
59 296 Vickers *et al.*, (2009) argue that there is a spurious correlation between GPP and  $R_{\text{ECO}}$  when these  
60  
297 component fluxes are jointly estimated from the measured NEE (i.e. as estimated in the FLUXNET  
298 database). Lasslop *et al.*, 2009 demonstrated that, when using daily sums or further aggregated data,

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2  
3 299 self-correlation is important because of the error in  $R_{\text{ECO}}$  rather than because  $R_{\text{ECO}}$  being a shared  
4  
5 300 variable for the calculation of GPP.

6  
7 301 Lasslop *et al.*, 2010 further suggested a ‘quasi’-independent GPP and  $R_{\text{ECO}}$  estimates ( $\text{GPP}_{\text{LASS}}$   
8  
9 302 and  $R_{\text{ECO-LASS}}$ ). The method by Lasslop *et al.*, (2010) do not compute GPP as a difference, but  
10  
11 303 derive  $R_{\text{ECO}}$  and GPP from quasi-disjoint NEE data subsets. Hence, if existing, spurious correlations  
12  
13 304 is minimized.

14 305 To understand whether our results are affected or not by the ‘spurious’ correlation between GPP  
15  
16 306 and  $R_{\text{ECO}}$  estimated in FLUXNET, we also performed the analysis using the GPP and  $R_{\text{ECO}}$   
17  
18 307 estimated by the partitioning method of Lasslop *et al.*, (2010). The details of the analysis are  
19  
20 308 described in the Appendix IV. The results obtained confirmed (Appendix IV) that the data  
21  
22 309 presented and discussed in follow are not influenced by the possible ‘spurious’ correlation between  
23  
24 310  $R_{\text{ECO}}$  and GPP reported in the FLUXNET data set.

#### 25 311 26 312 *Site-by-site analysis – Spatial variability of reference respiration ( $R_0$ )*

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30 314 Once the best model formulation was defined, we analyzed the site-by-site (i.e. spatial)  
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32 315 variability of  $R_0$ : the relationships between the estimated  $R_0$  at each site and site-specific ancillary  
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34 316 data were tested, including  $\text{LAI}_{\text{MAX}}$ ,  $\text{LAI}_{\text{MAX,o}}$ ,  $N_{\text{depo}}$ , SoilC and Age. Leaf mass per unit area and  
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36 317 aboveground biomass were not considered because these are rarely reported in the database for the  
37  
38 318 sites studied and poorly correlated with spatial variability of soil respiration, as reported by  
39  
40 319 Reichstein *et al.* (2003a). In this analysis the sites with incomplete site characteristics were removed  
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42 320 (Age was considered only for the analysis of forest ecosystems). On the basis of this analysis the  
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44 321 model was reformulated by adding the explicit dependency of  $R_0$  on the site characteristics that best  
45  
46 322 explained its variability.

#### 47 323 48 324 *PFT-Analysis*

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51 326 In this phase we tried to generalize the model parameters in order to obtain a parameterization  
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53 327 useful for diagnostic PFT-based up-scaling. For this reason model parameters were estimated  
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55 328 including all the sites for each PFT at the same time. The dependency of  $R_0$  was prescribed as a  
56  
57 329 function of site characteristics that best explain the spatial  $R_0$  variability within each PFT class.

58 330 The model was corroborated with two different cross-validation methods:  
59  
60 331



- 1) Training/evaluation splitting cross-validation: one site at a time was excluded using the remaining subset as the training set and the excluded one as the validation set. The model was fitted against each training set and the resulting parameterization was used to predict the  $R_{ECO}$  of the excluded site.
- 2)  $k$ -fold cross-validation: the whole data set for each PFT was divided into  $k$  randomly selected subsets ( $k=15$ ) called a fold. The model is fitted against  $k-1$  remaining folds (training set) while the excluded fold (validation set) was used for model evaluation. The cross-validation process was then repeated  $k$  times, with each of the  $k$  folds used exactly once as the validation set.

For each validation set of the cross-validated model statistics were calculated (see ‘Statistical Analysis’ section). Finally, for each PFT we averaged the cross-validated statistics to produce a single estimation of model accuracy in prediction.

## Statistical analysis

### *Model parameters estimates*

Model parameters were estimated using the Levenberg-Marquardt method, implemented in the data analysis package “PV-WAVE 8.5 advantage” (Visual Numerics, 2005), a non-linear regression analysis that optimize model parameters finding the minimum of a defined cost function. The cost function used here is the sum of squared residuals weighted for the uncertainty of the observation (e.g. Richardson et al., 2005). The uncertainty used here is an estimate of the random error associated with the night-time fluxes (from which  $R_{ECO}$  is derived).

Model parameter standard errors were estimated using a bootstrapping algorithm with  $N=500$  random re-sampling with replacement of the dataset. As described by Efron and Tibshirani (1993), the distribution of parameter estimates obtained provided an estimate of the distribution of the true model parameters.

### *Best model formulation selection*

For the selection of the ‘best’ model from among the six different formulations listed in Table 1 and the ‘*TP Model*’ we used the approach of the information criterion developed by Akaike (1973) which is considered a useful metric for model selection (Anderson *et al.*, 2000, Richardson *et al.*,



2006). In this study the Consistent Akaike Information Criterion (cAIC, eq. 8) was preferred to the AIC because the latter is biased with large datasets (Shono, 2005) tending to select more complicated models (e.g. many explanatory variables exist in regression analysis):

$$cAIC = -2\log L(\Theta) + p[\log(n) + 1] \quad (8)$$

where  $L(\Theta)$  is the within samples residual sum of squares,  $p$  is the number of unknown parameters and  $n$  is the number of data (i.e. sample size). Essentially, when the dimension of the data set is fixed, cAIC is a measure of the trade-off between the goodness of fit (model explanatory power) and model complexity (number of parameters), thus cAIC selects against models with an excessive number of parameters. Given a data set, several competing models (e.g. different model formulations proposed in Table 1) can be ranked according to their cAIC, with the formulation having the lowest cAIC being considered the best according to this approach.

For the selection of the best set of predictive variables of  $R_0$  we used the stepwise AIC, a multiple regression method for variable selection based on the AIC criterion (Venables & Ripley, 2002, Yamashita *et al.*, 2007). The stepwise AIC was preferred to other stepwise methods for variable selection since can be applied to non normally distributed data (Yamashita *et al.*, 2007).

#### *Evaluation of model accuracy*

Model accuracy was evaluated by means of different statistics according to Janssen and Heuberger (1995): RMSE (Root Mean Square Error), EF (modelling efficiency), determination coefficient ( $r^2$ ) and MAE (Mean Absolute Error). In particular EF is a measure of the coincidence between observed and modelled data and it is sensitive to systematic deviation between model and observations. EF can range from  $-\infty$  to 1. An EF of 1 corresponds to a perfect agreement between model and observation. An EF of 0 ( $EF = 0$ ) indicates that the model is as accurate as the mean of the observed data, whereas a negative EF means that observed mean is a better predictor than the model. In the PFT-analysis for each validation set the cross-validated statistics were calculated. The average of cross-validated statistics were calculated for each PFT both for the training/evaluation splitting ( $EF_{cv}$ ,  $RMSE_{cv}$ ,  $r^2_{cv}$ ) and for the  $k$ -fold cross-validation ( $EF_{kfold-cv}$ ,  $RMSE_{kfold-cv}$ ,  $r^2_{kfold-cv}$ ).

## Results

### Site-by-Site analysis

#### *TP Model Results*

The RMSE and EF obtained with '*TP Model*' fitting (Table 2) showed a within-PFT-average EF ranging from 0.38 for SAV to 0.71 for ENF and an RMSE ranging from 0.67 for SHB to 1.55 gC m<sup>-2</sup> d<sup>-1</sup> for CRO.

#### [TABLE 2]

The importance of productivity is highlighted by residual analysis. A significant positive correlation between the '*TP Model*' residuals ( $z$ ) and the GPP was observed with a systematic underestimation of respiration when the photosynthesis (i.e. GPP) was intense.

In Fig. 1a, the mean  $r$  between the residuals and GPP for each PFT as a function of the time lag is summarised.

The lowest correlation was observed for wetlands ( $r=0.29\pm0.14$ ). The mean  $r$  is higher for herbaceous ecosystems such as grasslands and croplands ( $0.55\pm0.11$  and  $0.63\pm0.18$ , respectively) than for forest ecosystems (ENF, DBF, MF, EBF) which behaved in the same way (Fig. 1a), with a  $r$  ranging from  $0.35\pm0.13$  for ENF to  $0.45\pm0.13$  for EBF. No time lag was observed with the residuals analysis.

#### *Gross Primary Production as driver of $R_{ECO}$*

The effect of GPP as an additional driver of  $R_{ECO}$  was analyzed at each site by testing 6 different models with the three different functional responses (Eqs. 4, 5 and 6) of respiration to GPP (Tab. 1). The model ranking based on the cAIC calculated for each different model formulation at each site showed agreement in considering the models using the linear dependency of  $R_{ECO}$  on GPP ('LinGPP') as the best model formulation (Tab. 2), since the cAICs obtained with 'LinGPP' were lower than those obtained with all the other formulations. This model ranking was also maintained when analysing each PFT separately, except for croplands in which the 'addLinGPP' formulation provided the minimum cAIC although the difference between the average cAIC estimated for the

two model formulations was almost negligible (cAIC was  $38.22 \pm 2.52$  and  $38.26 \pm 2.45$  for ‘addLinGPP’ and ‘LinGPP’, respectively) and the standard errors of parameter estimates were lower for the ‘LinGPP’ formulation. In general, the cAIC obtained at all sites with the ‘LinGPP’ model formulation (39.50 [37.50 – 42.22], in squared parentheses the first and third quartile are reported) were lower than the ones obtained with the ‘*TP Model*’ (41.08 [39.02 - 44.40]), although the complexity of the latter is lower (one parameter less). On this basis we considered the ‘LinGPP’ as the best one model formulation.

The statistics of model fitting obtained with the ‘LinGPP’ model formulation are reported in Table 2. The model optimized site by site showed a within-PFT-average of EF between 0.58 for EBF to 0.85 for WET with an RMSE ranging from 0.53 for SAV to  $1.01 \text{ gC m}^{-2} \text{ day}^{-1}$  for CRO. On average EF was higher than 0.65 for all the PFTs except for EBF. In terms of improvement of statistics, the use of ‘LinGPP’ in the ‘*TP Model*’ led to a reduction of the RMSE from 13.4 % for shrublands to almost one third for croplands (34.8%), grasslands (32.5%) and savanna (32.0%) with respect to the statistics corresponding to the purely climate driven ‘*TP Model*’.

### [FIGURE 1]

No time lag between photosynthesis and respiration response was detected. In fact using  $\text{GPP}_{\text{lag},i}$  as a model driver we observed a general decrease in mean model performances for each PFT (i.e. decrease of EF and increase of RMSE) for increasing  $i$  values (i.e. number of days in which the GPP was observed before the observed  $R_{\text{ECO}}$ ). The only exception were DBFs in which we found a time lag between the GPP and  $R_{\text{ECO}}$  response of 3 days as shown by the peak in average EF and by the minimum in RMSE in Fig. 1b, although the differences were not statistically significant.

#### *Spatial variability of reference respiration rates*

The reference respiration rates ( $R_0$ ) estimated site by site with the ‘LinGPP’ model formulation represent the daily ecosystem respiration at each the site at a given temperature (i.e.  $15^\circ\text{C}$ ), without water limitation and carbon assimilation. Hence,  $R_0$  can be consider the respiratory potential of a particular site.  $R_0$  assumed highest values for the ENF ( $3.01 \pm 1.35 \text{ gC m}^{-2} \text{ day}^{-1}$ ) while the lowest values were found for SHB ( $1.49 \pm 0.82 \text{ gC m}^{-2} \text{ day}^{-1}$ ) and WET ( $1.11 \pm 0.17 \text{ gC m}^{-2} \text{ day}^{-1}$ ), possibly reflecting lower carbon pools for shrublands or lower decomposition rates due to anoxic conditions or carbon stabilization for wetlands.

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2  
3 463 By testing the pairwise relationship between  $R_0$  and different site characteristics we found that  
4  
5 464 the ecosystem  $LAI_{MAX}$  showed the closest correlation with  $R_0$  ( $R_0=0.44(0.04)LAI_{MAX}+0.78(0.18)$ ,  
6  
7 465  $r^2=0.52$ ,  $p<0.001$ ,  $n=104$ , in parentheses standard errors of model parameters estimates were  
8  
9 466 reported), thus  $LAI_{MAX}$  was the best explanatory variable of the retrieved  $R_0$  variability (Fig 2a).  
10  
11 467 Conversely,  $LAI_{MAX,o}$  correlated weakly ( $r^2=0.40$ ,  $p<0.001$ ,  $n=104$ ) with  $R_0$  (Fig. 2b) indicating  
12  
13 468 that, for forest sites, understorey LAI must be also taken into account. A very weak correlation was  
14  
15 469 found with SoilC ( $r^2=0.09$ ;  $p<0.001$ ,  $n=67$ ) and no significant correlation with Age,  $N_{depo}$  and  
16  
17 470  $T_{MEAN}$  were found for forest sites (Fig. 2 c-f).

### 17 471 18 472 [FIGURE 2]

19  
20  
21 473  
22  
23 474 The multiple regression analysis conducted with the stepwise AIC method including  
24  
25 475 simultaneously all sites, showed that the two best predictors of  $R_0$  were  $LAI_{MAX}$  and SoilC  
26  
27 476 (Multiple  $r^2=0.57$ ;  $p<0.001$ ;  $n=68$ ) which were both positively correlated with  $R_0$  (Tab. 3).  $LAI_{MAX}$   
28  
29 477 was the best predictor of spatial variability of  $R_0$  for all sites confirming the results of the pairwise  
30  
31 478 regression analysis above mentioned but the linear model which included the SoilC as additional  
32  
33 479 predictor led to a significant, though small, reduction in the AIC during the stepwise procedure.

34 480 Considering only the undisturbed temperate and boreal forest sites (ENF, DBF, MF), the  
35  
36 481 predictive variables of  $R_0$  selected were  $LAI_{MAX}$  and  $N_{depo}$ . (Multiple  $r^2=0.67$ ;  $p<0.001$ ;  $n=23$ ). For  
37  
38 482 these sites both  $LAI_{MAX}$ , which was still the main predictor of spatial variability of  $R_0$ , and  $N_{depo}$   
39  
40 483 controlled the spatial variability of  $R_0$ , with  $N_{depo}$  negatively correlated to  $R_0$  (Tab. 3). This means  
41  
42 484 that for these sites, once removed the effect of  $LAI_{MAX}$ ,  $N_{depo}$  showed a negative control on  $R_0$  with  
43  
44 485 a reduction of  $0.025 \text{ gC m}^{-2} \text{ day}^{-1}$  in reference respiration for an increase of  $1 \text{ kg N ha}^{-1} \text{ year}^{-1}$ .  
45  
46 486 Considering only the disturbed forest sites we found that SoilC and  $T_{MEAN}$  were the best predictors  
47  
48 487 of spatial variability of  $R_0$  (Multiple  $R^2=0.80$ ,  $p<0.001$ ,  $n=10$ ).

49  
50 488 In Table 5 (left column) the statistics of the pairwise regression analysis between  $R_0$  and  $LAI_{MAX}$   
51  
52 489 for each PFT are reported. The best fitting was obtained with the linear relationship for all PFTs  
53  
54 490 except for deciduous forests for which the best fitting was obtained with the exponential  
55  
56 491 relationship  $R_0=R_{LAI=0}(1-e^{-aLAI})$ .

### 57 492 [TABLE 3 AND TABLE 4]

#### 58 493 59 494 60 495 PFT-Analysis

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3 497 *Final formulation of the model*  
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5 498  
6

7 499 On the basis of the aforementioned results, the GPP as well as the linear dependency between  $R_0$   
8  
9 500 and  $LAI_{MAX}$  were included into the '*TP Model*' leading to a new model formulation (Eq 9). The  
10 501 final formulation is basically the '*TP Model*' with the addition of biotic drivers (daily GPP and  
11  
12 502  $LAI_{MAX}$ ) and hereafter referred to as '*TPGPP-LAI Model*', where the suffixes GPP and LAI reflect  
13  
14 503 the inclusion of the biotic drivers in the climate-driven model:  
15

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19 505 
$$R_{ECO} = \left( \underbrace{R_{LAI=0} + a_{LAI} \cdot LAI_{MAX}}_{R_0} + k_2 GPP \right) \cdot e^{E_0 \left( \frac{1}{T_{ref}-T_0} - \frac{1}{T_A-T_0} \right)} \cdot \frac{\alpha k + P(1-\alpha)}{k + P(1-\alpha)} \quad (9)$$
  
20  
21

22 506  
23  
24 507 where the term,  $R_{LAI=0} + a_{LAI} LAI_{MAX}$ , describes the dependency of the basal rate of respiration ( $R_0$   
25  
26 508 in Table 1) on site maximum seasonal ecosystem LAI. Although we found that SoilC and  $N_{depo}$  may  
27  
28 509 help to explain the spatial variability of  $R_0$ , in the final model formulation we included only the  
29 510  $LAI_{MAX}$ . In fact the model is primarily oriented to the up-scaling and spatial distributed information  
30  
31 511 of SoilC,  $N_{depo}$  and disturbance may be difficult to be gathered and usually are affected by high  
32  
33 512 uncertainty.  
34

35 513 The parameters  $R_{LAI=0}$  and  $a_{LAI}$  listed in Table 4 were introduced as fixed parameters in the  
36 514 '*TPGPP-LAI Model*'. For wetlands and mixed forests the overall relationship between  $LAI_{MAX}$  and  
37  
38 515  $R_0$  was used. For wetlands, available sites were insufficient to construct a statistically significant  
39  
40 516 relationship while for mixed forests the relationship was not significant ( $p=0.146$ ).  
41

42 517 PFT specific model parameters ( $k_2$ ,  $E_0$ ,  $k$ ,  $\alpha$ ) of '*TPGPP-LAI Model*' were then derived using all  
43 518 data from each PFT contemporarily and listed with their relative standard errors in Table 4. No  
44  
45 519 significant differences in parameter values were found when estimating all the parameters  
46  
47 520 simultaneously ( $a_{LAI}$ ,  $R_{LAI=0}$ ,  $k_2$ ,  $E_0$ ,  $k$ ,  $\alpha$ ).  
48

49 521 The scatterplots of the observed vs modelled annual sums of  $R_{ECO}$  are shown in Figure 3, while  
50  
51 522 results and statistics are summarized in Table 5. The model was well able to describe the  
52 523 interannual and intersite variability of the annual sums over different PFTs, with the explained  
53  
54 524 variance varying between 40% for deciduous forests and 97% for shrublands and evergreen  
55  
56 525 broadleaved forests. Considering all sites, the explained variance is 81%, with a mean error of about  
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58 526 17% ( $132.99 \text{ gCm}^{-2}\text{yr}^{-1}$ ) of the annual observed  $R_{ECO}$ .  
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60 527

528 [TABLE 5, FIGURE 3]

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### *Evaluation of model predictions accuracy and weak points*

The results obtained with the k-fold and training/evaluation split cross-validation are listed in Table 6.

#### [TABLE 6]

The  $r^2_{cv}$  ranges from 0.52 (for EBF) to 0.80 (for CRO) while the  $r^2_{cv,kfold}$  ranges from 0.58 (for DBF) to 0.81 (for GRA). The cross-validated statistics averaged for each PFT are always higher for the k-fold than for the training/evaluation splitting cross-validation.

The analysis of model residuals time series of the deciduous broadleaf forest (Fig. 4) showed a systematic underestimation during the springtime development phase and, although less clear, on the days immediately after leaf-fall. A similar behaviour was also found for croplands and grasslands during the days after harvesting or cuts (Fig. 5).

#### [FIGURE 4,5]

## DISCUSSION

### Gross primary production as driver of ecosystem respiration

The results obtained with the purely climate-driven model ('*TP Model*') and the best model formulation selected in the site-by-site analysis (i.e. 'LinGPP', Tab. 1) confirm the strong relationship between carbon assimilation and  $R_{ECO}$  highlighting that this relationship must to be included into models aimed to simulate temporal variability of  $R_{ECO}$ .

Respiration appears to be strongly driven by the GPP in particular in grasslands, savannas and croplands as already pointed out by several authors in site-level analysis (Bahn *et al.*, 2008, Moyano *et al.*, 2007, Wohlfahrt *et al.*, 2008a, Xu & Baldocchi, 2004). For croplands and grasslands growth respiration is controlled by the amount of photosynthates available and mycorrhizal respiration, which generally constitutes a large component of soil respiration (e.g. Moyano *et al.*, 2007, Kuzyakov & Cheng, 2001).

For wetlands instead the weak relationship between respiration and GPP can be explained by the persistence of anaerobic conditions, decomposition proceeds more slowly with an accumulation of



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3 563 organic matter on top of the mineral soil layer and respiration is closely related to temperature and  
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5 564 water table depth rather than to other factors (Lloyd, 2006).

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7 565 The lower correlation observed for forest ecosystems than for grasslands and croplands may be  
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9 566 due to the higher time for translocation, in trees, of substrates from canopy to roots, related to the  
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11 567 rates of phloem carbon transport (Nobel, 2005), which affect the reactivity of the respiration and the  
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13 568 release of exudates or assimilates from roots as response to productivity (Mencuccini & Höltta,  
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15 569 2010). This is very often cause of time lags between photosynthesis and respiration response but  
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17 570 may justify the reduction of correlation between model residuals and GPP estimated at the same  
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19 571 day.

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21 572 A clear time lag between GPP and  $R_{ECO}$  response was not detected. In fact both the residual  
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23 573 analysis (Fig. 1a) and the analysis conducted with the 'LinGPP' model formulation (Fig. 1b)  
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25 574 confirmed the general absence of a time lag with the only exception of DBF where a time lag of 3  
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27 575 days was observed although the results were not statistically significant. However, in our opinion,  
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29 576 these results do not help to confirm or reject the existence of a time lag for several reasons: *i*) in  
30  
31 577 some studies (e.g. Baldocchi *et al.*, 2006, Tang & Baldocchi, 2005) a lag on the sub-daily time scale  
32  
33 578 was identified and the lags on the daily time scale were attributed to an autocorrelation in weather  
34  
35 579 patterns (i.e. cyclic passage of weather fronts with cycles in temperature or dry and humid air  
36  
37 580 masses) which modulates the photosynthetic activities, since our analysis focused on daily data we  
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39 581 were not able to identify the existence of sub-daily time lags; *ii*) lag effects may be more  
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41 582 pronounced under favorable growing conditions or during certain periods of the growing season, the  
42  
43 583 analysis of which analysis is out of scope of present study.

#### 44 584 45 46 585 *Spatial variability of reference respiration rates*

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48 586 The relationship between reference respiration rates ( $R_0$ ) derived by using the 'LinGPP' model  
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50 587 formulation, and  $LAI_{MAX}$  (Fig. 2a) is particularly interesting considering that the productivity was  
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52 588 already included into the model (i.e. daily GPP is driver of 'LinGPP'). While daily GPP describes  
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54 589 the portion of  $R_{ECO}$  that originates from recently assimilated carbon (i.e. root/rhizosphere  
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56 590 respiration, mycorrhizal and growth respiration),  $LAI_{MAX}$  is a structural factor which has an  
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58 591 additional effect to the short-term productivity and allows to describe the overall ecosystem  
59  
60 592 respiration potential of the ecosystem. For instance, high LAI means increased autotrophic  
593  
594 maintenance respiration costs. Moreover  $LAI_{MAX}$  can be considered both as an indicator of the  
595  
596 general carbon assimilation potential and as an indicator of how much carbon can be released to soil  
yearly because of litterfall (in particular for forests) and leaf turnover which are directly related to



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3 597 basal soil respiration (Moyano *et al.*, 2007). At recently disturbed sites, this equilibrium between  
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5 598 LAI<sub>MAX</sub> and soil carbon (through litter inputs) may be broken, for example thinning might lead to a  
6  
7 599 reduction of LAI<sub>MAX</sub> without any short-term effect on the amount soil carbon, while ploughing in  
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9 600 crops or plantations leads solely to a reduction in soil carbon content and not necessarily in LAI.  
10  
11 601 Also in cut or grazed grasslands maximum LAI does not correspond well with litter input because  
12  
13 602 most of this carbon is exported from the site and only partially imported back (as organic manure).  
14  
15 603 This explains why the multiple linear model including LAI<sub>MAX</sub> and SoilC was selected as the best  
16  
17 604 by the stepwise AIC regression using all the sites contemporarily and why considering only  
18  
19 605 disturbed forest ecosystems we SoilC was selected as best predictor of R<sub>0</sub> (Tab. 3).

20  
21 606 Particularly interesting is also the negative control of N<sub>depo</sub> on R<sub>0</sub> with a reduction of 0.025 gC m<sup>-2</sup>  
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23 607 day<sup>-1</sup> in R<sub>0</sub> for an increase of 1 kg N ha<sup>-1</sup> year<sup>-1</sup>. The reduction of heterotrophic respiration in sites  
24  
25 608 with high total nitrogen deposition load was already described in literature and in some site-level  
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27 609 analysis and attributable to different processes. For instance soil acidification at high N<sub>depo</sub> loads  
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29 610 may inhibit litter decomposition suppressing the respiration rate (Freeman *et al.*, 2004, Knorr *et al.*,  
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31 611 2005) and increasing in N<sub>depo</sub> can increase N concentration in litter with a reduction of litter  
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33 612 decomposition rates (Berg & Matzner, 1997, Persson *et al.*, 2000) and the consequent reduction of  
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35 613 respiration. The latter process is more debated in literature because increased N supply may lead to  
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37 614 higher N release from plant litter, which results in faster rates of N cycling and in a stimulation of  
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39 615 litter decomposition (e.g. Tietema *et al.*, 1993). However this process is not always clear (e.g. Aerts  
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41 616 *et al.*, 2006): in litter mixtures, N-rich and lignin-rich litter may chemically interact with the  
42  
43 617 formation of very decay-resistant complexes (Berg *et al.*, 1993). In addition, litter with a high  
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45 618 concentration of condensed tannins may interact with N-rich litter reducing the N release from  
46  
47 619 decomposing litter as described in Hattenschwiler and Vitousek (2000). Thus, the supposed  
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49 620 stimulating effects of N addition on N mineralization from decomposing litter may be counteracted  
50  
51 621 by several processes occurring in litter between N and secondary compounds, leading to chemical  
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53 622 immobilization of the added N (e.g. Pastor *et al.*, 1987, Vitousek & Hobbie, 2000)

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55 623 Although the absolute values are a matter of recent debate (De Vries *et al.*, 2008, Magnani *et al.*,  
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57 624 2007, Sutton *et al.*, 2008), it is agreed that N<sub>depo</sub> stimulates net carbon uptake by temperate and  
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59 625 boreal forests. As net carbon uptake is closely related to respiration, once the effect of age is  
60  
61 626 removed, it can be seen that increased N<sub>depo</sub> has the potential to drive R<sub>ECO</sub> in either directions. The  
62  
63 627 stimulation of GPP as consequence of the increasing N<sub>depo</sub> is already include in the model since  
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65 628 GPP is a driver. Additionally our analysis suggests that overall an increased total N<sub>depo</sub> in forests  
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67 629 tends to reduce reference respiration. Without considering the effects introduced by N<sub>depo</sub> in our  
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69 630 models we may overestimate R<sub>ECO</sub>, with a consequent underestimation of the carbon sink strength

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3 631 of such terrestrial ecosystems. It is also clear that, in managed sites, such interactions apply equally  
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5 632 to other anthropogenic nitrogen inputs (fertilizers, animal excreta) (e.g. Galloway *et al.*, 2008).  
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7 633 However, considering *i*) that LAI<sub>MAX</sub> is the most important predictor of R<sub>0</sub>, *ii*) that the uncertainty  
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9 634 in soil carbon and total nitrogen deposition maps is usually high, *iii*) that the spatial information on  
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11 635 disturbance is often lacking and finally *iv*) that our model formulation is oriented to up-scaling  
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13 636 issues, we introduced LAI<sub>MAX</sub> as the only robust predictor of the spatial variability of R<sub>0</sub> in the final  
14  
15 637 model formulation.

16 638 The use of LAI<sub>MAX</sub> is interesting for an up-scaling perspective (e.g. at regional or global scale)  
17  
18 639 since can be derived by remotely sensed vegetation indexes (e.g. normalized vegetation indexes or  
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20 640 enhanced vegetation indexes) opening interesting perspectives for the assimilation of remote  
21  
22 641 sensing products into the 'TPGPP-LAI Model'.

23 642 The intercepts of the PFT-based linear regression between R<sub>0</sub> and LAI<sub>MAX</sub> (Tab.4) suggest that,  
24  
25 643 when the LAI<sub>MAX</sub> is close to 0 ('ideally' bare soil), the lowest R<sub>0</sub> takes place in arid (EBF,SHB and  
26  
27 644 SAV) and agricultural ecosystems,. The frequent disturbances of agricultural soils (i.e. ploughing  
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29 645 and tillage), as well as management, reduce soil carbon content dramatically. In croplands, the  
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31 646 estimated R<sub>0</sub> is very low in sites with low LAI. However, with increasing LAI<sub>MAX</sub>, R<sub>0</sub> shows a rapid  
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33 647 increase, thus resulting in high respiration rates for crop sites with high LAI. For EBF, SHB and  
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35 648 SAV the retrieved slopes are typical of forest ecosystems, while the intercepts are close to zero  
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37 649 because of the lower soil carbon content usually found in these PFTs (Raich & Schlesinger, 1992).  
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39 650 Because of the few available sites representing and on similarity in terms of climatic characteristics,  
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41 651 savannas, shrublands were grouped.

42 652 In grasslands, the steeper slope ( $a_{LAI}$ ) value found ( $1.14 \pm 0.33$ ) suggests that R<sub>0</sub> increases  
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44 653 rapidly with increasing aboveground biomass as already pointed out in literature (Wohlfahrt *et al.*,  
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46 654 2008a, Wohlfahrt *et al.*, 2005a, Wohlfahrt *et al.*, 2005b), i.e. an increase in LAI<sub>MAX</sub> leads to a  
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48 655 stronger increase in R<sub>0</sub> than in other PFTs.

49 656 In forest ecosystems, and in particular in evergreen needleleaf and deciduous broadleaf forests,  
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51 657 the physical meaning of the higher intercept may be found in less soil disturbance. In boreal forests,  
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53 658 the soil carbon stock is generally high even at sites with low LAI<sub>MAX</sub>, thus maintaining an overall  
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55 659 high R<sub>0</sub> which is less dependent on the LAI<sub>MAX</sub>.

#### 56 660 57 661 *Final formulation of the model and weak points*

58 662  
59 663 These results obtained with the 'TPGPP-LAI Model' cross-validation indicate that the developed  
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61 664 model describes the R<sub>ECO</sub> quite well. In particular results indicate a better description of the

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3 665 temporal variability of  $R_{\text{ECO}}$  rather than the spatial variability (or across-site variability). In the  
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5 666 training/evaluation splitting in fact, the excluded site for each PFT is modelled using a  
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7 667 parameterization derived from the other sites within the same PFT. However, the k-fold is more  
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9 668 optimistic than training/evaluation splitting cross-validation because the data set is less disturbed  
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11 669 and the calibration and validation datasets are statistically more similar. In the training/evaluation  
12 670 splitting, instead, we exclude one site which is completely unseen by the training optimization  
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14 671 procedure.

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16 672 The derived parameterization of the '*TPGPP-LAI Model*' reported in Table 4 may be considered  
17  
18 673 as an optimized parameterization for the application of the model at large scale (e.g. continental or  
19 674 global). For this application is necessary to link of the developed model with a productivity model  
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21 675 and remote sensing products necessary for the estimation of LAI. One of the main advances  
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23 676 introduced by this model formulation is the incorporation of GPP and LAI as driver of the  
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25 677 ecosystem respiration, which importance in modeling Reco is above discussed. These variables are  
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27 678 necessary to improve the description of both the temporal and spatial dynamics or  $R_{\text{ECO}}$ . These  
28 679 results imply that empirical models used with remote sensing (e.g. Reichstein et al., 2007,  
29  
30 680 Reichstein et al., 2003a, Veroustraete et al., 2002) underestimate the amplitude of  $R_{\text{ECO}}$  an might  
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32 681 lead to wrong conclusions regarding the interpretation of seasonal cycle of the global  $\text{CO}_2$  growth  
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34 682 rate and annual carbon balance.

35 683 The values of the '*TPGPP-LAI Model*' parameters (Tab. 4) related to the precipitation ( $k$ ,  $\alpha$ )  
36  
37 684 indicated a much stronger nonlinearity in the response of  $R_{\text{ECO}}$  to precipitation for shrublands,  
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39 685 wetlands and croplands than for forest ecosystems (Fig. 6). Wetlands and croplands reached  
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41 686 saturation (no limitation of water on respiration) after a small rain event underlying their  
42  
43 687 insensitivity to precipitation owing to the presence of water in wetland soils and irrigation in  
44 688 croplands. Grasslands are very sensitive to rain pulse as described in Xu & Baldocchi *et al.* (2004),  
45  
46 689 while savannas and evergreen broadleaved forests showed a strong limitation when rainfall was  
47  
48 690 scanty and  $f(P)$  saturation exceed  $50 \text{ mm month}^{-1}$ . The parameters related to GPP dependency ( $k_2$ )  
49  
50 691 estimated at PFT level confirm all the results obtained at site level indentifying a clear sensitivity of  
51 692 grasslands and savannah to GPP.

### [FIGURE 6]

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53 693  
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55 694 However, when comparing these parameterizations, it is very likely that a background  
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57 695 correlation between precipitation, short-term productivity and soil respiration confused the apparent  
58 696 response of respiration to water availability in the '*TPGPP-LAI Model*'.

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60 697 Despite the good accuracy, some criticisms and limitations of the '*TPGPP-LAI Model*' were  
698 identified, in particular for the deciduous broadleaf forests. The systematic underestimation during

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3 699 the springtime development phase (Fig 4) is very likely related to the peak in autotrophic respiration  
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5 700 due to the intense activity of vegetation during bud burst not described by the model. This  
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7 701 hypothesis is confirmed by different authors. For instance, Davidson et al. (2006b) pointed out that  
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9 702 during spring development, specific root respiration increases with increasing soil temperature and  
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11 703 the concomitant root growth increases the amount of respiring tissue. Moreover, during bud burst  
12 704 also leaf growth, starch mobilisation and increased phloem transport may contribute to this pulse in  
13  
14 705 respiration as shown by Knohl *et al.*, (2003). A systematic underestimation was also observed  
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16 706 immediately after the leaf-fall, in which the increase in heterotrophic respiration stimulated by the  
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18 707 decomposition of fresh litter was not completely described by the model. These results are in  
19  
20 708 accordance with Davidson et al., (1998) whose showed that the sensitivity of respiration to  
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22 709 temperature derived using long-term data input is different from short-term sensitivity because it is  
23  
24 710 confused with other seasonally varying factors. At some DBF sites (US-HA1, DE-Hai, Fig 4) the  
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26 711 observed fluxes are lower than the modelled ones during the foliated period. Also the overall plot  
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28 712 for DBF in Fig 4 shows that model values are generally higher than observations. These  
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30 713 considerations suggest that the link between phenological models describing overall foliar  
31  
32 714 development (Jolly *et al.*, 2005, Migliavacca *et al.*, 2008) and semi-empirical carbon flux models  
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34 715 may be useful for the correction of the long-term sensitivity in active spring or summer periods.  
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36 716 Another option is the assimilation of remotely-sensed time series from which the main phenological  
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38 717 phases may be derived (e.g. derivative methods) and used for instance for the correction of the  
39  
40 718 temporal variability of model parameters.

39 719 We also found a similar behaviour of croplands and grassland during the days after harvesting or  
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41 720 cuts, when respiration increased because of the decomposition of organic residues (e.g. grass or  
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43 721 crop residues) as depicted for example in Fig. 5. In this case, the model was unable to describe  
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45 722 increased respiration following the harvest.

## 49 725 **Conclusions**

51 726  
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53 727 In this study we proposed a model (*'TPGPP-LAI Model'*) for the simulation of  $R_{ECO}$  which  
54  
55 728 include the explicit dependency of the respiration to the productivity. We demonstrated that the  
56  
57 729 dependency of respiration on some measure of short-term productivity (e.g. GPP) needs to be  
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59 730 included in models simulating ecosystem respiration at regional and global scale in order to  
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61 731 improve the description of carbon fluxes and feedbacks between respiration and productivity.

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3 732 In addition, the general site productivity (using maximum seasonal LAI as a proxy) is another  
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5 733 important additional variable which accounts for the spatial variability of reference respiration  
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7 734 within different plant-functional types. In other words, the  $LAI_{MAX}$  can be used as an indicator of  
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9 735 the potential respiration for a specific site related to long-term respiration (i.e. low frequencies of  
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11 736 the modelled respiration) while GPP and climate drive the short-term respiration response (i.e. the  
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13 737 high frequencies of the modelled respiration). This opens interesting perspectives for assessing  
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15 738 properties related to respiration using remote sensing products. Soil carbon content and total  
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17 739 atmospheric nitrogen deposition may represent under certain circumstance additional parameters  
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19 740 enhancing and suppressing, respectively, reference respiration rates.

19 741 We demonstrated that variables related to productivity and site structure are necessary to  
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21 742 improve the description of both the temporal and spatial dynamics of  $R_{ECO}$ . These results imply that  
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23 743 empirical models driven only by climate underestimate the amplitude of  $R_{ECO}$  and might lead to  
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25 744 wrong conclusions regarding the interpretation of seasonal cycle of the global  $CO_2$  growth rate and  
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27 745 annual carbon balance.

28 746 We provided a parameterization of the '*TPGPP-LAI Model*' for a PFT-based application of the  
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30 747 model at large scale (e.g. continental or global). We have shown that the temporal, spatial and  
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32 748 interannual variability of ecosystem respiration can be captured quite well by the proposed model.  
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34 749 For this application is necessary a link of the developed model with a productivity model (for GPP  
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36 750 estimation) and remote sensing products (necessary for the estimation of LAI). One interesting  
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38 751 perspective is the integration of the proposed model formulation into the MODIS-GPP/NPP data  
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40 752 stream (e.g MOD17 Light Use Efficiency model) for regional and global estimates of  $R_{ECO}$ .

41 753 Finally, we observed that a part of ecosystem respiration variance not explained by the model  
42  
43 754 may be related to phenology in forests and to management in grasslands and croplands. For these  
44  
45 755 reasons we consider the link between phenological models and/or remotely-sensed time series of  
46  
47 756 vegetation indexes and respiration models as well as the inclusion of total nitrogen deposition as an  
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49 757 additional driver for improving the description of ecosystem respiration in both space and time.

50 758

## 51 759 **ACKNOWLEDGEMENTS**

52 760

53 761 The authors would like to thank all the PIs of eddy-covariance sites, technicians, postdoctoral  
54  
55 762 fellows, research associates, and site collaborators involved in FLUXNET who are not included as  
56  
57 763 co-authors of this paper, without whose work this analysis would not have been possible. This work  
58  
59 764 is the outcome of the La Thuile FLUXNET Workshop 2007, which would not have been possible  
60  
61 765 without the financial support provided by CarboEuropeIP, FAO-GTOS-TCO, iLEAPS, Max Planck  
62  
63 766 Institute for Biogeochemistry, National Science Foundation, University of Tuscia and the US

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767 Department of Energy. Moreover, we acknowledge databasing and technical support from Berkeley  
768 Water Center, Lawrence Berkeley National Laboratory, Microsoft Research eScience, Oak Ridge  
769 National Laboratory, University of California-Berkeley, University of Virginia. The following  
770 networks participated with flux data: AmeriFlux, AfriFlux, AsiaFlux, CarboAfrica, CarboEuropeIP,  
771 ChinaFlux, Fluxnet-Canada, KoFlux, LBA, NECC, OzFlux, TCOS-Siberia, USCCC. AmeriFlux  
772 grant: US Department of Energy, Biological and Environmental Research, Terrestrial Carbon  
773 Program (DE-FG02-04ER63917). Data collection for the US-ARM sites was supported by the  
774 Office of Biological and Environmental Research of the U.S. Department of Energy under contract  
775 DE-AC02-05CH11231 as part of the Atmospheric Radiation Measurement Program. M.A.S  
776 contribution was supported by the Nitro-Europe Project. M.M. was supported by the University of  
777 Milano-Bicocca and by the Model Data Integration Group of the Max Planck Institute for  
778 Biogeochemistry. We acknowledge the Remote Sensing for Environmental Dynamics Laboratory,  
779 LTDA (in particular M. Meroni, L. Busetto and M. Rossini), and the MDI-MPI group (C. Beer and  
780 M. Jung) for the fruitful discussions during the data analysis.



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Table 1 - Different model formulation of the dependency of ecosystem respiration ( $R_{ECO}$ ) on Gross Primary Productivity (GPP) used in this analysis.

Model	Formula
<b>LinGPP</b>	$R_{ECO} = (R_0 + k_2 GPP) \cdot e^{E_0 \left( \frac{1}{T_{ref} - T_0} - \frac{1}{T_A - T_0} \right)} \cdot \frac{\alpha k + P(1 - \alpha)}{k + P(1 - \alpha)}$
<b>ExpGPP</b>	$R_{ECO} = [R_0 + R_2(1 - e^{k_2 GPP})] \cdot e^{E_0 \left( \frac{1}{T_{ref} - T_0} - \frac{1}{T_A - T_0} \right)} \cdot \frac{\alpha k + P(1 - \alpha)}{k + P(1 - \alpha)}$
<b>MicMenGPP</b>	$R_{ECO} = \left[ R_0 + \frac{R_{MAX} GPP}{GPP + hR_{MAX}} \right] \cdot e^{E_0 \left( \frac{1}{T_{ref} - T_0} - \frac{1}{T_A - T_0} \right)} \cdot \frac{\alpha k + P(1 - \alpha)}{k + P(1 - \alpha)}$
<b>addLinGPP</b>	$R_{ECO} = R_0 \cdot e^{E_0 \left( \frac{1}{T_{ref} - T_0} - \frac{1}{T_A - T_0} \right)} \cdot \frac{\alpha k + P(1 - \alpha)}{k + P(1 - \alpha)} + k_2 GPP$
<b>addExpGPP</b>	$R_{ECO} = R_0 \cdot e^{E_0 \left( \frac{1}{T_{ref} - T_0} - \frac{1}{T_A - T_0} \right)} \cdot \frac{\alpha k + P(1 - \alpha)}{k + P(1 - \alpha)} + R_2(1 - e^{k_2 GPP})$
<b>addMicMenGPP</b>	$R_{ECO} = R_0 \cdot e^{E_0 \left( \frac{1}{T_{ref} - T_0} - \frac{1}{T_A - T_0} \right)} \cdot \frac{\alpha k + P(1 - \alpha)}{k + P(1 - \alpha)} + \frac{R_{MAX} GPP}{GPP + hR_{MAX}}$

Table 2 - Statistics of fit for the climate-driven model (*'TP Model'*) and the best model selected among the models listed in Tab. 1 according to the consistent Akaike Information Criterion (cAIC). Statistics are averaged per Plant Functional Type (PFT). Except for croplands (CRO), *'LinGPP'* is selected as the best model formulation. EF is the modelling efficiency while RMSE is the root mean square error (Janssens and Heuberger, 1995). The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET). The list of acronyms is also provided in Appendix II. Values in brackets are the standard deviations.

<i>PFT</i>	<i>'TP Model'</i>		<i>'LinGPP Model'</i>		Best Model Selected
	EF	RMSE	EF	RMSE	
<i>ENF</i>	0.71(0.14)	1.02 (0.35)	0.78 (0.14)	0.83 (0.21)	<i>LinGPP</i>
<i>DBF</i>	0.63 (0.17)	1.15 (0.51)	0.72 (0.13)	0.98 (0.41)	<i>LinGPP</i>
<i>GRA</i>	0.62 (0.18)	1.35 (0.43)	0.83 (0.07)	0.91 (0.33)	<i>LinGPP</i>
<i>CRO</i>	0.55 (0.18)	1.55 (0.53)	0.82 (0.08)	1.01 (0.33)	<i>addLinGPP</i>
<i>SAV</i>	0.38 (0.16)	0.78 (0.24)	0.72 (0.06)	0.53 (0.15)	<i>LinGPP</i>
<i>SHB</i>	0.59 (0.29)	0.67 (0.50)	0.66 (0.29)	0.58 (0.51)	<i>LinGPP</i>
<i>EBF</i>	0.42 (0.27)	1.11 (0.55)	0.58 (0.23)	0.91 (0.49)	<i>LinGPP</i>
<i>MF</i>	0.67 (0.18)	0.96 (0.72)	0.82 (0.13)	0.78 (0.50)	<i>LinGPP</i>
<i>WET</i>	0.67 (0.18)	0.96 (0.51)	0.85 (0.48)	0.79 (0.07)	<i>LinGPP</i>

Table 3 – Results of the model selection conducted with the Stepwise AIC method for the sites belonging to all the PFT (*All PFTs*) and for undisturbed temperate and boreal forests identified in the Appendix II (*Undisturbed Forests*). Coefficients ( $a_1, a_2, \text{const}$ ), their significance and the statistics of the best model selected are reported. In parenthesis the standard error of the coefficients are reported. The significance of coefficients is also reported (\*\*\*)  $p < 0.001$ , \*\*  $p < 0.01$ , \*  $p < 0.05$ , .  $p < 0.1$ ).

Model	Best Model Selected	$a_1$		$a_2$		const		$r^2$	$r^2 \text{ adj.}$	$p$	n
<i>All PFTs</i>	$R_0 = a_1 LAI_{MAX} + a_2 SoilC + \text{const}$	0.412 (0.048)	***	0.045 (0.015)	**	0.582 (0.251)	*	0.58	0.57	<0.001	68
<i>Undisturbed Forest (MF+DBF+ENF)</i>	$R_0 = a_1 LAI_{MAX} + a_2 N_{deno} + \text{const}$	0.469 (0.069)	***	-0.025 (0.017)	.	0.948 (0.377)	*	0.70	0.67	<0.001	23
<i>Disturbed Forests</i>	$R_0 = a_1 SoilC + a_2 T_{MEAN} + \text{const}$	0.211 (0.051)	**	-0.188 (0.059)	**	3.487 (0.982)	*	0.85	0.80	<0.001	10

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Table 4 – Parameters of the relationships between reference respiration ( $R_0$ ) defined at 15°C and seasonal maximum LAI for each Plant Functional Type (PFT). The standard errors of model parameters are reported in parenthesis. Determination coefficients and statistical significance are also shown.– ‘TPGPP-LAI Model’ parameters estimated for each Plant Functional Type (see Appendix II). Standard errors estimated with the bootstrap algorithm are reported in parentheses. Model statistics are also given. ‘TPGPP-LAI Model’ is defined in Eq. 9. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

PFT	Parameters and statistics ( $R_0$ vs $LAI_{MAX}$ )				Final Model Parameters				Fitting statistics			
	$R_{LAI=0}$	$a_{LAI}$	$r^2$	$p$	$k_2$	$E_0$ [K]	A	K [mm]	$r^2$	EF	RMSE [gCm <sup>-2</sup> day <sup>-1</sup> ]	MAE [gCm <sup>-2</sup> day <sup>-1</sup> ]
ENF	1.02 (0.42)	0.42 (0.08)	0.50	<0.001	0.478 (0.013)	124.833 (4.656)	0.604 (0.065)	0.222 (0.070)	0.79	0.70	1.072	0.788
DBF	1.27 (0.50)	0.34 (0.10)	0.46	<0.01	0.247 (0.009)	87.655 (4.405)	0.796 (0.031)	0.184 (0.064)	0.65	0.52	1.322	0.899
GRA	0.41 (0.71)	1.14 (0.33)	0.60	<0.001	0.578 (0.062)	101.181 (6.362)	0.670 (0.052)	0.765 (1.589)	0.82	0.80	1.083	0.838
CRO	0.25 (0.66)	0.40 (0.11)	0.52	<0.001	0.244 (0.016)	129.498 (5.618)	0.934 (0.065)	0.035 (3.018)	0.80	0.79	0.933	0.659
SAV	0.42 (0.39)	0.57 (0.17)	0.54	<0.005	0.654 (0.024)	81.537 (7.030)	0.474 (0.018)	0.567 (0.119)	0.65	0.60	0.757	0.535
SHB	0.42 (0.39)	0.57 (0.17)	0.54	<0.005	0.354 (0.021)	156.746 (8.222)	0.850 (0.070)	0.097 (1.304)	0.73	0.60	0.618	0.464
EBF	-0.47 (0.50)	0.82 (0.13)	0.87	<0.001	0.602 (0.044)	52.753 (4.351)	0.593 (0.032)	2.019 (1.052)	0.55	0.41	1.002	0.792
MF	0.78 (0.18)	0.44 (0.04)	0.52	<0.001	0.391 (0.068)	176.542 (8.222)	0.703 (0.083)	2.831 (4.847)	0.86	0.79	0.988	0.723
WET	0.78 (0.18)	0.44 (0.04)	0.52	<0.001	0.398 (0.013)	144.705 (8.762)	0.582 (0.163)	0.054 (0.593)	0.87	0.86	0.403	0.292

Table 5 –Statistics of the modelled (x- axis) vs measured (y-axis) annual  $R_{ECO}$  with the ‘*TPGPP-LAI Model*’. Number of site-years for each PFT are also reported. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

<i>PFT</i>	Statistics						Site years
	$r^2$	EF	RMSE [gC m <sup>-2</sup> yr <sup>-1</sup> ]	MAE [gC m <sup>-2</sup> yr <sup>-1</sup> ]	Slope	Intercept	
<i>ENF</i>	0.76	0.76	210.12	158.00	0.99	30.03	153
<i>DBF</i>	0.40	0.33	175.15	145.44	0.71	263.98	81
<i>GRA</i>	0.89	0.89	153.03	129.16	0.94	36.94	45
<i>CRO</i>	0.74	0.73	131.75	109.54	1.07	-47.68	35
<i>SAV</i>	0.86	0.81	98.80	75.95	1.27	-100.68	18
<i>SHB</i>	0.96	0.95	74.74	71.09	0.95	35.56	17
<i>EBF</i>	0.95	0.95	128.30	100.27	0.98	44.79	28
<i>MF</i>	0.68	0.64	131.44	40.72	0.84	125.90	30
<i>WET</i>	0.97	0.94	13.893	11.88	0.86	21.70	6
<i>All</i>	0.81	0.77	172.79	132.99	0.82	145.51	413



Table 6 – Results of Training/Evaluation splitting and k-fold cross-validation of the ‘TPGPP-LAI Model’ averaged per plant functional type as defined in the Appendix II. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

<i>PFT</i>	Training/Evaluation Splitting				k-fold Cross-Validation			
	$r^2$	EF	RMSE [gCm <sup>-2</sup> day <sup>-1</sup> ]	MAE [gCm <sup>-2</sup> day <sup>-1</sup> ]	$r^2$	EF	RMSE [gCm <sup>-2</sup> day <sup>-1</sup> ]	MAE [gCm <sup>-2</sup> day <sup>-1</sup> ]
<i>ENF</i>	0.74	0.74	1.170	0.854	0.76	0.76	1.145	0.827
<i>DBF</i>	0.54	0.48	1.443	1.017	0.58	0.50	1.374	0.967
<i>GRA</i>	0.79	0.79	1.227	0.881	0.81	0.80	1.174	0.819
<i>CRO</i>	0.80	0.80	1.208	0.889	0.80	0.79	1.254	0.926
<i>SAV</i>	0.57	0.54	0.831	0.623	0.60	0.59	0.717	0.515
<i>SHB</i>	0.71	0.58	0.954	0.720	0.68	0.67	1.180	0.790
<i>EBF</i>	0.52	0.28	1.350	0.985	0.70	0.69	0.957	0.928
<i>MF</i>	0.71	0.71	1.326	0.927	0.75	0.74	1.254	0.871
<i>WET</i>	0.79	0.75	0.566	0.320	0.83	0.82	0.490	0.312

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## Figure Captions

Figure 1 - a) Pearson's correlation coefficients ( $r$ ) for the residual of observed minus modelled  $R_{ECO}$  versus measured GPP and a function of time lag; b) average model performances (EF and RMSE) for deciduous broadleaf forests as a function of the time lag between GPP and  $R_{ECO}$  response. Results obtained running the 'LinGPP' formulation with different GPP time series, from the GPP measured at the same day up to the GPP measured one week before the  $R_{ECO}$ . Error bars represent the standard deviation of model statistics calculated at each site. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

Figure 2 - Correlation between reference respiration ( $R_0$ ) and a) seasonal maximum leaf area index ( $LAI_{MAX}$ ) of understorey and overstorey, b) overstorey peak leaf area index ( $LAI_{MAX,o}$ ), c) total soil carbon content (SoilC), d) stand age for forest ecosystems (Age), e) total atmospheric nitrogen deposition for forest sites ( $N_{depo}$ ) and f) mean annual temperature. In panels a), b), c), d) and f) different symbols represent different PFT. In panel e) full circles represent disturbed sites while open circles the undisturbed ones. The  $r^2$ ,  $p$  and number of sites ( $n$ ) were reported. The regression line and the 95% confidence interval are given if the relationship is significant. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

Figure 3 – Scatterplots of annual observed vs modelled  $R_{ECO}$  obtained using the 'TPGPP-LAI Model'. Each panel represent a different plant functional type (PFT). The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

Figure 4 - Time series of average monthly model residuals for different deciduous broadleaf forest (DBF) sites. The vertical grey dashed lines represent the phenological dates. Average phenological dates were derived for US-Ha1 from literature (Jolly et al. 2005) while for other sites they were retrieved from the FLUXNET database. Average phenological dates, bud-burst and end-of-growing season are respectively: US-Ha1 (115-296), DE-Hai (126-288), FR-Hes (120-290), FR-Fon (125-292), IT-Ro1 (104-298) and CA-Oas (146-258)..

Figure 5 – Time series of observed (open circles) and modeled (black circles) for the IT-MBo site (a,b) and for the ES-ES2 site (c, d), grey dashed lines represent the dates of cuts indicated in the database (the date may be indicative), the model underestimation of fluxes in the days after each cut is clear.

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3 Figure 6 – Response function of ecosystem respiration to the 30-day running average of daily  
4 precipitation (Eq. 2) for each plant functional type (PFT). The parameters in Table 3 were used to  
5 draw the curves. The definitions of different PFTs are: evergreen needleleaf forest (ENF),  
6 deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV),  
7 shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).  
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11 Figure AI – Box-plot of the differences at each site between the Pearson’s correlation coefficient  
12 between ‘TP Model’ residuals and GPP computed using FLUXNET partitioning ( $r_{\text{TPModel-GPPFLUX}}$ )  
13 and Lasslop’s partitioning ( $r_{\text{TPModel-GPP}_{\text{Lasslop}}}$ ). Data were grouped in box-plots for each PFT. The  
14 definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest  
15 (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen  
16 broadleaf forest (EBF), mixed forest (MF), wetland (WET)  
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20 Figure AII – Box-plot of the parameters a)  $R_0$ , b)  $k_2$ , c) EF and d) RMSE estimated using  
21 FLUXNET (red boxes) and Lasslop’s (Blue boxes) partitioning. The median of the differences of  
22 parameters governing the response to GPP ( $k_2$ ) estimated at each site with the two different data-  
23 sets are not statistically different from 0 except for ENF and DBF (for both  $p < 0.05$ ). No statistical  
24 differences were found for model statistics. Data were grouped in box-plots for each PFT. The  
25 definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest  
26 (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen  
27 broadleaf forest (EBF), mixed forest (MF), wetland (WET).  
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## Appendix List

APPENDIX I – Site Table. ID, Name, country, belonging network, coordinates PFT, climate and LAI<sub>MAX</sub> of the sites used in the analysis. Climate abbreviations follow the Koeppen classification (Peel et al., 2007). Networks are described in [www.fluxdata.org](http://www.fluxdata.org)

APPENDIX II – Site characteristics derived from the FLUXNET database.  $R_0$  is the reference respiration estimated with the *LinGPP* model formulation, LAI is the maximum seasonal leaf area index of the ecosystems (understorey and overstorey), LAI<sub>MAX,o</sub> is the maximum leaf area index of the solely overstorey, SoilC is the total soil carbon content, Age is the stand age,  $T_{\text{mean}}$  is the annual average mean temperature, Ndepo is the total atmospheric nitrogen deposition derived as described in the method section. Sites with (\*) in the column dist (disturbance) represent sites with recent disturbance according to what reported in the FLUXNET database.

APPENDIX III – Acronyms and abbreviations.

APPENDIX IV – Discussion of the ‘spurious’ correlation between  $R_{\text{ECO}}$  and GPP.

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For Review Only

## APPENDIX I – Site table

**Table AI – Site Table. ID, Name, country, belonging network, coordinates PFT, climate and LAI<sub>MAX</sub> of the sites used in the analysis. Climate abbreviations follow the Koeppen classification (Peel et al., 2007). Networks are described in [www.fluxdata.org](http://www.fluxdata.org). The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).**

<i>SITE ID</i>	<i>Tower Name</i>	<i>Country</i>	<i>Latitude</i>	<i>Longitude</i>	<i>PFT</i>	<i>Climate</i>	<i>Reference</i>
AT-Neu	Neustift/Stubai Valley	Austria	47.12	11.32	GRA	Cfb	(Wohlfahrt <i>et al.</i> , 2008b)
AU-How	Howard Springs	Australia	-12.49	131.15	WSA	Aw	(Beringer <i>et al.</i> , 2007)
BE-Lon	Lonzee	Belgium	50.55	4.74	CRO	Cfb	(Moureaux <i>et al.</i> , 2006)
BE-Vie	Vielsalm	Belgium	50.31	5.99	MF	Cfb	(Aubinet <i>et al.</i> , 2001)
BR-Sp1	Sao Paulo Cerrado	Brazil	-21.62	-47.65	WSA	Aw	(Santos <i>et al.</i> , 2004)
BW-Mal	Maun- Mopane Woodland	Botswana	-19.92	23.56	WSA	BSh	(Veenendaal <i>et al.</i> , 2004)
CA-Ca1	British Columbia- Campbell River - Mature Forest Site	Canada	49.87	-125.33	ENF	Cfb	(Humphreys <i>et al.</i> , 2006)
CA-Ca3	British Columbia- Campbell River - Young Plantation Site	Canada	49.53	-124.90	ENF	Cfb	(Humphreys <i>et al.</i> , 2006)
CA-Gro	Ontario- Groundhog River-Mat. Boreal Mixed Wood	Canada	48.22	-82.16	MF	Dfb	(McCaughey <i>et al.</i> , 2006)
CA-Let	Lethbridge	Canada	49.71	-112.94	GRA	Dfb	(Flanagan <i>et al.</i> , 2002)
CA-Mer	Eastern Peatland- Mer Bleue	Canada	45.41	-75.52	WET	Dfb	(Lafleur <i>et al.</i> , 2003)
CA-NS1	UCI-1850 burn site	Canada	55.88	-98.48	ENF	Dfc	(Goulden <i>et al.</i> , 2006)
CA-NS3	UCI-1964 burn site	Canada	55.91	-98.38	ENF	Dfc	(Goulden <i>et al.</i> , 2006)
CA-NS6	UCI-1989 burn site	Canada	55.92	-98.96	OSH	Dfc	(Goulden <i>et al.</i> , 2006)
CA-Oas	Sask.- SSA Old Aspen	Canada	53.63	-106.20	DBF	Dfc	(Black <i>et al.</i> , 2000)
CA-Ojp	Sask.- SSA Old Jack Pine	Canada	53.92	-104.69	ENF	Dfc	(Kljun <i>et al.</i> , 2006)
CA-Qfo	Quebec Mature Boreal Forest Site	Canada	49.69	-74.34	ENF	Dfc	(Bergeron <i>et al.</i> , 2007)
CA-TP4	Ontario- Turkey Point Mature White Pine	Canada	42.71	-80.36	ENF	Dfb	(Arain & Restrepo-Coupe, 2005)
CA-WP1	Western Peatland- LaBiche-Black Spruce/Larch Fen	Canada	54.95	-112.47	MF	Dfc	(Syed <i>et al.</i> , 2006)
CH-Oe1	Oensingen1 grass	Switzerland	47.29	7.73	GRA	Cfb	(Ammann <i>et al.</i> , 2007)
CN-HaM	Haipei Alpine Tibet site	China	37.37	101.18	GRA	ET	(Kato <i>et al.</i> , 2006)
CN-Ku1	Kubuqi_populus forest	China	40.54	108.69	EBF	BSk	-
CN-Ku2	Kubuqi_shrubland	China	40.38	108.55	OSH	BSk	-
CN-Xi2	Xilinhot grassland site (X03)	China	43.55	116.67	GRA	Dwb	-
DE-Bay	Bayreuth-Waldstein/WeidenBrunnen	Germany	50.14	11.87	ENF	Cfb	(Staudt and Foken 2007)
DE-Hai	Hainich	Germany	51.08	10.45	DBF	Cfb	(Knohl <i>et al.</i> , 2003)
DE-Kli	Klingenberg	Germany	50.89	13.52	CRO	Cfb	-
DE-Tha	Tharandt- Anchor Station	Germany	50.96	13.57	ENF	Cfb	(Grunwald & Bernhofer, 2007)
DK-Ris	Risbyholm	Denmark	55.53	12.10	CRO	Cfb	(Houborg & Soegaard, 2004)
ES-ES1	El Saler	Spain	39.35	-0.32	ENF	Csa	(Reichstein <i>et al.</i> , 2005)
ES-ES2	El Saler-Sueca	Spain	39.28	-0.32	CRO	Csa	Carrara A. (P.C.)
ES-LMa	Las Majadas del Tietar	Spain	39.94	-5.77	SAV	Csa	-
ES-VDA	Vall d'Alinya	Spain	42.15	1.45	GRA	Cfb	(Gilmanov <i>et al.</i> , 2007)



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<b>FI-Hyy</b>	Hyttiala	Finland	61.85	24.29	ENF	Dfc	(Suni et al., 2003b)
<b>FI-Sod</b>	Sodankyla	Finland	67.36	26.64	ENF	Dfc	(Suni et al., 2003a)
<b>FI-Kaa</b>	Kaamanen wetland	Finland	69.14	27.30	WET	Dfc	(Aurela et al., 2002)
<b>FR-Fon</b>	Fontainebleau	France	48.48	2.78	DBF	Cfb	-
<b>FR-Gri</b>	Grignon (after 6/5/2005)	France	48.84	1.95	CRO	Cfb	(Hibbard et al., 2005)
<b>FR-Hes</b>	Hesse Forest- Sarrebourg	France	48.67	7.06	DBF	Cfb	(Granier et al., 2000)
<b>FR-LBr</b>	Le Bray (after 6/28/1998)	France	44.72	-0.77	ENF	Cfb	(Ogee et al., 2003)
<b>FR-Lq2</b>	Laqueuille extensive	France	45.64	2.74	GRA	Cfb	(Gilmanov et al., 2007)
<b>FR-Pue</b>	Puechabon	France	43.74	3.60	EBF	Csa	(Rambal et al., 2003)
<b>ID-Pag</b>	Palangkaraya	Indonesia	2.35	114.04	EBF	Af	(Hirano et al., 2007)
<b>IL-Yat</b>	Yatir	Israel	31.34	35.05	ENF	BSh	(Grunzweig et al., 2003)
<b>IT-Amp</b>	Amplero (after 6/28/2004)	Italy	41.90	13.61	GRA	Cfa	(Gilmanov et al., 2007)
<b>IT-BCi</b>	Borgo Cioffi	Italy	40.52	14.96	CRO	Csa	(Reichstein et al., 2003a)
<b>IT-Cpz</b>	Castelporziano	Italy	41.71	12.38	EBF	Csa	(Garbulsky <i>et al.</i> , 2008)
<b>IT-MBo</b>	Monte Bondone	Italy	46.02	11.05	GRA	Cfb	(Marcolla & Cescatti, 2005)
<b>IT-Noe</b>	Sardinia/Arca di Noè	Italy	40.61	8.15	CSH	Csa	-
<b>IT-Non</b>	Nonantola	Italy	44.69	11.09	DBF	Cfa	(Reichstein et al., 2005)
<b>IT-PT1</b>	Zerbolò-Parco Ticino- Canarazzo	Italy	45.20	9.06	DBF	Cfa	(Migliavacca <i>et al.</i> , 2009)
<b>IT-Ren</b>	Renon/Ritten (Bolzano)	Italy	46.59	11.43	ENF	Cfb	(Montagnani <i>et al.</i> , 2009)
<b>IT-Ro1</b>	Roccarespampani 1	Italy	42.41	11.93	DBF	Csa	(Reichstein et al., 2003a)
<b>IT-Ro2</b>	Roccarespampani 2	Italy	42.39	11.92	DBF	Csa	(Reichstein et al., 2003a)
<b>IT-SRo</b>	San Rossore	Italy	43.73	10.28	ENF	Csa	(Chiesi et al., 2005)
<b>JP-Tef</b>	Teshio Experimental Forest	Japan	45.06	142.11	MF	Dfb	(Takagi <i>et al.</i> , 2009)
<b>NL-Loo</b>	Loobos	Netherlands	52.17	5.74	ENF	Cfb	(Dolman et al., 2002) LAI (Moors, P.C.)
<b>PT-Esp</b>	Espirra	Portugal	38.64	-8.60	EBF	Csa	-
<b>PT-Mi1</b>	Mitra (Evora)	Portugal	38.54	-8.00	SAV	Csa	(Pereira et al., 2007)
<b>RU-Cok</b>	Chokurdakh	Russia	70.62	147.88	OSH	Dfc	(Van Der Molen <i>et al.</i> , 2007)
<b>UK-EBu</b>	Easter Bush- Scotland	UK	55.87	-3.21	GRA	Cfb	-
<b>UK-Gri</b>	Griffin- Aberfeldy-Scotland	UK	56.61	-3.80	ENF	Cfc	(Rebmann et al., 2005)
<b>US-ARb</b>	ARM Southern Great Plains burn site- Lamont	USA	35.55	-98.04	GRA	Cfa	-
<b>US-ARM</b>	ARM Southern Great Plains site- Lamont	USA	36.61	-97.49	CRO	Cfa	(Fischer <i>et al.</i> , 2007)
<b>US-Aud</b>	Audubon Research Ranch	USA	31.59	-110.51	GRA	BSk	-
<b>US-Bar</b>	Bartlett Experimental Forest	USA	44.06	-71.29	DBF	Dfb	(Jenkins et al., 2007)
<b>US-Bkg</b>	Brookings	USA	44.35	-96.84	GRA	Dfa	(Gilmanov et al., 2005)
<b>US-Bn1</b>	Bonanza Creek, 1920 Burn site near Delta Junction	USA	63.92	-145.38	ENF	Dsc	(Liu et al., 2005)
<b>US-Bn2</b>	Bonanza Creek, 1987 Burn site near Delta Junction	USA	63.92	-145.38	DBF	Dsc	(Liu et al., 2005)
<b>US-Bn3</b>	Bonanza Creek, 1999 Burn site near Delta Junction	USA	63.92	-145.74	OSH	Dsc	(Liu et al., 2005)
<b>US-Bo1</b>	Bondville	USA	40.01	-88.29	CRO	Dfa	(Meyers & Hollinger, 2004)
<b>US-Brw</b>	Alaska – Barrow	USA	71.32	-156.63	WET	ET	(Grant et al., 2003)
<b>US-Dk3</b>	Duke Forest - loblolly pine	USA	35.98	-79.09	MF	Cfa	(Pataki et al., 2003)
<b>US-FPe</b>	Fort Peck	USA	48.31	-105.10	GRA	BSk	-
<b>US-Fwf</b>	Flagstaff – Wildfire	USA	35.45	-111.77	GRA	Csb	(Dore <i>et al.</i> , 2008)
<b>US-Ha1</b>	Harvard Forest EMS Tower (HFR1)	USA	42.54	-72.17	DBF	Dfb	(Urbanski <i>et al.</i> , 2007)
<b>US-Ho1</b>	Howland Forest (main tower)	USA	45.20	-68.74	ENF	Dfb	(Hollinger et al., 2004)

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2	<b>US-Ho2</b>	Howland Forest (west tower)	USA	45.21	-68.75	MF	Dfb	(Hollinger et al., 2004)
3	<b>US-IB1</b>	Fermi National Accelerator Laboratory- Batavia (Agricultural site)	USA	41.86	-88.22	CRO	Dfa	(Allison et al., 2005)
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5	<b>US-KS2</b>	Kennedy Space Center (scrub oak)	USA	28.61	-80.67	CSH	Cfa	(Powell et al., 2006)
6	<b>US-Los</b>	Lost Creek	USA	46.08	-89.98	CSH	Dfb	(Yi et al., 2004)
7	<b>US-LPH</b>	Little Prospect Hill	USA	42.54	-72.18	DBF	Dfb	(Borken et al., 2006)
8	<b>US-Me2</b>	Metolius-intermediate aged ponderosa pine	USA	44.45	-121.56	ENF	Csb	(Thomas et al., in press)
9	<b>US-Me3</b>	Metolius-second young aged pine	USA	44.32	-121.61	ENF	Csb	-
10	<b>US-Me4</b>	Metolius-old aged ponderosa pine	USA	44.50	-121.62	ENF	Csb	(Law et al., 2001)
11	<b>US-MMS</b>	Morgan Monroe State Forest	USA	39.32	-86.41	DBF	Cfa	(Schmid et al., 2000)
12	<b>US-MOz</b>	Missouri Ozark Site	USA	38.74	-92.20	DBF	Cfa	(Gu et al., 2006)
13	<b>US-NC2</b>	NC_Loblolly Plantation	USA	35.80	-76.67	ENF	Cfa	(Noormets et al., 2009)
14	<b>US-Ne1</b>	Mead - irrigated continuous maize site	USA	41.17	-96.48	CRO	Dfa	(Verma et al., 2005)-
15	<b>US-Ne2</b>	Mead - irrigated maize-soybean rotation site	USA	41.16	-96.47	CRO	Dfa	(Verma et al., 2005)
16	<b>US-NR1</b>	Niwot Ridge Forest (LTER NWT1)	USA	40.03	-105.55	ENF	Dfc	(Monson et al., 2002)
17	<b>US-Oho</b>	Oak Openings	USA	41.55	-83.84	DBF	Dfa	(Deforest et al., 2006)
18	<b>US-PFa</b>	Park Falls/WLEF	USA	45.95	-90.27	MF	Dfb	(Davis et al., 2003, Ricciuto et al., 2008)
19	<b>US-SO2</b>	Sky Oaks- Old Stand	USA	33.37	-116.62	WSA	Csa	(Hibbard et al., 2005)
20	<b>US-SO3</b>	Sky Oaks- Young Stand	USA	33.38	-116.62	WSA	Csa	(Lipson et al., 2005)
21	<b>US-SP1</b>	Slashpine-Austin Cary- 65yrs nat regen	USA	29.74	-82.22	ENF	Cfa	(Powell et al., 2008)
22	<b>US-SP2</b>	Slashpine-Mize-clearcut-3yr,regen	USA	29.76	-82.24	ENF	Cfa	(Clark et al., 2004)
23	<b>US-Syv</b>	Sylvania Wilderness Area	USA	46.24	-89.35	MF	Dfb	(Desai et al., 2005)
24	<b>US-Ton</b>	Tonzi Ranch	USA	38.43	-120.97	WSA	Csa	(Ma et al., 2007)
25	<b>US-UMB</b>	Univ. of Mich. Biological Station	USA	45.56	-84.71	DBF	Dfb	(Gough et al., 2008)
26	<b>US-Var</b>	Vaira Ranch- Ione	USA	38.41	-120.95	GRA	Csa	(Xu & Baldocchi, 2004)
27	<b>US-WCr</b>	Willow Creek	USA	45.81	-90.08	DBF	Dfb	(Cook et al., 2004)
28	<b>US-Wi4</b>	Mature red pine (MRP)	USA	46.74	-91.08	ENF	Dfb	(Noormets et al., 2007)
29	<b>VU-Coc</b>	CocoFlux	Vanuatu	-15.44	167.19	EBF	Af	(Roupsard et al., 2006)
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## APPENDIX II – Lists of site characteristics

Table A II – Site characteristics derived from the FLUXNET database.  $R_0$  is the reference respiration estimated with the *LinGPP* model formulation, LAI is the maximum seasonal leaf area index of the ecosystems (understorey and overstorey),  $LAI_{MAX,o}$  is the maximum leaf area index of the solely overstorey, SoilC is the total soil carbon content, Age is the stand age,  $T_{mean}$  is the annual average mean temperature,  $N_{depo}$  is the total atmospheric nitrogen deposition derived as described in the method section,  $u^*$  is the median of the yearly friction velocity threshold identified at each site by using the method described in Papale et al., (2006). Sites with (\*) in the column dist (disturbance) represent sites with recent disturbance according to what reported in the FLUXNET database.

SITE ID	Tower Name	$R_0$	$LAI_{MAX}$	$LAI_{MAX,o}$	SoilC	$N_{depo}$	Age	dist	$T_{mean}$	$u^*$
		$gCm^{-2}day^{-1}$	$m^2m^{-2}$	$m^2m^{-2}$	$kgCm^{-2}$	$kgN\ year^{-1}ha^{-1}$	years		$^{\circ}C$	$m\ s^{-1}$
AT-Neu	Neustift/Stubai Valley	4.83	6.50	6.5	4.25	18.97		*	6.79	0.035
AU-How	Howard Springs	1.84	2.40	0.9	15.10	1.09		*	25.86	0.136
BE-Lon	Lonzee	2.23	5.62	5.6	3.70	23.12		*	10.88	0.134
BE-Vie	Vielsalm	2.47	5.10	5.1	3.82	25.22	96		8.31	0.459
BR-Sp1	Sao Paulo Cerrado	3.54	3.50	3.5	8.00	8.32			22.70	0.263
BW-Ma1	Maun- Mopane Woodland	0.67	1.10	1.1	0.50	3.54		*	22.83	0.159
CA-Ca1	British Columbia- Campbell River - Mature Forest Site	2.77	8.40	7.1	1.51		60		8.67	0.295
CA-Ca3	British Columbia- Campbell River - Young Plantation Site	3.84	6.70	3.0	1.65		21	*	9.97	0.102
CA-Gro	Ontario- Groundhog River-Mat. Boreal Mixed Wood	4.88	4.30	4.3	1.82		78	*	3.84	0.408
CA-Let	Lethbridge	1.05	0.80	0.8	3.01			*	6.66	
CA-Mer	Eastern Peatland- Mer Bleue	0.94	1.30	1.3		5.79			6.69	0.039
CA-NS1	UCI-1850 burn site	3.43	5.68	5.2	16.53	0.69	159		-1.32	0.270
CA-NS3	UCI-1964 burn site	6.10	9.81	5.3	3.64	0.69	45		-1.04	0.192
CA-NS6	UCI-1989 burn site	2.40	2.97	3.0	4.40	0.69	20		-0.25	0.261
CA-Oas	Sask.- SSA Old Aspen	3.70	5.10	2.1	1.63	1.28	85		2.10	0.346
CA-Ojp	Sask.- SSA Old Jack Pine	1.76	2.60	2.6	1.58	1.18	93		1.75	0.243
CA-Qfo	Quebec Mature Boreal Forest Site	2.14	3.70	3.7	3.50	1.45	102		2.66	0.273
CA-TP4	Ontario- Turkey Point Mature White Pine	3.56	8.00	8.0	3.70	12.17	70		8.95	0.316
CA-WP1	Western Peatland- LaBiche-Black Spruce/Larch Fen	0.76	2.61	1.3		1.15	136		3.63	0.017
CH-Oe1	Oensingen1 grass	3.83	4.85	4.9	18.30	23.67		*	9.21	0.043
CN-HaM	Haibei Alpine Tibet site	2.97	2.78	2.8	8.60	2.26		*	-5.18	0.065
CN-Ku1	Kubuqi_populus forest	0.23	0.23	0.2		3.14	8	*	11.09	0.080
CN-Ku2	Kubuqi_shrubland	0.61	0.20	0.2		3.14		*	11.57	
CN-Xi2	Xilinhot grassland site (X03)	0.88	0.25	0.3		5.88		*	5.96	
DE-Bay	Bayreuth-Waldstein/WeidenBrunnen	5.04	5.60	5.3	17.02	13.65	45		7.00	0.353
DE-Hai	Hainich	2.93	6.08	6.1	12.20	17.80	140		8.23	0.519
DE-Kli	Klingenberg	4.42	9.73	5.5	9.70	14.79		*	8.34	0.099
DE-Tha	Tharandt- Anchor Station	5.64	7.60	5.2	16.00	14.79	118	*	8.52	0.279
DK-Ris	Risbyholm	2.77	6.00	6.0		8.51		*	7.47	0.082
ES-ES1	El Saler	3.28	3.63	2.6		7.68			17.41	0.255
ES-ES2	El Saler-Sueca	1.04	5.80	5.8		7.68	75	*	18.01	0.070
ES-LMa	Las Majadas del Tietar	1.57	2.00	0.5	3.32	6.85	120	*	16.16	0.153
ES-VDA	Vall d'Alinya	1.66	1.35	1.4		12.02		*	6.51	0.069

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2	<b>FI-Hyy</b>	Hyttiala	3.63	7.00	6.7	5.60	2.87	47	*	4.47	0.296
3	<b>FI-Kaa</b>	Kaamanen wetland	1.27	0.70	0.7		1.30			0.20	0.089
4	<b>FI-Sod</b>	Sodankyla	2.09	1.20	1.2	3.14	1.07			1.10	0.211
5	<b>FR-Fon</b>	Fontainebleau	2.20	5.05	5.1	10.20	23.38			11.50	0.163
6	<b>FR-Gri</b>	Grignon (after 6/5/2005)	2.16	3.34	3.3		21.09		*	11.25	0.100
7	<b>FR-Hes</b>	Hesse Forest- Sarrebourg	3.17	6.70	7.3	7.17	26.30	43		10.37	0.152
8	<b>FR-LBr</b>	Le Bray (after 6/28/1998)	3.51	4.00	2.5	10.90	14.30	39	*	13.66	0.206
9	<b>FR-Lq2</b>	Laqueuille extensive	3.26	3.00	3.0		18.23		*	7.66	0.146
10	<b>FR-Pue</b>	Puechabon	2.66	3.90	1.9	6.10	14.46	66		13.64	0.229
11	<b>ID-Pag</b>	Palangkaraya	4.53	5.60	5.6		2.19		*	26.55	
12	<b>IL-Yat</b>	Yatir	0.68	2.50	2.5	3.70	7.18	42	*	18.68	0.338
13	<b>IT-Amp</b>	Amplero (after 6/28/2004)	2.49	2.00	2.0	19.30	10.41		*	10.21	0.029
14	<b>IT-BCi</b>	Borgo Cioffi	2.28	5.80	5.8		8.98		*	16.29	0.091
15	<b>IT-Cpz</b>	Castelporziano	1.31	3.50	3.5	4.31	11.25			14.82	0.096
16	<b>IT-MBo</b>	Monte Bondone	4.82	2.82	2.8	35.00	18.78		*	5.09	0.075
17	<b>IT-Noe</b>	Sardinia/Arca di Noè	2.84	2.10	2.1	10.00	10.22	45		16.87	0.091
18	<b>IT-Non</b>	Nonantola	1.27	1.70	1.7	4.80	16.96	17	*	13.91	0.080
19	<b>IT-PT1</b>	Zerbolò-Parco Ticino- Canarazzo	2.65	4.45	2.2	4.59	18.91	14	*	14.53	0.185
20	<b>IT-Ren</b>	Renon/Ritten (Bolzano)	1.79	5.11	4.6	15.20	18.78		*	4.71	0.119
21	<b>IT-Ro1</b>	Roccarespampani 1	2.97	4.30	3.0	11.30	13.72	7	*	15.64	0.218
22	<b>IT-Ro2</b>	Roccarespampani 2	2.46	4.08	3.9	11.84	13.72	17		14.79	0.095
23	<b>IT-SRo</b>	San Rossore	2.89	4.20	4.2	2.15	16.10	57		15.44	0.201
24	<b>JP-Tef</b>	Teshio Experimental Forest	4.76	7.50	4.5		1.83		*	6.30	0.130
25	<b>NL-Loo</b>	Loobos	4.23	3.50	2.0	2.40	12.24			10.42	0.224
26	<b>PT-Esp</b>	Espirra	2.06	2.80	2.8		5.62	16		16.03	0.231
27	<b>PT-Mi1</b>	Mitra (Evora)	1.10	2.30	0.7		5.62			15.86	0.228
28	<b>RU-Cok</b>	Chokurdakh	1.20	1.50	1.5	4.35	0.20			2.62	
29	<b>UK-EBu</b>	Easter Bush- Scotland	2.00	3.90	3.9	22.95	6.27		*	9.00	
30	<b>UK-Gri</b>	Griffin- Aberfeldy-Scotland	3.72	7.00	7.0	15.00	4.54	25		7.61	0.175
31	<b>US-ARb</b>	ARM Southern Great Plains burn site- Lamont	2.66	3.25	3.3	13.51	10.71		*	16.97	0.195
32	<b>US-ARM</b>	ARM Southern Great Plains site- Lamont	0.84	2.10	2.1		11.52		*	15.57	0.075
33	<b>US-Aud</b>	Audubon Research Ranch	1.28	1.00	1.0		2.55		*	17.28	0.038
34	<b>US-Bar</b>	Bartlett Experimental Forest	3.91	4.70	5.1	15.50	6.98	70		7.15	0.050
35	<b>US-Bkg</b>	Brookings	1.63	3.00	3.0		8.57		*	8.05	0.098
36	<b>US-Bn1</b>	Bonanza Creek, 1920 Burn site near Delta Junction	1.73	3.50	3.5		0.62	89		-0.82	0.075
37	<b>US-Bn2</b>	Bonanza Creek, 1987 Burn site near Delta Junction	0.88	2.50	2.5		0.62	22	*	-0.29	0.071
38	<b>US-Bn3</b>	Bonanza Creek, 1999 Burn site near Delta Junction	0.69	1.10	1.1		0.62	10	*	-0.29	0.075
39	<b>US-Bo1</b>	Bondville	2.57	5.25	5.3		16.50		*	11.14	0.108
40	<b>US-Brw</b>	Alaska – Barrow	1.12	1.50	1.5	16.50	0.15			-1.38	0.071
41	<b>US-Dk3</b>	Duke Forest - loblolly pine	1.39	5.20	4.7	9.00	15.07	26	*	14.68	
42	<b>US-FPe</b>	Fort Peck	1.25	2.50	2.5		3.74		*	5.74	0.060
43	<b>US-Fwf</b>	Flagstaff – Wildfire	0.80	0.60	0.6	3.30	2.47		*	12.26	0.082
44	<b>US-Ha1</b>	Harvard Forest EMS Tower (HFR1)	3.26	5.20	5.2	8.80	12.27			8.16	0.392
45	<b>US-Ho1</b>	Howland Forest (main tower)	3.71	6.50	6.5	11.00	4.19	140		6.60	0.224
46	<b>US-Ho2</b>	Howland Forest (west tower)	3.59	5.60	5.6	12.00	4.19	140		6.51	
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2	<b>US-IB1</b>	Fermi National Accelerator Laboratory- Batavia (Agricultural site)	1.90	5.25	5.3	6.30	14.95		*	13.83	0.010
3	<b>US-KS2</b>	Kennedy Space Center (scrub oak)	1.92	2.50	2.5	3.60	7.00		*	22.26	0.053
4	<b>US-Los</b>	Lost Creek	1.94	4.24	4.2	4.50	3.02	11		4.72	0.140
5	<b>US-LPH</b>	Little Prospect Hill	3.19	5.00	5.0	3.70	12.27			8.82	0.221
6	<b>US-Me2</b>	Metolius-intermediate aged ponderosa pine	2.15	2.80	2.7	7.90	3.45	95		6.82	0.601
7	<b>US-Me3</b>	Metolius-second young aged pine	0.90	0.52	0.5	10.00	3.45	21		8.47	0.064
8	<b>US-Me4</b>	Metolius-old aged ponderosa pine	1.28	2.10	2.1	5.56	3.45			8.32	0.034
9	<b>US-MMS</b>	Morgan Monroe State Forest	2.83	4.62	4.6	6.60	18.27			12.28	0.342
10	<b>US-MOz</b>	Missouri Ozark Site	2.09	4.20	4.2		17.17			14.87	0.224
11	<b>US-NC2</b>	NC_Loblolly Plantation	3.66	3.00	3.0		14.33	15	*	15.86	0.147
12	<b>US-Ne1</b>	Mead - irrigated continuous maize site	3.82	6.30	6.3	18.40	13.20		*	11.36	0.098
13	<b>US-Ne2</b>	Mead - irrigated maize-soybean rotation site	2.40	3.75	3.8	21.10	13.20		*	11.43	0.107
14	<b>US-NR1</b>	Niwot Ridge Forest (LTER NWT1)	3.04	5.60	5.1	16.00	3.77	102		2.46	0.308
15	<b>US-Oho</b>	Oak Openings	1.57	4.70	4.0		13.49	46	*	11.16	0.136
16	<b>US-PFa</b>	Park Falls/WLEF	3.31	4.10	4.1	20.20	4.32			4.59	0.211
17	<b>US-SO2</b>	Sky Oaks- Old Stand	1.15	3.00	3.0	0.87	3.56	78	*	13.77	0.038
18	<b>US-SO3</b>	Sky Oaks- Young Stand	0.66	1.10	1.1		3.56	4	*	15.87	0.104
19	<b>US-SP1</b>	Slashpine-Austin Cary- 65yrs nat regen	3.04	4.50	4.5	8.00	9.15	65		21.04	0.186
20	<b>US-SP2</b>	Slashpine-Mize-clearcut-3yr,regen	3.60	3.88	2.9		9.15	9	*	20.56	0.050
21	<b>US-Syv</b>	Sylvania Wilderness Area	2.80	3.80	3.8	10.47	2.55	350		5.20	0.406
22	<b>US-Ton</b>	Tonzi Ranch	1.88	2.00	0.6	4.85	1.87			17.36	0.143
23	<b>US-UMB</b>	Univ. of Mich. Biological Station	3.17	3.95	3.6	3.60	3.83	90		7.35	
24	<b>US-Var</b>	Vaira Ranch- Ione	2.15	2.50	2.5		1.87		*	15.93	0.047
25	<b>US-WCr</b>	Willow Creek	2.60	5.40	4.5	9.47	4.32	74		5.77	0.419
26	<b>US-Wi4</b>	Mature red pine (MRP)	1.17	2.80	1.8		4.18	69		10.19	0.162
27	<b>VU-Coc</b>	CocoFlux	4.44	5.65	3.0	4.25	0.39	24	*	24.76	0.188
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## APPENDIX III – List of acronyms and abbreviations

Table AIII – Acronyms and abbreviations

<i>Acronyms</i>	<i>Description</i>
CRO	Croplands
DBF	Deciduous Broadleaf Forest
$E_0$	Activation Energy [K]
EBF	Evergreen Broadleaf Forest
EF	Modeling Efficiency from Jannssen and Heuberger (1995)
ENF	Evergreen Needleleaf Forest
GPP	Gross Primary Production
$GPP_{lag,i}$	GPP measured $i$ days before the observation day of ecosystem respiration
GRA	Grasslands
$hR_{max}$	GPP value at half saturation
IGBP	International Geosphere Biosphere Programme
K	Half saturation constant of the hyperbolic relationship between $R_{ECO}$ and precipitation
$k_2$	Parameter governing the linear and exponential response of $R_{ECO}$ to GPP
$LAI_{MAX}$	Maximum Leaf Area Index (Understorey + Overstorey)
$LAI_{MAX,o}$	Maximum Leaf Area Index (Overstorey)
MAE	Mean Absolute Error from Jannssen and Heuberger (1995)
MDS	Marginal Distribution Sampling
MF	Mixed Forest
$N_{depo}$	Total Nitrogen Depositions
NEE	Net Ecosystem Exchange
$NEE_{mid}$	NEE mid-day
$NEE_{night}$	NEE night-time
P	30-day Precipitation running average
PFT	Plant Functional Type
$R_0$	Respiration at reference temperature for TP Model with GPP dependency added
$R_2$	Parameter of exponential dependency between GPP and $R_{ECO}$
$R_{ECO}$	Ecosystem Respiration
$R_{max}$	Plateau of the $R_{ECO}$ response to GPP
RMSE	Root Mean Square Error from Jannssen and Heuberger (1995)
$R_{ref}$	Respiration at reference temperature for TP Model
SAV	Savanna
SHB	Shrublands
SoilC	Total soil stock (0-50 cm)
SWC	Soil Water Content
$T_0$	Constant temperature from Lloyd and Taylor (1994) at 46.02°C
$T_A$	Air temperature
TP Model	Temperature and Precipitation model, from Raich et al. (2000) and modified by Reichstein et al. (2003)
$TP_b$ Model	TP biotic model, containing both the dependency on GPP and ecosystem LAI (Final model formulation)



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3	$T_{\text{Ref}}$	Reference temperature (15 °C)
4	$y_{\text{mod}}$	Modeled data as a function of parameter vector
5	$y_{\text{obs}}$	Observed data
6	$A$	Response of $R_{\text{ECO}}$ to null precipitation
7	$\Theta$	Parameter vector
8	$\Sigma$	Weight of cost function
9	$\Omega_{\text{LS}}$	Cost function
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#### APPENDIX IV – Discussion of the ‘spurious’ correlation between $R_{ECO}$ and GPP.

To understand whether our results were affected by the ‘spurious’ correlation between GPP and  $R_{ECO}$  as reported in FLUXNET ( $GPP_{FLUX}$ ) we also perform the analysis using a ‘quasi’-independent Reco and GPP estimates as described by Lasslop et al., (2010) ( $R_{ECO-LASS}$  and  $GPP_{LASS}$ , ). The method by Lasslop et al., (2009) do not compute GPP as a difference, but derive  $R_{ECO}$  and GPP from quasi-disjoint NEE data subsets. Hence, if existing, spurious correlations was minimized. The ‘*TP Model*’ was optimized against  $R_{ECO-LASS}$  and  $GPP_{LASS}$  and the Pearson’s correlation coefficient between ‘*TP Model*’ residuals and  $GPP_{LASS}$  was calculated ( $r_{TPModel-GPPLASS}$ ) at each site and for each PFT.

At each site we compared the correlation between ‘*TP Model*’ residuals and GPP derived exploiting the FLUXNET database ( $r_{TPModel-GPPFLUX}$ ) with the  $r_{TPModel-GPPLASS}$ . The comparison was conducted by using the two sample paired sign test (Gibbons and Chakraborti, 2003). We test the null hypothesis that the median of the difference between two samples is zero, for a 5% significance level. The sign test was selected instead the t-test because avoids: (i) the normal distribution assumption; and (ii) distribution symmetry.

The paired sign test between  $r_{TPModel-GPPFLUX}$  and  $r_{TPModel-GPPLASS}$  indicates that the median for the differences of the populations is not statistically different from 0 ( $p = 0.187$ ) confirming that the bias observed in the purely climate driven model it is not imputable to a ‘spurious’ correlation between Reco and GPP introduced by the partitioning method used in the FLUXNET database. The differences are negligible also if we consider each PFT separately as depicted by the box-plot in Fig. A-I and in Tab. A-IV.

Once the best model formulation including GPP as driver is selected we also compared the parameters of the ‘LinGPP’ model formulation (i.e. best model selected by the consistent Akaike Information Criterion, cAIC in Table 1) estimated using the GPP and  $R_{ECO}$  from FLUXNET and  $R_{ECO-LASS}$  and  $GPP_{LASS}$ . The statistics in fitting were also compared. The results are summarized in the box-plot in Fig. AII in which  $k_2$ ,  $R_0$  and the main statistics in fitting (EF and RMSE) were schematically reported. These results showed that using the two different datasets the results are similar and the overall picture drawn using the Lasslop’s method and the FLUXNET database is the same.

Table A IV– Statistics of the sign test between the Pearson’s correlation coefficient calculated between residuals of TP Model and GPP computed using FLUXNET partitioning (Reichstein et al., 2005) and Lasslop’s partitioning (Lasslop et al., 2010). In the third column NS means that the median is not significantly different to 0 while \* means a significance level of  $p < 0.05$ . Median of diff. represent the median of differences of two populations,  $p$  the level of significance,  $df$  the degree of freedom (i.e. number of sites (n) -1). The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

<i>PFT</i>	<i>p</i>	Median of Diff		<i>df</i>
<i>ENF</i>	0.678	0.007	NS	25
<i>DBF</i>	0.774	0.001	NS	14
<i>GRA</i>	0.424	-0.015	NS	14
<i>CRO</i>	<0.05	-0.050	*	8
<i>SAV</i>	0.063	-0.064	NS	4
<i>SHB</i>	0.999	0.015	NS	4
<i>EBF</i>	0.688	0.046	NS	6
<i>MF</i>	0.999	-0.022	NS	7
<i>WET</i>	0.999	0	NS	2
<i>All</i>	0.1875	-0.009	NS	92

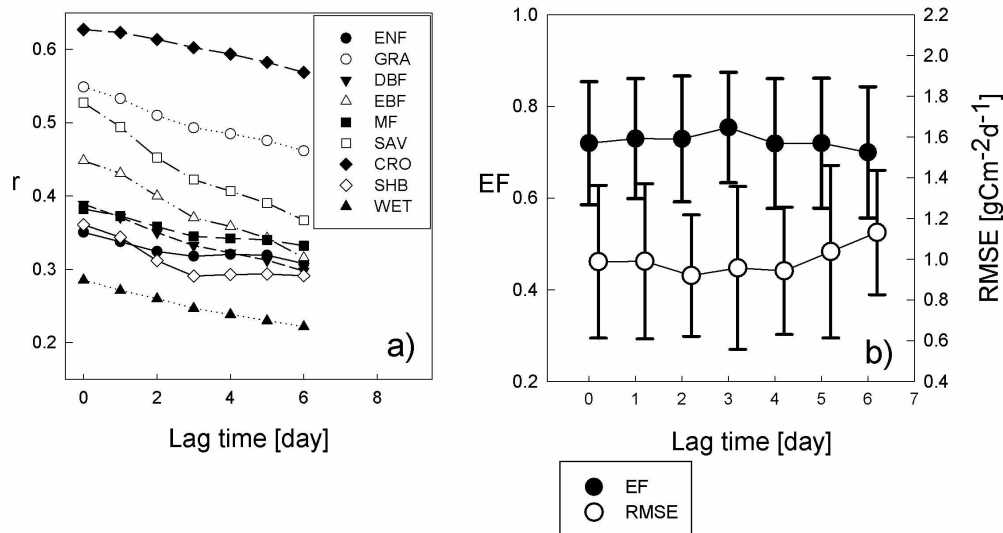


Figure 1 - a) Pearson's correlation coefficients ( $r$ ) for the residual of observed minus modelled RECO versus measured GPP and a function of time lag; b) average model performances (EF and RMSE) for deciduous broadleaf forests as a function of the time lag between GPP and RECO response. Results obtained running the 'LinGPP' formulation with different GPP time series, from the GPP measured at the same day up to the GPP measured one week before the RECO. Error bars represent the standard deviation of model statistics calculated at each site. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

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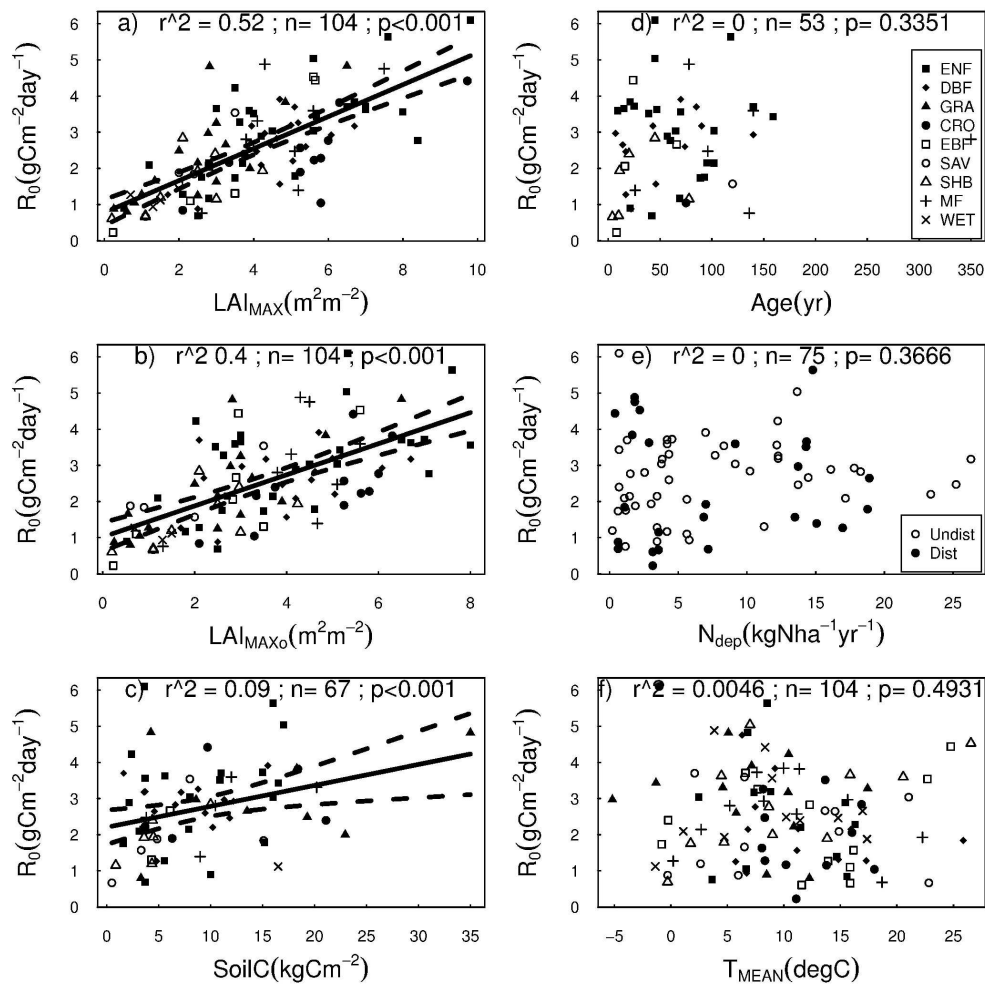


Figure 2 - Correlation between reference respiration ( $R_0$ ) and a) seasonal maximum leaf area index ( $LAI_{MAX}$ ) of understory and overstorey, b) overstorey peak leaf area index ( $LAI_{MAX,o}$ ), c) total soil carbon content ( $SoilC$ ), d) stand age for forest ecosystems ( $Age$ ), e) total atmospheric nitrogen deposition for forest sites ( $N_{dep}$ ) and f) mean annual temperature. In panels a), b), c), d) and f) different symbols represent different PFT. In panel e) full circles represent disturbed sites while open circles the undisturbed ones. The  $r^2$ ,  $p$  and number of sites ( $n$ ) were reported. The regression line and the 95% confidence interval are given if the relationship is significant. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

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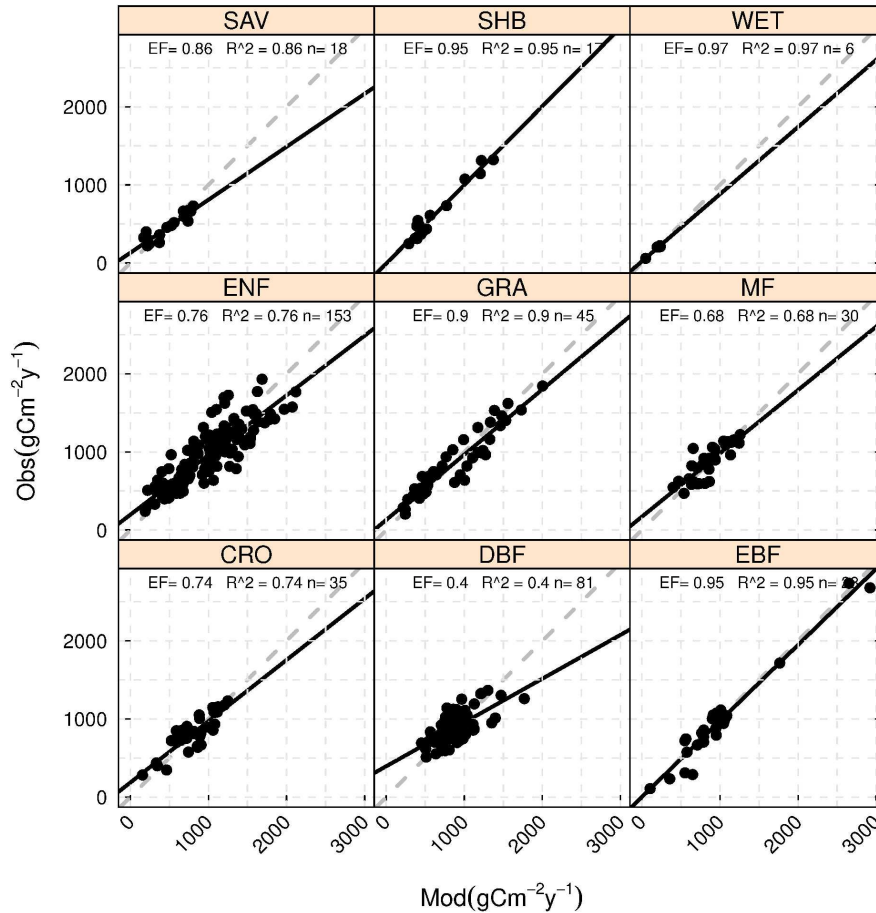


Figure 3 - Scatterplots of annual observed vs modelled RECO obtained using the 'TPGPP-LAI Model'. Each panel represent a different plant functional type (PFT). The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).

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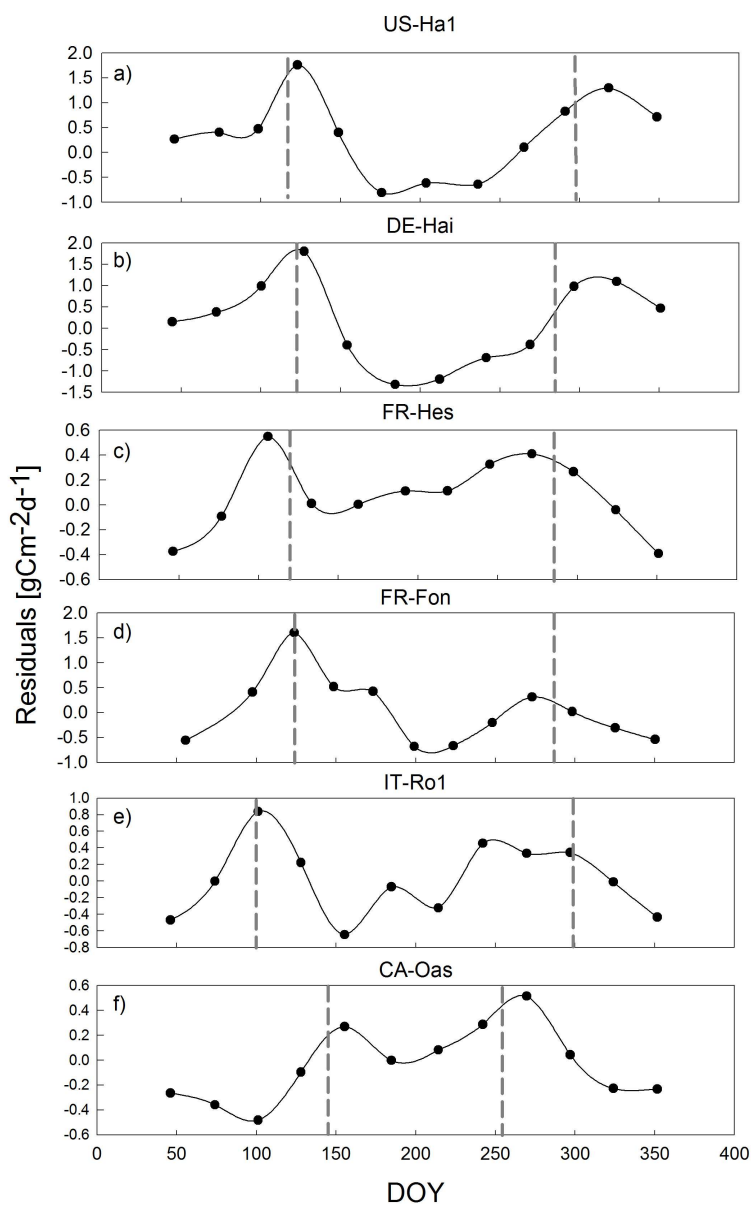


Figure 4 - Time series of average monthly model residuals for different deciduous broadleaf forest (DBF) sites. The vertical grey dashed lines represent the phenological dates. Average phenological dates were derived for US-Ha1 from literature (Jolly et al. 2005) while for other sites they were retrieved from the FLUXNET database. Average phenological dates, bud-burst and end-of-growing season are respectively: US-Ha1 ( 115-296),DE-Hai (126-288), FR-Hes (120-290), FR-Fon (125-292), IT-Ro1 (104-298) and CA-Oas (146-258)

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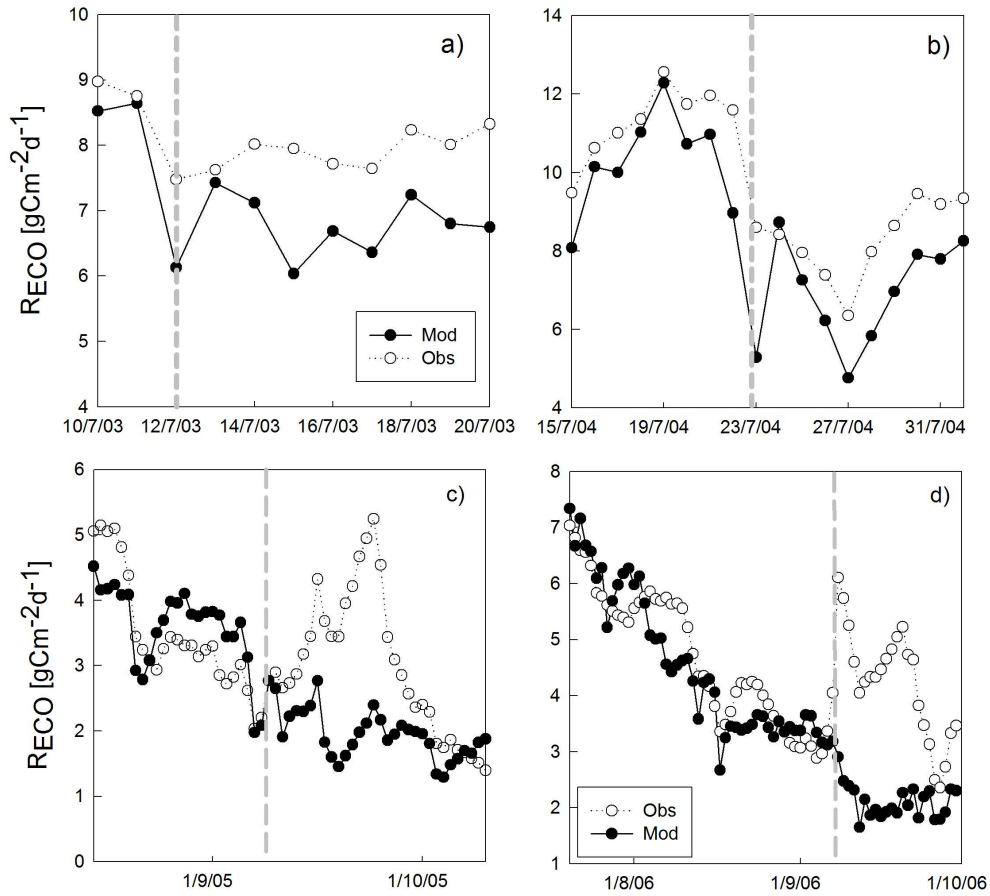


Figure 5 - Time series of observed (open circles) and modeled (black circles) for the IT-MBo site (a,b) and for the ES-ES2 site (c, d), grey dashed lines represent the dates of cuts indicated in the database (the date may be indicative), the model underestimation of fluxes in the days after each cut is clear.

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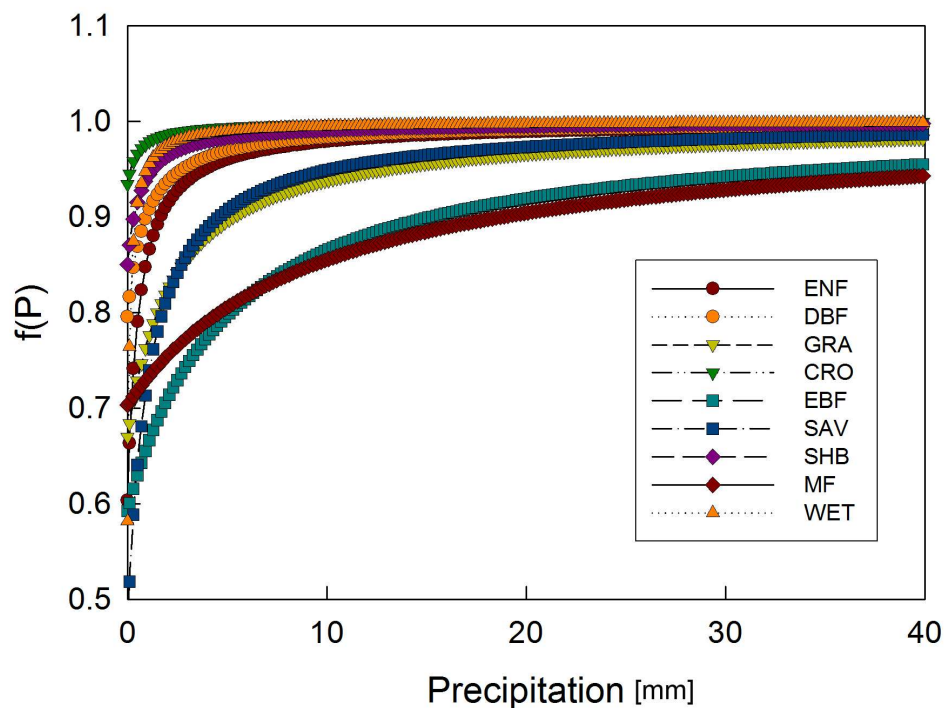


Figure 6 - Response function of ecosystem respiration to the 30-day running average of daily precipitation (Eq. 2) for each plant functional type (PFT). The parameters in Table 3 were used to draw the curves. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).  
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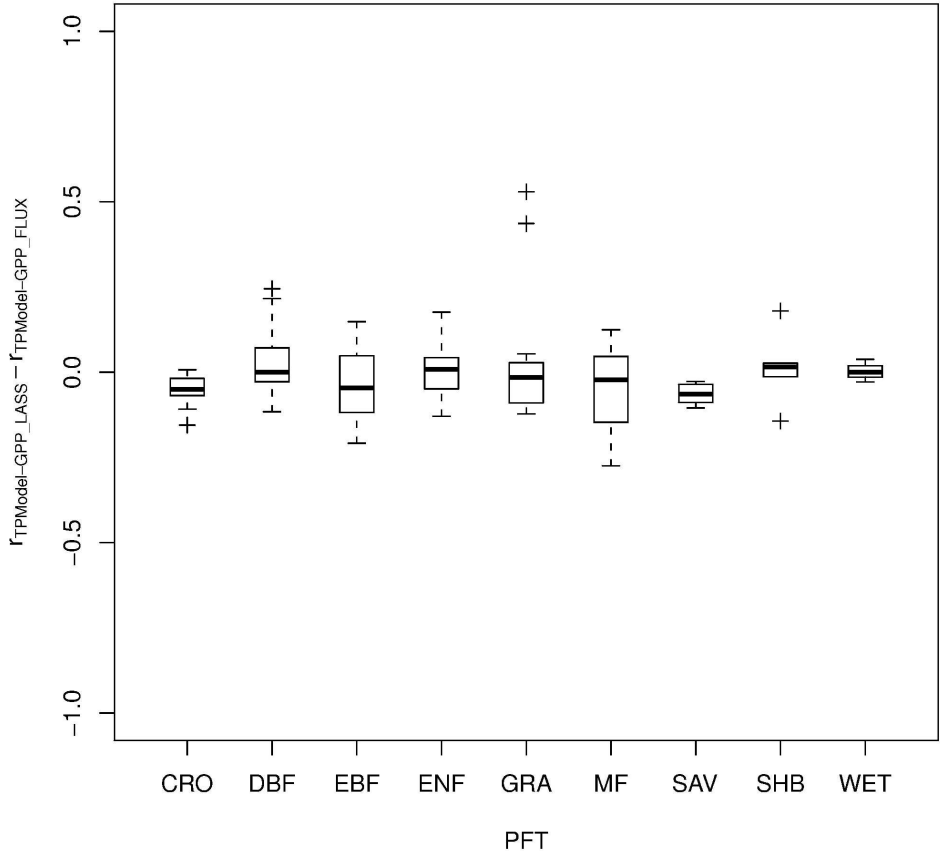


Figure AI - Box-plot of the differences at each site between the Pearson's correlation coefficient between 'TP Model' residuals and GPP computed using FLUXNET partitioning ( $r_{TPModel-GPPFLUX}$ ) and Lasslop's partitioning ( $r_{TPModel-GPP_{LASSlop}}$ ). Data were grouped in box-plots for each PFT. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET)  
177x177mm (600 x 600 DPI)

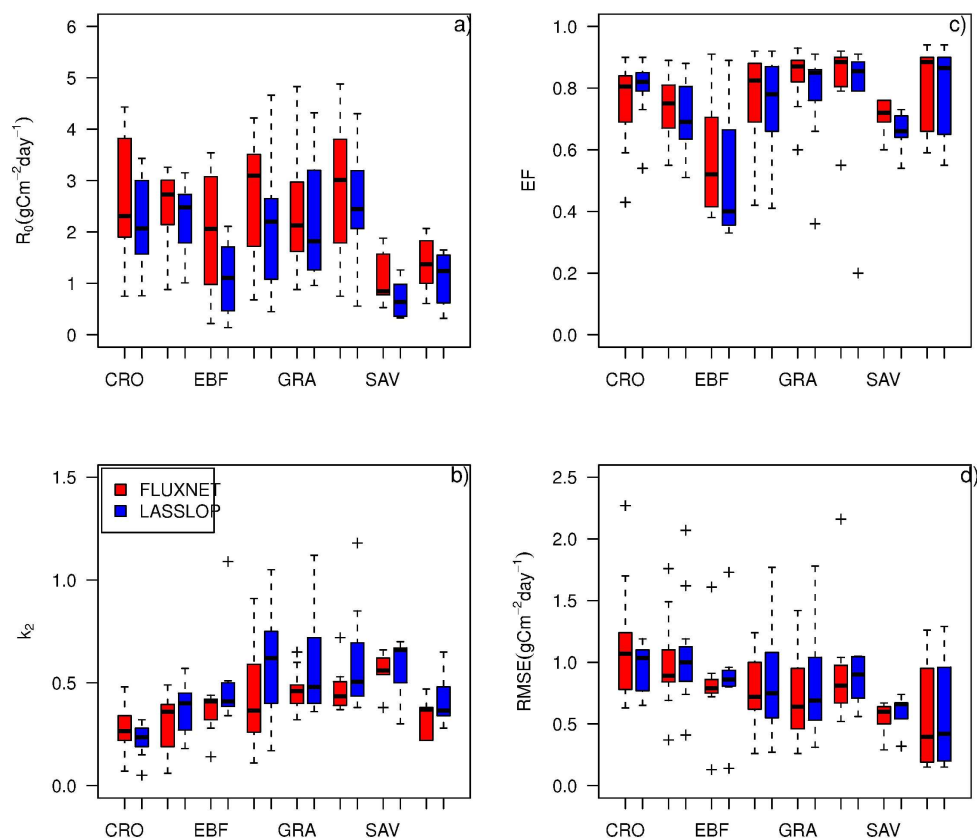


Figure AII - Box-plot of the parameters a)  $R_0$ , b)  $k_2$ , c) EF and d) RMSE estimated using FLUXNET (red boxes) and Lasslop's (Blue boxes) partitioning. The median of the differences of parameters governing the response to GPP ( $k_2$ ) estimated at each site with the two different data-sets are not statistically different from 0 except for ENF and DBF (for both  $p < 0.05$ ). No statistical differences were found for model statistics. Data were grouped in box-plots for each PFT. The definitions of different PFTs are: evergreen needleleaf forest (ENF), deciduous broadleaf forest (DBF), grasslands (GRA), croplands (CRO), savannah (SAV), shrublands (SHB), evergreen broadleaf forest (EBF), mixed forest (MF), wetland (WET).  
197x177mm (600 x 600 DPI)