An Implicit Characterization of PSPACE
Marco Gaboardi, Jean-Yves Marion, Simona Ronchi Della Rocca

To cite this version:
Marco Gaboardi, Jean-Yves Marion, Simona Ronchi Della Rocca. An Implicit Characterization of PSPACE. ACM Transactions on Computational Logic, Association for Computing Machinery, 2012, 13 (2), pp.Article 18. <10.1145/2159531.2159540>. <hal-00591868>

HAL Id: hal-00591868
https://hal.archives-ouvertes.fr/hal-00591868
Submitted on 10 May 2011

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L’archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d’enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.
An Implicit Characterization of PSPACE

Marco Gaboardi
Dipartimento di Scienze dell’Informazione, Università degli Studi di Bologna - INRIA
Focus team - Mura Anteo Zamboni 7, 40127 Bologna, Italy, gaboardi@cs.unibo.it
and
Jean-Yves Marion
Nancy-University, ENSMN-INPL, Loria - B.P. 239, 54506 Vandoeuvre-lès-Nancy, France, Jean-Yves.Marion@loria.fr
and
Simona Ronchi Della Rocca
Dipartimento di Informatica, Università degli Studi di Torino - Corso Svizzera 185, 10149 Torino, Italy, ronchi@di.unito.it

We present a type system for an extension of lambda calculus with a conditional construction, named \( \text{STA}_B \), that characterizes the PSPACE class. This system is obtained by extending \( \text{STA} \), a type assignment for lambda-calculus inspired by Lafont’s Soft Linear Logic and characterizing the PTIME class. We extend \( \text{STA} \) by means of a ground type and terms for booleans and conditional. The key issue in the design of the type system is to manage the contexts in the rule for conditional in an additive way. Thanks to this rule, we are able to program polynomial time Alternating Turing Machines. From the well-known result APTIME = PSPACE, it follows that \( \text{STA}_B \) is complete for PSPACE.

Conversely, inspired by the simulation of Alternating Turing machines by means of Deterministic Turing machine, we introduce a call-by-name evaluation machine with two memory devices in order to evaluate programs in polynomial space. As far as we know, this is the first characterization of PSPACE that is based on lambda calculus and light logics.

Categories and Subject Descriptors: F.3.3 [Logics and meanings of programs]: Studies of program constructs—type structure; F.4.1 [Mathematical logic and formal languages]: Mathematical logic—lambda calculus and related systems, proof theory

General Terms: Languages, Theory, Design
Additional Key Words and Phrases: Implicit Computational Complexity, Polynomial Space, Linear Logic, Type Assignment, Operational Semantics

1. INTRODUCTION

The argument of this paper fits in the so called Implicit Computational Complexity area, whose aim is to provide complexity control through language restrictions,
without using explicit machine models or external measures. In this setting, we are
interested in the design of programming languages with bounded computational
complexity. We want to use a ML-like approach, so having a \( \lambda \)-calculus like lan-
guage, and a type assignment system for it, where the types guarantee, besides the
functional correctness, also complexity properties. So, types can be used in a static
way in order to check the correct behaviour of the programs, also with respect to the
resource usage. According to these lines, we design in this paper a language correct
and complete with respect to PSPACE. Namely, we supply, besides the calculus, a
type assignment system and an evaluation machine, and we prove that well typed
programs can be evaluated by the machine in polynomial space, and moreover that
all decision functions computable in polynomial space can be coded by well typed
programs.

**Light Logics and type systems** Several type systems characterizing complexity
classes have been proposed so far [Leivant and Marion 1993; Bellanoni et al.
2000; Hofmann 1999; Baillot and Terui 2004; Danner and Royer 2006; Gaboardi
and Ronchi Della Rocca 2007; Dal Lago and Schöpp 2010; Baillot et al. 2010].

We are here mainly concerned with using ideas from Linear Logic to obtain type
assignment systems for \( \lambda \)-calculus. In this setting, some proposal have been made
but they are quite all related to time complexity. The key idea is to use as types
the formulae of the light logics, which characterize some classes of time complexity:
Light Linear Logic (LLL) of Girard [Girard 1998], and Soft Linear Logic (SLL) of
Lafont [Lafont 2004] characterize polynomial time, while Elementary Linear Logic
(ELL) [Girard 1998] characterizes elementary time. The characterization is based
on the fact that cut-elimination on proofs in these logics is performed in a number
of steps which depends in a polynomial or elementary way from the initial size of the
proof (while the degree of the proof, i.e., the nesting of exponential rules, is fixed).
Moreover, the size of each proof in the cut elimination process can be bound by a
polynomial or an elementary function in the initial size of the proof, respectively.
In addition, all these logics are also complete with respect to the related complexity
class, using proof-nets for coding functions.

The good properties of such logics have been fruitfully used in order to design
type assignment systems for \( \lambda \)-calculus which are correct and complete with respect
to the polynomial or elementary time complexity bound. Namely, every well typed
term \( \beta \)-reduces to normal form in a number of steps that depends in a polynomial
or elementary way from its size, and moreover all functions with the corresponding
complexity are representable by a well typed term. Examples of polynomial time
type assignment systems are in [Baillot and Terui 2004; 2009] and [Gaboardi and
Ronchi Della Rocca 2007; 2009], based respectively on LAL (an affine variant of
LLL designed by Asperti and Roversi [Asperti and Roversi 2002]) and on SLL.
Moreover, an example of an elementary type assignment system is in [Coppola
et al. 2005; 2008].

**Contribution** Our starting point is the fact that polynomial space computa-
tions coincide with polynomial time alternating Turing machine computations (AP-
TIME). In particular, by the results in [Savitch 1970] and [Chandra et al. 1981],
it follows

\[
PSPACE = NPSPACE = APTIME
\]

So, we start from the type assignment system \(\text{STA}\) for \(\lambda\)-calculus introduced in [Gaboardi and Ronchi Della Rocca 2007]. It is based on SLL, in the sense that in \(\text{STA}\) both types are a proper subset of SLL formulae, and type assignment derivations correspond, through the Curry-Howard isomorphism, to a proper subset of SLL derivations. \(\text{STA}\) is correct and complete (in the sense said before) with respect to polynomial time computations.

Then we design the language \(\Lambda_B\), which is an extension of \(\lambda\)-calculus with two boolean constants and a conditional constructor, and we supply it by a type assignment system (\(\text{STA}_B\)), where the types are \(\text{STA}\) types plus a constant type \(B\) for booleans, and rules for conditional. In particular, the elimination rule for conditional is the following:

\[
\Gamma \vdash M : B \quad \Gamma \vdash N_0 : A \quad \Gamma \vdash N_1 : A \\
\Gamma \vdash \text{if } M \text{ then } N_0 \text{ else } N_1 : A \quad (BE)
\]

In this rule, contexts are managed in an additive way, that is with free contractions. From a computational point of view, this intuitively means that a computation can repeatedly fork into subcomputations and the result is obtained by a backward computation from all subcomputation results.

While the time complexity result for \(\text{STA}\) is not related to a particular evaluation strategy, here, for characterizing space complexity, the evaluation should be done carefully. Indeed, an uncontrolled evaluation can construct exponential size terms. So we define a call-by-name evaluation machine, \(K_C^B\), inspired by Krivine’s machine [Krivine 2007] for \(\lambda\)-calculus, where substitutions are made only on head variables. This machine is equipped with two memory devices, and the space used by it is proved to be the dimension of its maximal configuration. The proof is made through the design of an equivalent small step machine. Then we prove that, if \(K_C^B\) takes a program (i.e., a closed term well typed by a ground type) as input, then the size of each configuration is polynomially bounded in the size of the input. From this it follows that every program can be evaluated by a Turing Machine in polynomial space. Conversely, we encode every polynomial time alternating Turing machine by a program well typed in \(\text{STA}_B\). The simulation relies on a higher order representation of a parameter substitution recurrence schema inspired by the one in [Leivant and Marion 1994].

**Related works** The present work extends the preliminary results that have been presented to POPL’08 [Gaboardi et al. 2008a]. The system \(\text{STA}_B\) is the first characterization of PSPACE through a type assignment system in the light logics setting. A proposal for a similar characterization has been made by Terni [Terni 2000], but the work has never been completed.

The characterization presented here is strongly based on the additive rule \((BE)\) presented above. The key role played by this rule in the characterization of the PSPACE class has been independently suggested by Hofmann in the context of non-size-increasing computations [Hofmann 2003]. There, the author showed that by adding to his LFPL language a form of restricted duplication one can encode
the “quantified boolean formulas problem” and recover exactly the behaviour of the rule \( (BE) \). Besides the difference in the setting where our study is developed with respect to the Hofmann’s one, our work differs from this also in the fact that we give a concrete syntactic proof of \( \text{PSPACE} \) soundness for programs by means of an evaluation machine that is close to a possible implementation. Hofmann’s \( \text{PSPACE} \) soundness instead relies on a semantic argument that by being abstract hides the technical difficulties that one needs to deal with in the evaluation of programs. Moreover, instead of encoding quantified boolean formulas as done by Hofmann, we here give a \( \text{PSPACE} \) completeness result based on the definability of all polynomial time Alternating Turing Machines.

In our characterization we make use of boolean constants in order to have a fine control of the space needed to evaluate programs. A use of constants similar in spirit to the present one has been also employed by the second author in [Leivant and Marion 1993], in order to give a characterization of the \( \text{PTIME} \) class.

There are several other implicit characterizations of polynomial space computations using principles that differ from the ones explored in this paper. The characterizations in [Leivant and Marion 1994; 1997] and [Oitavem 2001; 2008] are based on ramified recursions over binary words. Schubert has shown that the \( \beta \)-reduction of simply typed \( \lambda \)-terms containing redexes of order at most three is complete for \( \text{PSPACE} \) [Schubert 2001]. In finite model theory, \( \text{PSPACE} \) is captured by first order queries with a partial fixed point operator [Vardi 1982; Abiteboul and Vianu 1989]. The reader may consult the recent book [Grädel et al. 2007]. Finally there are some algebraic characterizations like the one [Goerdt 1992] or [Jones 2001] but which are, in essence, over finite domains.

Apart from the class \( \text{PSPACE} \), the light logic principles have been used to characterize other interesting complexity classes. As already stressed, the light logics have been used to characterize the class \( \text{PTIME} \) [Girard 1998; Lafont 2004] and the class of Elementary functions [Girard 1998]. Moreover, in [Maurel 2003] and [Gaboardi et al. 2008b] an explicit sum rule to deal with non deterministic computation has been studied in the setting of Light Linear Logic and Soft Linear Logic, respectively. Both these works give implicit characterizations of the class \( \text{NPTIME} \). Another important work in this direction is the one in [Schöpp 2007] where a logical system characterizing logarithmic space computations is defined, the Stratified Bounded Affine Logic (SBAL). Interestingly, the logarithmic space soundness for SBAL is proved in an interactive way by means of a geometry of interaction algorithm considering only proofs of certain sequents to represent the functions computable in logarithmic space. This idea was already present in the previous work [Schöpp 2006] of the same author and it has been further explored in the recent work [Dal Lago and Schöpp 2010].

**Outline of the paper** In Section 2 the system \( \mathcal{SBA}_B \) is introduced and the proofs of the subject reduction and of the strong normalization properties are given. The strong normalization is proved by using a translation from \( \mathcal{SBA}_B \) to System F terms. In Section 3 the operational semantics of \( \mathcal{SBA}_B \) program is defined. Two abstract machines \( K^C_B \) and \( k^C_B \) are given. One implements a big step evaluation while the other implements a small step reduction. The computations in the two machines

---

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
are then proved to be equivalent. In Section 4 we show that \(\text{STA}_B\) programs can be executed in polynomial space. This is shown by establishing some formal relations between the configurations in the big step machine computations and the type derivations in \(\text{STA}_B\). In this way, the bound on the size of each computation can be extracted from the information inherited by the type derivation. In Section 5 the completeness for \(\text{PSPACE}\) is proved by showing that the Alternating Turing Machines working in polynomial time can be programmed using \(\text{STA}_B\) programs. Finally, Section 6 contains some conclusions.

2. SOFT TYPE ASSIGNMENT SYSTEM WITH BOOLEANS

In this section we present the paradigmatic language \(\Lambda_B\) and a type assignment for it, \(\text{STA}_B\), and we will prove that \(\text{STA}_B\) enjoys the properties of subject reduction and strong normalization. \(\Lambda_B\) is an extension of the \(\lambda\)-calculus with boolean constants \(0, 1\) and an \(\text{if}\) constructor. \(\text{STA}_B\) is an extension of the type system \(\text{STA}\) for \(\lambda\)-calculus introduced in [Gaboardi and Ronchi Della Rocca 2007], which assigns to \(\lambda\)-terms a proper subset of formulae of Lafont’s Soft Linear Logic [Lafont 2004], and it is correct and complete for polynomial time computations.

**Definition 1** \((\Lambda_B)\).

1. The set \(\Lambda_B\) of terms is defined by the following grammar:

\[
M ::= x \mid 0 \mid 1 \mid \lambda x.M \mid MM \mid \text{if } M \text{ then } M \text{ else } M
\]

where \(x\) ranges over a countable set of variables and \(B = \{0, 1\}\) is the set of booleans.

2. The reduction relation \(\rightarrow_{\beta}\subseteq\Lambda_B \times \Lambda_B\) is the contextual closure of the following rules:

\[
(\lambda x.M)N \rightarrow_{\beta} M[N/x]
\]

\[
\text{if } 0 \text{ then } M \text{ else } N \rightarrow_{\delta} M
\]

\[
\text{if } 1 \text{ then } M \text{ else } N \rightarrow_{\delta} N
\]

\(\rightarrow_{\beta}\) denotes the transitive closure of \(\rightarrow_{\beta}\) while \(\rightarrow_{\beta}^*\) denotes its transitive and reflexive closure.

3. The size of a term \(M\) is denoted as \(|M|\) and is defined inductively as

\[
|x| = |0| = |1| = 1 \quad |\lambda x.M| = |M| + 1 \quad |MN| = |M| + |N|
\]

\[
|\text{if } M \text{ then } N_0 \text{ else } N_1| = |M| + |N_0| + |N_1| + 1
\]

Note that we use the term \(0\) to denote “true” and the term \(1\) to denote “false”.

**Notation 1.** Terms are denoted by \(M, M, V, P\). In order to avoid unnecessary parenthesis, we use the Barendregt convention, so abstraction associates on the left and applications associates on the right. Moreover \(\lambda xy.M\) stands for \(\lambda x.\lambda y.M\). As usual terms are considered up to \(\alpha\)-equivalence, namely a bound variable can be renamed provided no free variable is captured. Moreover, \(M[N/x]\) denotes the capture-free substitution of all free occurrences of \(x\) in \(M\) by \(N\), \(\text{FV}(M)\) denotes the set of free variables of \(M\) and \(n_\alpha(x,M)\) denotes the number of free occurrences of the variable \(x\) in \(M\).
In the sequel we will be interested only in typable terms.

**Definition 2** (STA<sub>B</sub>).

1. The set $T_B$ of types contains all the objects defined by the following grammar:

   - $A ::= B | \alpha | \sigma \rightarrow A | \forall \alpha.A$ (Linear Types)
   - $\sigma ::= A | !\sigma$ (Types)

   where $\alpha$ ranges over a countable set of type variables and B is the only ground type.

2. A context is a set of assumptions of the shape $x : \sigma$, where all variables are different. We use $\Gamma, \Delta$ to denote contexts.

3. The system STA<sub>B</sub> proves judgments of the shape $\Gamma \vdash M : \sigma$ where $\Gamma$ is a context, $M$ is a term, and $\sigma$ is a type. The rules are given in Table I.

**Notation 2.** Type variables are denoted by $\alpha, \beta$, linear types by $A, B, C$, and types by $\sigma, \tau, \mu$. The symbol $\equiv$ denotes the syntactical equality both for types and terms (modulo renaming of bound variables). As usual $\rightarrow$ associates to the right and has precedence on $\forall$, while $!$ has precedence on everything else. The notation $\sigma[A/\alpha]$ stands for the usual capture free substitution in $\sigma$ of all occurrences of the type variable $\alpha$ by the linear type $A$. We use $\text{dom}(\Gamma)$ and $\text{FTV}(\Gamma)$ to denote respectively the sets of variables and of free type variables that occur in the assumptions of the context $\Gamma$. The notation $\Gamma \# \Delta$ stands for $\text{dom}(\Gamma) \cap \text{dom}(\Delta) = \emptyset$. Derivations are denoted by $\Pi, \Sigma, \Theta$. $\Pi \vdash \Gamma \vdash M : \sigma$ denotes a derivation $\Pi$ with conclusion $\Gamma \vdash M : \sigma$. We let $\vdash \Gamma \vdash M : \sigma$ abbreviate $\emptyset \vdash \Gamma \vdash M : \sigma$. As usual, $\forall \alpha.A$ is an abbreviation for $\forall \alpha_1 \ldots \forall \alpha_m . A$, and $!^n \sigma$ is an abbreviation for $! \cdots ! \sigma$ $n$-times ($m, n \geq 0$).

We stress that each type is of the shape $!^n \forall \alpha_1 \ldots \forall \alpha_m . A$. The type assignment system STA<sub>B</sub> is obtained from STA just by adding the rules for dealing with the if constructor. Note that the rule $(BE)$ has an additive treatment of the contexts, and so contraction is free, while all other rules are multiplicative. Moreover STA<sub>B</sub> is affine, since the weakening is free, so it enjoys the following properties.

**Lemma 1** Free variable lemma.

1. $\Gamma \vdash M : \sigma$ implies $\text{FV}(M) \subseteq \text{dom}(\Gamma)$.
(2) \( \Gamma \vdash M : \sigma, \Delta \subseteq \Gamma \) and \( \text{FV}(M) \subseteq \text{dom}(\Delta) \) imply \( \Delta \vdash M : \sigma \).

(3) \( \Gamma \vdash M : \sigma, \Gamma \subseteq \Delta \) implies \( \Delta \vdash M : \sigma \).

**Proof.** All the three points can be easily proved by induction on the derivation proving \( \Gamma \vdash M : \sigma \). □

Moreover, the following property holds:

**Lemma 2.** \( \Gamma, x : A \vdash M : \sigma \) implies \( x \not\in \text{FV}(M) \).

**Proof.** Easy, by induction on the derivation proving \( \Gamma, x : A \vdash M : \sigma \) noticing that the only way to have a modal conclusion is by using the (sp) rule. □

In what follows, we will need to talk about proofs modulo some simple operations.

**Definition 3.** Let \( \Pi \) and \( \Pi' \) be two derivations in \( \text{STAB} \), proving the same conclusion. Then, \( \Pi \rightsquigarrow \Pi' \) denotes the fact that \( \Pi' \) is obtained from \( \Pi \) by commuting and/or deleting some rules and/or by inserting some applications of the rule (w).

The system \( \text{STAB} \) is not syntax directed, but the Generation Lemma shows that we can modify the derivations, using just commutation and erasing of rules, in order to connect the shape of a term with the shape of its typings.

**Lemma 3 Generation Lemma.**

(1) \( \Pi \supset \Gamma \vdash \lambda x . M : \forall \alpha . A \) implies there is \( \Pi' \), proving the same conclusion as \( \Pi \) and ending with an application of rule (\( \forall I \)), such that \( \Pi \rightsquigarrow \Pi' \).

(2) \( \Pi \supset \Gamma \vdash \lambda x . M : \sigma \to A \) implies there is \( \Pi' \), proving the same conclusion as \( \Pi \) and ending with an application of rule (\( \to I \)), such that \( \Pi \rightsquigarrow \Pi' \).

(3) \( \Pi \supset \Gamma \vdash M : \sigma \) implies there is \( \Pi' \), proving the same conclusion as \( \Pi \), such that \( \Pi \rightsquigarrow \Pi' \) and \( \Pi' \) consists of a subderivation, ending with the rule (sp) proving \( \Pi' \vdash M : \sigma \), followed by a sequence of rules (w) and/or (m) dealing with variables not occurring in \( M \).

(4) \( \Pi \supset \Gamma \vdash M : \sigma \) implies there is \( \Pi' \), proving the same conclusion as \( \Pi \) and ending with an application of rule (sp), such that \( \Pi \rightsquigarrow \Pi' \).

**Proof.** (1) By induction on \( \Pi \). If the last rule of \( \Pi \) is (\( \forall I \)) then the conclusion follows immediately. Otherwise consider the case \( \lambda y . M = \lambda y . N[x/x_1, \cdots, x/x_n] \) and \( \Pi \) ends as:

\[
\Sigma \supset \Gamma, x_1 : \sigma, \ldots, x_n : \sigma \vdash \lambda y . N : \forall \alpha . A \\
\Gamma, x : \sigma \vdash \lambda y . N[x/x_1, \cdots, x/x_n] : \forall \alpha . A
\]  

(m)

By induction hypothesis \( \Sigma \rightsquigarrow \Sigma' \) ending as:

\[
\Sigma_1 \supset \Gamma, x_1 : \sigma, \ldots, x_n : \sigma \vdash \lambda y . N : A \\
\Gamma, x_1 : \sigma, \ldots, x_n : \sigma \vdash \lambda y . N : \forall \alpha . A
\]  

(\( \forall I \))

Then, the desired \( \Pi' \) is:

\[
\Sigma_1 \supset \Gamma, x_1 : \sigma, \ldots, x_n : \sigma \vdash \lambda y . N : A \\
\Gamma, x : \sigma \vdash \lambda y . N[x/x_1, \cdots, x/x_n] : A
\]  

(m)

\[
\Gamma, x : \sigma \vdash \lambda y . N[x/x_1, \cdots, x/x_n] : \forall \alpha . A
\]  

(\( \forall I \))
The cases where $\Pi$ ends either by $(\forall E)$ or $(w)$ rule are easier. The other cases are not possible.

(2) Similar to the proof of the previous point of this lemma.

(3) By induction on $\Pi$. In the case the last rule of $\Pi$ is $(sp)$, the proof is obvious. The case where the last rule of $\Pi$ is $(w)$ follows directly by induction hypothesis.

Consider the case where $M \equiv M[x/x_1,\ldots,x/x_n]$ and the last rule is:

$$\Sigma \vdash \Delta, x_1 : \tau, \ldots, x_n : \tau \vdash \Pi : \sigma \quad (m)$$

In the case $x_1,\ldots,x_n \not\in \text{FV}(N)$ the conclusion follows immediately. Otherwise, by induction hypothesis $\Sigma \Rightarrow\Sigma_1$, where $\Sigma_1$ is composed by a subderivation $\Theta$ ending with a rule $(sp)$ proving $!\Delta_1 \vdash \Pi : \sigma$, followed by a sequence $\delta$ of rules $(w)$ or $(m)$, dealing with variables not occurring in $N$. Note that for each $x_i$ with $1 \leq i \leq n$ such that $x_i \in \text{FV}(N)$, necessarily $x_i: \tau' \in \Delta_1$ and $\tau = \tau'$. Let $\Delta_2$ be the context $\Delta_1 - \{x_1: \tau', \ldots, x_n: \tau'\}$, then the conclusion follows by the derivation:

$$\Delta_2, x_1 : \tau', \ldots, x_n : \tau' \vdash \Pi : \sigma \quad (m)$$

followed by a sequence of rules $(w)$ recovering the context $\Delta$ from the context $\Delta_2$. The other cases are not possible.

(4) By induction on $\Pi$. In the case the last rule of $\Pi$ is $(sp)$, the proof is obvious. The only other possible case is when the last rule is $(m)$. Consider the case where $M \equiv M[x/x_1,\ldots,x/x_n]$ and $\Pi$ ends as follows:

$$\Sigma \vdash !\Delta, x_1 : \tau, \ldots, x_n : \tau \vdash \Pi : \sigma \quad (m)$$

If $\tau \equiv \tau'$, by induction hypothesis $\Sigma \Rightarrow\Sigma_1$, where $\Sigma_1$ ends as:

$$\Theta \vdash \Delta, x_1 : \tau', \ldots, x_n : \tau' \vdash \Pi : \sigma \quad (sp)$$

So the desired derivation $\Pi'$ is $\Theta$, followed by a rule $(m)$ and a rule $(sp)$. In the case $\tau$ is linear, by Lemma 2, $x_i \not\in \text{FV}(N)$ for each $1 \leq i \leq n$. Moreover by the previous point of this lemma, $\Sigma$ can be rewritten as:

$$\Sigma_1 \vdash \Delta_1 \vdash \Pi : \sigma \quad (sp)$$

followed by a sequence $\delta$ of rules, all dealing with variables not occurring in $N$. So $\delta$ needs to contain some rules introducing the variables $x_1,\ldots,x_n$. Let $\delta'$ be the sequence of rules obtained from $\delta$ by erasing such rules, and inserting a $(w)$ rule introducing the assignment $x: \tau$. The desired derivation $\Pi'$ is $\Sigma_1$ followed by $\delta'$, followed by $(sp)$. 

□

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
2.1 Subject reduction

In order to prove subject reduction, we need to prove before that the system enjoys the property of substitution.

**Lemma 4 Substitution Lemma.**

Let $\Gamma, x : \mu \vdash M : \sigma$ and $\Delta \vdash N : \mu$ such that $\Gamma \# \Delta$. Then

$$\Gamma, \Delta \vdash M[N/x] : \sigma$$

**Proof.** We prove something stronger. That is, given

$$\Pi \vdash \Gamma, x_1 : \mu_1, \ldots, x_n : \mu_n \vdash M : \sigma$$

and $\Sigma_1 \vdash \Delta_1 \vdash N_1 : \mu_1, \ldots, \Sigma_n \vdash \Delta_n \vdash N_n : \mu_n$ such that $\Gamma \# \Delta_i$ and $\Delta_i \# \Delta_j$ for each $1 \leq i, j \leq n$, we show that there is a derivation $\Theta$ such that

$$\Theta \vdash \Gamma, \Delta_1, \ldots, \Delta_n \vdash M[N_1/x_1, \ldots, N_n/x_n]$$

We proceed by induction on the height of $\Pi$. The base cases $(Ax)$, $(B_3 I)$ and $(B_1 I)$ are trivial. The cases where $\Pi$ ends either by $(-\rightarrow I)$, $(\forall I)$ or $(\forall E)$ follow directly from the induction hypothesis.

Let $\Pi$ ends as

$$\Pi' \vdash \Gamma', x_1 : \mu'_1, \ldots, x_n : \mu'_n \vdash M : \sigma'$$

By Lemma 3.3, for each $1 \leq i \leq n$ we have $\Sigma_i \vdash \Sigma_i'$ which is composed by a subderivation ending with an $(sp)$ rule with premise $\Sigma_i' \vdash \Delta_i' \vdash N_i : \mu_i'$ followed by a sequence of rules $(w)$ and/or $(m)$. By induction hypothesis we have a derivation $\Theta' \vdash \Gamma', \Delta_1', \ldots, \Delta_n' \vdash M[N_1/x_1, \ldots, N_n/x_n] : \sigma'$. By applying the rule $(sp)$ and the sequences of $(w)$ and/or $(m)$ rules we obtain a derivation $\Theta$ with conclusion

$$\Gamma, \Delta_1, \ldots, \Delta_n \vdash M[N_1/x_1, \ldots, N_n/x_n] : \sigma$$

Let $\Pi$ ends by a $(-\rightarrow E)$ rule. Without loss of generality we can consider a case as follows:

$$\Pi_1 \triangleright \Gamma_1, x_1 : \mu_1, \ldots, x_i : \mu_i, \Gamma_1 \vdash M : \sigma \rightarrow A \quad \Pi_2 \triangleright x_{i+1} : \mu_{i+1}, \ldots, x_n : \mu_n, \Gamma_2 \vdash N : \sigma$$

$$\Gamma_1, x_1 : \mu_1, \ldots, x_i : \mu_i, x_{i+1} : \mu_{i+1}, \ldots, x_n : \mu_n, \Gamma_2 \vdash MN : A$$

By the induction hypothesis there are derivations $\Theta_1 \triangleright \Gamma_1, \Delta_1, \ldots, \Delta_i \vdash M[N_1/x_1, \ldots, N_i/x_i] : \sigma \rightarrow A$ and $\Theta_2 \triangleright \Gamma_2, \Delta_{i+1}, \ldots, \Delta_n \vdash M[N_{i+1}/x_{i+1}, \ldots, N_n/x_n] : \sigma$. By applying a $(-\rightarrow E)$ rule we obtain a derivation $\Theta$ with conclusion:

$$\Gamma_1, \Gamma_2, \Delta_1, \ldots, \Delta_i, \Delta_{i+1}, \ldots, \Delta_n \vdash MN[N_1/x_1, \ldots, N_n/x_n] : A$$

Consider the case $\Pi$ ends by:

$$\Pi_0 \triangleright \Gamma' \vdash \mu_0 : B \quad \Pi_1 \triangleright \Gamma' \vdash \mu_1 : A \quad \Pi_2 \triangleright \Gamma' \vdash \mu_2 : A$$

$$\Gamma' \vdash \text{if } \mu_0 \text{ then } \mu_1 \text{ else } \mu_2 : A$$

with $\Gamma' = \Gamma, x_1 : \mu_1, \ldots, x_n : \mu_n$. Then, by the induction hypothesis there are derivations $\Theta_0 \triangleright \Gamma, \Delta_1, \ldots, \Delta_n \vdash M_0[N_1/x_1, \ldots, N_n/x_n] : B$, $\Theta_1 \triangleright \Gamma, \Delta_1, \ldots, \Delta_n \vdash M_1[N_1/x_1, \ldots, N_n/x_n] : A$ and $\Theta_2 \triangleright \Gamma, \Delta_1, \ldots, \Delta_n \vdash M_2[N_1/x_1, \ldots, N_n/x_n] : A$. By applying a $(BE)$ rule we obtain a derivation $\Theta$ with conclusion:

$$\Gamma, \Delta_1, \ldots, \Delta_n \vdash (\text{if } \mu_0 \text{ then } \mu_1 \text{ else } \mu_2 )[N_1/x_1, \ldots, N_n/x_n] : A$$

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
Consider the case Π ends by an \((m)\) rule. Note that if the variable \(x\) on which the multiplexing applies is not between \(x_1, \ldots, x_n\), then the conclusion follows directly by induction hypothesis. So consider a case as follows:

\[
\Pi' \triangleright \Pi, x_1 : \mu_1, \ldots, x_i : \mu'_i, \ldots, x_n : \mu_n \vdash M : \sigma
\]

By Lemma 3.3 \(\Sigma' \sim \Sigma''\) ending by an \((sp)\) rule with premise \(\Sigma' \triangleright \Delta' \vdash \Pi_i : \mu'_i\) followed by a sequence of rules \((w)\) and/or \((m)\). Consider fresh copies of the derivation \(\Sigma'_i\) i.e. \(\Sigma'_i \triangleright \Delta'_i \vdash \Pi'_i : \mu_i\) where \(\Pi'_i\) and \(\Delta'_i\) are fresh copies of \(\Pi_i\) and \(\Delta_i\) \((1 \leq i \leq m)\). Then, by induction hypothesis we have a derivation \(\Theta'\) proving

\[
\Gamma, \Delta_1, \ldots, \Delta_i, \ldots, \Delta_m, \ldots, \Delta_n \vdash \Pi[N_1/x_1, \ldots, N_i/x_i, \ldots, N_m/x_i, \ldots, N_N/x_N] : \sigma
\]

By applying a sequence of \((m)\) rules we can obtain a derivation \(\Theta''\) proving

\[
\Gamma, \Delta_1, \ldots, \Pi_i, \ldots, \Delta_n \vdash \Pi[N_1/x_1, \ldots, N_i/x_i, \ldots, N_m/x_i, \ldots, N_N/x_N] : \sigma
\]

Finally by applying the sequence of rules \((m)\) and \((w)\) rules we obtain a derivation \(\Theta\) with conclusion:

\[
\Gamma, \Delta_1, \ldots, \Pi_i, \ldots, \Delta_n \vdash \Pi[N_1/x_1, \ldots, N_i/x_i, \ldots, N_m/x_i, \ldots, N_N/x_N] : \sigma
\]

This concludes the proof. \(\square\)

We can finally prove the main property of this section.

**Lemma 5 Subject Reduction.** Let \(\Gamma \vdash M : \sigma\) and \(M \rightarrow_{\beta \delta} N\). Then, \(\Gamma \vdash N : \sigma\).

**Proof.** By induction on the derivation \(\Theta \triangleright \Pi \vdash M : \sigma\). Consider the case of a \(\rightarrow_{\beta \delta}\) reduction. Without loss of generality we can consider only the case \(\Theta\) ends as:

\[
\Pi \triangleright \Pi, b : B \quad \Pi_0 \triangleright \Pi_0 \vdash M_0 : A \quad \Pi_1 \triangleright \Pi_1 \vdash M_1 : A
\]

where \(b\) is either 0 or 1. The others follow directly by induction hypothesis. If \(b = 0\) then \(if \ b \ then \ M_0 \ else \ M_1 \rightarrow_{\beta \delta} M_0\) and since \(\Pi_0 \triangleright \Pi_0 \vdash M_0 : A\), the conclusion follows. Analogously if \(b = 1\) then \(if \ b \ then \ M_0 \ else \ M_1 \rightarrow_{\beta \delta} M_1\) and since \(\Pi_1 \triangleright \Pi_1 \vdash M_1 : A\), the conclusion follows.

Now consider the case of a \(\rightarrow_{\beta}\) reduction. Without loss of generality we can consider only the case \(\Theta\) ends as:

\[
\Pi \triangleright \Pi, \lambda x. M : \sigma \rightarrow A \quad \Sigma \triangleright \Sigma, \Pi_2 \vdash N : \sigma
\]

where \(\Gamma = \Pi_1, \Pi_2\). The others follow directly by induction hypothesis. Clearly \((\lambda x. M) N \rightarrow_{\beta} M[N/x]\). By Lemma 3.2 \(\Pi \sim \Pi_1\) ending as

\[
\Pi_2 \triangleright \Pi_2, x : \sigma \vdash M : A
\]

By the Substitution Lemma 4 since \(\Pi_2 \triangleright \Pi_2, x : \sigma \vdash M : A\) and \(\Sigma \triangleright \Sigma, \Pi_2 \vdash N : \sigma\) we have \(\Gamma_1, \Gamma_2 \vdash M[N/x] : A\), hence the conclusion follows. \(\square\)

It is worth noting that, due to the additive rule \((BE)\), \(\text{STA}_B\) is no more correct for polynomial time, since terms with exponential number of reductions can be typed
by derivations with a priori fixed degree, where the degree is the nesting of (sp) applications.

**Example 1.** Consider for \( n \in \mathbb{N} \) terms \( M_n \) of the shape:

\[
(\lambda f.\lambda z.f^n z)(\lambda x.\text{if } x \text{ then } x \text{ else } x)0
\]

It is easy to verify that for each \( M_n \) there are reduction sequences of length exponential in \( n \).

### 2.2 Strong Normalization

Strong normalization is proved by a translation, preserving reduction, of \( \text{STA}_B \) in a slightly variant of Girard’s System F [Girard 1972]. The variant we consider is showed in Table II and it differs from the original system since it has explicit rules for weakening and contraction. It is straightforward to prove that it shares all the properties of the original one, in particular strong normalization.

**Definition 4.** The set \( T_F \) of System F types is defined by the following grammar:

\[
B, C ::= \alpha \mid B \Rightarrow B \mid \forall \alpha. B
\]

where \( \alpha \) ranges over a countable set of type variables.

We firstly define a forgetful map over types and terms.

**Definition 5.** The map \((-)^* : T_B \cup \Delta_B \rightarrow T_F \cup \Lambda\) is defined on types as:

\[
(B)^* = \forall \alpha. \alpha \Rightarrow \alpha \Rightarrow \alpha \quad (\alpha)^* = \alpha \quad (\sigma \rightarrow A)^* = (\sigma)^* \Rightarrow (A)^*
\]

\[
(!\sigma)^* = (\sigma)^* \quad (\forall \alpha. A)^* = \forall \alpha. (A)^*
\]

and it is defined on terms as:

\[
(0)^* = \lambda x. x \quad (1)^* = \lambda x. y \quad (\text{if } M \text{ then } M_1 \text{ else } M_2)^* = (M)^*(M_1)^*(M_2)^*
\]

\[
(\lambda x. M)^* = \lambda x. (M)^* \quad (\text{MN})^* = (M)^*(N)^*
\]

The following lemma assures that the translation well behaves.

**Lemma 6.** If \( \Gamma \vdash M : \sigma \) then \( (\Gamma)^* \vdash_F (M)^* : (\sigma)^* \).
Proof. By induction on the derivation \( \Pi \) proving \( \Gamma \vdash M : \sigma \).

Let us consider base cases. The \((Ax)\) case is trivial. Consider the case \( \Pi \) consists in the rule \( \vdash 0 : B \) \((B_0I)\).

Then we have the following derivation:

\[
\begin{align*}
\frac{x : \alpha \vdash x : \alpha}{(Ax)} & \quad \frac{y : \alpha, x : \alpha \vdash x : \alpha}{(w)} \\
\frac{x : \alpha \vdash \lambda y.x : \alpha \Rightarrow \alpha}{(\Rightarrow I)} & \quad \frac{\vdash F x : \alpha}{(\forall I)} \\
\frac{\vdash F \lambda y.x : \alpha \Rightarrow \alpha}{(\forall I)}
\end{align*}
\]

The case \( \Pi \) consists in the \((B_1I)\) rule is similar. The case \( \Pi \) ends by \((sp)\) rule follows directly from the induction hypothesis. In case \( \Pi \) ends by a \((\to I)\), \((\to E)\), \((w)\) rule the conclusion follows from induction hypothesis and an application of the same rule in System F. In the case \( \Pi \) ends as

\[
\begin{align*}
\Gamma \vdash & M : B \quad \Gamma \vdash N_0 : A \quad \Gamma \vdash N_1 : A \\
\Gamma \vdash & \text{if } M \text{ then } N_0 \text{ else } N_1 : A \quad (BE)
\end{align*}
\]

we have a derivation ending as:

\[
\begin{align*}
(\Gamma)^* & \vdash_{F} (M)^* : (B)^* = \forall \alpha.\alpha \Rightarrow \alpha \Rightarrow \alpha \\
(\Gamma)^* & \vdash_{F} (M)^* : (A)^* \Rightarrow (A)^* \Rightarrow (A)^* \\
(\Gamma)^* & \vdash_{F} (N_0)^* : (A)^* \\
(\Gamma)^* & \vdash_{F} (N_1)^* : (A)^* \\
(\Gamma)^* & \vdash_{F} (M)^*(N_0)^* : (A)^* \\
(\Gamma)^* & \vdash_{F} (M)^*(N_1)^* : (A)^*
\end{align*}
\]

Moreover, the translation preserves the reduction.

Lemma 7 Simulation. If \( M \rightarrow_{\beta \delta} N \) then \( (M)^* \rightarrow_{\beta'} (N)^* \).

Proof. The case of a \( \beta\)-reduction is trivial, so consider a \( \delta\)-reduction as:

\[
M = C[ \text{if } 0 \text{ then } P \text{ else } Q ] \rightarrow_{\delta} C[P] = N
\]

By definition of the map \( (\ )^* \) we have:

\[
(M)^* = (C[ \text{if } 0 \text{ then } P \text{ else } Q ])^* = C'[((0)^*(P)^*(Q)^*)] = C'[(\lambda \lambda y.x)(P)^*(Q)^*]
\]

and clearly:

\[
C'[(\lambda x.\lambda y.x)(P)^*(Q)^*] \rightarrow_{\beta} C'[(\lambda y.(P)^*)](Q)^* \rightarrow_{\beta} C'[(P)^*] = (N)^*
\]

and so the conclusion. The other case is analogous. \( \Box \)

Now, we have the following.

Theorem 1 Strong Normalization.

If \( \Gamma \vdash M : \sigma \) then \( M \) is strongly normalizing with respect to the relation \( \rightarrow_{\beta \delta} \).

Proof. By Lemmas 6 and 7 and the strong normalization of System F. \( \Box \)
3. STRUCTURAL OPERATIONAL SEMANTICS

In this section the operational semantics of terms of $\Lambda_B$ is presented, through an evaluation machine, named $K_B^C$, defined in SOS style [Plotkin 2004; Kahn 1987]. The machine $K_B^C$ is related to the type assignment system STA$_B$ since it evaluates programs (i.e., closed terms of boolean type). The machine allows us to measure the space used during the evaluation. In order to justify our space measure, a small step version of $K_B^C$ is used.

3.1 The evaluation machine $K_B^C$

The machine $K_B^C$ evaluates programs according to the leftmost outermost strategy. If restricted to $\lambda$-calculus, the machine $K_B^C$ is quite similar to the Krivine machine [Krivine 2007], since $\beta$-reduction is not an elementary step, but the substitution of a term to a variable is performed one occurrence at a time. The machine $K_B^C$ uses two memory devices, the m-context and the B-context, that memorize respectively the assignments to variables and the control flow.

Definition 6.

An m-context $A$ is a sequence of variable assignments of the shape $x := M$ where $M$ is a term and all the variables are distinct. The symbol $\varepsilon$ denotes the empty m-context and the set of m-contexts is denoted by $Ctx_m$.

The cardinality of an m-context $A$, denoted by $\#(A)$, is the number of variable assignments in $A$. The size of an m-context $A$, denoted by $|A|$, is the sum of the sizes of all the variable assignments in $A$, where a variable assignment $x := M$ has size $|M| + 1$.

Let $\circ$ be a distinguished symbol. The set $Ctx_B$ of B-contexts is defined by the following grammar:

$$C[\circ] ::= \circ \mid ( \text{if } C[\circ] \text{ then } M \text{ else } N ) V_1 \cdots V_n$$

The size of a B-context $C[\circ]$, denoted by $|C[\circ]|$, is the size of the term obtained by replacing the symbol $\circ$ by a variable.

The cardinality of a B-context $C[\circ]$, denoted by $\#(C[\circ])$, is the number of nested B-contexts in it. i.e.:

$$\#(\circ) = 0 \quad \#(( \text{if } C[\circ] \text{ then } M \text{ else } N ) V_1 \cdots V_n) = \#(C[\circ]) + 1$$

It is worth noticing that a B-contexts $C[\circ]$ can be seen as a stack of atomic contexts, i.e. contexts of the shape $( \text{if } \circ \text{ then } M \text{ else } N ) V_1 \cdots V_n$, and that the cardinality $\#(C[\circ])$ is the height of such a stack.

Notation 3. The notation $A_1 \otimes A_2$ is used for the concatenation of the disjoint m-contexts $A_1$ and $A_2$. Moreover, $[x := M] \in A$ denotes the fact that $x := M$ is in the m-context $A$. The notation $FV(A)$ identifies the set: $\bigcup_{x := M} A FV(M)$.

As usual, $C[M]$ denotes the term obtained by filling the hole $[\circ]$ in $C[\circ]$ by $M$. In general we omit the hole $[\circ]$ and we range over B-contexts by $C$. As expected, $FV(C)$ denotes the set $FV(C[M])$ for every closed term $M$.

Note that variable assignments in m-contexts are ordered; this fact allows us to define the following closure operation.
Definition 7. Let \( \mathcal{A} = [x_1 := N_1, \ldots, x_n := N_n] \) be a m-context. Then,
\((-)^{\mathcal{A}}: \Lambda_{\mathcal{B}} \rightarrow \Lambda_{\mathcal{B}}\) is the map associating to each term \( \mathcal{M} \) the term \( (\mathcal{M})^{\mathcal{A}} = \mathcal{M}[N_n/x_n][N_{n-1}/x_{n-1}] \cdots [N_1/x_1] \).

The correct inputs for the machine are programs, defined as follows.

Definition 8. The set \( \mathcal{P} \) of programs is the set of closed terms typable by the ground type. i.e. \( \mathcal{P} = \{ \mathcal{M} \mid \vdash \mathcal{M} : \mathcal{B} \} \). The design of the evaluation machine follows the syntactic shape of programs.

Remark 1. It is easy to check that every term has the following shape:
\( \lambda x_1 \ldots x_n. \zeta_1 \cdots \zeta_m \), for some \( n, m \geq 0 \), where \( \zeta \) is either a boolean \( b \), a variable \( x \), a redex \( \lambda x \mathcal{N} \), or a subterm of the shape if \( \mathcal{P} \) then \( \mathcal{N}_0 \) else \( \mathcal{N}_1 \). It is immediate to check that, if a term is in \( \mathcal{P} \), then \( n = 0 \). Moreover, if a term in \( \mathcal{P} \) is a normal form, then it coincides with a boolean constant \( b \).

The evaluation machine \( \mathcal{K}_{\mathcal{B}}^{\mathcal{C}} \) proves statements of the shape:
\( \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \)
where \( \mathcal{C}, \mathcal{A} \) are a \( \mathcal{B} \)-context and a m-context respectively, \( \mathcal{M} \) is a term, and \( b \) is a boolean value. Its rules are listed in Table III. The \((\beta)\) rule applies when the head of the subject is a \( \beta \)-redex, then the association between the bound variable and the argument is remembered in the m-context and the body of the term in functional position is evaluated. Note that an \( \alpha \)-rule is always performed. The \((\delta)\) rule replaces the head occurrence of the head variable by the term associated with it in the m-context. Rules \((\text{if } 0)\) and \((\text{if } 1)\) perform the \( \delta \) reductions. In order to evaluate the test \( \mathcal{M} \), a part of the subject is naturally erased. This erased information is stored in the \( \mathcal{B} \)-context. Indeed \( \mathcal{B} \)-contexts are stacks that permit to store all the branches of a computation produced by conditionals. When the evaluation of the test \( \mathcal{M} \) of the current conditional is completed, the machine pops the top \( \mathcal{B} \)-context and continues by evaluating the term in the right branch of the computation. The behaviour of the machine \( \mathcal{K}_{\mathcal{B}}^{\mathcal{C}} \) is formalized in the following definition.

Definition 9.

1. The evaluation relation \( \downarrow \subset \text{Ctx}_{\mathcal{B}} \times \text{Ctx}_m \times \Lambda_{\mathcal{B}} \times \mathcal{B} \) is the relation inductively defined by the rules of \( \mathcal{K}_{\mathcal{B}}^{\mathcal{C}} \). If \( \mathcal{M} \) is a program, and if there is a boolean \( b \) such that \( \circ, \varepsilon \vdash \mathcal{M} \downarrow b \) then we say that \( \mathcal{M} \) evaluates, and we write \( \mathcal{M} \downarrow b \). As usual, \( \downarrow b \) is a short for \( \circ, \varepsilon \vdash \mathcal{M} \downarrow b \).

2. Derivation trees in the abstract machine are called computations and are denoted by \( \nabla, \diamond \). We use \( \nabla :: \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \) to denote the computation with conclusion \( \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \).

3. Given a computation \( \nabla \) each node of \( \nabla \), which is of the shape \( \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \) is a configuration. The notation \( \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \in \nabla \) is used to stress that \( \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \) is a configuration in the computation \( \nabla \). Configurations are denoted by \( \phi, \psi \). The notation \( \phi \triangleright \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \) means that \( \phi \) is the configuration \( \mathcal{C}, \mathcal{A} \vdash \mathcal{M} \downarrow b \). The conclusion of the derivation tree is called the initial configuration.

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
In Table IV we present an example of the machine enjoys a sort of weakening, with respect to both contexts. First of all, the next lemma:

\[ C, A \models x := N | M[x/x] V_1 \cdots V_m \downarrow b \]

\[ (\beta)^\circ \]

\[ C, A \models (\lambda x.M) V_1 \cdots V_m \downarrow b \]

\[ (h) \]

\[ \frac{[x := N] \in A \quad C, A \models NV_1 \cdots V_m \downarrow b}{C, A \models xV_1 \cdots V_m \downarrow b} \]

\[ C[(\text{if } [\circ] \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m], A \models M \downarrow 0 \quad C, A \models N_0 V_1 \cdots V_m \downarrow b \]

\[ C, A \models (\text{if } M \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m \downarrow b \]

\[ (\text{if } 0) \]

\[ C[(\text{if } [\circ] \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m], A \models M \downarrow 1 \quad C, A \models N_1 V_1 \cdots V_m \downarrow b \]

\[ C, A \models (\text{if } M \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m \downarrow b \]

\[ (\text{if } 1) \]

\[ \frac{x' \text{ is a fresh variable.}}{x'} \quad (\S) \]

**Table III.** The Abstract Machine K\text{C}^3

(4) Given a computation \(\nabla\), the path to reach a configuration \(\phi\), denoted \(\text{path}_\nabla(\phi)\), is the sequence of configurations between the conclusion of \(\nabla\) and \(\phi\). In general, we simply write \(\text{path}(\phi)\) when \(\nabla\) is clear from the context.

In Table IV we present an example of K\text{C}^3 computation on a term \(M_2\) as defined in Example 1.

In order to prove that the machine is sound and complete with respect to programs, we need to prove some additional properties. First of all, the next lemma proves that the machine enjoys a sort of weakening, with respect to both contexts.

**Lemma 8.** (1) Let \(C[\circ], A \models M \downarrow b\). Then, for every \(C'[\circ]\) such that \((C'[C[M]])^A \in P\), \(C'[C[\circ]], A \models M \downarrow b\).

(2) Let \(\nabla :: C, A \models M \downarrow b\) and \(x\) be a fresh variable. Then, \(\nabla :: C, A[\circ|x := N] \models M \downarrow b\).

**Proof.** Both points can be easily proved by induction on the computation. \(\square\)

**Lemma 9.**

(1) Let \(C, A \models M \downarrow b\) for some \(b\) and let \((C[M])^A \in P\). Then, both \((M)^A \rightarrow^\ast\beta b\) and \((C[M])^A \rightarrow^\ast\beta b'\), for some \(b'\).

(2) Let \(M \in P\) and \(\nabla ::| M \downarrow b\). For each \(\phi \succ C, A \models N \downarrow b' \in \nabla\), \((C[M])^A \in P\).

(3) Let \((M)^A \in P\) and \((M)^A \rightarrow^\ast\beta b\). Then, \(\circ, A \models M \downarrow b\).

**Proof.**

(1) First of all, the property \((C[M])^A \rightarrow^\ast\beta b'\), for some \(b'\) derives directly from the fact that \((C[M])^A \in P\). In fact this implies \((C[M])^A\) is a closed strongly...
ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.

Table IV. An example of computation in $K_N^C$.

| $\overline{C_1, A_3} \vdash 0 \downarrow 0$ | $\overline{C_2, A_4} \vdash 0 \downarrow 0$ | $\overline{A_4} \vdash 0 \downarrow 0$ |
| $\overline{C_1, A_3} \vdash z_1 \downarrow 0$ | $\overline{C_2, A_4} \vdash z_1 \downarrow 0$ | $\overline{A_4} \vdash z_1 \downarrow 0$ |
| $\overline{C_1, A_3} \vdash x_2 \downarrow 0$ | $\overline{C_2, A_4} \vdash x_3 \downarrow 0$ | $\overline{A_4} \vdash x_3 \downarrow 0$ |
| $\overline{C_0, A_3} \vdash \text{if } x_2 \text{ then } x_2 \text{ else } x_2 \downarrow 0$ | $\overline{A_4} \vdash \text{if } x_3 \text{ then } x_3 \text{ else } x_3 \downarrow 0$ | $\overline{A_4} \vdash (\lambda x. \text{if } x \text{ then } x \text{ else } x)z_1 \downarrow 0$ |

$\overline{C_0, A_2} \vdash (\lambda x. \text{if } x \text{ then } x \text{ else } x)z_1 \downarrow 0$

$\overline{C_0, A_2} \vdash f_1z_1 \downarrow 0$

$\overline{C_0, A_2} \vdash x_1 \downarrow 0$

$\overline{A_2} \vdash \text{if } x_1 \text{ then } x_1 \text{ else } x_1 \downarrow 0$

$\overline{A_1} \vdash (\lambda x. \text{if } x \text{ then } x \text{ else } x)(f_1z_1) \downarrow 0$

$\overline{A_1} \vdash f_1(f_1z_1) \downarrow 0$

$\overline{A_0} \vdash (\lambda z. f_1(f_1z))0 \downarrow 0$

$\vdash (\lambda f. \lambda z. f^2z)(\lambda x. \text{if } x \text{ then } x \text{ else } x)0 \downarrow 0$

$A_0 = [f_1 := \lambda x. \text{if } x \text{ then } x \text{ else } x]$  
$A_1 = A_0[x_1 := 0]$  
$A_2 = A_1[x_1 := f_1z_1]$  
$A_3 = A_2[x_2 := z_1]$  
$A_4 = A_2[x_3 := z_1]$

$C_0 = \text{ if } \circ \text{ then } x_1 \text{ else } x_1$  
$C_1 = C_0[\text{ if } \circ \text{ then } x_2 \text{ else } x_2]$  
$C_2 = \text{ if } \circ \text{ then } x_3 \text{ else } x_3$

normalizing term of type $\mathbf{B}$, and so its normal form is necessarily a boolean constant. So in what follows we will prove just that $C, A \vdash M \downarrow b$ and $(C[M])^A \in \mathcal{P}$ implies $(\mathbf{N})^A \rightarrow^*_{\beta_\delta} b$. Note that if $(C[M])^A \in \mathcal{P}$ then clearly $(\mathbf{N})^A \in \mathcal{P}$. We proceed by induction on the derivation proving $C, A \vdash M \downarrow b$. Let the last rule be:

$C, A \vdash b \downarrow b \ (Ax)$

Obviously $(b)^A \rightarrow^*_{\beta_\delta} b$. Let the derivation ends as:

$C, A @ [x'] := N \vdash P[x'/x]V_1 \cdots V_m \downarrow b$

$C, A \vdash (\lambda x. P)V_1 \cdots V_m \downarrow b \ \ (\beta)$

By induction hypothesis $(P[x'/x]V_1 \cdots V_m)^{A@[x' := N]} \rightarrow^*_{\beta_\delta} b$. Clearly since $x'$ is fresh:

$(P[x'/x]V_1 \cdots V_m)^{A@[x' := N]} \equiv ((P[x'/x]V_1 \cdots V_m)[N/x'])^A \equiv (P[N/x]V_1 \cdots V_m)^A$

hence:

$(\lambda x. P)V_1 \cdots V_m)^A \rightarrow_{\beta_\delta} (P[N/x]V_1 \cdots V_m)^A \rightarrow^*_{\beta_\delta} b$

and the conclusion follows. The case of a rule $(h)$ follows directly by induction hypothesis.

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
Let the derivation end as:

\[ C', A \vdash P \Downarrow 0 \quad C, A \vdash N_0V_1 \cdots V_m \Downarrow b \]

\[ C, A \vdash (\text{if } P \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m \Downarrow b \]  \hspace{1cm} (\text{if } 0)

where \( C' = C[(\text{if } [\circ] \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m] \). By induction hypothesis

\( (P)^A \rightarrow_{\beta\delta}^* 0 \), hence:

\[ ((\text{if } P \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m)^A \rightarrow_{\beta\delta}^* ((\text{if } 0 \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m)^A \]

and by \( \delta \) reduction

\[ ((\text{if } 0 \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m)^A \rightarrow_{\delta} (N_0V_1 \cdots V_m)^A \]

moreover, since by induction hypothesis we also have \((N_0V_1 \cdots V_m)^A \rightarrow_{\beta\delta}^* b\), the conclusion follows. The case of rule \( (\text{if } 1) \) is analogous.

(2) Easy, by induction on the length of path(\( \phi \)).

(3) The proof is by induction on the number of steps needed to reach the normal form \( b \) of \((M)^A\) according to the leftmost strategy. Since \((M)^A\) is strongly normalizing this is clearly well-founded.

If \((M)^A\) is already in normal form, since it must be typable of type \( B \) then \( M \equiv b \), and the result is trivial. Otherwise \((M)^A\) cannot be an abstraction, since its typing, so it is an application \( NQV_1 \cdots V_m \).

Suppose \( N \equiv \lambda x.R \). There are two cases, either \((M)^A \equiv ((\lambda x.R')QV_1 \cdots V_m)^A\) or \((M)^A \equiv (yQV_1 \cdots V_m)^A\) and \([y := \lambda x.R'] \in A\).

Let us consider the first case. Then \((\lambda x.R')QV_1 \cdots V_m)^A \rightarrow_{\beta} (R'[Q'/x]V_1 \cdots V_m)^A \equiv (R'[\lambda x'/x]V_1 \cdots V_m)^A \rightarrow_{\beta}^* (Q') \rightarrow_{\beta}^* (R'[\lambda x'/x]V_1 \cdots V_m)^A \rightarrow_{\beta}^* (Q')^A \rightarrow_{\beta}^* (V_1)^A \cdots (V_m)^A\). By induction hypothesis we have \([o], A \equiv [x' := Q'] \vdash R'[\lambda x'/x]V_1 \cdots V_m \Downarrow b \) and so the result follows by rule \( (\beta) \).

In the second case, since \([y := \lambda x.R'] \in A\), then \((yQV_1 \cdots V_m)^A \rightarrow_{\beta} (R'[Q'/x]V_1 \cdots V_m)^A \equiv (R'[x'/x]V_1 \cdots V_m)^A \equiv (R'[x/x]V_1 \cdots V_m)^A \rightarrow_{\beta}^* (Q') \rightarrow_{\beta}^* (V_1)^A \cdots (V_m)^A\). By induction hypothesis \([o], A \equiv [x' := Q'] \vdash R'[x/x]V_1 \cdots V_m \Downarrow b \) so by one application of the rule \( (\beta) \), \([o], A \equiv (\lambda x.R')QV_1 \cdots V_m \Downarrow b \). Finally, by one application of the rule \( (h) \), since \([y := \lambda x.R'] \in A\), we have \([o], A \equiv yQV_1 \cdots V_m \Downarrow b \).

The remaining case is the one where \( N \equiv \text{if } M' \text{ then } N'_0 \text{ else } N'_1 \). By definition \((M)^A \equiv ((\text{if } M' \text{ then } N'_0 \text{ else } N'_1)V_1 \cdots V_m)^A \equiv (\text{if } (M')^A \text{ then } (N'_0)^A \text{ else } (N'_1)^A)(QV_1 \cdots V_m)^A\). Since \((M)^A \in \mathcal{P} \)

\( \vdash (M)^A : B \), so, by the strong normalization property, \((M)^A \rightarrow_{\beta\delta}^* b\). This implies either \((M')^A = b'\) or \((M')^A \rightarrow_{\beta\delta}^* b'\) for some \( b' \). Let us consider the latter case. The number of reduction steps of the sequence \((M')^A \rightarrow_{\beta\delta}^* b'\) is shorter than that one of \((M)^A \rightarrow_{\beta\delta}^* b\), so by induction \([o], A \equiv M' \Downarrow b'\), and, by Lemma 8.1, \((\text{if } [\circ] \text{ then } N'_0 \text{ else } N'_1)V_1 \cdots V_m, A \equiv M' \Downarrow b'\). Without loss of generality, we consider only the case where \( b' = 0 \). Then \((M)^A \rightarrow_{\beta\delta}^* b\) implies \((N'_0QV_1 \cdots V_m)^A \rightarrow_{\beta\delta}^* b\), so by induction \([o], A \equiv N'_0QV_1 \cdots V_m \Downarrow b\), and the result follows by rule \( (\text{if } 0) \). The case \((M')^A = b'\) is easier. The case \((M)^A \equiv (yQV_1 \cdots V_m)^A\), and \([y := \text{if } M' \text{ then } N'_0 \text{ else } N'_1]\), is similar, but both rules \( (h) \) and \( (\text{if } ) \) must be used.

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
\[ A' = \text{clear}(C, A(x) := \text{let } x = N \text{ in } M[x/x] V_1 \cdots V_m) \]
\[ (\langle C, A \rangle \Rightarrow (\lambda x. M) N V_1 \cdots V_m) \mapsto \langle C, A' \rangle \Rightarrow M[x/x] V_1 \cdots V_m \quad (\beta_0)^5 \]
\[ \{ x := N \} \in A \quad A' = \text{clear}(C, A, N V_1 \cdots V_m) \]
\[ (\langle C, A \rangle \Rightarrow x V_1 \cdots V_m) \mapsto \langle C, A' \rangle \Rightarrow x V_1 \cdots V_m \quad (h_0) \]
\[ C = C[(\text{if } \circ \text{ then } N_0 \text{ else } N_1) V_1 \cdots V_n] \]
\[ (\langle C, A \rangle \Rightarrow (\text{if } M \text{ then } N_0 \text{ else } N_1) V_1 \cdots V_m) \mapsto \langle C', A \rangle \Rightarrow M \quad (\text{if}) \]
\[ A' = \text{clear}(C, A, N_0 V_1 \cdots V_n) \]
\[ (\langle C[(\text{if } \circ \text{ then } N_0 \text{ else } N_1) V_1 \cdots V_n], A > 0 \rangle \Rightarrow \langle C, A' \rangle \Rightarrow N_0 V_1 \cdots V_n) \quad (r_0) \]
\[ A' = \text{clear}(C, A, N_1 V_1 \cdots V_n) \]
\[ (\langle C[(\text{if } \circ \text{ then } N_0 \text{ else } N_1) V_1 \cdots V_n], A > 1 \rangle \Rightarrow \langle C, A' \rangle \Rightarrow N_1 V_1 \cdots V_n) \quad (r_1) \]

\[ \text{clear}(C, \varepsilon, M) = \varepsilon \]
\[ \text{clear}(C, A, M) = A' \quad x \in \text{FV}(C) \cup \text{FV}(M) \cup \text{FV}(A) \]
\[ \text{clear}(C, [x := N] A, M) = [x := N] A' \]
\[ \text{clear}(C, A, M) = A' \quad x \notin \text{FV}(C) \cup \text{FV}(M) \cup \text{FV}(A) \]
\[ \text{clear}(C, [x := N] A, M) = A' \]

Table V. (a) The small step machine \( k_B^C \) (b) The garbage collector procedure

Then we can state the soundness and completeness of the evaluation machine \( K_B^C \) with respect to the reduction on programs.

**Theorem 2.** Let \( M \in P \). Then:

1. If \( \models M \Downarrow \beta b \) then \( M \rightarrow_{\beta}^* b \). (Soundness)
2. If \( M \rightarrow_{\beta}^* b \) then \( \models M \Downarrow b \). (Completeness)

**Proof.**

1. It follows directly by Lemma 9.(1).
2. It follows directly by Lemma 9.(3). \( \square \)

3.2 A small step version of \( K_B^C \)

In order to prove that programs are evaluated by the machine \( K_B^C \) in polynomial space we need a formal definition of the space consumption, which in its turn needs a deep investigation on the machine behaviour. In fact, we will explicitly show that...
computations in the machine $K^C_B$ can be performed with no need of backtracking or complex state memorizations.

For this reason, in Table V.(a) we depict a small step abstract machine $k^C_B$. This new machine is able to reduce sequentially programs in $STAB$ following a leftmost outermost strategy exploiting a contexts management similar to the one implemented by the machine $K^C_B$ but for the use of a garbage collector procedure, described in Table V.(b), in order to maintain the desired complexity property.

**Definition 10 Small Step Machine $k^C_B$.**

The reduction relation $\rightarrow \subseteq (Ctx_B \times Ctx_m \times \Lambda_B) \times (Ctx_B \times Ctx_m \times \Lambda_B)$ is the relation inductively defined by the rules for the machine $k^C_B$ given in Table V. The relation $\rightarrow^*$ is the reflexive and transitive closure of the reduction relation $\rightarrow$. If $M$ is a program, and if there is a boolean $b$ such that $(\circ, \varepsilon, M) \rightarrow^* (\circ, \varepsilon, b)$ for some $A$, then we say that $M$ reduces to $b$, and we simply write $M \rightarrow^* b$ for short.

There is a direct correspondence between the configurations of a computation in the big step machine $K^C_B$ and the ones in the small step machine $k^C_B$. This correspondence can be made explicit by the following translation:

**Definition 11.** Let $\phi \triangleright C, A \models N \downarrow b'$ be a configuration of a $K^C_B$ computation. Then, the translation $(\cdot)^s$, assigning to $\phi$ a $k^C_B$ configuration, is defined as $(\phi)^s = (C, A', N)$ where $A' = \text{clear}(C, A, N)$.

The translation $(\cdot)^s$ defined above is useful in order to state the correspondence between the big step and the small step machine. In order to establish this correspondence we need to visit the evaluation trees of the big step machine computation following a determined visiting order. In particular, we consider the left-depth-first visit. E.g. consider the following tree:

```
        g
       / \
      h   f
     /   \
    a   d  l
   /   /   \  \
  b  c  e   i
  /  \
 a
```

the left-depth-first visit coincides with the visit of the nodes in the alphabetical order. Below, we need to talk about the visit of nodes in a given computation $\nabla:: = M \downarrow b$. For this reason, we say that a configuration $\psi$ immediately follows a configuration $\phi$ if the node visited after $\phi$ for left-depth-first visit is the node $\psi$. For instance, the node $i$ immediately follows the node $h$ in the above figure.

Now we can state an important result.
LEMMA 10. Let $\nabla::| M \downarrow b$ and let $\phi, \psi \in \nabla$ be two distinct configurations (i.e. $\phi \neq \psi$) such that $\psi$ immediately follows $\phi$ in the left-depth-first visit of $\nabla$. Then:

$$(\phi)^* \mapsto (\psi)^*$$

PROOF. We proceed by induction on the height of $\nabla$. The base case is easy, since $\nabla$ is an application of the $(Ax)$ rule, hence there are no configurations $\phi, \psi \in \nabla$ such that $\phi \neq \psi$. Consider now the case where the height of $\nabla$ is greater than 1. If the rule with conclusion $\phi$ is not an axiom, then $\psi$ coincides with one of its premises. Let us consider all the possible cases. Consider the case where the rule with conclusion $\phi$ is $$(\beta)$$. Then, we are in a situation as:

$$\psi \triangleright C, A \triangleright x \vdash P[x'/x]V_1 \cdots V_m \downarrow b$$

$$\phi \triangleright C, A \vdash (\lambda x).P[V_1 \cdots V_m] \downarrow b \quad (\beta)$$

By definition of the translation $(-)^*$ we have $$(\phi)^* = \langle C, A' \triangleright (\lambda x).P[V_1 \cdots V_m] \rangle$$ where $A' = \text{clear}(C, A, (\lambda x).P[V_1 \cdots V_m])$ and $$(\psi)^* = \langle C, A'' \triangleright P[x'/x]V_1 \cdots V_m \rangle$$ where $A'' = \text{clear}(C, A, P[x'/x]V_1 \cdots V_m)$. By definition of the clear procedure it is also easy to verify that $A'' = \text{clear}(C, A', (x' := N), P[x'/x]V_1 \cdots V_m)$. So, by an application of the rule $(\beta_0)$ we have:

$$(\phi)^* = \langle C, A' \triangleright (\lambda x).P[V_1 \cdots V_m] \rangle \mapsto \langle C, A'' \triangleright P[x'/x]V_1 \cdots V_m \rangle = (\psi)^*$$

Consider the case where the rule with conclusion $\phi$ is:

$$[x := N] \in A \quad \psi \triangleright C, A \vdash NV_1 \cdots V_m \downarrow b$$

$$\phi \triangleright C, A \vdash xV_1 \cdots V_m \downarrow b \quad (h)$$

Then, by definition of the translation $(-)^*$ we have: $$(\phi)^* = \langle C, A' \triangleright xV_1 \cdots V_m \rangle$$ where $A' = \text{clear}(C, A, xV_1 \cdots V_m)$, and similarly $$(\psi)^* = \langle C, A'' \triangleright NV_1 \cdots V_m \rangle$$ where $A'' = \text{clear}(C, A, NV_1 \cdots V_m)$. Since $[x := N] \in A$ and $x \in \text{FV}(xV_1 \cdots V_m)$, by definition of the clear procedure it is easy to verify that $[x := N] \in A'$ and thus $A'' = \text{clear}(C, A', NV_1 \cdots V_m)$. So, by an application of the rule $(h_0)$ we can conclude:

$$(\phi)^* = \langle C, A' \triangleright xV_1 \cdots V_m \rangle \mapsto \langle C, A'' \triangleright NV_1 \cdots V_m \rangle = (\psi)^*$$

Consider the case where the rule with conclusion $\phi$ is:

$$\psi \triangleright C[(\text{if } \emptyset \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m], A \vdash N \downarrow 0 \quad C, A \vdash N_0V_1 \cdots V_m \downarrow b$$

$$\phi \triangleright C, A \vdash (\text{if } N \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m \downarrow b$$

Then, by definition we have $$(\phi)^* = \langle C, A' \triangleright (\text{if } N \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m \rangle$$ where $A' = \text{clear}(C, A, (\text{if } N \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m)$, and similarly $$(\psi)^* = \langle C[\text{if } \emptyset \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m], A'' \triangleright N \rangle$$ where $A'' = \text{clear}(C[\text{if } \emptyset \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m], A, N)$. Obviously, we have $A' = A''$. Thus, by an application of the rule $(\text{if } \emptyset)$ we can conclude:

$$(\phi)^* = \langle C, A' \triangleright (\text{if } N \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m \rangle \mapsto \langle C[\text{if } \emptyset \text{ then } N_0 \text{ else } N_1)V_1 \cdots V_m], A'' \triangleright N \rangle = (\psi)^*$$

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
The case where the rule with conclusion $\phi$ is (if 1) is similar. Now consider the case $\phi$ is the conclusion of an axiom rule, i.e.:
\[ \phi \vdash C, A \vdash b \Downarrow b \quad (Ax) \]
If $C$ is empty, then $\phi$ is the last configuration in the left-depth-first visit of $\nabla$, hence there is no configuration $\psi \in \nabla$ immediately following $\phi$ such that $\phi \neq \psi$. Otherwise, $\nabla$ has a subderivation $\Diamond$ of the shape:
\[ \phi \vdash C, A \vdash b \Downarrow b \quad (Ax) \]
where by definition of left-depth-first visit $\psi$ is the configuration immediately following $\phi$. Note that $\text{path}_C(\phi)$ does not cross any if-rule by following its left premise. Hence, we have $C = C_1[\text{if } \psi \text{ then } N_0 \text{ else } N_1]V_1 \cdots V_m]$. By (−)$^s$ definition we have $(\phi)^s = \langle C, A' \Rightarrow b \rangle$ where $A' = \text{clear}(C, A, b)$, and similarly $(\psi)^s = \langle C_1, A'', N_bV_1 \cdots V_n \rangle$ where $A'' = \text{clear}(C_1, A_1, N_bV_1 \cdots V_n)$. Note that $A = A_1[0|x_1 := N_1|0 \cdots 0|x_k := N_k]$ where the assignments $[x_1 := N_1, \ldots, x_k := N_k]$ have been introduced in the path from $\phi'$ to $\phi$ (by rules $(\beta)$). Since for each $1 \leq i \leq k$ we have $x_i \notin \text{FV}(C) \cup \text{FV}(A_1) \cup \text{FV}(b)$ it follows that $A' = \text{clear}(C, A_1, b)$. Thus, it is easy to verify that $\text{clear}(C_1, A', N_bV_1 \cdots V_n) = \text{clear}(C_1, A_1, N_bV_1 \cdots V_n) = A''$. So, by an application of a rule $(r_b)$ we can conclude $(\phi)^s = \langle C_1[\text{if } \psi \text{ then } N_0 \text{ else } N_1]V_1 \cdots V_m], A' \Rightarrow b \rangle \mapsto \langle C_1, A'', N_bV_1 \cdots V_n \rangle = (\psi)^s$ and the proof is given. \hfill \Box

A converse of the above lemma can be easily obtained. Nevertheless, the previous result is sufficient in order to show that our space measures are sound. To this end we will use the following.

**Theorem 3.** Let $M \in \mathcal{P}$. Then:
\[ \models M \Downarrow b \text{ implies } M \rightarrow^* b \]

**Proof.** By using the translation (−)$^s$ and by repeatedly applying Lemma 10. \hfill \Box

Indeed, Lemma 10, if repeatedly applied, allows us to define the execution in the $K^C_B$ machine as a sequence of configurations corresponding to the left-depth-first visit of the derivation tree.

**Example 2.** By returning to the computation example in Table IV, it is worth noticing that to pass from the configuration $\phi$ to the configuration $\psi$ all necessary information are already present in the configuration $\phi$ itself. That is, we have a machine $K^C_B$ step as:
\[ (\phi)^s = \langle C_0, A' \Rightarrow 0 \rangle \mapsto \langle [\psi], A'' \Rightarrow x_1 \rangle = (\psi)^s \]
where $A' = \text{clear}(C_0, A_3, 0) = A_2$, and $A'' = \text{clear}(\psi, A', x_1) = A_2$. So, more explicitly, since $\text{clear}(\psi, A_2, x_1) = A_2$, by applying a $(r_0)$ rule we have
\[ (\phi)^s = \langle \text{if } o \text{ then } x_1 \text{ else } A_2 \Rightarrow 0 \rangle \mapsto \langle [\psi], A_2 \Rightarrow x_1 \rangle = (\psi)^s \]

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
We can view such a step as a $\delta$-reduction

$$(\text{if } 0 \text{ then } x_1 \text{ else } x_1)^A \rightarrow (\text{if } 0 \text{ then } x_1 \text{ else } x_1)^A$$

In fact, the behaviour shown in the above example is generalized by Lemma 10 and Theorem 3. So, in this sense we don’t need neither a mechanism for backtracking nor the memorization of parts of the computation tree. Inspired by this property, we can define the notion of space needed to evaluate a term in the machines. Let us first define the size of a configuration in both the machines.

**Definition 12.**

1. If $\langle C, A \triangleright M \rangle$ is a configuration in $k_B^C$, then its size is $|C| + |A| + |M|$.
2. If $\phi \triangleright C, A \models M \Downarrow b$ is a configuration in $K_B^C$, then its size (denoted by $|\phi|$) is $|C| + |A| + |M|$.

We can now define the required space in both the machines as the maximal size of a configuration in the computation.

**Definition 13.**

1. Let $\langle [\circ], e \triangleright M \rangle \rightarrow^* b$ be a computation in $k_B^C$. Then its required space, denoted by $\text{space}_a(M)$, is the maximal size of a configuration in it.
2. Let $\nabla :: [\circ], e \models M \Downarrow b$ be a computation in $K_B^C$. Then its required space, denoted by $\text{space}(M)$, is the maximal size of a configuration in $\nabla$.

The small step machine is a concrete device to evaluate programs. Moreover, it is close to a concrete implementation. Indeed, the following is easy to prove.

**Lemma 11.** Each $M \in P$ can be evaluated using a Turing Machine working in space $O(\text{space}_a(M)^2)$.

**Proof.** Easy. □

Note that in the previous lemma the square is a rough bound given to consider the encoding of the different data structures and the technique used to encode variables names, e.g. de Bruijn indexes. However, it is sufficient to prove polynomial space soundness in the next section.

We can now show that the relation on the required space of the two machines is the expected one.

**Lemma 12.** Let $M \in P$. Then:

$$\text{space}_a(M) \leq \text{space}(M)$$

**Proof.** By definition of the translation ($-)^a$ and Lemma 10. □

As a result, an upper bound on $\text{space}(M)$ is also an upper bound on the amount of space which is needed to evaluate $M$ using the small step machine $k_B^C$. So, from now on we can restrict our attention to prove the polynomial space measure soundness in the case of the big step evaluation machine.
4. PSPACE SOUNDNESS

In this section we will show that STA_B is correct for polynomial space computations. The degree of a type derivation, i.e. the maximal nesting of applications of the rule \((sp)\) in it, is the key notion in order to obtain the correctness. In fact, we will prove that each program typable through a derivation with degree \(d\) can be executed on the machine \(K^c_B\) in space polynomial in its size, where the maximum exponent of the polynomial is \(d\). So, by considering fixed degrees we get PSPACE soundness.

Considering a fixed \(d\) is not a limitation. Indeed until now, in STA_B programs we have not distinguished between the program code and input data. But it will be shown in Section 5 that data types are typable through derivations with degree 0. Hence, the degree can be considered as a real characteristic of the program code. Moreover, every STA_B program can be typed by means of several derivations with different degrees, nevertheless for each program there is a kind of minimal derivation for it, with respect to the degree. So, we can stratify programs with respect to the degree of their derivations, according to the following definition.

**Definition 14.**

1. Let \(\Pi\) be a type derivation and \(\Pi_1\) be one of its subderivations. The depth of \(\Pi_1\) in \(\Pi\), denoted \(d(\Pi_1, \Pi)\) is the number of applications of rule \((sp)\) encountered in the path by the root of \(\Pi\) to the root of \(\Pi_1\). It is inductively defined as follows:
   - if \(\Pi\) coincides with the subderivation \(\Pi_1\) then \(d(\Pi_1, \Pi) = 0\)
   - if \(\Pi\) ends by a rule \(\Sigma \vdash \Gamma \vdash M : \sigma\) and \(\Pi_1\) is a subderivation of \(\Sigma\), then \(d(\Pi_1, \Pi) = d(\Pi_1, \Sigma) + 1\)
   - in any other case \(\Pi\) ends by a rule \((R)\) and \(\Pi_1\) is a subderivation of one of the premise \(\Sigma\) of \((R)\). In such a case, \(d(\Pi_1, \Pi) = d(\Pi_1, \Sigma)\).

2. Let \(\Pi\) be a type derivation. The degree of \(\Pi\), denoted \(d(\Pi)\) is the maximal nesting of applications of rule \((sp)\) in \(\Pi\). That is,
   \[d(\Pi) = \max\{d(\Sigma, \Pi) \mid \Sigma \text{ subderivation of } \Pi\}\]

3. For each \(d \in \mathbb{N}\) the set \(P_d\) is the set of STA_B programs typable through derivation with degree \(d\).
   \[P_d = \{M \mid \Pi \vdash M : B \land d(\Pi) = d\}\]

Clearly, \(P\) corresponds to the union for \(n \in \mathbb{N}\) of the different \(P_n\).

The proof of the PSPACE soundness is quite involved, for this reason we divide this section into three subsections. In the first, we refine the connections between the required space of a computation as defined in the previous section and the behaviour of the \(K^c_B\) machine. In the second one, we define a weight associated to machine configurations. This notion of weight is obtained by extending some measures on type derivations to the machine \(K^c_B\). Finally, in the third subsection, the soundness with respect to PSPACE will be proved. The key ingredient will be Lemma 17, establishing that the configurations weight decrease in exploring a computation path.

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
4.1 Refining $K_B^C$ Space Measures

In this subsection we establish some relations between the size of the contexts and the behaviour of the machine. These relations will then useful in the next subsections to prove the PSPACE soundness.

**Definition 15.** Let $\nabla$ be a computation and $\phi \in \nabla$ a configuration. Then:

- the symbol $\#_\beta(\phi)$ denotes the number of applications of the $(\beta)$ rule in $\text{path}(\phi)$,
- the symbol $\#_h(\phi)$ denotes the number of applications of the $(h)$ rule in $\text{path}(\phi)$,
- the symbol $\#_{\text{if}}(\phi)$ denotes the number of applications of $(\text{if } 0)$ and $(\text{if } 1)$ rules in $\text{path}(\phi)$.

The cardinality of the contexts in a configuration $\phi$ is a measure of the number of some rules performed by the machine in the path to reach $\phi$.

**Lemma 13.** Let $\nabla :: \models \mathcal{M} \downarrow b$ be a computation. Then, for each configuration $\phi$,

1. $\#(A_i) = \#_\beta(\phi)$

2. $\#(C_i) = \#_{\text{if}}(\phi)$

**Proof.**

1. Easy, by induction on the length of $\text{path}(\phi)$, since m-contexts can grow only through applications of the $(\beta)$ rule.

2. Easy, by induction on the length of $\text{path}(\phi)$, since B-contexts can grow only through applications of $(\text{if } 0)$ and $(\text{if } 1)$ rules. □

The following is a key property for proving soundness.

**Property 1.** Let $\mathcal{M} \in \mathcal{P}$ and $\nabla :: \models \mathcal{M} \downarrow b$. Then for each configuration $\phi \rightarrow \mathcal{C}, \mathcal{A} \models P \downarrow b' \in \nabla$:

1. If $\mathcal{C} \models x_j := N_j \in \mathcal{A}$ then $\mathcal{N}_j$ is an instance (possibly with some variable renaming) of a subterm of $\mathcal{M}$.

**Proof.** The property is proven by contradiction. Take the configuration $\psi$ with minimal path from it to the root of $\nabla$, such that in its m-context $\mathcal{A}_\psi$ there is $x_j := \mathcal{N}_j$, where $\mathcal{N}_j$ is not an instance of a subterm of $\mathcal{M}$. Let $p$ be the length of this path. Since the only rule that makes the m-context grow is a $(\beta)$ rule we are in a situation like the following:

$$\psi \rightarrow \mathcal{C}, \mathcal{A}' \models [x_j := \mathcal{N}_j] \models P, V_1 \cdots V_n \downarrow b \quad \frac{\mathcal{C}, \mathcal{A}' \models (\lambda x. P)\mathcal{N}_j, V_1 \cdots V_n \downarrow b}{\mathcal{C}, \mathcal{A}' \models y, V_1 \cdots V_m \downarrow b}$$

If $\mathcal{N}_j$ is not an instance of a subterm of $\mathcal{M}$ it has been obtained by a substitution. Substitutions can be made only through applications of rule $(h)$ replacing the head variable. Hence, by the shape of $(\lambda x. P)\mathcal{N}_j, V_1 \cdots V_n$, the only possible situation is that there exists an application of rule $(h)$ as:

$$\mathcal{N}_j \in \mathcal{A}' \quad \mathcal{C}, \mathcal{A}' \models \mathcal{M}', V_1 \cdots V_m \downarrow b$$

with $\mathcal{N}_j$ a subterm of $\mathcal{M}'$. But this implies $\mathcal{M}'$ is not an instance of a subterm of $\mathcal{M}$ and it has been introduced by a rule of a path of length less than $p$, contradicting the hypothesis. □

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
The next lemma gives upper bounds to the size of the m-context, of the B-context and of the subject of a configuration.

**Lemma 14.** Let \( M \in P \) and \( \nabla ::= M \downarrow b \) then for each configuration \( \phi \times C, A | = P \downarrow b' \in \nabla \):

1. \( |A| \leq \#_\beta(\phi)(|M| + 1) \)
2. \( |P| \leq (\#_h(\phi) + 1)|M| \)
3. \( |C| \leq \#_{if}(\phi)(\max\{ |N| \mid \psi \times C', A' | = N \downarrow b'' \in \text{path}(\phi) \}) \)

**Proof.**

1. By inspection of the rules of Table III it is easy to verify that m-contexts can grow only by applications of the \((\beta)\) rule. So the conclusion follows by Lemma 13.1 and Property 1.
2. By inspection of the rules of Table III it is easy to verify that the subject can grow only by substitutions through applications of the \((h)\) rule. So the conclusion follows by Property 1.
3. By inspection of the rules of Table III it is easy to verify that B-contexts can grow only by applications of \((\text{if 0})\) and \((\text{if 1})\) rules. So the conclusion follows directly by Lemma 13.2.

### 4.2 Space Weight and STA\(_B\)

In order to prove the PSPACE soundness in the next subsection we need to establish a formal connection between the machine configurations and the information given by the type system. To some extent this connection has been already established by Lemma 9. We here make it more explicit thanks to the following property.

**Property 2.** Let \( P \in P \) such that \( \Pi \triangleright P : B \) and \( \nabla ::= P \downarrow b \). Then, for each configuration \( \phi \times C, [x_1 := N_1, \ldots, x_n := N_n] | = N \downarrow b_1 \in \nabla \):

1. there is a type derivation \( \Theta \triangleright x_1 : !^m_1 A_1, \ldots, x_n : !^m_n A_n | = N : B \) obtained by composing and duplicating some subderivations of \( \Pi \) (possibly with some variable renaming).
2. there are type derivations \( \Sigma_1, \ldots, \Sigma_n \) such that for each \( 1 \leq i \leq n \): \( \Sigma_i \triangleright \Gamma_i | = N_i : A_i \) and \( \Sigma_i \) is a subderivation of \( \Pi \).
3. there are type derivations \( \Theta_i \triangleright x_i : !^m_1 A_1, \ldots, x_n : !^m_n A_n | = N[x_1 := N_1, \ldots, x_{i-1} := N_{i-1}] : B \) for \( 1 \leq i \leq n \) obtained by composing and duplicating some subderivations of \( \Pi \) (possibly with some variable renaming).

**Proof.** All the three points follows easily by Lemma 9.2, using also Lemma 3.3 in the case of Point (2). Moreover, note that in Point (1) we can assume that all the variables \( x_1, \ldots, x_n \) appear in \( \Theta \) thanks to the \((w)\) rule. The same is true in Point (3) for the variables \( x_1, \ldots, x_n \).

The definition of space weight for a configuration is slightly involved. We first start by adapting to our case some measures for Soft Linear Logic given by Lafont in [Lafont 2004].

**Definition 16.**
The rank of a rule \((m)\):

\[
\frac{\Gamma, x_1:\sigma, \ldots, x_n:\sigma \vdash M:\mu}{\Gamma, x:\sigma \vdash \text{M}[x/x_1, \ldots, x/x_n] : \mu} \quad (m)
\]

is the number \(k \leq n\) of variables \(x_i\) such that \(x_i\) belongs to the free variables of \(\text{M}\). Let \(r\) be the the maximal rank of a rule \((m)\) in \(\Pi\). Then, the rank of \(\Pi\) is

\[\text{rk}(\Pi) = \text{max}(r, 1)\]

Let \(r\) be a natural number. The slice weight \(\delta(\Pi, r)\) of \(\Pi\) with respect to \(r\) is defined inductively as follows:

- If \(\Pi\) consists of a \((Ax)\) or of a \((B_0 I)\) rule, then \(\delta(\Pi, r) = 1\).
- If \(\Pi\) ends by a rule

\[
\frac{\Sigma \vdash \Gamma, x:\sigma \vdash M:A}{\Gamma \vdash \lambda x.\text{M} : \sigma \rightarrow A} \quad (\rightarrow I)
\]

then \(\delta(\Pi, r) = \delta(\Sigma, r) + 1\).
- If \(\Pi\) ends by a rule

\[
\frac{\Sigma \vdash \Gamma \vdash \text{M} : \sigma}{\Pi \vdash \text{M}[\sigma]} \quad (sp)
\]

then \(\delta(\Pi, r) = r \times \delta(\Sigma, r)\).
- If \(\Pi\) ends by a rule

\[
\frac{\Sigma \vdash \Gamma \vdash \text{M} : \sigma \vdash \text{N} : \mu}{\Gamma, \Delta \vdash \text{MN} : A} \quad (\rightarrow E)
\]

then \(\delta(\Pi, r) = \delta(\Sigma, r) + \delta(\Theta, r) + 1\).
- If \(\Pi\) ends by a rule

\[
\frac{\Sigma \vdash \Gamma \vdash \text{M} : \sigma \vdash \text{N} : \mu}{\Gamma, \Theta \vdash \Delta \vdash \text{N}_0 : \mu} \quad (\rightarrow E)
\]

then \(\delta(\Pi, r) = \max\{\delta(\Sigma, r), \delta(\Theta_0, r), \delta(\Theta_1, r)\} + 1\).
- In any other case \(\delta(\Pi, r) = \delta(\Sigma, r)\) where \(\Sigma\) is the unique premise derivation.

The sliced weight of a type derivation is useful to control the space that can be used during a computation. However, it does not suffice. We also need a measure expressing the increasing of the slice weight due to a substitution. This is the factor of a variable in a type derivation.

**Definition 17.** Let \(\Pi \vdash \Gamma, x : \sigma \vdash \text{M} : \tau\), then the factor of \(x\) in \(\Pi\) with respect to \(r\), denoted \(\gamma(x, \Pi, r)\) is inductively defined as follows:

- If \(\Pi\) consists in an \((Ax)\) rule introducing \(x\) as

\[
\frac{x : A \vdash x : A}{(Ax)}
\]

then \(\gamma(x, \Pi, r) = 1\).
- If \(\Pi\) consists in an \((Ax)\) rule not introducing \(x\), or in a \((w)\) rule introducing \(x\), or in a \((B_0 I)\) rule, then \(\gamma(x, \Pi, r) = 0\).

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
If $\Pi$ ends by a rule
\[
\Sigma \triangleright \Gamma, x : \sigma_1, \ldots, x_n : \sigma_n \vdash \tau
\]
\[
\Gamma, x : !\sigma_1 \vdash N[x/x_1, \ldots, x/x_n] : \tau
\]

then $\gamma(x, \Pi, r) = \sum_{1 \leq i \leq n} \gamma(x_i, \Sigma, r)$.

If $\Pi$ ends by a rule
\[
\Sigma \triangleright \Gamma, x : \sigma_1 \vdash N : \tau
\]
\[
\Gamma, x : !\sigma_1 \vdash N ! : \tau
\]

then $\gamma(x, \Pi, r) = r \times \gamma(x, \Sigma, r)$.

If $\Pi$ ends by a rule
\[
\Sigma \triangleright \Gamma \vdash \mu : A \quad \Theta \triangleright \Delta \vdash \nu : \mu
\]

then $\gamma(x, \Pi, r) = \gamma(x, \Sigma, r)$ if $x \in \text{dom}(\Gamma)$, $\gamma(x, \Pi, r) = \gamma(x, \Theta, r)$ otherwise.

In any other case $\gamma(x, \Pi, r) = \gamma(x, \Sigma, r)$ where $\Sigma$ is the unique premise derivation.

The previous definition can also be understood as a generalization of the concept of sliced occurrences of a variable used instead in [Gaboardi et al. 2008a].

Now we have all the ingredients to define the needed measure for configurations, which is represented by the space weight defined as follows.

**Definition 18 Space Weight.** Let $P \in \mathcal{P}$ such that $\Pi \vdash P : \mathcal{B}$ and $\nabla :: \models P \Downarrow b$. Then, the space weight of a configuration $\phi \triangleright \mathcal{C}$, $[x_1 := N_1, \ldots, x_n := N_n] \models N \Downarrow b_1 \in \nabla$, denoted $\delta_{\Pi}(\phi, r)$ is defined as:

\[
\delta_{\Pi}(\phi, r) = \delta(\Sigma, r) + \gamma(x_1, \Theta_1, r)\delta(\Sigma_1, r) + \cdots + \gamma(x_n, \Theta_n, r)\delta(\Sigma_n, r)
\]

where $\Sigma \triangleright x_1 :: !m_1 A_1, \ldots, x_n :: !m_n A_n \vdash B$, $\Sigma_i \triangleright \Gamma_i \vdash N_i : A_i$ and $\Theta_i \triangleright x_i :: !m_i A_i, \ldots, x_{n-i} :: !m_{n-i} A_{n-i} : B$ for $1 \leq i \leq n$.

The definition above could seem a bit odd. First, note that it is well defined thanks to Property 2. Besides, one can read it as the sum of the weights given by the different terms in a configuration where the weight of each term $N_i$ in the $m$-context is multiplied by the actual factor of the corresponding variable $x_i$. Clearly, we have the following.

**Lemma 15.** If $\Pi \vdash P : \mathcal{B}$ and $\nabla :: \models P \Downarrow b$, then for the initial configuration $\phi \triangleright P \Downarrow b$ we have

\[
\delta_{\Pi}(\phi, r) = \delta(\Pi, r)
\]

**Proof.** Easy. $\square$

Moreover, another important property of the measures we have defined above is the following.
LEMMA 16. Let \( \Pi \vdash \Gamma, x : !^n A \vdash M : \tau \), then for each \( r \geq \text{rk}(\Pi) \):
\[
\gamma(x, \Pi, r) \leq r^n
\]

PROOF. It follows easily by induction on \( \Pi \) and definition of rank. \( \square \)

4.3 Proof of \textsc{Pspace} Soundness

We are now ready to show that the space weight \( \delta \) gives a bound on the number of rules in a computation path of the machine \( K^K \).

LEMMA 17. Let \( P \in \mathcal{P} \) with \( \Pi \vdash P : \text{B} \) and \( \nabla : \models P \Downarrow b \).

1. Consider an occurrence in \( \nabla \) of the rule:
\[
\frac{\psi \triangleright C, A \overset{\text{if } b}{\vdash} M \Downarrow b \quad \psi_1 \triangleright C, A \vdash N_0 V_1 \cdots V_m \Downarrow b'}{\phi \triangleright C, A \vdash (\text{if } M \text{ then } N_0 \text{ else } N_1 )V_1 \cdots V_m \Downarrow b'} \quad (\beta)
\]
Then, for every \( r \geq \text{rk}(\Pi) \):
\[
\delta(\phi, r) > \delta(\psi, r)
\]

2. Consider an occurrence in \( \nabla \) of an if rule as:
\[
\frac{\psi_1 \triangleright C', A \vdash M \Downarrow b \quad \psi_2 \triangleright C, A \vdash N_0 V_1 \cdots V_m \Downarrow b'}{\phi \triangleright C, A \vdash (\text{if } b \text{ then } N_0 \text{ else } N_1 )V_1 \cdots V_m \Downarrow b'} \quad (\text{if } b)
\]
where \( C' \equiv C[(\text{if } \sigma) \text{ then } N_0 \text{ else } N_1 )V_1 \cdots V_m] \). Then, for every \( r \geq \text{rk}(\Pi) \):
\[
\delta(\phi, r) > \delta(\psi_1, r) \quad \text{and} \quad \delta(\phi, r) > \delta(\psi_2, r)
\]

3. Consider an occurrence in \( \nabla \) of an \( h \) rule as:
\[
\frac{x := N \in A \quad \psi \triangleright C, A \vdash N V_1 \cdots V_m \Downarrow b'}{\phi \triangleright C, A \vdash x V_1 \cdots V_m \Downarrow b'} \quad (h)
\]
Then, for every \( r \geq \text{rk}(\Pi) \):
\[
\delta(\phi, r) > \delta(\psi, r)
\]

PROOF.

(1) We proceed by induction on \( m \). Consider the case \( m = 0 \) and suppose \( \Sigma \triangleright \Gamma \vdash (\lambda x.M)N : B \) and \( \Theta \triangleright \Gamma' \vdash M[x'/x] : B \). Moreover, assume \( A = [x_1 := N_1, \ldots, x_n := N_n] \) with \( \Sigma_i \triangleright \Delta_i \vdash N_i : A_i \) for \( 1 \leq i \leq n \). Without loss of generality we can assume that \( \Sigma \) ends as follows:
\[
\frac{\Pi_1 \triangleright \Gamma_1, x : !^k A \vdash M : B \quad \Pi_2 \triangleright \Gamma_2 \vdash N : A}{\Gamma_1 \vdash \lambda x.M : !^k A \longrightarrow B} \quad (-\text{I})
\]
\[
\frac{\Gamma_1 \vdash \lambda x.M : !^k A \longrightarrow B \quad \Pi_2 \triangleright \Gamma_2 \vdash N : !^k A}{\Gamma_1, !^k \Gamma_2 \vdash (\lambda x.M)N : B} \quad (sp)
\]
\[
\frac{\Gamma_1, !^k \Gamma_2 \vdash (\lambda x.M)N : B}{\Gamma_1, !^k \Gamma_2 \vdash (\lambda x.M)N : B} \quad (-\text{E})
\]
where \( \Gamma_1 \neq !^k \Gamma_2 \). Since we have \( \delta(\Sigma, r) = \delta(\Pi_1, r) + r^k \delta(\Pi_2, r) + 2 \), by definition of space weight we have:
\[
\delta(\phi, r) = \delta(\Pi_1, r) + r^k \delta(\Pi_2, r) + \gamma(x_1, \Theta^1_1, r) \delta(\Sigma_1, r) + \cdots + \gamma(x_n, \Theta^1_n, r) \delta(\Sigma_n, r) + 2
\]
where $\Theta^1_i \triangleright \Delta^1_i \vdash ((\lambda x.M)[N]^{x_1 := N_1, \ldots, x_i := N_i}) : B$ for $1 \leq i \leq n$. Analogously, since clearly $\delta(\Theta, r) = \delta(\Pi, r)$ we have:

$$\delta(\psi, r) = \delta(\Pi, r) + \gamma(x', \Pi, r)\delta(\Pi_2, r) + \gamma(x_1, \Theta^1_i, r)\delta(\Sigma_1, r) + \cdots + \gamma(x_n, \Theta^2_i, r)\delta(\Sigma_n, r)$$

where $\Theta^2_i \triangleright \Delta^2_i \vdash (M[x'/x])^{x' := N, x := N_1, \ldots, x_i := N_i} : B$, for $1 \leq i \leq n$. Since the occurrences of the rules introducing $x'$ can only be at a depth less that $k$, it is easy to verify that for each $1 \leq i \leq n$:

$$\gamma(x_1, \Theta^2_i, r) \leq \gamma(x_i, \Theta^2_i, r)$$

Moreover, since $r \geq \text{rk}(\Pi)$ by Lemma 16 we have $\gamma(x', \Pi, r) \leq r^k$, and so we can conclude

$$\delta(\psi, r) \leq \delta(\phi, r) - 2$$

The inductive step $m = k + 1$ follows easily by the induction hypothesis.

(2) Suppose $\Sigma \triangleright \Delta \vdash \text{ if } M \text{ then } N_0 \text{ else } N_i \mid V_1 \cdots V_m : B$ and $\Theta_1 \triangleright \Delta \vdash M : B$ and $\Theta_2 \triangleright \Delta \vdash N_0 V_1 \cdots V_m : B$. The conclusion follows directly since $\delta(\Pi, r) > \delta(\Theta_1, r)$ and $\delta(\Pi, r) > \delta(\Theta_2, r)$.

(3) Suppose $\Sigma \triangleright \Delta \vdash \lambda V_1 \cdots V_m : B$ and $\Theta \triangleright \Delta \vdash \lambda N V_1 \cdots V_m : B$. Moreover, assume $A = [x_1 := N_1, \ldots, x_n := N_n]$ with $x = x_k$ and $N = N_k$ for some $1 \leq k \leq n$ and that $\Sigma_i \triangleright \Gamma_i \vdash N_i : A_i$. By definition of space weight we have:

$$\delta(\phi, r) = \delta(\Sigma, r) + \gamma(x_1, \Theta^1_1, r)\delta(\Sigma_1, r) + \cdots + \gamma(x_n, \Theta^1_n, r)\delta(\Sigma_n, r)$$

where $\Theta^1_i \triangleright \Delta^1_i \vdash (\lambda V_1 \cdots V_m)^{x_1 := N_1, \ldots, x_i := N_i} : B$ for $1 \leq i \leq n$. Analogously, we have:

$$\delta(\psi, r) = \delta(\Theta, r) + \gamma(x_1, \Theta^2_i, r)\delta(\Sigma_1, r) + \cdots + \gamma(x_n, \Theta^2_n, r)\delta(\Sigma_n, r)$$

where $\Theta^2_i \triangleright \Delta^2_i \vdash (\lambda V_1 \cdots V_m)^{x_1 := N_1, \ldots, x_i := N_i} : B$, for $1 \leq i \leq n$. Let $d$ be the depth in $\Sigma$ of the axiom introducing the occurrence of $x$ that is replaced by $N$ in $\Theta$. Noting that such an axiom cannot be above a $(BE)$ rule in $\Sigma$, we have

$$\delta(\Theta, r) = \delta(\Sigma, r) - r^d + r^d\delta(\Sigma_k, r)$$

Now, it is easy to verify that $\gamma(x_i, \Theta^1_i, r) = \gamma(x_i, \Theta^2_i, r)$ for all $1 \leq i \leq n$ with $i \neq k$ thanks to the fact that $N$ can contain only $x_j$ for $j > k$. However, we have $\gamma(x_k, \Theta^2_i, r) = \gamma(x_i, \Theta^2_i, r) - r^d$ So, summarizing we have

$$\delta(\psi, r) = (\delta(\Sigma, r) - r^d + r^d\delta(\Sigma_k, r)) + \gamma(x_1, \Theta^1_i, r)\delta(\Sigma_1, r) + \cdots + (\gamma(x_k, \Theta^1_k, r) - r^d)\delta(\Sigma_k, r) + \cdots + \gamma(x_n, \Theta^2_n, r)\delta(\Sigma_n, r)$$

and since clearly $r^d \geq 1$ we can conclude

$$\delta(\psi, r) \leq \delta(\phi, r) - 1$$

\[\square\]

Thanks to the above lemma we have the following important property.
Lemma 18. Let \( \Pi \vdash \mathbf{M} : \mathbf{B} \) and \( \nabla :: \models \mathbf{M} \Downarrow \mathbf{b} \). Then for each \( \phi \in \nabla \) such that \( \phi \triangleright C, A \models \mathbf{N} \Downarrow \mathbf{b}' \) if \( r \geq \text{rk}(\Pi) \):

\[
\#_{\beta}(\phi) + \#_{1}(\phi) + \#_{h}(\phi) \leq \delta(\Pi, r)
\]


As defined in the previous section, the space used by the machine \( K_{C}^{B} \) is the maximum space used by its configurations. The above lemma gives a measure on the number of rules in the path to reach a configuration. We now only need to relate the space weight with both the size of the term and the degree of the derivation. This is done thanks to the following lemma.

Lemma 19. Let \( \Pi \vdash \Gamma \models \mathbf{M} : \sigma \).

1. \( \delta(\Pi, 1) \leq |\mathbf{M}| \)
2. \( \delta(\Pi, r) \leq \delta(\Pi, 1) \times r^{d(\Pi)} \)
3. \( \delta(\Pi, \text{rk}(\Pi)) \leq |\mathbf{M}|^{d(\Pi)+1} \)

Proof. (1) By induction on \( \Pi \). Base cases are trivial. Cases (sp), (m), (w), (\forall I) and (\forall E) follow directly by induction hypothesis. The other cases follow by definition of \( \delta(\Pi, 1) \).

(2) By induction on \( \Pi \). Base cases are trivial. Cases (m), (w), (\forall I) and (\forall E) follow directly by induction hypothesis. The other cases follow by induction hypothesis and the definitions of \( \delta(\Pi, r) \) and \( d(\Pi) \).

(3) By definition of rank it is easy to verify that \( \text{rk}(\Pi) \leq |\mathbf{M}| \), hence by the previous two points the conclusion follows.

The next lemma gives a bound on the dimension of all the components of a machine configuration, namely the term, the m-context and the B-context.

Lemma 20. Let \( \mathbf{M} \in P_{d} \) and \( \nabla :: \models \mathbf{N} \Downarrow \mathbf{b} \). Then for each \( \phi \triangleright C, A \models \mathbf{N} \Downarrow \mathbf{b}' \in \nabla \):

\[
|A| + |N| + |C| \leq 2|M|^{d+3}
\]

Proof. By Lemma 14 we have

\[
|A| + |N| + |C| \leq \#_{\beta}(\phi)(|\mathbf{M}| + 1) + (\#_{h}(\phi) + 1)|\mathbf{M}| + \#_{1}(\phi)(\max\{|N| \mid \psi \triangleright C', A' \models \mathbf{N} \Downarrow \mathbf{b}'' \in \text{path}(\phi)\}) \\
\leq (\#_{\beta}(\phi) + (\#_{h}(\phi) + 1) + \#_{1}(\phi))((\#_{h}(\phi) + 1)|\mathbf{M}|)
\]

So, by Lemma 18 and Lemma 19 we can conclude.

\[
|A| + |N| + |C| \leq \delta(\Pi, \text{rk}(\Pi))((\delta(\Pi, \text{rk}(\Pi)) + 1)|\mathbf{M}|) \\
\leq |\mathbf{M}|^{d+1}(|\mathbf{M}|^{d+1} + 1)|\mathbf{M}| \leq 2|M|^{2d+3}
\]

The above lemma gives us the bound on the space required to evaluate a program by means of the \( K_{C}^{B} \) machine.

Theorem 4. Let \( \mathbf{M} \in P_{d} \). Then:

\[
\text{space}(\mathbf{M}) \leq 2|M|^{d+3}
\]
Proof. By definition of \texttt{space}($\mathcal{M}$) and Lemma 20. □

Finally the PSPACE soundness follows immediately.

Theorem 5 Polynomial Space Soundness. Each $\mathcal{M} \in \mathcal{P}_d$ can be evaluated by a Turing Machine working in space $O(|\mathcal{M}|^{2d+6})$.

Proof. By Theorem 4, Lemma 12 and Lemma 11. □

5. PSPACE Completeness

A well known result of the seventies states that the class of problem decidable by a Deterministic Turing Machine (DTM) in space polynomial in the length of the input coincides with the class of problems decidable by an Alternating Turing Machine (ATM) [Chandra et al. 1981] in time polynomial in the length of the input.

PSPACE = APTIME

We use this result, and we prove that each polynomial time ATM $\mathcal{M}$ can be simulated by a term typable in STA$_B$. In order to do this, we will use a result already obtained by two of the authors of this paper [Gaboardi and Ronchi Della Rocca 2007; Gaboardi 2007], namely that STA, the type assignment system for the $\lambda$-calculus on which STA$_B$ is based, characterizes all the polynomial time functions. In particular, we use the same encoding as in [Gaboardi and Ronchi Della Rocca 2007; Gaboardi 2007] for the representation of the polynomials. Notice that the data types are coded by means of terms that are typable in a uniform way through derivations of degree 0. This approach ensures that the degree of the polynomial space bound does not depend on the input data.

Some syntactic sugar

Let $\circ$ denotes composition. In particular $\mathcal{M} \circ \mathcal{N}$ stands for $\lambda z. \mathcal{M}(\mathcal{N}z)$ and $\mathcal{M}_1 \circ \mathcal{M}_2 \cdots \circ \mathcal{M}_n$ stands for $\lambda z. \mathcal{M}_1(\mathcal{M}_2(\cdots (\mathcal{M}_n z) \cdots))$.

Tensor product is definable as $\sigma \otimes \tau = \forall \alpha. (\sigma \rightarrow \tau \rightarrow \alpha) \rightarrow \alpha$. In particular $(\mathcal{M}, \mathcal{N})$ stands for $\lambda x. \mathcal{M} \mathcal{N}$ and let $z$ be $x, y$ in $\mathcal{N}$ stands for $z(\lambda x. \lambda y. \mathcal{N})$. Note that, since STA$_B$ is an affine system, tensor product enjoys some properties of the additive conjunction, as to permit the projections: as usual $\pi_1(\mathcal{M})$ stands for $\mathcal{M}(\lambda x. \lambda y. x)$ and $\pi_2(\mathcal{N})$ stands for $\mathcal{N}(\lambda x. \lambda y. y)$. The $n$-ary tensor product can be easily defined through the binary one and we use $\sigma^n$ to denote $\sigma \otimes \cdots \otimes \sigma$ $n$-times. In the sequel we sometimes consider tensor product modulo associativity.

B-programmable functions

We need both to generalize the usual notion of lambda definability, given in [Barendregt 1984], to different kinds of input data, and to specialize it to our typing system.

Definition 19. Let $f : I_1 \times \cdots \times I_n \rightarrow \mathcal{O}$ be a total function and let each element $o \in \mathcal{O}$ and $i_j \in I_j$, for $0 \leq j \leq n$, be encoded by terms $\underline{o}$ and $\underline{i}_j$ such that $\vdash \underline{o} : \mathcal{O}$ and $\vdash \underline{i}_j : I_j$.

(i) The function $f$ is B-definable if there is a term $\underline{f} \in \Lambda_B$ such that for each $i_j \in I_j$ the term $\underline{f i}_1 \cdots \underline{i}_n$ is typable as $\vdash \underline{f i}_1 \cdots \underline{i}_n : \mathcal{O}$ and:

$$f i_1 \cdots i_n = o \iff \underline{f i}_1 \cdots \underline{i}_n =_{\beta \delta} \underline{o}$$
(ii) Let $\emptyset = B$. The function $f$ is $B$-programmable if there is a term $\bar{f} \in \Lambda_B$ such that for each $i_j \in I_j$: $\bar{f} \bar{i_1} \ldots \bar{i_n} \in \mathcal{P}$ and:

$$f(i_1, \ldots, i_n) = b \iff \bar{f} \bar{i_1} \ldots \bar{i_n} \Downarrow b$$

Natural numbers and strings of booleans

Natural numbers, as usual in the $\lambda$-calculus, are represented by Church numerals, i.e. $n \equiv \lambda s.\lambda z. s^n(z)$. Each Church numeral $n$ is such that $\vdash n : N_i$ for every $i \geq 1$ where the indexed type $N_i$ is defined as:

$$N_i = \forall \alpha. n.\alpha \rightarrow \alpha \rightarrow \alpha \rightarrow \alpha \rightarrow \alpha.$$  

It is easy to check that $n$ is typable by means of derivations with degree 0. We simply use $N$ to mean $N_1$.

The standard terms $\text{suc} \equiv \lambda n.\lambda s.\lambda z. s(nz)$, $\text{add} \equiv \lambda n.\lambda m.\lambda s.\lambda n.\lambda s.\lambda z. ns(msz)$ and $\text{mul} \equiv \lambda n.\lambda m.\lambda s.\lambda n.\lambda s.\lambda z. ns(msz)$, defining successor, addition and multiplication, analogously to what happens in STA, are typable as: $\vdash \text{suc} : N_i \rightarrow N_{i+1}$, $\vdash \text{add} : N_i \rightarrow N_j \rightarrow N_{\max(i,j)+1}$ and $\vdash \text{mul} : N_i \rightarrow N_j \rightarrow N_{i+j}$. From this we have for STA$_B$ the following completeness for polynomials.

**Lemma 21 [Gaboardi and Ronchi Della Rocca 2007].** Let $P$ be a polynomial and $\deg(P)$ its degree. Then there is a term $P$ defining $P$ typable as:

$$\vdash P : !\deg(P)N \rightarrow N_{2\deg(P)+1}$$

Strings of booleans are represented by terms of the shape $\lambda c.\lambda z. c\bar{b}_0(\cdots (c\bar{b}_n z) \cdots)$ where $\bar{b}_i \in \{0, 1\}$. Such terms are typable by the indexed type $S_i \equiv \forall \alpha. !\alpha \rightarrow \alpha \rightarrow \alpha \rightarrow \alpha \rightarrow \alpha$. Again, we write $S$ to mean $S_1$. Moreover, there is a term $\text{len} \equiv \lambda c.\lambda s.\lambda c. (\lambda x.\lambda y. sy)$ typable as $\vdash \text{len} : S_i \rightarrow N_i$ that given a string of booleans returns its length. Note that the data types defined above can be typed in STA$_B$ by derivations with degree 0.

**Boolean connectives**

It is worth noting that due to the presence of the $(BE)$ rule it is possible to define the usual boolean connectives. Remembering that in our language $0$ denotes “true” while $1$ denotes “false”, we have the following terms:

$$M \land N \equiv \text{if } M \text{ then } (\text{if } N \text{ then } 0 \text{ else } 1) \text{ else } 1$$

$$M \lor N \equiv \text{if } M \text{ then } 0 \text{ else } (\text{if } N \text{ then } 0 \text{ else } 1)$$

It is worth noticing that due to the presence of the $(BE)$ rule, the following rules with an additive management of contexts are derivable in STA$_B$:

$$\Gamma \vdash M : B \quad \Gamma \vdash N : B \quad \Gamma \vdash M : B \quad \Gamma \vdash N : B \quad \Gamma \vdash M \or N : B$$

Moreover, there is a term $\text{not}$ defining the expected boolean function.

**ATMs Configurations**

The encoding of Deterministic Turing Machine configuration given in [Gaboardi and Ronchi Della Rocca 2007] can be adapted in order to encode Alternating Turing
Machine configurations. In fact, an ATM configuration can be viewed as a DTM configuration with an extra information about the state. There are four kinds of state: accepting (A), rejecting (R), universal (∧) and existential (∨). We can encode such information by tensor pairs of booleans. In particular:

\[
\langle 1, 0 \rangle \quad A \quad \langle 1, 1 \rangle \quad R \quad \langle 0, 1 \rangle \quad \land \quad \langle 0, 0 \rangle \quad \lor
\]

We say that a configuration is accepting, rejecting, universal or existential depending on the kind of its state.

We can encode ATM configurations by terms of the shape:

\[
\lambda c. (cb_0 \circ \cdots \circ cb_n, cb_0 \circ \cdots \circ cb_n, \langle Q, k \rangle)
\]

where \(cb_0 \circ \cdots \circ cb_n\) and \(cb_0 \circ \cdots \circ cb_n\) are respectively the left and right hand side words on the ATM tape, \(Q\) is a tuple of length \(q\) encoding the state and \(k \equiv \langle k_1, k_2 \rangle\) is the tensor pair encoding the kind of the state. By convention, the left part of the tape is represented in a reversed order, the alphabet is composed by the two symbols 0 and 1, the scanned symbol is the first symbol in the right part and final states are divided in accepting and rejecting. In fact, a standard three symbols alphabet (0,1,blank) for the machine working tape can be mapped to our two symbols alphabet \(\{0,1\}\) in a standard way. For instance we can consider an encoding function \(\xi\) that maps each symbol of the ternary alphabet to a pair of symbols of the binary alphabet, e.g. \(\xi(0) = (0,1); \xi(1) = (1,0)\) and \(\xi(\text{blank}) = (0,0)\). Moreover, we can assume that the transition function \(\delta\), and the polynomial bounds are designed in accordance with this assumption.

Analogously to what happens in the case of Church numerals, the terms representing configurations presented above can be typed by using indexed types (for every \(i \geq 1\) as:

\[
\text{ATM}^i_\eta \equiv \forall \alpha. !'(B \to \alpha \to \alpha) \to ((\alpha \to \alpha)^2 \otimes B^{i+2})
\]

Note that the \(q\) in \(\text{ATM}^i_\eta\) is the length of the tuple encoding the state. Such a length is determined once a particular ATM is fixed. We need some terms defining operations on ATM. In particular, the term \(\text{Init}^m \equiv \lambda t. \lambda c. \langle \lambda z. z, \lambda z. t(c0)z, \langle q_0, k_0 \rangle \rangle\) defines the initialization function that takes in input a Church numeral \(\eta\) and gives as output a Turing machine with tape of length \(n\) filled by \(0\)'s in the initial state \(Q_0 \equiv \langle q_0, \ldots, q_m \rangle\) of kind \(k_0 \equiv \langle k'_0, k''_0 \rangle\) and with the head at the beginning of the tape. It is easy to verify that \(\text{Init}^m : N_1 \rightarrow \text{ATM}^m_1\) for every \(i \geq 1\). Note that the term \(\text{Init}^m\) and its typing are parametric in the length \(m\) of the tuple encoding the state.

An ATM transition relation \(\delta\) can be considered as the union of the transition functions \(\delta_1, \ldots, \delta_n\) being its components. So, we need to show that these components are definable. We decompose an ATM transition function step in two stages. In the first stage, the ATM configuration is decomposed to extract the information needed by the transition relation \(\delta\). In the second one, the previously obtained information are combined, depending on the considered transition component \(\delta_j\), in order to build the new ATM configuration. The term performing the decomposition stage is:

\[
\text{Dec} \equiv \lambda s. \lambda c. \text{let } s(F[c]) \text{ be } 1, r, p \text{ in let } p \text{ be } q, k \text{ in let } 1(l, \lambda x. I, 0) \\
\text{be } t_i, c_i, b_0^i \text{ in let } r(l, \lambda x. I, 0) \text{ be } t_r, c_r, b_0^r \text{ in } \langle t_i, t_r, c_i, b_0^i, c_r, b_0^r, q, k \rangle
\]
where \( F[c] = \lambda b. \lambda z. \text{let } z \text{ be } g, h, i \text{ in } (h \circ g, c, b) \). It is boring but easy to check that the term Dec can be typed as \( \vdash \text{Dec : ATM}^2_1 \rightarrow \text{ID}^2_1 \), where the indexed type \( \text{ID}^2_1 \) is used to type the intermediate configuration decomposition and it is defined as \( \text{ID}^2_1 = \forall \alpha ! (B \rightarrow \alpha \rightarrow \alpha) \rightarrow ((\alpha \rightarrow \alpha) \times ((B \rightarrow \alpha \rightarrow \alpha) \otimes B) \otimes B) \otimes B^2 \).

The behaviour of Dec is the following:

\[
\text{Dec} (\lambda c. \langle c b_1 \circ \cdots \circ c b_n, c b_0 \circ \cdots \circ c b'_m, \langle Q, k \rangle \rangle) \rightarrow^*_\beta \\
\lambda c. \langle c b_1 \circ \cdots \circ c b'_n, c b_0 \circ \cdots \circ c b'_m, c, b_0', c, b_0', Q, k \rangle
\]

The transition combination stage is performed by the term

\[
\text{Com}_j = \lambda s. \lambda c. \text{let } s \text{ be } l, r, c_l, b_l, c_r, b_r, q, k \text{ in } \text{let } \delta_j (b_r, q, k) \text{ be } l', q', k', m \text{ in } (\text{if } m \text{ then } R \text{ else } L) b' q' k' (l, r, c_l, b_l, c_r)
\]

where \( R = \lambda b'. \lambda q'. \lambda k'. \lambda s. \text{let } s \text{ be } l, r, c_l, b_l, c_r \text{ in } \langle c_r b' \circ c_l b_l \circ l, r, \langle q', k' \rangle \rangle, L = \lambda b'. \lambda q'. \lambda k'. \lambda s. \text{let } s \text{ be } l, r, c_l, b_l, c_r \text{ in } \langle l, c_l b_l \circ c_r b_r \circ r, \langle q', k' \rangle \rangle \) and \( \delta_j \) is a term defining the \( \delta_j \) component of the transition relation \( \delta \). The term \( \text{Com}_j \) can be typed as \( \vdash \text{Com}_j : \text{ID}^2_1 \rightarrow \text{ATM}^2_1 \). It combines the symbols obtained after the decomposition stage depending on the considered component \( \delta_j \) and returns the new ATM configuration. If \( \delta_j (b_0', Q, k) = \langle b', Q', k', \text{Right} \rangle \), then

\[
\text{Com}_j (\lambda c. \langle c b_1 \circ \cdots \circ c b_n, c b_1' \circ \cdots \circ c b'_m, c, b'_0, c, b_0', Q, k \rangle) \rightarrow^*_\beta \\
\lambda c. \langle c b' \circ c b_0' \circ c b_1' \circ \cdots \circ c b'_n, c b_0' \circ \cdots \circ c b'_m, \langle Q', k' \rangle \rangle
\]

otherwise, if \( \delta_j (b_0', Q, k) = \langle b', Q', k', \text{Left} \rangle \) then

\[
\text{Com}_j (\lambda c. \langle c b_1 \circ \cdots \circ c b_n, c b_1' \circ \cdots \circ c b'_m, c, b'_0, c, b_0', Q, k \rangle) \rightarrow^*_\beta \\
\rightarrow^*_\beta \lambda c. \langle c b'_1 \circ \cdots \circ c b'_n, c b'_0 \circ c b' \circ c b_0' \circ \cdots \circ c b'_m, \langle Q', k' \rangle \rangle
\]

By combining Dec with \( \text{Com}_j \) we obtain the \( j \)-th component of transition between ATM configurations:

\[
\vdash \text{Tr}_j = \text{Com}_j \circ \text{Dec} : \text{ATM}^2_1 \rightarrow \text{ATM}^2_1
\]

We can give a term In defining the function that, when supplied by a boolean string and an ATM configuration, writes the input string on the tape of the ATM. This function should consider the encoding function \( \xi \) in order to map the input symbols to their concrete instances on the working tape. Given a term \( \xi \) for the encoding function \( \xi \) we can define the desired term In as:

\[
\text{In} = \lambda s. \lambda m. s (\lambda b. \lambda c. \text{let } \xi (b) \text{ be } b_1, b_2 \text{ in } (T b_2) \circ \text{Dec} \circ (T b_1) \circ \text{Dec} m)
\]

where \( T = \lambda b. \lambda s. \lambda c. \text{let } s \text{ be } l, r, c_l, b_l, c_r, b_r, q, k \text{ in } \langle c_r b \circ c_l b \circ l, r, \langle q, k \rangle \rangle \). This is typable as

\[
\vdash \text{In} : S \rightarrow \text{ATM}^2_1 \rightarrow \text{ATM}^2_1
\]

Finally, the term that takes a configuration and return its kind is:

\[
\text{Kind} = \lambda x. \text{let } x(\lambda b. \lambda y. y) \text{ be } l, r, s \text{ in } (\text{let } s \text{ be } q, k \text{ in } k)
\]

which is typable as \( \vdash \text{Kind} : \text{ATM}^3_1 \rightarrow B^2 \).

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
Evaluation function

Given an ATM $\mathcal{M}$ working in polynomial time we define a recursive evaluation procedure $\text{eval}_M$ that takes a string $s$ and returns 0 or 1 if the initial configuration (with the tape filled with $s$) leads to an accepting or rejecting configuration respectively.

Without loss of generality we consider ATMs with transition relation $\delta$ of degree two. So in particular, at each step we have two transitions terms $\text{Tr}_M^1$ and $\text{Tr}_M^2$ defining the two components $\delta_1$ and $\delta_2$ of the transition relation of $\mathcal{M}$. We need to define some auxiliary functions. In particular, we need a function $\alpha$ acting on states as

$$\alpha(A, M_1, M_2) = A \quad \alpha(\land, M_1, M_2) = M_1 \land M_2$$

where $\land$ and $\lor$ denotes the universal and existential connectives on states. That is, $M_1 \land M_2$ is an accepting state only if both the arguments are accepting, $M_1 \lor M_2$ is an accepting state if at least one argument is accepting. This can be defined by the term

$$\alpha(M_0, M_1, M_2) \doteq \text{let } M_0 \text{ be } a_1, a_2 \text{ in } \begin{cases} \text{if } a_1 \text{ then } (\text{if } a_2 \text{ then } \langle a_1, \pi_2(M_1) \lor \pi_2(M_2) \rangle \text{ else } \langle a_1, \pi_2(M_1) \land \pi_2(M_2) \rangle) \text{ else } \langle a_1, a_2 \rangle \end{cases}$$

It is worth noting that $\alpha$ has typing:

$$\Gamma \vdash M_0 : B^2 \quad \Gamma \vdash M_1 : B^2 \quad \Gamma \vdash M_2 : B^2$$

where the contexts management is additive. This is one of the main reason for introducing the if rule with an additive management of contexts. Moreover, note that we do not need any modality here, in particular this means that the $\alpha$ function can be defined in the linear fragment of the $\text{STAB}_B$ system.

The evaluation function $\text{eval}_M$ can now be defined as an iteration of an higher order $\text{Step}_M^1$ function over a $\text{Base}$ case. Let $\text{Tr}_M^1$ and $\text{Tr}_M^2$ be two closed terms defining the two components of the transition relation. Let us define

$$\text{Base} \doteq \lambda c. (\text{kind } c)$$

$$\text{Step}_M^1 \doteq \lambda h. \lambda c. \alpha((\text{kind } c), (h(\text{Tr}_M^1 c)), (h(\text{Tr}_M^2 c)))$$

It is easy to verify that such terms are typable as:

$$\vdash \text{Base} : \text{ATM}^q \rightarrow B^2$$

$$\vdash \text{Step}_M^1 : (\text{ATM}^q \rightarrow B^2) \rightarrow \text{ATM}^q \rightarrow B^2$$

Let $P$ be a polynomial definable by a term $p$ typable as $\vdash p : \text{deg}(P) N \rightarrow N_{2\text{deg}(P)+1}$. Then, the evaluation function of an ATM $\mathcal{M}$ working in polynomial time $P$ is definable by the term:

$$\text{eval}_M \doteq \lambda s. \text{let } (P \text{ len } s) \text{ Step}_M^1 \text{ Base} \text{Init}^M \text{ (P (len s))}) \text{ be } l, r \text{ in } r$$

which is typable in $\text{STAB}_B$ as $\vdash \text{eval}_M : !s \rightarrow B$ where $t = \text{max}(\text{deg}(P), 1) + 1$. Here, the evaluation is performed by a higher order iteration, which represents a recurrence with parameter substitutions. Note that by considering an ATM $\mathcal{M}$ that decides a language $L$, we have that the final configuration is either accepting or rejecting. Hence the term $\text{Ext}$ can be applied with the intended meaning.
Lemma 22. A decision problem \( D : \{0,1\}^* \rightarrow \{0,1\} \) decidable by an ATM \( \mathcal{M} \) in polynomial time is \( \mathbf{B} \)-programmable in \( \text{STA}_\mathbf{B} \).

Proof. \( D(s) = b \iff \text{eval}_{\mathcal{M}s} \downarrow b \ \square \)

From the well known result of [Chandra et al. 1981] we can conclude.

Theorem 6 Polyno\-mial Space Completeness. Every decision problem \( D \in \text{PSPACE} \) is \( \mathbf{B} \)-programmable in \( \text{STA}_\mathbf{B} \).

6. CONCLUSION AND RELATED TOPICS

In this paper we have designed \( \text{STA}_\mathbf{B} \), a language correct and complete with respect the polynomial space computations. Namely, the calculus is an extension of \( \lambda \)-calculus, and we supplied a type assignment system for it, such that well typed programs (closed terms of constant type) can be evaluated in polynomial space and moreover all polynomial space decision functions can be computed by well typed programs. In order to perform the complexity bounded evaluation a suitable evaluation machine \( \mathcal{K}_\mathcal{C}^{\mathbf{B}} \) has been defined, evaluating programs according to the leftmost outer-most evaluation strategy and using two memory devices, one in order to make the evaluation space-efficient and the other in order to avoid backtracking.

We conclude by suggesting some further directions that could bring to new interesting results.

Alternation Revisited

The results presented in this paper have been obtained by exploiting the equivalence [Chandra et al. 1981]:

\[ \text{PSPACE} = \text{APTIME} \]

Indeed, evaluations in the machine \( \mathcal{K}_\mathcal{C}^{\mathbf{B}} \) can be regarded as computations in Alternating Turing Machines. Moreover, the simulation of big step evaluations by means of small step reductions is a reminiscence of the simulation of ATM by means of Deterministic Turing Machines. Conversely, the PSPACE completeness is shown by encoding polynomial time ATM by means of well typed terms. In our completeness proof, as usual in light logics, the modal part of the \( \text{STA}_\mathbf{B} \) system is only involved in the polynomial iteration (the quantitative part), while the ATM behaviour (i.e. the \( \alpha \) function) can be defined in the modal free fragment of the system (the qualitative part). This suggests that our approach could be further refined in order to revisit some classical complexity results relating time and space [Stockmeyer 1976].

FPSPACE characterization.

FPSPACE is the class of function computable in polynomial space. The completeness for FPSPACE can be obtained by replacing booleans by words over booleans. In particular we can add to STA the type \( W \) and the following rules:

\[
\begin{align*}
\Gamma \vdash \epsilon : W \\
\Gamma \vdash 0(M) : W \\
\Gamma \vdash 1(M) : W \\
\Gamma \vdash p(M) : W
\end{align*}
\]

and the conditional

\[
\begin{align*}
\Gamma \vdash M : W \\
\Gamma \vdash N_4 : W \\
\Gamma \vdash N_0 : W \\
\Gamma \vdash N_1 : W
\end{align*}
\]

\[
\Gamma \vdash D(M, N_4, N_0, N_1) : W
\]

ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
The obtained system $\text{STA}_W$ equipped with the obvious reduction relation can be shown to be $\text{FPSPACE}$ sound following what we have done for $\text{STA}_B$. Moreover, analogously to [Leivant and Marion 1993], completeness for $\text{FPSPACE}$ can be proved by considering two distinct data types $S$ (Church representations of Strings) and $W$ (Flat words over Booleans) as input and output data type respectively.

### STAB and Soft Linear Logic.

$\text{STA}$ has been introduced as a type assignment counterpart of Soft Linear Logic [Lafont 2004]. $\text{STAB}$ is an extension of $\text{STA}$ by booleans constants. One would wonder to exploit the proofs-as-programs correspondence in the design of a purely logical characterization of the class $\text{PSPACE}$.

We can add to $\text{SLL}$ (or define by means of second order quantifier) the additive disjunction $\oplus$ and the rules to deal with it, which in a natural deduction style are:

\[
\frac{\Gamma \vdash A}{\Gamma \vdash A \oplus B} \quad (\oplus I) \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \oplus B} \quad (\oplus I) \quad \frac{\Gamma \vdash A \oplus B}{\Delta, \Gamma \vdash C} \quad \frac{\Delta, \Gamma \vdash C}{\Delta, \Gamma \vdash C} \quad (\oplus E)
\]

We can so define $B = 1 \oplus 1$, where $1$ is the multiplicative unit, and specialize the above rules to booleans:

\[
\begin{align*}
\frac{\Gamma \vdash 1}{\Gamma \vdash 1 \oplus 1} & \quad (0) \\
\frac{\Gamma \vdash 1}{\Gamma \vdash 1 \oplus 1} & \quad (1) \\
\frac{\Gamma \vdash 1 \oplus 1}{\Delta, \Gamma \vdash C} & \quad \frac{\Delta, 1 \vdash C}{\Delta, 1 \vdash C} \quad (E)
\end{align*}
\]

It is worth noticing that such rules do not change the complexity of $\text{SLL}$. In fact it is essential in order to obtain a logical system behaving as $\text{STAB}$ to modify the above elimination rule allowing free contraction between contexts $\Gamma$ and $\Delta$. Hence we can modify the $(E)$ rule as follows:

\[
\frac{\Gamma \vdash 1 \oplus 1 \quad \Gamma, 1 \vdash C \quad \Gamma, 1 \vdash C}{\Gamma \vdash C} \quad (E_1)
\]

The logical system obtained by adding the above modified rule to $\text{SLL}$ behaves like $\text{STAB}$, however, to prove the polynomial space soundness one needs to mimic in the Normalization process the abstract machine mechanism of Section 3. Since natural deduction does not permit a fine control of the normalization, one would understand instead how to do this in sequent calculus or proof nets, the two proof formalisms most natural for linear logic. Unfortunately, the logical sequent calculus system obtained by forgetting terms is unsatisfactory. Indeed, the rule $(BE)$ or $(E')$ has no direct correspondent. Moreover, it looks not so easy to understand how to transfer the complexity bound from the term evaluation to the cut-elimination in a logic. All these difficulties suggest that exploring this direction could be a true test for the light logics principles.

### ACKNOWLEDGMENTS

We warmly thank the anonymous referees. Their valuable comments and suggestions helped improve the paper. The first author also thanks Ugo Dal Lago for a discussion that has brought to a nice simplification of Section 4.
REFERENCES


ACM Transactions on Computational Logic, Vol. V, No. N, Month 20YY.
Jones, N. 2001. The expressive power of higher-order types or, life without cons. J. Funct. Program. 11, 1, 55–94.


Received June 2010; accepted January 2011