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**Evidence for disease and antipsychotic medication effects in *post-mortem* brain
from schizophrenia patients**

Running title: Disease and medication effects in schizophrenia brain

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Abstract

Extensive research has been conducted on *post-mortem* brain tissue in schizophrenia, particularly the dorsolateral prefrontal cortex (DLPFC). However, to what extent the reported changes are due to the disorder itself and which are the cumulative effects of lifetime medication remains to be determined. In this study, we employed label-free liquid chromatography-mass spectrometry (LC-MS^E) based proteomic and proton nuclear magnetic resonance (¹H NMR) based metabonomic profiling approaches to investigate DLPFC tissue from two cohorts of schizophrenia patients grouped according to their lifetime antipsychotic dose, together with tissue from bipolar disorder subjects, and normal controls (n=10 per group). Both techniques showed profound changes in tissue from low-cumulative-medication schizophrenia subjects, but few changes in tissue from medium-cumulative-medication subjects. Protein expression changes were validated by Western blot and investigated further in a third group of subjects who were subjected to high-cumulative-medication over the course of their lifetime. Furthermore, key protein expression and metabolite level changes correlated significantly with lifetime antipsychotic dose. This suggests that the detected changes are present prior to antipsychotic therapy and moreover, may be normalized with treatment. Overall, our analyses revealed novel protein and metabolite changes in low-cumulative-medication subjects associated with synaptogenesis, neuritic dynamics, presynaptic vesicle cycling, amino acid and glutamine metabolism, and energy buffering systems. Most of these markers were altered specifically in schizophrenia as determined by analysis of the same brain region from bipolar disorder patients.

Keywords: *post-mortem* brain; schizophrenia; antipsychotic medication; bipolar disorder; LC-MS^E; ¹H NMR.

1 **Introduction**

2 Schizophrenia (SCZ) is a debilitating psychiatric disorder that affects approximately 1% of the world
3 population (1). Extensive research has been conducted to investigate brain regions such as the dorsolateral
4 prefrontal cortex (DLPFC) since this area is thought to represent the primary site of higher cognitive function
5 impairment (2). For example, cytoarchitectural, neurochemical (3) and structural (4) abnormalities have been
6 observed in this brain region of SCZ patients, such as decreased neuronal size and dendritic spine density (5-
7 8). Evidence in favour of abnormal neurotransmission, signal transduction (9), neural connectivity,
8 GABAergic (10) and glutamatergic activity (11) has also been demonstrated in the DLPFC of these patients.
9 There has been increasing interest in employing global protein profiling methodologies, including mass
10 spectrometry (MS)-based methods, to examine the DLPFC of SCZ patients (12-20). Converging results from
11 these studies have supported the case for synaptic, oxidative stress mitochondrial and metabolic dysfunction
12 in the disorder. In support of this, the recent application of state-of-the-art metabonomic platforms and
13 informatics tools have identified alterations in markers of energy and neurotransmitter metabolism in sub-
14 regions of the DLPFC of SCZ patients (17, 21), and in animal models of antipsychotic drug treatment (22).
15 However, most global profiling studies using *post-mortem* brain tissue have been performed on subjects who
16 have been treated with varying lifetime antipsychotic medication doses. Although the confounding effects of
17 factors such as *post-mortem* interval and tissue pH have been investigated in molecular profiling studies (23-
18 26), those associated with cumulative lifetime antipsychotic doses have not been addressed fully. This is
19 likely to be an important factor since neuroimaging analyses of SCZ subjects have demonstrated a
20 correlation between cumulative antipsychotic dose and specific anatomical and cellular alterations (27, 28).
21 With this in mind, we have attempted to identify the molecular signatures associated with the inherent
22 pathological processes as well as antipsychotic drug-induced changes in DLPFC tissue from SCZ subjects
23 who had received different cumulative lifetime antipsychotic doses. We conducted a parallel profiling study
24 using label-free LC-MS^E based proteomic and ¹H NMR spectroscopy based metabonomic approaches. We
25 also included DLPFC tissue from bipolar disorder (BPD) subjects in the analysis, to determine the specificity
26 of any observed biomarkers for SCZ given that there is an aetiological overlap between SCZ and BPD and,
27 epidemiological and genetic linkage studies have also identified a number of shared susceptibility loci
28 between these two disorders (29).

29 **Materials and methods**

30 **Tissue samples**

31 Sixty *post-mortem* DLPFC Brodmann Area 9 (BA9) gray matter samples were obtained from the Stanley
32 Medical Research Institute (Bethesda, MD, USA). The average fluphenazine mg equivalent (FME) was used
33 as an indicator of cumulative lifetime antipsychotic dose (30). SCZ samples were separated into three
34 demographically-matched groups according to FME: Group 1) low-cumulative-medication [(L-SCZ), FME:
35 6515±6465, n=10 (including 2 drug-naive with FME=0 and 2 with FME≤600)], Group 2) medium-
36 cumulative-medication [(M-SCZ), FME: 86200±47499, n=10], and Group 3) high-cumulative-medication
37 [(H-SCZ), FME: 182500±123855, n=10]. The medication groups were chosen, to the best possible, to give
38 balanced groups with the maximum number of patients in each group with the least overlap in FME dose.
39 The mean FME dose between the medication groups was significantly different ($p=0.0005$). In addition, 10
40 bipolar disorder (BPD) and 10 control (CT) subjects were matched and analysed. An additional batch of 10
41 controls was matched specifically to H-SCZ subjects as the latter was not matched to the previous control
42 group with respect to gender. Unless stated otherwise, samples were matched for *post-mortem* brain interval
43 (PMI), pH, age of onset, age of death, duration of illness and gender (summary of demographic details and
44 statistical values are shown in Table 1; additional details are provided in Supplementary Tables S1 and S2).
45 Samples from H-SCZ patients and matched controls were used for Western blot validation analyses only.
46 Since the average brain pH of H-SCZ patients was significantly lower than that of the controls, Pearson
47 correlation analyses were performed using GraphPad PRISM v5 (San Diego California, USA) to confirm
48 that there were no effects of pH on protein expression (average r of -0.40 and 0.34, $p>0.05$). Approximately
49 70 mg of tissues were sectioned (15µm slices) using a Leica Cryostat tissue sectioning device (Milton
50 Keynes, UK), collected into pre-chilled lysing matrix D tubes (MP Biomedicals, UK) and stored at -80°C
51 until use.

52

53 **(Table 1 here)**

54

55

56

57 **Experimental design**

58 Fractionation of *post-mortem* DLPFC tissue (n=60) from all subject groups (Table 1) was performed to
59 increase proteome coverage of membrane-associated proteins. In the first stage of this study, membrane
60 protein fractions from L-SCZ, M-SCZ, BPD and controls were analysed by LC-MS^E. In the next stage,
61 differentially expressed proteins were validated by Western Blot analysis using membrane and soluble
62 fractions, and unfractionated total brain lysate samples across all 5 groups [L-SCZ, M-SCZ, H-SCZ, BPD
63 and controls]. In the final stage, ¹H NMR spectroscopy metabonomic analysis was carried out for L-SCZ, M-
64 SCZ and controls. The same analysis for BPD samples from this brain collection was conducted previously
65 (31).

66

67 **Subcellular proteome fractionation and protein purification/digestion**

68 Brain tissue sections were processed using the Subcellular Proteome Extraction Kit (Merck; Nottingham,
69 UK) as described previously (32-34). Briefly, tissues were homogenized in 1mL ice cold Extraction Buffer I
70 containing 5μL Protease Inhibitor Cocktail (PI) at 4°C using the FastPrep FP120 cell disrupter (Qbiogene;
71 Cambridge, UK) set at speed 4 for 5 seconds. Homogenates were incubated on a rotary shaker for 30 minutes
72 at 4°C and centrifuged at 11000g for 10 minutes. The supernatants (fraction I, enriched soluble proteins)
73 were collected and pellets were suspended in 1mL of ice cold Extraction Buffer II containing 5μL PI by first
74 flicking the tubes and then mixing on a rotary shaker for 60 minutes at 4°C. Following centrifugation at
75 6000g for 10 minutes, the supernatants (fraction II, enriched membrane proteins) were collected.
76 Approximately 200μg of proteins from fraction II were precipitated using the ProteoExtract® Protein
77 Precipitation Kit (Merck), reduced, alkylated and digested using the all-in-one trypsin digestion kit
78 (MERCK). Trypsin was obtained from Promega (Madison, WI, USA).

79

80 **LC-MS^E analysis**

81 LC-MS^E was carried out and the reproducibility and quantitative reliability was assessed as described
82 previously (35). To minimize systematic batch effects, sample preparation and MS acquisition were
83 performed in a randomized order. The PLGS2.3 software was used for ion detection and clustering (36-39)
84 and the embedded ion accounting algorithm employed for searching the *H. Sapiens* complete proteome fasta

85 sequence Integr8 database ([http://www.ebi.ac.uk/integr8/FtpSearch.do?orgProteome Id=25](http://www.ebi.ac.uk/integr8/FtpSearch.do?orgProteome%20Id=25); version 25),
86 appended with *S.cerevisiae* enolase sequence (P00924). Data were normalized using the Trackstats software
87 (Waters; Milford MA, USA) and filtered for replication using R (www.r-project.org) with criteria described
88 previously (35). To perform quantitation on the protein level, peptide correlation analyses ($r>0.5$) were
89 performed for every detected protein (35, 40). To identify significant alterations in protein expression levels
90 between different experimental groups, 2-tailed Student's t-tests were performed ($p\leq 0.05$) and false
91 discovery rates (FDR) were calculated using the Benjamini & Hochberg correction approach (41). This
92 approach calculates the proportion of statistically significant differences that are actually false positives.
93 Biological process grouping was carried out using the Human Protein Reference Database (HPRD;
94 <http://www.hprd.org/>) and the Database for Annotation, Visualization and Integrated Discovery (DAVID;
95 <http://david.abcc.ncifcrf.gov/>).

96

97 **Western blot analysis**

98 Protein samples (10 μ g) were resolved on 4–12% NuPAGE Novex Bis-Tris gels (Invitrogen, Paisley, UK)
99 and transferred electrophoretically onto nitrocellulose membranes. Membranes were washed three times for
100 5 minutes in phosphate buffered saline containing 0.1% Tween-20 (PBS-T) followed by incubation for 1
101 hour in Odyssey blocking buffer (LI-COR Biosciences; Cambridge, UK) and then overnight at 4°C with
102 primary antibodies diluted in the same buffer containing 0.1% Tween-20 (OBB-T) (details of the primary
103 antibodies are shown in the legend for Figure 2a and Supplementary Figure S1). Membranes were washed
104 five times 20 minutes in PBS-T followed by incubation with the appropriate infrared dye-linked secondary
105 antibodies (800CW goat anti-mouse, 680CW goat anti-rabbit and 800CW goat anti-rat; Licor Biosciences) in
106 OBB-T in the dark. Images were acquired using the Odyssey Infrared Imaging System and analysed and
107 quantified using the Odyssey v3.0 Software. Calmodulin was used as internal control for normalizing protein
108 loading differences as this protein showed no significant differences in abundance between disease and
109 control by LC-MS^E [L-SCZ ($p=0.75$), M-SCZ ($p=0.80$), BPD: ($p=0.28$) and Western blot analysis (Figure
110 2b). For validation purposes, 2-tailed Student's t-tests were conducted and significance was regarded as
111 $p<0.05$. Fold changes were calculated by dividing the average integrated intensity readings of disease by
112 control samples.

113 ¹H NMR spectroscopy

114 Separate tissue slices obtained from the same *post-mortem* brain specimens used in the above studies were
115 homogenized while frozen in 1mL of methanol:deionised water (1:1) in 2mL microfuge tubes (Eppendorf;
116 Loughborough, UK) containing a metal bead (Qiagen; West Sussex, UK), using a tissue grinder (Qiagen) set
117 at 30 cycles/second for 5minutes. Chloroform (1mL) was added to produce aqueous and lipid phases.
118 Homogenates were vortexed and centrifuged at 1200g for approximately 7 minutes at 5°C. The upper
119 aqueous phase containing hydrophilic metabolites was collected and left to dry overnight at room
120 temperature to remove methanol before freeze drying. Samples were reconstituted in 700µL of deuterium
121 oxide (D₂O) and 600µL was transferred into NMR tubes for analysis. D₂O provides deuterium field
122 frequency lock for the NMR spectrometer. ¹H NMR spectra were generated at 600.13 MHz on a Bruker
123 DRX-600 spectrometer (Bruker Avance, Bruker GmbH; Rheinstetten, Germany) as described previously
124 (31). Complete spectra (chemical shift range 0-10ppm) were processed using the Topspin v2.0 software
125 (Bruker). Spectra were phase and baseline corrected and calibrated using the reference resonances of the CH₃
126 lactate doublet (1.33ppm). Any variation effect due to pre-saturation of water was removed by zeroing
127 intensity values between 4.5 and 4.9ppm. To account for concentration differences between samples, data
128 were normalized to the total integrated peak area intensity by converting the integral values into a percentage
129 of the sum of the integral.

130

131 Chemometric modelling and interpretation of the ¹H NMR data

132 To identify metabolites differentiating disease and control groups, partial least squares discriminant analysis
133 (PLS-DA) was performed on full resolution ¹H NMR spectral data using SIMCA P v12 (Umetrics AB;
134 Umeå, Sweden). To remove confounding variation, orthogonal-projection to latent structures discriminant
135 analysis (OPLS-DA) was used to model the data using MATLAB v6.5 (The Mathworks Inc, Natick; MA,
136 USA) scripts proprietary to Imperial College London. This method represents an improvement to the
137 traditional supervised PLS algorithm and enhances the interpretability of spectral variation between classes
138 (42, 43). To confirm the differences identified by these multivariate analyses, univariate analyses (Wilcoxon-
139 Mann-Whitney U tests (44)) were performed using GraphPad Prism v5. Significance was regarded as $p < 0.05$
140 and fold changes were obtained by calculating the ratio between disease and control groups.

141 **Results**

142 **Quality control**

143 Membrane protein enrichment efficiency in fraction II was demonstrated by Western blot analysis of a
144 DLPFC specimen using subcellular distribution markers. LC-MS^E analysis resulted in the identification of
145 370 proteins in fraction I and 568 proteins in fraction II. Fractionation efficiency, as evaluated by analysis of
146 cellular distribution of these proteins, was demonstrated by identification of a higher proportion of soluble
147 and membrane proteins in fractions I and II, respectively (details are provided in Supplementary Figure S1).

148

149 **Mass Spectrometry**

150 Quantitative analysis of fraction II resulted in identification of approximately 30 differentially expressed
151 proteins ($p \leq 0.05$) out of the approximate 500 identifiable proteins in L-SCZ and BPD patients, compared to
152 the control group (Figure 1). None of the differentially expressed proteins overlapped across the diseases. No
153 significant changes were observed for the M-SCZ patients compared to the same control group.
154 Differentially expressed proteins were assigned to biological process groups using HPRD and DAVID
155 (Table 2 for L-SCZ and Supplementary Table S3 for BPD). Table 2 also indicates markers which have been
156 reported previously in the literature in association with proteomic or transcriptomic analyses of SCZ DLPFC
157 tissue, thereby providing an additional means of validation as many directions of change reported in the
158 literature agree with our findings.

159

160 **(Figure 1 and Table 2 here)**

161

162 **Western blot validation**

163 *Confirmation of mass spectrometry profiling results:* Raw p-values for LC-MS^E data were corrected for
164 multiple hypothesis testing using the Benjamini-Hochberg false discovery rate method (Table 2). As
165 corrected p-values for differentially expressed proteins were relatively high, Western blot analyses were
166 conducted to confirm changes in L-SCZ and BPD membrane fraction samples. Calmodulin was used as a
167 loading control since this protein was not changed in this study, as determined by LC-MS^E and Western blot
168 analyses. Antibodies were selected against 7 proteins to represent different functional networks and

169 directional changes in L-SCZ and BPD subjects. These included MAG, MARCKS, NCAM, GAP43 and
170 NFM. Neuronal nitric oxide synthase (nNOS) was also examined due to its regulatory action on NCAM (45)
171 and functional relevance in SCZ (46, 47). β -tubulinIII (TUBB) was tested as an example of a protein altered
172 in BPD patients. Western blot analysis validated the expression changes in these proteins, with the exception
173 of MAG (Figure 2a and 2b). The predicted change in nNOS was also confirmed in L-SCZ. These results
174 confirmed the reliability of the label-free LC-MS^E based profiling approach. Validation was also conducted
175 using soluble fractions and unfractionated total brain lysates from the L-SCZ patient samples. Overall, most
176 of the changes identified in the membrane fractions were confirmed in the corresponding soluble fractions
177 and total brain lysates (Figure 2b).

178

179 **(Figure 2 here)**

180

181 *Assessment of disease specificity and effects of lifetime antipsychotic medication in schizophrenia:* LC-
182 MS^E analysis revealed that none of the differentially expressed proteins identified in samples from L-SCZ
183 subjects were found in those from M-SCZ subjects. This was also confirmed by Western blot analyses of
184 membrane fractions from L-SCZ, M-SCZ, H-SCZ and CT samples (Figure 2a and 2b). Overall, the results
185 confirmed that the levels of MARCKS, GAP43 and nNOS were changed in L-SCZ but not in M-SCZ and H-
186 SCZ. This suggested that abnormal expression of these proteins may be a primary disease change and not a
187 medication effect. However, NFM did not show a clear pattern of expression relating to medication. NCAM
188 was increased significantly in all SCZ medication groups and in BPD samples. This suggested that NCAM
189 expression may not be affected by lifetime antipsychotic levels or mood stabilizer treatment and that it is not
190 specific to SCZ. The LC-MS^E results showed no overlap in the differentially expressed protein profiles of
191 SCZ and BPD subjects (Table 2 and Supplementary Table S3), as confirmed by Western blot analysis
192 (Figure 2b). Biological process grouping using HPRD and DAVID also showed no overlap (Figure 1). The
193 most notable changes for SCZ were 15 differentially expressed proteins involved in cell communication and
194 7 metabolism proteins, whereas BPD was marked by alterations of 8 cell communication proteins and 7 cell
195 growth proteins (Figure 1).

196

197 **Correlation analysis**

198 The LC-MS^E profiling results showed changes in tissues from L-SCZ subjects but not in M-SCZ individuals.
199 This suggests that these changes are associated with the primary disease state and, moreover, may be
200 normalized with treatment. For this to be the case, expression changes should not only show a group
201 difference according to treatment level, but also a direct relationship to lifetime antipsychotic dose within the
202 group. In order to further investigate this possibility, FME and Western blot expression values from
203 individual SCZ subjects were tested in correlation analyses. GAP43 ($r=-0.77$, $p=0.025$), MARCKS ($r=-0.76$,
204 $p=0.029$) and, nNOS ($r=-0.90$, $p=0.006$) expression levels were significantly negatively correlated with
205 FME, consistent with their identification as differentially expressed proteins in the L-SCZ group and their
206 lack of change after more extensive drug treatment comprising the M-SCZ group (Supplementary Figure
207 S2a). NCAM ($r=0.64$, $p=0.085$), on the other hand, was not significantly correlated with FME, consistent
208 with the Western blot finding that this protein was altered in both the L-SCZ and the M-SCZ groups. The
209 effects of lifetime antipsychotic dose were further confirmed by demonstrating a significant correlation
210 between FME dose and levels of significantly altered metabolites [e.g. alanine ($r=0.64$, $p=0.003$) and
211 branched amino acids ($r=0.48$, $p=0.039$)]. Similar to the case of NCAM, glutamine levels ($r=-0.15$, $p=0.55$)
212 were also not significantly correlated with FME dose, as it was altered in both medication groups
213 (Supplementary Figure S2b). We also considered the possibility that the molecular alterations may be
214 confounded by a shorter duration of illness in patients with low FME. Although this factor was well matched
215 between the L-SCZ and M-SCZ groups ($p=0.27$), the mean duration of illness was higher in H-SCZ patients
216 which were investigated separately. Correlation analyses show that duration of illness did not affect
217 expression of key validated proteins or levels of significantly altered metabolites (Supplementary Figure S3).

218

219 **¹H NMR spectroscopy data analysis**

220 PLS-DA of ¹H NMR spectroscopy data revealed a separation between the L-SCZ or M-SCZ and CT groups.
221 O-PLS-DA showed the metabolites that are most influential to these separations (Figure 3a). Specifically,
222 branched amino acids, alanine, creatine and glutamine were altered in L-SCZ patients. Most of the
223 metabolites identified using multivariate analyses were confirmed by univariate statistical analysis (Figure
224 3b). Of the 4 metabolites altered in L-SCZ, 3 (branched chain amino acids, alanine and creatine) were not

225 changed in M-SCZ and only glutamine was changed in both groups. In addition, taurine was altered in M-
226 SCZ but not in L-SCZ.

227

228 **(Figure 3 here)**

229

230 **Discussion**

231 This is the first study employing label-free LC-MS^E proteomic and ¹H NMR metabonomic profiling
232 platforms to examine molecular effects of cumulative lifetime antipsychotic medication dose in *post-mortem*
233 brain tissue (dorsolateral prefrontal cortex, BA9, gray matter) of SCZ patients. To control for non-specific
234 effects of chronic psychiatric illnesses, the proteomic profile of BPD patients was also investigated to
235 demonstrate that the changes reported are relatively specific for SCZ. Lifetime antipsychotic medication was
236 found to have an effect on a number of proteins and metabolites in SCZ patients. The specificity of the
237 protein expression changes identified in L-SCZ patients was also demonstrated relative to BPD providing
238 evidence for diverging pathologies between the two disorders.

239

240 **Disease signatures of schizophrenia and targets of drug effects**

241 Out of the approximately 500 proteins and 16-20 metabolites identified per dataset, 34 proteins and 4
242 metabolites were altered in L-SCZ patients and only 1 protein (NCAM) and 2 metabolites (glutamate and
243 taurine) were altered in M-SCZ patients. This suggested that a higher cumulative lifetime antipsychotic dose
244 may exert neuroadaptive effects by normalizing some of the inherent molecular changes in SCZ patients,
245 who were either medication-free or had been subjected to a lower cumulative antipsychotic medication
246 dosage throughout life. Therefore, at least some of the differentially expressed proteins identified in L-SCZ
247 patients may represent ‘disease signatures’ of SCZ as well as representing targets of antipsychotic drug
248 action. These include effects on proteins associated with synaptogenesis, neuritic dynamics, presynaptic
249 vesicle cycling, amino acid and glutamine metabolism, and energy buffering systems. Previously, we have
250 identified molecular changes in proteomic (35) and metabonomic (22) studies of rats treated with
251 antipsychotic medications. Some of these markers are altered in opposite directions to those observed in L-
252 SCZ patients, in the present study, providing further support for our findings. For example, antipsychotics

253 affected components of the rat presynaptic vesicle machinery, neurite outgrowth dynamics and
254 synaptogenesis. Nine proteins and 2 metabolites found in these antipsychotic-treated rats were shown here to
255 be altered in L-SCZ patients (SYN2, GLUD1, GAPDH, NCAM1, ATP2B4, MAG, GNB1, GAP-43, NT, Gln
256 and Cr).

257

258 Synaptogenic alterations during the premorbid stage of illness (gestation, infancy, childhood and
259 adolescence) have been proposed in the context of the neurodevelopmental hypothesis of SCZ (48). We
260 identified alterations in key regulators of synaptogenesis (49-51) and synaptic plasticity in L-SCZ patients
261 including neurofascin1 (NFS) and tyrosine-protein phosphatase non-receptor type substrate 1 (SIRPA),
262 which have not been previously implicated in SCZ. We also identified changes in the expression of NCAM,
263 which has been reported to be altered in the brain (19, 52, 53), serum (54) and CSF (55-57) of SCZ patients.
264 Therefore, these findings support the case for synaptogenic and synaptic plasticity alterations in SCZ
265 patients (58). These findings are also supported by animal studies showing that an excess of NCAM at the
266 synapse inhibits synaptogenesis (59). The observation that NCAM was altered in all conditions examined (L-
267 SCZ, M-SCZ, H-SCZ and BPD patients) suggests that it is not affected by either antipsychotic medication or
268 mood stabilizers and that it is not specific to SCZ. Whether it is a non-specific marker of psychiatric illness
269 or a key biological change underlying brain dysfunction in both disorders requires further studies.

270

271 Prominent alterations in components involved in synaptic function have been identified by transcriptomic
272 and proteomic profiling studies of SCZ patients (16, 17, 19). Many of these findings were confirmed in our
273 study and we also identified novel changes in proteins involved in synaptic vesicle recycling/endocytosis and
274 exocytosis in the L-SCZ patients, again supporting the case for altered presynaptic vesicle cycling in SCZ.
275 Alterations in proteins involved in the synaptic endocytosis machinery such as clathrin coat assembly protein
276 AP180 (SNAP91), Hsc70 interacting protein (Hip) and reticulon1 (RTN1) have not been reported in SCZ
277 before, although synapsin 2 (SYN2) (60, 61) and another reticulon isoform (RTN4) (13, 62) have been
278 implicated previously. Though increases in syntaxin1A (STX1A) expression have been reported in SCZ (63-
279 65), we identified novel alterations in other proteins involved in presynaptic exocytosis in L-SCZ patients,
280 including a group of $G_{i/o}$ proteins (Galphai2, GNB1, GNB4, GNAO1), which are involved in the auto-
281 inhibitory feedback mechanism of exocytosis (66, 67). To our knowledge, only three recent proteomic

282 studies have reported increase in GNB1 expression in the PFC of patients (14, 68, 69). Significant decreases
283 in neuronal size, number and dendritic spine density of the glutamatergic and GABAergic neurons have been
284 observed in DLPFC (5-8) and several other brain regions (6, 70, 71) of SCZ patients. We identified
285 alterations in a number of regulators of growth cone path finding, cell shape, structural integrity, spine
286 dynamics and neuronal morphology. Therefore, these findings suggest a link between the aberrant levels of
287 regulators of neuritic dynamics and the macroscopic brain pathology in SCZ. While increased neuromodulin
288 (GAP-43) expression has been reported in the brain of SCZ patients (65, 72, 73), this is the first report
289 showing alterations in MARCKS, Ras-related protein Rap-2A (RAP2A) and NF-M at the protein level in the
290 DLPFC of L-SCZ patients. In addition, altered MARCKS expression in SCZ has been reported only at the
291 transcript level (73) and NFM was found to be decreased in one study in a different brain region (anterior
292 temporal lobe) of SCZ patients (74). Whether these changes are primary or secondary to the disease process
293 remain to be addressed.

294

295 Elevated glutamine (Gln) levels have been reported in the DLPFC (75) and other brain regions of drug-naive
296 and medicated SCZ patients (76-78). Our findings of increased Gln concentration and glutamate
297 dehydrogenase expression in L-SCZ and/or M-SCZ patients are consistent with these results. Gln, or Gln-
298 derived ammonia in excessive levels has detrimental effects on mitochondrial function since this results in
299 excessive production of free radicals, reactive oxygen species (ROS), oxidative stress and increased neuronal
300 nitric oxide synthase (nNOS) expression (79-81), as confirmed by Western blot analysis in this study. This
301 suggests a possible link between Gln-induced neurotoxicity and mitochondrial dysfunction in patients (82)
302 and is consistent with the findings from our colleagues (17) who reported mitochondrial dysfunction, energy
303 metabolism defects and increased oxygen and ROS metabolism pathways in the DLPFC of SCZ patients.

304

305 Creatine has been found to be decreased in the anterior cingulate cortex and parieto-occipital cortices of
306 medicated SCZ patients (83) and increased in the DLPFC (BA46) of medicated patients (21). We
307 demonstrated that Cr was increased in the DLPFC (BA9) of L-SCZ patients and not in M-SCZ patients.
308 Elevations in Cr concentration may be indicative of a regulatory response to increased energy turnover since
309 at times of significant energy demand or crisis (e.g. hypoxic damage in SCZ (17)), Phospho-Cr is rapidly
310 dephosphorylated to enable transfer of the phosphoryl group to ADP to form ATP and Cr (84). Evidence

311 demonstrating overexpression of creatine kinase in patients (69, 85) supports this possibility. Increased Cr
312 levels may also reflect a neuroprotective response mechanism to mitochondrial damage and neurotoxicity
313 (86) since it also acts as a direct anti-oxidant by scavenging ROS. Cr also regulates glycolysis, prevents
314 reduced energy stores and improves neuronal function (87).

315

316 By identifying for the first time, that both Ala and branched amino acids (BAA's) were significantly
317 decreased, we hypothesize that the uptake process and metabolism of these amino acids may be altered in
318 SCZ, although future work must be conducted to confirm these findings. Reductions in these amino acids
319 may have implications in the ammonia transport system (88), neurotransmitter synthesis (89, 90) and energy
320 metabolism (90) given their indispensable roles in these processes.

321

322 **Specificity of the changes in L-SCZ patients relative to BPD**

323 We identified a different panel of differentially expressed membrane associated proteins in L-SCZ and BPD
324 patients. In contrast to SCZ, BPD showed changes in a group of axon growth/branch promoting signal
325 transduction proteins and structural proteins. These findings suggest abnormal signalling cascades regulating
326 axon branching and cytoskeleton reorganization in BPD. This is consistent with previous studies which
327 identified disruptions of second messenger cascades involving CAMKs and ERK/MAPK (91), abnormal G
328 protein function and expression in brain and peripheral tissues of BPD patients (92). Increased β -tubulin
329 expression has been found in a recent proteomic profiling study (16) and reductions in glial fibrillary acidic
330 protein (GFAP) have also been reported in various brain regions of BPD patients (93, 94). These data
331 demonstrate specificity of the detected changes in SCZ and BPD and suggest diverging pathological
332 processes between the two disorders. However, as the BPD subjects were treated with mood stabilisers,
333 effects of these drugs cannot be ruled out.

334

335 **Methodological issues**

336 The quantitative reliability of the label-free LC-MS^E proteomic profiling approach for robust identification of
337 expression differences was demonstrated by Western blot validation of soluble and membrane fractions as
338 well as total brain lysates. Since the corrected p-values were relatively high for the SCZ and BPD datasets

339 (ranging from 0.25 to 0.58), we propose a more relaxed corrected p-value cutoff threshold for brain studies
340 using this label-free proteomic profiling platform. A microarray study conducted by Kaiser *et al.*, 2004 (95)
341 supports this view by demonstrating that the rate of confirmation of results by RT-QPCR was 100% for
342 genes with a corrected p-value<0.1 and 82% for genes with a corrected p-value<0.5. Although careful
343 matching of the brain tissue samples can increase confidence of the results obtained, the realistic fact is that
344 there are a number of additional confounders which cannot be controlled for and remain inherent caveats to
345 these studies. These include factors such as heterogeneity and phenotypic diversity of brain tissue, possible
346 changes in small subpopulations of brain cells, and subtle differences in the cellular distribution of proteins
347 across different brain and cellular compartments. Schizophrenia disease subtype may also represent a source
348 of heterogeneity. Therefore, we propose validation of results using an independent brain series although
349 obtaining further well-characterized *post-mortem* samples from low-cumulative-medication SCZ patients
350 remains difficult. Although we have made some progress towards distinguishing the effects of antipsychotic
351 medication and SCZ disease signatures, there are several limitations associated with the present study.
352 Patients were medicated differently up to the time of death and for different periods of time. In addition,
353 since patients were treated by a mixture of typical and atypical antipsychotic drugs, the differential effects of
354 these drugs cannot be addressed in the present study. Though we have previously addressed this effect in rat
355 animal models (96), future studies are warranted to investigate this effect in patients. Although the effects of
356 medication were demonstrated by comparing L-SCZ and M-SCZ patients, further confirmation of these
357 effects by direct statistical comparison of L-SCZ and H-SCZ (matched to their own controls) patients was
358 not possible due to their differences in the mean duration of illness. Duration of illness is an important
359 confounding factor (97) which challenges *post-mortem* studies investigating drug naive or low-cumulative-
360 medication patient cohorts, as these patients often have short durations of illness up to the time of death or
361 may be in an earlier disease stage compared to chronically ill patients which live longer to receive
362 treatments. Furthermore, patient records assume compliance in taking the prescribed medication and,
363 compliance is known to be poor in the case of schizophrenia (98). The fluphenazine mg. equivalent is a
364 proxy measure of drug exposure based on historical records and does not take these factors into account.
365 Moreover, as antipsychotic medication is the mainstay of treatment for SCZ, patients who have never
366 received medication may have additional variation distinct from those seen in medicated patients such as
367 higher social functioning. A limitation associated with the BPD patients was that cumulative drug dosage

368 (antidepressants and mood stabilizers or antipsychotic drug) information for these patients is not available
369 and thus may represent another confounding factor.

370

371 **Conclusion**

372 The present study demonstrates the utility of subproteome and metabonome profiling approaches for
373 providing new insights into the pathophysiology and therapeutic mechanisms in schizophrenia. We found
374 that the cumulative lifetime antipsychotic medication exerts a considerable effect on a number of proteins
375 and metabolites in the DLPFC of patients. This suggests careful interpretation of data available to account
376 for the confounding effects of lifetime medication levels. We identified novel protein and metabolite disease
377 signatures of schizophrenia and targets of antipsychotic drug effects involved in synaptic connection, neuritic
378 dynamics, presynaptic vesicle cycling, amino acid metabolism and energy buffering systems. Finally, with
379 the exception of NCAM, we found no changes in common between SCZ and BPD, indicating the specificity
380 of the changes and distinct pathologies. Altogether, our results may impact development of new drug
381 discovery strategies. Furthermore, knowledge that most of the biomarkers identified are released into a
382 variety of body fluids and peripheral tissues may facilitate translation of these markers to blood-based
383 assays.

384

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396 **Conflict of interest**

397 SB is Chief Scientific Officer for Psynova Neurotech Ltd. PG acts as a consultant for Psynova Neurotech

398 Ltd. MKC, TMT, LWH, EH declare no conflict of interest.

399 Supplementary information is available at the Molecular Psychiatry's website.

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730 **Tables**

731 **Table 1. Summary of patient demographics and procedural features of each study group (mean±SD,**
 732 **ranges in parenthesis). a)** Summary of demographic details for L-SCZ and M-SCZ subjects and, BPD
 733 patients and CT subjects (used for LC-MS^E, ¹H NMR spectroscopy and Western blot validation). **b)**
 734 Summary of demographic details for H-SCZ and CT subjects (used for Western blot validation only).

a)	L-SCZ (n=10)	M-SCZ (n=10)	BPD (n=10)	CT (n=10)	p-values obtained by T-test or *Fisher's exact test			
					L-SCZ versus CT	M-SCZ versus CT	BPD versus CT	L-SCZ versus M-SCZ
Age of onset	23.60±5.58 (15-33)	22.90±5.74 (16-34)	28.50±11.38 (17-48)	—	—	—	—	0.79
Age of death	38±12.90 (19-57)	43.1±6.38 (31-54)	44.30±9.68 (29-59)	42.4±6.29 (34-52)	0.35	0.81	0.61	0.28
Duration of illness (years)	14.42±13.75 (0.2-37)	20.20±8.12 (5-31)	15.80±6.53 (9-29)	—	—	—	—	0.27
PMI (hours)	27.80±10.43 (13-48)	39.20±21.19 (9-80)	41.90±18.90 (18-70)	31.90±14.42 (11-52)	0.48	0.38	0.2	0.15
Brain pH	6.54±0.20 (6.20-6.80)	6.44±0.23 (6.20-6.80)	6.60±0.20 (6.20-6.90)	6.42±0.26 (6.00-6.70)	0.27	0.86	0.10	0.33
Gender (M/F)	6/4	8/2	6/4	7/3	*1.00	*1.00	*1.00	*0.63
FME	6515±6465 (0-15000)	86200±47499 (34000- 180000)	N/A	—	—	—	—	0.0005
SCZ subtype (Undifferentiated/ Schizophreniform disorder/Paranoid/ Disorganized)	9/1/0/0	6/0/4/0	—	—	N/A	N/A	N/A	*0.30

735

b)	H-SCZ (n=10)	CT (n=10)	p-values obtained by T-test or *Fisher's exact test
			H-SCZ versus CT
Age of onset	20.20±3.85 (14-28)	—	—
Age of death	47.30±6.40 (35-54)	46.50±7.63 (34-59)	0.80
Duration of illness (years)	27.10±5.32 (20-37)	—	—
PMI (hours)	27.00±15.14 (9-47)	29.60±11.27 (13-47)	0.67
Brain pH	6.32±0.24 (5.90-6.70)	6.71±0.13 (6.5-6.9)	0.0005
Gender (M/F)	9/1	9/1	*1.00
FME	182500±123855 (60000-400000)	—	—
SCZ subtype (Undifferentiated/ Schizophreniform disorder/ Paranoid/Disorganized)	8/0/1/1	N/A	—

736 **Key: L-SCZ:** low-cumulative-medication schizophrenia patients (drug-naive or low cumulative lifetime antipsychotic
 737 medication; FME=0-15000); **M-SCZ:** medium-cumulative-medication schizophrenia patients (FME=34000-180000);
 738 **BPD:** bipolar disorder patients who received mood stabilisers and antidepressants, plus brief antipsychotic treatment
 739 (FME not calculated); **CT:** control or non-psychotic healthy individuals. **FME:** Fluphenazine mg. equivalents
 740 (cumulative lifetime antipsychotic medication dose). **H-SCZ:** high-cumulative-medication schizophrenia patients
 741 (FME=60000-400000).

Table 2. Differentially expressed proteins identified in L-SCZ patients

Gene name	UniprotKB accession	Protein name	p-value	Corrected p-value	FC (fold change)	Described in DLPCF of SCZ (*=transcriptomic and #=#proteomic profiling/assay)
Cell communication / signal transduction						
▼ST13	P50502	Hsc70-interacting protein (Hip)	0.03	0.58	-1.32	-----
▲Q6B6N3	Q6B6N3	Galphai2 protein	0.05	0.58	1.15	-----
▲NCAM1	P13591	Neural cell adhesion molecule 1 (140 kDa)	0.03	0.58	1.14	▲#(19), ▲#(52), ▲#(53)
▲NFASC	O94856	Neurofascin	0.05	0.58	1.16	-----
▲GNB1	P62873	Guanine nucleotide-binding protein subunit beta-1	0.05	0.58	1.17	▲#(14), ▲#(68), ▲#(69)
▲RTN1	Q16799	Reticulon-1	0.03	0.58	1.18	▲*(73)
▲SIRPA	P78324	Tyrosine-protein phosphatase non-receptor type substrate 1	0.02	0.58	1.20	▼#(13)
▲SNAP91	O60641	Clathrin coat assembly protein AP180	0.02	0.58	1.21	-----
▲RAP2A	P10114	Ras-related protein Rap-2a precursor	0.03	0.58	1.21	-----
▲STX1A	Q16623	Syntaxin-1A	0.04	0.58	1.24	▲#(53), ▲#(99), ▲#(100), ▲*(64)
▲OPA1	O60313	Dynamin-like 120 kDa protein	0.03	0.58	1.25	▲#(13)
▲SYN2	Q92777	Synapsin-2	0.04	0.58	1.26	▼*(101)
▲GAP43	P17677	Neuromodulin	0.04	0.58	1.27	▲#(72), ▲*(73)
▲GNB4	Q9HAV0	Guanine nucleotide-binding protein subunit beta-4	0.01	0.58	1.28	-----
▲GNAO1	P09471	Guanine nucleotide-binding protein G(o) subunit alpha	0.05	0.58	1.30	-----
Cell growth and/or maintenance						
▼NEFM	P07197	Neurofilament medium polypeptide (NF-M)	0.02	0.58	-2.06	▼#(74), ▼#(102)
▲MARCKS	P29966	Myristoylated alanine-rich C-kinase substrate	0.00	0.58	1.51	▲*(73)
Immune response						
▼MAG	P20916	Myelin-associated glycoprotein precursor	0.05	0.58	-2.35	▼*(73), ▼*(103), ▼*(104)
▼SCRN1	Q12765	Secernin-1	0.03	0.58	-1.21	▲#(102)
Metabolism / energy pathways						
▼PADI2	Q9Y2J8	Protein-arginine deiminase type-2	0.03	0.58	-1.28	-----
▼GAPDH	P04406	Glyceraldehyde-3-phosphate dehydrogenase (EC 1.2.1.12)	0.02	0.58	-1.24	▼#(17), ▲#(13)
▼PRDX6	P30041	Peroxiredoxin-6	0.03	0.58	-1.20	▲#(13),
▲GLUD1	P00367	Glutamate dehydrogenase 1 (EC 1.4.1.3)	0.02	0.58	1.19	-----
▼GAPD	Q5ZEY3	Glyceraldehyde-3-phosphate dehydrogenase	0.02	0.58	-1.13	-----
▲PDHA1	Q5JPT8	Pyruvate dehydrogenase	0.02	0.58	1.25	-----
▼HSP90AA1	P07900	Heat shock protein HSP 90-alpha	0.02	0.58	-1.16	▼#(14)
Transport						
▼Q8TCR7	Q8TCR7	Putative uncharacterized protein DKFZp761K0922	0.02	0.58	-1.95	-----
▲ATP2B4	P23634	Plasma membrane calcium-transporting ATPase 4	0.04	0.58	1.12	▲#(74)
▲AQP4	Q6L7A0	Aquaporin type4 transcript variant c	0.04	0.58	1.70	-----
Biological process unknown						
▲NTM	Q9P121	Neurotrimin	0.03	0.58	1.30	-----
▲GDAP1	Q8TB36	Ganglioside-induced differentiation-associated protein 1	0.04	0.58	1.30	-----
▲SH3GL2	Q3V638	SH3-domain-grb2-like2	0.05	0.58	1.22	▲#(13), ▼#(17)
Neurogenesis						
▲GPM6A	P51674	Neuronal membrane glycoprotein M6-a	0.05	0.58	1.27	-----
Response to biotic stimulus						
▲PRRT2	Q7Z6L0	Proline-rich transmembrane protein 2	0.01	0.58	1.34	-----

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750 **Figures**

751 **Figure 1. Distinct biological processes in L-SCZ and BPD**

752

753 **Figure 2. a) Validation of proteomic profiling results in *post-mortem* DLPFC from L-SCZ subjects.**

754 Representative Western blot images with numbers in parentheses indicating fold changes; significance at

755 $*p<0.05$ and $**p<0.01$. Antibodies used (Abcam; Cambridge, UK, unless otherwise stated): N-CAM

756 (1:6000; BD Biosciences, Oxford, UK), MARCKS (1:7000), GAP43 (1:2000), nNOS (1:7000), NFM

757 (1:10000), MAG (1:1000), Calmodulin (1:5000; loading control), β -tubulin III (1:20000). **b) Quantitative**

758 **summary of Western blot validation results.**

759

760 **Figure 3. a) Multivariate analysis of ^1H NMR spectra generated from *post-mortem* brain extracts.** Plots

761 on the left show the separation for the PLS-DA scores for **A)** L-SCZ vs control and **B)** M-SCZ vs control.

762 Plots on the right **C)** and **D)** represent the corresponding O-PLS-DA loading coefficient plots, showing

763 spectral descriptors which distinguish the disease from the control groups. Direction of change is indicated

764 by signal orientation (positive values indicate spectral regions co-varying with disease while negative

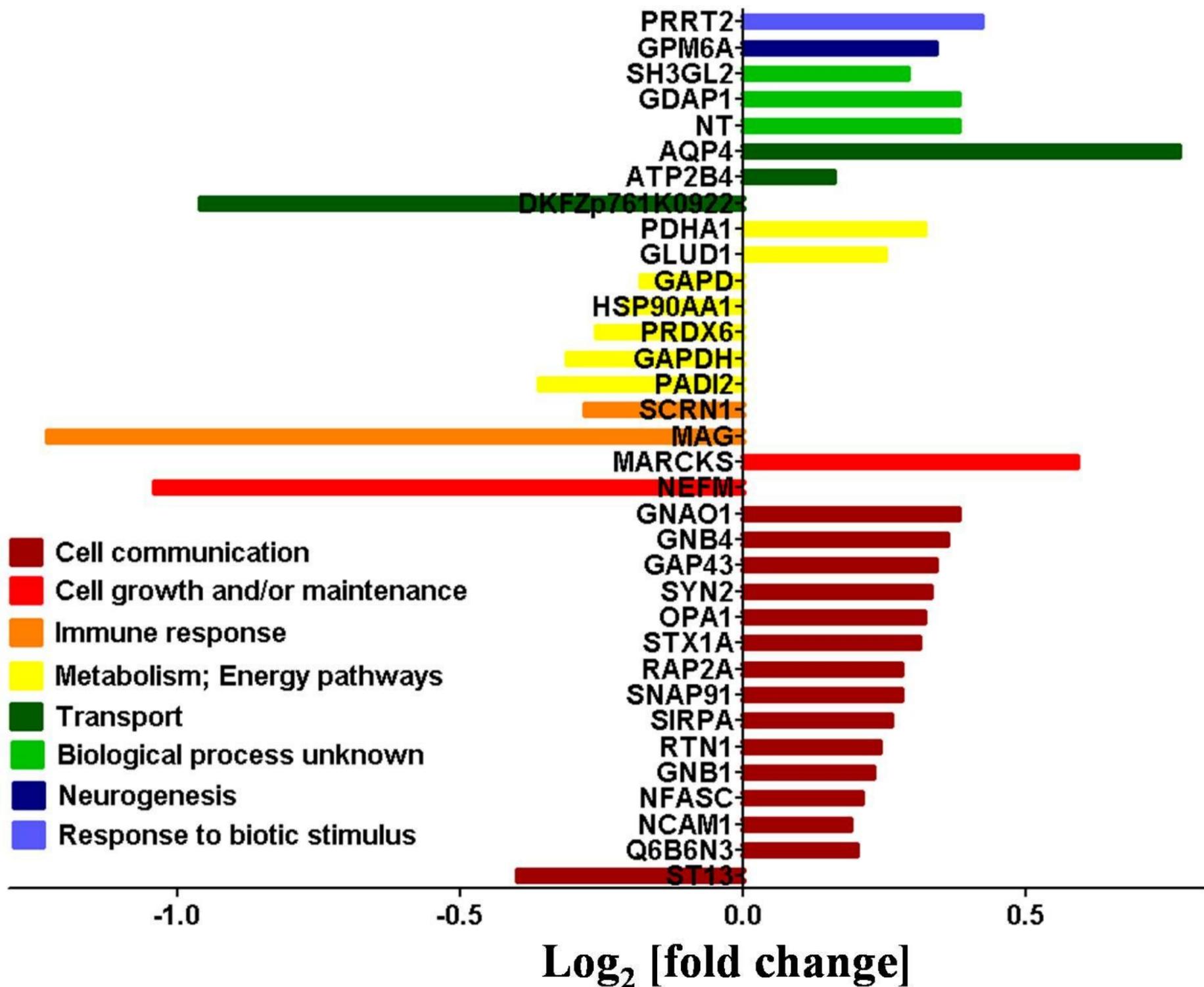
765 correlation values specify spectral regions co-varying with controls). The range of spectral colour is

766 proportional to the strength of the correlation with disease (red indicates highest correlation and blue

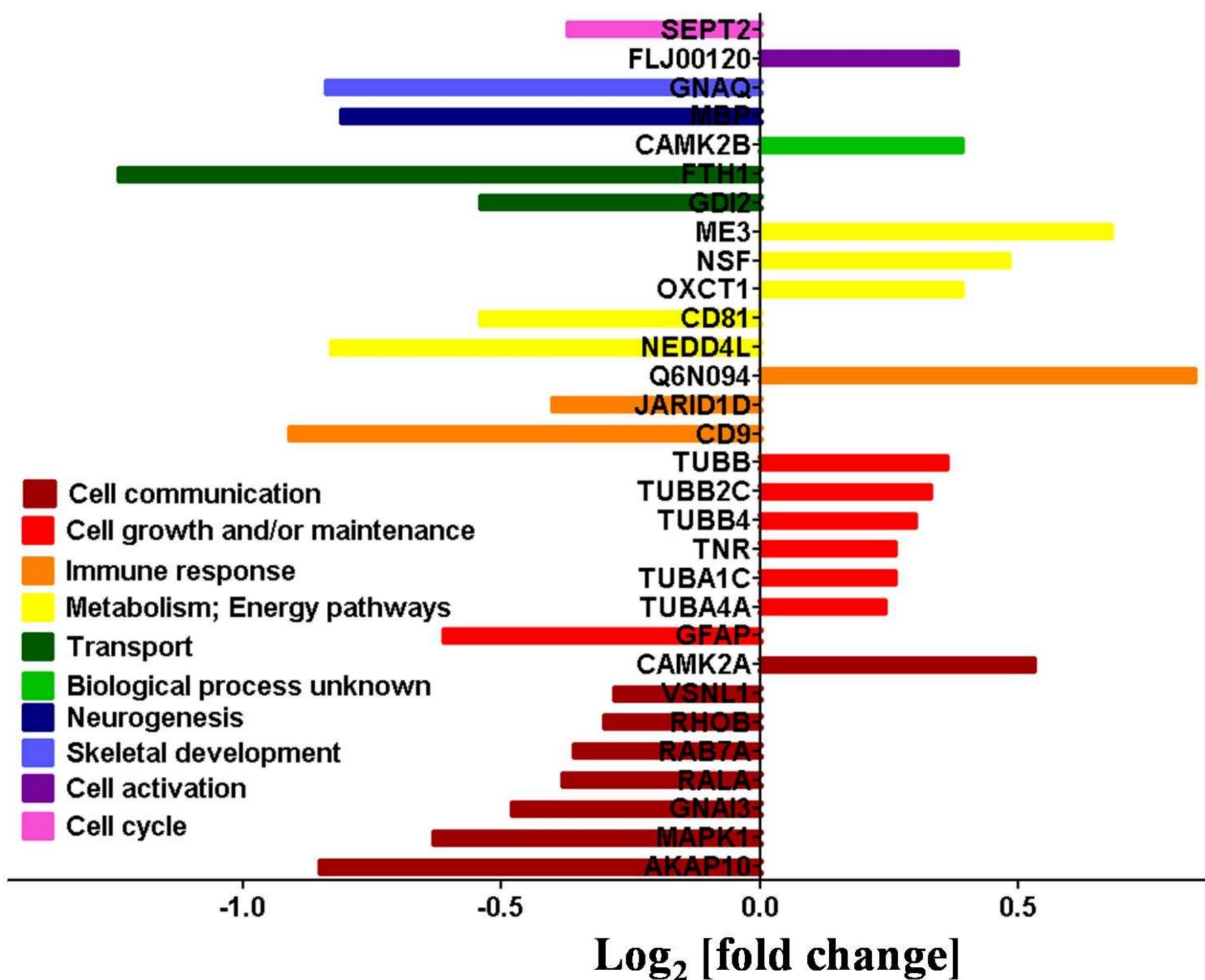
767 indicates no correlation) based on R^2 values. **b) List of metabolite changes in schizophrenia.** Numbers in

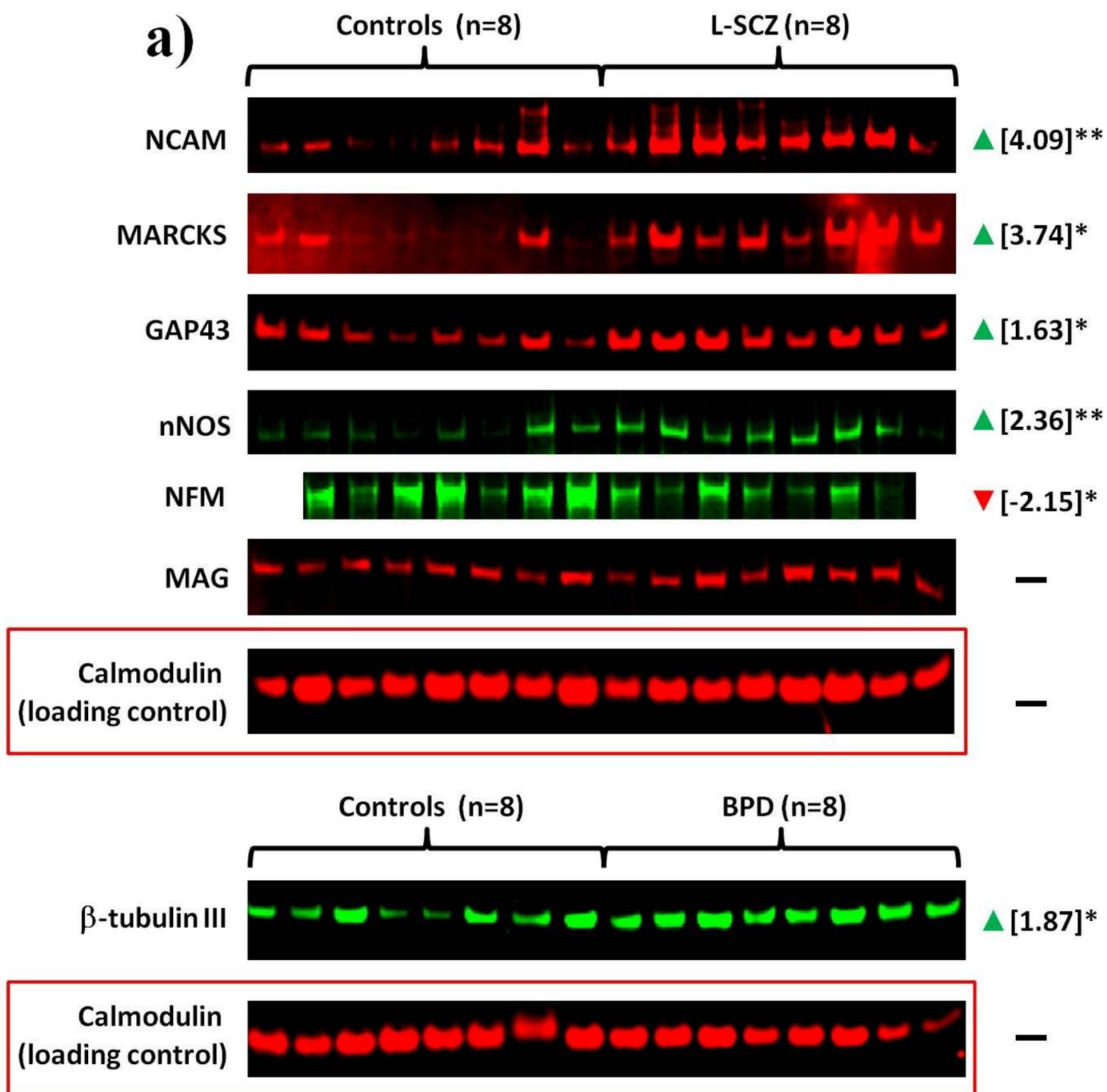
768 parentheses indicate fold changes; significance at $*p<0.05$ and $**p<0.01$.

L-SCZ



BPD



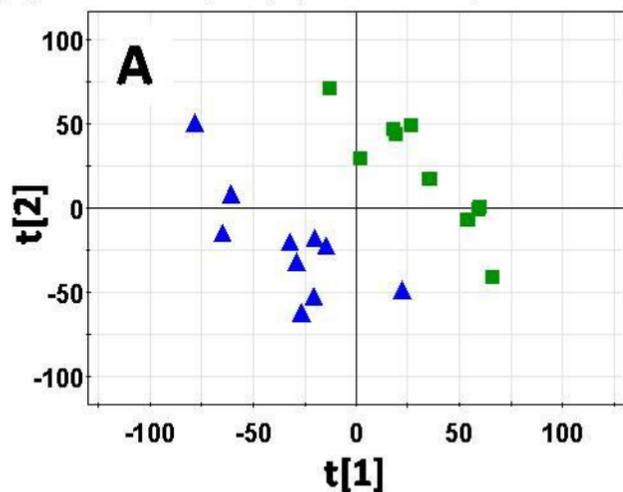


b)

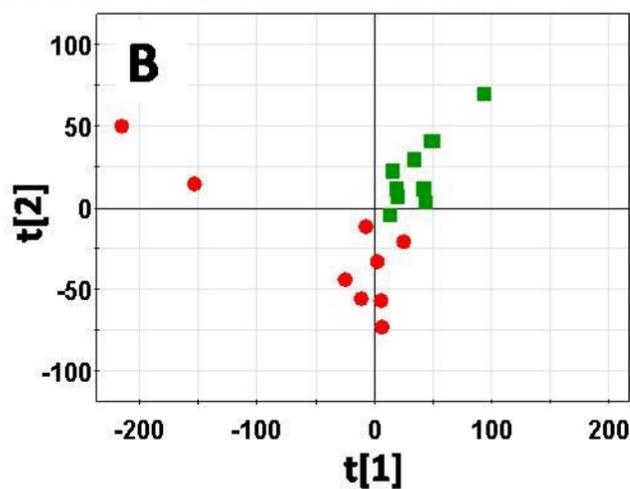
Candidate protein	L-SCZ versus control								M-SCZ versus control		H-SCZ versus control		BPD versus control			
	LC-MS ^E		Western blot		Western blot				Western blot		Western blot		LC-MS ^E		Western blot	
	Membrane fractions		Membrane fractions		Soluble fractions		Total brain lysates		Membrane fractions		Membrane fractions		Membrane fractions			
	p-value	FC	p-value	FC	p-value	FC	p-value	FC	p-value	FC	p-value	FC	p-value	FC	p-value	FC
MARCKS	▲ 0.003	1.51	▲ 0.010	3.74	▲ 0.030	2.42	▲ 0.040	1.58	— 0.400	1.57	— 0.180	-1.26	— 0.590	1.07	— 0.240	1.57
nNOS	▲ predicted		▲ 0.009	2.36	▲ 0.040	1.73	▲ 0.027	1.95	— 0.190	1.69	— 0.370	-1.29	N/A		— 0.210	1.58
NCAM	▲ 0.030	1.14	▲ 0.007	4.09	undetected		▲ 0.040	2.30	▲ 0.010	2.95	▲ 0.030	1.78	— 0.880	-1.02	▲ 0.030	1.78
GAP43	▲ 0.038	1.27	▲ 0.010	1.63	▲ 0.020	1.41	— 0.190	1.43	— 0.720	1.06	— 0.900	-1.01	— 0.240	1.13	— 0.790	1.07
MAG	▼ 0.045	-2.35	— 0.150	1.15	— 0.860	1.00	— 0.070	1.34	— 0.930	1.00	— 0.110	-1.34	— 0.200	-1.84	— 0.430	1.06
NFM	▼ 0.020	-2.06	▼ 0.045	-2.15	▼ 0.045	-3.03	— 0.800	-1.12	— 0.250	1.50	▼ 0.040	-3.34	— 0.800	-1.17	IS	
CALM	— 0.750	-1.10	— 0.340	1.12	— 0.720	1.00	— 0.190	-1.17	— 0.590	-1.08	— 0.080	1.19	— 0.280	1.16	— 0.710	1.00
β-TUB	-----		-----		-----		-----		-----		-----		▲ 0.010	1.28	▲ 0.010	1.87

IS: insufficient samples

a) $R^2(X)cum=25\%$, $R^2(Y)cum=91\%$, $Q^2=50\%$

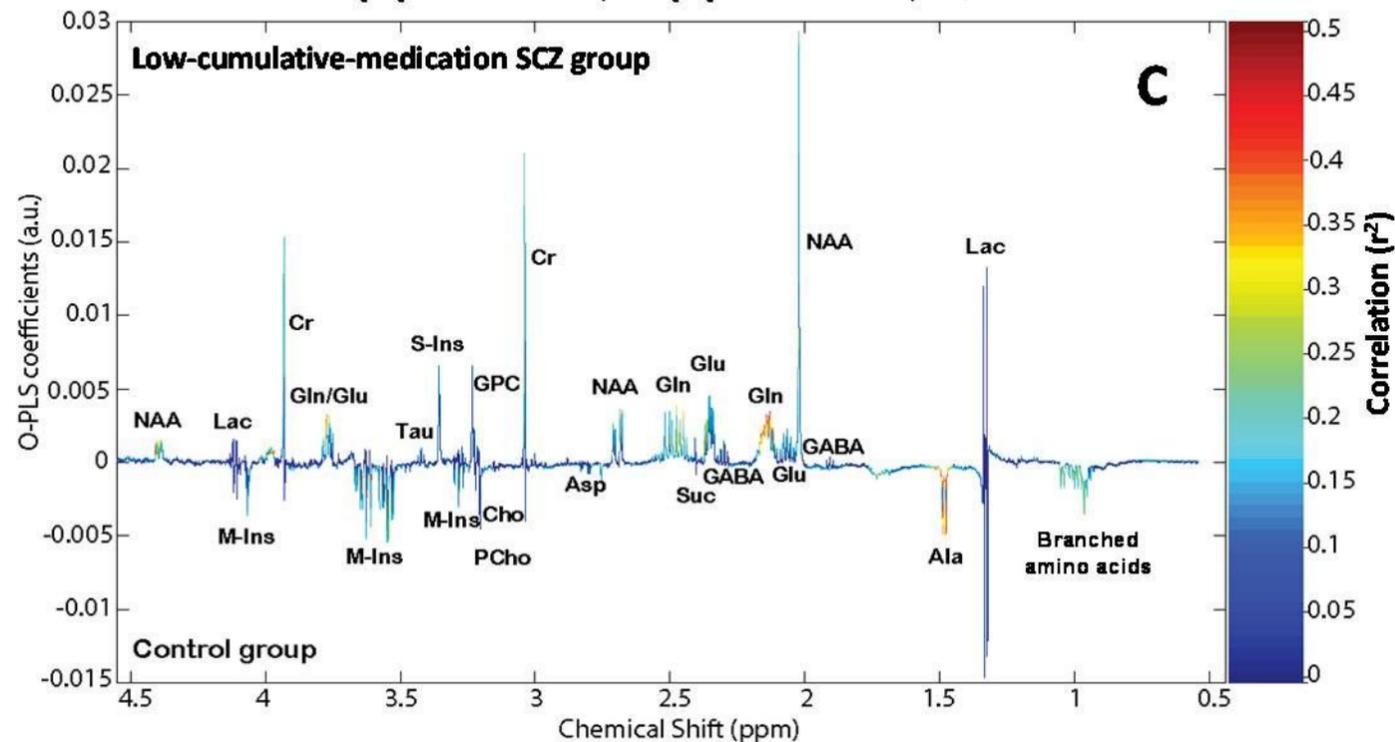


$R^2(X)cum=51\%$, $R^2(Y)cum=73\%$, $Q^2=27\%$

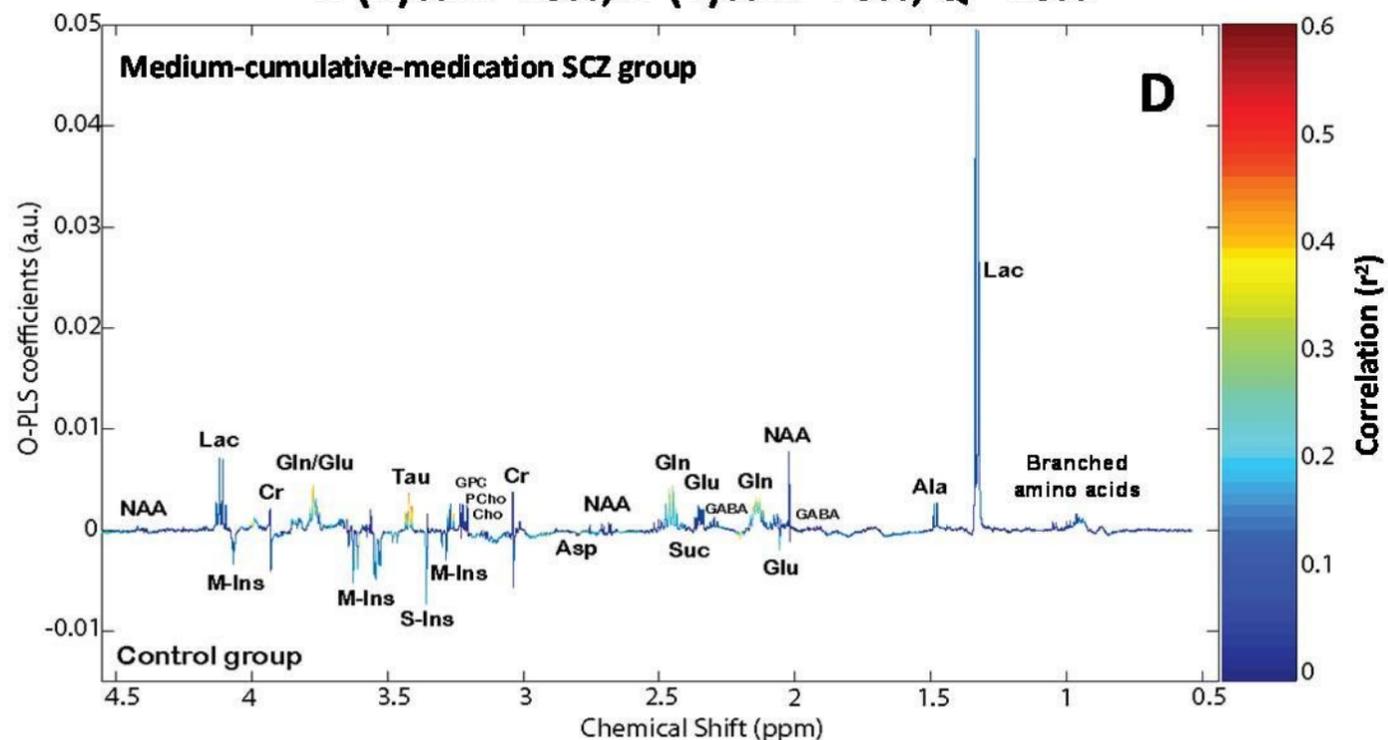


▲ L-SCZ ● CT ■ M-SCZ

$R^2(X)cum=28\%$, $R^2(Y)cum=88\%$, $Q^2=28\%$



$R^2(X)cum=45\%$, $R^2(Y)cum=75\%$, $Q^2=18\%$



b)

Metabolites	L-SCZ versus Control	M-SCZ versus Control
Branched amino acids	▼ [-1.28]*	—
Lactate	—	—
Alanine	▼ [-1.22]**	—
GABA	—	—
NAA	—	—
Glutamate	—	—
Glutamine	▲ [1.14]**	▲ [1.11]*
Aspartate	—	—
Succinate	—	—
Creatine	▲ [1.11]*	—
Choline	—	—
Phosphocholine	—	—
GPC	—	—
Myo-inositol	—	—
Taurine	—	▲ [1.24]**
Scyllo-inositol	—	—