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Dynamical plasma study during $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$ and $\text{Ba}_{0.6}\text{Sr}_{0.4}\text{TiO}_3$ Pulsed Laser Deposition by Local Thermodynamic Equilibrium modelling

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Abstract

We performed space- and time- resolved plasma diagnostics during pulsed laser deposition of $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$ (CCTO) and $\text{Ba}_{0.6}\text{Sr}_{0.4}\text{TiO}_3$ (BSTO) thin films. A KrF excimer laser irradiation at 248 nm with 25 ns pulse duration irradiates targets with a fluence varying from 1 to 2 J cm⁻² under a oxygen pressure varying from 5 to 30 Pa. The plasma is shown to be optically thick and strongly non-uniform during the early expansion stage and the resonance lines Ca II 393 and 396 nm are strongly self-reversed during this time. Plasma temperature, electron density and relative elemental concentrations were obtained by comparing the experimental emission spectra to the spectral radiance computed for a non-uniform plasma in local thermal equilibrium. In this way, it was possible to evaluate very low concentrations of pollutants present in irradiated samples.

1. Introduction

In order to drastically reduce more and more the space taken up by electronic components in mobile technology, and in particular by capacitor components, many studies have been undertaken on new oxide ceramic structures with very high dielectric constants (high-K materials) as CCTO or BSTO, and on the transfer of their properties from bulk structure to thin film. Several techniques of thin films deposition have been developed during the last 20 years as Physical Vapour Deposition (PVD), Chemical Vapour Deposition (CVD), or Pulse Laser Deposition (PLD). Among these techniques, PLD presents the advantage of epitaxial growth of films congruent with the target. Elsewhere PLD is suitable for exploratory studies, due to its good deposition thickness control which also allows quick test of new component structures.

Informations about deposited film structures are obtained by *ex-situ* analytical techniques such as X-ray diffraction, scanning electron microscopy (SEM), transmission electron microscopy (TEM) or impedance spectroscopy. In addition the spectroscopic study of plasma, which is the transient stage of thin film deposition, may provide interesting *in-situ* information, as specie spreading and densities, plasma temperature or spatial development of the plume. Those informations can be correlated to the produced film analysis, in order to optimize the deposition process. Thanks to optical emission spectroscopy, it is possible to detect presence of very weak quantities of pollutant species (few tens ppm) which may badly affect film properties.

Laser-induced plasmas are known to be non-uniform. These plasmas show strong temperature and density gradients, which affect the shape of line profiles, leading to the so-called self-reversed lines. Self-reversed lines were studied in many works [1-7], they allow to estimate key parameters of

plasma, as electronic density or gas temperature. On one hand, most studies have been conducted on metallic targets generating plasmas composed by single specie and, in these cases only self-reversed lines were taken into account for plasma simulation [5,8-11]. In that case, the non-uniformity of the plasma was taken into account either by considering gradients of temperature and density from the core to the periphery, or by modelling the plasma in many concentric and uniform layers. On the other hand, for multi-component targets all species has to be taken into account in the calculated spectra in order to obtain a correct plasma simulation.

In this work, investigation by optical emission spectroscopy has been done on CCTO and BSTO ablated at moderate fluence. Moreover plume speed was given by imaging during plasma expansion. The simulation used in this study was based on a non-uniform LTE plasma and calculated for the spectral radiance in the whole UV-Visible-NIR range [12]. Computation of spectra was used for plasma characterization, in particular for some ionic species which profile show self-reversion. This model was also efficient for pollutant species identifications and their concentration estimations.

2. Experimental set-up

Ablation of oxide ceramic samples is performed using a KrF excimer laser (GSI Lumonics, model M888) that was operated at a wavelength of 248 nm at a repetition rate of 10 Hz. The laser generated pulses of 25 ns duration and a maximum energy of 850 mJ. After focusing with a UV fused silica lens of 320 mm focal length to a spot of about 3.3 mm² diameter, a fluence ranging from 1 to 2 J cm⁻² was incident on the sample's surface. The samples were placed in a vacuum chamber of a residual pressure of about 1×10⁻⁶ Pa. During the experiments, the chamber was filled with oxygen at a pressure that was varied from 5 to 30 Pa. The samples were continuously translated in order to avoid deep drilling. Spectral acquisition were done via two lenses (see figure 1) forming a 1:3 image of plasma onto an aligned and ordered bundle of 37 UV-visible optical fibres fixed on an XZ motorized stage. The bundle is set up on the entrance slit of an imaging spectrometer (Acton, model SP750i, 750 mm focal length). Spectra were recorded with two diffraction gratings of 2400 lines/mm or 1200 lines/mm, with a spectral resolution at full width at half maximum (FWHM) of 25 pm and 47 pm respectively at 498 nm, with an entrance slit of 30 µm. As diameter of each optical fibre is 200 µm and taking into account the magnification, the average plasma scanning resolution is 660 µm. An intensified charge-coupled device (ICCD) camera (Princeton Instruments, model PIMAX2, 1024×1024 pixels of 12.8 µm pixel size) is mounted on the output of the spectrometer and operates in time-gated mode. The camera is synchronised using the laser trigger-output signal. For delays with respect to the laser pulse up to 500 ns, the emission spectrum was recorded with a gate width of 10 ns to follow fast plasma propagation with a high temporal resolution. Plasma propagation was imaged with the previous camera equipped with UV-visible lens (Pentax, model B7838-UV).

Figure 1. Schema of the experimental set-up.

3. Simulation model

The plasma generated by the laser ablation of the target material presents strong density and temperature gradients, in particular during its early expansion stage. In addition, according to the large plasma density, self-absorption plays a significant role and so-called self-reversed resonance lines characterize the plasma emission. A good approximation to describe this behaviour is to consider a plasma divided in two uniform zones: a core of high temperature and density, and a surrounding peripheral zone at lower temperature and density. In that case, the spectral radiance obtained by integrating the radiation transport equation is given by [5]:

$$B(\lambda, T_1, T_2) = U_1(\lambda, T_1) (1 - e^{-\alpha_1(\lambda, T_1)L_1}) e^{-\alpha_2(\lambda, T_2)L_2} + U_2(\lambda, T_2) (1 - e^{-\alpha_2(\lambda, T_2)L_2}) \quad (1)$$

where the subscripts “1” and “2” indicate the values corresponding to the core and the periphery of the plasma respectively, T is the plasma temperature and L the dimension of the plasma zone in the observation direction. The blackbody spectral radiance is given by Planck’s law:

$$U(\lambda, T) = \left(\frac{2hc^2}{\lambda^5} \right) \frac{1}{e^{hc/\lambda kT} - 1} \quad (2)$$

and the absorption coefficient is determined by:

$$\alpha(\lambda, T) = \pi r_0 \lambda^2 f_{lu} n_l P(\lambda_0, \lambda) \left[1 - \exp\left(-\frac{hc}{\lambda kT}\right) \right] \quad (3)$$

Here, r_0 classical electron radius, h Planck’s constant, c the vacuum light velocity, f_{lu} the absorption oscillator strength and n_l the lower level population density of the transition, and $P(\lambda_0, \lambda)$ the normalized Voigt profile centred on λ_0 .

In equation (1), the first term corresponds to the radiation from the core region, which may be re-absorbed by the peripheral region. The second term is relative to photons emitted from the peripheral zone.

Three successive stages can be distinguished to describe the dynamics of the laser-produced plasma:

- For short delay times (a few nanoseconds), density and temperature gradients are very strong: the plasma core is very dense and hot with a large optical thickness leading to a blackbody-like emission spectrum. This core is surrounded by a colder and mainly absorbing peripheral thin region.
- After the plume expansion during a time of a few tens of nanoseconds, the optical thickness of the plasma diminishes, and the emission spectrum is well described by equation (1) that takes into account the spatial gradients of plasma temperature and density.
- For a longer time (over several hundred of ns), the density and temperature gradients are reduced and the plasma can be approximated by a unique uniform zone:

$$B(\lambda, T) = U(\lambda, T) (1 - e^{-\alpha(\lambda, T)L}) \quad (4)$$

Figure 2 presents three simulated spectra of CCTO plume corresponding to the three typical behaviours detailed above:

- (a): absorption profile shapes over a global blackbody-like spectrum appearance, simulated by two uniform zones.
- (b): a non-uniform plasma simulated by two uniform zones.
- (c): a uniform plasma

Parameters used, to build those spectra, are listed in table 1. We can note that the spectral shape is strongly dependant on plasma parameters, which makes easier estimation of plasma characteristics.

For our experimental conditions, spectra show neutral and singly charged species. Molecular spectra of TiO were detected, but without sufficient intensity for a spectroscopic study. The spectral window 393-398 nm contain Ti I lines and Ca II lines, and was retained as spectral range for analysis. In addition, observed Ca II lines are especially interesting for their large Stark widths, useful for electronic density estimations from both regions. Atomic data for lines present in the studied spectral range are listed in table 2.

Table 2. Wavelength λ_0 , transition probability A_{ul} , lower and upper level transition energy E_l and E_u , total electronic angular momentum of the lower and upper level J_l and J_u , lower and upper level statistical weight g_l and g_u , Stark width w at $N_e=10^{17} \text{ cm}^{-3}$, Stark shift d , for spectral lines emitted from the CCTO plasma in the spectral range between 393 and 398 nm.

	λ_0 (nm)	A_{ul} ($\times 10^6 \text{ s}^{-1}$)	E_l (cm^{-1})	E_u (cm^{-1})	Config. Lower level	Config. Upper level	Term Lower level	Term Upper level	J_l	J_u	g_l	g_u	w [15] (nm)	d [15] (nm)
Ca II	393.366	147	0	25 414.400	$3d^2 4s^2$	$3d^2(^3P)4s4p(^3P^0)$	a^3F	y^5D^0	1/2	3/2	2	4	0.019	-0.005
Ti I	393.424	0.45	386.874	25 797.600	$3p^6 4s$	$3p^6 4p$	2S	$^2P^0$	4	3	9	7	-	-
Ti I	394.778	9.6	170.132	25 493.722	$3d^2 4s^2$	$3d^2(^1D)4s4p(^3P^0)$	a^3F	z^3P^0	3	2	7	5	-	-
Ti I	394.867	48.5	0	25 317.813	$3d^2 4s^2$	$3d^3(^4F)4p$	a^3F	y^3D^0	2	1	5	3	-	-
Ti I	395.634	30	170.132	25 438.898	$3d^2 4s^2$	$3d^2(^1D)4s4p(^3P^0)$	a^3F	y^3D^0	3	2	7	5	-	-
Ti I	395.821	40.5	386.874	25 643.695	$3d^2 4s^2$	$3d^2(^3P)4s4p(^3P^0)$	a^3F	y^3D^0	4	3	9	7	-	-
Ti I	396.285	4.13	0	25 227.217	$3d^2 4s^2$	$3d^2(^3F)4s4p(^1P^0)$	a^3F	y^3F^0	2	3	5	7	-	-
Ti I	396.427	3.09	170.132	25 388.334	$3d^2 4s^2$	$3d^2(^3F)4s4p(^1P^0)$	a^3F	y^3F^0	3	4	7	9	-	-
Ca II	396.847	140	0	25 191.510	$3p^6 4s$	$3p^6 4p$	2S	$^2P^0$	1/2	1/2	2	2	0.016	-0.004

Two experimental spectra were recorded, one at early time close to the sample's surface (100 ns, 0.7 mm), and an other at mean time with higher z-position (390 ns, 4 mm), and their associated simulated spectra were presented on figure 4 and figure 5 respectively, with there associated simulation parameters on table 3 and table 4 respectively. Plasma thicknesses were estimated according to panache imaging measurements. At short delay time (figure 4(a)), plasma has a behaviour close to a hot blackbody surrounded by a colder peripheral zone, it was well simulated by considering two uniform zones (figure 4(b)). Mainly from a specific study of Ca II line profiles it was quite easy to estimate core parameters (L_1 , N_{e1} , T_1) and peripheral parameters (L_2 , N_{e2} , T_2) by an adjustment on wings of outer profile and hollow width respectively. For longer times (figure 5(a)), no inverted lines were observed and spectra were dominated mainly by emission and self-absorption phenomena, thus simulation was done by a single zone analysis (figure 5(b)).

Figure 4. Experimental spectrum (a) and computed spectral radiance using a double zone (b) at z -position = 0.7 mm, $t = 100$ ns and $\Delta t = 10$ ns, under 30 Pa O_2 background pressure with a fluence of 2 J cm^{-2} . Spectral resolution of FWHM = 20 pm.

Table 3. Plasma temperature T , electron density N_e , thickness of the core and the peripheral plasma zones used for simulation parameters of figure 4(b), and resulting total pressure P_t and partial pressures P_p .

Zone	T (K)	$N_e \text{ (cm}^{-3}\text{)}$	Thickness (mm)	$P_t \text{ (Pa)}$	$P_p \text{ (Pa)}$							
					Ca I	Ca II	Cu I	Cu II	Ti I	Ti II	O I	O II
Core	13100	1.4×10^{18}	1	732288	2659	32916	32916	77046	14216	131485	434062	6005
Periph.	9400	1.4×10^{17}	0.45	61466	187	2868	4789	4438	1402	10888	36857	26

Figure 6. Time dependences of plasma temperature (a) and electronic density (b), for two z-positions: for a two-zones plasma $z_1 = 0.66$ mm and a one-zone plasma $z_2 = 4.66$ mm. $\Delta t = 10$ ns for $t < 500$ ns, and $\Delta t = 100$ ns for $t > 500$ ns. Under 30 Pa O₂ background pressure with a fluence of 2 J cm⁻².

Temperatures and electronic densities estimated from this model are in good agreement with values from the literature obtained with other simulation approaches applied to similar experimental conditions [5,9,16-18].

4.2. Trace element detection

Pollution of targets may have different origins, either during their preparation, or during the polishing phase. Another source of plasma pollution may results from presence of old layers deposited on ablation chamber surfaces.

With this diagnostic we have validated the good stoichiometry of our targets. In addition some atomic emissions corresponding to pollutant species were also observed. For instance it was possible to quantify calcium and sodium elements from Ca II lines in BSTO targets and Na I lines in CCTO targets. Those elements directly came from the target and are introduced in the bulk, without control, during synthesis process, and none from inner chamber pollution. Indeed, they are detected even for long time ablated target and, at any time and in the whole plume.

In order to improve the pollutant concentration estimation, it was necessary to chose emission lines with significant emission probability coefficients. In that case it is possible to observe them even if they are in trace amounts. Considered transitions were grouped in table 5. Moreover high z-positions and long times are preferred so as to consider only one uniform plasma zone and therefore minimize the number of adjustable parameters. Firstly, we have only considered elements of a non-polluted target for the adjustment of synthetic spectrum on experimental one; secondly the concentration of pollutant species was adjusted to fit correctly these emissions. Simulations of spectra with those lines are fast and show a good accuracy in element concentrations. Two examples are shown on Figure 7

and figure 8 for calcium in BSTO and sodium in CCTO targets respectively. For these samples, we have found relative concentrations of about 28 ppm in mass and 0.26% in mass for calcium and sodium respectively.

Table 5. Atomic data [19] of Ca II and Na I lines.

λ_0 (nm)	A_{ul} (s^{-1})	E_l (cm^{-1})	E_u (cm^{-1})	Config. Lower	Config. Upper	Term Lower	Term Upper	J_l	J_u	g_l	g_u
Ca II 393.366	1.47×10^8	0	25 414.40	$3p^6 4s$	$3p^6 4p$	2S	$^2P^o$	1/2	3/2	2	4
Ca II 396.847	1.4×10^8	0	25 191.51	$3p^6 4s$	$3p^6 4p$	2S	$^2P^o$	1/2	1/2	2	2
Na I 588.995	6.16×10^7	0	16 973.368	$2p^6 3s$	$2p^6 3p$	2S	$^2P^o$	1/2	3/2	2	4
Na I 589.592	6.14×10^7	0	16 956.172	$2p^6 3s$	$2p^6 3p$	2S	$^2P^o$	1/2	1/2	2	2

In our plasma conditions, depending essentially on T_e and N_e , we can reach quantification thresholds of 10 ppm in mass and 50 ppm in mass for calcium and sodium respectively. In a general way, to increase the signal to noise ratio, the z-position will be adjusted: at high z-position for atomic lines and at moderate z-position for ionic lines.

Figure 7. (a) experimental and (b) simulated spectra with Ca II trace in plasma from ablated BSTO target. Under 20 Pa O_2 background pressure with a fluence of 2 J cm^{-2} , $t = 400 \text{ ns}$, and $\Delta t = 100 \text{ ns}$, z-position = 5.4 mm with a spectral resolution of FWHM = 20 pm.

Table 6. Plasma parameters of figure 7(b) simulation spectrum, under uniform plasma.

T (K)	7000
N_e (cm^{-3})	9×10^{14}
Thickness (mm)	16
Ba (mass %)	38.59
Sr (mass %)	16.45
Ti (mass %)	22.45
O (mass %)	22.5
Ca (mass ppm)	28

Figure 8. (a) experimental and (b) simulated spectra with Na I trace in plasma from ablated CCTO target. Under 20 Pa O₂ background pressure with a fluence of 2 J cm⁻², long time integration: t = 0, Δt = 1 μs, z-position = 8.3 mm with a spectral resolution of FWHM = 40 pm.

Table 7. Plasma parameters of figure 8(b) simulation spectrum, under uniform plasma.

T (K)	5800
N _e (cm ⁻³)	2x10 ¹⁴
Thickness (mm)	20
Ca (mass %)	6.5
Cu (mass %)	31
Ti (mass %)	30.9
O (mass %)	31.3
Na (mass %)	0.26

5. Conclusion

We have performed diagnostics of a plasma produced by pulsed laser ablation of CCTO and BSTO oxides in low pressure oxygen atmospheres by comparing the emission spectra to the spectral radiance computed for a plasma in local thermal equilibrium. To take into account the spatial gradients of temperature and density, the plasma was divided into two uniform zones representing the plasma core and the peripheral zone, respectively. The analyses allowed us to distinguish between three characteristic stages of plume expansion after ablation of either CCTO or BSTO samples. For first times after the laser impact (t < 300 ns), we observe a 1D expansion of the plume with strong gradients of density and temperature, which is well simulated by a model based on two plasma zones. For longer times the expansion is isotropic (3D), which is well simulated by a model considering only one uniform zone.

In addition, this model authorizes a quick identification and estimation of pollutant element densities present as trace inside targets with a good accuracy. While it is necessary to take into account the transition probability of the line of the element observed as pollutant, it is possible to quantify a few ppm of impurities. Here with a transition probability around 1x10⁸ s⁻¹ for Ca II and around 6x10⁷ s⁻¹ for Na I it was possible to quantify a least a few tens ppm

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Spectrum	T ₁ (K)	T ₂ (K)	N _{e1} (cm ⁻³)	N _{e2} (cm ⁻³)	Thickness (mm)
(a)	18000	12000	5x10 ¹⁸	1x10 ¹⁷	L1 = L2 = 1
(b)	12000	8000	2x10 ¹⁷	5x10 ¹⁶	L1 = L2 = 1
(c)	8000	-	2x10 ¹⁵	-	L = 2

	λ_0 (nm)	A_{ul} ($\times 10^6 s^{-1}$)	E_l (cm^{-1})	E_u (cm^{-1})	Config. Lower level	Config. Upper level	Term Lower level	Term Upper level	J_l	J_u	g_l	g_u	w [15] (nm)	d [15] (nm)
Ca II	393.366	147	0	25 414.400	$3d^2 4s^2$	$3d^2(^3P)4s4p(^3P^0)$	a^3F	y^5D^0	1/2	3/2	2	4	0.019	-0.005
Ti I	393.424	0.45	386.874	25 797.600	$3p^6 4s$	$3p^6 4p$	2S	$^2P^0$	4	3	9	7	-	-
Ti I	394.778	9.6	170.132	25 493.722	$3d^2 4s^2$	$3d^2(^1D)4s4p(^3P^0)$	a^3F	z^3P^0	3	2	7	5	-	-
Ti I	394.867	48.5	0	25 317.813	$3d^2 4s^2$	$3d^3(^4F)4p$	a^3F	y^3D^0	2	1	5	3	-	-
Ti I	395.634	30	170.132	25 438.898	$3d^2 4s^2$	$3d^2(^1D)4s4p(^3P^0)$	a^3F	y^3D^0	3	2	7	5	-	-
Ti I	395.821	40.5	386.874	25 643.695	$3d^2 4s^2$	$3d^2(^3P)4s4p(^3P^0)$	a^3F	y^3D^0	4	3	9	7	-	-
Ti I	396.285	4.13	0	25 227.217	$3d^2 4s^2$	$3d^2(^3F)4s4p(^1P^0)$	a^3F	y^3F^0	2	3	5	7	-	-
Ti I	396.427	3.09	170.132	25 388.334	$3d^2 4s^2$	$3d^2(^3F)4s4p(^1P^0)$	a^3F	y^3F^0	3	4	7	9	-	-
Ca II	396.847	140	0	25 191.510	$3p^6 4s$	$3p^6 4p$	2S	$^2P^0$	1/2	1/2	2	2	0.016	-0.004

Zone	T (K)	N_e (cm ⁻³)	Thickness (mm)	P_t (Pa)	P_p (Pa)							
					Ca I	Ca II	Cu I	Cu II	Ti I	Ti II	O I	O II
Core	13100	1.4×10^{18}	1	732288	2659	32916	32916	77046	14216	131485	434062	6005
Periph.	9400	1.4×10^{17}	0.45	61466	187	2868	4789	4438	1402	10888	36857	26

T (K)	N_e (cm ⁻³)	Thickness (mm)	P_t (Pa)	P_p (Pa)							
				Ca I	Ca II	Cu I	Cu II	Ti I	Ti II	O I	O II
7200	8.0×10^{15}	3.7	2798	5	134	266	153	56	504	1680	0.1

λ_0 (nm)	A_{ul} (s^{-1})	E_l (cm^{-1})		E_u (cm^{-1})	Config. Lower	Config. Upper	Term Lower		Term Upper	J_l	J_u	g_l	g_u
Ca II 393.366	1.47×10^8	0	-	25 414.40	$3p^6 4s$	$3p^6 4p$	2S	-	$^2P^\circ$	1/2	3/2	2	4
Ca II 396.847	1.4×10^8	0	-	25 191.51	$3p^6 4s$	$3p^6 4p$	2S	-	$^2P^\circ$	1/2	1/2	2	2
Na I 588.995	6.16×10^7	0	-	16 973.368	$2p^6 3s$	$2p^6 3p$	2S	-	$^2P^\circ$	1/2	3/2	2	4
Na I 589.592	6.14×10^7	0	-	16 956.172	$2p^6 3s$	$2p^6 3p$	2S	-	$^2P^\circ$	1/2	1/2	2	2

T (K)	7000
$N_e(\text{cm}^{-3})$	9×10^{14}
Thickness (mm)	16
Ba (mass %)	38.59
Sr (mass %)	16.45
Ti (mass %)	22.45
O (mass %)	22.5
Ca (mass ppm)	28

T (K)	5800
N_e (cm ⁻³)	2×10^{14}
Thickness (mm)	20
Ca (mass %)	6.5
Cu (mass %)	31
Ti (mass %)	30.9
O (mass %)	31.3
Na (mass %)	0.26

Figure 1 (Figure1.eps)

Figure 2 (Figure2a_c.eps)

Figure 3 (Figure3.eps)

Figure 4 (Figure4a_b.eps)

Figure 5 (Figure5a_b.eps)

Figure 6 (Figure6a_b.eps)

Figure 7 (Figure7a_b.eps)

Figure 8 (Figure8a_b.eps)