

Maintenance treatment with azacytidine for patients with high-risk myelodysplastic syndromes (MDS) or acute myeloid leukaemia following MDS in complete remission after induction chemotherapy

Michael Grövdal, Mohsen Karimi, Rasheed Khan, Anni Aggerholm, Petar Antunovic, J Astermark, Per Bernell, Lena-Maria Engström, Lars Kjeldsen, Olle Linder, et al.

▶ To cite this version:

Michael Grövdal, Mohsen Karimi, Rasheed Khan, Anni Aggerholm, Petar Antunovic, et al.. Maintenance treatment with azacytidine for patients with high-risk myelodysplastic syndromes (MDS) or acute myeloid leukaemia following MDS in complete remission after induction chemotherapy. British Journal of Haematology, 2010, 150 (3), pp.293. 10.1111/j.1365-2141.2010.08235.x. hal-00552597

HAL Id: hal-00552597 https://hal.science/hal-00552597

Submitted on 6 Jan 2011

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Maintenance treatment with azacytidine for patients with high-risk myelodysplastic syndromes (MDS) or acute myeloid leukaemia following MDS in complete remission after induction chemotherapy

Journal:	British Journal of Haematology
Manuscript ID:	BJH-2010-00194.R1
Manuscript Type:	Ordinary Papers
Date Submitted by the Author:	11-Apr-2010
Complete List of Authors:	Grövdal, Michael; Karolinska Institutet, Karolinska University Hospital Huddinge, Department of Medicine, Centre for Experimental Haematology Karimi, Mohsen; Karolinska Institutet, Karolinska University Hospital Huddinge, Department of Medicine, Centre for Experimental Haematology Khan, Rasheed; Karolinska Institutet, Karolinska University Hospital Huddinge, Department of Medicine, Centre for Experimental Haematology Aggerholm, Anni; Aarhus University Hospital, Department of Haematology Antunovic, Petar; Linköping University Hospital, Department of Haematology and Coagulation Disorders Bernell, Per; Karolinska University Hospital Solna, Department of Haematology Engström, Lena-Maria; University Hospital of Norrland, Department of Haematology Kjeldsen, Lars; Rigshospitalet, Department of Haematology University Hospital, Department of Medicine Nilsson, Lars; Lund University Hospital, Department of Medicine Nilsson, Anna; Sahlgrenska University Hospital, Department of Haematology Olsson, Anna; Sahlgrenska University Hospital, Department of Haematology Wallvik, Jonas; Sundsvall Hospital, Department of Haematology

1 2 3	
4 5 6	
7 8 9	0
1 1 1	0 1 2 3
1 1 1	4 5 6
1 1 1 2	7 8 9 0
2 2 2 2	1 2 3
2345678911111111122222222223333333333333333	4 5 6 7
2 2 2 3	, 8 9 0
3 3 3	1 2 3
333	4 5 6 7
3 4	9 0
4 4 4 4	2 3
4 4 4	5 6 7
4 4 5 5	9 0
5 5 5 5	2 3
5 5	5

	Haematology Jacobsen, Sten; Lund University Hospital, Hematopoietic Stem Cell Laboratory and Department of Hematology Porwit, Anna; Karolinska University Hospital Solna, Department of Pathology Hellström-Lindberg, Eva; Karolinska Institutet, Karolinska University Hospital Huddinge, Department of Medicine, Centre for Experimental Haematology
Key Words:	MYELODYSPLASTIC SYNDROME, AZACYTIDINE, CLINICAL STUDIES, MAINTENANCE THERAPY, DNA METHYLATION



Maintenance treatment with azacytidine for patients with high-risk myelodysplastic syndromes (MDS) or acute myeloid leukaemia following MDS in complete remission after induction chemotherapy

Michael Grövdal,¹ Mohsen Karimi,¹ Rasheed Khan,¹ Anni Aggerholm,² Petar Antunovic,³ Jan Astermark,⁴ Per Bernell,⁵ Lena-Maria Engström,⁶ Lars Kjeldsen,⁷ Olle Linder,⁸ Lars Nilsson,⁹ Anna Olsson,¹⁰ Mette Skov Holm,² Jon Magnus Tangen,¹¹ Jonas Wallvik,¹² Gunnar Öberg,¹³ Peter Hokland,² Sten Eirik Jacobsen,⁹ Anna Porwit¹⁴ and Eva Hellström-Lindberg,¹

¹Division of Haematology, Department of Medicine, **Centre for Experimental Haematology**, Karolinska Institutet, Karolinska University Hospital Huddinge, Stockholm, Sweden, ²Department of Haematology, Aarhus University Hospital, Aarhus, Denmark, ³Department of Haematology, Linköping University Hospital, Linköping, Sweden, ⁴Department for Haematology and Coagulation Disorders, Malmö University Hospital, Malmö, Sweden of Haematology, ⁵Karolinska University Hospital Solna, Stockholm, Sweden, ⁶Department of Haematology, University of Norrland, Umeå, Sweden, ⁷Department of Haematology, Rigshospitalet, København, Denmark, ⁸Department of Medicine, Örebro University Hospital, Örebro, Sweden, ⁹Hematopoietic Stem Cell Laboratory and Department of Hematology, Lund University Hospital, Lund, Sweden, ¹⁰Department of Haematology, Sahlgrenska University Hospital, Göteborg, Sweden, ¹¹Department of Haematology, Ullevål University Hospital, Oslo, Norway, ¹²Department of Medicine, Sundsvall Hospital, Sundsvall, Sweden, ¹³Department of Haematology, Academic Hospital, Uppsala, Sweden, ¹⁴Department of Pathology, Karolinska University Hospital Solna, Stockholm, Sweden

Running head: Azacytidine maintenance in high-risk MDS

Key words: Myelodysplastic syndrome, Azacytidine, Clinical Studies, Maintenance therapy, DNA-methylation,

British Journal of Haematology

Corresponding author: Michael Grövdal. Division of Haematology, Department of Medicine, Karolinska Institutet, Karolinska University Hospital Huddinge, 141 86 Stockholm, Sweden. Telephone: +46 8 616 3502. E-mail: <u>michael.grovdal@ki.se</u>

Funding: This work was supported by the Nordic Cancer Union, the Swedish Cancer Society, **the Cancer Society in Stockholm**, and by an unrestricted grant from Pharmion 2004-2006 encompassing free drug and a limited support for GCP-costs

Disclosures: EHL, JMT and LK have been speaking at Celgene-supported educational events in the Nordic countries. EHL has been part of the advisory board for MDS 001 (azacytidine) and MDS 004 (lenalidomide).

Summary

This prospective phase II study is the first to assess feasibility and efficacy of maintenance 5-azacytidine for older patients with high-risk MDS, CMML and MDS-AML syndromes in complete remission (CR) after induction chemotherapy. Sixty patients were enrolled and treated by standard induction chemotherapy. Patients that reached CR started maintenance therapy with subcutaneous azacytidine. 5/28 days until relapse. Promoter-methylation status of CDKN2B (P15), e-cadherin and hypermethylated in cancer 1 was examined pre-induction, in CR and 6, 12 and 24 months post CR. Twenty-four (40%) patients achieved complete remission after induction chemotherapy and 23 started maintenance treatment with azacytidine. Median CR duration was 13.5 months, >24 months in 17% of the patients, and 18-30.5 months in the four patients with trisomy 8. CR duration was not associated with *P15* methylation status or karyotype. Median overall survival was 20 months. Hypermethylation of *E-cadherin* was significantly associated with low CR rate, early relapse, and short OS (P=0.003). 5-azacytidine treatment in a dose of 60 mg/m² was well tolerated. Grade III-IV thrombocytopenia and neutropenia occurred after 9.5 and 30% of the cycles, respectively, while hemoglobin levels increased during treatment. 5-azacytidine treatment is safe, feasible and may be of benefit in a subset of patients.

Introduction

Until recently, standard treatment in the Nordic countries for patients with high-risk myelodysplastic syndromes (MDS) or acute myeloid leukaemia (AML) following MDS (MDS-AML) not eligible for stem cell transplantation has been standard induction chemotherapy or only supportive care including palliative chemotherapy. Several studies show that around 50% (41-56%) of such patients reach a complete remission (CR). However, CR durations are short with almost no long-term survivors (Hast et al, 2003; Ganser et al, 2000; Kantarjian et al, 2006; Hofmann et al, 2007; Wattel et al, 1997) and standard consolidation chemotherapy is not associated with prolonged CR (Hast et al. 2003; Ganser et al. 2000; Hofmann et al. 2007; Wattel et al, 1997; Kantarjian et al, 2006). The dismal prognosis for these patients lead to the search for other treatment regimens, where demethylating therapy is the most promising. In 2002, a randomized study comparing 5-azacytidine (azacytidine) to best supportive care only, reported prolonged time to the composite endpoint leukemic transformation or death in the azacytidine treated group (Silverman et al, 2002). Also decitabine, another hypomethylating agent, had showed to be effective in MDS (Lübbert et al, 2001). This led us to hypothesize that maintenance treatment with azacytidine for patients in CR after induction chemotherapy might prolong time to relapse and survival. Azacytidine was initially used in high-doses for the treatment of patients with AML but was abandoned in favour for other agents due to severe gastrointestinal side effects and prolonged myelotoxicity (O'Dwyer & Maslak, 2008). In lower doses, however, azacytidine was later shown to cause DNA demethylation by irreversible inhibition of DNA methyl transferases (Singal & Grinder, 2009; Esteller, 2008; Grønbaek et al, 2008). The exact mechanisms of action in vivo are not known but it is clear that it can cause hypomethylation and re-expression of

previously silenced genes as well as induction of apoptosis **and immunomodulation** (Guo *et al*, 2006; Berg *et al*, 2007; Schmeltz *et al*, 2005; Khan *et al*, 2008; **Sánchez-Abarca** *et al***, 2010**). Genes previously known to be frequently methylated in MDS include *CDKN2B* (*P15*), *E-cadherin* (*CDH*) and *Hypermethylated in Cancer 1* (*HIC*). Promoter-methylation of these genes has also been reported to be associated with poor prognosis and leukemic transformation of MDS (Aggerholm *et al*, 2006; Tien *et al*, 2001; Christiansen *et al*, 2003). We recently reported a strong association between promoter-methylation of *CDH* or of more than one of these three genes and failure to induction chemotherapy (Grövdal *et al*, 2007). This study was designed to assess the feasibility and efficacy of long-term maintenance treatment with azacytidine in a cohort of elderly patients with high-risk MDS and AML following MDS in CR after conventional induction chemotherapy.

Materials and methods

Patients

Patients with intermediate-2 or high-risk MDS, chronic myelomonocytic leukaemia (CMML) with >10% blasts or with AML following a documented MDS phase, according to the WHO 2001 classification and the International Prognostic Scoring System (IPSS), were eligible for the protocol (Jaffe *et al*, 2001; Greenberg *et al*, 1997). **Patients should not be eligible for AML-like induction chemotherapy followed by intensive consolidation courses and allogeneic stem cell transplantation**, but should be considered to tolerate at least one cycle of standard induction chemotherapy. The study was approved by ethical committees and medical product agencies of the participating Nordic countries. All patients gave their written

British Journal of Haematology

informed consent. Diagnosis as well as CR was verified at a central haematopathology unit (A.P.) according to established Nordic MDS Group routines (Grövdal *et al*, 2007; Jädersten *et al*, 2005). Bone marrow cellularity was assessed on bone marrow biopsies and the percentage of blasts in bone marrow smears was determined by counting 500 cells in representative areas. Cytogenetic analyzes were performed locally only at enrolment, using standard techniques and patients were classified according to the IPSS into good, intermediate, or poor prognostic subgroups (Greenberg *et al*, 1997). The criteria for CR were <5% bone marrow blasts, stable haemoglobin >100 g/L, WBC >1.5 x 10⁹ with normal differential count and platelets >100 x 10⁹. Persistent dysplastic features were allowed.

Study design

Induction chemotherapy consisted of a DA regimen: daunorubicin 60 mg/m² i.v. day 1 and 2 and cytarabine 150 mg/m² s.c. or i.v. days 1 to 7. Patients could have a second induction if they did not reach CR on the first one and were judged fit enough by treating physician. No standard consolidation courses were given. **Patients that did not reach CR were given best supportive care with or without low-dose palliative chemotherapy, such as hydroxyurea, according to the choice of the treating physician and were followed only for survival**. Patients achieving CR started maintenance therapy with azacytidine given subcutaneously 5/28 days starting within 28 days from CR. The protocol specifically aimed at administering this treatment on an outpatient basis, and prolonged grade 4 haematological adverse events therefore constituted a basis for dose reduction. Initial azacytidine dose was 75 mg/m² but, due to high incidence of grade 4 neutropenia in the first five enrolled patients, the protocol was amended and the starting dose was reduced to 60 mg/m². Further reduction of the azacytidine dose was also allowed to avoid severe

cytopenias and hospitalization. Patients continued on azacytidine until relapse or intolerable toxicity. Anti-emetic treatment was given prior to azacytidine. The primary endpoint was duration of CR and secondary endpoints were overall survival and the impact of pre-treatment parameters on prognosis.

Bone marrow sampling, DNA isolation and bisulfite modification

Bone marrow for methylation analyzes was sampled in standardized flasks and medium at enrolment, at CR and 6, 12 and 24 months after CR. Samples were shipped by DHL using a <24 h service to a central laboratory (Lund) for isolation of mononuclear cells (MNC) and CD34+ cells by density gradient technique by Lymphoprep (Axis Shield) and magnetic bead cell sorting (MACS, Miltenyi Biotech) as previously described (Grövdal *et al*, 2007; Nilsson *et al*, 2002). Cells were stored as pellet at -80 °C. Genomic DNA was isolated from MNC and CD34+ cells using the QiAmp DNA mini kit (Qiagen) according to manufacturer's guidelines. Bone marrow sampled in CR and during follow up frequently rendered an insufficient CD34+ yield, and methylation analyzes were therefore consequently performed on un-separated MNC, after first confirming a strong correlation between methylation results in CD34+ and MNC on the pre-induction samples (P<0.001) (Grövdal *et al*, 2007). The amount of DNA obtained was measured by spectrophotometer (ND-100, Nano-Drop Technologies). DNA was further modified by sodium bisulfite as previously described (Grövdal *et al*, 2007; Zeschnigk *et al*, 1997).

Polymerase chain reaction and denaturing gradient gel electrophoresis (DGGE)

PCR specific for bisulfite-reacted *P15*, *CDH* and *HIC* promoters was carried out as previously described (Aggerholm *et al*, 2006; Grövdal *et al*, 2007). **Primer**

sequences were: [CCGCC]-GTTAGGAGTTTTTTTTAGAAGTAATTT (P15: F), [GC3]-AAACTAAACTCAACTTCATTACCCTC (P15: R), [GC1]-GTTTATTTGGT-TGTAGTTA (CDH: F), CTCCAAAAACCCCATAACTAAC (CDH: R), [GC1]-ATAATT-AGAGTATTAAGGGTTTTTTGTG (HIC: F), [CGCCCGCCGC]-CACCCAAAAACT-TAAAATAAACACTACTA (HIC: R). Nucleotides in brackets represent GCclamps; [GC1] = CGCCCGCCGCGCCCCGCGCCCCGCCCCGCCCCG, [GC3] = CCCGCCGCCGCCGCCGCCGCCGCGCGCCCGCGCCCGTCCCGCC-**GCCCCCGCCCG.** PCR results were examined by electrophoresis in a 2.5% agarose gel. Fifteen to 20 µL of the PCR product was loaded onto a 10% denaturant/6% polyacrylamide -70% denaturant/12% polyacrylamide gradient gel. A fully methylated control (Sssl) and an unmethylated control (peripheral blood lymphocytes) were also loaded to each gel. Gels were run at 160 V for 270 minutes in 1 x Tris acetate/EDTA buffer kept at a constant temperature specific for each gene examined as previously described (Aggerholm et al, 2006; Grövdal et al, 2007). After the electrophoresis the gels were stained in Tris acetate/EDTA buffer containing ethidium bromide (2 mg/mL) and photographed under UV transillumination. Samples were scored as methylated when **bands were** present on the gels below the band corresponding to the unmethylated control (Aggerholm et al, 2006; Cremonesi et al, 1997).

Pyrosequencing

In a fraction of samples, the DGGE results for *P15* (N=29) and *CDH* (N=19) were compared in a blinded way to methylation analysis by pyrosequencing according to a previously described method with a congruence of 80% and 84% respectively (Tost *et al*, 2007; Geli *et al*, 2008). In this article, DGGE results are used when referring to methylation status data.

Statistics

Median haemoglobin levels during azacytidine maintenance were compared using Wilcoxon signed-rank test. Survival analyzes were performed by the Kaplan-Meyer method and compared using the Log Rank test. The size of the material did not allow for an extended multivariate analyzes to be performed. All statistical calculations were carried out using SPSS 15.0 software for windows (SPSS Inc, Chicago, IL, USA).

Results

Patients

Sixty patients (median age 68 years, range 54-83) were enrolled between February 2004 and June 2006, with the last follow up per 1'st of August, 2008, 24 months after the last CR was reported. Median follow up time was 20.0 months (4.5-52.3). Clinical CR data and methylation status before treatment has previously been reported (Grövdal *et al*, 2007). Of 24 patients (40%) who achieved CR, one underwent allogeneic stem cell transplantation and was taken off study, and 23 patients (median age 70 years, range 62-76) started maintenance therapy with azacytidine. Ten patients had MDS (RAEB-1 (1) or RAEB-2 (9)), 10 patients had AML, and 3 CMML-2 with >10% blasts. All AML patients had AML with multilineage dysplasia following a myelodysplastic syndrome according to WHO 2001. The median blast count in this group was 36% (range 20-98). RAEB patients fulfilled the criteria for IPSS intermediate-2 or high risk, and the CMML patients had myelodysplastic features with marrow blasts >10%. Twelve patients had a favourable prognostic karyotype (normal or isolated - Y, del(5g) or del(20g)) according to the IPSS, 6 had a poor prognostic

British Journal of Haematology

karyotype (≥3 aberrations or chromosome 7 abnormalities) and 5 had an intermediate karyotype (not fulfilling the criteria for good or poor) (Table I).

Feasibility and safety of azacytidine maintenance treatment

In case of grade 3 or 4 cytopenia or severe adverse event after azacytidine, the subsequent course was delayed with one week. However, according to protocol, a maintained interval between azacytidine courses was prioritized to dose and consequently, the protocol was amended when 3 of the first 5 patients treated with azacytidine 75 mg/m² developed grade 3 (n=1) or 4 (n=2) neutropenia. The new starting dose was 60 mg azacytidine per m² and further dose reductions were allowed to avoid severe cytopenias and hospitalization. The median dose of all administered azacytidine cycles was 56.3 mg/m²/day. The administered mean dose for each patient ranged between 30.0 and 63.3 mg/m². Median time between azacytidine courses was 29 days (20-53). The most frequent adverse event was grade 3 or 4 neutropenia which was reported at any time point in 43.5% and 30.5% patients respectively (Table II). However, only 22% (grade 3) and 8% (grade 4) of the total number of given courses were associated with neutropenia of this magnitude. Thrombocytopenia grade 3 occurred in 43.5% of the patients and after 9.5% of the courses. No grade 4 thrombocytopenia was reported. The majority of observed cytopenias preceded a relapse. Interestingly, haemoglobin levels rose during the first courses in 16/23 patients. Median haemoglobin level before azacytidine cycle 1 was 112 g/L (87-135) compared with 131 g/L (78-151) before cycle 4 (P=0.02) (Figure 1). Local rash at the injection site was common and was reported for 8 patients (35%). All adverse events are shown in Table 2. All but one patient stopped azacytidine because of relapse. This patient had a thrombosis in the optic artery and lost vision in this eve.

CR duration

Median CR duration for the 23 azacytidine treated patients was 13.5 months (2-49+). Four patients (17%), without any obvious unifying characteristics, had a CR lasting for more than 24 months and two patients were still in CR at the last follow up. The study was not powered for subgroup analysis, however, no obvious differences in CR duration or survival were observed according to age or pre-induction diagnosis or cytogenetic subgroup (Figure 2). Pre-treatment platelet count below median was the only factor associated with time to relapse (P=0.04). The actual maintenance dose given (above or below the median of 56.3 mg/m²) did not affect time to relapse. Four out of 5 patients with a karyotype including trisomy 8 reached CR. Interestingly, all 4 had CR durations well above the median for the whole maintenance group (18-30.5 months).

A secondary objective of this study was to evaluate whether promoter methylation of selected genes could predict response to azacytidine. Eight of 9 patients with promoter methylation of any of the analyzed genes became unmethylated in CR. *P15* methylation status prior to induction chemotherapy did not correspond to CR duration (P=0.82). Only 2 of 15 patients with pre-treatment *CDH* methylation reached CR and they both had very short CR durations (2.5 and 6 months, respectively). One patient developed *P15* methylation in the bone marrow sampled at 12 months after CR and relapsed shortly after, at 15.5 months. Figure 3 shows methylation status and relapse information for all patients.

Overall survival

Median overall survival (OS) for the 23 patients who received maintenance treatment was 20.0 months (4-52+) (Figure 4). Two year survival was 37.5% and 13.9%, in

British Journal of Haematology

patients achieving CR and not achieving CR, respectively. Median OS for the whole cohort (N=60) was 8.2 months (0.1-52.3+). *CDH* was methylated in 15 of 41 evaluable patients pre-treatment. Median OS for the 15 patients with pre-treatment *CDH* methylation was 4.0 months (0.1-15.7), compared to 9.3 months (0.5-43.5+) for patients without *CDH* methylation (P=0.003). *P15* methylation status did not affect survival (0.83). In addition a diagnosis of MDS-AML vs. RAEB or CMML, CD34+ expression and platelet counts below median were associated with shorter survival (P=0.04, 0.018 and 0.006 respectively) (Figure 4). There was no significant association between IPSS cytogenetic subgroup and survival.

Discussion

Prolonged therapy with azacytidine was recently shown to significantly improve survival and time to AML transformation in Intermediate-2 and high-risk MDS patients, according to a large randomized phase III trial, data that recently led to the approval of the drug by EMEA and that are likely to influence the European guidelines for treatment of this patient category (Fenaux *et al*, 2009). However, when the present study was designed in 2002 primary therapy for high-risk and transformed MDS in most parts of Northern Europe was moderate intensity induction chemotherapy **or supportive care only**. There is overwhelming evidence that disease recurs in the vast majority of patients achieving a complete remission unless allogeneic stem cell transplantation is performed. Most patients relapse within the first year, and conventional consolidation chemotherapy does not seem to prolong CR duration (Hast *el al*, 2003; Ganser *et al*, 2000; Hofmann *et al*, 2007; Wattel *et al*, 1997; Kantarjian *et al*, 2006). If there is a potential benefit from autologous stem cell transplantation, this is restricted to younger patients (De Witte *et al*, 1997; Wattel *et al*, 1999).

This trial is the first to evaluate safety and feasibility of maintenance treatment with azacytidine for patients with high-risk MDS and MDS-AML with CR after induction chemotherapy. The hypothesis was that azacytidine maintenance could prolong time to relapse and that the results might constitute a basis for a prospective randomized phase III trial.

Azacytidine treatment was well tolerated at a starting dose of 60 mg /m² 5/28 days, while higher doses, administered within 28 days from complete remission, induced high degree of grade 3 or 4 neutropenia. Also at the lower starting dose, the most common adverse event was grade 3 neutropenia and/or thrombocytopenia. Interestingly, azacytidine treatment rarely induced anaemia and in fact more than two thirds of the patients experienced an improvement in haemoglobin levels during the first months of treatment (Fig 1). As thrombocytopenia and neutropenia was common, this may not just reflect bone marrow recovery after induction chemotherapy but a positive direct effect of azacytidine on erythropoiesis. Other side effects were mild and manageable and only one patient stopped treatment due to side effects.

CR duration of 13.5 months and an overall survival of 20.0 months is not clearly different from previous studies on induction chemotherapy for patients with high-risk MDS or MDS-AML; however the majority of these studies included slightly younger patients (Hast *et al*, 2002; Ganser *et al*, 2000; Kantarjian *et al*, 2006; Hofmann *et al*, 2007; Wattel *et al*, 1997). Studies on older patients with AML by the SWOG and the HOVON groups, including both de novo cases and secondary AML have shown

British Journal of Haematology

overall survivals of 9 and 10 months respectively (Anderson *et al*, 2002; van der Holt *et al*, 2007). Clearly however, azacytidine did not seem to prevent relapses in the majority of the cases.

The question that remains to be investigated is whether relapse was delayed in a subset of patients. This present study was not powered to analyze subgroups. However, we conclude that there were no obvious differences in CR duration or overall survival with regard to age, diagnosis or cytogenetic subgroup, i.e. parameters that in other studies have appeared as prognostic markers for outcome (Ganser et al, 2000; Wattel et al, 1997; Kantarjian et al, 2006). One interesting subgroup was patients with a karyotype including trisomy 8. Four out of 5 patients with trisomy 8 reached CR and all 4 had CR durations well above the median for the whole maintenance group. This is interesting, since a better response to azacytidince also previously has been reported among patients with trisomy 8 (Raj & Mufti, 2006). Our findings might support a positive effect of azacytidine maintenance in this group but could also just reflect a more robust response to induction chemotherapy. There are previous reports in differences in the immune response between MDS patients with trisomy 8 and other MDS (Meers et al, 2007; Kawabata et al, 2006) and also on immunomodulatory effects of azacytidine (Sánchez-Abarca et al, 2010; Liu et al, 2009; Laurenzana et al, 2009). Whether immunomodulation, by demethylation or not, may explain the better overall outcome in this group remains to be studied. As previously reported by us, methylation of CDH or of multiple genes was associated with a poor response to induction chemotherapy (P=0.008) (Grövdal et al, 2007). Hence, very few patients with any other gene than P15 methylated actually reached CR and, accordingly, most of these patients never started azacytidine maintenance. In the

whole study population, *CDH* methylation was related to significantly shorter survival (P=0.003). The only two patients with *CDH* methylation subjected to azacytidine maintenance relapsed early, after 2.5 and 6 months. This strengthens our previous observation that *CDH* methylation is a marker for poor overall prognosis and poor response to induction chemotherapy. Interestingly, methylation status of *P15* was not correlated to CR duration or survival, which contradicts previous reports that *P15* methylation predicts a worse outcome (Aggerholm *et al*, 2006; Christiansen *et al*, 2003). Whether this reflects a selection bias, i.e. *P15* methylation will not add further to the risk profile in a cohort with a high proportion of other high-risk features and confirmed AML transformation, or if it may indicate that azacytidine maintenance actually counteracted the negative effect of *P15* methylation analysis of any of the three selected genes cannot be used to select patients for maintenance treatment with azacytidine.

Dose reduction of azacytidine was allowed to maintain dose interval and to avoid potentially hospitalizing adverse events in this elderly patient group. Hence, insufficient azacytidine doses might have influenced the overall results. **However**, **patients treated with doses above or below the median dose showed no differences with regard to any of the measured outcomes.**

In the majority of patients with pre-treatment methylation of any of the three genes, achievement of CR was associated with a disappearance of methylation. Together with the finding of a development of *P15* methylation preceding relapse in one patient this supports a previous report proposing promoter methylation as a marker for residual disease (van der Holt *et al*, 2007). It may be of future interest to clarify if this

is due to demethylation of cells belonging to the clone, or if the methylated clone is reduced below detection level by cytostatic treatment.

This study is the first to evaluate azacytidine as maintenance treatment after successful induction chemotherapy in high-risk and transformed MDS. We show that treatment is very well tolerated, with manageable neutropenia and thrombocytopenia, almost no inhibiting effect on erythropoiesis, and few other side effects. Although no overall positive effect on CR duration, the main efficacy criterion, was observed, certain subgroups of patients, such as those with trisomy 8, may be subject for further investigation. The strong negative effect of hypermethylation on outcome of chemotherapy is a finding that needs to be addressed in high-risk MDS, in particular in patients planned for allogeneic SCT.

References

Aggerholm, A., Holm, M.S., Guldberg, P., Olesen, L.H. & Hokland P. (2006) Promoter hypermethylation of P15ink4b, HIC1, CDH1 and ER is frequent in myelodysplastic syndrome and predicts poor prognosis in early stage patients. *European Journal of Haematology*, **76**, 23-32.

Agrawal, S., Unterberg, M., Koschmieder, S., zur Stadt, U., Brunnberg, U., Verbeek, W., Büchner, T., Berdel, W.E., Serve, H., Müller-Tidow, C. (2007) DNA methylation of tumor suppressor genes in clinical remission predicts relapse risk in acute myeloid leukemia. *Cancer Research*, **67**, 1370-1377.

Anderson, J.E., Kopecky, K.J., Willman, C.L., Head, D., O'Donnell, M.R., Luthardt, F.W., Norwood, T.H., Chen, I.M., Balcerzak, S.P., Johnson, D.B. & Appelbaum, F.R. (2002) Outcome after induction chemotherapy for older patients with acute myeloid leukemia is not improved with mitoxantrone and etoposide compared to cytarabine and daunorubicin: a Southwest Oncology Group study. *Blood*, **12**, 3869-3876.

Berg, T., Guo,Y., Abdelkarim, M., Fliegauf, M. & Lübbert, M. (2007) Reversal of p15/INK4b hypermethylation in AML1/ETO-positive and –negative myeloid leukemia cell lines. *Leukemia Research*, **31**, 497-506.

Christiansen, D.H., Andersen, M.K.& Pedersen-Bjergaard, J. (2003) Methylation of p15ink4b is common, is associated with deletion of genes on chromosome arm 7q and predicts a poor prognosis in therapy-related myelodysplasia and acute myeloid leukemia. *Leukemia*, **17**, 1813-1819.

Cremonesi, L., Firpo, S., Ferrari, M., Righetti, P.G. & Gelfi, C. (1997) Double-gradient DGGE for optimized detection of DNA point mutations. Biotechniques, **22**, 326-330.

Daskalakis, M., Nguyen, T.T., Nguyen, C., Guldberg, P., Köhler, G., Wijermans, P., Jones, P.A. & Lübbert, M. (2002) Demethylation of a hypermethylated p15/INK4b gene in patients with myelodysplastic syndrome by 5-aza-2'-deoxycytidine (decitabine) treatment. *Blood*, **100**, 2957-2964.

De Witte, T., Van Biezen, A., Hermans, J., Labopin, M., Runde, V., Or, R., Meloni, G., Mauri, S.B., Carella, A., Apperley, J., Gratwohl, A. & Laporte, J.P. (1997) Autologous bone marrow transplantation for patients with myelodysplastic syndrome (MDS) or acute myeloid leukemia following MDS. *Blood*, **90**, 3853-3857.

Esteller M. (2008) Epigenetics in cancer. *New England Journal of Medicine*, **358**, 1148-1159.

Fenaux, P., Mufti, G.J., Hellström-Lindberg, E., Santini, V., Finelli, C., Giagounidis, A., Schoch, R., Gattermann, N., Sanz, G., List, A., Gore, S.D., Seymour, J.F., Bennett, J.M., Byrd, J., Backstrom, J., Zimmerman, L., McKenzie, D., Beach, C. & Silverman, L.R. (2009) Efficacy of azacytidine compared with that of conventional care regimens in the treatment of higher-risk myelodysplastic syndromes: a randomized, open-label phase III study. *Lancet Oncology*, **3**. 223-232.

Ganser, A., Heil, G., Seipelt, G., Hofmann, W., Fischer, J.T., Langer, W., Brockhaus, W., Ittel, T.H., Brack, N., Fuhr, H.G., Knuth, P., Höffken, K., Bergmann, L. & Hoelzer, D. (2000) Intensive chemotherapy with idarubicine, ara-C, etoposide and m-AMSA followed by immunotherapy with interleukin-2 for myelodysplastic syndromes and high-risk acute myeloid leukemia (AML). *Annals of Hematology*, **79**, 30-35.

Geli, J., Kiss, N., Karimi, M., Lee, J., Bäckdahl, M., Ekström, T.J. & Larsson, C. (2008) Global and regional CpG methylation in pheochromocytomas and abdominal paragangliomas: Association to malignant behavior. *Clinical Cancer Research*, **9**, 2551-2559.

Greenberg, P., Cox, C., LeBeau, M.M., Fenaux, P., Morel, P., Sanz, G., Sanz, M., Vallespi, T., Hamblin, T., Oscier, D., Ohyashiki, K., Toyama, K., Aul, C., Mufti, G. & Bennet, J. (1997) International scoring system for evaluating the prognosis in myelodysplastic syndromes. *Blood*, **89**, 2079-2088.

Grønbaek, K., Treppendahl, M., Asmar, F. & Guldberg, P. (2008) Epigenetic changes in cancer as potential targets for profylaxis and maintenance therapy. *Basic & Clinical Pharmacolocy and Toxicology*, **103**, 389-396.

Grövdal, M., Khan, R., Aggerholm, A., Antunovic, P., Astermark, J., Bernell, P., Engström, L.M., Kjeldsen, L., Linder, O., Nilsson, L., Olsson, A., Wallvik, J., Tangen, J.M., Öberg, G., Jacobsen, L.E., Hokland, P., Porwit, A. & Hellström-Lindberg, E. (2007) Negative effect of DNA hypermethylation on the outcome of intensive chemotherapy in older patients with high-risk myelodysplastic syndromes and acute myeloid leukemia following myelodysplastic syndrome. *Clinical Cancer Research*, **13**, 7107-7112.

Guo, Y., Engelhardt, M., Wider, D., Abdelkarim ,M. & Lübbert, M. (2006) Effects of 5aza-2'-deoxycytidine on proliferation, differentiation and p15/INK4b regulation of human hematopoietic progenitor cells. *Leukemia*, **20**, 115-121.

British Journal of Haematology

Hast, R., Hellström-Lindberg, E., Ohm, L., Björkholm, M., Celsing, F., Dahl, I.M., Dybedal, I., Gahrton, G., Lindberg, G., Lerner, R., Linder, O., Löfvenberg, E., Nilsson-Ehle, H., Paul, C., Samuelsson, J., Tangen, J.M., Tidefelt, U., Turesson, I., Wahlin, A., Winquist, I., Öberg, G. & Bernell, P. (2003) No benefit from adding GM-CSF to induction chemotherapy regimens in transforming myelodysplastic syndromes: better outcome in patients with less proliferative disease. *Leukemia*, **17**, 1827-1833.

Hofmann, W.K., Heil, G., Zander, C., Wiebe, S., Ottmann, O.G., Bergmann, L.,
Hoeffken, K., Fischer, J.T., Knuth, A., Kolbe, K., Schmoll, H.J., Langer, W.,
Westerhausen, M., Koebel, C.B., Hoelzer, D. & Ganser, A. (2007) Intensive
chemotherapy with idarubicine, cytarabine, etoposide and G-CSF priming in patients
with advanced myelodysplastic syndrome and high-risk acute myeloid leukemia. *Annals of Hematology*, 83, 498-503.

Jaffe, E.S., Harris, N.L., Stein, H. & Vardiman, J.W., editors. (2001) World Health Organization classification of tumours. Pathology and genetics of tumours of haematopoitetic and lymphoid tissues. Lyon, IARC Press.

Jädersten, M., Montgomery, S.M., Dybedal, I., Porwit-MacDonald, A. & Hellström-Lindberg, E. (2005) Long-term outcome of treatment of anemia in MDS with erythropoietin and G-CSF. *Blood*, **106**, 803-811.

Kantarjian, H., Beran, M., Cortes, J., O'Brien, S., Giles, F., Pierce, S., Shan, J., Plunkett, W., Keating, M. & Estey, E. (2006) Long-term follow-up results of the combination of topotecan and cytarabine and other intensive chemotherapy regimens in myelodysplastic syndrome. *Cancer*, **106**, 1099-1109. Kantarjian, H., O'Brien, S., Cortes, J., Giles, F., Faderl, S., Jabbour, E., Garcia-Manero, G., Wierda, W., Pierce, S., Shan, J. & Estey, E. (2006) Results of intensive chemotherapy in 998 patients age 65 years or older with acute myeloid leukemia or high-risk myelodysplastic syndrome. *Cancer*, **106**, 1090-1098.

Kawabata, H., Sawaki, T., Kawanami, T., Shomovama, K., Karasawa, H., Fukushima, T., Masaki, Y., Ogawa, N., Hirose, Y., Ozaki K., Shimanaka, K., Takase S., Ueno, H. & Umehara, H. (2006) Myelodysplastic syndrome with inflammatory intestinal ulcers: significance of trisomy 8. *Internal Medicine*, **45**, 1309-1314.

Khan, R., Schmidt-Mende, J., Karimi, M., Gogvadze, V., Hassan, M., Ekström T.J., Zhivotovsky, B. & Hellström-Lindberg, E. (2008) Hypomethylation and apoptosis in 5azacytidine-treated myeloid cells. *Experimental Hematology*, **36**, 149-157.

Liu, Y., Kuick, R., Hanash, S. & Richardson, B. (2009) DNA methylation inhibition increases T cell KIR expression through effects on both promoter methylation and transcription factors. *Clinical Immunology*, 130, 213-224.

Laurenzana, A., Petruccelli, L.A., Pettersson, F., Figueroa, M.E., Melnick, A., Baldwin, A.S., Paoletti, F. & Miller, W.H. Jr. (2009) Inhibition of DNA methyltransferase activates tumor necrosis factor alpha-induced monocytic differentiation in acute myeloid leukemia cells. *Cancer Research*, 69, 55-64.

Lübbert, M., Wijermans, P., Kunzmann, R., Verhoef, G., Bosly, A., Ravoet, C., Andre, M & Ferrant, A. (2001). Cytogenetic responses in high-risk myelodysplastic syndrome following low-dose treatment with the DNAmethylation inhibitor 5-aza-2'-deoxycytidine. *British Journal of Haematology,* 114, 349-357.

Meers, S., Kasran, A., Boon, L., Lemmens, J., Ravoet, C., Boogaerts M., Verhoef, G., Verfaillie, C. & Delforge, M. (2007) Monocytes are activated in patients with myelodysplastic syndromes and can contribute to bone marrow failure through CD40-CD40L interactions with T helper cells. *Leukemia*, 21, 2411-2419.

Nilsson, L., Åstrand-Grundström, I., Andersson, K., Arvidsson, I., Hokland, P., Bryder, D., Kjeldsen, L., Johansson, B., Hellström-Lindberg, E., Hast, R. & Jacobsen, L.E., (2002) Involvement and functional impairment of the CD34+ CD38- hematopoietic stem cell pool in myelodysplastic syndromes with trisomy 8. *Blood*, **100**, 259-267.

O'Dwyer, K & Maslak, P. (2008) Azacitidine and the beginnings of therapeutic epigenetic modulation. *Expert Opinion on Pharmacotherapy*, **9**, 1981-1986.

Raj, K. & Mufti, G.J. (2006) Azacytidine (Vidaza®) in the treatment of myelodysplastic syndromes. *Therapeutics and Clinical Risk Managagment*, **2**, 377-388.

Sánchez-Abarca, L.I., Gutierrez-Cosio, S., Santamaría, C., Caballero-Velazquez, T., Blanco, B., Herrero-Sánchez, C., García, J.L., Carrancio, S., Hernández-Campo, P., González, F.J., Flores, T., Ciudad, L., Ballestar, E., Del Cañizo, C., San Miguel, J.F. & Pérez-Simon, J.A. (2010) Immunomodulatory effect of 5azacytidine (5-azaC): potential role in the transplantation setting. *Blood,* 115, 107-121.

Schmeltz, K., Sattler, N., Wagner, M., Lübbert, M., Dörken, B. & Tamm, I. (2005) Induction of gene expression by 5-aza-2'-deoxycytidine in acute myeloid leukemia (AML) and myelodysplastic syndrome (MDS) byt not epithelial cells by DNAmethylation-dependent and –independent mechanisms. *Leukemia*, **19**, 103-111. Silverman, L.R., Demakos, E.P., Peterson, B.L., Kornblith, A.B., Holland, J.C., Odchimar-Reissig, R., Stone, R.M., Nelson, D., Powell, B.L., De Castro, C.M., Ellerton, J., Larson, R.A., Schiffer, C.A. & Holland, J.F. (2002) Randomized controlled trial of azacitidine in patients with the myelodysplastic syndrome: a study of the cancer and leukemia group B. *Journal of Clinical Oncology*, **20**, 2429-2440.

Singal R & Ginder GD. (1999) DNA methylation. Blood, 93, 4059-4070.

Tien, H.F., Tang, J.L., Tsay, W., Liu, M.C., Lee, F.Y., Wang, C.H., Chen, Y.C. & Shen, M.C. (2001) Methylation of the p15ink4b gene in myelodysplastic syndrome: it can be detected early at diagnosis or during progression and is highly associated with leukaemic transformation. *British Journal of Haematology*, **112**, 148-154.

Tost, J. & Gut, I.G. (2007) DNA methylation analysis by pyrosequencing. *Nature Protocols*, **9**, 2265-2275.

van der Holt, B., Breems, D.A., Berna Beverloo, H., van den Berg, E., Burnett, A.K., Sonneveld, P. & Löwenberg, B. (2007) Various distinctive cytogenetic abnormalities in patients with acute myeloid leukemia aged 60 years and older express adverse prognostic value: results from a prospective clinical trial. *British Journal of Haematology*, **1**, 96-105

Wattel, E., De Botton, S., Laï, J.L., Preudhomme C., Lepelley P, Bauters, F. & Fenaux, P. (1997) Long-term follow-up of de novo myelodysplastic syndromes treated with intensive chemotherapy: incidence of long-term survivors and outcome of partial responders. *British Journal of Haematology*, **98**, 983-991.

Wattel, E., Solary, E., Leleu, X., Dreyfus, F., Brion, A., Jouet, J.P., Hoang-Ngoc, L.,
Maloisel, F., Guerci, A., Rochant, H., Gratecos, N., Casassus, P., Janvier, M., Brice,
P., Lepelley, P. & Fenaux, P. (1999) A prospective study of autologous bone marrow
or peripheral blood stem cell transplantation after intensive chemotherapy in
myelodysplastic syndromes. *Leukemia*, **13**, 524-529.

Zeschnigk, M., Lich, C., Buiting, K., Doerfler, W. & Horsthemke, B. (1997) A singletube PCR-test for the diagnosis of Angelman and Prader-Willi syndrome based on allelic methylation differences at the SNRPN locus. European Journal of Human Genetics, 5, 94-98.

Legends to figures

Figure 1. Peripheral blood counts during first 6 courses of azacytidine. Samples were taken immediately prior to the start of azacytidine. (A),(C),(E) Each line represents one patient during 6 cycles or until relapse. (B),(D),(E) Box plots. Boxes show median values and 25-75 percentile, whiskers represent max and min values, circles represent outliners (values between 1.5 and 3 box lengths from either end of the box) and asterisks represent extremes (values more than 3 box lengths from either end of the box). (A),(B) Haemoglobin (g/L) (C),(D) Platelets ($10^9/L$) (E),(F) Neutrophils ($10^9/L$)

Figure 2. CR duration. (A) All 23 patients on azacytidine maintenance (B) MDS-AML vs. RAEB and CMML (C) Cytogenetics IPSS Good vs. Intermediate and Poor (D) Platelet counts above median vs. below median at study start (E) **P15 (CDKN2B)** methylated vs. unmethylated at study start (F) Patients given doses of azacytidine above vs. below the mean dose

Figure 3. Methylation status. Methylation status for each of the 23 patients receiving azacytidine maintenance at the time points when bone marrow was sampled (study start, CR and 6, 12 and 24 months after CR) Patients with karyotype including trisomy 8 are marked with a asterisk.

Figure 4. Overall survival. (A) Overall survival for all 60 patients enrolled (B) MDS-AML vs. RAEB and CMML (C) Bone marrow CD34+ above vs. below the median level (D) Platelets at study start above vs. below the median (E) **P15 (CDKN2B)** methylated vs. unmethylated at study start (F) CDH methylated vs. unmethylated at study start

Table I. Pre-induction characteristics of 23 patients receiving maintenance therapy

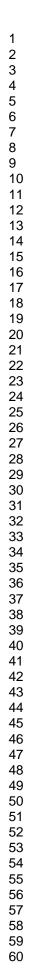
with azacytidine

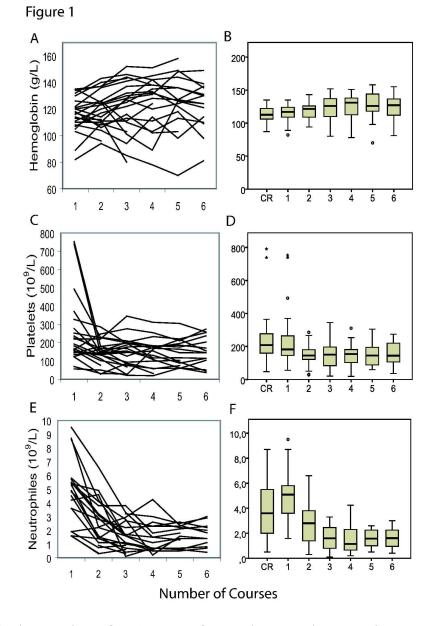
Values at start of study	N=23 (%)	Mean (SD)
Sex		
Male	12 (52)	
Female	11 (48)	
Age		70 (62-76) ¹
Diagnosis WHO		
MDS	10 (43.5)	
MDS-AML	10 (43.5)	
CMML	3 (13)	
Cytogenetic risk group IPSS ²		
Good	12 (52)	
Intermediate	5 (22)	
Poor	6 (26)	
Haemoglobin (g/L)		97 (10.1)
WBC (10 ⁹ /L)	P	6.5 (14.4)
Platelets (10 ⁹ /L)	0	121 (96.9)
S-LDH (µkat/L)		5.8 (5.5)
Bone marrow cellularity (%)		75 (21.4)
Bone marrow blasts (%)		26 (24.2)
Methylated P15 (CDKN2B)	9/19 (47.4)	2
Methylated CDH	2/16 (12.5)	~
Methylated HIC	2/16 (12.5)	

¹median and range ²International Prognostic Scoring System (Good: Normal, del(5)q, del(20)q Poor: Complex (≥3 anormalities) or chromosome 7 anomalities Intermediate: Not fulfilling criteria for good or poor

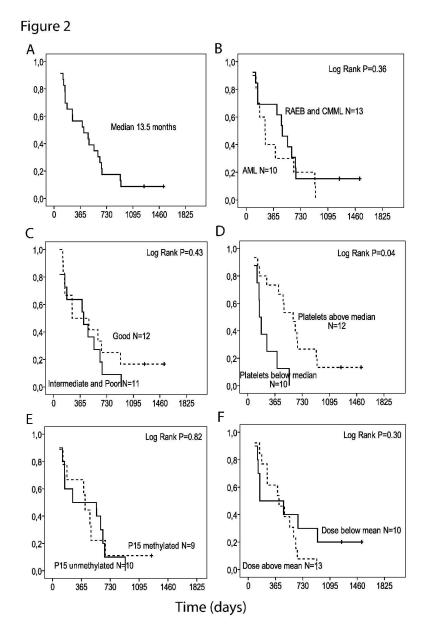
Table II. Adverse events

	Patients N=23 (%)	Courses N=281 (%)		
Rash at injection site	8 (35)			
Myelosuppression ¹				
Thrombocytopenia				
Grade 0-1	9 (39)	223 (79.5)		
Grade 2	4 (17.5)	31 (11)		
Grade 3	10 (43.5)	27 (9.5)		
Grade 4	0 (0)	0 (0)		
Neutropenia				
Grade 0-1	3 (13)	124 (44.5)		
Grade 2	3 (13)	72 (25.5)		
Grade 3	10 (43.5)	61 (22)		
Grade 4	7 (30.5)	23 (8)		
Infectious disease	5 (22)			
Fatigue	3 (13)			
Muscle pain	3 (13)			
Nausea	2 (9)			
Thrombosis in optic artery	1 (4)			
¹ According to the NCI common toxicity criteria 2.0				





Peripheral blood counts during first 6 courses of azacytidine. Samples were taken immediately prior to the start of azacytidine. (A),(C),(E) Each line represents one patient during 6 cycles or until relapse. (B),(D),(E) Box plots. Boxes show median values and 25-75 percentile, whiskers represent max and min values, circles represent outliners (values between 1.5 and 3 box lengths from either end of the box) and asterisks represent extremes (values more than 3 box lengths from either end of the box). (A),(B) Haemoglobin (g/L) (C),(D) Platelets (109/L) (E),(F) Neutrophils (109/L) 113x170mm (600 x 600 DPI)



CR duration. (A) All 23 patients on azacytidine maintenance (B) MDS-AML vs. RAEB and CMML (C) Cytogenetics IPSS Good vs. Intermediate and Poor (D) Platelet counts above median vs. below median at study start (E) P15 (CDKN2B) methylated vs. unmethylated at study start (F) Patients given doses of azacytidine above vs. below the mean dose 109x169mm (600 x 600 DPI)

Figure 3

CR

P15 CDH HIC

Unmethylated

Start

6 months 12 months

Missing sample

Methylation status. Methylation status for each of the 23 patients receiving azacytidine maintenance

at the time points when bone marrow was sampled (study start, CR and 6, 12 and 24 months after

CR) Patients with karyotype including trisomy 8 are marked with a asterisk.

126x173mm (600 x 600 DPI)

×

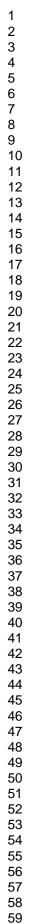
×

×

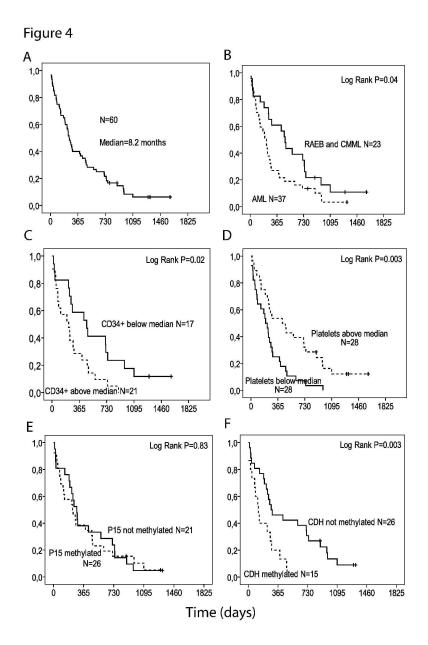
24 months

*

Trisomy 8







Overall survival. (A) Overall survival for all 60 patients enrolled (B) MDS-AML vs. RAEB and CMML (C) Bone marrow CD34+ above vs. below the median level (D) Platelets at study start above vs. below the median (E) P15 (CDKN2B) methylated vs. unmethylated at study start (F) CDH methylated vs. unmethylated at study start 112x172mm (600 x 600 DPI)