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A.A. Popov, S.V. Sobolev. SLIM3D: A tool for three-dimensional thermomechanical modeling of lithospheric deformation with elasto-visco-plastic rheology. Physics of the Earth and Planetary Interiors, 2008, 171 (1-4), pp.55. 10.1016/j.pepi.2008.03.007. hal-00532141

HAL Id: hal-00532141

https://hal.science/hal-00532141

Submitted on 4 Nov 2010

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Accepted Manuscript

Title: SLIM3D: A tool for three-dimensional

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PII: S0031-9201(08)00054-X

DOI: doi:10.1016/j.pepi.2008.03.007

Reference: PEPI 4915

To appear in: *Physics of the Earth and Planetary Interiors*

Received date: 26-11-2007 Revised date: 7-2-2008 Accepted date: 18-3-2008

Please cite this article as: Popov, A.A., Sobolev, S.V., SLIM3D: A tool for three-dimensional thermomechanical modeling of lithospheric deformation with elasto-visco-plastic rheology, *Physics of the Earth and Planetary Interiors* (2007), doi:10.1016/j.pepi.2008.03.007

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1	SLIM3D: A tool for three-dimensional thermomechanical modeling of lithospheric
2	deformation with elasto-visco-plastic rheology
3	
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5	
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8	
9	Abstract
10	
11	We describe a new technique for three-dimensional lithospheric-scale modeling of solid state
12	deformation including strain localization processes. The new code, SLIM3D, includes a
13	coupled thermo-mechanical treatment of deformation processes and allows for an elasto-
14	visco-plastic rheology with diffusion, dislocation and Peierls creep mechanisms and Mohr-
15	Coulomb plasticity. The code incorporates an Arbitrary Lagrangian Eulerian formulation with
16	free surface and Winkler boundary conditions. SLIM3D is developed and implemented using
17	the C++ object-oriented programming language. We describe aspects of physical models as
18	well as details of the numerical implementation, including the Newton-Raphson solver, the
19	stress update procedure, and the tangent operator. The applicability of the code to
20	lithospheric-scale modeling is demonstrated by a number of benchmark problems that
21	include: (i) the bending of an elastic plate, (ii) the sinking of a rigid cylinder into a viscous
22	fluid, (iii) the initiation of shear bands in the brittle crust, (iv) triaxial compression test, and
23	(v) lithospheric transpressional deformation. Finally, we discuss possible directions of further
24	development.
25	
26	Keywords: 3D numerical modeling; Geodynamics; Lithospheric deformation; Strain
27	localization; Mohr-Coulomb
28	
29	1. Introduction
30	
31	On geological time scales, lithospheric rocks deform by three fundamentally different
32	phenomenological mechanisms. These are: (i) elastic mechanism (reversible), which on
33	geological time-scales occur mostly during flexural deformation of the lithospheric plates or
34	bending of slabs in subduction zones: (ii) viscous mechanism, typical of the convective

35	mantle, or for lower crustal flow at plate boundaries; and (111) plastic mechanism, which
36	simulate brittle failure and manifests itself in the formation of narrow shear zones or faults.
37	
38	The quantitative proportion between each of these mechanisms depends on many parameters,
39	the most important of which are temperature and stress. Viscosity, for instance, varies by
40	several orders of magnitude with temperature change of a few hundred degrees. At low
41	temperatures, viscous deformation is prohibited which leads to a buildup of elastic stresses
42	during deformation, until the rock fails. This type of behavior characterizes brittle or elasto-
43	plastic deformation. At high temperatures, on the other hand, the rock may accommodate high
44	deformation rates through the viscous mechanism without excessive stress accumulation. This
45	is a typical manifestation of the ductile flow regime.
46	
47	The lithosphere is not only a region of large compositional heterogeneity, contrasting in this
48	respect with asthenosphere, but it is also a locus of large temperature variations. In this
49	context, it becomes necessary not only to resolve separate brittle and ductile flow regimes, but
50	also to adequately model the brittle-ductile transition. Apart from many other complexities,
51	this single requirement makes lithospheric-scale modeling a challenging task.
52	
53	This is further complicated by several factors, including: the presence of the Earth's free
54	surface, where erosion and sedimentation processes take place; the occurrence of
55	metamorphic reactions in rocks with changing temperature and pressure; the presence of
56	spontaneously evolving large-scale fault zones; by the inherent three-dimensional nature of
57	most lithospheric-scale problems, and many others. All these complexities pose severe
58	conceptual and implementation problems for lithospheric-scale modeling tools.
59	
60	In recent decades, the geodynamic modeling community has accumulated significant
61	experience in the development and application of numerical tools designed for modeling
62	various geodynamic processes. These studies include Christensen and Harder (1991),
63	Weinberg and Schmeling (1992), Bercovici (1993), Poliakov et al. (1993), Braun and
64	Sambridge (1994), Bunge and Baumgardner (1995), Fullsack (1995), Trompert and Hansen
65	(1996), Schmalholz et al. (2001), Babeyko et al. (2002), Tackley and Xie (2003), Moresi et al.
66	(2003), Sobolev et al. (2005), Muhlhaus and Regenauer-Lieb (2005), Petrunin and Sobolev
67	(2006), O'Neil et al. (2006), Gerya and Yuen (2007), Braun et al. (this issue), and Petrunin

68	and Sobolev (this issue), which have all contributed to the development of geodynamic
69	modeling techniques.
70	
71	In our research we are mostly interested in highly dynamic deformation processes occurring at
72	plate boundaries involving the combination of transform and compressional/extensional
73	deformation. Such processes are essentially 3D and operate on a temporal scale of a few to
74	several hundred million years and a spatial scale of hundreds to thousands of kilometers.
75	Specific examples include continental collision processes in Tibet and transform deformation
76	at the San Andreas Fault System and the Dead Sea Transform. Numerical techniques to
77	handle such processes must be 3D, should operate with an elasto-visco-plastic rheology, as
78	this is the most adequate rheology to model lithospheric deformation, and must be suitable to
79	model geological time-scale processes. Unfortunately, none of the tools available at present
80	can be directly applied to these problems. The most suitable 3D codes either still do not
81	include elastic deformation processes (Braun et al., this issue) or, being explicit codes
82	(Petrunin and Sobolev, 2006, this issue), require very small steps for time integration and
83	therefore are not efficient for modeling long-term deformation. The best candidate among
84	existing tools is the 3D version of visco-elasto-plastic code I2ELVIS, which is currently under
85	development (Gerya and Yuen, 2007). We note, however, that this code is based on a purely
86	Eulerian approach and uses fully staggered finite-difference discretization. Staggered schemes
87	are inherently stable (Shih et al., 1989), but the Eulerian approach substantially complicates
88	treatment of the free surface.
89	
90	With this in mind, we propose here a new code for lithospheric-scale modeling (SLIM3D). It
91	is an implicit Arbitrary Lagrangian Eulerian Particle-in-Cell Finite Element code with a free
92	surface, designed specifically for the thermo-mechanical modeling of deformation processes
93	involving an elasto-visco-plastic lithospheric rheology on geologic time scales. SLIM3D is
94	being designed to model deformation at plate boundaries (the focus of the recently formed
95	geodynamic modeling group in GFZ-Potsdam). It is intended to complement the capability of
96	the explicit code LAPEX3D already used in the same group for similar problems (Petrunin
97	and Sobolev, 2006, this issue). SLIM3D is developed and implemented using the C++ object-
98	oriented programming language.
99	
100	We emphasize that the potential advantage of SLIM3D over the other similar codes is in its
101	three-dimensional nature combined with the adequate description of lithospheric deformation

102 (rheology, strain localization, free surface etc.). To our knowledge, such a combination is 103 unique at present. At the same time, we note that the code is still limited in certain aspects, 104 which are already implemented in the other codes. These aspects are, for example, the 105 multigrid solver (e.g. Moresi et al., 2003) and the adaptive mesh (e.g. Braun et al., this issue). 106 Despite the present disadvantages, we suggest that SLIM3D has a good potential to be 107 substantially improved in the future versions. 108 109 This paper is not intended to demonstrate the application of the code to certain geodynamic 110 problems, but rather to introduce the method in general with several benchmarks and 111 examples. The structure of the paper is as follows. In the next section, we describe the basic 112 physical and rheological framework that we utilize for lithospheric scale modeling. In section 113 3 we outline implementation details of the code. In section 4, we test our tool with five 114 benchmark problems which demonstrate aspects of elastic, viscous and plastic deformation 115 mechanisms both separately and in combination. Finally, we briefly conclude our work and 116 describe the direction we are planning to further develop our technique. 117 118 119 2. Physical models 120 121 122 2.1 Conservation equations 123 124 Lithospheric-scale deformation can be effectively characterized as a quasi-static thermo-125 mechanically coupled deformation process. Assuming a continuous media approximation, we 126 can describe this process by the conservation equations of momentum: $\frac{\partial \sigma_{ij}}{\partial x_i} + \rho g \, \hat{z}_i = 0 ,$ 127 **(1)** 128 and thermal energy: $\frac{DU}{Dt} = -\frac{\partial q_i}{\partial x_i} + r.$ 129 (2) Here, x_i (i = 1, 2, 3) denote Cartesian coordinates, σ_{ij} is the Cauchy stress tensor, ρ is the 130

material density, g is the gravitational acceleration, \hat{z}_i is the unit vector of the vertical axis

pointing downward, U is internal energy, D/Dt is the material time derivative, q_i is the

131

133 heat flux vector, r is volumetric heat sources. In the above equations and in the rest of this 134 paper, we use indicial notation and apply Einstein summation convention over repeated 135 indices. Since there is no difference between covariant and contravariant components in the 136 Cartesian coordinate system, all tensor indices are written as subscripts. For convenience we 137 additionally explain notation, meaning, and dimension of basic quantities used in this paper in 138 Table 1. 139 140 [Table 1] 141 142 143 2.2 Deviatoric-volumetric decomposition 144 145 The thermo-rheological behavior of the rocks is more conveniently formulated in terms of the 146 deviatoric-volumetric strain (stress) decomposition (e.g. Bonet and Wood, 1997). For the 147 Cauchy stress tensor we may write: $\tau_{ij} = \sigma_{ij} + p\delta_{ij}, \quad p = -\frac{1}{3}\sigma_{ii},$ 148 (3) where τ_{ij} is the Cauchy stress deviator and p is hydrostatic pressure (positive in 149 150 compression). The deviatoric strain rate tensor and the rate of volume change, respectively, 151 may be written directly as: $\dot{\varepsilon}_{ij} = \frac{1}{2} \left(\partial v_i / \partial x_j + \partial v_j / \partial x_i \right) - \frac{1}{3} \left(\partial v_k / \partial x_k \right) \delta_{ij}, \quad \dot{\theta} = \partial v_i / \partial x_i ,$ 152 **(4)** 153 where v_i is the spatial velocity vector. We adopt the second (Euclidean) norm as the effective 154 scalar measure of deviatoric tensorial quantities. For an arbitrary tensor a_{ij} the second norm is 155 expressed as: $a_{II} = (a_{ij}a_{ij})^{1/2}$. 156 (5) 157 158 159 2.3 Continuity equation 160 161 We include the effects of elastic compressibility and thermo-elasticity. In this case, the 162 continuity equation can be conveniently coupled with the constitutive equation for hydrostatic

$$164 \qquad \frac{Dp}{Dt} = -K \left(\dot{\theta} - \alpha \frac{DT}{Dt} \right).$$

pressure:

163

(6)

- Here, K is the bulk modulus and α is the coefficient of thermal expansion. The presence of
- volumetric deformations evokes the following corrections of material density:

167
$$\rho = \rho_0 \left[1 - \alpha \left(T - T_0 \right) + \frac{p}{K} \right], \tag{7}$$

- where ρ_0 is the density at reference temperature and zero pressure and T_0 is the reference
- temperature. Note that Eq. (7) can be easily replaced by the equation of state applicable for
- high pressure and temperature for models involving deep portions of the mantle.

171

172

173 2.4 Additive decomposition and elasticity

174

- 175 Taking benefit from the smallness of *elastic* strains, we adopt conventional additive
- decomposition (e.g. Simo and Hughes, 2000) of total deviatoric strain rate. The elastic,
- viscous and plastic components, respectively, can be written as follows:

178
$$\dot{\varepsilon}_{ij} = \dot{\varepsilon}_{ij}^{el} + \dot{\varepsilon}_{ij}^{vs} + \dot{\varepsilon}_{ij}^{pl} = \frac{1}{2G} \hat{\tau}_{ij} + \frac{1}{2\eta_{eff}} \tau_{ij} + \dot{\gamma} \frac{\partial Q}{\partial \tau_{ij}}, \tag{8}$$

- where G is the elastic shear modulus, $\hat{\tau}_{ij}$ is the objective stress rate (e.g. Bonet and Wood,
- 180 1997), $\eta_{\it eff}$ is the effective creep viscosity, $\dot{\gamma}$ is the plastic multiplier, and Q is the plastic
- potential function (e.g. Simo & Hughes, 2000). Note that for numerical integration of elastic
- stresses over the finite time step, we use the incrementally objective scheme of Hughes and
- 183 Winget (1980). For more details see the next section of this paper.

184

185

186 2.5 Ductile creep

- We adopt a detailed description of the ductile deformation component. The total viscous
- strain rate is additively decomposed into three temperature- and stress-dependent creep
- mechanisms, namely diffusion creep, dislocation creep and Peierls creep (see e.g. Kameyama
- et al., 1999). The corresponding effective creep viscosity is given by:

192
$$\eta_{eff} = \frac{1}{2} \tau_{II} \left(\dot{\varepsilon}_L + \dot{\varepsilon}_N + \dot{\varepsilon}_P \right)^{-1}, \tag{9}$$

- where τ_{II} is effective differential stress (see Eq. 5), and $\dot{\varepsilon}_{L}$, $\dot{\varepsilon}_{N}$ and $\dot{\varepsilon}_{P}$ are the effective scalar
- strain rates due to the diffusion, dislocation and Peierls mechanisms, respectively. Specific
- expressions for each strain rate can be written as follows:

196
$$\dot{\varepsilon}_L = B_L \, \tau_{II} \exp\left(-\frac{H_L}{RT}\right),\tag{10}$$

197
$$\dot{\varepsilon}_N = B_N \left(\tau_H \right)^n \exp\left(-\frac{H_N}{RT} \right), \tag{11}$$

198
$$\dot{\varepsilon}_{P} = B_{P} \exp \left[-\frac{H_{P}}{RT} (1 - \beta)^{2} \right] \left(\frac{\tau_{II}}{\beta \tau_{P}} \right)^{s}, \tag{12}$$

199 where

200
$$s = 2\beta (1-\beta) \frac{H_p}{RT}$$
. (13)

- In the above equations, B_L , B_N , B_P and H_L , H_N , H_P denote the creep parameter and
- activation enthalpy, respectively, of each correspondent mechanism, R is the gas constant, n
- 203 is the power law exponent, τ_P is the Peierls stress, and $0 < \beta < 1$ is an adjustable
- approximation parameter. Note that in Eq. (12) we have adopted an asymptotic approximation
- of Peierls mechanism (see Kameyama et al. 1999), since the original equation is inappropriate
- 206 for stresses below $O(10^2)$ MPa.

207

- Here we assume that the creep parameter and activation enthalpy are constant for all creep
- 209 mechanisms. In the general case, the creep parameter can depend on grain size (Karato et al.,
- 210 2001), and activation enthalpy may be sensitive to pressure (Regenauer-Lieb and Yuen,
- 211 2004). If necessary, these complexities can be easily introduced in the presented numerical
- 212 formulation.

213

- In Fig. 1, we plot the effective logarithmic viscosity versus temperature and differential stress,
- assuming parameters for dry olivine from Kameyama et al. (1999). Each creep mechanism
- dominates over the others (produces a higher strain rate) in different temperature-stress
- domains. In Fig. 1, these domains are separated by black solid lines and labeled. Note that for
- olivine, most of effective viscosity reduction from extremely high (10^{27} Pas) to extremely low
- (10^{18} Pas) values occurs within a relatively narrow temperature range between 500 and 1000
- 220 C for all stress levels.

221

222 [Figure 1]

223

224 2.6 Brittle failure

225	
226	We describe brittle failure of rocks by the classical Mohr-Coulomb plasticity model (see e.g.
227	Vermeer, 1990). The expression for the Mohr-Coulomb yield surface can be written as:
228	$F = \frac{1}{2} \left(\sigma_{\text{max}} - \sigma_{\text{min}} \right) + \frac{1}{2} \left(\sigma_{\text{max}} + \sigma_{\text{min}} \right) \sin \varphi - c \cos \varphi \le 0, $ (14)
229	where $\sigma_{ ext{max}}$ and $\sigma_{ ext{min}}$ are the maximum and minimum principal stresses (negative in
230	compression), φ is the material angle of friction, c is cohesion, $\frac{1}{2}(\sigma_{\max} - \sigma_{\min})$ is the
231	maximum differential stress, and $\frac{1}{2}(\sigma_{\max} + \sigma_{\min})$ is the normal stress.
232	
233	In the principal stress space, the Mohr-Coulomb yield surface can be represented as a
234	hexagonal pyramid with a singular apex point in the tensile domain. Physically, this
235	singularity means that the Mohr-Coulomb yield surface is inappropriate to model tensile
236	failure of the rocks. A more adequate description in the context of lithospheric deformation
237	would require coupling with the continuity equation to account for large plastic dilatation.
238	Such sophisticated treatment of the tensile failure is not yet available. Therefore in this paper
239	we adopt a standard ad-hoc approach and approximate the Mohr-Coulomb yield surface in the
240	tensile domain with the Tresca criterion which is given by:
241	$F = \frac{1}{2} \left(\sigma_{\text{max}} - \sigma_{\text{min}} \right) - c \cos \varphi \le 0. $ (15)
242	The resulting composite yield surface is shown in Fig. 2.
243	
244	[Figure 2]
245	
246	Associative Mohr-Coulomb in 3D is essentially a multi-surface plasticity model which has
247	so-called corner regions (Simo et al., 1988) in both yield surface and flow potential.
248	Integration of such a model constitutes a particularly nontrivial task (Sloan and Booker, 1986;
249	Larsson and Runesson, 1996; Borja et al., 2003). Another issue is related to the associativity
250	of the flow rule, which significantly overestimates plastic dilatation of the rocks (e.g. Alejano
251	and Alonso, 2005). We resolve both these issues by adopting the purely deviatoric corner-free
252	Prandtl-Reuss flow rule (e.g. Zienkiewicz and Taylor, 2000), which is non-associative with
253	the Mohr-Coulomb yield surface. In this case the plastic potential function takes the
254	following simple form:
255	$Q = \tau_{II}. ag{16}$
256	The Prandtl-Reuss flow rule assumes complete plastic incompressibility (i.e. dilatation angle
257	is zero), which is a suitable approximation for rocks in the large strain regime. At the same

- time, the integration of the plasticity model becomes considerably simpler due to a lack of
- corners in the plastic potential.

260

- We approximate the degradation of the strength in faults and gouge zones by the strain
- softening model. The friction angle is assumed in the following product form:

$$263 \varphi = \varphi_0 D(\kappa), (17)$$

- where φ_0 is initial friction and $D(\kappa)$ is the function that controls degradation of the friction
- angle with progressive increase of the accumulated plastic strain. We assume $D(\kappa)$ in
- piecewise linear form. The accumulated plastic strain is given by:

$$\kappa = \int \left(\dot{\varepsilon}_{ij}^{pl} \dot{\varepsilon}_{ij}^{pl} \right)^{1/2} dt. \tag{18}$$

- We note that the adopted plasticity model with strain softening does not incorporate any
- length scale for the strain localization (e.g. Muhlhaus and Aifantis, 1991). Thus the theoretical
- thickness of the shear band is zero. In the numerical model the thickness of the shear band is
- 271 limited from below by the element size. Therefore in the context of an adaptively refined grid
- one must incorporate the length-scale or certain regularization (e.g. Belytschko and Tabbara,
- 273 1993) to prevent the element size from reducing to very small values. In this paper we do not
- 274 explicitly address this mesh-dependence issue, since at present we only consider uniform non-
- adaptive grids.

276

277

278 *2.7 Heat flow*

279

- At present, we neglect latent heat effects due to phase change and assume internal energy in
- 281 the form of linear function of temperature, i.e.:

$$282 U = C_p T, (19)$$

where C_p is specific heat.

284

We define the heat flux vector according to Fourier law as follows:

$$286 q_i = -\lambda \delta_{ij} \frac{\partial T}{\partial x_i}, (20)$$

where $\lambda \delta_{ij}$ is the isotropic thermal conductivity tensor.

289 The volumetric heat sources include radiogenic heat and heat produced by visco-plastic 290 deformation, i.e.: $r = \rho A + \chi \tau_{ii} (\dot{\varepsilon}_{ii}^{vs} + \dot{\varepsilon}_{ii}^{pl}),$ 291 (21)292 where A is radiogenic heat per unit mass and $0 \le \chi \le 1$ is the constant regulating degree of 293 thermo-mechanical feedback. This coupling constant is an ad-hoc approach that effectively 294 accounts for the processes which we do not include in our models, such as heat transport by 295 the fluids, etc. 296 297 298 2.8 Boundary conditions 299 300 The conservation equations (1) and (2) must be complemented with initial and boundary 301 conditions. This may include specified velocity, temperature, stress and heat flux. 302 Additionaly, in the context of lithospheric-scale modeling it becomes necessary to 303 approximate the boundary conditions at the base of the lithosphere. In this paper we use the 304 classical Winkler foundation (see e.g. Fig. 5 in Regenauer-Lieb, 2006), which assumes zero 305 viscous drag forces and takes into account buoyancy forces. The boundary stress tensor of the 306 Winkler foundation can be parameterized as follows: $\overline{\sigma}_{ij} = - \left[p_0 + \rho_{ext} g(z - z_0) \right] \delta_{ij},$ 307 (22)where p_0 is pressure at the reference surface, $\rho_{\rm ext}$ is the density of the external material, and 308 309 z and z_0 are the vertical coordinates of the bottom boundary and the reference surface, 310 respectively. Typically, we use the initial position of the bottom boundary as the reference 311 surface. The pressure at the reference surface is assigned as total weight divided by the depth 312 of the model. 313 314 Other non-standard boundary conditions include more complicated effects such as erosion on 315 the free surface and material in-flux and out-flux. These effects are considered in more detail 316 in the next section. 317 318 319 3. Numerical algorithms

322 3.1 Spatial discretization

323

- We build numerical models of lithospheric-scale deformation on the basis of the Finite
- 325 Element Method (e.g. Hughes, 1987; Zienkiewicz and Taylor, 2000; Belytschko et al., 2000).
- Following the Galerkin procedure (e.g. Belytschko et al., 2000), we transform the momentum
- 327 balance equation (1) into a corresponding nodal force residual:

328
$$f_{iI} = \int_{\Omega} \frac{\partial N_I}{\partial x_i} \sigma_{ij} d\Omega - \int_{\Omega} N_I \rho g \, \hat{z}_i \, d\Omega - \int_{\Gamma} N_I \, \overline{\sigma}_{ij} n_j d\Gamma = 0.$$
 (23)

329 Similarly, we approximate the energy balance equation (2) by a nodal power residual:

330
$$w_I = \int_{\Omega} N_I \, \rho \frac{DU}{Dt} d\Omega - \int_{\Omega} \frac{\partial N_I}{\partial x_i} q_i \, d\Omega - \int_{\Omega} N_I \, r \, d\Omega + \int_{\Gamma} N_I \, \overline{q}_i n_i \, d\Gamma = 0 \,.$$
 (24)

- Here N denotes the nodal shape function (Zienkiewicz and Taylor, 2000), I is the nodal
- index, $\overline{\sigma}_{ij}$ and \overline{q}_i are surface stress and surface heat flux, respectively, n_i is the outward unit
- normal, and Ω and Γ stand for the volume and surface of the domain.

334

- We employ hexahedral finite elements with linear interpolation functions (e.g. Zienkiewicz
- and Taylor, 2000) to approximate volume integrals in the above equations, and similar
- 337 quadrilateral elements to approximate surface integrals (see Fig. 3). Element integrals are
- expressed in terms of parametric coordinates and evaluated over the unit cube or square using
- numerical integration (e.g. Belytschko et al., 2000). This stage is directly followed by
- assembly of the global residual equations (23) and (24) from element contributions (e.g.
- 341 Hughes, 1987).

342

[Figure 3]

- In each element, the shape function derivatives with respect to global coordinates are
- 346 computed using standard coordinate transformation (summation over repeated nodal indices is
- 347 implied):

348
$$\frac{\partial N_I}{\partial x_i} = J_{ij}^{-1} \frac{\partial N_I}{\partial \xi_i}, \quad J_{ij} = \frac{\partial N_I}{\partial \xi_i} x_{jI}, \qquad (25)$$

- where ξ_i are the local coordinates and J_{ij} denotes Jacobian matrix. The unit outward normal
- vector is evaluated according to:

351
$$n_i = J^{-1}\hat{n}_i, \quad J = (\hat{n}_i\hat{n}_i)^{1/2},$$
 (26)

- where J stands for surface Jacobian and \hat{n}_i is the cross-product between two surface tangent
- vectors, which can be expressed as follows:

354
$$\hat{n}_i = \varepsilon_{ijk} t_j^{(1)} t_k^{(2)}, \quad t_i^{(a)} = \frac{\partial N_I}{\partial \xi_a} x_{iI}, \quad a = 1, 2.$$
 (27)

- Here $t_i^{(a)}$ are the surface tangent vectors and ε_{ijk} is the Levi-Civita permutation symbol. To
- ensure a positive determinant of the Jacobian matrix and outward orientation of the unit
- normal vector, we perform appropriate control over the numbering of nodes in the element.

358

359

360

3.2 Locking and Hourglass

361

- In nearly incompressible problems the finite element mesh is prone to locking, which
- manifests itself in severe underestimation of velocities/displacements (e.g. Belytschko et al.,
- 364 2000). Locking is cured either by suitable under-integration of stress terms (Malkus and
- Hughes, 1978) or by using higher interpolation order for displacements (velocities) than for
- the stresses (e.g. Zienkiewicz and Taylor, 2000). Both approaches are essentially equivalent.

367

- We suppress locking by evaluating constitutive equations only in one point per element
- 369 (Flanagan and Belytschko, 1981). This approach implies that strains (strain rates) and other
- 370 spatial gradients are evaluated using the following element-average derivatives of the shape
- 371 functions:

$$372 b_{iI} = \frac{1}{V_E} \int_{\Omega} \frac{\partial N_I}{\partial x_i} d\Omega . (28)$$

373 where V_E is the element volume. For element integrals, we use standard quadrature.

374

- 375 The hexahedral elements employed here violate the so-called LBB stability condition named
- after Ladyzhenskaya (1969), Babuska (1973) and Brezzi (1974), which leads to mesh artifacts
- known as "hourglass modes" (e.g. Flanagan and Belytschko, 1981) (see also Fig. 4a). Despite
- this, we use them together with careful monitoring of the stress and displacements fields,
- because the more stable quadratic element is computationally very expensive in 3D problems.
- With the same element resolution, quadratic interpolation requires roughly an order of
- magnitude more nodes (degrees of freedom) than the linear interpolation.

383	We have tested some of the anti-hourglass techniques available for hexahedral (quadrilateral)
384	and tetrahedral (triangular) elements (see e.g. Flanagan and Belytschko, 1981; Liu et al.,
385	1998; Bonet et al., 2001; Reese, 2003; Puso and Solberg, 2006). In certain problems, such as
386	the Rayleigh-Taylor instability with large abrupt viscosity variations (see Fig. 4), none of
387	stabilization technique we tested was able to prevent the hourglass modes. We have inferred
388	that the critical factor is the relatively high confining pressure compared to deviatoric stress in
389	the low viscosity domain.
390	
391	[Figure 4]
392	
393	The magnitude of the confining pressure can be reduced by replacing the material density
394	with the differential density $\Delta \rho = \rho - \rho_{ref}$. Here ρ_{ref} denotes the arbitrary chosen constant
395	reference density. With the differential density approach, the numerical solution produces the
396	dynamic pressure Δp . To evaluate the constitutive equations properly, it becomes necessary
397	to augment the dynamic pressure with a lithostatic component $\rho_{\it ref} g (z - z_{\it free})$. Here z and
398	$z_{\it free}$ denote the vertical coordinates of the integration point and the free surface, respectively.
399	The density of the external material must also be set to the differential value
400	$\Delta \rho_{\rm ext} = \rho_{\rm ext} - \rho_{\rm ref}$. Similarly, the Winkler condition must be applied at the Lagrangian free
401	surface with the differential density equal to negative reference density i.e. $\Delta \rho_{air} = -\rho_{ref}$.
402	
403	According to our experience, this simple rearrangement of the computational scheme is
404	sufficient to remove the hourglass modes even in the Rayleigh-Taylor instability problem
405	mentioned above. Figs. 4a and 4b show the mesh distortion during a typical time step for the
406	total and differential density formulations, respectively. The total density formulation exhibits
407	uncontrollable oscillation while differential density formulation behaves stably.
408	
409	We note, however, that despite the fact that the differential density technique can extend
410	stability margins in certain problems, there is no guarantee that it will work in other problems.
411	Therefore, we suggest that this technique should be used only in conjunction with careful
412	monitoring of the stress and displacements fields. Additionally, one can use spatial averaging
413	over the neighboring nodes (e.g. Fullsack, 1995; Braun et al., this issue) to enhance the
414	stability.

13

416	As an alternative to finite elements with bilinear interpolation for velocities and constant
417	pressure, fully staggered finite difference discretization can be used (Harlow and Welsh,
418	1965), which appear to be non-oscillatory (for discussion, see Shih et al., 1989). Successful
419	application of this discretization in geodynamic problems is presented in Gerya and Yuen
420	(2007). However this approach, despite stability, is restricted to pure Eulerian formulations. In
421	lithospheric scale modeling, we consider this restriction as unfavorable because it complicates
422	tracking of the free surface.
423	
424	
425	3.3 Time discretization and primary variables
426	
427	We use the backward Euler method as the primary time discretization algorithm for both the
428	momentum and energy balance equations. This approach is referred to as implicit and first
429	order accurate. The first order accuracy brings advantageous stability, which is missed by the
430	second order accurate trapezoidal rule (Ortiz and Popov, 1985). In the adopted context,
431	integration of all quantities becomes particularly simple, e.g. for velocities we can write:
432	$\Delta u_i = v_i \Delta t \;, \tag{29}$
433	where Δu_i is incremental displacement vector and $\Delta t = {}^{n+1}t - {}^nt$ is the time step. Here the left
434	superscript indicates the time step index. In the remainder of this paper we omit the index of
435	the current time step for notational clarity, e.g. we simply write: $\Delta t = t - {}^{n}t$.
436	
437	The simplicity of the integration scheme allows us to choose either velocities or incremental
438	displacements as primary kinematical variables, irrespective of the employed rheology or
439	kinematical formulation. Indeed, it is clear from the integration formula (29) that both
440	approaches are essentially equivalent. For convenience we prefer incremental displacements.
441	Note that all results presented below are readily extendable to the velocity formulation merely
442	by simple scaling.
443	
444	We do not separate pressure as an independent variable, since we use a compressible
445	formulation. However, in typical problems the near-incompressible behavior is recovered in
446	the asthenosphere domain. We treat this additional difficulty during the linear solution stage
447	by appropriate damping of the stiffness parameters (see section 3.8 for more details). For the
448	heat balance equation we use temperature as the primary variable.

449	
450	
451	3.4 Kinematical formulation and solution scheme
452	
453	We employ the Arbitrary Lagrangian-Eulerian kinematical formulation (Hirt et al., 1974) to
454	account for material advection. A typical calculation step is subdivided into three major
455	stages:
456	(i) In the first stage, we solve the discretized residual equations (23) and (24) by the Newton
457	Raphson iterative method (e.g. Belytschko et al., 2000). During iterations we
458	conveniently treat advection terms implicitly by means of the Updated Lagrangian
459	formulation (Bathe et al., 1975). The advantage is that Lagrangian treatment does not
460	limit the time step by the amount of rigid body motion, in contrast with the Eulerian
461	approach. In the Lagrangian context, only the amount of material straining poses an
462	actual kinematic limit for the time step.
463	(ii) In the second stage, we perform mesh adaptation (regridding) such that the new mesh fits
464	the free surface, the moving (stretching) calculation window and simple material
465	interfaces without overturns or self-intersections. The flexibility of the approach is that
466	regridding can be done either in each step or after a certain number of steps, which is
467	favorable in the case that advection is not the dominant type of nonlinearity in the system
468	(e.g. the onset of plastic localization). Regridding is also the easiest way to implement
469	boundary material fluxes (erosion, sedimentation, etc.).
470	(iii) In the third and final stage, we perform consistent remapping of all solution variables
471	onto the updated mesh. Our algorithm is based on the particle-in-cell approach which was
472	initially developed by Harlow and Welsh (1965) and subsequently has been widely used
473	in geodynamic applications (Moresi et al., 2003; Tackley and Xie, 2003; O'Neil et al.,
474	2006; Gerya and Yuen, 2007).
475	We explain each calculation stage in more detail in the following sections. The overall
476	computational flowchart is summarized in Table 2.
477	
478	[Table 2]
479	
480	3.5 Hughes-Winget scheme
481	

- 482 A constitutive model of advection dominated flow that includes memory effects (e.g.
- 483 Fullsack, 1995) should be objective, i.e. it should ensure conservation of tensor quantities
- 484 (e.g. Muhlhaus and Regenauer-Lieb, 2005). This can be formally achieved by using time-
- continuous (infinitesimal) objective stress rates (e.g. Bonet and Wood, 1997). However, since
- 486 our practical interest is implicit large-step integration of the flow, we use the Hughes-Winget
- scheme (Hughes-Winget 1980) to ensure objectivity in an incremental, rather than an
- 488 infinitesimal, sense.

489

- 490 The central point of the scheme is the following second order accurate approximation for an
- 491 incremental displacement gradient:

492
$$h_{ij} = \frac{\partial \Delta u_i}{\partial^{n+1/2} x_j}, \quad {}^{n+1/2} x_j = {}^n x_j + \frac{1}{2} \Delta u_j,$$
 (30)

- 493 where $^{n+1/2}x_j$ is the mid-point material configuration. In each element, we compute h_{ij} using
- the mid-point element-average derivatives of shape functions (see Eq. 28) as follows:

$$495 h_{ij} = \Delta u_{il}^{n+1/2} b_{il}. (31)$$

- We note that the mid-point scheme in Eq. (30) effectively eliminates the influence of rigid
- body motion on the strain components (Hughes and Winget, 1980). This property contrasts
- 498 with pure Eulerian formulation, which is restricted to differentiation only with respect to the
- 499 current configuration.

500

- Deviatoric and volumetric strain *increments* to be used in constitutive equations are
- computed, respectively, as follows:

503
$$\varepsilon_{ij} = \frac{1}{2}(h_{ij} + h_{ji}) - \frac{1}{3}h_{kk}\delta_{ij}, \quad \theta = h_{ii}$$
 (32)

504 Correspondent rate quantities are approximated by:

505
$$\dot{\varepsilon}_{ij} = \frac{\varepsilon_{ij}}{\Delta t}, \quad \dot{\theta} = \frac{\theta}{\Delta t}$$
 (33)

- According to the Hughes-Winget scheme, rotation of stress from the previous time step is
- 507 governed by the following orthogonal tensor (for more details see Hughes and Winget, 1980):

508
$$R_{ij} = \left(\delta_{ik} - \frac{1}{2}\omega_{ik}\right)^{-1} \left(\delta_{kj} + \frac{1}{2}\omega_{kj}\right), \quad \omega_{ij} = \frac{1}{2}\left(h_{ij} - h_{ji}\right).$$
 (34)

- The approximations (30) (34), despite their simplicity, produce stable and accurate results
- even for large strain and rotation increments (e.g. Rashid, 1993).

511

- 513 3.6 Incremental constitutive equations
- 514
- Incremental expansion of the continuity equation (6) gives the following updated pressure, if
- the reactional (phase transformation) volume changes are neglected:
- $517 p = {}^{n}p K\theta + K\alpha (T {}^{n}T). (35)$
- 518
- To update the deviatoric stress, we use a two-step predictor-corrector procedure (e.g. Simo
- and Hughes, 2000). In the first step, the plastic multiplier in equation (8) is assumed to be
- zero and the correspondent trial visco-elastic stress is evaluated. In the second step, the Mohr-
- 522 Coulomb yield surface is checked (Eq.14), and if violated, the trial stress is modified to
- remain on the yield surface. This gives rise to a nonzero plastic multiplier and corresponding
- 524 plastic strain increment.

- 526 Combining the Hughes-Winget scheme with the analytical integration of equation (8) over the
- 527 time step we obtain the following expression for trial deviatoric stress:

$$528 \tau_{ii}^{tr} = 2\eta_{CR}\dot{\varepsilon}_{ii} + \alpha_{CR}R_{ik}^{n}\tau_{kl}R_{il}, (36)$$

- where ${}^{n}\tau_{kl}$ is the Cauchy stress deviator from previous time step, R_{ij} is the incremental
- rotation tensor (see Eq. 34), and η_{CR} and α_{CR} are the effective viscosity and relaxation ratio
- of visco-elastic creep, respectively, given by:

532
$$\eta_{CR} = \eta_{eff} \left(1 - \alpha_{CR} \right), \quad \alpha_{CR} = \exp\left(-\frac{\Delta t}{t_M} \right), \quad t_M = \frac{\eta_{eff}}{G}.$$
 (37)

- 533 Here t_M stands for Maxwell time.
- 534
- Equation (36) shows that the magnitude of Maxwell time does not pose the upper limit for the
- time step (see also Bailey, 2006). Indeed, in typical calculations we choose time steps equal to
- tens of thousands years. In the asthenosphere, where temperature is high enough to
- 538 sufficiently decrease the viscosity, the following purely viscous limit is recovered:

539
$$\frac{\Delta t}{t_M} \gg 1, \quad \lim_{\alpha_{CR} \to 0} \tau_{ij}^{tr} = 2\eta_{eff} \dot{\varepsilon}_{ij}. \tag{38}$$

- In the upper crustal domain, on the other hand, the relatively low temperatures make the
- viscosity so large that Equation (36) degenerates into the corresponding elastic end-member:

$$542 \qquad \frac{\Delta t}{t_M} << 1, \quad \lim_{\alpha_{CR} \to 1} \tau_{ij}^{tr} = 2G\varepsilon_{ij} + R_{ik}^{\ \ n} \tau_{kl} R_{jl} . \tag{39}$$

543	However, due to the presence of the Monr-Coulomb stress limiter, most of deformation in the
544	upper crustal domain manifests itself in the form of plastic localization.
545	
546	Apart from temperature dependence, the effective viscosity depends also on stress, and this
547	introduces nonlinearity into the equation (36). This issue can be resolved, in principle, with
548	good stress estimation from the previous time step. However, we have concluded from our
549	experiments that this explicit approach severely restricts stable calculation steps due to stress
550	oscillations. The alternative is, therefore, implicit treatment of nonlinearity by solving the
551	nonlinear equation (36) for a trial deviatoric stress.
552	
553	The simplest fixed point iteration cannot be used to this end, since in our case it may produce
554	infinite loops without convergence. Hence, we have adopted the simple and reliable root-
555	solving algorithm FZERO (Shampine and Watts, 1970), which is based on a combination of
556	the bisection and secant methods.
557	
558	Prior to solution we rewrite the tensorial equation (36) in scalar residual form by taking the
559	difference between norms of its left- and right hand sides. The solution is achieved when the
560	residual is reduced to a sufficiently small relative tolerance. Typically, very few iterations
561	(around 5-7) are required by FZERO to converge.
562	
563	For numerical stability reasons, we remove stress dependence in the effective viscosity at
564	differential stress levels higher than $O(10^3)$ MPa, which is far higher than expected in typical
565	problems. Finally, in the numerical simulations we truncate effective viscosity to the
566	reasonable range $10^{18} - 10^{27}$ Pas.
567	
568	After the visco-elastic stress predictor step, we check the yield surface to determine whether a
569	plastic stress correction is required. In the following, we introduce basic elements of the
570	procedure, whereas more details are outlined in Appendix A.
571	
572	Plastic stress correction starts with spectral decomposition of the trial deviatoric stress tensor
573	by the optimized 3D Jacobi eigenvalue algorithm (Press et al., 2002):
574	$\tau_{ij}^{tr} = \sum_{A=1}^{3} \tau_{A}^{tr} \ m_{ij}^{(A)} \ . \tag{40}$

- Here τ_A^{tr} denotes the trial principal deviatoric stresses, $m_{ij}^{(A)}$ are the correspondent spectral
- 576 directions.

577

In the next stage, we evaluate the trial yield surface (see Appendix A):

579
$$F^{tr} = \frac{1}{2} \left(\tau_{\text{max}}^{tr} - \tau_{\text{min}}^{tr} \right) + \frac{1}{2} \left(\tau_{\text{max}}^{tr} + \tau_{\text{min}}^{tr} \right) \sin \varphi - p \sin \varphi - c \cos \varphi . \tag{41}$$

- For simplicity, the friction angle in the above equation is computed using the magnitude of
- accumulated plastic stain from previous time step, i.e. $\varphi = \varphi_0 D(^n \kappa)$.

582

- As soon as the trial deviatoric stress departs from the yield surface ($F^{tr} > 0$ condition is met),
- we undertake the following correction:

$$\tau_{ij} = \alpha_{PL} \tau_{ij}^{tr}, \qquad (42)$$

where $0 < \alpha_{PL} < 1$ is the plastic scaling ratio, which is given by:

587
$$\alpha_{PL} = \frac{2(p\sin\varphi + c\cos\varphi)}{(1+\sin\varphi)\tau_{\max}^{tr} - (1-\sin\varphi)\tau_{\min}^{tr}}.$$
 (43)

- For simplicity, we assume that both the effective viscosity of visco-elastic creep and the trial
- stress remain unaltered during plastic stress correction.

590

The magnitude of plastic strain rate is readily computed as follows:

$$\dot{\gamma} = \frac{1}{2\eta_{CR}} (1 - \alpha_{PL}) \tau_{II}^{tr}. \tag{44}$$

- 593 Integrating the above expression by the Backward Euler algorithm we obtain the following
- relation for the plastic strain increment:

595
$$\Delta \kappa = \frac{\Delta t}{2\eta_{CR}} (1 - \alpha_{PL}) \tau_{II}^{ir}, \qquad (45)$$

- 596 which produces asymptotically exact results for both viscous and elastic cases. Corresponding
- 597 limit expressions are given by:

$$\lim_{\alpha_{CR} \to 0} \frac{\Delta t}{2\eta_{CR}} = \frac{\Delta t}{2\eta_{eff}}, \quad \lim_{\alpha_{CR} \to 1} \frac{\Delta t}{2\eta_{CR}} = \frac{1}{2G}.$$
(46)

- Accumulated plastic strain for the next time step is updated by:
- $600 \kappa = {}^{n}\kappa + \Delta\kappa . (47)$

- For the Updated Lagrangian kinematical formulation, the material and partial time derivatives
- are coincident. We can therefore approximate the rate of internal energy in each integration
- point by the following first order difference:

$$\frac{DU}{Dt} = C_p \frac{T_I N_I - {}^n T_I N_I}{\Delta t}. \tag{48}$$

606

- For consistency with the Hughes-Winget scheme, we evaluate the spatial temperature gradient
- with respect to the mid-point material configuration (see Eq. 30). Thus, the finite element
- approximation for the heat flux vector can be written as (see Eq. 28):

610
$$q_i = -\lambda T_I^{n+1/2} b_{iI}$$
. (49)

611

Heat source due to dissipation of mechanical energy can be re-expressed as:

613
$$\tau_{ij} \left(\dot{\varepsilon}_{ij}^{vs} + \dot{\varepsilon}_{ij}^{pl} \right) = \tau_{II} \left(\frac{\tau_{II}}{2\eta_{eff}} + \dot{\gamma} \right). \tag{50}$$

- The basic steps of the stress update procedure are summarized in Table 3. We note that this
- sequence is repeated every iteration of every time step in each integration point.

616

617 [Table 3]

618

619 3.7 Nonlinear solver

620

- At each time step, we solve the coupled system of discretized residual equations (23) and (24)
- by the full Newton-Raphson iterative method (Belytschko et al., 2000). We neglect coupling
- 623 terms in the Jacobian matrix. Therefore, at each iteration we solve the following two systems
- of linear equations:

625
$$\delta \mathbf{u} = -\mathbf{K}_{(k)}^{-1} \mathbf{f}_{(k)}, \quad \Delta \mathbf{u}_{(k+1)} = \Delta \mathbf{u}_{(k)} + \delta \mathbf{u},$$
 (51)

626
$$\delta \mathbf{T} = -\mathbf{E}_{(k)}^{-1} \mathbf{w}_{(k)}, \quad \mathbf{T}_{(k+1)} = \mathbf{T}_{(k)} + \delta \mathbf{T}.$$
 (52)

- Here, the right subscript (k) denotes iteration index, f is the out-of-balance nodal force vector
- 628 (Eq. 23), w is the out-of-balance nodal power vector (Eq. 24), $\Delta \mathbf{u}$ is the incremental
- displacement (total velocity) vector, **T** is the nodal temperature vector, $\mathbf{K} = \partial \mathbf{f} / \Delta \mathbf{u}$ is the
- 630 mechanical tangent matrix, $\mathbf{E} = \frac{\partial \mathbf{w}}{\partial \mathbf{T}}$ is the thermal tangent matrix, and $\delta \mathbf{u}$ and $\delta \mathbf{T}$ are
- 631 iterative correction vectors for incremental displacement and temperature, respectively.

At each iteration, we update nodal coordinates similarly to incremental displacements

633

634 $\mathbf{x}_{(k+1)} = \mathbf{x}_{(k)} + \delta \mathbf{u}$, while all the element integrals are evaluated over the latest updated 635 coordinates (Updated Lagrangian formulation). 636 637 We achieve a coupled thermo-mechanical solution by: 638 Calculating effective viscosity and density using the latest updated temperature, 639 (ii) Calculating mechanical dissipation using the latest available stress and strain rate, 640 (iii) Simultaneously solving the force balance and energy balance equations. 641 642 In the context of the Newton-Raphson method, it is necessary to carefully select the initial 643 guess, since it can significantly reduce computation time. At the first time step we take zero 644 displacements and an initial temperature distribution. For each subsequent time step we use 645 the previous converged solution. 646 647 Constrained degrees of freedom are removed from the tangent matrices. To facilitate 648 convergence only at the first iteration of the first time step, we add corrections related to 649 removed degrees of freedom to the right hand side vectors. 650 651 We employ the so-called line search procedure (see e.g. Press et al., 2002; Crisfield, 1983) to 652 stabilize iterative solution of the mechanical equation. The displacement update formula with 653 line search is modified to the following form: 654 (53) $\Delta \mathbf{u}_{(k+1)} = \Delta \mathbf{u}_{(k)} + \alpha \, \delta \mathbf{u} \, .$ 655 Here $0 < \alpha < 1$ is the damping parameter, which is chosen to satisfy the following condition: $\|\mathbf{f}_{(k+1)}\| < \|\mathbf{f}_{(k)}\|.$ 656 (54)657 We bisect the time step and restart the overall equilibrium iteration in case the above criterion 658 cannot be fulfilled. This technique forms the core of our adaptive time-stepping algorithm. 659 Initially we specify the uniform time step; the algorithm then performs bisections whenever 660 convergence problems are experienced. When iteration becomes stable again, the algorithm 661 tries to increase the time step. However it keeps in memory the last converged solution, to 662 restore stable iteration in the case of unsuccessful time step increases. To further enhance 663 convergence in the strain localization problems, more sophisticated time-stepping methods 664 may be used such as the method of subplane control functions (Geers, 1999). 665

We terminate the Newton-Raphson iteration as soon as the following criteria are satisfied:

667
$$\|\mathbf{\delta u}\| < \varepsilon \|\mathbf{\Delta u}_{(k+1)}\| \text{ and } \|\mathbf{\delta T}\| < \varepsilon \|\mathbf{T}_{(k+1)}\|,$$
 (55)

where ε is a small tolerance, typically $\varepsilon = 10^{-3}$.

669670

671 3.8 Linearization and linear solver

672

We assume the following simplified approximations for the tangent matrices (Appendix B):

674
$$K_{iklJ} = \int_{\Omega} \frac{\partial N_I}{\partial x_i} C_{ijkl} \frac{\partial N_J}{\partial x_l} d\Omega + \int_{\Gamma} N_I \left(\rho_{ext} g \, n_z \, \hat{z}_i \hat{z}_k \right) N_J \, d\Gamma , \qquad (56)$$

675
$$E_{IJ} = \int_{\Omega} N_I \frac{\rho C_p}{\Delta t} N_J d\Omega + \int_{\Omega} \frac{\partial N_I}{\partial x_i} \lambda \frac{\partial N_J}{\partial x_i} d\Omega.$$
 (57)

- Here, C_{ijkl} is the material tangent operator (Simo and Taylor, 1985), n_z is the vertical
- component of outward normal vector, and \hat{z}_i are the components of the unit vector of the
- vertical axis (downward positive). The first term in Eq. (56) corresponds to linearization of
- the internal stress term, while the second term is caused by the Winkler boundary condition.

680

- Depending on type of the flow (visco-elastic or elasto-visco-plastic), the material tangent
- operator takes the following simple form:

683
$$C_{ijkl} = K\delta_{ij}\delta_{kl} + \begin{cases} 2G_{CR}I_{ijkl}^{D} & \text{if } F^{tr} \leq 0\\ 2G_{PL}I_{ijkl}^{D} + \tau_{ij}^{tr}\widehat{g}_{kl} & \text{if } F^{tr} > 0 \end{cases}$$
 (58)

- Here $I_{ijkl}^D = \frac{1}{2} \left(\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk} \right) \frac{1}{3} \delta_{ij} \delta_{kl}$ is the fourth order unit deviatoric tensor, $G_{CR} = \eta_{CR} / \Delta t$ is
- the effective visco-elastic shear modulus, and $G_{PL} = \alpha_{PL}G_{CR}$ is the effective elasto-visco-
- plastic shear modulus. The non-dimensional tensor \hat{g}_{kl} is given in Appendix B.

687

- We infer from our numerical experiments that anisotropic stress terms in the tangent operator
- (Eq. 58) have little influence on the convergence rate of the Newton-Raphson iteration.
- Therefore, we suggest that they can be omitted whenever necessary. With this assumption, the
- effective elasto-visco-plastic shear modulus (G_{PL}) becomes essentially equivalent to the
- 692 effective viscosity (see e.g. Eq. 37 of Moresi et al., 2003 or Fig. 4 of Fullsack, 1995).

694	Both these papers employ the fixed point method or direct (Picard) iteration (Zienkiewicz and
695	Taylor, 2000) as a nonlinear solver. Even omitting differences in the tangent operator, there
696	are two substantial differences between the Newton-Raphson and Picard methods:
697	(i) The Picard method has only external forces and stresses from the previous time step in
698	the right-hand-side, while the Newton-Raphson method has complete out-of-balance
699	forces.
700	(ii) The Picard method operates on total velocity (incremental displacement), while the
701	Newton-Raphson method operates on iterative corrections.
702	We have tried both Picard and Newton-Raphson methods. Fig. 5 shows a comparison between
703	the two solvers for the shear band initiation benchmark problem, discussed in the next section.
704	We calculated an identical setup by both methods with a gradually increasing number of
705	increments in which we applied a constant amount of extension. Fig. 5 shows the severe
706	sensitivity of the Picard method to increment size. At the same time, the Newton-Raphson
707	method produces accurate results even for comparatively large increments. Other authors (e.g.
708	Muhlhaus and Regenauer-Lieb, 2005) have also pointed out superiority of the Newton-
709	Raphson method over the Picard method.
710	
711	[Figure 5]
712	
713	At the current development stage, we use the Preconditioned Conjugate Gradient (PCG)
714	iterative method (Hestenes, Stiefel, 1952) for both the mechanical and thermal linear systems
715	(Eq. 51 & Eq.52). For the mechanical system we adopt a symmetric version of the Incomplete
716	LU factorization with Threshold (ILUT) preconditioner (Saad, 1994), equipped with near-
717	optimal nested dissection ordering (George, 1973). The thermal system is preconditioned by
718	the inverse of diagonal of thermal tangent matrix.
719	
720	The above algorithm, in general, is not numerically scalable (Farhat et al., 2000), i.e. the
721	number of iterations grows nonlinearly with increasing size of the linear system. At present
722	the algorithm can only run in sequential mode. However, it works well with the roughly 10 ⁵
723	grid nodes in typical 3D regional-scale models on ordinary PCs with 2 GB RAM.
724	
725	Note that the linear solver may fail in the low-viscosity domains (e.g. asthenosphere), where
726	effective the visco-elastic modulus becomes many orders of magnitude lower than the bulk
727	modulus. We treat this issue by supplementing the mechanical linear solver with the fictitious

728	compressibility technique (Zienkiewicz and Taylor, 2000). The fictitious bulk modulus is
729	made at maximum two orders of magnitude larger than the shear modulus in the integration
730	point. The algorithm then iteratively removes spurious volumetric strains until the original
731	continuity equation is satisfied.
732	
733	
734	3.9 Regridding and Remapping
735	
736	After the Newton Raphson step, we resolve the advection terms of the flow. This step is
737	implemented by means of regridding and remapping. The computational sequence of adopted
738	procedure is summarized in the second part of Table 2.
739	
740	We employ a specific type of the Arbitrary Lagrangian Eulerian kinematical formulation (Hirt
741	et al., 1997). It is characterized by using a structured Cartesian grid whose nodes are only
742	allowed to move in the vertical direction. With this flexibility, we track the free surface or
743	certain material interfaces inside the model domain. Using the same mechanism we
744	implement erosion and sedimentation processes on the free surface. The bottom surface of the
745	grid is equipped with the Winkler boundary condition.
746	
747	Lateral boundaries of the grid may by either fixed in space or move parallel to the coordinate
748	axis with constant or variable velocity. This is a particularly useful feature, since it creates a
749	flexible computation window which can track some portion of space, or even stretch or
750	contract laterally. When the length of the mesh in a certain direction changes by the size of
751	the original element, we add (or remove) an entire vertical slice of the elements. Our solvers
752	are designed to treat a variable number of elements during computation.
753	
754	To track material properties and solution history we use the Particle-in-Cell method (Harlow
755	and Welsh, 1965). Each marker has an individual history, including coordinates, material
756	number, pressure, deviatoric stress tensor, accumulated plastic strain, total displacements, and
757	temperature. During the Newton-Raphson step, the history accumulates in cell centers. When
758	markers are advected by mesh, all markers that belong to the cell obtain the same increment
759	of history. When markers are mapped back to the mesh, both material properties and history
760	are averaged among all markers in the updated cell. This incremental approach minimizes
761	spurious numerical diffusion.

762	
763	We advect markers in the displacement field by consistent interpolation from the mesh. Since
764	the mesh remains rectangular in horizontal plain, the mapping of markers to updated cell
765	positions becomes particularly simple. Certain difficulties exist in regions where a population
766	of markers becomes too dense or too sparse (Moresi et al., 2003). We treat this issue by
767	inserting or deleting markers in cells where the total number of markers falls beyond the
768	limits. We treat material fluxes on the boundaries with the same methodology. When a marker
769	leaves the domain, we simply delete it. When a marker is generated in the boundary cell it
770	acquires material properties and solution history from the closest neighbors. We suggest,
771	therefore, that material extends beyond all boundaries of the domain except the free surface.
772	
773	We note that the remapping procedure inevitably produces perturbations in the global force
774	balance. We treat these perturbations as initial residuals to be removed on the next time step.
775	This explicit approach to a certain extent reduces the accuracy of the numerical solution
776	(stresses, displacements). Nevertheless, it is common in geodynamics to use similar
777	techniques with the argument that the time step can be reduced if higher accuracy in the time
778	integration is required (e.g. Moresi et al. 2003). In section 4.2 we demonstrate that the
779	remapping procedure does not significantly affect the accuracy of the velocity field, which is
780	estimated analytically, even in the benchmark with hundreds of remapping cycles.
781	
782	
783	4. Benchmarks and examples
784	
785	In this section, we present five simplified problems to verify computer implementation and
786	applicability of the adopted physical models and numerical techniques. The following
787	problems are included:
788	(i) Bending of an elastic plate
789	(ii) Sinking of a rigid cylinder in a viscous fluid
790	(iii) Initiation of shear bands in the brittle crust
791	(iv) Triaxial compression test
792	(v) Lithospheric transpressional deformation
793	
794	The first two problems are designed to test the code's ability to separately handle elastic and
795	viscous rheological mechanisms in 2D. In the first problem, the 2D formulation (plane strain)

is chosen to facilitate comparison with the analytical solution, while in the second problem, the choice is based on the resolution issue. With the sequential solver and without mesh adaptation, the solution of the free-falling Stokes sphere problem with the same resolution would require too much computation time. The next two problems demonstrate quasi-brittle elasto-plastic strain localization in 2D and 3D, respectively. The final problem deals with the complete elasto-visco-plastic rheology in a typical 3D lithospheric-scale setup. Since the plain strain formulation is not currently available in SLIM3D, we discretize entire 2D setups by a single layer of hexahedral elements with zero velocity in the out-of-plane direction. Wherever necessary we introduce and track markers in the mid-surfaces of the elements.

4.1 Bending of an elastic plate

We consider bending of an elastic plate which has infinite length in one of the lateral dimensions. The setup of the problem is depicted in Fig. 6, and the geometry, loading and elastic constants of the plate are summarized in Table 4. Similar to a cantilever beam, the plate has one end fixed and the other end free. It has slightly negative buoyancy relative to surrounding material, which allows it to sink and bend. Since we are interested in modeling elastic deformation of the plate, we exclude the surrounding viscous material. Calculations are done in the Lagrangian mode without remeshing.

[Table 4]

In this benchmark, we compare the maximum vertical deflection of the plate and the maximum bending stress with the analytical solution of the Euler-Bernoulli beam equation (see Appendix C). Application of this equation requires displacements and thickness to be small compared with length of the plate. We fulfill this requirement by appropriately selecting parameters of the setup. Assuming validity of the Euler-Bernoulli approximation, the maximum deflection of plate can be expressed in terms of parameters in Table 4 as:

$$825 w = \frac{3\Delta\rho g l^4}{2Eh^2}. (59)$$

For comparison with the numerical solution, we calculate analytical bending stresses using the following relation (see Appendix C):

828
$$\sigma_b = \pm \frac{3\Delta \rho g l^2 (h - \Delta h)}{h^2},\tag{60}$$

where Δh is the element size along the height of the beam.

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We apply and remove the gravity load multiple times in this model. Each time, when the load is removed, we allow the plate to restore its original shape and simultaneously release stored elastic stresses. During the solution, we monitor spurious residual stresses, which tend to accumulate during multiple load cycles. This deficiency is related to the rate formulation of elasticity adopted in SLIM3D (see Eq. 8). Unlike exact large strain elasticity models (Bonet and Wood, 1997), this formulation produces nonzero dissipation of elastic energy along the closed deformation path (Belytschko et al., 2000). The applicability of the model to rocks is based on the fact that elastic strains remain small, while elastic stresses constantly dissipate either by plastic or viscous rheological mechanisms. In this benchmark, we numerically assess spurious dissipation of elastic energy.

841

[Figure 6]

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Fig. 6 shows the shape of the deformed plate (exaggerated by factor of 10) along with a contour plot of normal bending stresses. Key results of the benchmark are presented in Table 4. We investigate influence of discretization on the accuracy of the solution using different numbers of elements along the plate thickness starting with just two elements (since we have a single integration point per element). In all cases, the 1:2 (thickness vs. length) element aspect ratio is maintained within the vertical plane. Fig. 7 shows the relative error in the approximation of stresses and displacements versus number of elements along the plate thickness. Note that discretization converges relatively fast, providing acceptable accuracy (around 5.0% error) with just 4-5 elements across the plate. The results in Table 4 correspond to discretization by 7 elements across the plate. Further increasing the number of elements did not yield a substantial increase in the accuracy in neither the vertical deflection (2.6% error) nor in the bending stresses (0.8% error). We attribute this fact partially to inaccuracies of the approximate analytical solution and partially to the presence of shear locking (e.g. Belytschko et al., 2000) of the employed elements. After 10 cycles of cyclic loading, the residual stress remains about 0.1% of peak bending stress (0.3 MPa vs. 300.0 MPa). Thus, we conclude that the stress integration algorithm has sufficient accuracy for practical applications.

861	[Figure 7]
862	
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864	4.2 Sinking of a rigid cylinder in a viscous fluid
865	
866	The purpose of this benchmark is twofold: (i) we test the ability to simulate purely viscous
867	flow with large abrupt changes of the viscosity; (ii) we simultaneously assess the quality of
868	the remeshing algorithm (treatment of markers and numerical diffusion issue). Setup of the
869	problem includes one half of a rectangular domain which is 800 km wide and 700 km deep
870	(see Fig. 8) occupied by viscous fluid. We impose sticking between the domain walls and the
871	fluid. On the left side of the domain we impose a symmetry condition. An infinite cylinder
872	with 25 km radius is initially placed at 250 km depth. Due to negative buoyancy the cylinder
873	sinks into the fluid. We maintain relative rigidity of the cylinder by a 4 orders of magnitude
874	viscosity contrast with the surrounding fluid. Parameters of the setup are summarized in Table
875	5. Numerical solution is obtained with a time step 10 ⁴ years.
876	
877	[Table 5]
878	
879	In this benchmark, we compare analytical and numerical estimation of the cylinder velocity.
880	The analytical solution for resisting force due to motion of the cylinder in a reservoir of finite
881	size can be found in Slezkin (1955). By equating resistance force with Archimedes force one
882	can obtain the following expression for the velocity of the cylinder (see Appendix D):
883	$v_c = \frac{1}{4} \left(\ln k - \frac{k^2 - 1}{k^2 + 1} \right) \frac{r^2 \Delta \rho g}{\eta_f}, k = \frac{b}{r}, $ (61)
884	where η_f is fluid viscosity, r is the radius of cylinder and b is the characteristic distance
885	between the cylinder and rigid wall of the domain. In our setup this distance is equal to 375
886	km.
887	
888	[Figure 8]
889	
890	We plot the distribution of vertical velocity together with positions of cylinder markers at two
891	different times in Fig.8 (see also Animation 1 in the online version of the paper). Note that
892	through the multiple remeshing steps, cylinder shape shows completely no sign of numerical
893	diffusion. The cylinder remains perfectly circular and undisturbed through the course of

894 computation. We therefore conclude an acceptable quality of adopted remeshing algorithm. 895 The average velocity of the cylinder constitutes around 8.5 cm per year. The maximum 896 relative difference between the analytical and numerical estimations is less than 1.7% (see 897 Table 5). Error in the numerical solution for the velocity field remains relatively low, despite hundreds of remapping cycles and a large time step (10⁴ years). Increase of viscosity contrast 898 899 up to 7 orders of magnitude did not result in any substantial changes in the numerical solution. 900 We conclude that the adopted numerical formulation allows handling of purely viscous flow 901 with large abrupt variations of the viscosity. 902 903 904 4.3 Initiation of shear bands in the brittle crust 905 906 Formation of zones of localized shear (shear bands) during planar deformation has been the 907 subject of extensive research (see e.g. Arthur et al., 1977; Muhlhaus and Vardoulakis, 1987; 908 Vermeer, 1990; Borja and Aydin, 2004; Buiter et al., 2006; Kaus and Podladchikov, 2006). 909 One of the most important questions concerns preferred orientation of the shear bands. Three 910 basic models are generally discussed (Coulomb, 1773; Roscoe, 1970; and Arthur et al., 1977). 911 For a pressure-sensitive material with friction angle φ and dilatation angle ψ , these models 912 predict the following angles between the shear band and direction of minor principal stress: $\alpha = 45^{\circ} + \varphi/2$ (Coulomb), 913 $\alpha = 45^{\circ} + \psi / 2$ (Roscoe) (62) $\alpha = 45^{\circ} + (\varphi + \psi)/4$ (Arthur). 914 The theoretical analysis for the onset of shear banding presented by Vermeer (1990) also 915 yields the Roscoe and Coulomb angles as limits for possible shear-band orientation. However, 916 due to elastic unloading the shear band can, in principle, assume any orientation within this 917 range. 918 919 In this benchmark, we tested the capability to model initiation of shear bands and compare 920 inclination angles of modeled shear bands with analytical estimations and numerical solutions 921 obtained by other codes. Since only the onset of shear bands is considered, calculations are 922 done in the small strain regime. The large strain plastic localization in 3D is additionally 923 demonstrated in the next subsection.

29

925	The model setup includes a crustal domain which is 40 km wide and 7 km thick (see Fig. 9).
926	On the top boundary we impose a free surface, while the bottom boundary has zero normal
927	velocity and tangential free-slip. We consider two loading cases. On the lateral boundaries,
928	we prescribe 1 cm per year normal velocity such that it causes either shortening or extension
929	of the domain. We assume an elasto-plastic rheology typical of mafic crust (see Table 6).
930	Since we employ the purely deviatoric Prandtl-Reuss flow rule, the dilatation angle is zero in
931	our formulation.
932	
933	[Table 6]
934	
935	We measure inclination angles of the shear bands from the horizontal axis. In the case of
936	compression the orientation of the minor principal stress is vertical. Therefore the angle with
937	respect to horizontal axis should be computed with a minus sign; for example, the Coulomb
938	angle should be $\alpha = 45^{\circ} - \varphi/2$. In the case of extension, the minor principal stress is
939	horizontal; therefore sign should be plus i.e. $\alpha = 45^{\circ} + \varphi/2$.
940	
941	[Figure 9]
942	
943	Fig. 9 shows the contour plot of effective strain rate for both extension (a, b) and compression
944	(c, d) cases at different stages of the process (see also Animation 2 in the online version of the
945	paper). The shear bands start to propagate from the surface where strength is minimal. We
946	note that it takes significantly less extension than shortening for shear bands to cut the entire
947	domain. The initial pattern of shear bands is highly chaotic. Some of them have inclination
948	angles close to Coulomb angles (30° , 60°) at this stage. At later stages, only a few shear
949	bands survive. They begin to accommodate entire deformation caused by shortening /
950	extension such that other shear bands become completely inactive. The mature post-failure
951	shear bands precisely match the Arthur angles (38°, 53°). In both cases (compression or
952	tension), shear bands at the Roscoe angles (45°) did not occur in the model.
953	
954	Our results are in good agreement with results of the other codes. For example, Poliakov and
955	Herrmann (1994) solved a similar problem using a non-associative Mohr-Coulomb rheology
956	and explicit FLAC technique. In their solution, the shear bands formed spontaneously and
957	assumed various inclination angles ranging between the Roscoe and Coulomb limits. The
958	results obtained with I2ELVIS (Gerva and Yuen 2007) for the numerical sandbox experiment

959	(Buiter et al., 2006) revealed that the majority of shear bands in the numerical solution tend to
960	assume the Arthur angle. We conclude that our code is able to effectively simulate origination
961	and propagation of localized shear zones.
962	
963	
964	4.4 Triaxial compression test
965	
966	Elasto-plastic strain localization in the large strain regime is further demonstrated by
967	numerical simulation of the triaxial compression test. We consider a laterally unconfined
968	parallelepiped specimen of size $1\times1\times3$ km discretized into $20\times20\times60$ hexahedral elements,
969	subjected to kinematical axial compressional loading (Fig. 10a and Fig. 11a). Material
970	parameters are assumed from the previous benchmark (see Table 6). We specify two different
971	types of heterogeneity: either small random seeds uniformly distributed over the specimen
972	(Fig. 10a) or an oblique gash located near the edge as shown in Fig. 11a. In both cases,
973	localization is induced by relatively low cohesion of the seeds with respect to surrounding
974	material (down to 20% of nominal).
975	
976	[Figure 10]
977	
978	The type of heterogeneity significantly impacts the pattern of deformation observed in the
979	model. Thus, the random seeding induces formation of multiple shear bands as shown in Figs.
980	10b - 10d (see also Animation 3 in the online version of the paper). Despite that the specimen
981	exhibits fragmentation into small pieces, one can easily distinguish major failure plane, which
982	gradually evolves though the course of loading. In contrast, the gash-type seeding
983	immediately produces a complex curvilinear failure plane, which separates the entire
984	specimen into two parts (Figs. $11b - 11d$). The majority of the shear band inclination angles
985	also coincide with the Arthur angles. Results of the triaxial compression test support the
986	conclusions of previous benchmark for the 3D case and the large strain regime.
987	
988	[Figure 11]
989	
990	
990 991	4.5 Lithospheric transpressional deformation

993	In this example, we present a typical 3D lithospheric-scale setup which includes effects of
994	elasto-visco-plastic rheology with a temperature controlled brittle-ductile transition. The
995	model consists of a lithospheric domain which has lateral dimensions 200 by 600 km and
996	depth 80 km, as shown in Fig. 12a. The domain is discretized into 36×108×16 hexahedral
997	elements (68561 grid points). Compositional heterogeneity includes equally thick (15 km)
998	felsic upper crust and mafic lower crust and a 50 km thick peridotite mantle. Rheological and
999	thermal parameters used in the modeling are presented in Table 7. At elongated vertical
1000	boundaries we impose zero normal velocities as well as 3.5 cm/year of right-lateral strike-slip
1001	velocity. The upper and lower boundaries are the free surface and the Winkler support
1002	boundary, respectively. At the short vertical boundaries we impose lithostatic pressure along
1003	with a free-slip condition.
1004	
1005	[Table 7]
1006	
1007	The initial temperature distribution is shown in Fig.12b. We use different temperature
1008	gradients in the upper crust, and the lower crust with lithospheric mantle to account for
1009	radiogenic heat. In the asthenosphere, we assume a temperature of 1300° C. The lithosphere-
1010	asthenosphere boundary is initially placed at 70 km depth. To initiate the state of
1011	transpression in the model, we use a zone of slightly thinned lithosphere (10 km of thinning),
1012	which is oriented obliquely to the strike-slip direction.
1013	
1014	[Figure 12]
1015	
1016	Fig.12c shows a contour plot of the effective strain rate on the free surface and in a typical
1017	cross-section after 3 Myr of evolution and 105 km of strike-slip (see also Animation 4 in the
1018	online version of the paper). The far-field strike-slip deformation tends to localize in a narrow
1019	zone located above the initially thinned lithosphere where strength is minimal. This
1020	mechanism leads to the self-consistent formation of the boundary between two lithospheric
1021	plates. The structure of the plate boundary changes with depth from a very narrow shear zone
1022	in the brittle upper crust to progressively more diffuse and wide shear zone in the ductile
1023	lower crust and upper mantle. The brittle upper crust tends to form regular secondary
1024	structures (en-echelon faults). Fig. 12d shows a contour plot of material phases and isolines of
1025	vertical displacements. The transpressional character of strike-slip deformation leads to

1026	surface uplift on pressure ridges related to en-echelon structures as well as corresponding
1027	crustal thickening along the entire plate boundary.
1028	
1029	Fig. 12e shows the influence of temperature and stress on the effective viscosity distribution.
1030	The overall viscosity variation in this model is about 7 orders of magnitude, with most of this
1031	variation caused by gradual temperature increase with depth and compositional
1032	heterogeneities. Among the local effects, we note the effects of shear heating and nonlinear
1033	creep which come into play across the entire plate boundary. Relative stress peaks associated
1034	with both the upper-lower crust boundary and the Moho, commonly referred to as "christmas-
1035	trees" (e.g. Brace and Kohlstedt 1980), can be recognized in Fig. 12f. Note that due to strain
1036	softening, the strength of major faults becomes low, which is also visible from the stress
1037	distribution on the surface (Fig. 12f).
1038	
1039	Fig. 13 show a 6 Myr evolution of the Lagrangian mesh embedded in the material (see also
1040	Animation 5 in the online version of the paper). We note that material fluxes on the boundary
1041	of computational box are nonzero. Incoming material acquires the properties and solution
1042	history from the closest material inside the box. The magnitude of the strike-slip displacement
1043	is large (210 km) and comparable to the characteristic size of the model. The transpressional
1044	plate boundary accumulates significant shear strains during the evolution. Summarizing these
1045	results we suggest that our code, in principle, can be applied for 3D modeling of geological
1046	scale evolution of lithospheric plate boundaries.
1047	
1048	[Figure 13]
1049	
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1051	5. Summary and discussion
1052	
1053	
1054	5.1 What is next?
1055	
1056	Currently, the code SLIM3D already includes many techniques necessary for modeling
1057	deformation processes on lithospheric and geologic scales. Namely, we have implemented
1058	coupled thermo-mechanical solutions, realistic elasto-visco-plastic rheology, and a kinematic
1059	framework that is able to handle large deformations. However, in this section we discuss the

1060	techniques which are still missing in the code. We also point out the directions we plan to
1061	develop our code to satisfy the growing needs of the new modeling section in GFZ-Potsdam.
1062	
1063	The major disadvantage is that code is still severely restricted in problem size. This fact is
1064	explained mostly by the following: (i) the code is still sequential (ii) the code utilizes a linear
1065	solver which is not numerically scalable (Farhat et al., 2000). To remove these disadvantages,
1066	we plan to extend our code in the following mainstream directions of linear solver
1067	technology:
1068	(i) Direct parallel multifrontal solvers (Gupta et al., 1997; Amestoy et al., 2000)
1069	(ii) Multigrid methods with adaptation for plasticity (Adams, 2000; Ekevid et al., 2004)
1070	(iii) Dual-primal domain decomposition methods (Farhat et al., 2000)
1071	At the same time, we are planning to parallelize the entire finite element routines using the
1072	MPI package.
1073	
1074	Deformation processes in the lithosphere occur on highly variable spatial scales. To
1075	sufficiently resolve the material length scale in lithospheric models, the element size should
1076	be of the order of hundreds of meters (Regenauer-Lieb and Yuen, 2003). Therefore, a
1077	successful code for lithospheric-scale modeling should allow variable spatial resolution. In
1078	SLIM3D we are presently restricted to a structured non-adaptive grid. A possible way to
1079	introduce adaptivity is to switch to unstructured tetrahedral mesh generators (Rassineux,
1080	1998) or octree-based methods (Shephard et al., 1991; Braun et al., this issue). These will be
1081	investigated in the future.
1082	
1083	Apart from advancements in numerical methods, we are planning to further develop physical
1084	models of rocks introducing advanced damage rheological models and incorporating
1085	metamorphic reactions and melting as well as coupled porous flow. Furthermore, we plan to
1086	introduce coupling between deformation processes at regional and global spatial scales as
1087	well as at seismic-cycle and geological time scales.
1088	
1089	
1090	5.2 Summary remarks
1091	
1092	This paper presents a new tool (SLIM3D) for three-dimensional lithospheric-scale modeling.
1093	The code includes a coupled thermo-mechanical treatment of deformation process and allows

1094	complicated elasto-visco-plastic rheology with diffusion, dislocation and Peierls creep and		
1095	Mohr-Coulomb plasticity. The code incorporates an Arbitrary Lagrangian Eulerian		
1096	formulation with free surface and Winkler boundary conditions.		
1097			
1098	We have developed practical implementation of elasto-visco-plastic rheology and shown that		
1099	Maxwell time does not pose an upper limit for the integration time step in the numerical		
1100	scheme.		
1101			
1102	We have applied the full Newton-Raphson method for solution of discrete balance equations		
1103	and demonstrated its superiority over the Picard method, which is widely used in		
1104	geodynamics.		
1105			
1106	For plasticity model we have obtained simplified expressions for the tangent operator.		
1107	Anisotropic stress terms in tangent operator have little influence on the convergence rate of		
1108	the Newton-Raphson iteration. Therefore they can be omitted whenever necessary. With this		
1109	assumption, the difference between effective viscosity and tangent operator concepts		
1110	vanishes.		
1111			
1112	With linear sequential solvers, which are not numerically scalable, we are able to treat		
1113	problems with up to hundred thousand of grid points on ordinary PCs. It is expected that		
1114	capabilities of the method can be drastically expanded by implementing numerically scalable		
1115	parallel solvers (e.g. multigrid) as well as an adaptive mesh.		
1116			
1117			
1118	Acknowledgments		
1119			
1120	This work was funded by Deutsche Forschungsgemeinschaft (DFG) grant So 425/2 in the		
1121	framework of the International Continental Scientific Drilling Program (ICDP). We greatly		
1122	appreciate constructive and helpful reviews offered by Stefan Schmalholz and an anonymous		
1123	reviewer.		
1124			
1125			
1126	Appendix A. Plastic stress correction		
1127			

We recall the spectral decomposition of Cauchy stress tensor (e.g. Borja et al. 2003):

1129
$$\sigma_{ij} = \sum_{A=1}^{3} \sigma_{A} \, m_{ij}^{(A)}, \quad m_{ij}^{(A)} = \hat{n}_{i}^{(A)} \, \hat{n}_{j}^{(A)},$$
 (A1)

- where σ_A denotes principal stresses (negative in compression), $m_{ij}^{(A)}$ are the correspondent
- spectral direction tensors, and $\hat{n}_i^{(A)}$ are the principal direction vectors.

1132

- Deviatoric-volumetric stress decomposition holds in principal stress space as well, therefore
- 1134 we can write:

1135
$$\sigma_A = \tau_A - p$$
, (A2)

1136 where τ_A are the principal deviatoric stresses.

1137

The Mohr-Coulomb yield surface can be rewritten using (A2) as follows:

1139
$$F = \frac{1}{2} \left(\tau_{\text{max}} - \tau_{\text{min}} \right) + \frac{1}{2} \left(\tau_{\text{max}} + \tau_{\text{min}} \right) \sin \varphi - p \sin \varphi - c \cos \varphi \le 0.$$
 (A3)

1140

- 1141 The plastic stress correction according to the Prandtl-Reuss flow rule reduces to the simple
- radial stress return (e.g. Wilkins, 1964). Practical implementation consists of the following
- proportional scaling of trial principal deviatoric stresses:

$$1144 \tau_A = \alpha_{PL} \tau_A^{tr}, (A4)$$

- where $0 < \alpha_{PL} < 1$ is the plastic scaling ratio, which can be directly determined by substituting
- 1146 (A4) into the yield surface expression (A3) and enforcing the condition F = 0. The result is:

1147
$$\alpha_{PL} = \frac{2(p\sin\varphi + c\cos\varphi)}{(1+\sin\varphi)\tau_{\max}^{tr} - (1-\sin\varphi)\tau_{\min}^{tr}}.$$
 (A5)

1148

- 1149 According to the Prandtl-Reuss flow rule, the updated stress deviator remains co-axial with
- the trial stress deviator, therefore we can update directly (omitting spectral decomposition) as:

$$\tau_{ii} = \alpha_{pL} \tau_{ii}^{tr} . \tag{A6}$$

1152

1153 Using the identities:

1154
$$\tau_{II} = \alpha_{PL} \tau_{II}^{tr}, \quad \tau_{II} = \tau_{II}^{tr} - 2\eta_{CR} \dot{\gamma},$$
 (A7)

we can readily solve for the magnitude of plastic strain rate:

1156
$$\dot{\gamma} = \frac{1}{2\eta_{CP}} (1 - \alpha_{PL}) \tau_{II}^{tr}$$
 (A8)

1157 1158 1159 Appendix B. Linearization 1160 1161 To complete formulation of the Newton-Raphson method, it is necessary to obtain an 1162 expression for the tangent matrices $\partial \mathbf{f}/\partial \Delta \mathbf{u}$ and $\partial \mathbf{w}/\partial \mathbf{T}$. Unfortunately, in general, it poses a 1163 difficult algebraic task. The resulting expressions are always non-symmetric except for trivial 1164 cases (Simo and Hughes, 2000). Moreover, in geodynamical modeling it is necessary to 1165 simulate nonlinear effects like erosion, sedimentation or melt emplacement, which often 1166 cannot be linearized analytically. Numerical differentiation may overcome this difficulty (see 1167 e.g. Perez-Foguet et al., 2000). In this paper, however, we admit certain assumptions to obtain 1168 approximate linearization, which still possesses acceptable convergence properties. 1169 1170 First, we assume that the integrals in the discrete balance equations Eq. (23) & Eq. (24) are 1171 configuration-independent. This assumption substantially simplifies linearization and avoids 1172 additional non-symmetry in the tangent matrices. In this case, the linearization of the energy 1173 equation becomes trivial. The result reads: $E_{IJ} = \frac{\partial w_I}{\partial T_I} = \int_{\Omega} N_I \frac{\rho C_p}{\Delta t} N_J d\Omega + \int_{\Omega} \frac{\partial N_I}{\partial x_I} \lambda \frac{\partial N_J}{\partial x_I} d\Omega.$ 1174 (B1) 1175 We note that during Newton-Raphson iteration, all integrals in the above equation are 1176 evaluated over latest available coordinates. 1177 Linearization of internal stress term yields: 1178 $\frac{\partial}{\partial \Delta u_{k,l}} \left(\frac{\partial N_I}{\partial x_i} \sigma_{ij} \right) = \frac{\partial N_I}{\partial x_i} \left(C_{ijkl} + L_{ijkl} \right) \frac{\partial N_J}{\partial x_i}.$ 1179 (B2)The term $C_{ijkl} = \partial \sigma_{ij} / \partial \hat{\varepsilon}_{kl}$ is referred to as the (material) tangent operator (Simo & Taylor 1180 1985). The term $L_{ijkl} = \partial \sigma_{ij} / \partial \omega_{kl}$ can be called the rotation tangent operator. Here $\hat{\varepsilon}_{kl}$ denotes 1181 1182 the total strain increment in contrast with the deviatoric strain increment ε_{kl} , which appears in 1183 Eq. (32). Recalling the expression for trial deviatoric stress (Eq. 36), the rotation operator can 1184 be expanded as follows:

1185
$$L_{ijkl} = \frac{\partial \sigma_{ij}}{\partial \omega_{kl}} = \frac{\partial}{\partial R_{pq}} \left(\alpha_{CR} R_{im}^{\ \ n} \tau_{mn} R_{jn} \right) \frac{\partial R_{pq}}{\partial \omega_{kl}}. \tag{B3}$$

- 1186 After straightforward, but quite cumbersome algebra, which we omit here
- (http://matrixcookbook.com), one obtains the following expression for the rotation tangent
- 1188 operator:

1189
$$L_{ijkl} = \frac{1}{4} \alpha_{CR} \left(W_{ik} Q_{il} + Q_{il} W_{ik} - W_{il} Q_{ik} - Q_{ik} W_{il} \right), \tag{B4}$$

where the auxiliary tensors are given by:

1191
$$W_{ij} = \left(\delta_{ij} - \frac{1}{2}\omega_{ij}\right)^{-1}, \quad Q_{ij} = R_{ik}\sigma_{kl}\left(R_{jl} + \delta_{jl}\right).$$
 (B5)

- 1192 Expression (B4) lacks major symmetry i.e. $L_{ijkl} \neq L_{klij}$. Moreover, we note that it becomes
- identically zero in the viscous case since $\alpha_{CR} \rightarrow 0$.
- 1194
- We note that a similar approach was presented e.g. by Fish and Shek (1999). They rigorously
- linearized all geometrical nonlinearities related to the Hughes-Winget scheme together with
- 1197 configuration dependence. From their results, we conclude that potential advantages from
- 1198 completely consistent linearization are still marginal, taking into account additional burdens
- related to the solution of non-symmetric systems. Therefore, we suggest omitting the rotation
- operator from the tangent matrix approximation. In the case that a non-symmetric solver is
- available, one can use the unmodified expression (B4). Alternatively, some kind of
- symmetrization can be employed, for example:

1203
$$L_{ijkl}^{\text{sym}} = \frac{1}{2} \left(L_{ijkl} + L_{klij} \right).$$
 (B6)

- 1204
- 1205 The material tangent operator $C_{ijkl} = \partial \sigma_{ij} / \partial \hat{\varepsilon}_{kl}$ forms two cases depending on type of the
- 1206 flow, either visco-elastic or elasto-visco-plastic. It is convenient to decompose the material
- tangent operator into volumetric and deviatoric parts. Applying the chain rule we can write:

1208
$$C_{ijkl} = \frac{\partial \sigma_{ij}}{\partial \hat{\varepsilon}_{kl}} = \frac{\partial \tau_{ij}}{\partial \varepsilon_{mn}} \frac{\partial \varepsilon_{mn}}{\partial \hat{\varepsilon}_{kl}} - \delta_{ij} \frac{\partial p}{\partial \theta} \frac{\partial \theta}{\partial \hat{\varepsilon}_{kl}}.$$
 (B7)

- 1209 First, we consider a nonlinear visco-elastic deformation process. In this case, we use
- expressions for updated pressure (Eq. 35) and trial deviatoric stress (Eq. 36). Motivated by the
- moderate stress dependence of the effective creep viscosity, we assume $\partial \eta_{CR} / \partial \tau_{ij} = 0$ to
- avoid cumbersome differentiation of the expressions (9) (12) together with expressions (37).
- 1213 The resultant material tangent operator related to nonlinear visco-elastic deformation reads:

$$1214 C_{iikl} = K\delta_{ii}\delta_{kl} + 2G_{CR}I_{iikl}^{D}. (B8)$$

Here, I_{iikl}^D is the fourth order unit deviatoric tensor:

1216
$$I_{iikl}^{D} = \frac{1}{2} \left(\delta_{ik} \delta_{il} + \delta_{il} \delta_{ik} \right) - \frac{1}{3} \delta_{ij} \delta_{kl}, \tag{B9}$$

1217 and G_{CR} is the effective shear modulus of visco-elastic creep:

$$G_{CR} = \eta_{CR} / \Delta t. \tag{B10}$$

We note that G_{CR} has appropriate viscous and elastic limits given by:

1220
$$\lim_{\alpha_{CP} \to 0} G_{CR} = \eta_{eff} / \Delta t, \quad \lim_{\alpha_{CP} \to 1} G_{CR} = G.$$
 (B11)

1221

- Next, we consider the elasto-visco-plastic deformation process. Recalling the plastic stress
- 1223 correction formula (Eq. 42) and applying the chain rule, we expand the deviatoric term in the
- 1224 following form:

1225
$$\frac{\partial \tau_{ij}}{\partial \hat{\varepsilon}_{kl}} = \underbrace{2G_{PL}I_{ijkl}^D}_{isotropic} + \underbrace{\tau_{ij}^{tr}\hat{g}_{kl}}_{anisotropic}, \tag{B12}$$

- where G_{PL} is the effective elasto-visco-plastic shear modulus (similar to the corresponding
- effective viscosity; see e.g. Moresi et al., 2003; Fullsack, 1995)

1228
$$G_{p_I} = \alpha_{p_I} G_{CR}$$
, (B13)

1229 and \hat{g}_{kl} is non-dimensional second order tensor which is given by:

1230
$$\hat{g}_{kl} = \frac{\partial \alpha_{pL}}{\partial \varepsilon_{mn}} I^{D}_{mnkl}.$$
 (B14)

- We note that the elasto-visco-plastic tangent operator has both isotropic and anisotropic
- components. The latter destroys major symmetry similar to the rotation operator, so that the
- 1233 non-symmetric linear solver is again required. From our numerical experiments we infer that
- 1234 symmetric isotropic approximation (the first term in Eq. B12) possesses acceptable
- 1235 convergence properties together with the full Newton-Raphson method. Therefore, we
- suggest that the anisotropic term can be either dropped out or symmetrized in a standard way
- 1237 (see Eq. B6).

1238

- For completeness, we derive the anisotropic stiffness terms in this Appendix. Recalling the
- 1240 expression for plastic scaling ratio (Eq. 43) and invoking the chain rule once again, we
- perform the following expansion:

$$1242 \qquad \frac{\partial \alpha_{PL}}{\partial \varepsilon_{mn}} = 2G_{CR} \left(\frac{\partial \alpha_{PL}}{\partial \tau_{\min}^{tr}} \frac{\partial \tau_{\min}^{tr}}{\partial \tau_{mn}^{tr}} + \frac{\partial \alpha_{PL}}{\partial \tau_{\max}^{tr}} \frac{\partial \tau_{\max}^{tr}}{\partial \tau_{mn}^{tr}} \right). \tag{B15}$$

Entire derivatives in the above equation are readily available. For convenience, we denote:

1244
$$D = \frac{\left(p\sin\varphi + c\cos\varphi\right)}{\left[\left(1 + \sin\varphi\right)\tau_{\max}^{tr} - \left(1 - \sin\varphi\right)\tau_{\min}^{tr}\right]^{2}},$$
 (B16)

then derivatives of plastic scaling ratio can be expressed as:

1246
$$\alpha_{\min} = \frac{\partial \alpha_{PL}}{\partial \tau_{\min}^{tr}} = -2(\sin \varphi - 1)D,$$
 (B17a)

1247
$$\alpha_{\text{max}} = \frac{\partial \alpha_{PL}}{\partial \tau_{\text{max}}^{tr}} = -2(\sin \varphi + 1)D.$$
 (B17b)

- The orthogonality of principle directions yields the following expressions for derivatives of
- 1249 principal stresses:

$$1250 \qquad \frac{\partial \tau_{\min}^{tr}}{\partial \tau_{mn}^{tr}} = m_{mn}^{(\min)}, \quad \frac{\partial \tau_{\max}^{tr}}{\partial \tau_{mn}^{tr}} = m_{mn}^{(\max)}, \tag{B18}$$

- where $m_{mn}^{(min)}$ and $m_{mn}^{(max)}$ are the spectral direction tensors (see Appendix A) associated with
- minimum and maximum principal stresses, respectively. Combining the above results and
- applying deviatoric projection (see Eq. B14), we obtain the final expression for non-
- 1254 dimensional tensor:

1255
$$\hat{g}_{kl} = 2G_{CR} \left(\alpha_{\min} m_{kl}^{(\min)} + \alpha_{\max} m_{kl}^{(\max)} \right) - \frac{2}{3} G_{CR} \left(\alpha_{\min} + \alpha_{\max} \right) \delta_{kl}.$$
 (B19)

- Here, we have used the fact that trace of the spectral direction tensor is equal to unity.
- 1257
- 1258 Linearization of the Winkler boundary condition yields:

1259
$$\frac{\partial}{\partial \Delta u_{kJ}} \left(N_I \, \overline{\sigma}_{ij} n_j \right) = N_I \, \frac{\partial \overline{\sigma}_{ij}}{\partial \Delta u_{kJ}} n_j. \tag{B20}$$

- Here we have assumed that the outward normal vector does not vary with displacements.
- Rewriting the expression for boundary stress tensor (Eq. 22) in incremental form we have:

1262
$$\bar{\sigma}_{ij} = {}^{n}\bar{\sigma}_{ij} - \rho_{ext} g \Delta u_{z} \delta_{ij},$$
 (B21)

- where Δu_z is the vertical component of the displacement increment vector (downward
- positive). We can write, upon linearization of (B21), the following:

$$1265 \qquad \frac{\partial \bar{\sigma}_{ij}}{\partial \Delta u_{kJ}} = -\rho_{ext} g \, N_J \hat{z}_k \delta_{ij} \,. \tag{B22}$$

- We note that \hat{z}_k denote components of unit vector of vertical axis. Substituting (B22) into
- 1267 (B20) and reducing to symmetrical form, we can build the following approximation for the
- 1268 Winkler stiffness term:

1269
$$\frac{\partial}{\partial \Delta u_{kI}} \left(N_I \, \overline{\sigma}_{ij} n_j \right) = N_I \left(-\rho_{ext} \, g \, n_z \, \hat{z}_i \hat{z}_k \right) N_J \,. \tag{B23}$$

- Here, n_z denotes vertical component of outward normal vector.
- 1271
- Finally, the approximate mechanical tangent matrix takes the following form:
- 1273 $K_{iklJ} = \int_{\Omega} \frac{\partial N_I}{\partial x_i} C_{ijkl} \frac{\partial N_J}{\partial x_l} d\Omega + \int_{\Gamma} N_I \left(\rho_{ext} g \, n_z \, \hat{z}_i \hat{z}_k \right) N_J \, d\Gamma . \tag{B24}$
- 1274
- 1275
- 1276 Appendix C. Cantilever beam
- 1277
- We consider a cantilever beam of length l with rectangular cross-section of width b and
- thickness h. Normal stress related to bending in the cross-section is generally computed using
- the following formula (see e.g. Blake, 1985):

$$1281 \sigma_b = \frac{M}{I} z. (C1)$$

- Here, M is the bending moment, z is the distance between the point where we want to
- calculate stress and center of the cross-section, and I is the second moment of inertia of the
- 1284 cross-section, which for rectangular shapes is given by:

1285
$$I = \frac{1}{12}bh^3$$
. (C2)

- 1286 Maximum compressive and tensile stresses occur on the top and bottom faces of beam where
- 1287 $z = \pm \frac{1}{2}h$. In the benchmark problem, however, we calculate analytical stresses in slightly
- different locations. The points offset from the top and bottom face by half the size of the
- element to match location of integration points in the numerical scheme. Thus, we
- 1290 use $z = \pm \frac{1}{2}(h \Delta h)$, where Δh is the element size along the height of beam.
- 1291
- 1292 In a cantilever beam loaded by gravity, the maximum bending moment on the fixed end is
- 1293 computed as follows:

1294
$$M = \frac{ql^2}{2}$$
, (C3)

where q is the weight of unit length of the beam, which is given by:

$$1296 q = bh\Delta\rho g, (C4)$$

- where $\Delta \rho$ is the differential density of the beam relative to surrounding material. Combining
- equations (C1) (C4) we obtain an analytical estimation for the bending stress:

1299
$$\sigma_b = \pm \frac{3\Delta \rho g l^2 (h - \Delta h)}{h^2}.$$
 (C5)

1300

Maximum vertical deflection of the free end of the beam is given by (see e.g. Blake, 1985):

1302
$$w = \frac{ql^4}{8EI}$$
, (C6)

- where E is Young's modulus of the material. In the case that elastic properties are specified
- in terms of bulk and shear moduli, the Poisson's ratio and Young's modulus can be expressed,
- 1305 respectively, as follows:

1306
$$v = \frac{3K - 2G}{6K + 2G}, \quad E = 2G(1+v)$$
. (C7)

- In the benchmark problem, we use typical values for mafic crust: K = 630 kbar,
- 1308 G = 400 kbar, which roughly gives us the value of Young's modulus E = 990 kbar.
- Substituting equations (C2) and (C4) into (C6), we obtain analytical estimation for maximum
- 1310 vertical deflection of the beam:

1311
$$w = \frac{3\Delta \rho g l^4}{2Eh^2}$$
. (C8)

1312

1313

1314 Appendix D. Rigid cylinder

1315

- We consider penetration of a rigid cylinder with radius r into a viscous fluid with viscosity
- 1317 η_f . An analytical solution for the infinite fluid reservoir does not exist, which is clearly stated
- in the well-known Stokes paradox (see e.g. Slezkin, 1955). If we assume, however, that the
- reservoir has a finite characteristic dimension, the analytical solution can be obtained.
- Resistance force per unit length can be estimated using the following expression (Slezkin,
- 1321 1955):

1322
$$R = \frac{4\pi}{\ln k - \frac{k^2 - 1}{k^2 + 1}} \eta_f v_c, \quad k = \frac{b}{r},$$
 (D1)

- where v_c is the velocity of the cylinder, and b is the distance between cylinder and rigid wall
- of the reservoir. We note that a sticking boundary condition must be enforced on the reservoir
- 1325 walls.

1326

1327 The steady-state velocity of the cylinder can be estimated by equating resistance force with 1328 negative buoyancy force, which is given by: $F = \pi r^2 \Delta \rho g$, 1329 (D2) 1330 where $\Delta \rho$ is the differential density of cylinder relative to surrounding fluid. The resulting 1331 expression reads: $v_c = \frac{1}{4} \left(\ln k - \frac{k^2 - 1}{k^2 + 1} \right) \frac{r^2 \Delta \rho g}{\eta_f}.$ 1332 (D3)1333 1334 1335 References 1336 1337 Adams, M.F., 2000. Parallel multigrid solvers for 3D unstructured finite element problems in 1338 large deformation elasticity and plasticity. Int. J. Numer. Meth. Engng., 48: 1241-1262. 1339 1340 Alejano, L.R., Alonso, E., 2005. Considerations of the dilatancy angle in rocks and rock 1341 masses. Int. J. Rock Mech. Min. Sci., 42: 481-507. 1342 Amestoy, P.R., Duff, I.S., L'Excellent, J.-Y., 2000. Multifrontal parallel distributed symmetric 1343 1344 and unsymmetric solvers. Comput. Methods Appl. Mech. Engrg., 184: 501-520. 1345 1346 Arthur, J.R.F., Dunstan, T., Al-Ani, Q.A.J., Assadi, A., 1977. Plastic deformation and failure 1347 of granular media. Geotechnique, 27: 53-74. 1348 Babeyko, A.Yu., Sobolev, S.V., Trumbull, R.B., Oncken, O., Lavier, L.L., 2002. Numerical 1349 1350 models of crustal scale convection and partial melting beneath the Altiplano-Puna 1351 plateau. Earth Planet. Sci. Lett., 199: 373-388. 1352 1353 Babuska, I., 1973. The finite element method with Lagrangian multipliers. Num. Math., 20: 1354 179-192. 1355 1356 Bailey, R.C., 2006. Large time step numerical modelling of the flow of Maxwell materials. 1357 Geophys. J. Int., 164: 460-466. 1358

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1609		
1610	Figure captions	
1611		
1612	Figure 1. Logarithm of effective viscosity for dry olivine calculated using parameters from	
1613	Kameyama et al. (1999). Temperature-stress domains in which each particular creep	
1614	mechanism produces the largest strain rate are labeled. Black solid lines separate the domains.	
1615	Viscosity is truncated to a reasonable range $10^{18} - 10^{27}$ [Pa·s].	
1616		
1617	Figure 2. Schematic representation of the yield surface in principal stress space. In the tensile	
1618	domain we use Tresca criterion.	
1619		
1620	Figure 3. Finite element discretization of volume (hexahedrons) and surface (quadrilaterals).	
1621	Shown are the local coordinate systems and local numbers of the nodes.	
1622		
1623	Figure 4. Grid distortion for a single time step of the Rayleigh-Taylor instability problem	
1624	with large (3 orders of magnitude) abrupt viscosity variation. (a) total density formulation, (b)	
1625	differential density formulation. Gradient curve indicates boundary between the layers.	
1626	Shown are the viscosity and density of upper and lower layers.	

1627			
1628	Figure 5. Contour plots of accumulated plastic strain for the shear band initiation problem		
1629	(see section 4); (a) calculated by Newton-Raphson solver in 20 increments, (b) calculated by		
1630	Picard solver in 360 increments, (c) calculated by Picard solver in 120 increments. The same		
1631	amount of extension is applied in all models. In case (a) the shear band has minimum possible		
1632	width constrained by the cell size. In cases (b) and (c) the shear band is significantly wider		
1633	and more diffuse slowly decreasing its width with increasing number of time increments.		
1634			
1635	Figure 6. Initial (dashed line) and deformed (solid line) shape of the elastic plate. Vertical		
1636	displacements are exaggerated by a factor of 10. Contour plot shows distribution of normal		
1637	bending stress. Shown are calculated values for maximum bending stress and vertical		
1638	displacement.		
1639			
1640	Figure 7. Relative error in approximation of stresses (rectangles) and vertical displacements		
1641	(circles) versus number of elements across the elastic plate thickness.		
1642			
1643	Figure 8. Isolines of vertical velocity for the cylinder problem. (a) after 0.25 Myr, (b) after		
1644	2.25 Myr. Black circles indicate positions of the particles which represent the cylinder.		
1645			
1646	Figure 9. Contour plot of effective logarithmic strain rate for the shear band initiation		
1647	problem. (a) 0.05 km of extension, (b) 0.08 km of extension, (c) 0.15 km of compression (d)		
1648	0.2 km of compression. Dashed lines indicate expected orientation of shear bands according		
1649	to Arthur et al. (1977) (expression shown in the figure). Also shown are the values of Arthur		
1650	angles between the shear bands and horizontal axis.		
1651			
1652	Figure 10. Setup (a) and results $(b - d)$ of the triaxial compression numerical test with		
1653	uniform random seeding (see text). Cases b – d correspond to 2.5%, 4.2%, 5.8% of axial		
1654	deformation, respectively. Magnitude of accumulated plastic strain is shown in colors.		
1655			
1656	Figure 11. Setup (a) and results $(b - d)$ of the triaxial compression numerical test with oblique		
1657	gash seeding (see text). Cases $b-d$ differ by amount of specimen rotation around vertical axis		
1658	(0°, 90° and 180°, respectively) and all correspond to 2.7% of axial deformation. White lines		
1659	on the top face show coordinate axis for rotational reference. Magnitude of accumulated		
1660	plastic strain is shown in colors.		

1661		
1662	Figure 12. Lithospheric transpressional deformation problem. (a) setup and discretization, (b)	
1663	initial thermal model, (c) distribution of effective strain rate on the surface and in the cross-	
1664	section after 3Myr, (d) material phases (colors) and vertical displacements (white lines), (e)	
1665	distribution of effective logarithmic viscosity (f) effective stress.	
1666		
1667	Figure 13. Evolution of the Lagrangian mesh embedded in the material for the lithospheric	
1668	transpressional deformation problem. View from the top of the model. Thick dashed lines	
1669	show the lateral boundaries of calculation domain depicted in Fig. 12. Note that material	
1670	fluxes on the boundaries of the domain are nonzero.	

Table 1 Nomenclature

Notation	Meaning	Dimension
X_i	Cartesian coordinates	m
t	Time	S
v_{i}	Velocity vector	$\mathbf{m} \cdot \mathbf{s}^{-1}$
T	Temperature	K
g	Gravitational acceleration	$\mathbf{m} \cdot \mathbf{s}^{-2}$
ho	Density	$kg \cdot m^{-3}$
K	Bulk modulus	Pa
G	Shear modulus	Pa
$B_{\scriptscriptstyle L}$	Diffusion creep constant	$Pa^{-1} \cdot s^{-1}$
$H_{\scriptscriptstyle L}$	Diffusion creep activation enthalpy	$J \cdot mol^{-1}$
$B_{\scriptscriptstyle N}$	Dislocation creep constant	$Pa^{-n} \cdot s^{-1}$
$H_{\scriptscriptstyle N}$	Dislocation creep activation enthalpy	$J \cdot mol^{-1}$
n	Dislocation creep exponent	-0
B_{P}	Peierls creep constant	S^{-1}
$H_{\scriptscriptstyle N}$	Peierls creep activation enthalpy	$J \cdot mol^{-1}$
$ au_P$	Peierls stress	Pa
φ	Friction angle	(°)
c	Cohesion	Pa
α	Thermal expansivity	K^{-1}
C_p	Specific heat	$J \cdot kg^{-1} \cdot K^{-1}$
λ	Thermal conductivity	$W \cdot m^{-1} \cdot K^{-1}$
A	Radiogenic heat production	$W \cdot kg^{-1}$
q_{i}	Heat flux vector	$\mathbf{W}\cdot\mathbf{m}^{-2}$
U	Internal energy	J
p	Pressure	Pa
θ	Volumetric strain	_
$ au_{ij}$	Deviatoric stress tensor	Pa
$\dot{\mathcal{E}}_{ij}$	Deviatoric strain rate tensor	s^{-1}
$\eta_{\it eff}$	Effective viscosity	Pa·s

Table 2 Overall computational flowchart

Newton-Raphson solution

- 1. Update stresses & heat fluxes in the elements
- 2. Assemble & solve linear systems

$$\delta \mathbf{u} = -\mathbf{K}_{(k)}^{-1} \mathbf{f}_{(k)}, \quad \delta \mathbf{T} = -\mathbf{E}_{(k)}^{-1} \mathbf{w}_{(k)}$$

3. Update displacement and temperature

$$\Delta \mathbf{u}_{(k+1)} = \Delta \mathbf{u}_{(k)} + \delta \mathbf{u}, \quad \mathbf{T}_{(k+1)} = \mathbf{T}_{(k)} + \delta \mathbf{T}$$

4. Update coordinates

$$\mathbf{x}_{(k+1)} = \mathbf{x}_{(k)} + \mathbf{\delta}\mathbf{u}$$

5. Check convergence

$$\| \delta \mathbf{u} \| < \varepsilon \| \Delta \mathbf{u}_{(k+1)} \|, \| \delta \mathbf{T} \| < \varepsilon \| \mathbf{T}_{(k+1)} \|$$

6. go to step (1) if necessary

Regridding and Remapping

- 1. Save history increment to markers
- 2. Advect markers by mesh
- 3. Adapt mesh to fit the free surface
- 4. Apply erosion boundary condition
- 5. Map markers onto adapted mesh
- 6. Count number of markers per cell
- 7. Insert/delete markers where necessary
- 8. Interpolate properties and history to mesh

Table 3 Stress update in integration point

- 1. Compute displacement gradient $h_{ij} = \Delta u_{iI}^{n+1/2} b_{jI}$
- 2. Evaluate strain increments $\varepsilon_{ij} = \frac{1}{2}(h_{ij} + h_{ji}) - \frac{1}{3}h_{kk}\delta_{ij}, \quad \theta = h_{ii}$
- 3. Update pressure

$$p = {}^{n}p - K\theta + K\alpha (T - {}^{n}T)$$

- 4. Find trial deviatoric stress by FZERO $\tau_{ij}^{tr} = 2\eta_{CR}\dot{\varepsilon}_{ij} + \alpha_{CR}R_{ik}^{n}\tau_{kl}R_{jl}$
- 5. Decompose trial deviatoric stress

$$\tau_{ij}^{tr} = \sum_{A=1}^{3} \tau_{A}^{tr} \, m_{ij}^{(A)}$$

6. Check trial yield surface

$$F^{tr} < 0$$
 exit

7. Compute plastic scaling ratio,

$$\alpha_{PL} = \frac{2(p\sin\varphi + c\cos\varphi)}{(1+\sin\varphi)\tau_{\max}^{tr} - (1-\sin\varphi)\tau_{\min}^{tr}}$$

8. Update stress

$$\tau_{ij} = \alpha_{PL} \, \tau_{ij}^{tr}$$

 $\tau_{ij} = \alpha_{PL} \tau_{ij}^{tr}$ 9. Update plastic strain

$$\kappa = {^{n}\kappa} + \frac{\Delta t}{2\eta_{CR}} (1 - \alpha_{PL}) \tau_{II}^{tr}$$

Table 4 Plate benchmark

Variable	Meaning	Value	Dimension
$\Delta \rho$	Differential density	100	kg·m ⁻³
E	Young's modulus	990	kbar
$l \times h$	Size of the domain	10×1	km
$n_l \times n_h$	Discretization	35×7	elements
W_{theor}	Theoretical deflection	0.151	km
W_{calc}	Calculated deflection	0.155	km
err_w	Rel. deflection error	2.6	%
$\sigma_{\scriptscriptstyle theor}$	Theoretical stress	257.2	MPa
$\sigma_{\scriptscriptstyle calc}$	Calculated stress	259.2	MPa
err_{σ}	Rel. stress error	0.8	%
$\sigma_{\scriptscriptstyle cycl}$,	Cyclic stress residual	0.1	%

Table 5
Cylinder benchmark

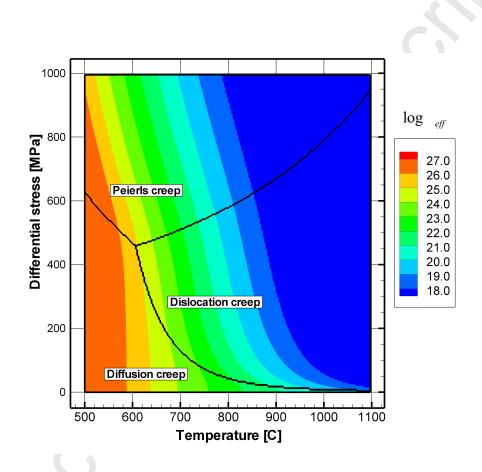
Variable	Meaning	Value	Dimension			
Δho	Differential density	100	kg⋅m ⁻³			
r	Radius of cylinder	25	km			
$l \times h$	Size of the domain	$400\!\times\!700$	km			
$n_l \times n_h$	Discretization	200×350	elements			
$oldsymbol{\eta}_f$	Viscosity of fluid	10^{20}	Pa·s			
η_c	Viscosity of cylinder	10^{24}	Pa·s			
\mathcal{V}_{theor}	Theoretical velocity	8.47	$cm \cdot yr^{-1}$			
v_{calc}	Calculated velocity	8.61	$cm \cdot yr^{-1}$			

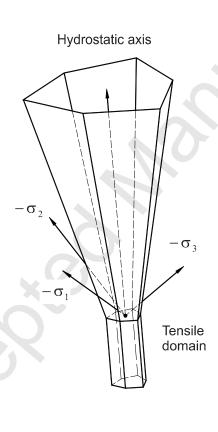
Table 6 Shear bands benchmark

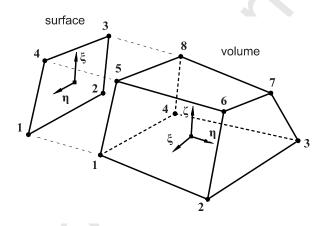
Variable	Meaning	Value	Dimension
ρ	Density	3000	$kg \cdot m^{-3}$
K	Bulk modulus	630	kbar
G	Shear modulus	400	kbar
φ	Friction angle	30	(°)
Ψ	Dilatation angle	0	(°)
С	Cohesion	20	MPa
$l \times h$	Size of the domain	40×7	km
$n_l \times n_h$	Discretization	200×35	elements
$lpha_{\scriptscriptstyle ext}$	Inclination angle (extension)	53	(°)
$lpha_{\it comp}$	Inclination angle (compression)	38	(°)

Table 7 Rheological and thermal parameters used in transpression problem

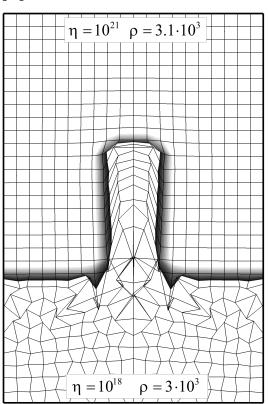
Parameter	Dimension	Felsic	Mafic	Mantle
		Upper Crust	Lower Crust	peridotite
ρ	g ⋅ cm ⁻³	2.7	3.0	3.3
K	kbar	550	630	1220
G	kbar	360	400	740
$\log B_{N}$	$Pa^{-n} \cdot s^{-1}$	-28.0	-21.05	-16.3
$H_{\scriptscriptstyle N}$	$kJ \cdot mol^{-1}$	223	445	535
n	_	4.0	4.2	3.5
φ	(°)	30	30	30
c	MPa	20	20	20
α	K^{-1}	$2.7 \cdot 10^{-5}$	$2.7 \cdot 10^{-5}$	$3.0 \cdot 10^{-5}$
C_p	$kJ\cdot kg^{-1}\cdot K^{-1}$	1.2	1.2	1.2
λ	$W \cdot m^{-1} \cdot K^{-1}$	2.5	2.5	3.3
A	$nW \cdot kg^{-1}$	1.0	0.1	0.0







[A] total density formulation



[B] differential density formulation

