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Izzet Önel, Mohamed Benbouzid

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# Short Papers

## Induction Motor Bearing Failure Detection and Diagnosis: Park and Concordia Transform Approaches Comparative Study

Izzet Yilmaz Önel and Mohamed El Hachemi Benbouzid

**Abstract**—This paper deals with the problem of bearing failure detection and diagnosis in induction motors. Indeed, bearing deterioration is now the main cause of induction motor rotor failures. In this context, two fault detection and diagnosis techniques, namely the Park transform approach and the Concordia transform, are briefly presented and compared. Experimental tests, on a 0.75 kW two-pole induction motor with artificial bearing damage, outline the main features of the aforementioned approaches for small- and medium-size induction motors bearing failure detection and/or diagnosis.

**Index Terms**—Bearing failure, Concordia transform, diagnosis, fault detection, induction motor, Park transform.

### I. INTRODUCTION

Rotor failures now account for a larger percentage of total induction motor failures [1]. Bearing deterioration is now the main cause of rotor failures.

#### A. Bearing Failures

The main factors behind bearing faults are dust and corrosion. Induction motors are often operated in hard conditions. That is why foreign materials, water, acid, and humidity are the main reasons for bearing deteriorations. Contamination and corrosion frequently accelerate bearing failures because of the harsh environments present in most industrial settings. Dirt and other foreign matters that are commonly present often contaminate the bearing lubrication. The abrasive nature of these minute particles, whose hardness can vary from relatively soft to diamond-like, causes pitting and sanding that lead to measurable wear of the balls and raceways. Bearing corrosion is produced by the presence of water, acids, deteriorated lubrication, and even perspiration from careless handling during installation. Once, the chemical reaction has advanced sufficiently, particles are worn off resulting in the same abrasive effect produced by bearing contamination. Improper lubrication includes both under and overlubrication.

In either case, the rolling elements are unable to rotate on the designed oil film causing increased levels of heating. This excessive heating causes the grease to break down, which reduces its ability to lubricate the bearing elements and accelerates the failure process [2].

Bearing problems are also caused by improperly forcing the bearing onto the shaft or into the housing. This produces physical damage

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I. Y. Önel was with the Electrical Engineering Department, Electrical and Electronics Faculty, Yıldız Technical University (YTU), Istanbul 34349, Turkey. He is now with Yay Enerji, Serenlikuyu Mevkii Muallimköy, 41400 Gebze, Turkey (e-mail: izzet.onel@yaylagrup.com).

M. E. H. Benbouzid is with the Laboratoire Brestois de Mécanique et des Systèmes (LBMS), University of Western Brittany, Brest 29238, France (e-mail: m.benbouzid@ieee.org).

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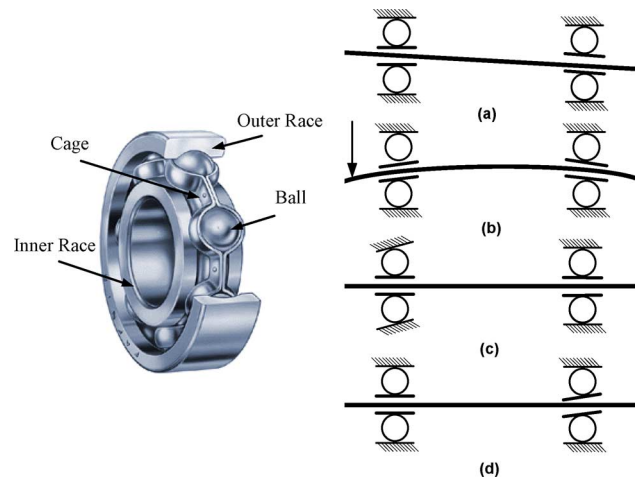


Fig. 1. (a) Misalignment (out-of-line). (b) Shaft deflection. (c) Cocked or tilted outer race. (d) Cocked or tilted inner race.

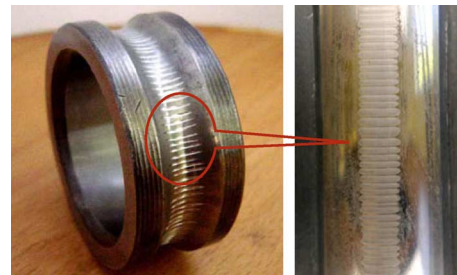


Fig. 2. Bearing fluting.

in the form of brinelling or false brinelling of the raceways, which leads to premature failure. Misalignment of the bearing, which occurs in four ways depicted in Fig. 1, is also a common result of defective bearing installation. In a small fraction of induction motor applications, bearings prematurely fail due to electrical causes. Currents flowing through induction motor bearings have the potential to create premature failure of these bearings. Fig. 2 shows the typical fluting pattern in a bearing race due to metallurgical damage from interrupted electrical current flow. Increased noise and vibration are typical symptoms of bearing damage for a bearing such as this. Over time, lubrication fatigue and mechanical wear lead to ultimate bearing failure [3].

#### B. State of the Art

There are many condition monitoring methods used for the detection and the diagnosis of bearing failure: vibration measurements, temperature measurement, the shock pulse method (SPM), and acoustic emission (AE).

Among these, vibration measurements are most widely used [4]. A detailed review of different vibration and acoustic methods, such as vibration measurements in time and frequency domains, sound measurement, the SPM, and the AE technique for condition monitoring of bearing failure is given in [5]–[7]. In fact, large induction motors are often equipped with mechanical sensors, which are primarily vibration sensors such as proximity probes. However, these are delicate and

expensive. Moreover, it is not economically or physically feasible to provide the same for smaller induction motors.

Owing to the infeasibility of these traditional techniques because of the economical constraints in small- and medium-size induction motors, stator current harmonic measurement is appearing as an alternative to the vibration measurement methods. Indeed, various researchers have suggested that stator current monitoring can provide the same indications without requiring access to the motor. This technique utilizes the results of spectral analysis of the stator current or supply current of an induction motor for the diagnosis. Indeed, the characteristic frequencies of bearing damage are often used to monitor certain frequency components [8]–[18]. Example techniques that have been applied to characteristic fault frequency detection include frequency-domain analysis [9]–[12], statistical methods [13], spectral models [14], wavelets [15]–[17], artificial neural networks [15], [18], and fuzzy logic [19].

When the available literature on induction motor fault detection and diagnosis is scanned, some of the applied techniques are found to be based on the processing of the stator current pattern. These techniques are based on Park transform or Concordia transform. The global applicability of the Park approach has been demonstrated in [20]–[22] for induction motor stator faults and in [23] for bearing failures. The Concordia approach has also been successfully applied for the detection and the diagnosis of stator faults in [24] and faults in a pulse width modulation (PWM) inverter feeding an induction motor in [25]. The originality of these fault detection and diagnosis techniques rely on the stator current well processing. In this case, there is no need for any particular or advanced processing technique.

The main objective of this paper is to provide a comparison between the Park and the Concordia transforms as fault detection and diagnosis approaches in the particular case of bearing failures. This comparison should be useful for potential users of these pattern-based techniques as Park and Concordia transforms are often mingled [24].

## II. PARK TRANSFORM VERSUS CONCORDIA TRANSFORM

A two-dimensional representation can be used for describing three-phase induction motor phenomena. A suitable one is based on the stator current Park vector. Park transform reduces the number of current components and makes the calculation easier.

In a three-phase induction motor, stator current has three ( $a, b, c$ ) components. When Concordia transform is applied to the mains,  $sD$  and  $sQ$  components of the stator current are obtained. This transform is governed by (1):

$$\begin{cases} I_{sD} = \sqrt{\frac{2}{3}}I_a - \frac{1}{\sqrt{6}}I_b - \frac{1}{\sqrt{6}}I_c \\ I_{sQ} = \frac{1}{\sqrt{2}}I_b - \frac{1}{\sqrt{2}}I_c \end{cases} \quad (1)$$

These components are stationary according to the stator.

If Park transform (2) is applied to the  $sD$ – $sQ$  system,  $D$  and  $Q$  components are obtained:

$$\begin{bmatrix} I_D \\ I_Q \end{bmatrix} = \begin{bmatrix} \cos \theta_r & \sin \theta_r \\ -\sin \theta_r & \cos \theta_r \end{bmatrix} \begin{bmatrix} I_{sD} \\ I_{sQ} \end{bmatrix} \quad (2)$$

These components are stationary according to the rotor. Fig. 3 summarizes the aforementioned transforms where  $I_s$  is the stator current vector that rotates at the angular frequency  $\omega_s$ .

Transforming the  $abc$  system to the  $sD$ – $sQ$  system is very simple. Park transform is more complicated than Concordia's. Indeed, rotor

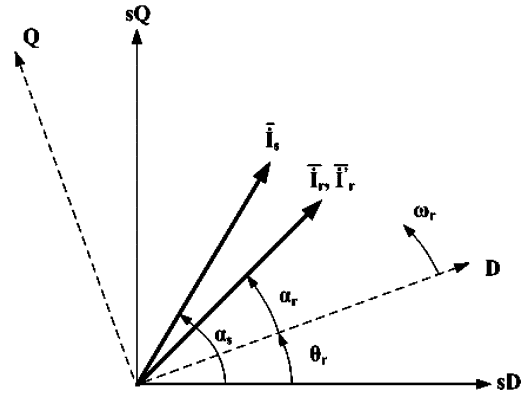
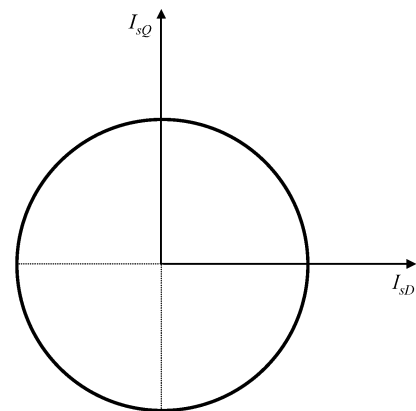
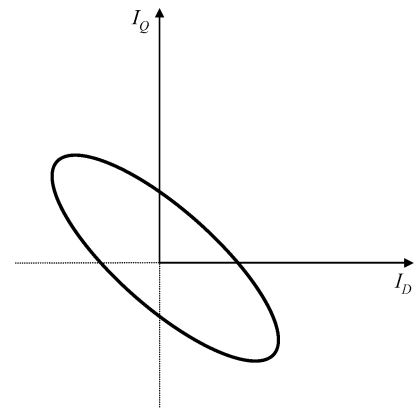


Fig. 3. Representation of  $sD$ – $sQ$  and  $D$ – $Q$  axes.



(a)



(b)

Fig. 4. Current patterns for ideal conditions. (a) Concordia transform. (b) New Park transform.

speed or position must be known. But stator current  $D$  and  $Q$  components have valuable information for bearing fault detection. Indeed, they contain the speed information that is obviously affected by the bearing condition.

Using this new Park transform, the obtained  $D$  and  $Q$  current trajectory is not a circle, as is the case for the  $sD$  and  $sQ$  current trajectory. It is an ellipse as schematically depicted by Fig. 4. It is also a simple reference figure that allows the detection of abnormal conditions by monitoring the deviations of acquired patterns.

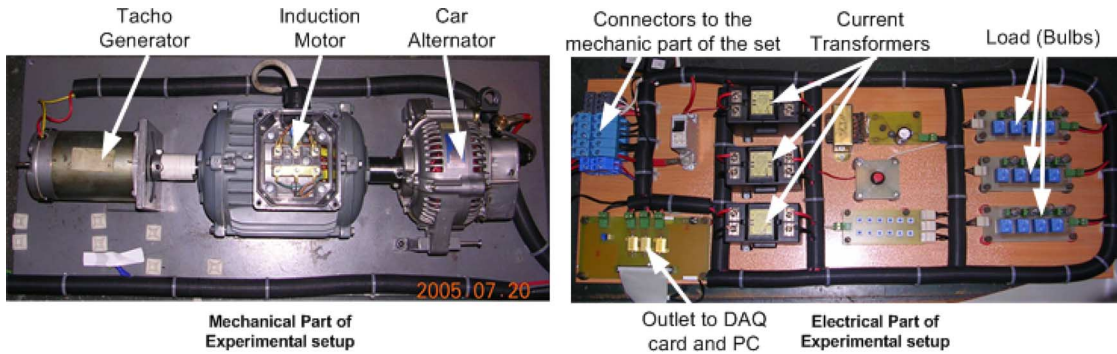


Fig. 5. Experimental setup.

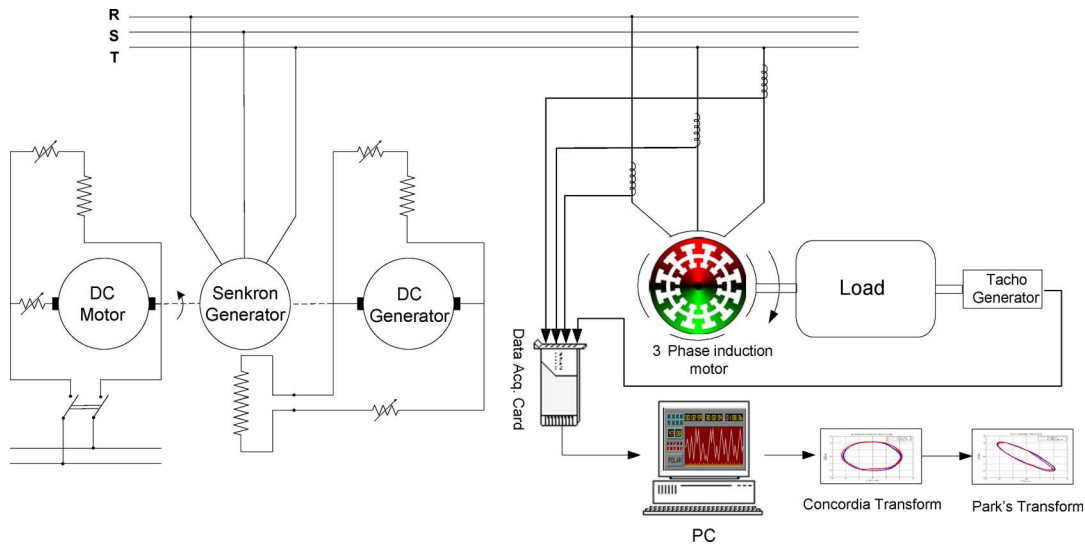


Fig. 6. Experimental test philosophy.

The occurrence of a bearing failure manifests itself in the deformation of the ellipse in the case of Park transform and in the deformation of the circle in the case of Concordia transform. These are very simple reference figures that allow the detection of abnormal conditions by monitoring the deviations of acquired patterns. In the following, they will be applied for the detection and the diagnosis of bearing failures.

### III. EXPERIMENTAL RESULTS

#### A. Test Facility Description

Fig. 5 describes the experimental setup. It is composed of two parts: a mechanical part that has a tacho-generator, a three-phase squirrel cage induction motor, and a car alternator. The tacho-generator is a dc machine that generates 90 V at 3000 r/min. It is used to measure the speed. It produces linear voltage between 2500 and 3000 r/min. The alternator is a three-phase synchronous machine with a regulator and a rectifier circuit that stabilize the output voltage at 12 V dc. The advantage of using a car alternator instead of a dc generator is that constant output voltage is obtained at various speeds. The induction motor could be identically loaded at different speeds.

Moreover, if the induction motor is supplied from the network, motor current will have time and space harmonic components as well as bearing fault sourced harmonics. This makes it harder to determine the bearing failure effect on the stator current, and therefore, complicates the fault detection process. For these reasons, the induction motor is

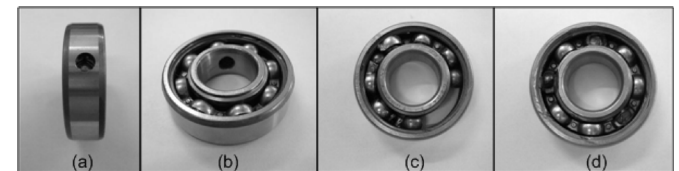


Fig. 7. Artificially deteriorated bearings. (a) Outer race deterioration. (b) Inner race deterioration. (c) Cage deterioration. (d) Ball deterioration.

fed by an alternator. In this way, supply harmonic effects are eliminated and only bearing failure effects could be observed on the stator current. Fig. 6 is then given to illustrate the experimental test philosophy.

The tested induction motor has the following rated parameters: 0.75 kW, 220/380 V, 1.95/3.4 A, 2780 r/min, 50 Hz, 2 poles, Y-connected. It has two 6204.2ZR type bearings.

From the bearing data sheet, the following parameters are obtained: the outside diameter is 47 mm and inside one is 20 mm. Assuming that the inner and the outer races have the same thickness gives the pitch diameter  $D_p = 31.85$  mm. The bearing has eight balls ( $N = 8$ ) with an approximate diameter of  $D_B = 12$  mm and a contact angle  $\theta = 0^\circ$ . These bearings are made to fail in experiments by drilling holes of various radii with a diamond twist bit while controlling temperature by oil circulation. Some of the artificially deteriorated bearings are shown in Fig. 7.

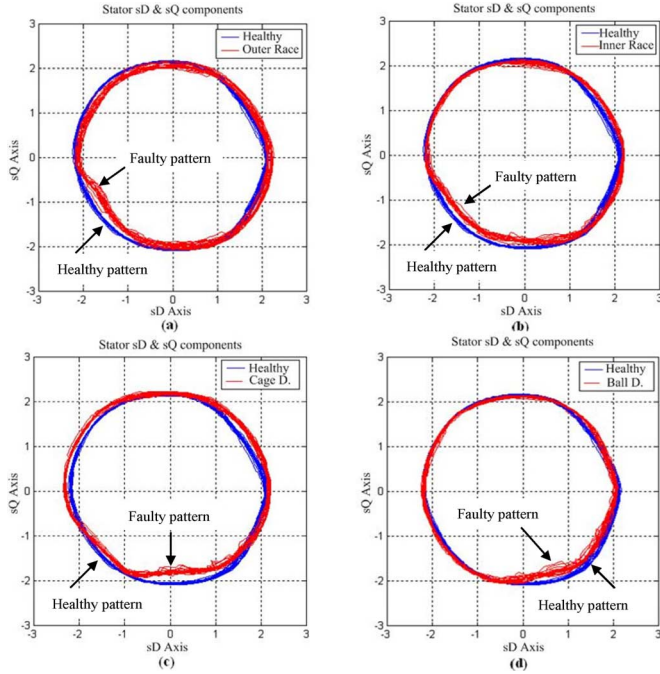


Fig. 8. Stator current  $sD-sQ$  component trajectory comparison.

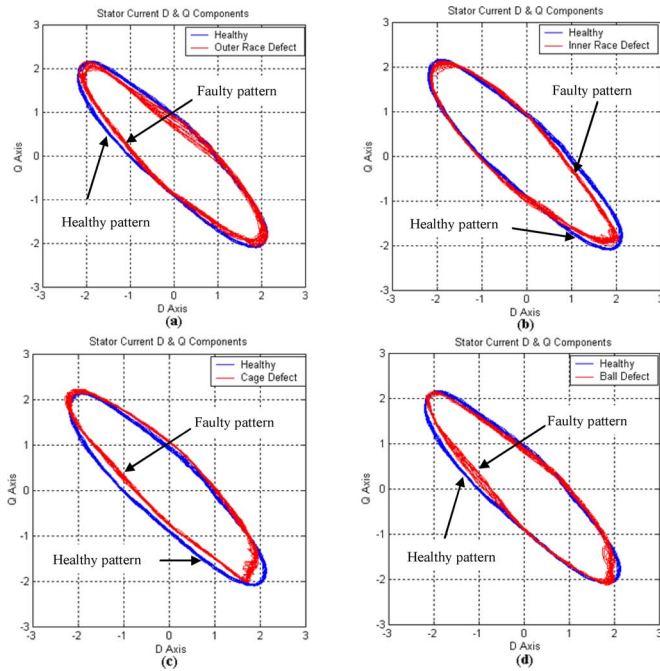


Fig. 9. Stator current  $D-Q$  components trajectory comparison.

### B. Concordia Transform Experimental Results

Sampling frequency is chosen as 10 kHz. All the data obtained are used to compute stator  $sD-sQ$  and  $D-Q$  components to obtain  $sD-sQ$  (Concordia) and  $D-Q$  (Park) patterns.

The induction motor was initially tested with healthy bearings in order to determine the reference current Concordia and Park patterns. Afterwards, it was tested with the different artificially deteriorated bearings. These experiments are summarized by Fig. 8 for Concordia patterns and by Fig. 9 for Park Patterns.

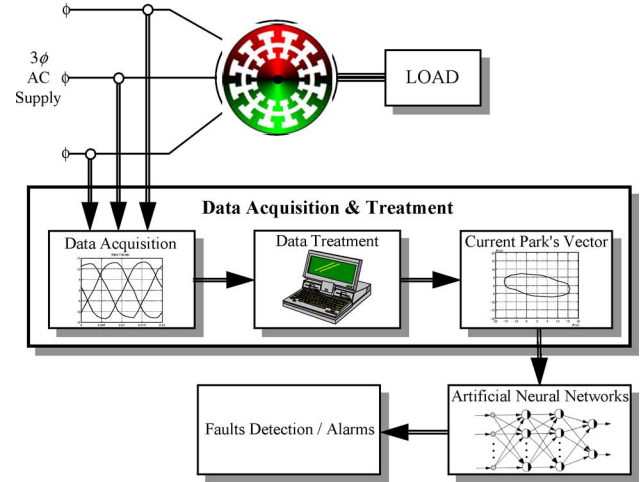


Fig. 10. Diagnosis process automation using neural networks [21].

It could be seen that bearing failures pattern cause a clear deformation of the stator current  $sD-sQ$  and  $D-Q$  trajectories. Moreover, an insight analysis of Fig. 9 leads to an obvious classification of bearing failures according to a specific deformation of the initial ellipse: this clearly shows the diagnosis capability of the Park transform approach.

The fault detection and diagnosis process could be summarized as: the occurrence of a bearing failure manifests itself in the deformation of the current pattern corresponding to a healthy condition (*failure detection*). The deformation analysis will lead to the *failure diagnosis*. Therefore, according to the aforementioned experimental analysis, it seems that the Park transform approach has better diagnosis capabilities than the Concordia transform. However, the Park approach is speed sensor based, which is not the case of the Concordia approach. This drawback could be justified by the importance of bearing failure diagnosis as they account for approximately 50% of total failures in induction motors. Otherwise, sensorless fault detection and diagnosis should be performed as in [26], where the speed is estimated from the motor current rotor slot harmonic.

### C. Discussions

The compared fault detection and diagnosis approaches will not be applied as visual inspection techniques. These approaches are indeed associated with techniques that automate the bearing failure detection and diagnosis. These techniques should take into account two relevant problems dealing for induction motor rotor failure detection: 1) time-varying load effect [27] and 2) incipient fault detection.

These two problems could be simply taken into account by using a sort of severity index [24]. Indeed, a severity index could address both the problems of fault detection in presence of an oscillating or position-varying load torque and incipient failures [28], [29].

Finally, it is obvious that the power supply quality will affect the pattern shape (e.g., hexagonal shape for a square-wave voltage supply) but the compared approaches that rely upon the difference between a healthy and a faulty pattern will still be valid.

## IV. DETECTION AND DIAGNOSIS PROCESS AUTOMATION

Park and Concordia transform approaches should be associated with intelligent techniques that automate the process of bearing failure detection and diagnosis and take into account the aforementioned discussed

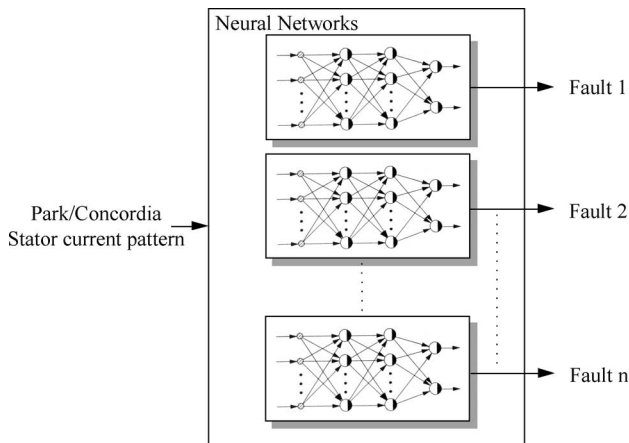


Fig. 11. Decentralized neural network diagnosis approach.

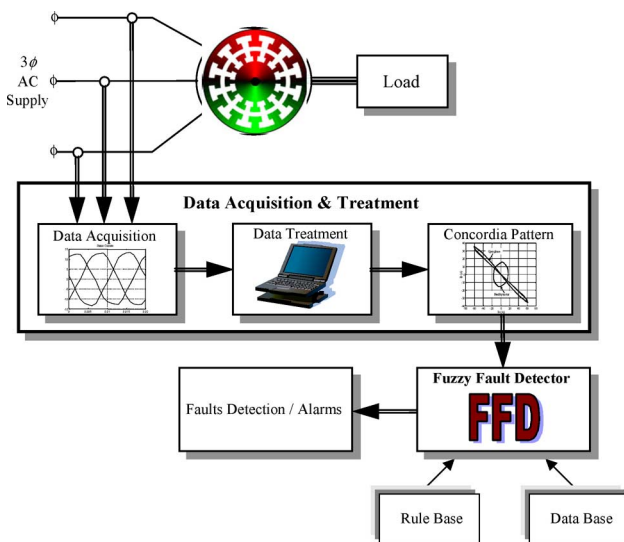


Fig. 12. Diagnosis process automation using fuzzy logic [24].

problems. For that purpose, two techniques are extensively used: neural networks [21], [25] and fuzzy logic [24], [30].

#### A. Neural Networks

Neural networks are used due to their numerous advantages. Indeed, when properly tuned, they could improve the diagnosis performance. They are easy to extend and modify, and they could be easily adapted by the incorporation of new data as it became available. For the analysis of stator current Park vector patterns, neural networks may be used as classifying systems. To perform classification, it is necessary to attach to each pattern a label that describes the operational state of the induction motor at the time of collecting the pattern. The input to the network is a pattern and the output is the class label.

For illustration, Fig. 10 shows a possible neural-network-based fault detection and diagnosis approach. In this case, the diagnosis process should be based on a decentralized approach, as illustrated by Fig. 11, to facilitate a satisfactory distributed implementation of new types of faults to the initial neural network system.

#### B. Fuzzy Logic

The main reason for choosing a fuzzy approach is the very nature of the changes in the attributes. It is nonlinear, and in addition, it would be unreasonable to expect that each time the same level of a particular fault arises, the attributes would measure exactly the same values. The boundaries between two levels of a certain fault or between two faults are not sharply defined, and therefore, the use of a classic *true or false* logic is inappropriate, whereas use of a fuzzy logic is highly justified.

For illustration, Fig. 12 shows a possible fuzzy-logic-based fault detection and diagnosis approach. It should be noted that implementation of new types of faults to the initial fuzzy fault detector is simply done by adding new fuzzy rules followed by an optimization process [29].

#### V. CONCLUSION

This paper dealt with the problem of bearing failure detection and diagnosis in induction motors. It compares two fault detection and diagnosis techniques, namely the Park transform approach and the Concordia transform. Experimental tests were carried out on a 0.75 kW two-pole induction motor with artificial bearing damage. These results seem to indicate that the Park transform approach has better diagnosis capabilities than the Concordia transform. However, the Park approach is speed sensor based, which is not the case of the Concordia approach. This drawback could be justified by the importance of bearing failure diagnosis as they account for approximately 50% of total failures in induction motors. Otherwise, sensorless fault detection and diagnosis should be performed using the Park transform approach as the speed could be estimated from the motor current rotor slot harmonic.

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