Evaluation of an aerosol optical scheme in the chemistry-transport model CHIMERE

J.C. Péré^{a,b,*}, M. Mallet^a, V. Pont^b, B. Bessagnet^a

^a Institut National de l'Environnement Industriel et des Risques, Parc technologique Alata, 60550 Verneuil en Halatte, France

^b Laboratoire d'Aérodologie, Université de Toulouse, Toulouse, France; Laboratoire d'Aérodologie, CNRS, Toulouse, France

This paper presents an aerosol optical scheme developed in the chemistry-transport model CHIMERE dedicated to calculate optical properties of particles. Such developments are very helpful as they complement the usual validation with PM (Particulate Matter) ground-based measurements by using surface (AERONET/PHOTONS network) and satellite (MODIS) remote sensing observations. To reach this goal, Aerosol Optical Thickness (AOT), column-averaged Single Scattering Albedo (SSA) and asymmetry parameter (g) are calculated at 440 nm, 675 nm, 870 nm and 1020 nm (AERONET wavelengths) under three hypotheses on the particle mixing state (external, internally homogeneous and core-shell). Furthermore and in addition to optical calculations, an original development has been made to estimate column volume size distributions in CHIMERE, directly comparable with AERONET retrievals. Comparisons between simulations and observations are made over Western Europe for the year 2003 but also for one specific case focused on ammonium nitrate aerosols. Observed AOT display a seasonal cycle (with highest values during summer) rather well reproduced by the model but biases with observational data have been found depending on seasons. In fall, winter and early spring, modeled AOT values agree well with AERONET retrievals with small negative biases. Focus on a pollution episode of ammonium nitrate origin during March 2003 reveals that CHIMERE is able to well reproduce the fine mode volume size distribution retrieved by AERONET, leading to good agreements between modeled and observed AOT. In late spring and summer, AERONET AOT values are underpredicted by the model, which could be due to uncertainties in modeling secondary species.

1. Introduction

It is now well recognized that particulate pollution is a real concern for human health as chemical and physical interactions of aerosols with lung tissues can induce irritations or damages (Barrett et al., 2008). Adverse health effects can occur after short-term exposure to concentration peaks as well as long term exposure to relatively low concentrations. For the year 2004, European Union limited values for daily ($50 \mu\text{g m}^{-3}$) and annual ($40 \mu\text{g m}^{-3}$) average PM₁₀ (aerosol with diameter less than $10 \mu\text{m}$) surface concentrations were exceeded in both urban and rural locations across Europe (Adams et al., 2007). Moreover, fine fraction of aerosols such as PM_{2.5} or PM₁ (aerosol with diameters less than 2.5 and $1 \mu\text{m}$, respectively) is paid much attention as it can penetrate deep into

the human respiratory and cardio-vascular system (Polichetti et al., 2009). Hence, efficient emission control strategies are an important challenge for environmental policies.

By predicting spatial and temporal distribution of particulate pollutants, air quality models are useful tools for air quality management. However, modeling aerosol properties with a high degree of accuracy remains a challenging task because of the complexity of particle sizes, chemical composition and degree of mixing. As health effects of air pollution are mainly due to fine particles, a key issue in air quality modeling is to accurately determine the fine fraction of aerosols. Nucleation, condensation/evaporation, coagulation and deposition processes are sources of errors in modeling particle size evolution. Also, the treatment of the mixing state of particles can have an impact on their modeled optical properties and on their chemical reactivity (Mallet et al., 2004; Vester et al., 2007; Dey et al., 2008). Some recent works (Sheer et al., 2005; Gyawali et al., 2009) showed that urban and industrial black carbon (BC) particles are externally mixed near the source of emissions and tend to grow by coagulation with other particles during transport to form an internally mixture of aerosols.

* Corresponding author at: Institut National de l'Environnement Industriel et des Risques, Parc technologique Alata, 60550 Verneuil en Halatte, France. Tel.: +33 03 44 61 82 52; fax: +33 03 44 55 66 99.

E-mail address: jean-christophe.PERE@ineris.fr (J.C. Péré).

Many processes influencing aerosol population are still not well described. Indeed, the formation of secondary organic aerosols (SOA), which are recognized to represent more than 60 % of the total carbonaceous aerosol over Europe in rural sites during summer (Gelencser et al., 2007), is poorly understood. Some reasons are uncertainties in volatile organic compounds (VOC as SOA precursors) emissions and poorly known chemical processes leading to their oxidation (Hallquist et al., 2009). The mixing of chemical species also modifies the hydrophobic/hydrophilic properties of the particle, which in turn affect its growth ability. For instance, an external mixture of black carbon is mostly hydrophobic while coating with sulfate, nitrate or organic aerosols increases its water affinity (Zhang et al., 2008; Khalizov et al., 2009).

Hence, facing the challenge of aerosol modeling, increasingly detailed air quality numerical models have been developed in the past few decades (Schaap et al., 2004; Bessagnet et al., 2004; Mallet et al., 2007). An important stage in the development of such models is to evaluate their performances with as much as observational data as available. The air quality monitoring network coordinated by EMEP (European Monitoring and Evaluation Programme) (see EMEP web site: <http://www.emep.int/>) and AirBase (European Air quality dataBase) (see AirBase web site: <http://air-climate.eionet.europa.eu/databases/airbase/>) was developed in Europe. It supplies regular and reliable measurements of some gaseous and particulate pollutants (O_3 , H_2SO_4 , NO_3 , NH_4 , $PM_{2.5}$ and PM_{10}) used for model validation. However, the set of measured parameters is not numerous enough to give a complete description of the aerosol characteristics, such as a detailed aerosol chemical composition and fine fraction. In that sense, aerosol optical properties can be used for air quality model validation as they are strongly related to aerosol concentration, chemical composition, size distribution and degree of particle mixing (Garland et al., 2009). In that frame, the AErosol RObotic NETwork (AERONET), which consists in about 200 photometers spread over all continents, provides measurements of key aerosol properties (Dubovik et al., 2000; Holben et al., 2001) and represents a new way for air quality model evaluation. These instruments retrieve columnar characteristics of the aerosol population such as volume size distribution and optical properties as aerosol optical thickness (AOT) (column integrated aerosol extinction), single scattering albedo (SSA) (ratio of scattering to (scattering + absorption)) and asymmetry parameter (g) (angular distribution of scattered light) at four wavelengths (440 nm, 675 nm, 870 nm and 1020 nm) (Dubovik and King, 2000). SSA is a relevant parameter for characterizing absorbing properties of the aerosol population. Indeed, SSA decreases (in the visible radiation) for growing proportion of absorbing particles such as black carbon aerosol (Höller et al., 2000). Also, increasing SSA values from visible to infrared wavelengths indicate influence of dust particles (Dubovik et al., 2001). In addition, the asymmetry parameter is also a useful indicator of the aerosol type, with larger values for coarse particles (at a given wavelength).

Moreover, regional information on vertically integrated particle loading can be obtained from satellite observations as AOT is now well retrieved from sensors such as Moderate Resolution Imaging Spectroradiometer (MODIS) (Engel-Cox et al., 2004).

This study presents an aerosol optical scheme developed as a complementary evaluation tool of the chemistry-transport model CHIMERE (Vautard et al., 2001; Bessagnet et al., 2004). This aerosol optical module has been built to calculate specifically aerosol optical properties directly comparable with AERONET (Dubovik et al., 2000) and satellite inversions. It computes AOT, column-averaged SSA and g at the four AERONET wavelengths (440 nm, 675 nm, 870 nm and 1020 nm) for three types of aerosol mixings: external, internally homogeneous and core-shell. Furthermore and

in addition to optical calculations, an original development has been made for calculating column volume size distributions in CHIMERE, directly comparable with AERONET volume size distributions (Dubovik et al., 2000). We clearly demonstrate in this work the utility of such developments as air quality models are usually evaluated with PM_{10} and $PM_{2.5}$ databases, without considerations on the detailed aerosol size distribution.

The paper is structured as follows. In Section 2, the CHIMERE aerosol module is presented including the computation of the aerosol volume size distribution along with the modeling of the optical properties under the three assumptions on the particle mixing state. In Section 3, we describe the observational data of AERONET sunphotometers and MODIS sensor used in this study. Then, in Section 4, comparisons between modeled and observed aerosol chemical composition, size distribution and optical properties are discussed for all the year 2003 and also for one specific pollution event focused on ammonium nitrate aerosols.

2. CHIMERE aerosol module

2.1. Aerosol module

Given a set of NO_x , SO_x , NH_3 , PM , VOC's and CO emissions, the chemistry-transport model CHIMERE (Vautard et al., 2001) calculates the concentrations of gas-phase and aerosols species. The dynamics and gas-phase parts of the model (see Schmidt et al., 2001) have successively been improved (Vautard et al., 2003, 2005). The full model documentation for the updated version can be found at: <http://www.lmd.polytechnique.fr/chimere/>. The aerosol module, described in Bessagnet et al. (2004), calculates concentrations of 10 chemical species: sulfates, nitrates, ammonium, primary organic and black carbon (OC and BC), secondary organic aerosols, sea salt, natural and anthropogenic dust and water. The gas-particle partitioning of the ensemble Sulfate/Nitrate/Ammonium is treated by the code ISORROPIA (Nenes et al., 1998) implemented in CHIMERE. OC and BC emissions are issued from the methodology in Junker and Liousse (2008) study. In 2003, important wildfires affected Western Europe with more than 650,000 ha of forest area and about 45,000 ha of agricultural land destroyed. In this study, particulate matter and trace gases (such as CO, VOC, NO, NO_2 , etc.) released into the atmosphere by fires are taken into account according to the methodology described in Hodzic et al. (2007). Anthropogenic dust emissions are taken from EMEP inventory and natural dust are transported from boundary conditions calculated on a monthly base, and are locally produced within the domain after Vautard et al. (2005). SOA formation is represented according to oxidation of relevant precursors and gas-particle partitioning of the condensable oxidation products. The chemical scheme includes precursors of biogenic (such as isoprene, terpene, etc.) and anthropogenic (such as benzene, toluene, etc.) origin. VOC and NO emissions from vegetation are calculated using the Model of Emissions of Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2006). The gas-particle partitioning formulation of Pun et al. (2006) has been adapted to the SOA formation mechanism implemented in CHIMERE (Bessagnet et al., 2009). The particle size distribution ranges from about 40 nm to 10 μm and is distributed into 12 bins. Dynamical processes influencing aerosol population such as coagulation, condensation/evaporation, adsorption/desorption, wet and dry deposition and scavenging are also taken into account.

In the CHIMERE model, an original algorithm was developed (see equation (1)) to calculate an aerosol column volume size distribution ($dV/d\log(r)$) directly comparable to the column volume size distribution retrieved by AERONET:

$$\frac{dV}{d\log(r)} (\mu\text{m}^3 \times \mu\text{m}^{-2}) = \left\{ \sum_{k=1}^{k=nl} \frac{\sum_{c=1}^{c=C_{\max}} \frac{m_i(c)}{\rho_i(c)} \times H(k)}{\log(r_{i,\max}) - \log(r_{i,\min})} \right\}_{\text{bin}(i)} \quad i=1, \dots, 12 \quad (1)$$

where $m_i(c)$ and $\rho_i(c)$ are respectively the mass concentration and the density of modeled species c in the bin i (values of density of each chemical specie used in this work are displayed in Table 1). $C_{\max}$ ($= 10$) is the total number of modeled chemical species (including the aerosol liquid water content) in each bin, $r_{i,\min}$ and $r_{i,\max}$ are the minimum and maximum radius of the size bin i . $H(k)$ is the width of the modeled vertical layer k and nl is the total number of layer.

In this study, a version of CHIMERE for a domain covering the Western Europe is used. The model grid ranges from 14°W to 28°E and from 35°N to 58.2°N with a 0.4 degree resolution both in latitude and longitude. The vertical grid contains 8 layers from surface to 500 hPa. The meteorological fields required by CHIMERE such as 3D winds, temperature, humidity and cloud water content are generated by the 5th Penn State mesoscale meteorological model MM5 (Dudhia, 1993) run over Europe with a 54 km resolution.

2.2. Optical module

2.2.1. Mixing hypotheses

Computing the complex refractive index of a particle requires to make an assumption on the mixing state of the aerosol chemical species. In this study, three different mixing states are modeled: external, internally homogeneous and core-shell mixing. The real and imaginary parts of the refractive indexes of each aerosol specie used to perform our simulations are reported in Table 1.

• External mixing

In the external treatment, there is no coagulation process between aerosols. Hence, each modeled particle consists in only one chemical substance. The extinction, scattering and absorption efficiency of a given species is calculated in each size bin using the Mie code published by Voshchinnikov (2004). The simulated optical efficiencies of the particle are the sum of all the optical efficiencies of the different aerosol chemical species (Hess et al., 1998).

• Internally homogeneous mixing

In this mixing state, the different chemical species are well-mixed in each size bin. To reflect the chemical and optical average

of the aerosol population, a volume-weighted complex refractive index is calculated from the refractive index of pure species (Lesins et al., 2002). A mean particle density is similarly determined. The optical efficiencies of the particle are computed using the Mie code for homogeneous sphere of Voshchinnikov (2004). The optical properties of the total aerosol population are then retrieved using the methodology developed by Wu et al. (1996) for a sectional aerosol distribution.

• Core-shell mixing

The hypothesis of an internally homogeneous mixing seems to be unphysical as BC can not be well-mixed in the particle because of its complex geometry (Sachdeva and Attri, 2007). Thus, in the core-shell mixing, each particle is assumed to have a structure as follows: primary aerosols (soot and mineral dust) are assumed to be the core. Here, soot is referenced as a mixture of BC and OC (Jacobson, 2000). Secondary particles (sulfates, nitrates, ammonium, secondary organic aerosols), sea salt and water are assumed to form the shell material. Coating of soot with secondary particles has recently been observed over Western Europe by Vester et al. (2007). Similar observations have been made in Mexico City by Adachi and Buseck (2008) and near Tokyo by Shiraiwa et al. (2007) who show that soot aerosols are generally coated by organic matter and sulfates.

The real and imaginary parts of the refractive index of the core and the shell have been determined using a volume average procedure (Lesins et al., 2002). For each size bin, we calculate the volume fraction of the core (BC + OC + Dust) and the shell (sulfate + nitrate + ammonium + SOA + sea salt + water) as follows:

$$f_{\text{core}} = (V_{\text{BC}} + V_{\text{OC}} + V_{\text{Dust}}) / V_{\text{total}}$$

$$f_{\text{shell}} = \left(V_{\text{sulfate}} + V_{\text{nitrate}} + V_{\text{ammonium}} + V_{\text{SOA}} + V_{\text{seasalt}} + V_{\text{water}} \right) / V_{\text{total}}$$

Hence, the volume of the core and the shell can vary with the size of the particle as volume of chemical species can differ from a size bin to another due to physical processes influencing aerosol population such as nucleation, coagulation, condensation/evaporation, adsorption/desorption and deposition.

Here, the extinction, scattering and absorption efficiencies of a single particle are computed using the Mie algorithm for n -layered spheres of Wu and Wang (1991) and the optical properties of the total aerosol distribution by using the methodology of Wu et al. (1996).

Table 1
Density and wavelength-dependent complex refractive index of each aerosol specie used in the CHIMERE optical module.

Specie	Density	Complex refractive index ($n - ik$)			
		440 nm	675 nm	870 nm	1020 nm
Nitrate	1.7 ^a	1.53–i0.006 ^b	1.53–i0.006	1.53–i0.006	1.53–i0.006
Ammonium	1.7 ^a	1.52–i0.0005 ^c	1.52–i0.0005	1.52–i0.0005	1.51–i0.0005
Sulfate	1.84 ^c	1.44–i10 ^{(-8)c}	1.43–i2.6 × 10 ⁻⁸	1.43–i1.7 × 10 ⁻⁷	1.50–i × 10 ⁻⁸
OC	1.5 ^d	1.45–i0.001 ^c	1.45–i0.001	1.45–i0.001	1.45–i0.001
BC	1.5 ^d	1.87–0.569 ^e	1.87–0.569	1.87–0.569	1.87–0.569
SOA	1.5 ^d	1.45–i0.001 ^c	1.45–i0.001	1.45–i0.001	1.45–i0.001
Sea salt	2.1 ^c	1.45–i0.0056 ^c	1.45–i5 × 10 ⁻⁵	1.45–i5 × 10 ⁻⁵	1.45–i1.2 × 10 ⁻⁴
Dust	2.3 ^b	1.52–i0.008 ^c	1.51–i0.008	1.50–i0.008	1.50–i0.008
Water	1.0 ^c	1.34–i2 × 10 ^{(-9)c}	1.33–i3.4 × 10 ⁻⁸	1.33–i3.9 × 10 ⁻⁷	1.32–i1.43 × 10 ⁻⁶

n and k are respectively the real and imaginary parts of the complex refractive index.

^a See Sloane (1984).

^b See d'Almeida et al. (1991).

^c See Krekov (1993).

^d See Horvath (1993).

^e See Marley et al. (2001).

2.2.2. Modeling aerosol optical properties

The optical module has been developed for direct comparisons with AERONET inversions, including the aerosol optical thickness for the total (AOT_{tot}) and fine (AOT_{fine}) aerosol size distribution, and column-averaged single scattering albedo and asymmetry parameter.

The extinction (b_{ext}), scattering (b_{sca}) and absorption (b_{abs}) coefficient of particles can be expressed as (Mie, 1908):

$$b_i(m^{-1}) = \int_{R_{min}}^{R_{max}} \pi r^2 Q_i(\lambda, m, f(r)) f(r) dr \quad i = ext, sca, abs \quad (2)$$

where r is the aerosol radius, Q_i is the efficiency factor of the particle, which depends on the wavelength λ , the aerosol complex refractive index m and the aerosol distribution function $f(r)$. R_{min} and R_{max} are respectively the minimum and maximum radius of the aerosol distribution.

- Aerosol optical thickness

The Aerosol Optical Thickness (at a wavelength λ) is defined as follows:

$$AOT(\lambda) = \int_{z_0}^{z_{TOA}} b_{ext}(\lambda, z) dz \quad (3)$$

where z_0 and z_{TOA} are respectively the altitude at ground level and at the top of atmosphere. In the optical module, a cut-off radius of $R_{max} = 0.6 \mu m$ is used (in the b_{ext} expression, see equation (2)) to calculate AOT_{fine} , which allows direct comparisons with AOT_{fine} retrieved by AERONET.

The spectral dependence of the aerosol optical thickness is given by the Angström exponent. For measurements of $AOT(\lambda_1)$ and $AOT(\lambda_2)$ taken at two wavelengths λ_1 and λ_2 respectively, the Angström exponent $\alpha(\lambda_1 - \lambda_2)$ is given by:

$$\alpha(\lambda_1 - \lambda_2) = - \left[\frac{\log(AOT(\lambda_1)/AOT(\lambda_2))}{\log(\lambda_1/\lambda_2)} \right] \quad (4)$$

Coarse particles (such as mineral dust) are associated with *alpha* (440–870 nm) values less than 1 and vice versa for fine aerosols (Dubovik et al., 2001).

- Single Scattering Albedo

The Single Scattering Albedo is calculated as the ratio between the scattering and the extinction (scattering + absorption) coefficient. To make comparisons with AERONET observations, a column-averaged SSA is modeled as follows:

$$SSA(\lambda) = \sum_{k=1}^{k=8} SSA_k \times \left[\frac{b_{ext,k}}{\sum_{k=1}^{k=8} b_{ext,k}} \right] \quad (5)$$

where SSA_k and $b_{ext,k}$ are respectively the simulated single scattering albedo and extinction coefficient of the CHIMERE vertical layer k .

- Asymmetry parameter

The asymmetry parameter g is defined as the cosine-weighted average of the phase function, where the phase function is the probability of radiation being scattered in a given direction. g vary from -1 for a complete backward scattering to 1 for a complete forward scattering. Values of g are generally comprised between 0 and 1 for aerosols. As for the SSA, we have calculated a column-averaged asymmetry parameter to make direct comparisons with g values retrieved by AERONET:

$$g = \sum_{k=1}^{k=8} g_k \times \left[\frac{b_{sca,k}}{\sum_{k=1}^{k=8} b_{sca,k}} \right] \quad (6)$$

where g_k and $b_{sca,k}$ are respectively the modeled asymmetry parameter and the scattering coefficient of the layer k .

3. Instrumental data

3.1. AERONET retrievals

AERONET stations provide measurements of a large number of parameters characterizing aerosol population such as column volume size distribution and optical properties at four wavelengths (440 nm, 675 nm, 870 nm and 1020 nm). AOT is retrieved for the total aerosol population (AOT_{tot}) and for both the fine (AOT_{fine}) and coarse (AOT_{coarse}) mode. The cut-off radius of $0.6 \mu m$ is used as a separation point between fine and coarse aerosols. The uncertainty in AOT_{tot} , AOT_{fine} and AOT_{coarse} measurements are respectively ± 0.01 , ± 0.02 and ± 0.015 , for $\lambda \geq 440$ nm (Holben et al., 2001; Dubovik, 2010). As the uncertainty of ± 0.015 is in the same order of magnitude than AERONET AOT_{coarse} values usually observed over Europe during the year 2003, we do not use this AERONET inversion in our study.

The AERONET provides also the column averaged single scattering albedo. The associated uncertainty, at the four wavelengths, is ± 0.03 when AOT (440 nm) is above 0.2 and ± 0.07 otherwise (Dubovik et al., 2000). Based on these considerations, we estimate in our study a mean AERONET SSA associated to an average uncertainty ($SSA(\lambda) \pm \Delta(SSA(\lambda))$) as follows:

$$\overline{SSA}(\lambda) = \frac{1}{N} \sum_{i=1}^{i=N} SSA_i(\lambda) \quad (7)$$

$$\Delta(SSA(\lambda)) = \frac{(n1 \times 0.03) + (n2 \times 0.07)}{N} N = n1 + n2 \quad (8)$$

Fig. 1. Location of the 13 AERONET stations (circles) used in this study. ELA: El Arenosillo (-6.73° E, 37.11° N), OOS: Oostende (2.93° E, 51.23° N), LEF: Le Fauga (1.29° E, 43.38° N), HAM: Hamburg (9.58° E, 53.34° N), EVO: Evora (-7.54° E, 38.34° N), PAL: Palencia (-4.30° E, 41.59° N), ORI: IMC Oristano (8.30° E, 39.54° N), LEC: Lecce University (18.06° E, 40.20° N), VEN: Venice (12.30° E, 45.18° N), CAR: Carpentras (5.03° E, 44.04° N), LIL: Lille (3.08° E, 50.36° N), GOT: Gotland (18.57° E, 57.55° N), LEI: IFT Leipzig (12.26° E, 51.21° N).

Table 2
List of EMEP and AirBase stations.

Station	Latitude (°N)	Longitude (°E)	Country
NL09(EP ^a)	53.20	6.17	The Netherlands
NL10(EP)	51.32	5.51	The Netherlands
DERP017(AB ^b)	49.27	7.83	Germany
DEUB002(AB)	49.76	7.05	Germany
DEUB005(AB)	52.80	10.76	Germany
FR10014(AB)	50.98	2.14	France
FR35006(AB)	45.26	1.76	France
ES08(EP)	43.26	-4.51	Spain
ES10(EP)	42.19	-3.19	Spain
ES0013R(AB)	41.24	-5.90	Spain
ES0016R(AB)	42.64	-7.71	Spain
ES1599A(AB)	43.25	-2.16	Spain
ES1614A(AB)	40.38	-4.20	Spain
GB0036R(AB)	51.57	-1.33	United Kingdom
HU02(EP)	46.58	19.35	Hungary
IT01(EP)	42.03	12.38	Italy
PL02(EP)	51.49	21.59	Poland
PL04(EP)	54.45	17.32	Poland
SE0011R(AB)	56.03	13.15	Sweden
SK05(EP)	49.22	19.41	Slovakia
SK06(EP)	49.03	22.16	Slovakia
SK07(EP)	47.58	17.52	Slovakia

^a EP: EMEP station.

^b AB: AirBase station.

where $n1$ and $n2$ are the numbers of AERONET SSA data on the observational sites corresponding to AERONET AOT(440 nm) respectively above and less or equal to 0.2.

The AERONET asymmetry parameter data product is retrieved with a $\pm 5\%$ uncertainty (at the four wavelengths) (Dubovik and King, 2000).

For the year 2003, level 2.0 and 1.5 (when level 2.0 was not available) AERONET data from 13 European sites located as well close to as far from aerosol sources are considered (Fig. 1).

3.2. MODIS aerosol optical thickness products

To obtain larger spatial information on AOT, aerosol measurements by MODIS sensor are used in this work.

Table 3
Statistical comparisons of modeled and observed nitrate, sulfate and ammonium daily mean surface concentrations for the four seasons of the year 2003. Obs. and Mod. are respectively the mean observed and modeled concentration value averaged over the 11 EMEP sites and NMB and Corr. are respectively the normalized mean bias (%) and the spatio-temporal correlation.

	Nitrate				Ammonium				Sulfate			
	DJF	MAM	JJA	SON	DJF	MAM	JJA	SON	DJF	MAM	JJA	SON
Obs. ($\mu\text{g m}^{-3}$)	3.72	3.83	2.06	2.77	2.58	2.31	1.54	1.77	4.00	4.16	4.23	3.03
Mod. ($\mu\text{g m}^{-3}$)	6.98	6.17	0.48	4.84	3.96	3.66	1.52	3.28	3.90	4.21	4.09	3.71
Corr.	0.54	0.72	0.46	0.66	0.49	0.66	0.57	0.69	0.60	0.56	0.38	0.56
NMB(%)	88	61	-77	75	53	58	-2	85	-3	1	-3	22
Ndata	760	808	829	834	421	426	442	448	913	884	947	936

$$\text{Obs.} = \bar{O} = 1/N_{\text{data}} \sum_i \sum_j O_{ij} \quad (9)$$

$$\text{Mod.} = \bar{P} = 1/N_{\text{data}} \sum_i \sum_j P_{ij} \quad (10)$$

$$\text{NMB}(\%) = 100/N_{\text{data}} \sum_i \sum_j (P_{ij} - O_{ij}) / \sum_i \sum_j O_{ij} \quad (11)$$

$$\text{Corr} = \frac{\sum_i \sum_j (O_{ij} - \bar{O}) \times (P_{ij} - \bar{P})}{\sqrt{\sum_i \sum_j (O_{ij} - \bar{O})^2} \times \sqrt{\sum_i \sum_j (P_{ij} - \bar{P})^2}} \quad (12)$$

where $O_{i,j}$ and $P_{i,j}$ are respectively the observation and the model prediction at the site i for the given day j . N_{data} is the total number of samples.

MODIS is a spectroradiometer taken on board of the TERRA and AQUA platforms. The operational algorithm derives aerosol optical thickness at 550 nm at 10 km resolution with a local overpass time around 11:00 a.m. over Europe. A cloud mask is used to determine cloud cover above the area of measurement. The MODIS cloud mask algorithm classifies pixels as either confident clear, probably clear, probably cloudy or cloudy. This pixel categorization is the result of tests applied to 20 of the 36 MODIS spectral bands to identify the presence of clouds (including cirrus) in the instrument field-of-view (King et al., 2003). The complete description of MODIS remote sensing of aerosol properties over land is described by Kaufman et al. (1997). In this study, we use collection C005 AOT data (cloud free) at 550 nm from the MODIS AQUA sensor. Uncertainty above continents is given by $0.05 + 0.2 \times \text{AOT}$ (Kaufman et al., 1997).

4. Results and discussion

In section 4.1, we evaluate the aerosol chemical module for the year 2003 on a seasonal basis using daily mean data of EMEP and AirBase observational sites. Then, a general evaluation of CHIMERE performances in simulating AOT, SSA and g using AERONET and satellite inversions is discussed in Section 4.2. Finally in section 4.3, the aerosol module is evaluated for a typical episode of ammonium nitrate occurring on 27 March 2003 over northern France, Benelux and western Germany.

4.1. PM observations versus modeling results

We present here an evaluation of the PM10 and PM2.5 concentrations and also an evaluation of the main particulate species simulated by CHIMERE such as nitrate, sulfate and ammonium. 11 AirBase (for PM10 and PM2.5 comparisons) and 11 EMEP (for H_2SO_4 , HNO_3 and NH_4 comparisons) background stations, listed in Table 2, were selected to evaluate the aerosol chemical module over various European countries. As air quality model performances evaluation over Europe is usually performed on a seasonal basis (Bessagnet et al., 2004; Honoré et al., 2008), hereafter, comparisons are made for 4 seasonal periods: winter (DJF) corresponding to December–February, spring (MAM) corresponding to March–May, summer (JJA) corresponding to June–August and fall (SON)

Table 4

Statistical comparisons of modeled and observed PM_{2.5} and PM₁₀ surface concentrations for the four seasons of the year 2003. Obs., Mod. Corr. and NMB are calculated with equations (9)–(12) where sites represent the 11 AirBase sites.

	PM _{2.5}				PM ₁₀			
	DJF	MAM	JJA	SON	DJF	MAM	JJA	SON
Obs. ($\mu\text{g m}^{-3}$)	12.2	13.6	12.9	10.2	17.5	21.6	23.3	18.1
Mod. ($\mu\text{g m}^{-3}$)	14.2	16.9	14.2	15.0	14.9	18.7	18.4	16.4
Corr.	0.81	0.69	0.70	0.73	0.71	0.61	0.63	0.51
NMB(%)	16	25	10	46	-15	-13	-21	-10
Ndata	581	595	548	575	924	948	918	916

corresponding to September–November. Moreover, as comparisons between simulations and observations present low differences between each selected sites for a given season, statistical indicators used in this study (defined in Table 3) are calculated on a spatial-averaged basis over all the observational sites.

Results for nitrate, sulfate and ammonium surface concentrations for the four seasons of the year 2003 are presented in Table 3. Comparisons for the three species display spatio-temporal correlations in the range 0.38–0.72 in accordance with current air quality models performances (Stern et al., 2008).

The CHIMERE model tends to overestimate nitrate and ammonium concentrations in winter, spring and fall with normalized mean bias (NMB) in the range 53–88 % (cf Table 3). The artifacts in the measurements methods due to the volatilization of ammonium nitrate from filters during the conditioning procedure at 50°C could partly explain the model overestimation during these seasons (Favez et al., 2007). In summer, results for ammonium are quite good with small biases (NMB = -2%) whereas modeled nitrate concentrations are underestimated (NMB = -77%). The non-negligible summer formation of nitrate by reaction of nitric acid on sea salt and soil dust (Lee et al., 2008) that is not implemented in CHIMERE could partly explain this model bias.

Performances of CHIMERE on sulfate are good with small biases ($-3\% \leq \text{NMB} \leq 22\%$) (cf Table 3) for the four seasons. These results are encouraging since sulfur aqueous chemistry is difficult to simulate.

Table 4 displays statistical comparisons of modeled and observed PM_{2.5} and PM₁₀ surface concentrations spatial-averaged over 11 AirBase sites (cf Table 2) for the four seasons of the year 2003. For PM_{2.5} and PM₁₀, the spatio-temporal correlation coefficients are fairly good with values in the range 0.51–0.81. In fall, winter and spring, the overestimation of PM_{2.5} (NMB = 16–46%) are mainly caused by the overestimation of the inorganic fraction (especially nitrate and ammonium) principally found in the accumulation mode during these seasons. During summer, observed PM_{2.5} surface concentrations are rather well estimated by CHIMERE with small positive biases (NMB = 10%).

Concerning PM₁₀ surface concentrations, results give the small negative biases for the four seasons ($-21\% \leq \text{NMB} \leq -10\%$, cf Table 4) in accordance with current chemistry transport model performances Honoré et al. (2008). Error compensations between aerosol chemical components are certainly responsible for a part of these good results (Seigneur, 2001). Indeed, the lack of resuspended coarse particles and mineral dust in the CHIMERE model could in partly be offset by the overestimated PM_{2.5} concentrations over the year 2003.

4.2. General evaluation of the CHIMERE optical module

To evaluate uncertainties on modeled aerosol optical properties, two sensitivity tests have been performed. The first one concerns the value of the complex refractive index of black carbon, which is the main absorbing aerosol species. Simulations have been performed by using the BC refractive index values of 1.75–i0.44 (Hess et al., 1998) and 1.95–i0.66 (Bergström, 1972). Estimates of simulated optical properties are then compared with those obtained with the reference value of 1.87–i0.569 (Marley et al., 2001) used thereafter in our simulations. Moreover, in the absence of extensive validation of BC mass concentrations simulated by CHIMERE, we evaluated, in a second approach, the impact of an increase/decrease of 20% of simulated BC mass concentration on modeled optical properties. These two types of sensitivity tests indicate, for the three aerosol mixings, small discrepancies equal to:

Fig. 2. Seasonal mean over the year 2003 of AOT_{tot} and AOT_{fine} at 440 nm spatial-averaged over 13 AERONET sites for an aerosol external mixing (ext), internally homogeneous mixing (hom), core-shell mixing (cshell), along with corresponding AERONET observed values. The error bars represent the uncertainty range of observations (± 0.01 for AOT_{tot} and ± 0.02 for AOT_{fine}, see Holben et al., 2001; Dubovik, 2010) and simulations (± 0.005 for AOT_{tot} and AOT_{fine} for the three mixings; see sensitivity tests described in Section 4.2).

Table 5a

Statistical comparisons of observed and simulated AOT_{tot} at 440 nm, for the four seasons of the year 2003. In spring, statistics are calculated on a monthly average basis for March (Mar.), April (Apr.) and May (May). Ext, Hom and Cshell are the simulated mean aerosol optical thickness for, respectively, the external, internally homogeneous and core-shell aerosol mixing. Obs., ext, hom, cshell, Corr. and NMB are calculated with equations (9)–(12) where sites represent the 13 AERONET stations.

	AOT_{tot}					
	DJF	Mar.	Apr.	May	JJA	SON
Obs.	0.14 ± 0.01	0.26 ± 0.01	0.29 ± 0.01	0.24 ± 0.01	0.29 ± 0.01	0.18 ± 0.01
Ext	0.119 ± 0.005	0.199 ± 0.005	0.127 ± 0.005	0.112 ± 0.005	0.178 ± 0.005	0.152 ± 0.005
NMB (%)	–17	–23	–56	–53	–48	–21
Corr.	0.67	0.63	0.74	0.63	0.65	0.55
Hom	0.116 ± 0.005	0.206 ± 0.005	0.130 ± 0.005	0.109 ± 0.005	0.171 ± 0.005	0.154 ± 0.005
NMB (%)	–20	–21	–55	–54	–54	–18
Corr.	0.62	0.60	0.73	0.53	0.62	0.51
Cshell	0.117 ± 0.005	0.206 ± 0.005	0.130 ± 0.005	0.109 ± 0.005	0.171 ± 0.005	0.154 ± 0.005
NMB (%)	–17	–21	–55	–54	–53	–18
Corr.	0.62	0.59	0.73	0.53	0.62	0.50
Ndata	435	320	310	257	1506	832

± 0.005 on modeled AOT_{tot} and AOT_{fine}
 ± 0.001 on simulated asymmetry parameter.

Concerning the modeled single scattering albedo, discrepancies are larger and equal to ± 0.03 for the external and core-shell mixing and ± 0.04 for the internally homogeneous one.

4.2.1. Aerosol optical thickness

Fig. 2 shows the seasonal mean values of the observed and simulated AOT (at 440 nm) for the total and fine aerosol size distribution averaged over the 13 AERONET sites. Uncertainties are indicated with error bars. Tables 5a and 5b present corresponding error statistics (In spring, statistics are calculated on a monthly average basis for March, April and May 2003). In Fig. 2, we can see that differences on modeled AOT values between the three aerosol mixings are small (with discrepancies less than 10 %), which is coherent with previous studies showing low impact of the aerosol mixing on modeled extinction (Fassi-Fihri et al., 1997; Tombette et al., 2008). A clear seasonal cycle is observed and is rather well reproduced by CHIMERE although simulations indicate a much weaker seasonal variation. AERONET AOT values are the lowest during winter ($AOT_{tot} = 0.14 \pm 0.01$) and the highest during summer 2003 ($AOT_{tot} = 0.29 \pm 0.01$), characterized by stable atmospheric conditions over Europe favorable to the accumulation of particulate pollution (Vautard et al., 2007). The model seems to well reproduce the variability of observations in both space and time with spatio-temporal correlation in the range 0.50–0.74.

In winter and fall, modeled AOT_{tot} and AOT_{fine} (for aerosol radius $\leq 0.6 \mu m$) values agree well with AERONET observations with small negative biases ($-21\% \leq NMB \leq -16\%$, cf Tables 5a and b), which could indicate a rather good estimation of vertically integrated particles loading in spite of overestimated PM2.5 surface concentrations during these seasons.

In early spring (March 2003), AERONET AOT_{tot} and AOT_{fine} are rather well estimated by CHIMERE ($-23\% \leq NMB \leq -21\%$, cf Tables 5a and 5b) for particulate pollution dominated by secondary inorganic aerosols. In that sense, an ammonium nitrate episode that occurred over northern France and western Germany during March 2003 will be further investigated in section 4.3.

In late spring (April–May 2003) and summer, large discrepancies between AERONET and CHIMERE AOT_{tot} and AOT_{fine} ($-56\% \leq NMB \leq -43\%$, cf Tables 5a and 5b) could be related to uncertainties in accurately modeling secondary aerosol species.

4.2.2. Single scattering albedo and asymmetry parameter

Studying single scattering albedo and asymmetry parameter is of particular interest when evaluating an aerosol model as they strongly depend on particle size, chemical composition and degree of mixing.

As shown by Chubarova (2009), SSA and g do not present a seasonal variation over Europe as pollution is mainly dominated by fine urban/industrial and biomass burning aerosols over the year. Hence, hereafter comparisons between model and observations are made on an annual average basis.

Table 5b

The same as Table 5a but for AOT_{fine} .

	AOT_{fine}					
	DJF	Mar.	Apr.	May	JJA	SON
Obs.	0.13 ± 0.02	0.25 ± 0.02	0.25 ± 0.02	0.20 ± 0.02	0.25 ± 0.02	0.16 ± 0.02
Ext	0.110 ± 0.005	0.197 ± 0.005	0.123 ± 0.005	0.110 ± 0.005	0.165 ± 0.005	0.143 ± 0.005
NMB (%)	–16	–23	–51	–44	–45	–18
Corr.	0.67	0.67	0.73	0.57	0.59	0.63
Hom	0.104 ± 0.005	0.202 ± 0.005	0.126 ± 0.005	0.105 ± 0.005	0.166 ± 0.005	0.141 ± 0.005
NMB (%)	–20	–21	–50	–46	–43	–19
Corr.	0.65	0.64	0.72	0.52	0.59	0.58
Cshell	0.105 ± 0.005	0.202 ± 0.005	0.127 ± 0.005	0.106 ± 0.005	0.155 ± 0.005	0.142 ± 0.005
NMB (%)	–19	–21	–49	–46	–49	–18
Corr.	0.62	0.63	0.72	0.52	0.59	0.57
Ndata	665	488	564	662	3099	1550

Fig. 3. Wavelength dependence of the annual mean SSA (a) and g (b) simulated by CHIMERE for the three aerosol mixings along with AERONET observations spatial-averaged over the 13 observational sites for the year 2003. The error bars represent the uncertainty range of: (a) observed (± 0.06 , calculated using equation (8)) and simulated (± 0.03 for the external and core-shell mixing and ± 0.04 for the internally homogeneous one) SSA. (b): observed ($\pm 5\%$, see Dubovik and King, 2000)) and simulated (± 0.001 for the three mixings) g .

Fig. 3 shows the wavelength dependence of the annual mean SSA (a) and g (b) simulated by CHIMERE for the three aerosol mixings along with AERONET inversions spatial-averaged over the 13 observational sites for the year 2003. Corresponding uncertainties are also indicated.

The annual mean observed SSA value (at 440 nm) averaged over the domain is 0.89 ± 0.06 corresponding to moderate absorbing aerosol (Fig. 3a) and is close to the annual mean AERONET value of 0.93 observed over Europe in 2001 by Tombette et al. (2008).

AERONET SSA shows a low sensitivity to wavelengths with a slight decrease from 0.89 ± 0.06 at 440 nm to 0.86 ± 0.06 at 1020 nm, which is consistent with results obtained for urban/industrial pollution in previous studies (Alados-Arboledas et al., 2008; Yu et al., 2009). Modeled SSA is fairly constant with increasing wavelength for the three aerosol mixings (Fig. 3a). At the opposite, it is very sensitive to the treatment of particles mixing. Indeed, the core-shell SSA exhibits close match at the annual mean ($0.86\text{--}0.89 \pm 0.03$) with AERONET measured values ($0.86\text{--}0.89 \pm 0.06$) compared to externally ($0.92\text{--}0.94 \pm 0.03$) and internally homogeneous ($0.80\text{--}0.84 \pm 0.04$) mixing, as shown in previous works by Pr et al. (2009).

Results for the asymmetry parameter are displayed in Fig. 3b. Values for the external treatment ($0.592 \pm 0.001 \leq g \leq 0.641 \pm 0.001$) are lower than those obtained for the internally homogeneous ($0.740 \pm 0.001 \leq g \leq 0.771 \pm 0.001$) and core-shell ($0.700 \pm 0.001 \leq g \leq 0.739 \pm 0.001$) suggesting a slightly larger proportion of modeled fine aerosols in the external treatment in the absence of coagulation between particles. Differences of values between the two internal mixing states are due to changes in the modeled complex refractive indexes (as the simulated aerosol size distribution is the same for both the two internal mixings). The AERONET values vary from 0.70 ± 0.04 at 440 nm to 0.61 ± 0.03 at 1020 nm with a noteworthy decrease in the wavelength range 440–675 nm (from 0.70 ± 0.04 to 0.63 ± 0.03) and small variation in the range 675–1020 nm ($0.61 \pm 0.03 \leq g \leq 0.63 \pm 0.03$). Such a behavior has already been observed over Spain by Lyamani et al. (2004, 2006) and is characteristic of fine urban-industrial and biomass burning aerosols (Dubovik et al., 2001; Lyamani et al., 2006). Simulated g presents a less pronounced spectral dependence for the three aerosol mixings. However, discrepancies between observations and simulations (for the three mixings) remain small at the four wavelengths with up to 10–15 % differences. Therefore, the hypothesis of an aerosol considered as a homogeneous sphere seems being here a rather good approximation to compute the asymmetry parameter of fine urban/industrial and biomass burning particles.

4.3. 27 March 2003: episode of ammonium nitrate

In winter and spring, Western Europe can be affected by ammonium nitrate episodes when nitric acid is neutralized by ammonia (mainly of agricultural origin, Reis et al. (2009) to form ammonium nitrate in the particulate phase (Stern et al., 2008). To illustrate this situation, the case of 27 March 2003 is selected. Observed concentrations of ammonium nitrate for this day are in the range $22\text{--}30 \mu\text{g m}^{-3}$ over the Netherlands (cf Fig. 4a), which is

Fig. 4. Predicted daily mean ground concentrations of (a) ammonium nitrate and (b) PM10 ($\mu\text{g m}^{-3}$) on 27 March 2003 with corresponding mean observed values (circles).

Fig. 5. (a) Daily mean simulated AOT_{tot} at 440 nm (core-shell mixing) on 27 March 2003 with corresponding observed values at AERONET sites (if available) (circles). (b) AOT at 550 nm retrieved by the MODIS sensor.

about twice higher than normally observed at this time over this region (Zee et al., 1998). Model results show concentrations in the range 40–60 $\mu\text{g m}^{-3}$ over northern France, Benelux and a large part of Germany. Such levels are overestimated over the Netherlands by a factor of 2–2.5 as compared to EMEP measurements. However, PM₁₀ concentrations are fairly well reproduced with $\pm 20\%$ differences compared to AirBase observations (Fig. 4b). This could be partly due to error compensations between aerosol chemical components (Seigneur, 2001).

The optical signature of the aerosol plume is very pronounced with simulated AOT_{tot} at 440 nm (core-shell mixing) in the range 0.5–1 in rather good agreements with AERONET (Fig. 5a) and MODIS (Fig. 5b) observations. Moreover, AOT over the AERONET sites of Venice and Oristano are fairly well estimated by CHIMERE, while observed values over the sites of Carpentras and Lecce are clearly underestimated by the model.

The spectral variation of the observed AOT, spatial-averaged over the three AERONET sites located inside the aerosol plume (Lille, Oostende and Hamburg), is marked with a decrease from 0.68 ± 0.01 at 440 nm to 0.22 ± 0.01 at 1020 nm (Fig. 6a). Such a behavior is mainly due to the fine aerosol mode (Fig. 6b), which is well reproduced by the model for the three aerosol mixings. This indicates that CHIMERE is able to well reproduce the fine mode volume size distribution (Fig. 6c), with a simulated accumulation mode for the internal aerosol mixing (internally homogeneous and core-shell) in the range ≈ 0.07 – $0.2 \mu\text{m}$ fitting fairly well with AERONET retrievals (observed accumulation mode for radius about 0.1– $0.2 \mu\text{m}$) (cf Fig. 6c). However, the external aerosol mixing displays a much broader accumulation mode with a higher volume concentration of very fine particles (for radius in the range 0.03– $0.06 \mu\text{m}$). The modeled fine mode volume concentration (for the internal and external treatments) is much lower than the observed one, which leads to slightly underestimated values of AOT_{fine}.

Fig. 6. Wavelength dependence of the daily mean (a) AOT_{tot} and (b) AOT_{fine} on 27 March 2003, simulated by CHIMERE for the three aerosol mixings with corresponding AERONET observations spatial-averaged over the site of Lille, Oostende and Hamburg. (c) Daily average column volume size distribution modeled by CHIMERE for an internal and external aerosol treatment and retrieved by AERONET with corresponding daily mean values of the Angström exponent.

Fig. 7. Wavelength dependence of the daily mean SSA on 27 March 2003 simulated by CHIMERE for the three aerosol mixings along with corresponding AERONET observations spatial-averaged over the site of Lille, Oostende and Hamburg.

for the three aerosol mixings. In parallel, underestimated emissions of primary wind blown particles in CHIMERE lead to an almost non-existent modeled coarse mode volume concentration (radius $\approx 1\text{--}3\text{ }\mu\text{m}$) as compared to AERONET retrievals (observed coarse mode for radius $\approx 3\text{--}7\text{ }\mu\text{m}$). This induces a higher modeled Angström exponent value ($\alpha_{\text{model}}(440\text{--}870\text{ nm}) = 1.60$ (internally homogeneous and core-shell mixing) and 1.61 (external mixing)) compared to the AERONET one ($\alpha_{\text{aeronet}}(440\text{--}870\text{ nm}) = 1.22$) (cf Fig. 6c).

The low absorbing properties of the aerosol plume dominated by ammonium nitrate are observed with a mean high AERONET SSA (at 440 nm), spatial-averaged over the three stations of Lille, Oostende and Hamburg, equal to 0.96 ± 0.04 (Fig. 7). This is in agreement with the value of 0.93 ± 0.04 calculated over Southern France for water soluble nitrate particles by Mallet et al. (2003). Fig. 7 shows that SSA_{ext} ($0.88\text{--}0.93 \pm 0.03$) matches better with the observations ($0.95\text{--}0.96 \pm 0.03$) for the four wavelengths than SSA_{hom} ($0.77\text{--}0.80 \pm 0.04$) and $\text{SSA}_{\text{cshell}}$ ($0.80\text{--}0.83 \pm 0.03$), which is different than results reported in section 4.2.2 showing that annual mean $\text{SSA}_{\text{cshell}}$ (0.86 ± 0.03 at 440 nm) agrees well with observations (0.89 ± 0.04) compared to external (0.94 ± 0.03) and internally homogeneous (0.80 ± 0.04) mixings. In this case, increase of the particle water uptake by high concentrations of hydrophilic ammonium nitrate aerosols could reinforce the refraction of light from the aerosol shell into the aerosol BC core, resulting in an enhancement of the particle absorption (Moffet and Prather, 2009). This could lead to an underestimation of the observed SSA by the core-shell approach. Fuller et al. (1999) also indicate that the core-shell treatment may overestimate aerosol light absorption by less than 15 % in comparison to the random location of soot agglomerates, due to the focusing of electromagnetic energy at the BC core by lensing (Redemann et al., 2001).

5. Conclusions

The objective of this study was to develop an aerosol optical scheme in the chemistry transport model CHIMERE, allowing to use AERONET and satellite retrievals as a complement to EMEP and Airbase database to evaluate performance of the model. Comparisons between simulations and observations were made over Western Europe for the year 2003 but also for one specific case focused on ammonium nitrate aerosols.

Results of simulations conducted for the year 2003 indicated that the seasonal cycle of modeled AOT (few sensitive to particle mixing) agreed well with AERONET observations (correlations in

the range 0.50–0.74). However, modeling results revealed also some biases according to seasons. In fall, winter and early spring, AOT_{tot} and AOT_{fine} AERONET values are well reproduced by the model with small negative biases. Results obtained for a pollution episode of ammonium nitrate (March 2003) revealed that the CHIMERE model rather well estimated AERONET fine mode volume size distribution, leading to good agreements between modeled and observed AOT. In parallel, the overestimation of hydrophilic ammonium nitrate concentrations during this episode led to important discrepancies between AERONET and core-shell SSA, due to the thickness of the aerosol shell.

In late spring and summer, uncertainties in modeling secondary aerosol species could explain the underestimation of AOT during this period.

This study clearly showed the great interest to simulate aerosol optical and microphysical properties directly comparable with AERONET and satellite observations for testing/evaluating air quality modeling exercises. Future works using this optical module will be conducted for investigating other aerosol regimes, such as biomass burning particles. Furthermore, this new optical scheme will be used to investigate effects of brown carbon particles (including all light absorbing carbonaceous species) on ultraviolet radiations and possible feedbacks on regional photochemistry and ozone production.

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