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New Features of Electron Phase Space Holes Observed by the THEMIS Mission

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Observations of electron phase-space holes (EHs) in Earth's plasma sheet by the THEMIS satellites include the first detection of a magnetic perturbation (δB_{\parallel}) parallel to the ambient magnetic field (B_0) . EHs with a detectable δB_{\parallel} have several distinguishing features including large electric field amplitudes, a magnetic perturbation perpendicular to B_0 , high speeds (~0.3c) along B_0 , and sizes along B_0 of tens of Debye lengths. These EHs have a significant center potential ($\Phi \sim k_B T_e/e$), suggesting strongly nonlinear behavior nearby such as double layers or magnetic reconnection.

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Electron phase-space holes (EHs) [1–3] are ubiquitous in space plasmas. Observations have been made in the plasma sheet [4], auroral zone [5–7], magnetosheath [8], magnetopause [9], bow shock transition region [10], and solar wind [11]. EHs can be described as BGK [12] structures [3,13] or modeled by small potential expansion [1,14]. Theoretical treatments on generation concentrate on the electron two-stream instability [15,16] and Bunemann instability [17]. Most importantly, EHs are associated with processes such as double layers [18–20] and magnetic reconnection [17,21,22] making EHs a reliable indicator of strongly nonlinear behavior in plasmas.

The observational characteristics of EHs have been reported in a number of articles [7,8,23]. They are detected as bipolar electric field signals (δE_{\parallel}) parallel to B_0 [4–6]. The parallel scale sizes (L_{\parallel} , defined here as the distance between peaks in δE_{\parallel}) are most often several electron Debye lengths (λ_D) and the speeds ($v_{\rm EH}$) are near to, but often less than, the electron thermal speed (v_e). The perpendicular scale sizes (L_{\perp}) are comparable to L_{\parallel} in the low-altitude auroral region [23], whereas it has been reported that $L_{\perp} \gg L_{\parallel}$ in most other space environments [7,8]. EHs are most often weak ($e\Phi_0/k_BT_e \ll 1$, where Φ_0 is the electron temperature). THEMIS observations largely support these earlier results.

Space-based measurements record a profile in time, so the derivation of Φ and L_{\parallel} depends on the speed of the EH. The statistical characteristics described above relate to "slow-moving" EHs. By "slow-moving", we mean that the speeds of the EHs are derived from the time delay between the signals of two spatially separated electric field probes [7,23]. Most instruments are limited to measuring $v_{\rm EH} < \sim 1000$ km/s with this technique.

In this article, we present the first 3D observations of magnetic field perturbations caused by EHs including the detection of a δB_{\parallel} signal. We show that the perpendicular magnetic perturbation (δB_{\perp}) is primarily caused by the motion of a quasielectrostatic EH. In other words, δB_{\perp} is consistent with the Lorentz transformation of δE_{\perp} [5,23]. If EHs are quasielectrostatic in their rest frame (see later discussion on the "rest" frame), δB_{\perp} and δE_{\perp} can be used to accurately determine their speed, particularly if they are "fast-moving" (>1000 km/s) and, subsequently, accurately derive Φ and L_{\parallel} . We also show that EHs with a detectable δB_{\parallel} have quite different characteristics than reported by earlier observations. They have large electric field amplitudes, $\delta E \sim O(100 \text{ mV/m})$, high speeds $(v_{\rm EH} > v_e)$, large parallel sizes $(L_{\parallel} > 10\lambda_D)$, moderate to strong center potentials ($e\Phi/k_BT_e \sim 0.5$), and elongated shapes $(L_{\parallel} > L_{\perp})$. We suggest that δB_{\parallel} arises from the $\delta E \times B_0$ electron motion in the EH and that $\delta B_{\parallel} \propto \Phi$. These observations have a number of similarities to laboratory observations of elongated, high-speed holes associated with magnetic reconnection [22].

The observations are from the THEMIS mission [24], which has five identical satellites in highly eccentric orbits at low inclination with apogees that range from $10R_E$ to

 $30R_E$. The satellites carry electron and ion analyzers [25], a three-axis electric field instrument (dc—8 kHz) [26], a dc magnetometer [27], and a search coil magnetometer [28].

Figure 1 presents five minutes of observations from THEMIS Probe A at $\sim 10R_E$ from Earth's center. The top panel [Fig. 1(a)] displays a spectrogram of the electron differential energy flux as a function of energy (vertical axis) and time (horizontal axis). The energy flux is calculated from a set of two-dimensional, energy-angle measurements averaged over a satellite spin period (~ 3 s) [25]. Data from two detectors are combined. The lower-energy electrons ($\sim 10 \text{ eV}$ to $\sim 30 \text{ keV}$) are measured by an electrostatic analyzer whereas the higher-energy electrons are detected by a solid state telescope. The lowest-energy electron fluxes (< 25 eV) are spacecraft photoelectrons. The black trace overlying the spectrogram is T_e in eV based on the electrostatic analyzer data.

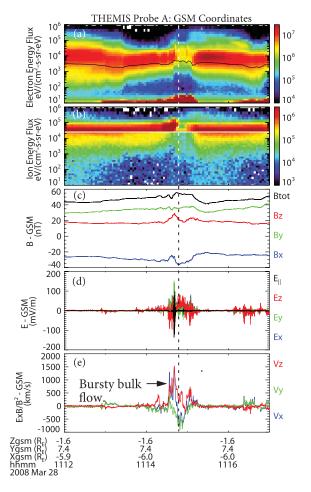


FIG. 1 (color). (a) Electron differential energy flux as a function of energy (vertical axis) and time (horizontal axis). The black trace is T_e . (b) Ion differential energy flux. (c) Magnetic field in GSM coordinates at 128 samples/s. The black trace is $|B_0|$. (d) E_0 in GSM coordinates at 128 samples/s. The black trace is $E_{0\parallel}$. (e) $\delta E \times B_0 / |B_0|^2$ low-pass filtered to 1 Hz in GSM coordinates. The vertical dashed line marks the period of the EHs in Fig. 2.

Figure 1(b) displays the differential energy flux of ions in the same format. A small gap in energy coverage is seen in the plot as white space. Figure 1(c) plots the dc-50 Hz magnetic field (B_0) at 128 samples/s in geocentric solar magnetospheric (GSM) coordinates. The absolute accuracy is better than 1 nT [27]. The color represents direction: blue is towards the Sun, red is near Earth's magnetic north, and green completes the set. The black trace in Fig. 1(c) is $|B_0|$.

The dc-50 Hz electric field $[E_0, \text{Fig. 1(d)}]$ is measured by three orthogonal, dipole antennas [26]. The black trace in Fig. 1(d) represents the parallel electric field, $E_{0\parallel}$. The antennas in the spin plane of the spacecraft, mostly covering the GSM x and y directions, have ~40 m and ~50 m physical lengths and are accurate to approximately $\pm 2 \text{ mV/m}$, depending on plasma conditions. The spinaxis dipole, predominantly the GSM z direction, is ~7 m and is accurate to $\pm 20 \text{ mV/m}$.

Figure 1(e) plots the quantity $E_0 \times B_0/|B_0|^2$ low-pass filtered to 1 Hz representing the flow perpendicular to B_0 . The *x*-component of the flow (towards Earth; blue trace) rises to over 1000 km/s at ~11:14.5 UT indicating a bursty bulk flow event [29]. Such events are associated with magnetic reconnection occurring anti-Earthward of the spacecraft's position. During the bursty bulk flow event, the electron and ion energies increase [Figs. 1(a) and 1(b)] and E_0 and B_0 display strong variations [Figs. 1(c) and 1(d)].

Figure 2 presents 0.2 seconds of high-time resolution δE and δB signals (filtered from ~5 Hz to ~3.3 kHz; 8192 samples/s) during the time marked with a vertical dashed line in Fig. 1. The signals are in a magnetic coordinate system such that δE_{\parallel} is parallel to B_0 , δE_X (accurate to $\pm 2 \text{ mV/m}$) is the perpendicular component measured only by the spin-plane booms, and δE_Y (accurate to $\pm 20 \text{ mV/m}$) completes the vector. The ac magnetic field signals are in the same coordinate system.

The δE_{\parallel} signal [Fig. 2(a)] shows a series of bipolar structures, a defining signature of EHs [4–6]. All of the EHs have a positive then negative polarity indicating that they are traveling in the same direction and, consequently, are likely to come from the same source. The perpendicular electric field signals [Figs. 2(c) and 2(e)] have a corresponding unipolar perturbation, again, typical of EHs. Some of the EHs are such that δE_X or δE_Y are greater than δE_{\parallel} , a sufficient but not necessary condition for $L_{\parallel} \ge$ L_{\perp} (since the spacecraft may pass through the center of the EH rather than the edge, a small perpendicular signal does not, by itself, reveal the relation between L_{\parallel} and L_{\perp}). Almost all of the EHs have a corresponding positive unipolar perturbation in δB_{\parallel} [Fig. 2(b)].

The perpendicular δE and δB signals [Figs. 2(c)–2(f)] are arranged in orthogonal pairs [δE_X , Fig. 2(c), is orthogonal to δB_Y , Fig. 2(d), etc.]. δE_X and δB_Y are well correlated and δE_Y and δB_X have a negative correlation,

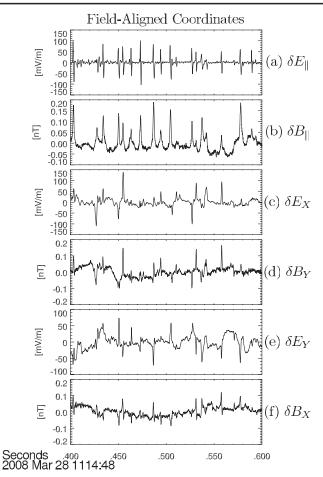


FIG. 2. (a) δE_{\parallel} (5 Hz–3.3 kHz) at 8192 samples/s during the period marked on Fig. 1. (b) δB_{\parallel} (5 Hz–3.3 kHz) at 8192 samples/s. (c) δE_X is from the long wire antennas and accurate to ± 2 mV/m. (d) δB_Y is orthogonal to δE_X . One can see that δE_X and δB_Y signals of EHs are well correlated. (e) δE_Y (± 20 mV/m) is derived from a combination of all electric field dipole antennas including the short (7 m) dipole along the spacecraft spin axis [26]. (f) δB_X .

albeit somewhat weaker. These δE and δB signals are consistent with a Lorentz transformation of a moving quasielectrostatic structure ([30], changed to SI units):

$$\boldsymbol{B}' = \gamma (\boldsymbol{B} - \boldsymbol{v} \times \boldsymbol{E}/c^2) - \frac{\gamma^2}{1+\gamma} \frac{\boldsymbol{v}(\boldsymbol{v} \cdot \boldsymbol{B})}{c^2}.$$
 (1)

In their rest frame, the perpendicular $\delta B'$ signals nearly vanish. With $v_{\rm EH}$ parallel to B_0 , the perpendicular components in Eq. (1) reduce to

$$\delta B_Y = \frac{v_{\rm EH}}{c^2} \delta E_X, \qquad \delta B_X = -\frac{v_{\rm EH}}{c^2} \delta E_Y. \tag{2}$$

Most importantly, the data indicate that a quasielectrostatic frame exists. In other words, there is a frame in which the perpendicular $\delta B'$ signals nearly vanish (are minimum). The velocity of this frame, and presumably that of the EH,

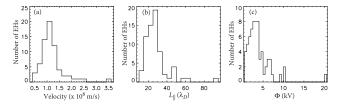


FIG. 3. (a) A histogram of the velocity of 67 EHs observed during a ~16 s wave burst period (11:14:41 UT to 11:14:57 UT on March 28, 2008). The velocity was derived as $c^2 \delta B_Y / \delta E_X$. We note that one event has a derived speed greater than *c* which is either due to the uncertainty in δB_Y and δE_X or a strong electromagnetic (δB_r) contribution. (b) A histogram of the parallel size of the EHs. L_{\parallel} is the distance between the negative and positive peaks in δE_{\parallel} assuming the EH is traveling at the derived velocity. (c) A histogram of the potential of the EHs derived from the δE_{\parallel} signal. The spacecraft may not have passed through the center of the EH, so Φ represents a lower bound.

can be derived from δE_X and δB_Y , the more accurate of the orthogonal pairs.

Figure 3(a) displays the derived velocity $(c^2 \delta B_Y / \delta E_X)$ of 67 EHs detected in a ~ 16 s "wave burst" period (11:14:41 UT to 11:14:57 UT on March 28, 2008) of high-time resolution (8192 samples/s) waveform that includes the data in Fig. 2 (see Refs. [24,26] for discussion on wave burst data collection). The mean velocity of the EHs is $\sim 1 \times 10^8$ m/s. This high speed implies that these EHs are traveling faster than the thermal velocity ($v_e \sim 4 \times$ 10^7 m/s). Using the derived velocity, the size of the EHs along B_0 is displayed in Fig. 3(b). L_{\parallel} is roughly 30 λ_D , where $\lambda_D \sim 3.0$ km (derived from a 3 s average electron distribution). The mean value of Φ [Fig. 3(c)] is ~3 keV. Within uncertainties, $T_e \sim 8 \text{ keV}$ (parallel to **B**₀). We cannot determine the radial offset of the measurements (distance perpendicular to B_0 from the center of the EH), so Φ represents a lower bound. These EH observations have moderate potentials $(e\Phi/k_BT_e \sim 0.5)$ and are unusual in that $v_{\rm EH} > v_e$, and L_{\parallel} is tens of λ_D . Similar results were reported from laboratory experiments on magnetic reconnection [22].

The presence of the δB_{\parallel} signal supports the above conclusions. This signal can be explained from the electron $\delta E \times B_0$ currents generated by the perpendicular electric field signal. In the spacecraft frame, the duration of the EHs (~1.2 ms) is about 2 times the electron gyro-period (~0.66 ms), so an electron drift can be established whereas the ion motion is negligible. The resulting perpendicular current loop is around the center of the EH with $J_{\phi} \cong$ $-en_e \delta E_r/|B_0|$. Here, δE_r represents the radial perpendicular electric field perturbation, and n_e is the ambient electron density. This current will generate a magnetic field in the same direction as B_0 in the center of the EH; hence, δB_{\parallel} is always positive. The amplitude of δB_{\parallel} depends on δE_r and the shape of the EH.

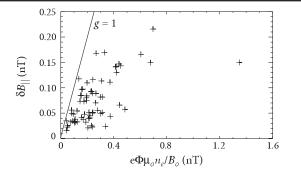


FIG. 4. The maximum value of δB_{\parallel} versus $e\Phi\mu_0 n_e/B_0$ for the 67 EH measured in a 16 s burst period. There is no correction for radial offset, so both δB_{\parallel} versus Φ represent lower bounds. δB_{\parallel} and Φ do not have the same behavior as a function of radial offset, so the data exhibit significant scatter.

By modeling the hole as cylindrically symmetric with a Gaussian shape:

$$\Phi(r,z) = \Phi_0 e^{-r^2/2L_\perp^2} e^{-z^2/2L_\parallel^2},$$
(3)

 δB_{\parallel} at the center of the EH can be derived by integrating the Biot-Savart equation:

$$\delta B_{\parallel}(r=0, z=0) = \frac{e\Phi_0\mu_0n_e}{B_0}g(L_{\parallel}, L_{\perp}), \qquad (4)$$

where $g(L_{\parallel}, L_{\perp}) < 1$, is a dimensionless geometric factor.

Figure 4 presents δB_{\parallel} versus $e\Phi\mu_0 n_e/B_0$ for the 67 EHs measured in the 16 s wave burst period. We do not correct for radial offset ($r \neq 0$), so both δB_{\parallel} and Φ represent lower bounds. δB_{\parallel} and Φ do not have the same behavior as a function of radial offset, so the data exhibit significant scatter. There are, however, two important properties. The values of δB_{\parallel} are nearly equal to but always less than that of $e\Phi\mu_0 n_e/B_0$ consistent with $g(L_{\parallel}, L_{\perp}) < 1$ and, furthermore, δB_{\parallel} increases with increasing Φ . These data, along with the observation that $\delta B_{\parallel} > 0$, support our supposition that δB_{\parallel} results from electron $\delta E \times B_0$ currents. Thus the δB_{\parallel} signal is in consort with the large amplitudes, high speeds, moderate to strong potentials, and elongated shape of the EHs.

Since the δB_{\parallel} exists in all frames, the EHs cannot be entirely electrostatic. If an EH is cylindrically symmetric, then a radial magnetic field must be present, even in the rest frame ($\delta B_r \neq 0$ since $\nabla \cdot \mathbf{B} = 0$). The rest frame is best defined as the frame in which the azimuthal magnetic field vanishes. δB_r is due to J_{ϕ} , so it should be detected by a spacecraft as bipolar signal (the radial magnetic field of a current ring has opposite signs for z > 0 and z < 0). Careful examination of the measured magnetic field signals in Fig. 2 show that they are predominantly unipolar, so $\delta B_r \ll \delta B_{\parallel}$. δB_r is expected to be small if $L_{\parallel} \ge L_{\perp}$. A small δB_r is consistent with the elongated shape.

In conclusion, we have presented observations of the perturbation magnetic field and the first report of δB_{\parallel}

associated electron phase-space holes. These EHs differ from earlier observations in that they have high speeds $(v_{\rm EH} > v_e)$, large parallel sizes $(L_{\parallel} > 10\lambda_D)$, significant center potentials $(e\Phi/k_BT_e \sim 1)$, and elongated shapes $(L_{\parallel} > L_{\perp})$. In particular, these EHs have many characteristics that are similar to those generated by magnetic reconnection in a laboratory experiment [22]. EHs also are known to be generated by double layers [18–20], so observations of EHs are an indicator of nonlinear, kinetic behavior in the active plasma sheet.

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