One-Step Hydrothermal Synthesis of Nitrogen-Doped Nanocarbons: Albumine Directing the Carbonization of Glucose.

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In this work, we present a simple and green one-step pathway towards nitrogen-doped carbon nanostructures with controlled mesoporosity via hydrothermal treatment of glucose in the presence of model proteins. Thus, performing the reaction with different amounts of egg white ovalbumin protein (OvA), carbonaceous nanoparticles or continuous nanosponges with high specific surface areas can be efficiently produced. The nitrogen content of the structures is rather high (up to 8 wt%) and can be kept constant up to 950°C, while oxygen elimination and graphitization of the carbon material occurs. We demonstrate here that sustainable natural resources can be efficiently used in the synthesis of pure high-potential nanomaterials.

Introduction

Carbon-based materials with large surface area or small particle size are made along a number of different synthesis pathways.[1-4] Activated carbons are generally synthesized from raw biomass but the conditions are usually very harsh, involving high temperatures (600-900°C) and pressures under oxidizing atmospheres (O₂, CO₂, steam) or chemical treatment with acids (e.g., phosphoric acid), bases (e.g., KOH, NaOH) or salts (ZnCl₂). Recently, much softer condensation routes to obtain periodic porous carbon networks[5-8] were presented. From a cost, processing and sustainability perspective, there is however still space for improvements, as indirect impregnation techniques with mesoporous silica as a template, hydrogen fluoride etching,[5, 8] and finally high carbonization temperatures[5] are required. Direct templating of resins (phenol/formaldehyde)[8] is presumably most promising, but restricted to a distinct monomer polarity and functionality and excludes the use of natural products as carbon source.

Energy and sustainability issues are even less well covered in case of nanosized forms of carbon, ranging from nanoparticulate soots and fullerenes to nanotubes. Their synthesis is performed using energy-consuming methods like arc discharge, laser ablation or Chemical Vapor Deposition (CVD),[9] whereas printing soots are still made by incomplete combustion of natural gas, being an element and energy inefficient process.[9, 10] Recently, an electrochemical method was developed to produce carbon nano colloids,[11] and carbon nanoparticles with interesting fluorescence[12] and anti-microbial[13] properties from candle soot were presented, underlining the increasing interest towards more sustainable processing approaches to carbon nanostructures.

According to our opinion and excluding activated carbons, only little research has been done to synthesize and recognize the structure of carbon materials based on natural resources. This is hard to understand, as carbon structure synthesis was

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done from the beginning of civilization on the base of biomass, with the petrochemical age being a late deviation, only. A modern approach towards carbon synthesis based on renewable resources is a significant operation, as the final products represent an important share of materials of the next generation. They already have found applications in different domains ranging from environmental science\textsuperscript{[14-16]} to drug delivery\textsuperscript{[17-19]} and energy storage\textsuperscript{[20]} depending on their structural, morphological and chemical properties.\textsuperscript{[21]} Synthesizing materials using sustainable approaches is definitely not new but currently revamped for the field of nanomaterials, as shown by recent review papers.\textsuperscript{[22-24]}

Another issue which has to be addressed in a novel carbon synthesis is functionalization, which is required in most specific applications.\textsuperscript{[25-29]} For instance, fuel cell development has turned its attention towards nitrogen-doped carbon nanostructures, as these materials seem to enhance the catalytic activity towards oxygen reduction reactions in fuel cell electrodes.\textsuperscript{[30]} In addition, N-doped carbons are also interesting as CO\textsubscript{2} binders\textsuperscript{[31]} or pH-responsive adsorbents.\textsuperscript{[32]}

The problem of the sustainable synthesis in functional carbons was recently revisited by several research groups,\textsuperscript{[33-39]} where hydrothermal treatment of biomass in water under relatively mild conditions and, in some cases, in presence of additives provided mesoporous or nanostructured carbon materials.\textsuperscript{[40-55]} This technique is known for many years,\textsuperscript{[56]} but the forthcoming raw material change made a re-discovery of this technique timely. In addition, the implementation of a low-cost pathway to recycle byproducts of biomass treatment would represent a way to sequester significant amounts of CO\textsubscript{2}\textsuperscript{[37]} in carbon negative products, creating a materials benefit at the same time.

The use of hydrothermal synthesis between 180°C and 220°C allowed to obtain carbon-based powders, nanofibers\textsuperscript{[57, 58]}, or sponge-like mesoporous carbons being potentially useful as soil conditioner, ion exchange resins or sorption coals.\textsuperscript{[39]} The synthesis proved to be feasible both for simple systems as glucose\textsuperscript{[58]} and for raw biomass side products, such as oak leaves and orange peals.\textsuperscript{[24]} In the first case, surface chemistry could also be modified by means of hydrophilic or hydrophobic post-functionalization.\textsuperscript{[59]} Along similar lines, the group of Clark showed that a slightly different approach using expanded starch treated with sulfuric acid could provide functional materials with disordered mesoporosity which proved to be satisfactory catalysts in the esterification of succinic acid.\textsuperscript{[41, 60]}

In these experiments, it was found that some crude biomass mixtures created carbons with exciting nanostructures,\textsuperscript{[34]} however bound to the specific biomass with its potentially regional and seasonal fluctuations. It was speculated that this development of nanostructure was due to natural surface stabilizers contained in the biomass, such as tannins and lipids, but also the presence of small amounts of amines and proteins seemed to have a crucial influence. This is why we study in this paper the carbon nanostructures obtained upon hydrothermal carbonization of a mixture of a simple and accessible model protein, ovalbumin (OvA, chicken egg white) and pure glucose as a model carbohydrate. At the same time, we will answer the question whether we are able to introduce the highly attractive nitrogen functionality into the condensed carbon black just by using higher protein loads and co-condensation (formation of “nitrogen blacks”). It will be shown that it is possible to create carbon nanoparticles and inter grown nanostructures with structural sizes between 10 and 50 nm rich in nitrogen just by reacting glucose with ovalbumin as co-reactant under hydrothermal conditions.

Results and Discussion

Characterization of the Hydrothermal Carbons (HC)

Figure 1 shows SEM micrographs of the final carbon materials obtained after hydrothermal treatment of glucose in presence of different concentrations of OvA. It can be observed that all materials exhibit a continuous nanometer-scale sponge-like network structure made up from primary particles ranging between 10 – 40 nm. Not all the formed droplets are spherical, but cylindrical tectons are also present, indicating a rather low interface tension in the liquid phases of the reaction.

Figure 1. SEM images for samples HC-AlbX, X=1, 2, 4.

TEM images shown in Figure 2 at lower (a, b) and higher magnification (c, d) nicely confirm SEM data. In sample HC-Alb2, the primary network constituting particles are found to be in the nano-range, between 20 and 50 nm, while HC-Alb1 (the sample with the lowest OvA concentration) shows the existence of more interconnected filaments or particles whose size is bigger and in the order of 70-100 nm. It is indeed remarkable that with increasing OvA content, the carbon structure changes from a micron-sized carbon dispersion to a much finer, cohesive sponge-like structure. We assume that OvA (through its reaction and condensation products) indeed stabilizes the surface of much smaller nanoparticles, which throughout the course of reaction turned out to be unstable and to aggregate towards linked networks, however without pronounced coalescence. Aggregation is a common phenomenon in carbon colloids,\textsuperscript{[61]} as the mutual particle-particle interactions are quite strong, and is observed in all our samples as well.

Figure 2. TEM images of HC-Alb1 and HC-Alb2. Scale bars is 1000 nm in (a, b) and 200 nm in (c, d).
Nitrogen adsorption-desorption isotherms allow to quantify the character of the interstellar porous system and were performed for all HC-AlbX bulk materials (Figure 3 a). The presence of both the surface areas and hysteresis loop at P/P₀ values lower than 1 for most samples indicate the clear existence of a mesoporous network, whose origin can only come from the inter-particle architecture. Surface areas ranging between 30 and 110 m²/g (Table 1) as well as the mesopore sizes between 10 and 40 nm (Table 1) as well as the mesopore sizes between 10 and 40 nm are in good agreement with the morphologies depicted in the electron micrographs presented before. We can exclude intra-particle porosity for the as-synthesized hydrothermal samples, as this is not observed by TEM and nitrogen adsorption experiments. Such a value based on sole mesoporosity is actually among the highest reported in literature for as-synthesized hydrothermal carbons (HC). The BJH pore size distribution for selected samples in the middle of the composition range (Figure 3 b, open circles) is relatively sharp and centered at about 20 nm.

Figure 3. – a) Nitrogen adsorption-desorption isotherms and b) BJH pore size distribution curves for samples HC-AlbX (X=1-10).

The calculated BET constant values (Table 1) for low-content OvA samples are roughly twice the value of the samples obtained using a high OvA amount, suggesting that the surface properties (in terms of type and distribution of functional groups) do significantly change with increasing the OvA content. It is generally accepted that the BET constant reflects the adsorption strength of the adsorbent, and that high values generally mean higher adsorption equilibrium. The reproducibility regarding the synthesis of this material seems to be quite good, as several synthetic repeats of the sample HC-Alb2 always provided, within experimental accuracy, the same characteristics (preservation of the N₂ adsorption-desorption behavior given in Figure 1 of Electronic Supplementary Information, ESI).

Surface functionalities present in the OvA-modified carbons were qualitatively examined using FT-IR and XPS (Figure S2a in ESI). The FT-IR spectra of HC prepared in presence of OvA show adsorption bands corresponding to carbonyl functions (peak at 1699 cm⁻¹) together with bands corresponding to N-containing groups (1580 cm⁻¹) such as amines.[32] Resonances in the 750-690 cm⁻¹ region can be related to extended C=C bonds together with C-O-C bands. (1020 cm⁻¹).[39] However, even if ambiguity in interpreting peaks in the 1580-1600 cm⁻¹ region exists, clear insights on carbonyl functions and C=C groups will be shown and discussed later by mean of ¹³C solid state NMR experiments (Figure 6) while indications of nitrogen-containing functionalities will be confirmed by N1s peaks found by XPS (ESI, Figure S2b).

All in all, the resulting OvA-modified materials contain structural amino groups in addition to the typical functional groups found in hydrothermal carbons.[38]

Besides generating carbon materials with pure mesoporosity at lower temperatures and under sustainable conditions, it is of course also possible to apply temperature treatments for further strengthening of the carbonaceous scaffold. To identify optimal conditions, thermogravimetric (TGA) measurements were performed. The TGA behavior under nitrogen gas of HC-AlbX samples as compared to pure OvA and hydrothermal glucose carbon reveals a number of features (Figure S3 of ESI). As expected, the pure protein can carbonize without residue and looses 70% of its mass already at about 400°C, while the carbonaceous materials containing OvA show a much higher thermal resistance. At 400°C; they loose only about 25% of their mass, while at 1000°C they still keep up to 40%. Interestingly, hydrothermal carbons synthesized in the presence of OvA are more temperature resistant compared to pure hydrothermal carbon (HC), showing the network strengthening effect of nitrogen on the carbon scaffold. Elemental analysis (EA) (Table 1) shows that the nitrogen content increases from 3 w% to 8 w% with increasing OvA content. TGA and EA therefore clearly suggest that fractions of the amino acid backbone are actually part of the carbonaceous scaffold, as otherwise one would expect an intermediary decomposition behavior between OVA and hydrothermal carbon, but not improved stability. The point of nitrogen incorporation will be discussed in more detail later.

### Characterization of the Carbonized Materials

In order to obtain more insights concerning the thermal behavior and increase in carbonization by eliminating oxygen functions,
series of samples were further condensed at different temperatures, from 350 to 950°C under an inert gas (N₂) atmosphere. Some of the SEM images for selected samples HC-AlbX-Y (X=1, 2, 5; Y= 350, 550, 750°C) can be found in the ESI (Figure S4). The microstructure of all albumine-modified materials seems to be stable against the heat treatment; in particular, no pronounced sintering or coalescence occurs (as it might be expected from tars without structural cross-linking), and the overall morphology on larger length scales appears very similar to the primary products.

TEM images in Figure 4 which can provide more insights to changes in the lower nanometer range, indeed show that the mass loss and the coupled creation of additional porosity is taken up on the scale of the carbon scaffold: Samples tempered at 350°C create an exciting and previously unknown “carbon foam” morphology, while further mass loss opens up transport pores between the blobs while all essential constituting elements are still kept.

In good agreement with the TGA behavior and in spite of the “ripening” of the carbon foam structure seen in TEM, treatment at 350°C does not affect the adsorption properties and the overall C/N ratio too much: all N₂ adsorption-desorption isotherms appear very similar and are generally within a 10% variation, exception made for the sample with very low OvA content, HC-Alb1 and HC-Alb1-350, where variation reaches 25% (Table 1).

FT-IR experiments (Figure 5, ESI) indicate that treatment at 350°C results in a partial loss of carbonyl groups, as indicated by the decrease of the correspondent peak bound to unsaturated carbons at 1650 cm⁻¹.[62-64] Carbonyl functions bound to saturated carbons (peak at 1699 cm⁻¹) disappear only after treatment at 550°C (Figure 5, ESI) while N-containing groups (1580 cm⁻¹)[32] are present up to the highest temperature.

Structural changes with temperature were also investigated using ¹³C CP-MAS solid state NMR (Figure 6). Using solid state ¹³C CP-MAS NMR, the local structure of hydrothermal carbons obtained from glucose was recently revealed,[35] and it was found out that hydroxymethylfurfural (the main decomposition product of glucose) is the main monomer of carbon formation, involving polymerization towards polyfuranes and formation of a conjugated system, while still maintaining a high number of oxygenated functionalities bound to the furan rings. When HC is prepared in presence of OvA, its corresponding ¹³C spectrum (HC-Alb2 in Figure 6) shows very similar features in the carbonyl and aliphatic regions as observed for pure HC from glucose, but, interestingly, the amount of delocalized aromatic sp²-carbons is much higher (peak at 130 ppm), while the amount of furane moieties is significantly decreased (150 and 110 ppm). This clearly suggests that the existence of reactive nitrogen promotes the formation of a graphitic like structure where N is presumably incorporated in form of C=C-N bonds. This information is strongly supported by XPS analysis shown discussed below. Upon further heat treatment at 550°C, the peak at 130 ppm dominates the spectrum, which is typical for graphitic structures. This clearly indicates that nanoparticles with an aromatic core are formed, while most oxygenated functions have been removed.
Besides the structural control of the carbons towards a nanosponge architecture, thermally stable functionalization of the carbonaceous scaffold with nitrogen using OvA as a nitrogen source was a second task being successfully accomplished. Elemental analysis (Table 1) shows that the carbon content increases with temperature from about 67% for bare hydrothermal carbons to 84% while the nitrogen content stays almost constant at relatively high values up to \( T = 750 \degree \text{C} \), while the \( T = 950 \degree \text{C} \) data show only a slight decline. This is a proof that the nitrogen is included within the carbon scaffold in the form of (highly beneficial) aromatic nitrogen units thus contributing to both the electronic and chemical behavior of the material. XPS analysis (ESI, Figure 2 b, c) confirms such an interpretation. The HC-Alb2 material shows the co-existence of amines, pyridic and quaternary nitrogen groups while, after treatment at 550\( \degree \text{C} \), a large component at 398.7 eV appears while a shift from 400.1 to 400.7 eV[69] occurs. These data clearly indicate the existence of aromatic amine groups like pyridine, which is in complete accordance with the \( ^{13}\text{C} \) solid state NMR data indicating the dominance of aromatic graphitic structures.

Zeta-potential experiments as a function of pH on the HC-Alb2-Y sample series (Figure 7) can further characterize the nitrogen functions. The as-synthesized hydrothermal powders (Figure 7a) clearly show positive zeta-potentials below pH= 4 indicating the coexistence of positively charged amino groups at the particle’s surface with more negatively charged oxygen moieties. In addition, the quantitative zeta-potential values at pH= 2,3 increase with increasing content of nitrogen within the materials, the highest value (+26.6 mV) belonging to HC-Alb10 (8% N) and the lowest (+9.7 mV) belonging to HC-Alb1 (3% N). Pure hydrothermal carbon from glucose shows no positive zeta-potential value in the whole examined range, as expected. Analyzing the effects of calcination on the zeta-potential (Figure 7 b) it is found that the positive character at low pH is not affected by temperature treatment. The material is overall even more basic, as the isoelectric point shifts towards higher pH values. This can be connected with the FT-IR and \(^{13}\text{C} \) solid state NMR observations which show that the oxidic functional groups (COOH, OH) are degraded with temperature. The absolute zeta-potential value in the low pH range does not increase with temperature but stays constant at +18 mV. Again, we attribute that to the conversion of primary and secondary amine groups to aromatic nitrogen moieties generated and being stable at higher temperatures.

These results show that OvA takes an active role in the carbon-formation reactions: 1) it stabilizes the precipitating carbon in the hydrothermal reaction as nanosized particles; 2) by cross-reactions with the condensing monomers it brings up to 8 wt% nitrogen to the final carbonaceous material. It is known from previous studies[66-69] that glucose dehydrates three times to form hydroxymethyl furfural (HMF) in a first step, which is the main intermediate reacting species to form the carbonaceous scaffold.[35, 38] In presence of proteins and amino acids, the aldehydic group of HMF will react to form Schiff bases and then to Maillard products, as it was previously documented in the chemistry of HMF[70] and furans in general[71] with amino-group containing molecules such as amino acids.[72]

Similar experiments using other common proteins (hemoglobin, gelatin, casein; results not shown) revealed a systematic increase in nitrogen content of the carbon as a function of protein but within this series a sensible reduction of the primary particle size was not found. We have observed during preliminary experiments that use of molecules with accentuated hydrophobic character or amphiphilic molecules act as emulsion stabilizers. As reported in the experimental part, half of the OvA amino acid residues are hydrophobic, and it displays surface activity. Under the reaction conditions, the protein certainly reacts via the Maillard reaction with the polysaccharide, obviously creating an effective stabilizer for the intermediate droplets later transferring to carbon.

**Conclusion**

We have demonstrated that it is possible to synthesize well defined carbonaceous nanostructures with a developed sponge-like mesopore system by using hydrothermal treatment at 180\( \degree \)C of glucose in the presence of ovalbumin. This simple process provides a unique and sustainable pathway to control the primary particle stability in hydrothermal carbonization, resulting in the formation of nanometer-sized sponge scaffolds composed of spherical particles (20-50 nm). Another advantage beside the morphological control is that the protein efficiently introduces nitrogen functions (as much as 8 wt%) into the carbonaceous scaffold, which are known to be very favourable for catalysis, adsorption, energy storage devices, and also to increase the oxidation stability of engineering carbons. Classical characterization methods (nitrogen adsorption-desorption, TEM, SEM, FTIR, XPS and elemental analysis) were used to describe the porous carbon structures. Temperature treatments have shown that all materials keep the nitrogen content almost unchanged up to 950\( \degree \)C, while providing a higher thermal and oxidation stability as compared to pure carbon based hydrothermal scaffolds. This was attributed to a remarkably increased aromaticity.

Last but not least, the whole synthetic process occurs in an energy and atom-saving fashion from cheap and sustainable resources, as employed temperatures in the first step remain below 200\( \degree \)C and neither metals nor surfactants have been used to catalyze and control the reaction. Even if this work has employed pure model compounds, we believe that more complex biomass like starch or chicken egg white might be actually employed in a similar fashion, resulting potentially even in a wide variety of structures.
Experimental Section

Synthesis. For all samples, 13.5 ml of a deionized water solution containing 1.5 g of D(+)-glucose and variable amount of ovalbumin (OvA) was used. D(+)-glucose (CAS: 50-99-7) and ovalbumin (OvA) from chicken egg white (grade V, CAS: 9006-59-1) were used as received (Sigma Aldrich). OvA is the major component of egg white (54%) and is a phosphoglycoprotein with a molecular mass of about 45 kDa[73] and a sequence of 385 amino acids[74] including one disulfidic bond, four sulfhydryl groups, zero to two phosphoryl groups and a carbohydrate chain.[75] Half of OvA amino acids residues are hydrophobic and one third are charged, for the most part acidic, giving to the molecule an isoelectric point (IEP) of 4.5.[76]

The mixture was sealed into a glass vial inside a typical PTFE-lined autoclave system and hydrothermally reacted at 180°C for 24h. After reaction, the autoclave is cooled down in a water bath at room temperature. The obtained black solid flake-like powder is then separated from the remaining aqueous solution by filtration and put into an oven at 80°C under vacuum overnight for drying. Further calcination was performed under a N2 flow using a 1°C/min ramp from room up to the desired temperature, at which the sample is left for 4 h. Typical sample preparation follows: HC-AlbX, where X stands for the amount of OvA introduced (X=1, 2, 3, 4, 5, 10, respectively for HC-Alb2 and HC-Alb2-550 samples are, respectively, 3 and 5s and TPPM decoupling is applied during signal acquisition. Cross-polarization transfers were performed under adiabatic tangential ramps,[79, 80] to enhance the signal with respect to other known methods[81] and CP time t CP= 3 ms was found to be a good compromise in order to have a good overview on all carbon species. Number of transients is 1200. Peak assignment was done after references[82] and after supporting information.

(i) X-ray Photoelectron spectroscopy. XPS spectra were collected at the facilities of the Laboratoire de Réactivité de Surface (University Pierre et Marie Curie, Paris, France) on a SPECS (Phoibos MCD 150) X-ray photoelectron spectrometer, using a Mg Kα X-ray source (hν = 1253.6 eV) having a 150 W (12 mA, 12.5 kV) electron beam power and a 7X2 mm spot size. The emission of photoelectrons from the sample was analyzed under ultrahigh vacuum conditions (10-8 Pa). High-resolution spectra were collected at pass energy of 10 eV for C1s, O1s, and N1s core XPS levels. After collection, the binding energies were calibrated with respect to either the C-C/C-H components of the C1s peak at a binding energy of 284.8 eV. The spectral decomposition was performed by using Gaussian functions after background subtraction.

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Keywords: (carbon nanoparticles · hydrothermal carbonization · green chemistry · nanostructured carbon)
(ESI) Figure 1 – Nitrogen adsorption-desorption isotherms for different synthesis batches for sample HC-Alb2
(ESI) Figure 2 – a) FT-IR spectra for as-synthesized HC-AlbX (X=1-5) samples; N1s XPS
spectra for b) HC-Alb2 and c) HC-Alb2-550 samples

(ESI) Figure 3 – TGA analysis of pure OvA, HC from pure glucose and HC-AlbX materials

(ESI) Figure 4 – SEM images for further carbonized samples HC-Alb2-Y (Y=350, 550, 750). Scale bar is 200 nm.
(ESI) Figure 5 - FT-IR spectra for as-synthesized and calcined HC-Alb2 samples
In this work, we present a simple and green one-step pathway towards nitrogen-doped carbon nanostructures with controlled mesoporosity via hydrothermal treatment of glucose in the presence of model proteins.

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