ABSTRACT

We consider a distributed information system that allows autonomous consumers to query autonomous providers. We focus on the problem of query allocation from a new point of view, by considering consumers and providers' satisfaction in addition to query load. We define satisfaction as a long-run notion based on the consumers and providers' preferences. We propose and validate a mediation process, called SBMediation, which is compared to Capacity based query allocation. The experimental results show that SBMediation significantly outperforms Capacity based when confronted to autonomous participants.

Categories and Subject Descriptors
H.2.4 [Database Management]: Systems — Distributed databases, Query processing; H.4.0 [Information Systems Applications]: General

General Terms
Economics, Management, Performance

Keywords
Autonomous participants, participants' satisfaction, query allocation, imposition, payment

1. INTRODUCTION

We consider a distributed information system with a mediator that enables consumers to access distributed information providers through queries [5]. Consumers and providers (for clarity, we refer to both together as participants) are autonomous in the sense that they are free to enter and leave the system at will and do not depend on anyone to do so. Then, the main function of the mediator is to allocate each incoming query to the providers that can answer it. Much work in this context has focused on query load balancing (QLB) [3, 8]. This is obviously important for the efficiency of the system. However, participants may have certain expectations with respect to the mediator, which are not only performance-related.

Providers' expectations reflect their preferences in performing some queries rather than others. For example, a provider $p_c$ could represent a pharmaceutical company, which wants to promote a new insect repellent. Thus, it is more interested in treating the queries related to mosquitoes or insect bites than general queries. Once the advertising campaign is over, the provider's preferences may change. Consumers expect the mediator to provide them with information that best fits their preferences. However, preferences are usually considered as private data by participants, since revealing them means revealing strategies. Thus, participants express their preferences via an intention notion, which can combine different criteria such as preferences and load. For instance, a provider may not intend to perform queries (even if it prefers them) because of local reasons, e.g. by overload. Since it is autonomous, a participant which is dissatisfied too long may just leave the mediator. Intuitively, the system satisfies the participants if the mediator meets their expectations.

In this context, query allocation is a challenge for several reasons. First, to our knowledge, there is no definition of satisfaction to characterize how well the system meets the participants' expectations in the long-run. Economical models consider utility and rationality [7], but they are not long-run notions. Second, participants' expectations are usually contradictory. Third, the query demand should be satisfied even if sometimes consumers and providers do not desire to deal with providers and queries, respectively. Finally, participants' departures may have consequences on the functionalities provided by the system. Providers' departure may mean the loss of important system capabilities and consumers' departure is a loss of queries for providers.

After giving some preliminary concepts in Section 2, we present the main contributions of this paper. In Section 3, we define the notion of participants' satisfaction that allows knowing whether the query allocation method meets the participants' expectations. We then propose in Section 4 a query allocation mediation process, called SBMediation, with the objective of satisfying participants by finding not only relevant providers (i.e. interesting results) to con-
consumers, but also finding interesting queries to providers. In Section 5, we compare, through experimentation, the SBMediation’s behavior to a classic QLB method, namely Capacity based (e.g. [3]). We mainly study both processes from a satisfaction point of view, and analyze the impact on performance of the participants’ autonomy. Finally, we conclude in Section 6.

2. PRELIMINARIES

The system consists of a mediator, $m$, of a set of consumers, $C$, and of a set of providers, $P$. Providers are heterogeneous in terms of capacity, thereby some providers are more powerful than others and can treat more queries per time unit. Providers have a finite capacity to perform queries, which denotes the number of computational units they can have. The utilization of a provider $p \in P$ at time $t$, $U_p(t)$, denotes how much it is loaded w.r.t. its capacity at that time. Queries are formulated in a format abstracted as a triple $q = < c, d, n >$ such that $q.c \in C$ is the identifier of the consumer that has issued $q$, $q.d$ is the description of the task to be done, and $q.n \in \mathbb{N}^*$ is the number of providers to which $q.c$ wishes to allocate $q$. Set $P_q$ denotes the set of providers registered to a mediator $m$, which does not appear in the notation for simplicity, and that can treat the query $q$. There is a large body of work on matchmaking, see e.g. [2, 4] which we could simply reuse. The allocation of some query $q$ is denoted by a vector $All\overrightarrow{a}_q$ of length $N_q$ such that,

$$\forall p \in P_q, \quad All\overrightarrow{a}_q[p] = \begin{cases} 1 & \text{if } p \text{ gets the query} \\ 0 & \text{otherwise} \end{cases}$$

As we assume all incoming queries should be treated, this leads to $\sum_{p \in P_q} All\overrightarrow{a}_q[p] = min(q.n, N_q)$. In the case where $q.n > N_q$, the consumer $q.c$ gets $N_q$ results instead of $q.n$.

3. PARTICIPANTS SATISFACTION

Two kinds of satisfaction could be considered: (i) the satisfaction of a participant with what it gets from the system, e.g. a consumer that receives results from the providers it wants to avoid is simply not satisfied and (ii) the participant’s satisfaction with the job that the query allocation method does for it, e.g. a provider that performs queries it does not want is not satisfied with the query allocation method if there exist queries of its interests that it does not get. Because of space limitations, we just consider the former in this paper, which we simply call satisfaction. The satisfaction notion may have a deep impact on the system, because participants may decide whether to stay or to leave the system based on it.

We assume that participants have a limited memory capacity and regularly assess only their $k$ last interactions with the system. Notice that the $k$ value may be different for each participant depending on its memory capacity, but also on its strategy. For simplicity, we assume that they all use the same value of $k$. Thus, we define the satisfaction of participants over their $k$ last interactions. Let us make two general remarks. First, the participant’s satisfaction may evolve with time, but for the sake of simplicity, we do not introduce time in our notations. Second, the following presentation is completely symmetrical for the participants’ intentions as well as for their preferences. However, for simplicity, we develop the following characterististics only for preferences, whose values are in the interval $[-1..1]$.

3.1 Consumer Satisfaction

Intuitively, the consumer’s satisfaction is useful to answer the following question: how far the providers that have dealt with the last queries of a consumer meet its expectations? This notion is based on the memory of a consumer, which is denoted by set $IQ^k_q$. The preferences of a consumer $c \in C$ to allocate its query $q$ to a each provider $p \in P_q$ are stored in vector $Pr\overrightarrow{J}_p[q]$. The satisfaction with respect to $c$ and concerning its query $q$ is related to those providers that performed $q$, which are denoted by set $P_q$. The average of preferences expressed by the providers in $P_q$ is an intuitive technique to define such a notion. Nevertheless, a simple average does not take into account the fact that a consumer may desire different results. The following equation takes into account of this point using $n$ instead of $||P_q||$.

$$\delta_s(c, q) = \left( \frac{1}{n} \sum_{p \in P_q} Pr\overrightarrow{J}_p[q] + 1 \right) / 2$$

where $n$ stands for $q.n$. Values of function $\delta_s(c, q)$ are in the interval $[0..1]$. Thus, we define the satisfaction of a consumer as the average of its obtained satisfactions concerning its $k$ last queries.

DEFINITION 1. Consumer Satisfaction

$$\delta_s(c) = \frac{1}{||IQ^k||} \sum_{q \in IQ^k} \delta_s(c, q)$$

Its values are between 0 and 1. The closer the satisfaction to 1, the more a consumer is satisfied.

3.2 Provider Satisfaction

Intuitively, with this notion, we strive to answer the following question: how well the last queries that a provider has treated meet its expectations? To define this notion, each provider tracks its shown preferences to perform the $k$ last proposed queries in vector $Pr\overleftarrow{J}_p$. The $k$ last proposed queries to a provider $p$ are denoted by set $PQ^k_p$. Conversely to a consumer that always receives results at each interaction, a provider does not receive all queries that have been proposed to it. Hence, a provider cannot evaluate its satisfaction at each interaction. Thus, we define the satisfaction of a provider $p \in P$ as in Definition 2, where set $SQ^k_p$, $(SQ^k_p \subseteq PQ^k_p)$ denotes the set of queries that provider $p$ performed among set $PQ^k_p$.

DEFINITION 2. Provider Satisfaction

$$\delta_p(p) = \begin{cases} \left( \frac{1}{||SQ^k_p||} \sum_{q \in SQ^k_p} Pr\overrightarrow{J}_p[q] \right) / 2 & \text{if } SQ^k_p \neq \emptyset \\ 0 & \text{if } SQ^k_p = \emptyset \end{cases}$$

Its values are in the interval $[0..1]$. The closer the value to 1, the greater the satisfaction of a provider.

4. THE SBMEDIATION PROCESS

The satisfaction balanced mediation (SBMediation) assumes that the consumers in the system show their intentions, denoted by vector $CI$, to the mediator, while the providers keep them private. Instead, providers bid on queries, which is a means to reflect their intentions. Considering the allocation of some query $q$, the providers in $P_q$...
The bids are represented by a vector $\overrightarrow{B}$, with $\overrightarrow{B}[p] \in \mathbb{R}$ for all $p \in P_q$. If it is positive, the higher the bid is, the more payments are to be allocated to $q$. If it is negative, the lower it is the less payments the bid will treat to $q$. Intuitively, provider $p$’s bid reflects its intention to perform $q$. This should lead to the providers’ satisfaction. However, if only bids are considered, the consumer may be dissatisfied because its intentions w.r.t. providers are not considered (when it gets answers from providers it doesn’t want) or because some queries are not performed (because no provider wants to treat them). Hence, to satisfy the consumer, $SBMediation$: (i) directly considers the consumer’s intentions ($CIF_l$); (ii) imposes the query when not enough providers want to perform it [9]. Processing $SBMediation$ for some query $q$, amounts to computing (i) $All\overrightarrow{oc}_q$, and (ii) $Trans_q$, which defines all the “monetary” transfers that occur among the providers in $P_q$. In both steps, bids and consumer’s intentions have to be balanced to ensure satisfaction.

4.1 Query Allocation

Query $q$ is allocated to the min($n, N_q$) “best” providers, which are given by vector of ranking $\overrightarrow{R}$, where $\overrightarrow{R}[1] = p$ if $p$ is the best ranked, $\overrightarrow{R}[2]$ stands for the second best ranked and so on. Hence, $All\overrightarrow{oc}_q[p] = 1$ iff $\exists i, \overrightarrow{R}[i] = p$ and $i \leq \text{min}(n, N_q)$. Vector $\overrightarrow{R}$ is computed with the providers’ levels $\overrightarrow{L}$ (Definition 3).

**Definition 3. Vector of providers’ levels**

\[
\overrightarrow{L}[p] = \begin{cases} 
(B[p] + 1)^{\omega} \times (CIF_l[p] + 1)^{1-\omega} & \text{if } B[p] \geq 0 \\
-(B[p] + 1)^{\omega} \times (CIF_l[p] + 1)^{1-\omega} & \text{otherwise}
\end{cases}
\]

Parameter $\omega$ reflects the relative importance the mediator gives to the intentions or the bids. A competition occurs when the number of providers with a positive bid is equal or higher than $n$, or else there is an imposition.

4.2 Monetary Transfers

Unlike an usual auction mechanism, bids cannot be directly compared, because of the consumer’s intentions. Thus, to calculate the payment we define the theoretical bid ($\overrightarrow{B}_{Th}(p, l)$), which corresponds to the amount that $p$ should bid for reaching level $l$. With $\omega \neq 0$ and $\alpha = 1$ if $l \geq 0$, and $\alpha = -1$ otherwise, $\overrightarrow{B}_{Th}(p, l)$ is given by Formula 2. In a competition case, the payments are calculated in the spirit of a generalized Vickrey auction, except that the selected providers pay the amount they should bid to reach the level of the first unselected provider. In an imposition case, theoretical bids are used to define what each of the providers owe due to the imposition of some of them.

\[
\overrightarrow{B}_{Th}(p, l) = \max(((\alpha \times l)^{-\frac{1}{\omega}}(CIF_l[p] + 1)^{\frac{\omega}{\omega - 1}} - 1), 0)
\]

The money used in the whole system is purely virtual. We could speak of tokens as well, which are used to regulate the system and which are not linked to any particular business model. Also, for the system to be correctly regulated, we must define how the money circulates. In fact, the providers spend and earn money through the mediator only by (i) bidding on queries and (ii) paying to compensate other providers that have been impos-
Capacity based, which cannot ensure good response times. Therefore, SBM mediation consumers benefit from more attention to their

100% of the total system capacity. On the one hand, con-

sumers are always more satisfied with city based autonomous providers while

city based punishments them with uninteresting ones. Notice

that, the values of the providers’ satisfaction suffer from
greater oscillations than those of consumers, because of natural competition of providers for performing queries.

Now, we proceed to study the impact on performance of the providers’ autonomy. We observed during our experi-

ments that while Capacity based looses in average 60% of providers for all query arrival rates, SBM mediation looses only a 27% of providers! Indeed, such provider’s departures are reflected on the ensured response time1. We observe

in Figure 1(c) that SBM mediation significantly outperforms Capacity based, which cannot ensure good response times. Therefore, SBM mediation can scale up when confronted to autonomous providers while Capacity based cannot.

### 6. CONCLUSION

In this paper, we addressed the query allocation problem in distributed information systems from a new point of view, by considering not only query load but also participants’ satisfaction. Our work brings several contributions.

First, we proposed the satisfaction notion that reflects, in the long run, whether participants’ expectations are met by the query allocation method. This definition is original since it is independent of how participants compute their intentions and how the mediation process considers them.

Second, we propose a mediation process, called SBM mediation, that considers the participants’ intentions while allocating queries. We discussed how query allocation and invoicing steps leads to participants’ satisfaction. The originality of SBM mediation is to satisfy both participants’ expectations and query demand.

Finally, we evaluated and compared, through experimenta-
tion, the behavior of SBM mediation to the behavior of Capacity based. We demonstrated that SBM mediation significantly outperforms Capacity based. We showed that consumers and providers are, in general, very satisfied with SBM mediation. This is not the case for Capacity based which suffers from several providers’ departures due to dissatisfaction. Furthermore, the results demonstrate that SBM mediation can scale up in these systems while Capacity based cannot. Finally, since SBM mediation considers the consumers’ intentions and providers’ bids without any consideration about how they are computed, SBM mediation is self-adaptable to the changes in their expectations.

### 7. REFERENCES


