TRANSITIVITY OF CODIMENSION ONE ANOSOV ACTIONS OF $\mathbb{R}^k$ ON CLOSED MANIFOLDS

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Abstract. In this paper, we consider Anosov actions of $\mathbb{R}^k$, $k \geq 2$, on a closed connected orientable manifold $M$, of codimension one, i.e. such that the unstable foliation associated to some element of $\mathbb{R}^k$ has dimension one. We prove that if the ambient manifold has dimension greater than $k + 2$, then the action is topologically transitive. This generalizes a result of Verjovsky for codimension one Anosov flows.

1. Introduction

It is nowadays a common sense that the Anosov systems lie in the central heart of the theory of dynamical systems, as the most perfect kind of global hyperbolic behavior. It has strong connections with algebra, natural examples arising from number field theory or Lie groups theory (see also [14] for an example illustrating the deep interplay between Anosov systems and representation theory), and also with topology, the dynamics of an Anosov system usually reflecting the ambient manifold topology.

The notion has been introduced by V.V. Anosov in the 60’s in [1], but one should also consider previous works by precursors, including Hadamard, Morse, etc...

An Anosov system is (topologically) transitive if it admits a dense orbit. There is a quite extensive literature devoted to transitivity for certain classes of Anosov systems. In particular, by a celebrated result of Newhouse [18] and Franks [8], every codimension one Anosov diffeomorphism on a compact manifold is topologically mixing (more than transitive). As a corollary from this theorem and [8 Corollary (6.4)], up to finite coverings, Anosov diffeomorphisms of codimension one (i.e. such that the unstable subbundle has dimension one) on closed manifolds of dimension $\geq 3$ are topologically conjugate to hyperbolic toral automorphisms. For flows, in the three-dimensional case, Franks–Williams [9] construct an Anosov flow that is not topologically transitive. In the higher dimensional...
case, Verjovsky [35] proved that codimension one Anosov flows on manifolds of dimension greater than three are transitive.

A natural question arises: “what about transitivity for actions of higher dimensional groups (particularly $\mathbb{R}^k$, $k \geq 2$)?”

The development which concerns us here deals with the case of Anosov actions of the group $\mathbb{R}^k$ (some element $r \in \mathbb{R}^k$ acts normally hyperbolically with respect to the orbit foliation). This concept was originally introduced by Pugh–Shub [24] in the early seventies, and more recently received a strong impetus under the contribution of A. Katok and R.J. Spatzier. The rigidity aspects of these actions receives nowadays a lot of attention, in the framework of Zimmer program.

In this paper we undertake the study of transitivity of codimension one Anosov actions of $\mathbb{R}^k$, $k > 1$. An action $\mathbb{R}^k$ is called topologically transitive if it admits a dense orbit. Our main result is the following theorem.

**Theorem 1.** Every codimension one Anosov action of $\mathbb{R}^k$ on a closed manifold of dimension greater than $k + 2$ is topologically transitive.

The denomination, coming from the usual one for Anosov diffeomorphisms or flows, may be confusing: here, codimension one does not mean that the orbits of $\mathbb{R}^k$ have codimension one, but that the unstable foliation of some element of $\mathbb{R}^k$ has dimension one. See §2.2.

Note that if a closed $n$-manifold supports a codimension one Anosov action of $\mathbb{R}^k$ and $m < k + 3$, then $m = k + 2$. In this case, the Theorem does not hold: take the product (cf. Example [4]) of the by Franks–Williams example ([9]) by a flat torus is a non transitive codimension one Anosov action of $\mathbb{R}^k$ on a $(k + 2)$-manifold of the form $N^3 \times \mathbb{T}^{k-1}$, where $N^3$ is closed three manifold.

Actually, we will prove slightly more. The theorem above states that under the hypothesis there is a dense $\mathbb{R}^k$-orbit, but we can wonder if there is a one parameter subgroup of $\mathbb{R}^k$ whose orbit on $M$ is dense. Actually, this stronger statement does not hold in general: just consider once more as above the product of an Anosov flow, transitive or not, by a flat torus. However, it is nearly true, in a weak sense, as explained just below.

An element of $\mathbb{R}^k$ is said Anosov if it acts normally hyperbolically with respect to the orbit foliation. Every connected component of the set of Anosov elements is an open convex cone in $\mathbb{R}^k$, called a chamber. More generally, a regular subcone $\mathcal{C}$ is an open convex cone in $\mathbb{R}^k$ containing only Anosov elements. One should consider $\mathcal{C}$ as a semi-group in $\mathbb{R}^k$: the sum of two elements in the cone still lies in the cone. The $\mathcal{C}$-orbit of a point $x$ in $M$ is the subset comprising the iterates $\phi^a(x)$ for $a$ describing $\mathcal{C}$. 

**Theorem 2.** Let $\phi$ be a codimension one Anosov action of $\mathbb{R}^k$ on a closed manifold $M$ of dimension greater than $k + 2$. Then any regular subcone $C$ admits a dense orbit in $M$.

Theorem 1 is obviously a direct corollary of Theorem 2. On the other hand, given $a$ in $\mathbb{R}^k$, we can apply Theorem 2 to every small regular cone containing $a$: hence we can loosely have in mind that, up to arbitrarily small errors, $\phi^a$ admits a dense orbit.

The cornerstone of the proof is the study of the codimension foliation $\mathcal{F}^s$ tangent to the stable subbundle of Anosov elements in $\mathcal{C}$. The unstable foliation $\mathcal{F}^{uu}$ for $C$ has dimension one, and the first step is to prove that every leaf of $\mathcal{F}^{uu}$ admits an affine structure, preserved by the action of $\mathbb{R}^k$ (cf. Theorem 3). The existence of this affine structure provides a very good information about the transverse holonomy of $\mathcal{F}^s$, giving in fine, through the classical theory of codimension one foliations, many information about the topology of the various foliations involved. In particular, the orbit space of the lifting of $\phi$ to the universal covering $\tilde{M}$ is a Hausdorff manifold, homeomorphic to $\mathbb{R}^{n-k}$ (cf. Theorem 8).

On the other hand, one can produce a generalization for $\mathbb{R}^k$-actions of the classical Spectral decomposition Theorem (Theorem 5). It allows to reduce the proof of the transitivity to the proof that stable leaves are dense (Lemma 7). Now, if some leaf of $\mathcal{F}^s$ is not dense, then there must be some non bi-homoclinic orbit of $\mathbb{R}^k$ (cf. Proposition 4). One then get the final result by using some clever argument, involving Jordan-Schönflies Theorem, and already used in Verjovsky proof as rewritten in [3] or [15].

Actually, all the strategy above mostly follows the guideline used in Verjovsky proof, but is more than a simple transposition. New phenomena arise, even enlightening the case of Anosov flows.

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**Convex cones:** The case $k = 1$ is somewhat greatly simplified by the fact that regular subcones in $\mathbb{R}^k$ are simply half-lines, and that the only non Anosov element of $\mathbb{R}^k$ is the origin 0. One can compare the classical Closing Lemma with the general version, more technical in its statement: Theorem 4.

**Reducibility:** Given an Anosov $\mathbb{R}^k$ action on some manifold $M$, one can always take the product $M \times \mathbb{T}^l$ by some torus $\mathbb{T}^l = \mathbb{R}^l/\mathbb{Z}^l$ and consider the locally free action of $\mathbb{R}^{k+l}$ on this product manifold. Then this action is still Anosov. This construction can be generalized to twisted products through a representation $\rho : \pi_1(M) \rightarrow \mathbb{R}^l$ (cf § 5). Of course, this construction gives examples with $k > 1$, hence doesn’t appear in the case of Anosov flows. Therefore, an important step is to put aside these examples. In Theorem 7 we prove that every codimension one action of $\mathbb{R}^k$ splits uniquely as a principal torus bundle over some manifold $\widetilde{M}$ such that the $\mathbb{R}^k$ actions permutes the fibers, and thus induces an action on $\widetilde{M}$. Moreover, the fibers are precisely the orbits of some subgroup $H_0 \subset \mathbb{R}^k$, and the induced
action is Anosov. Finally, this splitting is maximal, i.e. $\bar{M}$ cannot be decomposed further: it is irreducible. Many properties, among them transitivity, is obviously satisfied by the Anosov action on $M$ if and only if it is satisfied by the induced action on $\bar{M}$. Therefore, the proof of Theorem 2 reduces to the irreducible case.

The irreducibility of an Anosov $\mathbb{R}^k$ action can be equivalently defined as requiring that the codimension one stable foliation $\mathcal{F}^s$ has trivial holonomy cover; in a less pedantic way, it means that homotopically non-trivial loops in leaves have non-trivial holonomy (cf. Remark 3). Irreducible Anosov actions enjoy many nice topological properties. Among them (cf. Proposition 3):

Let $\phi$ be an irreducible codimension one action of $\mathbb{R}^k$ on a manifold $M$. Then the isotropy subgroup of every element of $M$ is either trivial, or a lattice in $\mathbb{R}^k$.

We can observe, as a corollary, that if a codimension one Anosov action of $\mathbb{R}^k$ admits an orbit homeomorphic to $\mathbb{T}^{k-1} \times \mathbb{R}$, then it is a twisted product by flat tori $\mathbb{T}^{k-1}$ over an Anosov flow.

The paper is organized as follows: in the preliminary section we give definitions, and present first results, as the generalized Closing Lemma for actions of $\mathbb{R}^k$, and the spectral decomposition of the non-wandering set as a finite union of basic blocks. In §3 we present the known examples of Anosov actions of codimension one. In §4 we establish the reduction Theorem (it includes the proof of the $\mathbb{R}^k$-invariant affine structures along unstable leaves). In §5 we prove the Main Theorem 2. In the last section we give additional comments, and present forcoming works in progress.

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2. Preliminaries

2.1. Definitions and notations. Now, we outline some basic results about actions of $\mathbb{R}^k$ which will be used in the proof of the main theorem. Recall that, for any action $\phi : \mathbb{R}^k \times M \to M$ of $\mathbb{R}^k$ on a manifold $M$, $\mathcal{O}_p := \{\phi(\omega, p), \omega \in \mathbb{R}^2\}$ is the orbit of $p \in M$ and $\Gamma_p := \{\omega \in \mathbb{R}^k : \phi(\omega, p) = p\}$ is called the isotropy group of $p$. The action $\phi$ is said to be locally free if the isotropy group of every point is discrete. In this case the orbits are diffeomorphic to $\mathbb{R}^\ell \times \mathbb{T}^{k-\ell}$, where $0 \leq \ell \leq k$.

Let $\mathcal{F}$ be a continuous foliation on a manifold $M$. We denote the leaf that contains $p \in M$ by $\mathcal{F}(p)$. For an open subset $U$ of $M$, let $\mathcal{F}|_U$ be the foliation on $U$ such that $(\mathcal{F}|_U)(p)$ is the connected component of $\mathcal{F}(p) \cap U$ containing $p \in M$. A coordinate $\varphi = (x_1, \cdots, x_n)$
on $U$ is called a foliation coordinate of $\mathcal{F}$ if $x_{m+1}, \ldots, x_n$ are constant functions on each leaf of $\mathcal{F}|_U$, where $m$ is the dimension of $\mathcal{F}$. A foliation is of class $C^{r+}$ if it is covered by $C^{r+}$ foliation coordinates. We denote the tangent bundle of $M$ by $TM$. If $\mathcal{F}$ is a $C^1$ foliation, then we denote the tangent bundle of $\mathcal{F}$ by $T\mathcal{F}$.

We fix a Riemannian metric $\|\cdot\|$, and denote by $d$ the associated distance map on $M$.

2.2. Anosov $\mathbb{R}^k$-actions. Let us recall the definitions and basic properties of Anosov actions.

Definition 1. Let $M$ be a $C^\infty$ manifold and $\phi$ a locally free $C^{1+}$ action of $\mathbb{R}^k$ on $M$. By $T\phi$, we denote the $k$-dimensional subbundle of $TM$ that is tangent to the orbits of $\phi$.

(1) We say that $a \in \mathbb{R}^k$ is an Anosov element for $\phi$ if $g = \phi(a, \cdot)$ acts normally hyperbolically with respect to the orbit foliation. That is, there exist real numbers $\lambda > 0$, $C > 0$ and a continuous $Dg$-invariant splitting of the tangent bundle $TM = E^{ss}_a \oplus T\phi \oplus E^{uu}_a$ such that

\[
\|Dg^n|_{E^{ss}_a}\| \leq Ce^{-\lambda n} \quad \forall n > 0
\]
\[
\|Dg^n|_{E^{uu}_a}\| \leq Ce^{\lambda n} \quad \forall n < 0
\]

(2) Call $\phi$ an Anosov action if some $a \in \mathbb{R}^k$ is an Anosov element fixed once for all. For simplicity, the foliations corresponding to $a$ will be denoted by $\mathcal{F}^{ss}$, $\mathcal{F}^{uu}$, $\mathcal{F}^s$ and $\mathcal{F}^u$. For all $\delta > 0$, $\mathcal{F}_\delta^i(x)$ denote the open ball in $\mathcal{F}^i(x)$ under the induced metric which centering at $x$ with radius $\delta$, where $i = ss, uu, s, u$.

Theorem 3 (of product neighborhoods). Let $\phi : \mathbb{R}^k \times M \to M$ be an Anosov action. There exists a $\delta_0 > 0$ such that for all $\delta \in (0, \delta_0)$ and for all $x \in M$, the applications

\[
[, ,]^u : \mathcal{F}^s(x) \times \mathcal{F}^{uu}(x) \to M; \quad [y, z]^u = \mathcal{F}_{2\delta}^s(z) \cap \mathcal{F}_{2\delta}^{uu}(y)
\]
\[
[, ,]^s : \mathcal{F}^{ss}(x) \times \mathcal{F}^u(x) \to M; \quad [y, z]^s = \mathcal{F}_{2\delta}^{ss}(z) \cap \mathcal{F}_{2\delta}^u(y)
\]

are homeomorphisms on their images.
Remark 1. Every foliation $\mathcal{F}_{ss}^a$, $\mathcal{F}_{ss}^{-a}$, $\mathcal{F}_{a}^u$ or $\mathcal{F}_{a}^u$ is preserved by every diffeomorphism commuting with $a$. In particular, it is $\mathbb{R}^k$-invariant. Another standard observation is that, since every compact domain in a leaf of $\mathcal{F}_{ss}^a$ (respectively of $\mathcal{F}_{ss}^{-a}$) shrinks to a point under positive (respectively negative) iteration by $\phi^a$, every leaf of $\mathcal{F}_{ss}^a$ or $\mathcal{F}_{ss}^{-a}$ is a plane, i.e. diffeomorphic to $\mathbb{R}^\ell$ for some $\ell$.

Let $F$ be a weak leaf, let say a weak stable leaf. For every strong stable leaf $L$ in $F$, let $\Gamma_L$ be the subgroup of $\mathbb{R}^k$ comprising elements $a$ such that $\phi^a(L) = L$, and let $O_L$ be the saturation of $L$ under $\phi$. Thanks to Theorem 3 we have:

- $O_L$ is open in $F$;
- $\Gamma_L$ is discrete.

Since $F$ is connected, the first item implies $F = O_L$: the $\phi$-saturation of a strong leaf is an entire weak leaf. Therefore, $\Gamma_L$ does not depend on $L$, only on $F$. The second item implies that the quotient $P = \Gamma_L/\mathbb{R}^k$ is a manifold, more precisely, a flat cylinder, diffeomorphic to $\mathbb{R}^p \times \mathbb{T}^q$ for some $p, q$. For every $x$ in $F$, define $p_F(x)$ as the equivalence class $a + \Gamma_L$ such that $x$ belongs to $\phi^a(L)$. The map $p_F : F \to P$ is a locally trivial fibration and the restriction of $p_F$ to any $\phi$-orbit in $F$ is a covering map. Since the fibers are contractible (they are leaves of $\mathcal{F}^{ss}$, hence planes), the fundamental group of $F$ is the fundamental group of $P$, i.e. $\Gamma_L$ for any strong stable leaf $L$ inside $F$.

Observe that if $\mathcal{F}^{ss}$ is oriented, then the fibration $p_F$ is trivial: in particular, $F$ is diffeomorphic to $P \times \mathbb{R}^p$, where $p$ is the dimension of $\mathcal{F}^{ss}$.

Of course, analogous statements hold for the strong and weak unstable leaves.

We say $\phi$ is a codimension-one Anosov action if $E_{a}^{uu}$ is one-dimensional for some $a$ in $\mathbb{R}^k$. In this case, we will always assume that the fixed Anosov element has one dimensional strong stable foliation.

Remark 2. Let $\mathcal{A} = \mathcal{A}(\phi)$ be the set of Anosov elements of $\phi$.

1. $\mathcal{A}$ is always an open subset of $\mathbb{R}^k$. In fact, by the structural stability theorem for normally hyperbolic transformations by Hirsch, Pugh and Shub a map $C^1$-close to a normally hyperbolic transformation is again normally hyperbolic for a suitable foliation \cite{[11]}. For an element in $\mathbb{R}^k$ close to an Anosov element, this suitable foliation is forced to be the orbit foliation of the action.

2. Every connected component of $\mathcal{A}$ is an open convex cone in $\mathbb{R}^k$. Let $a$ be an Anosov element. Every element near $a$ must share the same stable and unstable bundles, therefore, all the Anosov elements in the same connected component than $a$ admits the same stable/unstable splitting. The contracting or expanding property along
a given bundle is stable by composition and by multiplication of the generating vector field by a positive constant factor; it follows that the connected component is a convex cone, as claimed.

We call such a connected component a chamber, by analogy with the case of Cartan actions. More generally, a regular subcone is an open convex cone contained in a chamber.

(3) If \( A \) is the chamber containing \( a \), then \( F^s_a = F^s_b \), \( F^u_a = F^u_b \), \( F^s = F^s_b \) and \( F^u_a = F^u_b \) for all \( b \in A \).

(4) Any \( \phi \)-orbit whose isotropy subgroup contains an Anosov element \( v \) is compact. Indeed, let \( y \) be a point in the closure of the orbit. It is clearly fixed by \( \phi^v \), and there is a local cross-section \( \Sigma \) to \( \phi \) containing \( x \) such that for every \( z \) in \( \Sigma \) near \( y \) the image \( \phi^v(z) \) lies in \( \Sigma \). Then, \( y \) is a fixed point of \( \phi^v \) of saddle type, in particular, it is an isolated \( \phi^v \)-fixed point. Hence \( y \) lies in the \( \phi \)-orbit of \( x \).

Another standard fact about Anosov \( \mathbb{R}^k \)-actions is an Anosov-type closing lemma which is a straightforward generalization of a similar statement for Anosov flows (cf. [12, Theorem 2.4]).

**Theorem 4** (Closing Lemma). Let \( a \in \mathbb{R}^k \) be an Anosov element of an Anosov \( \mathbb{R}^k \)-action \( \phi \) on a closed manifold \( M \). There exist positive constants \( \varepsilon_0, C \) and \( \lambda \) depending continuously on \( \phi \) in the \( C^1 \)-topology and \( a \) such that: if for some \( x \in M \) and \( t \in \mathbb{R} \)

\[
d(\phi(ta, x), x) < \varepsilon_0,
\]

then there exists a point \( y \in M \), a differentiable map \( \gamma : [0, t] \to \mathbb{R}^k \) such that for all \( s \in [0, t] \) we have

(1) \( d(\phi(sa, x), \phi(\gamma(s), y)) < Ce^{-\lambda\min\{s, t-s\}}d(\phi(ta, x), x) \);

(2) \( \phi(\gamma(t), y) = \phi(\delta, y) \) where \( \|\delta\| < Cd(\phi(ta, x), x) \);

(3) \( \|\gamma' - a\| < Cd(\phi(ta, x), x) \).

**Remark 3.** Let \( C \) be a regular subcone containing \( a \) (for example, a chamber). Once \( a \) is fixed, item (3) in the Theorem above implies that if \( d(\phi(ta, x), x) \) is sufficiently small, the velocity \( \gamma' \) lies in \( C \), therefore, that the image of \( \gamma \) is contained in \( C \). Moreover, once more if \( d(\phi(ta, x), x) \) is sufficiently small, item (2) implies that \( \gamma(t) - \delta \) belongs to \( C \). According to Remark 2 the orbit of \( y \) is compact.

**Definition 2** (The nonwandering set). A point \( x \in M \) is nonwandering with respect to a regular subcone \( C \) if for any open set \( U \) containing \( x \) there is a \( v \in C \), \( \|v\| > 1 \), such that
\[ \phi^v(U) \cap U \neq \emptyset, \text{ where } \phi^v = \phi(v, \cdot). \] The set of all nonwandering points, with respect to \( C \), is denoted by \( \Omega(C) \).

By using the Closing Lemma for Anosov \( \mathbb{R}^k \)-actions we obtain:

**Proposition 1.** For any regular subcone \( C \), the union of compact orbits of \( \mathbb{R}^n \) is dense in \( \Omega(C) \).

**Proof.** For \( x \in \Omega(C) \) and \( \varepsilon > 0 \) denote by \( U_\varepsilon \) the \( \varepsilon/(2C + 1) \)-neighborhood of \( x \) in \( M \), where \( C \) is as in the Closing Lemma. Then there exists \( v \in C \) such that \( \phi^v(U_\varepsilon) \cap U_\varepsilon \neq \emptyset \). For \( y \in \phi^{-v}(U_\varepsilon) \cap U_\varepsilon \neq \emptyset \), we have \( d(\phi^v(y), y) < 2\varepsilon/(2C + 1) \) and hence by the Closing Lemma and Remark 4, there is a point \( z \) such that \( \phi^v(z) = z \), \( O_z \) is compact and \( d(y, z) < C\delta(\phi^v(y), y) \), consequently \( d(z, x) \leq d(y, x) + d(y, z) < \varepsilon \). It proves that \( \text{Comp}(\phi) \) is dense in \( \Omega(C) \) and finishes the proof.

**Remark 4.** Let \( a \) be any non-trivial element of \( \mathbb{R}^k \). The nonwandering set \( \Omega(\phi^a) \) of the (semi-)flow generated by \( a \) is clearly contained in \( \Omega(\phi) \). On the other hand, the nonwandering set of any linear flow on a torus is the entire torus. Hence, compact orbits of \( \mathbb{R}^k \) are contained in \( \Omega(\phi^a) \). Hence, it follows from the proposition above that the nonwandering sets \( \Omega(\phi^a) \) and \( \Omega(\phi) \) coincide. In particular, the nonwandering set \( \Omega(C) \) is independent from the regular subcone \( C \).

**Lemma 1.** The isotropy subgroup of any compact orbit contains an element in \( C \).

**Proof.** Let \( R > 0 \) such that every Euclidean ball of radius \( R \) in \( \mathbb{R}^k \) intersects every orbit of the isotropy subgroup \( \Gamma \). Let \( B \) be a closed Euclidean ball of radius \( r \) in the open convex cone \( C \). Then, for \( t > R/r \), the ball \( tB \) is contained in \( C \) and has radius \( > R \), and thus intersects the \( \Gamma \)-orbit of \( 0 \). The lemma follows.

The Riemannian metric induces an area form on every \( \phi \)-orbit.

**Lemma 2.** For every \( C > 0 \), there is only a finite number of compact \( \phi \)-orbits of area \( \leq C \).

**Proof.** Assume by contradiction the existence of an infinite sequence of distinct compact orbits \( O_n \) of area \( \leq C \). For each of them, let \( \Gamma_n \) be the isotropy group of \( O_n \): it is an element of \( R = \text{GL}(k, \mathbb{R})/\text{SL}(k, \mathbb{Z}) \), the space of lattices in \( \mathbb{R}^k \). Since \( \phi \) is locally free, the length of elements of \( \Gamma_n \) is uniformly bounded from below, independently from \( n \). By the Mahler’s criterion (25), it ensures that, up to a subsequence, the \( \Gamma_n \) converges to some lattice \( \Gamma_{\infty} \). In particular, for every \( v_\infty \in \Gamma_{\infty} \), there is a sequence of elements \( v_n \) of \( \Gamma_n \) converging in \( \mathbb{R}^k \) to \( v_\infty \). Furthermore, according to (the proof of) Lemma 1, we can select \( v_\infty \) in \( C \). Up
to a subsequence, we can also pick up a sequence of elements $x_n$ in each $O_n$ converging to some $x_\infty$ in $M$. Then, since $\phi^{v_n}(x_n) = x_n$, at the limit we have $\phi^{v_\infty}(x_\infty) = x_\infty$. Since $v_\infty$ is Anosov, the $\phi$-orbit $O_\infty$ of $x_\infty$ is compact. Consider a local section $\Sigma$ to $\phi$ containing $x_\infty$: the first return map on $\Sigma$ along the orbit of $\phi^{v_\infty}$ is hyperbolic, admitting $x_\infty$ as an isolated fixed point. On the other hand, by pushing slightly along $\phi$, we can assume without loss of generality that every $x_n$ belongs to $\Sigma$. Since the $v_n$ converges to $v_\infty$, the $\phi^{v_n}$-orbit of $x_n$ approximates the $\phi^{v_\infty}$-orbit of $x_\infty$, showing that the $x_n$ are also fixed points of the first return map. It is a contradiction, since they accumulate to the isolated fixed point $x_\infty$. \hfill \Box

**Theorem 5** (Spectral decomposition). Let $M$ be a closed smooth manifold and let $\phi$ be an Anosov action on $M$. The nonwandering set of $\phi$ can be partitioned into a finite number of $\phi$-invariant closed subsets, called basic blocks:

$$
\Omega = \bigcup_{i=1}^{\ell} \Lambda_i
$$

such that for every regular subcone $C$, every $\Lambda_i$ is $C$-transitive, i.e. contains a dense $C$-orbit.

**Proof.** Let $\text{Comp}(\phi)$ be the set of compact orbits of $\phi$. By Proposition 11, we have $\overline{\text{Comp}(\phi)} = \Omega(\phi)$. We define a relation on $\text{Comp}(\phi)$ by: $x \sim y$ if and only if $F^u(x) \cap F^s(y) \neq \emptyset$ and $F^s(x) \cap F^u(y) \neq \emptyset$ with both intersections transverse in at least one point. We want to show that this is an equivalence relation and obtain each $\Lambda_i$ as the closure of an equivalence class.

Note that $\sim$ is trivially reflexive and symmetric. In order to check the transitivity suppose that $x, y, z \in \text{Comp}(\phi)$ and $p \in F^u(x) \cap F^s(y), q \in F^u(y) \cap F^s(z)$ are transverse intersection points. There exists $v \in A_n$ such that $\phi^v(x) = x$. Since the images of a ball around $p$ in $F^u(p) = F^u(x) = \phi^v(F^u(x))$ accumulate on $F^u(y)$, we obtain that $F^u(x)$ and $F^s(z)$ have a transverse intersection. Analogously, we obtain that $F^s(x)$ and $F^u(z)$ have a transverse intersection.

By Theorem 8, any two sufficiently near points are equivalent, so by compactness we have finitely many equivalence classes whose (pairwise disjoint) closures we denote by $\Lambda_1, \Lambda_2, \ldots, \Lambda_\ell$.

It remains to show that every $\Lambda_i$ is $C$-transitive for every regular subcone $C$. Notice first that if $p \in \Lambda_i \cap \text{Comp}(\phi)$ and $p \sim q$ with $q \in \text{Comp}(\phi)$, then there is $z \in F^u(p) \cap F^s(q)$. Let $v \in A_n$ such that $\phi^v(p) = p$. As the iterates under $\phi^v$ of a ball around $z$ in $F^u(p) = F^u(z) = \phi^v(F^u(z))$ accumulate on $F^u(q)$, and since $z$ belongs to $F^s(q)$, we obtain that $F^u(p)$ is dense in $\Lambda_i \cap \text{Comp}(\phi)$, hence in $\Lambda_i$.  

Now, for the transitivity, we need to show that for any two open sets \( U \) and \( V \) in \( \Lambda_i \) there exists \( v \in C \) such that \( \phi^v(U) \cap V \neq \emptyset \). The density of compact orbits in \( \Lambda_i \) implies the existence of \( p \in U \) and \( v \in C \) such that \( \phi^v(p) = p \) (cf. Lemma 1). Let \( \mathcal{F}_\delta^{uu}(p) \) be a neighborhood of \( p \) in \( \mathcal{F}^{uu}(p) \) that is contained in \( U \). Since the \( \mathbb{R}^k \)-orbit of \( p \) is compact, there is a compact domain \( K \) in \( \mathbb{R}^k \) so that the leaf \( \mathcal{F}^u(p) \) is equal to \( K \cdot \cup_{j=0}^\infty \phi^{jv}(\mathcal{F}_\delta^{uu}(p)) \).

Since this leaf is dense in \( \Lambda_i \), there exists for every \( m \in \mathbb{N} \) sufficiently big an element \( g_m \in K \) such that \( V \cap \phi^{mv+g_m}(\mathcal{F}_\delta^{uu}(p)) \neq \emptyset \), theorem follows, since for \( m \) sufficiently large, \( mv + g_m = m(v + g_m/m) \) lies in \( C \). □

3. Examples

Let us give some examples of Anosov actions of \( \mathbb{R}^k \). We will especially focus on codimension one examples.

**Example 1.** Let \( G \) be a real semi-simple Lie group, with Lie algebra \( \mathcal{G} \), \( \Gamma \) a torsion-free uniform lattice in \( G \), and \( A \) a split Cartan subgroup of \( G \). The centralizer of \( A \) in \( G \) is a product \( AK \), where \( A \) commutes with \( K \). Then the action at the right induces a \( \mathbb{R}^k \)-action on the compact quotient \( M = \Gamma \backslash G/K \). An essential starting point in the theory of root systems has a strong dynamical system flavor: this action is Anosov! More precisely, the classical first step is to prove that the adjoint action of \( A \) on \( \mathcal{G} \) preserves a splitting:

\[
\mathcal{G} = \mathcal{K} + A + \sum_{\alpha \in \Sigma} \mathcal{G}^\alpha
\]

where \( \mathcal{K} \), \( A \) are the Lie algebras of \( K \), \( A \), and where every \( \alpha \) (the *roots*) are linear forms describing the restriction of the adjoint action of \( a \) on \( \mathcal{G}^\alpha \); it is simply the multiplication by \( \alpha(a) \). The classical way is then to prove that the elements \( a \) of \( A \) for which \( \alpha(a) \neq 0 \) is a Zariski open subset, and these elements, called *regular*, are precisely the ones which are Anosov in our terminology for \( \mathbb{R}^k \)-action. They form an union of open convex cones, called Weyl chambers, of the form \( \{ \alpha > 0; \forall \alpha \in \Sigma^* \} \) where \( \Sigma^* \) is a subsystem of a certain kind, called *reduced root system*.

This family of examples, called *Weyl chamber flows* in [12], is certainly one the the most interesting, but is never of codimension one, except in the case \( G = \text{SL}(2, \mathbb{R}) \). Indeed, the root system is always equal to its own opposite. Hence if the associated \( \mathbb{R}^k \) Anosov action has codimension one, then \( \Sigma \) contains exactly two elements, and our assertion follows. In this very special case, the examples we obtain are Anosov flows (i.e. \( k = 1 \), and
more precisely, up to finite coverings, geodesic flows of compact Riemannian surfaces with constant curvature $-1$.

This dynamical feature in algebra is very useful. For example, the (simple) fact that Anosov actions admit compact orbits implies that every uniform lattice $\Gamma$ in $G$ admits a conjugate $g\Gamma g^{-1}$ which is a lattice in $A$. In particular, $\Gamma$ contains a free abelian subgroup of the same rank than $G$.

**Example 2.** Consider an action of $\mathbb{Z}^k$ on a closed manifold $S$. The *suspension* of this action is the quotient $M$ of $S \times \mathbb{R}^k$ by the relation identifying each $(x, u)$ with $(k \cdot x, u + k)$ for every $k$ in $\mathbb{Z}^k$. The translation on the second factor $\mathbb{R}^k$ induces a $\mathbb{R}^k$-action on $M$. It is easy to prove that this action is Anosov if and only some element of $\mathbb{Z}^k$ induces an Anosov diffeomorphism on $S$. Observe also that this action has codimension one if and only if one of the Anosov element of $\mathbb{Z}^k$ has codimension one. Hence, by Franks-Newhouse Theorem reported in the introduction, if the suspension has codimension one, then codimension one Anosov elements of $\mathbb{Z}^k$ are (up to finite coverings and topological conjugation) hyperbolic toral automorphism on some torus $\mathbb{T}^n$. Every homeomorphism of the torus commuting with a hyperbolic toral automorphism is also an automorphism (i.e. linear). Hence the only possible examples of codimension one suspensions are the ones described below, arising from number field theory, maybe after restriction to a subgroup of $\mathbb{Z}^k \subset \text{Aut}(\mathbb{T}^n)$.

The suspension process can be generalized to a version including Weyl chamber flows (see twisted Weyl chamber flows in [12, 13]), but this new family of examples are never of codimension one.

**Example 3.** Generically, the centralizer of an Anosov diffeomorphism $f$ reduces to the iterates $f^k$ ($k \in \mathbb{Z}$) (see [20]). Hence the construction of Anosov actions of $\mathbb{Z}^k$ for $k \geq 2$ requires special features.

Let $K = \mathbb{Q}[\alpha]$ be a field extension of the field $\mathbb{Q}$ of finite degree $n$, $\mathcal{O}_K$ the ring of algebraic integers of $K$, and $\mathcal{O}^*_K$ the group of units of $\mathcal{O}_K$. Then, the quotient of $K \otimes \mathbb{R}$ by the additive action of $\mathcal{O}_K$ is a compact torus of dimension $n$, on which $\mathcal{O}^*_K$ acts by multiplication. According to Dirichlet unit Theorem, the torsion-free part of $\mathcal{O}^*_K$ is isomorphic to $\mathbb{Z}^k$, with $k = r_1 + r_2 - 1$ where $r_1$ is the number of real embeddings and $r_2$ the number of conjugate pairs of complex embeddings of $K$. Hence every finite extension of $\mathbb{Q}$ naturally provides an action of $\mathbb{Z}^k$ on a torus. More precisely, the real and complex embeddings provide altogether a realization of $K \otimes \mathbb{R}$ as a vector subspace of $\mathbb{R}^{r_1} \oplus \mathbb{C}^{r_2}$, preserved by the multiplicative action of $\mathcal{O}^*_K$, which is diagonalizable, the eigenvalues being the various conjugates. Hence, this action is Anosov if and only if some unit has no conjugate of norm 1.
A concrete way to produce such examples is to take some algebraic number $\alpha$ admitting no conjugate of norm 1, and to consider the extension $K = \mathbb{Q}[\alpha]$. Of course, one can forget part of the unit group and just consider some subgroup. It is actually what we do when defining linear Anosov diffeomorphisms.

In order to get codimension one $\mathbb{Z}^k$-actions, it is sufficient to select as algebraic integer $\alpha$ any Pisot number, which is, by definition precisely a real algebraic integer $\alpha$ exceeding 1, and such that its conjugate elements are all less than 1 in absolute value. Concretely, examples of Pisot numbers are roots of $x^3 - x - 1$, $x^4 - x^3 - 1$, etc...

**Example 4.** Let $N$ be a $n$-dimensional manifold supporting a codimension one Anosov flow (clearly, $n \geq 3$). We construct a codimension one action of $\mathbb{R}^k$, $k \geq 2$, on $M = N \times \mathbb{T}^{k-1}$. Consider the coordinate system $(x, \theta)$ in $M$, $x \in N$, $\theta \in \mathbb{T}^{k-1}$. In what follows, for a real function $a(x, \theta)$, by $a(x, \theta) \frac{\partial}{\partial x}$ we mean $a_1 \frac{\partial}{\partial x_1} + \cdots + a_n \frac{\partial}{\partial x_n}$ where $x_1, \ldots, x_n$ are coordinates in $N$.

Let $\phi \in A^1(\mathbb{R}^k, M)$ be defined by $X_1$ and $Y_1, \ldots Y_{k-1}$, such that $X_1 = a(x) \frac{\partial}{\partial x}$ is a codimension one Anosov flow in $N$ and $X_j := \frac{\partial}{\partial \theta_j}$, where $\theta_1, \ldots, \theta_{k-1}$ are coordinates in $\mathbb{T}^{k-1}$. Then

- $\phi$ is a codimension one Anosov action of $\mathbb{R}^k$ on $M$.
- for $n > 3$, by Verjovsky Theorem, $\phi$ is transitive.
- if $n = 3$ and $X_1$ is the Anosov flow defined by Franks-Williams in [9], then $\phi$ is a codimension one Anosov action of $\mathbb{R}^k$ on the $(k + 2)$-manifold $M$ which is not transitive.

**Example 5.** More generally, let $\phi$ be an Anosov $\mathbb{R}^k$-action on a closed $n$-dimensional manifold $M$, and let $p : \hat{M} \to M$ be a principal flat $\mathbb{T}^\ell$-bundle over $M$. By flat, we mean that it is equipped with a flat $\mathbb{T}^\ell$-invariant connection, i.e. a $n$-dimensional foliation $\mathcal{H}$ transverse to the fibers of $p$ and preserved by $\mathbb{T}^\ell$ (there is a 1-1 correspondence between principal flat $\mathbb{T}^k$-bundles and group homomorphisms $\rho : \pi_1(M) \to \mathbb{T}^k$). Then, the $\mathbb{R}^\ell$-action on $M$ lifts uniquely as a $\mathbb{R}^\ell$ action tangent to $\mathcal{H}$. Moreover, this action commutes with the right action of $\mathbb{T}^\ell$ tangent to the fibers. Hence, both action combine to a $\mathbb{R}^{k+\ell}$-action on $\hat{M}$, which is clearly Anosov.

4. **Reducing codimension one Anosov actions**

In this section we show that any codimension one Anosov action, up to a reduction through a principal torus bundle, has the several topological properties, including:

- The universal covering of the ambient manifold is diffeomorphic to $\mathbb{R}^n$;
• The fundamental group of every compact orbit injects into the fundamental group of the ambient manifold;
• The holonomy of the codimension one foliation along a homotopically non-trivial loop in a leaf is non-trivial.

The crucial ingredient is the construction along each strong leaf of dimension one of an affine structure, preserved by the action of $\phi$.

4.1. **Affine structures over the leaves strong unstables.** We begin by remembering that an affine structure of class $C^2$ on $\mathbb{R}$ it is equivalent to given a differential 1-form on $\mathbb{R}$. If $f$ is a real-valued $C^2$ map defined on an interval of $\mathbb{R}$ on which the derivative vanishes nowhere, we may define the following differential 1-form:

$$\eta(f) = \frac{f''}{f'} dt$$

It follows from the previous definition that

$$\eta(f \circ g) = g^* \eta(f) + \eta(g)$$

where $g^* \eta(f)$ is the pull-back of $\eta(f)$ by $g$:

$$g^* \eta(f) = \frac{f'' \circ g}{f' \circ g} \ g' dt$$

On the other hand, the maps $f$ satisfying $\eta(f) = 0$ are characterized as the restrictions of affine maps (that is, of the form $t \to \lambda t + b$). Consequently, if $g$ is affine, we have

$$\eta(g \circ f) = \eta(f).$$

Hence, there is a correspondence between a differential 1-form on an interval of $\mathbb{R}$ and an affine structure on this interval. In fact, if $\omega(t) dt$ is a differential 1-form on an interval $I$, then the differential equation $\eta(f) = \omega dt$ has local solutions which are local diffeomorphisms between $I$ and an open set of $\mathbb{R}$. Moreover, two of these diffeomorphisms differ by right composition by an affine diffeomorphism. Then, the family of this local solutions is a system of affine charts on $I$.

Conversely, if $(U_i, f_i)_i$ is a system of charts that defines an affine structure of class $C^2$, then the differential 1-form defined by $\omega(t) dt = \eta(f_i)$ if $t \in U_i$ is independent of the choice of $U_i \ni t$.

We consider a $C^\infty$ Anosov action of $\mathbb{R}^k$ on $M$ whose stable foliation $\mathcal{F}^s$ is of codimension one. Then, each leaf of $\mathcal{F}^{ss}$ is $C^\infty$ diffeomorphic to $\mathbb{R}$. We may assume that $\mathcal{F}^{uu}$ is orientable, otherwise we consider the double covering of $M$. Consequently, it is possible
to parametrize $\mathcal{F}^{uu}$ by $u : \mathbb{R} \times M \to M$, an application such that the signed distance of $u(t, x) \in \mathcal{F}^{uu}(x)$ to $x$ is $t$. Here we consider the induced metric on $\mathcal{F}^{uu}$.

**Lemma 3.** The application $u$ is continuous. For $x$ fixed, the application $u_x : \mathbb{R} \to M$ defined by $u_x(t) = u(t, x)$ is $C^\infty$. Furthermore, the derivatives $\frac{\partial}{\partial t} u(0, x)$, $\ell \in \mathbb{N}$, depend continuously on $x$.

**Proof.** Since $u$ is a flow, it is sufficient to establish the lemma for small values $s$ of $t$.

Let $C^\infty(\mathbb{R}, M)$ be the space of $C^\infty$ immersions of $\mathbb{R}$ in $M$ provided of the $C^\infty$ uniform topology. It follows from the theory developed in [11] that for all $x \in M$, there exist an open neighborhood $U$ of $x$ and a continuous application $I : U \to C^\infty(\mathbb{R}, M)$, such that, for every $y \in U$, the immersion $I_y = I(y)$ is a diffeomorphism between $\mathbb{R}$ and a neighborhood of $y$ in $\mathcal{F}^{uu}$. There exist $t_0 > 0$ such that, for all $(t, y) \in (-t_0, t_0) \times U$ we have that $u(t, y) \in I_y(\mathbb{R})$. Hence $u(t, y) = I_y(s(t, y))$ where $s(t, y) \in \mathbb{R}$ is defined by equation

$$\int_0^{s(t,y)} \|I'_y(\alpha)\| d\alpha = t$$

As $\|I'_y(\alpha)\|$ is continuous with respect to $y$ and smooth with respect to $\alpha$, it follows that, $s(t, y)$ is continuous with respect to $y$ and smooth with respect to $t$. This proves that $u$ is continuous and $u_x$ is of class $C^\infty$. The last statement of the lemma is trivial. $\Box$

For a continuous application $\omega : M \to \mathbb{R}$, the parametrization $u$ of $\mathcal{F}^{uu}$ permits us to associate affine structures on the leaves $\mathcal{F}^{uu}(x)$ which are defined by the differential 1-form $\omega(u(t, x))$. This structure will be called of affine structure along the leaves of $\mathcal{F}^{uu}$ defined by $\omega$.

We say that an affine structure along the leaves of $\mathcal{F}^{uu}$ is invariant by the action $\phi$ if, for each $v \in \mathbb{R}^k$, the application $\phi^v|_{\mathcal{F}^{uu}(x)} : \mathcal{F}^{uu}(x) \to \mathcal{F}^{uu}(\phi^v(x))$, $x \in M$, is an affine diffeomorphism.

**Theorem 6.** Let $\phi$ be a codimension one Anosov action on $M$ and suppose that $\mathcal{F}^{uu}$ is one dimensional. There exists an unique affine structure along the leaves of $\mathcal{F}^{uu}$ depending continuously on the points and invariant by the action $\phi$.

**Proof.** For each $(v, x) \in \mathbb{R}^k \times M$, let $\tau^v_x : \mathbb{R} \to \mathbb{R}$ be the application defined by:

$$\phi^v(u(t, x)) = u(\tau^v_x(t), \phi^v(x))$$

We claim that a continuous application $\omega : M \to \mathbb{R}$ defines an invariant affine structure along the leaves of $\mathcal{F}^{uu}$ if and only if

$$\omega = n^v + \delta^v \circ \phi^v = A^v(\omega), \text{ for all } v \in \mathbb{R}^k,$$
where \( \delta^v \omega(x) = (\tau_x^v)'(0) \) and \( n^v(x) = (\tau_x^v)'(0)/(\tau_x^v)'(0) \). In fact, \( f_y, y = \phi^v(x) \) is an affine chart on \( \mathcal{F}^{uu}(\phi^v(x)) \) if only \( f_y \circ \tau_x^v \) is an affine chart on \( \mathcal{F}^{uu}(x) \). Equivalently:

\[
\omega(u(\tau_x^v(t), y)) = \frac{f_y''(\tau_x^v(t))}{f_y'(\tau_x^v(t))} = \omega \circ \phi^v(u(t, x)) \Leftrightarrow \omega(u(t, x)) = \frac{(f_y \circ \tau_x^v)''(t)}{(f_y \circ \tau_x^v)'(t)}.
\]

Hence, as \( \tau_{u(t,x)}^v(s) = \tau_x^v(t+s) \), we obtain:

\[
\omega(u(t, x)) = \frac{(f_y \circ \tau_x^v)''(t)}{(f_y \circ \tau_x^v)'(t)} = \frac{(\tau_x^v)'(t)}{(f_y \circ \tau_x^v)'(t)} = n^v(u(t, x)) + \delta^v(u(t, x)) \omega \circ \phi^v(u(t, x)) = \mathcal{A}^v(\omega(u(t, x))
\]

This proves our claim.

The applications \( \mathcal{A}^v \) acting on the Banach space of the continuous applications of \( M \) on \( \mathbb{R} \) provided of the uniform norm. By definition of Anosov action, if \( v \) is an element of the Anosov chamber, we have that \( \delta^v, s < 0 \) has uniform norm less that one. This implies that \( \mathcal{A}^sv, s < 0 \) is a contraction, hence \( \mathcal{A}^{sv}, s < 0 \) admit an unique fixed point. Finally, since \( \mathcal{A}^v \circ \mathcal{A}^w = \mathcal{A}^w \circ \mathcal{A}^v \) for all \( v, w \in \mathbb{R}^k \), there exists an unique fixed point \( \omega \) for all \( \mathcal{A}^v \). This finishes the proof.

Real affine structures on the real line are well-known: they are all affinely isomorphic to the segment \((0, 1)\), the half-line \((0, +\infty)\), or the complete affine line \((-\infty, +\infty)\). In the latter case, the affine structure is said complete.

**Lemma 4.** Every leaf of \( \mathcal{F}^{uu} \), endowed with the affine structure provided by Theorem 6, is complete.

*Proof.* For every \( x \) in \( M \), there is a unique affine map \( f_x : \mathcal{F}^{uu}(x) \to \mathbb{R} \) mapping \( x \) on 0 and the point \( u(1, x) \) at distance 1 on 1. The image of \( f_x \) is an interval \((\alpha(x), \beta(x))\). We aim to prove that \( \alpha(x) = -\infty \) and \( \beta(x) = +\infty \).

For every \( v \) in \( \mathbb{R}^k \) and \( x \) in \( M \), the restriction of \( \phi^v \) on \( \mathcal{F}^{uu}(x) \) induces an affine transformation, even linear, of the affine line, of the form \( z \to \lambda(v, x)z \):

\[
f_{\phi^v(x)} \circ \phi^v = \lambda(v, x)f_x
\]

Hence:
\[ \beta(\phi^v(x)) = \lambda(v, x)\beta(x) \]

Recall that:

\[ \phi^v(u(t, x)) = u(\tau_x^v(t), \phi^v(x)) \]

Since by definition \( f_x(u(1, x)) = 1 \), we get:

\[ \lambda(v, x) = f_{\phi^v(x)}(u(\tau_x^v(t), \phi^v(x))) \]

Hence, for \( v = ta \), where \( a \) is the codimension Anosov element, \( \lambda(-ta, x) \) is arbitrarily small if \( t > 0 \) is sufficiently big. Therefore, if \( \beta(x) \) is not \( +\infty \), \( \beta(\phi^{-ta}(x)) \) takes arbitrarily small value. This is a contradiction since obviously \( \beta > 1 \) everywhere. Therefore, \( \beta \) is infinite everywhere.

The proof of \( \alpha = -\infty \) is similar. \( \square \)

4.2. Irreducible codimension one Anosov actions. A codimension one Anosov action \( \phi \) of \( \mathbb{R}^k \) on \( M \) is said to be irreducible if for any \( v \in \mathbb{R}^k - \{0\} \) and \( x \in M \) with \( \phi^v(x) = x \) we have that \( \text{Hol}_\gamma \), the holonomy along of \( \gamma = \{\phi^sv(x); s \in [0,1]\} \) of \( F_s(x) \), is a topological contraction or a topological expansion.

Remark 5. It follows from Theorem 6 that the holonomy along \( \gamma \) is differentially linearizable. Therefore, an equivalent definition of irreducibility is to require that the holonomy along \( \gamma \) is non-trivial.

Remark 6. When \( k = 1 \), the case that the action is a flow, all the codimension one Anosov actions are irreducibles.

Theorem 7. Let \( \phi : \mathbb{R}^k \times M \to M \) be a codimension one Anosov action. Then, there exists a free abelian subgroup \( H_0 \approx \mathbb{R}^\ell \) of \( \mathbb{R}^k \), a lattice \( \Gamma_0 \subset H_0 \), a smooth \( (n-\ell) \)-manifold \( \bar{M} \), and \( p : M \to \bar{M} \) a smooth \( \mathbb{T}^\ell \)-principal bundle such that:

1. \( \Gamma_0 \) is the kernel of \( \phi \);
2. every orbit of \( \phi_0 = \phi|_{H_0 \times M} \) is a fiber of \( p : M \to \bar{M} \). In particular, \( \bar{M} \) is the orbit space of \( \phi_0 \);
3. \( \phi \) induces an irreducible codimension one Anosov action \( \bar{\phi} : \bar{H} \times \bar{M} \to \bar{M} \) where \( \bar{H} = \mathbb{R}^k / H_0 \).

The proof of Theorem 7 essentially relies on the following lemma:
Lemma 5. Let $v$ be an element of $\mathbb{R}^k$ and $x$ an element of $M$ such that $\phi^v(x) = x$. Then, either $x$ is a repelling of attracting (and therefore, unique) fixed point of the restriction of $\phi^v$ to $\mathcal{F}^{uu}(x)$, or the action of $\phi^v$ on the entire manifold $M$ is trivial.

Proof. For every $x$ in $M$ and every $w$ in $\mathbb{R}^k$ such that $\mathcal{F}^{ss}(x) = \phi^w(\mathcal{F}^{ss}(x))$ we consider any loop in $\mathcal{F}^s(x)$ which is the composition of $t \in [0,1] \rightarrow \phi^w(y)$ with any path in $\mathcal{F}^{ss}(x)$ joining $\phi^w(x)$ to $x$. Since $\mathcal{F}^{ss}(x)$ is a plane (Remark 11) all these loops are homotopic one to the other in $\mathcal{F}^s(x)$; in particular, the holonomy of $\mathcal{F}^s$ along any of them is well-defined and does not depend on the loop. We denote it by $h^w_x$.

Assume that $x$ is the one appearing in the statement of the lemma. Then according to Theorem 6, the restriction of $\phi^v$ to $\mathcal{F}^{uu}(x)$ is conjugated to an affine transformation of the real affine line. Therefore, in order to prove the theorem, we just have to consider the case where $h^v_x$ is trivial.

We define $\Omega_w$ as the set comprising the points $x$ in $M$ such that $\phi^w(x) \in \mathcal{F}^{ss}(x)$ and for which the holonomy $h^w_x$ is trivial. This set is obviously $\phi$-invariant. By the discussion above, we can assume that $\Omega_w$ is non empty. Moreover, for every $x$ in $\Omega_w$, and every $y$ in $\mathcal{F}^{ss}(x)$, the loops considered above associated to respectively $x$, $y$, are freely homotopic one to the other in $\mathcal{F}^s(x)$. Hence $h^w_y = h^w_x$. It follows that $\Omega_w$ is saturated by $\mathcal{F}^s$.

Finally, for every subset $U$ of $\mathbb{R}^k$, let $\Omega_U$ be the union of the $\Omega_w$ for $w$ in $U$. For every $x$ in $\Omega_v$, since the holonomy $h^v_x$ is trivial, for every $y$ in $\mathcal{F}^{uu}(x)$ near $x$ the point $\phi^v(y)$ lies in the local stable leaf of $y$. It follows that $y$ lies in $\Omega_w$ for some $w$ close to $v$ in $\mathbb{R}^k$. Since $M$ is compact, for every neighborhood $U$ of $v$ in $\mathbb{R}^k$, there exists $\delta > 0$ such that every $y$ in $M$, lying on a local unstable leaf $\mathcal{F}^{uu}(x)$ with $x$ in $\Omega_v$, belongs to $\Omega_U$.

Now, at the one hand we know that $\Omega_U$ is $\phi$-invariant. On the other hand, since the $\mathcal{F}^{uu}$-saturation of any $\mathcal{F}^s$-invariant subset is the entire $M$, for every $y$ in $M$ the point $\phi^{t\alpha}(y)$ lies in $\mathcal{F}^{uu}(x)$ for some $t < 0$, where $x$ is an element of $\Omega_v$. It follows that $\Omega_U$ is the entire $M$. Since $U$ is arbitrary, we get the equality $M = \Omega_v$.

Consider now a compact $\phi$-orbit $\mathcal{O}$. For some $\delta > 0$ and every $x$ in $\mathcal{O}$, the intersection $\mathcal{O} \cap \mathcal{F}^{ss}_\delta(x)$ is reduced to $x$. For every $y$ in $\mathcal{F}^{ss}(x)$, and for every $t > 0$ sufficiently big, $\phi^{t\alpha}(y)$ belongs to $\mathcal{F}^{ss}_\delta(\phi^{\alpha}(x))$. Hence $\mathcal{F}^{ss}(x) \cap \mathcal{O} = \{x\}$. It follows that every point in $\text{Comp}(\phi) \cap \Omega_v = \text{Comp}(\phi)$ is fixed by $\phi_v$. Hence, the restriction of $\phi^v$ to the closure $\Omega(\phi)$ of $\text{Comp}(\phi)$ is trivial.

Finally, assume that $x$ is an arbitrary element of $M = \Omega_v$. Let $(t_n)_{n \in \mathbb{N}}$ be a sequence of positive real number diverging to $+\infty$ and such that $x_n = \phi^{-t_n\alpha}(x)$ converges to some element $x_\infty$ of $\Omega(\phi) \subset \text{Fix}(\phi)$. Then, due to the proximity to $x_\infty$, for every $\epsilon > 0$, and for $n$ is sufficiently big, there is a path $c_n$ in $\mathcal{F}^{ss}(x_n)$ of length $\leq \epsilon$ joining $x_n$ to $\phi^v(x_n)$. Then,
\( \phi_{t_\epsilon}(c_n) \) is a path of length \( \leq \epsilon \) joining \( x \) to \( \phi^\epsilon(x) \). Since \( \epsilon \) is arbitrary, we get \( \phi^\epsilon(x) = x \). This achieves the proof of the lemma. \( \square \)

**Proof of Theorem 7.** Let \( \Gamma_0 \) be the kernel of \( \phi \). Since the action is locally free, \( \Gamma_0 \) is discrete, isomorphic to \( \mathbb{Z}^\ell \) for some integer \( \ell \geq 0 \). Let \( H_0 \) be the subspace of \( \mathbb{R}^k \) generated by \( \Gamma_0 \). Observe that for every \( x \) in \( M \), and every \( v \) in \( \Gamma_0 \), the holonomy \( h_v^x \) is well-defined, and trivial (cf. the notations introduced in the proof of Lemma 5).

The torus \( T_\ell = \Gamma_0 \setminus H_0 \) acts on \( M \); since it is compact, this action is proper. Moreover, this action is free: indeed, if some \( v \) in \( H_0 \) fixes some \( x \), then the holonomy \( h_v^x \) is trivial since \( v \) is a linear combination of elements of \( \Gamma_0 \) (or, better to say, since some of the iterates \( n v \), for integers \( n \), are arbitrarily approximated by elements of \( \Gamma_0 \)). According to Lemma 5, the action of \( v \) on \( M \) is trivial, i.e. \( v \) belongs to \( \Gamma_0 \). Therefore, the quotient space \( \bar{M} \) is a closed \( (n-\ell) \)-dimensional manifold, and the quotient map \( \pi : M \to \bar{M} \) is a principal \( T_\ell \)-bundle. The action of \( \mathbb{R}^k \) on \( M \) induces an action of \( \bar{H} = \mathbb{R}^k / H_0 \). It is straightforward to check that this action is Anosov, and of codimension one.

Finally, if \( \bar{\phi} \) is not irreducible, there is a non-trivial element \( \bar{v} \) of \( \bar{H} \) fixing a point \( \bar{x} \) in \( \bar{M} \) and such that \( h_{\bar{v}}^{\bar{x}} \) is trivial. There is a representant \( v \) of \( \bar{v} \) in \( \mathbb{R}^k \) fixing a point \( x \) in \( M \) above \( \bar{x} \), and such that \( h_x^v \) is trivial. According to Lemma 5, \( v \) belongs to \( \Gamma_0 \subset H_0 \). Hence \( \bar{v} \) is trivial. This contradiction achieves the proof of the theorem. \( \square \)

**Remark 7.** Let \( \phi : \mathbb{R}^k \times M \to M \) be a codimension one Anosov action and \( \bar{\phi} \) an action of \( \bar{H} \) (that is isomorphic to \( \mathbb{R}^{k-\ell} \)) on \( \bar{M} \) as in Theorem 7. Then:

1. \( \dim M > k + 2 \iff \dim \bar{M} > \dim \bar{H} + 2 \);
2. \( \phi \) is transitive \iff \( \bar{\phi} \) is transitive;
3. \( \phi \) is irreducible \iff \( \bar{H} = \mathbb{R}^k \).

4.3. **The orbit space of an irreducible codimension one Anosov action.** Let \( \pi : \tilde{M} \to M \) be the universal covering map of \( M \) and \( \tilde{\phi} \) be the lift of \( \phi \) on \( \tilde{M} \). The foliations \( \mathcal{F}^{ss}, \mathcal{F}^{uu}, \mathcal{F}^s \) and \( \mathcal{F}^u \) lift to foliations \( \tilde{\mathcal{F}}^{ss}, \tilde{\mathcal{F}}^{uu}, \tilde{\mathcal{F}}^s \) and \( \tilde{\mathcal{F}}^u \) in \( \tilde{M} \). We denote by \( Q_{\phi} \) be the orbit space of \( \tilde{\phi} \) and \( \pi_{\phi} : \tilde{M} \to Q_{\phi} \) be the canonical projection. This section is devoted to the proof of the following theorem, which is a keystone of the proof of the main theorem.

**Theorem 8.** If \( \phi \) is an irreducible codimension one Anosov action of \( \mathbb{R}^k \) on \( M \) then \( Q_{\phi} \), the orbit space of \( \tilde{\phi} \), is homeomorphic to \( \mathbb{R}^{n-k} \).

Let \( p = n - 1 - k \). Since by hypothesis \( \mathcal{F}^{uu} \) is one dimensional, \( p \) is the dimension of \( \mathcal{F}^{ss} \).
Proposition 2. Every loop in \(M\) transverse to \(\mathcal{F}^s\) is homotopically non-trivial in \(M\).

Proof. By a Theorem of Haefliger (see [4, Proposition 7.3.2]), if some transverse loop is homotopically trivial, then there is a leaf \(F\), of \(\mathcal{F}^s\) containing a loop \(c : [0,1] \to F\), homotopically non-trivial in \(F\), and such that the holonomy of \(\mathcal{F}^s\) along \(c\) is trivial on one side.

According to Remark 1 there is a fibration \(p_F : F \to P\) where \(P\) is a flat cylinder, and whose fibers are strong stable leaves. Moreover, the restriction of \(p_F\) to the orbit \(O_x\) of \(x = c(0)\) is a covering map. Hence we can lift in \(O_x\) the curve \(p_F \circ c\). In other words, there is a continuous path \(c' : [0,1] \to \mathbb{R}^k\) such that for every \(t\) in \([0,1]\) the image \(c'(t)\) lies in the same leaf of \(\mathcal{F}^{ss}\) than \(\phi^{c(t)}(x)\). Let \(v = c'(1)\): since \(c\) is homotopic to the loop obtained by composing \(c'\) with any path in \(\mathcal{F}^{ss}(c(0))\) joining \(c'(1)\) to \(c(1) = c(0) = x\), we get that the holonomy \(h^v_x\) is trivial on one side. Since it is linearizable, \(h^v_x\) is trivial. According to Lemma 5 and since \(\phi\) is irreducible, it means that \(c'(1) = 0\). Hence \(c'\) is homotopically trivial in \(\mathbb{R}^k\). Hence \(p_F \circ c'\) is homotopically trivial in \(P\). But since \(p_F\) is a trivial fibration with contractible leaves and \(p_F \circ c = p_F \circ c'\), we obtain that \(c\) is homotopically trivial in \(F\). Contradiction. \(\Box\)

Corollary 1. The orbits of \(\phi\) are incompressible: every loop in a \(\phi\)-orbit \(O\) which is homotopically non-trivial in \(O\) is homotopically non-trivial in \(M\).

Proof. Every loop in \(O\) is homotopic to a trajectory \(t \to c(t) = \phi^t_v(x); t \in [0,1], v \in \mathbb{R}^n, \phi^0(x) = x\). Since \(\phi\) is irreducible, the holonomy of \(\mathcal{F}^s\) along \(c\) is non-trivial. It follows that there is a loop homotopic to \(c\) and transverse to \(\mathcal{F}^s\). The corollary follows from Proposition 2. \(\Box\)

A foliation is said to be by closed planes if all the leaves are closed and images of embeddings of \(\mathbb{R}^n\).

Corollary 2. Let \(\phi\) be an irreducible codimension one Anosov action on \(M\). The foliations \(\mathcal{F}^{uu}, \mathcal{F}^{ss}, \mathcal{F}^u, \mathcal{F}^s\) and the foliation defined by \(\tilde{\phi}\) are by closed planes. The intersection between a leaf of \(\mathcal{F}^u\) and a leaf of \(\mathcal{F}^s\) is at most an orbit of \(\tilde{\phi}\). Every orbit of \(\tilde{\phi}\) meets a leaf of \(\mathcal{F}^{uu}\) or \(\mathcal{F}^{ss}\) at most once.

Proof. According to Corollary 1 \(\tilde{\phi}\) is a free action. Moreover, if \(\mathcal{F}^{ss}(x) = \mathcal{F}^{ss}(\tilde{\phi}^v(x))\) for some non-trivial \(v\), then \(h^v_{\pi(x)}\) is non-trivial (since \(\phi\) is irreducible), but is also the holonomy of \(\mathcal{F}^s\) along a closed loop in \(\mathcal{F}^s(\pi(x))\) homotopically trivial in \(M\). It is in contradiction with Proposition 2.
Therefore, every orbit of $\phi$ intersects every leaf of $\mathcal{F}^{ss}$ at most once. Since every leaf of $\mathcal{F}^s$ is the saturation under $\phi$ of a leaf of $\mathcal{F}^{ss}$, it follows that it is an injective immersion of $\mathbb{R}^{p+k}$. Similarly, every leaf of $\mathcal{F}^u$ is an injective immersion of $\mathbb{R}^{2+k}$.

It is easy to show, by a standard argument, that if a leaf $\mathcal{F}^s$ is not closed, then there is a loop in $\widetilde{M}$ transverse to $\mathcal{F}^s$, giving a contradiction with Proposition 2. Hence $\mathcal{F}^s$ is a foliation by closed planes. The statement for leaves of $\mathcal{F}^{ss}$ follows.

Since $\widetilde{M}$ is simply connected, every foliation in it are oriented and transversely oriented. Being closed hypersurfaces, leaves of $\mathcal{F}^s$ disconnect $\widetilde{M}$. Hence, a leaf of $\mathcal{F}^{uu}$ intersecting a leaf $F$ of $\mathcal{F}^s$ enters in one side of $F$, and cannot cross $F$ once more afterwards, due to orientation considerations. In other words, every leaf of $\mathcal{F}^{uu}$ intersects every leaf of $\mathcal{F}^s$ at most once. In particular, it cannot accumulate somewhere, i.e. it is closed.

In order to achieve the proof of the corollary, we just have to prove that the $\tilde{\phi}$-orbits are closed. But this is clear, since each of them is the intersection between a weak stable leaf and a weak unstable leaf, that we have shown to be closed. $\square$

Consequently, by a theorem of Palmeira [21]:

**Corollary 3.** With the same hypotheses of above proposition, the universal covering of $M$ is diffeomorphic to $\mathbb{R}^n$. $\square$

**Lemma 6.** If $\phi$ be a codimension one Anosov action on $M$, then the orbit space of $\tilde{\phi}$ is Hausdorff.

**Proof.** By contradiction, we assume that there exist two different $\tilde{\phi}$-orbits $\tilde{O}_{x_1}$ and $\tilde{O}_{x_2}$ which are non-separable. Then, the saturation by $\mathcal{F}^{uu}$ of $\mathcal{F}^s(x_1)$ and $\mathcal{F}^s(x_2)$ are two non disjoint neighborhoods of $\tilde{O}_{x_1}$ and $\tilde{O}_{x_2}$, respectively.

First, we assume that $\tilde{O}_{x_1}$ and $\tilde{O}_{x_2}$ are contained in the same leaf of $\mathcal{F}^s$. Hence, we can assume that $\mathcal{F}^{ss}(x_1) = \mathcal{F}^{ss}(x_2) = F_0$. Let $U_1$ and $U_2$ be the disjoint neighborhoods in $F_0$ of $x_1$ and $x_2$, respectively. It follows, from Corollary 2 that the saturation by $\mathcal{F}^u$ of $U_1$ and $U_2$ are two disjoint $\tilde{\phi}$-invariant neighborhoods of $\tilde{O}_{x_1}$ and $\tilde{O}_{x_2}$. This contradicts our assumption.

Hence, $\mathcal{F}^s(x_1) \neq \mathcal{F}^s(x_2)$. The saturation by $\mathcal{F}^{uu}$ of $\mathcal{F}^s(x_1)$ and $\mathcal{F}^s(x_2)$ cannot be disjoint since they are neighborhoods of respectively $x_1$, $x_2$. There exist $y_1 \in \mathcal{F}^s(x_1)$ and $y_2 \in \mathcal{F}^s(x_2)$ such that $\mathcal{F}^{uu}(y_1) = \mathcal{F}^{uu}(y_2)$. Since $y_1 \neq y_2$, there exist disjoint neighborhoods $U_1$ and $U_2$ in $\mathcal{F}^{uu}(y_1)$ of $y_1$ and $y_2$, respectively. The saturation by $\mathcal{F}^s$ of $U_1$ and $U_2$ are two $\tilde{\phi}$-invariant neighborhoods of $\tilde{O}_{x_1}$ and $\tilde{O}_{x_2}$ which, by our assumption, are non disjoint. In this case, a leaf of $\mathcal{F}^s$ passing by a point in the intersection of these neighborhoods meet $\mathcal{F}^{uu}(y_1)$ in two points, this contradicts the Corollary 2 and finishes the proof. $\square$
**Proof of Theorem 8.** Let \( x \in \tilde{M} \) and \( U \) be a neighborhood of \( x \) which is a lift of a product neighborhood in the sense of Theorem 3. Let \( \Sigma \subset U \) be a smooth \((n - k)\)-submanifold which is transverse to \( \phi \). First we are going to show that every orbit of \( \phi \) meets \( \Sigma \) in at most one point. By contradiction, we assume that there exists an orbit \( O \) that meets \( \Sigma \) in two points. As \( O \) meets a leaf of \( \mathcal{F}^{ss} \) in at most one point (Corollary 2), then \( O \) meets \( U \) along two different leaves of \( \mathcal{F}^{s} \mid U \). Hence, since \( U \) is a product neighborhood, we have that there are leaves of \( \mathcal{F}^{uu} \) that meets a leaf of \( \mathcal{F}^{ss} \) at two points. But this is impossible by Corollary 2.

Let \( (\Sigma_i)_{i \in I} \) be a family of transversals as above whose union meets all the orbits of \( \phi \). Then \( \{ (\Sigma_i, \pi^\phi_{\mid \Sigma_i}) ; i \in I \} \) defines a differentiable structure on \( Q^\phi \) whose class of differentiability is the same that of the action \( \phi \). Moreover, \( \pi^\phi \) is a locally trivial bundle. Thus \( Q^\phi \) is a manifold of dimension \( n - k \), Hausdorff and, as shown by the exact sequence of homotopy groups for the bundle \( \pi^\phi : \tilde{M} \to Q^\phi \), simply connected. Since \( \mathcal{F}^s \) induces on \( Q^\phi \) a codimension one foliation by planes, we conclude, once more by Palmeira’s Theorem, that \( Q^\phi \) is diffeomorphic to \( \mathbb{R}^{n-k} \). \( \square \)

**Proposition 3.** All the non compact orbits of an irreducible codimension one Anosov action are planes.

**Proof.** Let \( O \) be an orbit of an irreducible codimension one Anosov action \( \phi : \mathbb{R}^k \times M \to M \). Suppose that \( O \) is not a plane, i.e. that \( \phi^v(x) = x \) on \( O \) for some \( v \in \mathbb{R}^k - \{0\} \). Let \( y \in \overline{O} \). Then there exist a sequence \( \{x_n\} \) of elements of \( O \) such that \( x_n \to y \). Thus, \( \phi^v(y) = y \). Since the action is irreducible, the holonomy \( h^v \) is non trivial. It follows that all the \( x_n \), for \( n \) sufficiently big, lie in the same local stable leaf \( \mathcal{F}_\delta^s(y) \).

Hence the closure \( \overline{O} \) in \( M \), which is compact, is contained in the weak stable leaf \( F = \mathcal{F}^s(y) = \mathcal{F}^s(x) \). It follows that the space \( P = p_F(O) = p_F(\overline{O}) \) of strong stable leaves in \( F \) is compact (cf. the notations in Remark 11), hence \( \Gamma_L \) is a lattice in \( \mathbb{R}^k \). According to Lemma 11, \( \Gamma_L \) contains an Anosov element \( b \) contained in the chamber \( \mathcal{A}_a \). The restriction of \( \phi^a \) to the strong stable leaf \( L \) is a contraction, hence contains a fixed point \( z \). By Remark 2, the \( \phi \)-orbit of \( z \) is compact, and \( \Gamma_L \) is the isotropy group of \( z \). Now, since \( \overline{O} \) is compact, the same is true for the intersection \( L \cap \overline{O} \). On the other hand, the negative iterates \( \phi^{-na}(z') \) of a point \( z' \) in \( L \) different from \( z \) escape from any compact subset of \( L \). Therefore, \( \overline{O} \) is the compact orbit of \( z \). \( \square \)

As an immediate corollary of Theorem 7 and Proposition 3 we get:

**Corollary 4.** Let \( \phi : \mathbb{R}^k \times M \to M \) be a codimension one Anosov action, not necessarily irreducible. Every non-compact \( \phi \)-orbit is diffeomorphic to \( \mathbb{T}^\ell \times \mathbb{R}^{k-\ell} \). \( \square \)
5. **Codimension one Anosov $\mathbb{R}^k$-actions are transitive**

In this section we prove the main Theorem:

**Theorem 2.** Let $\phi$ be a codimension one Anosov action of $\mathbb{R}^k$ on a closed manifold $M$ of dimension greater than $k + 2$. Then, any regular subcone $C$ admits a dense orbit in $M$.

In what follows, we consider a codimension one Anosov action $\phi : \mathbb{R}^k \times M \to M$, with $\dim M > k + 2$ and a regular subcone $C$. Furthermore, we will consider the foliations $\mathcal{F}^s$, $\mathcal{F}^u$, $\mathcal{F}^u$ and $\mathcal{F}^s$ which corresponds to the chamber $A_a$ containing $C$.

5.1. **Bi-homoclinic points and transitivity.** Let $u$ be the parametrization of $\mathcal{F}^{uu}$ which was studied in Lemma 3. We define

$$
\mathcal{H}^+ = \left\{ x \in M; (x, +\infty) \cap \mathcal{F}^s(x) = \emptyset \right\}
$$

$$
\mathcal{H}^- = \left\{ x \in M; (-\infty, x) \cap \mathcal{F}^s(x) = \emptyset \right\}
$$

where $(x, +\infty) = \{ u(\tau, x); \tau > 0 \}$ and $(-\infty, x) = \{ u(\tau, x); \tau < 0 \}$.

**Definition 3.** A point $x \in M$ is said to be bi-homoclinic if $x \notin \mathcal{H}^+ \cup \mathcal{H}^-$. The following results establish a criterion for transitivity.

**Proposition 4.** If every point in $M$ is bi-homoclinic, then every leaf of $\mathcal{F}^s$ is dense.

**Proof.** For all $\tau_0 \in \mathbb{R}$ we consider the set $\{ x \in M; (x, u(\tau_0, x)) \cap \mathcal{F}^s(x) \neq \emptyset \}$. It follows, from Theorem 3 that this set is open. Hence, since $M$ is compact, there is $\tau_0 \in \mathbb{R}$ such that

for all $x \in M$, there exists $\tau \in (0, \tau_0)$ such that $u(\tau, x) \in \mathcal{F}^s(x)$

Similarly, increasing $\tau_0$, if necessary, we have

for all $x \in M$, there exists $\tau \in (-\tau_0, 0)$ such that $u(\tau, x) \in \mathcal{F}^s(x)$.

Thus, there exists $\ell > 0$ such that if $I$ is an interval contained in a leaf of $\mathcal{F}^{uu}$ whose arc length is greater than $\ell$, then each leaf of $\mathcal{F}^s$ intercepting $I$ contains at least three points of $I$.

On the other hand, any interval contained in a leaf of $\mathcal{F}^{uu}$ admits an iterate by $\phi^a$ (a Anosov element) whose arc length is greater than $\ell$. This implies that any interval of $\mathcal{F}^{uu}(x)$ meets $\mathcal{F}^s(x)$, and hence the closure of $\mathcal{F}^s(x)$ is an open set. Therefore $\mathcal{F}^s(x)$ is dense in $M$. $\Box$

**Lemma 7.** If every leaf of $\mathcal{F}^s$ is dense in $M$, then $C$ admits a dense orbit.
Proof. Let \( a \in \mathcal{C} \) be an Anosov element and \( \phi^{ta} \) the corresponding flow. According to Remark 4:

\[
\Omega(\phi) = \Omega(\phi^{ta}).
\]

By a result of Conley [6], there exists \( L : M \to \mathbb{R} \) a complete Lyapunov function for the flow \( \phi^{ta} \), meaning that for \( t > 0 \) we have \( L(\phi^{ta}(x)) \leq L(x) \), and the equality holds only if \( x \) lies in \( \Omega(\phi^{ta}) \). On the other hand, Theorem 5 and the hypotheses imply that the \( \Omega(\phi^{ta}) \) admits only one basic block, in particular, that there \( \mathcal{C} \) admits a dense orbit in \( \Omega(\phi) \). Moreover, for every \( x, y \) in the basic block \( \Omega(\phi) \), the unstable leaf of \( x \) intersects the stable leaf of \( y \), and the stable leaf of \( x \) intersects the unstable leaf of \( y \). From the former we get \( L(x) \geq L(y) \), and from the latter, \( L(y) \geq L(x) \). Hence, the restriction of \( L \) to \( \Omega(\phi) \) is constant, say, vanishes. Then, for every \( x \) in \( M \), the inequalities \( L(x) \geq 0 \) and \( L(x) \leq 0 \) hold (the former because the \( \alpha \)-limit set of the \( \phi^{ta} \)-orbit of \( x \) is non-empty, the latter because the \( \omega \)-limit set is non-empty). It follows that \( L \) vanishes everywhere, and \( \Omega(\phi) = M \). Therefore \( \mathcal{C} \) is transitive. \( \Box \)

5.2. Proof of Main Theorem.

Lemma 8. Assume that \( \phi \) is an irreducible codimension one Anosov action. The sets \( \mathcal{H}^- \) and \( \mathcal{H}^+ \) are unions of compact orbits.

Proof. Note that \( \mathcal{H}^- \) and \( \mathcal{H}^+ \) are closed invariant sets. We will show the lemma for \( \mathcal{H}^+ \), the case of \( \mathcal{H}^- \) is analogous.

Cover \( M \) by a finite collection \( (U_i)_{1 \leq i \leq N} \) of product neighborhoods as in Theorem 3. We claim that the intersection of any orbit in \( \mathcal{H}^+ \) with every \( U_i \) is connected. This will show the lemma. Indeed, since the \( U_i \) are in finite number, the orbit under consideration is compact. Moreover, since the area of local orbits contained in every \( U_i \) is uniformly bounded from above, it also implies that there is an uniform bound on the area of compact orbits in \( \mathcal{H}^+ \). According to Lemma 2, \( \mathcal{H}^+ \) is the union of a finite number of compact orbits.

We are going to show our claim above. Let \( y_0 \in \mathcal{H}^+ \), and let \( F \) be its weak stable leaf. By the very definition of \( \mathcal{H}^+ \), for every \( i \), the intersection between the orbit \( O_0 \) of \( y_0 \) and the product neighborhood \( U_i \) must be contained in a single plaque \( F_i \). The union of the closure of the \( F_i \) is compact; it follows that \( O_0 \) is relatively compact, not only in the manifold \( M \), but also in the leaf \( F \) equipped with its own leaf topology.

Recall that there is a bundle map \( p_F : F \to P \), whose restriction to \( O_0 \) is a covering map (remark [1]). Since \( O_0 \) is relatively compact in \( F \), the base manifold \( P = \mathbb{R}^k/\Gamma_F \) is compact. By Lemma 11 the lattice \( \Gamma_L \) must contain an element \( v \) of \( A_\mathfrak{a} \). The restriction of \( \phi^v \) to \( F^{ss}(y_0) \) is then a contracting map, hence admitting a fixed point \( y_1 \).
Moreover, since $O_0$ is relatively compact, the leaf distance between the iterates $\phi^{-tv}(y_0)$ and $\phi^{-tv}(y_1)$ is bounded. It is possible if and only if $y_0 = y_1$, in particular, $y_0$ is fixed by the Anosov element $\phi^\circ$.

According to item (4) of Remark 2, the orbit $O_0$ is compact. The claim and the lemma then follow, since $F$ contains at most one compact orbit, and that this compact orbit intersects every strong stable leaf at most once.

\begin{proof}[Proof of Main Theorem] As by Remark 1 we can assume that $\phi$ is irreducible, then by Proposition 4 and Lemma 7 it is sufficient to show that the sets $H^+$ and $H^-$ are empty. We will show by contradiction that $H^+ = \emptyset$, the case $H^- = \emptyset$ is analogous.

Fix a point $x_0 \in H^+$ which we consider as the basepoint of $M$ and put $\Gamma = \pi_1(M, x_0)$. We consider the action of $\Gamma$ on $Q^\phi$ which is induced by the action of $\Gamma$ on $\tilde{M}$ by covering automorphisms. Let $G^s$ and $G^u$ be the foliations on $Q^\phi$ which are induced by $\tilde{F}^s$ and $\tilde{F}^u$, respectively. They are both preserved by the $\Gamma$-action.

Let $\theta_0$ be the $\tilde{\phi}$-orbit of a lift of $x_0$ in $\tilde{M}$. Since the $\phi$-orbit of $x_0$ is diffeomorphic to $T^k$ and incompressible, the isotropy group $\Gamma_0$ of $\theta_0$ is isomorphic to $\mathbb{Z}^k$. Let $F_0$ be the leaf through $\theta_0$ of $G^s$ and put $F'_0 = F_0 - \{\theta_0\}$.

As the foliation $G^u$ is orientable and one dimensional, their leaves admit a natural order. For all $x \in Q^\phi$ the subset of $G^u(x)$ comprising elements above $x$ is denoted by $(x, +\infty)$. The fact that $x_0 \notin H^+$ means:

\[(\theta_0, +\infty) \cap \Gamma \cdot F_0 = \emptyset.\]

On the other hand, since $\theta_0$ is only point of $F_0$ which is the lift of a compact orbit, all the points of $F'_0$ are not lifts of compact orbits. This means that each point $x \in F'_0$ is not a lift of an orbit contained in $H^+$, equivalently, $(x, +\infty) \cap \Gamma \cdot F_0 \neq \emptyset$. Let $h(x)$ be the infimum of $(x, +\infty) \cap \Gamma \cdot F_0$. We observe that $h$ is, by definition, injective.

**Claim 1.** Every $x \in F'_0$ is strictly inferior to $h(x)$. Indeed, there exists $[x, \beta_x)$ a neighborhood of $x$ in $(x, +\infty)$ such that all the leaves of $G^s$ which meets $[x, \beta_x)$ also meets $[\theta_0, +\infty)$. Hence, since none of these leaves is of the form $\gamma \cdot F_0$, $\gamma \in \Gamma$, we obtain that $\beta_x \leq h(x)$. Consequently $h(x) > x$.

**Claim 2.** The image of $F'_0$ by $h$ is contained in a leaf $F_1$ of $G^s$. Given $x$, consider a small product neighborhood around $h(x)$. Then it is clear that $h(y)$ and $h(x)$ lies in the same leaf of $G^s$. Hence, for every weak stable leaf $F'$, the subset $\Omega(F')$ of $F'_0$ comprising elements whose image by $h$ belongs to $F'$ is open. $F'_0$ is the disjoint union of all the $\Omega(F')$,
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and is connected (here we use the hypothesis $n > k + 2$), therefore, all the $\Omega(F')$ are empty, except one, $\Omega(F_1)$.

**Claim 3.** The leaf $F_1$ is $\Gamma_0$ invariant, and the map $h : F'_0 \rightarrow F_1$ is a $\Gamma_0$-equivariant injective local homeomorphism onto its image. It is clear that $h \circ \gamma = \gamma \circ h$ for every $\gamma$ in $\Gamma_0$. The claim follows.

According to Claim 1, $F_1$ and $F_0$ are disjoint. Consider the stable leaf $\bar{F}_1 = \pi(F_1)$: it is a bundle with contractible fibers over a flat cylinder $\mathbb{R}^k/\Gamma_1$. Its fundamental group is $\Gamma_0$, hence $\Gamma_1$ is isomorphic to $\mathbb{Z}^k$, and thus, a lattice in $\mathbb{R}^k$. According to Lemma [1], it contains an Anosov element, which acts as a contraction in every strong stable leaf. Therefore, $\bar{F}_1$ contains a unique compact orbit. This compact orbit lifts as an element $\theta_1$, which is the unique $\Gamma_0$-fixed point in $F_1$. Let $F'_1 = F_1 - \{\theta_1\}$. Observe that since $h$ is injective, that $\theta_1$ is a $\Gamma_0$-fixed point, and that $\Gamma_0$ admits no fixed point in $F'_0$, the image of $h$ is contained in $F'_1$.

**Claim 4.** The map $h : F'_0 \rightarrow F_1$ is a homeomorphism. The only remaining point to show is the fact that $h(F'_0) = F'_1$. According to item (4) of Remark [2], $\Gamma_0$ contains an element $\gamma_0$ such that, for some Anosov element $v$ of $\mathbb{R}^k$ we have $\phi^v(x) = \gamma_0 x$ for every $x$ in $\theta_0$. It follows that the action of $\gamma_0$ on $F_0$ is contracting, admitting $\theta_0$ as its unique fixed point. Therefore, the action of $\gamma_0$ on $F'_0 \approx \mathbb{R}^p - \{0\}$ is free, properly discontinuous, and the quotient space is diffeomorphic to $S^{p-1} \times S^1$. If we knew that the action of $\gamma_0$ on $F_1$ is also a contraction, then the claim would follow immediately from the fact that $h$ induces a continuous map between the quotient spaces $F'_0/\langle \gamma_0 \rangle$ and $F'_1/\langle \gamma_0 \rangle$, and from
the compactness of these quotient spaces. Unfortunately, there is no warranty that \( \gamma_0 \) acts properly on \( F'_0 \), hence we need a slightly more intricate argument.

Since \( \gamma_0 \) is a contraction, there is an embedded codimension 1 sphere \( S_0 \) in \( F'_0 \), boundary of a closed ball \( B_0 \) containing \( \theta_0 \), such that \( \gamma_0(S_0) \) is another embedded sphere, contained inside \( B_0 \), and disjoint from \( S_0 \). The union \( S_0 \cup \gamma_0(S_0) \) is the boundary of a subdomain \( W_0 \approx \mathbb{S}^{p-1} \times [0, 1] \) of \( B_0 \). Now, the union of all the iterates \( \gamma^0 W_0 \) covers the entire \( F'_0 \).

Since \( h \) is injective, its image is a \( \Gamma_0 \)-invariant domain \( W_{\infty} \) of \( F'_1 \approx \mathbb{S}^{p-1} \times \mathbb{R} \) diffeomorphic to \( \mathbb{S}^{p-1} \times \mathbb{R} \), containing the embedded sphere \( S_1 = h(S_0) \). Now observe that even if \( \gamma_0 \) might not be contracting in \( F_1 \), the same argument as the one used in \( F'_0 \) ensures that some element \( \gamma_1 \) of \( \Gamma_0 \) is contracting. Then, for \( N \) sufficiently big, \( \gamma_1^N S_1 \) is disjoint from \( S_1 \). By construction, \( S_1 \) does not bound a ball inside \( W_{\infty} \), hence the same is true for \( \gamma_1^N S_1 \): there are both incompressible spheres inside \( W_{\infty} \approx \mathbb{S}^{p-1} \times \mathbb{R} \). It follows that their union is the boundary of a compact domain \( W_1 \subset W_{\infty} \). Considering \( W_1 \) as a compact domain in \( F'_1 \), we get that \( F'_1 \) is the union of the iterates under \( \gamma_1^N \) of \( W_1 \). Therefore, \( W_{\infty} \) is the entire \( F'_1 \).

**Conclusion.** Consider the sphere \( S_0 \) introduced in the previous step, and its image \( S'_1 \) by \( h \). By construction, \( S_1 \) bounds a ball \( B_0 \) in \( F_0 \), containing \( \theta_0 \). According to Jordan-Schönflies Theorem, \( S_1 \) is also the boundary of a closed ball \( B_1 \) in \( F_1 \). If \( B_1 \) does not contain \( \theta_1 \), then it would be contained in \( F'_1 \), and \( h^{-1}(B_1) \) would be a closed ball in \( F'_0 \) bounded by \( S_0 \): contradiction.

Let \( \mathcal{C} \) be the union of all unstable segments \([x, h(x)]\) for \( x \) describing \( S_0 \). The union \( \mathcal{S} \) of \( \mathcal{C} \) with \( B_0 \) and \( B_1 \) is then a submanifold of \( Q^\phi \), homeomorphic to a sphere of codimension one. Since \( Q^\phi \) is homeomorphic to \( \mathbb{R}^{a-k} \), \( \mathcal{S} \) is the boundary of a closed topological ball \( \mathcal{B} \).

We now get the concluding final contradiction as follows: the \( \mathcal{G}^u \)-leaf \( \ell_1 \) through \( \theta_1 \) is a closed line in \( Q^\phi \), crossing \( \mathcal{S} \) at \( \theta_1 \). Since \( \mathcal{B} \) is compact, \( \mathcal{G}^u \) must escape from it, and thus, cross \( \mathcal{S} \) at another point. This intersection cannot occur in \( \mathcal{C} \), since \( \mathcal{C} \) is tangent to \( \mathcal{G}^u \). It cannot occur in \( B_1 \), since, as a leaf of \( \mathcal{G}^u \), it intersects every \( \mathcal{G}^s \)-leaf in at most one point. Therefore, \( \ell_1 \) must intersect \( B_0 \), and this intersection is reduced to one point. Finally, since \( F_0 \) and \( \ell_1 \) are \( \Gamma_0 \)-invariant, this intersection point must be fixed by \( \Gamma_0 \); hence, it is \( \theta_0 \).

Therefore, \( \ell_1 \) contains two \( \Gamma_0 \)-fixed points: \( \theta_0 \) and \( \theta_1 \). This is a contradiction with the fact that unstable leaves contain at most one compact orbit (see figure 2).

\[ \square \]

6. Conclusion

As we already mentioned in the introduction, codimension one Anosov flows has been extensively studied, from the 60’s until nowadays. It is reasonable to expect that all these
results admit natural extensions to (irreducible) Anosov actions of $\mathbb{R}^k$, but most work still has to be done.

A symmetric flow is the flow defined by a one-parameter subgroup $g^t$ of a Lie group $G$ by right translations on a quotient manifold $\Gamma \backslash G/K$, where $\Gamma$ is a lattice of $G$ and $K$ a compact subgroup commuting with $g^t$. In [32] P. Tomter classified Anosov symmetric flows up to finite coverings and conjugacy when $G$ is semisimple or solvable. He proved that in the former case, the symmetric flow is (commensurable to) the geodesic flow of a rank 1 symmetric space, and in the former case, the flow is (commensurable to) the suspension of hyperbolic automorphisms of a compact infranilmanifold. He further pursued his study to the more general case ([33]).

This definition of symmetric flows extends naturally to the notion of symmetric actions of $\mathbb{R}^k$. It is natural to ask about the classification of these actions for $k > 1$, at least in the case of irreducible actions. But the case $k = 1$ is already quite intricate. In a forecoming paper, we will classify irreducible symmetric actions of $\mathbb{R}^k$ of codimension one: either they are Anosov symmetric flows, or suspensions of hyperbolic automorphisms of tori (cf. examples [2] [3]).
In [10], E. Ghys proved that Anosov flows of codimension one on a manifold of dimension \( \geq 4 \), preserving a volume form and for which the sum of the stable and the unstable bundles is \( C^1 \), is topologically equivalent to the suspension of an Anosov diffeomorphism (and hence a hyperbolic automorphism of the torus). In a forthcoming paper, we also extend this result to the \( k \geq 2 \) case.

Actually, this last statement, for Anosov flows, has been recently highly improved: S. Simic proved that the same conclusion holds if the sum of the stable and unstable bundles is only Lipschitz regular (but this is still a restrictive hypothesis) ([30]), and Asaoko furthermore proved that the volume form preserving form hypothesis can be removed ([2]).

All these impressive results are important steps towards the Verjovsky conjecture: every codimension one Anosov flow on a manifold of dimension \( \geq 4 \) is topologically equivalent to a suspension (of a hyperbolic toral automorphism). Moreover, S. Simic announced a complete solution of Verjovsky’s conjecture ([31]).

Therefore, it seems reasonable to conjecture:

**Conjecture.** Every irreducible codimension one Anosov action of \( \mathbb{R}^k \) on a manifold of dimension \( \geq k + 3 \) is topologically conjugate the suspension of an Anosov action of \( \mathbb{Z}^k \) on a closed manifold.

**References**


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