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Continental Deformation in Asia from a Combined GPS Solution

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After decades of research on continental tectonics, there is still no consensus on the mode of deformation of continents or on the forces that drive their deformation. In Asia the debate opposes edge-driven block models, requiring a strong lithosphere with strain localized on faults, to buoyancy-driven continuous models, requiring a viscous lithosphere with pervasive strain. Discriminating between these models requires continent-wide estimates of lithospheric strain rates. Previous efforts have relied on the resampling of heterogeneous geodetic and Quaternary faulting data sets using interpolation techniques. We present a new velocity field based on the rigorous combination of geodetic solutions with relatively homogeneous station spacing, avoiding technique-dependent biases inherent to interpolation methods. We find (1) unresolvable strain rates ($< 3 \times 10^9$ /yr) over a large part of Asia, with current motions well-described by block or microplate rotations, and (2) internal strain, possibly continuous, limited to high-elevation areas.

1. Introduction

Geodetic measurements at sites located far enough away from active plate boundaries show that horizontal surface motions on most of our planet can be described by simple rotations of a limited number of rigid plates, as predicted by plate tectonics (*e.g.*, Argus and Heflin, 1995). In deforming continents such as Asia or the Western U.S., however, the ability of plate tectonic concepts to describe horizontal motions is still questioned (Thatcher, 2003). Indeed, observations and models of actively deforming continents such as Asia¹ have led to two opposing interpretations. For some, continental lithosphere deforms as a mosaic of rigid lithospheric blocks bounded by fast-slipping faults affecting the entire thickness of the lithosphere. In that view, deformation is solely driven by boundary forces due to the India-Eurasia collision (*e.g.*, Tapponnier et al., 1982; Peltzer and Tapponnier, 1988; Peltzer and Saucier, 1996). For others, deformation is

44 pervasive and continents can be treated as a continu-
45 ously deforming viscous medium where faults play a mi-
46 nor role. In that view, deformation is driven for a large
47 part by buoyancy forces resulting from crustal thickening
48 in response to the India-Eurasia collision (*e.g.*, England
49 and Houseman, 1986; Houseman and England, 1993).

50 In some instances, space geodetic studies have pro-
51 vided insight into this debate. For instance, GPS mea-
52 surements show that the central part of the Altyn Tagh
53 fault accumulates strain at a rate of 9 mm/yr (Bendick et
54 al., 2000; Shen et al., 2001; Wallace et al., 2004), incon-
55 sistent with edge-driven block models that require slip
56 rates at least a factor of two larger (Peltzer and Saucier,
57 1996). Geodetic measurements of the eastward velocity
58 of south China at 8 to 10 mm/yr (*e.g.*, Wang et al., 2001)
59 match block models and continuous deformation models
60 equally well (Peltzer and Saucier, 1996; Molnar and Gip-
61 son, 1996) but proved wrong early models of extrusion
62 that required at least 10-15 mm/yr of eastward motion
63 of south China (Avouac and Tapponnier, 1993). At a
64 continent-wide scale, Flesch et al. (2001), used an inter-
65 polated velocity field derived from heterogeneous GPS
66 data and Quaternary fault slip rates to show that large
67 parts of Asia undergo little internal deformation and that
68 gravitational potential energy (GPE) contributes up to
69 50% to the force balance. England and Molnar (2005),
70 using similar data but a different spatial resampling tech-
71 nique, argue that continuous deformation dominates.

72 Here, we combine geodetic solutions in Asia to produce
73 a new velocity field with continent-wide coverage and rel-
74 atively homogeneous station spacing, removing the need
75 for spatial resampling, necessarily model-dependent. The
76 kinematic analysis of this continent-wide data set shows
77 unresolvable strain rates over a large part of Asia, while
78 significant strain rates, possibly associated with continu-
79 ous deformation, are limited to the high-elevation areas
80 of the Himalaya, Tibet, Pamir-Tien Shan, and Western
81 Mongolia.

2. GPS data

82 In order to obtain a geodetically consistent velocity
83 field covering Asia, we combined three GPS solutions.
84 The first one covers Mongolia, the Baikal rift zone, and
85 the Russian Altay. It contains 110 survey sites, of which
86 64 have been observed at least 3 times from 1994 to
87 2004, and 3 continuous stations. The second one includes
88 83 stations in China measured between 1998 and 2005,
89 of which 27 became continuous in 1999. The 56 other
90 are measured annually, with 10 observation-days per site
91 each year. The third one includes 41 sites in Southeast
92 Asia with data spanning from 1991 to 2002 (Socquet et
93 al., 2006). Although Socquet et al.'s (2006) original solu-
94 tion contains 191 sites, those located within active plate
95 boundary zones in eastern Indonesia (Sulawesi, Timor,
96 Irian Jaya) and the Philipines were not considered here.

97 For the first two data sets, we processed the pseudor-
98 ange and phase GPS data single-day solutions, together
99 with 16 reference stations of the International GPS Ser-
100 vice (IGS) to serve as ties with the International Terres-
101 trial Reference Frame (ITRF). Details on the data pro-
102 cessing procedure can be found in Wang et al. (2003)
103 and Calais et al. (2003) and are not repeated here. The
104 resulting least squares adjustment vector and its cor-
105 responding variance-covariance matrix for station posi-
106 tions and orbital elements estimated for each indepen-
107 dent daily solution were then combined with global So-

108 lution Independent Exchange format (SINEX) files from
 109 the IGS daily processing routinely done at Scripps In-
 110 stitution of Oceanography (<http://sopac.ucsd.edu>) into
 111 a single, unconstrained, global solution using the com-
 112 bination method described in Dong et al. (1998). The
 113 velocity error model includes a $2 \text{ mm}/\sqrt{\text{yr}}$ random walk
 114 component to account for colored noise in GPS uncertain-
 115 ties. We imposed the reference frame by minimizing the
 116 position and velocity deviations of 25 core IGS stations
 117 with respect to the ITRF2000 (Altamimi et al., 2002)
 118 while estimating an orientation and translation (and their
 119 rate-of-change) transformation (12 parameters). These
 120 25 reference stations, globally distributed, were chosen
 121 for having velocity uncertainties less than $2 \text{ mm}/\text{yr}$ on the
 122 horizontal and $5 \text{ mm}/\text{yr}$ on the vertical components in the
 123 ITRF2000 definition. The post-fit weighted root-mean-
 124 square (WRMS) of the reference frame stabilization is
 125 2.0 mm in position and $0.6 \text{ mm}/\text{yr}$ in velocity. We then
 126 combined the resulting solution with that of Socquet al.
 127 (2006) for Southeast Asia, by estimating a 7-parameter
 128 transformation (translation, rotation, and scale) based
 129 on 12 IGS stations common to the two solutions. The
 130 WRMS of the velocity differences at the common sites is
 131 $1.2 \text{ mm}/\text{yr}$.

132 We mapped the resulting velocities (in ITRF2000)
 133 into a Eurasia-fixed frame by minimizing velocities at
 134 15 sites distributed across the Eurasian plate (YAKT,
 135 IRKT, KSTU, ARTU, ZWEN, GLSV, GRAZ, WSRT,
 136 POTS, WTZR, KOSG, CAGL, NRIL, NVSK, VILL),
 137 while propagating the variance of the ITRF2000-Eurasia
 138 angular velocity to the individual site velocities. These 15
 139 reference sites are chosen to cover the entire stable part of
 140 the Eurasian plate and are located away from areas po-
 141 tentially affected by tectonic deformation or significant
 142 glacial isostatic adjustment effects (Calais et al., 2003).
 143 The resulting GPS velocity field describes horizontal sur-
 144 face motions at 188 sites in Asia with a precision ranging
 145 from 0.5 to $3.5 \text{ mm}/\text{yr}$ (Figure 1²). In the following, we
 146 discard from the interpretation sites with velocity uncer-
 147 tainties larger than $1.5 \text{ mm}/\text{yr}$. These sites, mostly lo-
 148 cated in the Mongolia-Altay-Baikal area, are consistently
 149 campaign sites with less than 3 observations epochs.

3. Velocity field

150 The combined GPS velocity field (Figure 1) and veloc-
 151 ity profiles (Figure 2) illustrate the known convergence
 152 between India and the Tarim basin, the eastward motion
 153 of Tibet and south China and the clockwise rota-
 154 tion of eastern Tibet around the eastern Himalayan syn-
 155 taxis. Convergence between India and Eurasia occur at
 156 $38 \text{ mm}/\text{yr}$ (from velocities at sites Bangalore and Hy-
 157 derabad in southern India), consistent with GPS-derived
 158 plate motion parameters for India (Paul et al., 2001;
 159 Sella et al., 2002). The western velocity profile (Fig-
 160 ure 2A) shows consistent NNE-directed azimuths with
 161 velocity magnitudes steadily decreasing northward, in-
 162 dicative of NNE-SSW shortening. About $20 \text{ mm}/\text{yr}$ of
 163 the total shortening is accommodated in the Himalayas,
 164 as previously reported by Bilham et al. (1997), while
 165 the remaining $17 \text{ mm}/\text{yr}$ are distributed from Tibet to
 166 the Siberian platform, mostly taken up in the Tien Shan
 167 ($17 \text{ mm}/\text{yr}$ in the west, decreasing eastward to less than
 168 $10 \text{ mm}/\text{yr}$).

169 On the central profile (Figure 2B), horizontal veloci-
 170 ties show a more complex pattern, with about $20 \text{ mm}/\text{yr}$
 171 of shortening accommodated in the Hymalayas and Ti-

172 bet, but no shortening north of the Qilin Shan. This
 173 NNE-SSW shortening is accompanied, in Tibet, by up to
 174 17 mm/yr of ESE-ward motion. North of the Qilin Shan,
 175 across western Mongolia and all the way to the Baikal rift
 176 zone velocities are directed ESE-ward at 3 to 5 mm/yr.

177 On the eastern profile (Figure 2C), horizontal motions
 178 are mostly directed to the east or southeast, with a steady
 179 increase in magnitude from 0 to about 9 mm/yr from
 180 north to south across north and south China. This con-
 181 sistent pattern of east- to southeastward motions from
 182 eastern Mongolia, north China, and south China, is a
 183 striking feature of this velocity field and had not yet been
 184 documented at that scale.

185 To separate block rotations from distributed strain, we
 186 attempt to describe the horizontal velocity field in terms
 187 of rotations of non-deforming blocks or microplates. To
 188 do so, we use the trace of major active faults in Asia
 189 (Figure 1) to divide the velocity field into 6 subsets of
 190 sites, representing the following blocks: North China
 191 (or “Amurian plate” of Zonenshain and Zavostin, 1981),
 192 South China, Sunda (*e.g.*, Chamot-Rooke and Le Pichon,
 193 1999; Bock et al., 2003), Tarim basin, Qaidam basin, and
 194 Central Tibet. In Tibet, we limit our analysis to two
 195 blocks, Qaidam and Central Tibet, bounded by the Al-
 196 tyn Tagh, Kunlun, and Jiali faults (Chen et al., 2004)
 197 because the low density of sites in our solution does not
 198 provide the resolution necessary to investigate kinematics
 199 at smaller spatial scales. Also, we omit GPS sites located
 200 within actively deforming structures in the Himalayas,
 201 the Tien Shan, western Mongolia (Altay and Gobi Altay),
 202 Eastern Tibet (Karakorum and Pamir), Western Tibet
 203 (Longmen Shan), and in the Ordos, possibly affected by
 204 non-secular deformation processes on these active tec-
 205 tonic structures (*e.g.*, interseismic strain accumulation or
 206 postseismic deformation). For the same reason, we omit
 207 sites located within 500 km of the Andaman-Sumatra-
 208 Java subduction, where elastic loading effects are signif-
 209 icant (Chamot-Rooke and Le Pichon, 1999). We then
 210 solve for block angular rotations with respect to Eurasia
 211 by inverting the model that relates horizontal site veloci-
 212 ties to plate angular velocity. Table 1 shows the resulting
 213 angular rotations and corresponding statistics, while Fig-
 214 ure 1 (bottom) shows residual velocities after subtracting
 215 the estimated rotations.

216 The fit to a block rotation is good for most site sub-
 217 sets, with reduced chi-squared close to unity, except for
 218 the Qaidam and Central Tibet subsets. The fit in Ti-
 219 bet is not improved by considering Qaidam and Central
 220 Tibet as a single block, consistent with previous reports
 221 of block motions and internal deformation from denser
 222 GPS measurements in Tibet (Chen et al., 2004). The fit
 223 to a rigid rotation is particularly good for South China,
 224 with a weighted velocity residual RMS of 0.4 mm/yr. For
 225 North China, the resulting angular velocity is consistent
 226 with a recent estimate by Apel et al. (2006), based on a
 227 similar dataset. It is significantly different from previous
 228 estimates from Kreemer et al. (2003), Sella et al. (2003),
 229 and Prawirodirdjo and Bock (2004), but those were con-
 230 strained by 3 sites only. The rotation poles for North and
 231 South China are located in eastern Siberia and associated
 232 with a counter-clockwise rotation with respect to Eurasia.
 233 The linear gradient in eastward velocities from north to
 234 south on Profile C (Figure 2) and the lack of offset at the
 235 boundary between North and South China may suggest
 236 that they constitute a single plate. We tested the sig-
 237 nificance of the χ^2 decrease from a solution where North
 238 and South China are treated as a single block to a solu-
 239 tion where they are treated as two separate blocks using

240 an F-test (Stein and Gordon, 1984). The F-statistics,
 241 defined as $(\chi^2_{1plate} - \chi^2_{2plates}/3)/(\chi^2_{2plates}/72)$ is 2.3, im-
 242 plying that the χ^2 decrease is significant at the 92% level.
 243 The data is therefore better fit by a splitting North and
 244 South China into two separate plates, although not at a
 245 very high significance level.

246 Our rotation pole for Sunda is located southwest of
 247 Australia, with a clockwise rotation with respect to Eura-
 248 sia. These parameters differ significantly from those of
 249 Chamot-Rooke and Le Pichon (1999), possibly because
 250 of different definition of the Eurasia frame. They also
 251 differ from those of Bock et al. (2003), but these authors
 252 considered Sunda and South China as a single block. Us-
 253 ing a F-test, we find that the χ^2 decrease when splitting
 254 Sunda and South China compared to treating them as a
 255 single block is significant at the 99.9% confidence level,
 256 indicating that our data is fit significantly better by a
 257 two-plate model.

4. Strain distribution

258 The above analysis in terms of block rotations is lim-
 259 ited by the a priori choice of block boundaries and site
 260 subsets. An alternative approach consists of calculating
 261 horizontal strain rates over the study area. To do so, we
 262 discretize the study area using a Delaunay triangulation
 263 and calculate, for each triangle, the strain rate tensor
 264 with its covariance matrix, its level of significance, the
 265 principal strain rates, and the second invariant of the
 266 strain rate tensor – or effective strain rate – given by
 267 $\dot{E} = \sqrt{(\dot{\epsilon}_{ij}\dot{\epsilon}_{ij})}/2$, where $\dot{\epsilon}_{ij}$ are the components of the
 268 strain rate tensor and summing over repeated subscripts
 269 applies.

270 The resulting maps (Figure 3) show that strain rates
 271 are significant at the 95% confidence in the Himalayas,
 272 Tibet, Pamir-Tien Shan, Altay and Gobi Altay, with
 273 principal compressional axis consistent with shortening
 274 perpendicular to these structures. Within Tibet, princi-
 275 pal strains show a combination of NNE-SSW compres-
 276 sion and WNW-ESE extension, consistent with previ-
 277 ous results (Wang et al., 2001; Zhang et al., 2004) and
 278 geologic observations of widespread extension on NS-
 279 trending normal faults in Tibet (*e.g.*, **Armijo et al.,**
 280 **1986**; Yin et al., 1999; Kapp and Gunn, 2004). Strain
 281 rates are also significant in the Baikal rift zone and di-
 282 rectly west and southwest of it in the Hovsgol, Darkhat,
 283 and Busingol grabens, with extensional maximum princi-
 284 pal strain perpendicular to the major normal faults.
 285 Effective strain rates in all these regions are larger than
 286 3×10^{-9} /yr and reach maximum values of $2-3 \times 10^{-8}$ /yr
 287 in the Himalayas, Burma, and along the eastern edge of
 288 the Tibetan plateau.

289 Strain rates are not significant at the 95% confidence
 290 level in the rest of Asia (including the Tarim basin, cen-
 291 tral and eastern Mongolia, north and south China, and
 292 Sunda). These regions also show effective strain rates less
 293 than 3×10^{-9} /yr, which corresponds to the current pre-
 294 cision level of the GPS data set (average triangle dimension
 295 ~ 300 km, velocity precision ~ 1 mm/yr). These regions
 296 of unresolvable strain rate, at the current precision of
 297 the GPS data, are consistent with the major blocks or
 298 microplates defined above. Strain rates in a significant
 299 part of Asia (about 60% of the area considered in this
 300 study) are therefore comparable to stable plate interiors
 301 (less than 3×10^{-9} /yr) and not resolvable at the current
 302 precision level of GPS measurements in Asia.

303 Our findings contrast with England and Molnar's

304 (2005) conclusion that continuous deformation dominates
 305 in Asia, while block-like motions are restricted to the
 306 Tarim basin and small portions of north and south China.
 307 The difference likely results from England and Molnar's
 308 modeling approach, which resamples heterogeneous GPS
 309 data sets and Quaternary fault slip rates over a coarse
 310 triangular grid with linear shape functions. Our results
 311 match Flesch et al.'s (2001) interpolated kinematic model
 312 better, which however does not fit the observed east to
 313 southeastward velocities in Mongolia and North China.
 314 However, we do find, like Flesch et al. (2001) and Eng-
 315 land and Molnar (2005), a radial pattern in principal
 316 compressional strain rate directions around Tibet aligned
 317 with gradients of gravitational potential energy, an argu-
 318 ment used by England and Molnar (2005) to support the
 319 idea that buoyancy forces play a significant role in driving
 320 present-day deformation in Asia.

5. Conclusion

321 The debate on continental deformation in Asia opposes
 322 edge-driven block models, requiring a strong lithosphere
 323 with strain localized on faults, to buoyancy-driven con-
 324 tinuous models, requiring a viscous lithosphere with per-
 325 vasive strain. As shown here, block- or plate-like mo-
 326 tions appear to provide an accurate kinematic descrip-
 327 tion of surface deformation for most of Asia. Similar
 328 conclusions have been drawn at a smaller scale for Ti-
 329 bet (Thatcher, 2005) and the Western U.S. (*e.g.*, Meade
 330 and Hager, 2005). Although these results apparently fa-
 331 vor block models, they do not rebut continuous deforma-
 332 tion models, provided that significant lateral variations in
 333 lithospheric strength exist. This is supported by results
 334 from Flesch et al. (2001), who show that vertically aver-
 335 aged effective viscosity in Asia varies laterally by up to
 336 3 orders of magnitude. The GPS velocity field presented
 337 here does not resolve, by itself, the debate on continental
 338 deformation but provides new quantitative information
 339 to validate physical theories on driving forces.

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Notes

- 353 1. Supplemental Material is available at <ftp://ftp.agu.org/apend/gl/2006GL28433>
2. See Supplemental materials

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Block	χ_r^2	dof	λ	ϕ	σ_{maj}	σ_{min}	θ	ang	WRMS
N. China	1.0	43	54.6	135.149	4.6	2.15	27.2	0.079±0.016	0.6
S. China	1.2	29	55.1	127.253	3.3	0.89	63.8	0.110±0.008	0.4
NS China	1.2	75	53.1	127.427	1.7	0.75	61.4	0.111±0.005	0.7
Tarim	1.6	9	-36.9	-79.7	1.6	0.7	25.7	0.438±0.036	0.7
Sunda	1.5	47	44.3	-73.3	16.9	2.5	86.5	0.062±0.011	1.2
Qaidam	9.1	3	-29.2	-76.5	1.5	0.4	60.6	0.570±0.063	2.5
C. Tibet	10.1	5	-22.5	-80.7	1.7	0.4	61.9	0.905±0.084	1.6

Table 1. Angular velocities. χ_r^2 is the chi-squared per degree of freedom (dof). λ and ϕ are the latitude and longitude, respectively, of the Euler pole describing the block rotation with respect to Eurasia (in decimal degrees). σ_{maj} and σ_{min} are the semi-major and semi-minor axes of the pole error ellipse in degrees. θ is the direction of the semi-major axis in degrees counterclockwise from East. Ang. is the rotation rate in degrees per Ma. WRMS is the weighted root mean square of residual velocities for each block.

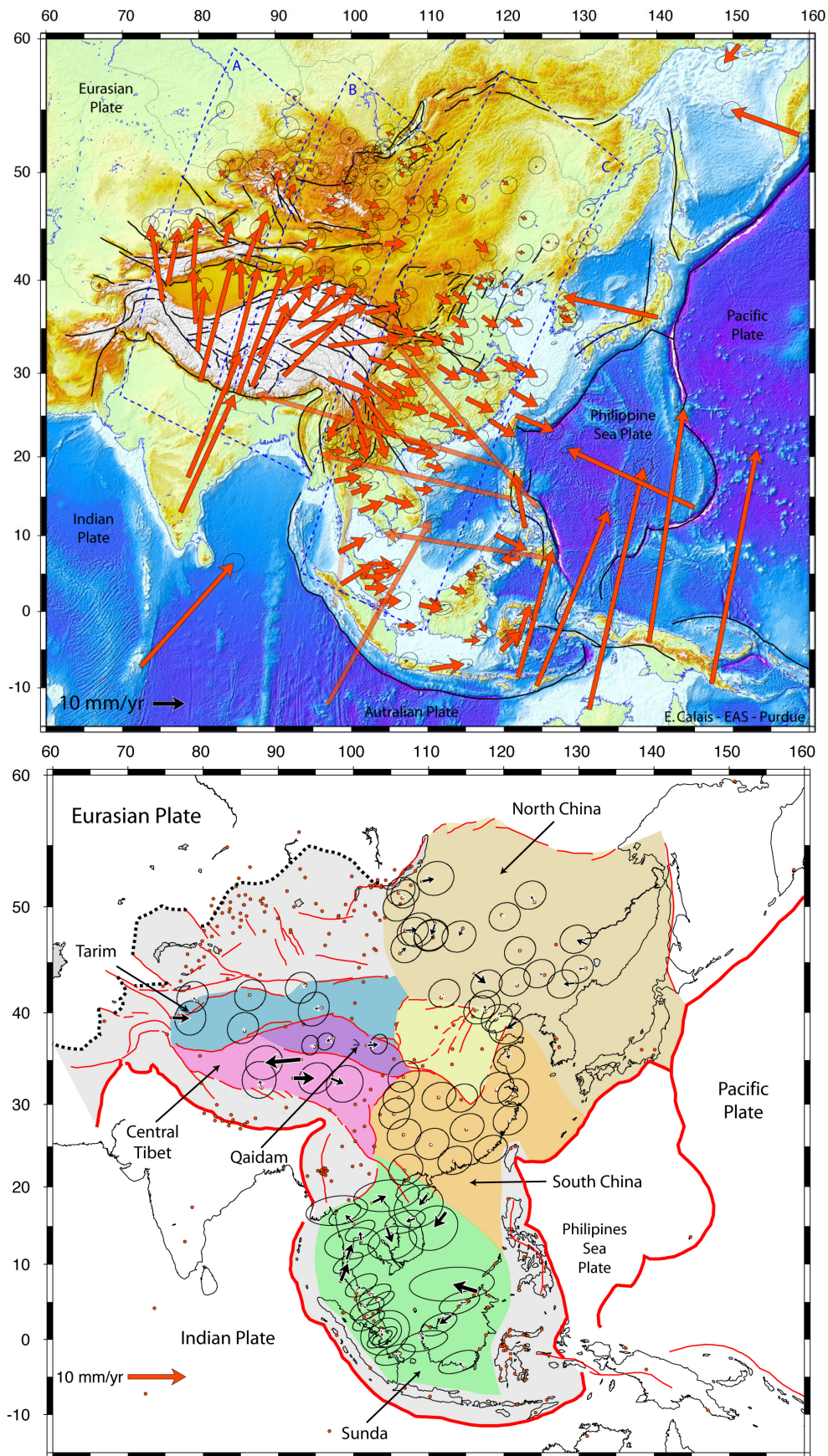


Figure 1. Top: Horizontal GPS velocities shown with respect to Eurasia. Large velocities at sites on adjacent plates are shown transparent for a sake of readability. The dashed boxes show the domains included in the 3 profiles (A, B, C) shown on Figure 2. Bottom: Residual velocities after subtracting rigid block rotations (see explanations in text). Dots show the location of all GPS sites. Major blocks used here are shown with color background. White areas were not included in the block analysis. Error ellipses are 95% confidence interval on both figures.

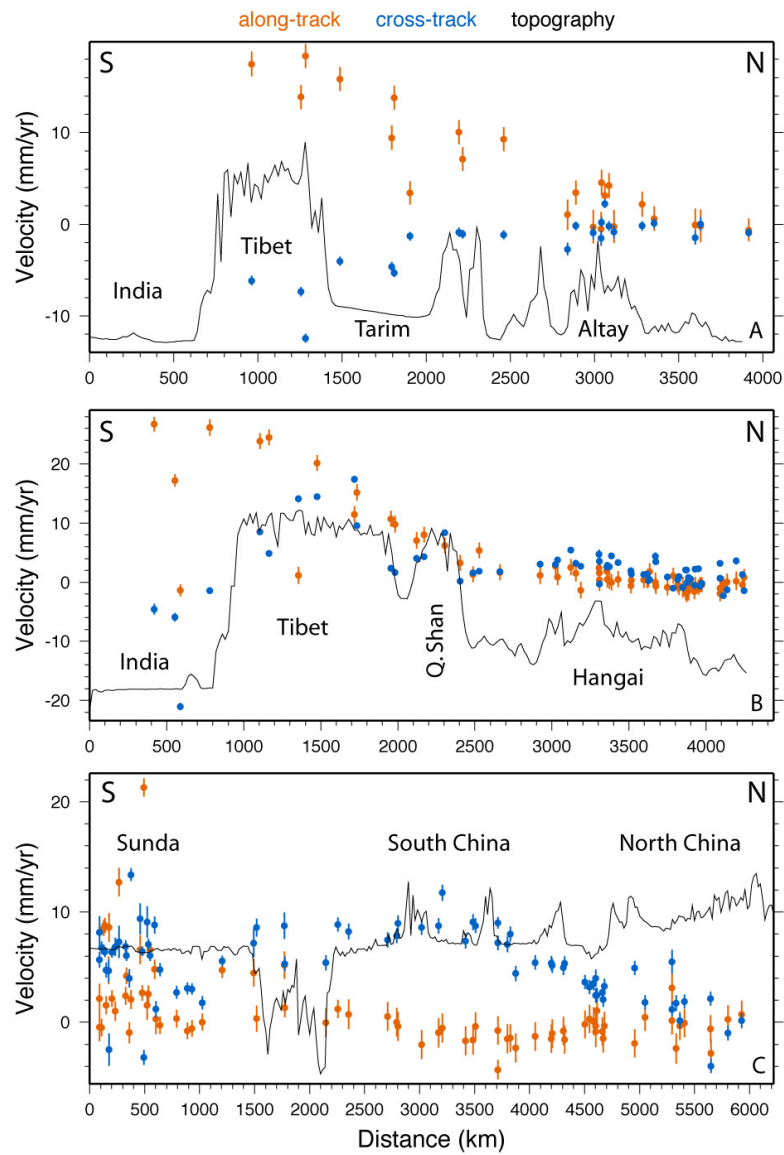


Figure 2. Velocity profiles: GPS velocity components projected into profile-parallel (along-track) and profile-perpendicular (cross-track) directions. The profile locations and sites included are shown on Figure 1 (top).

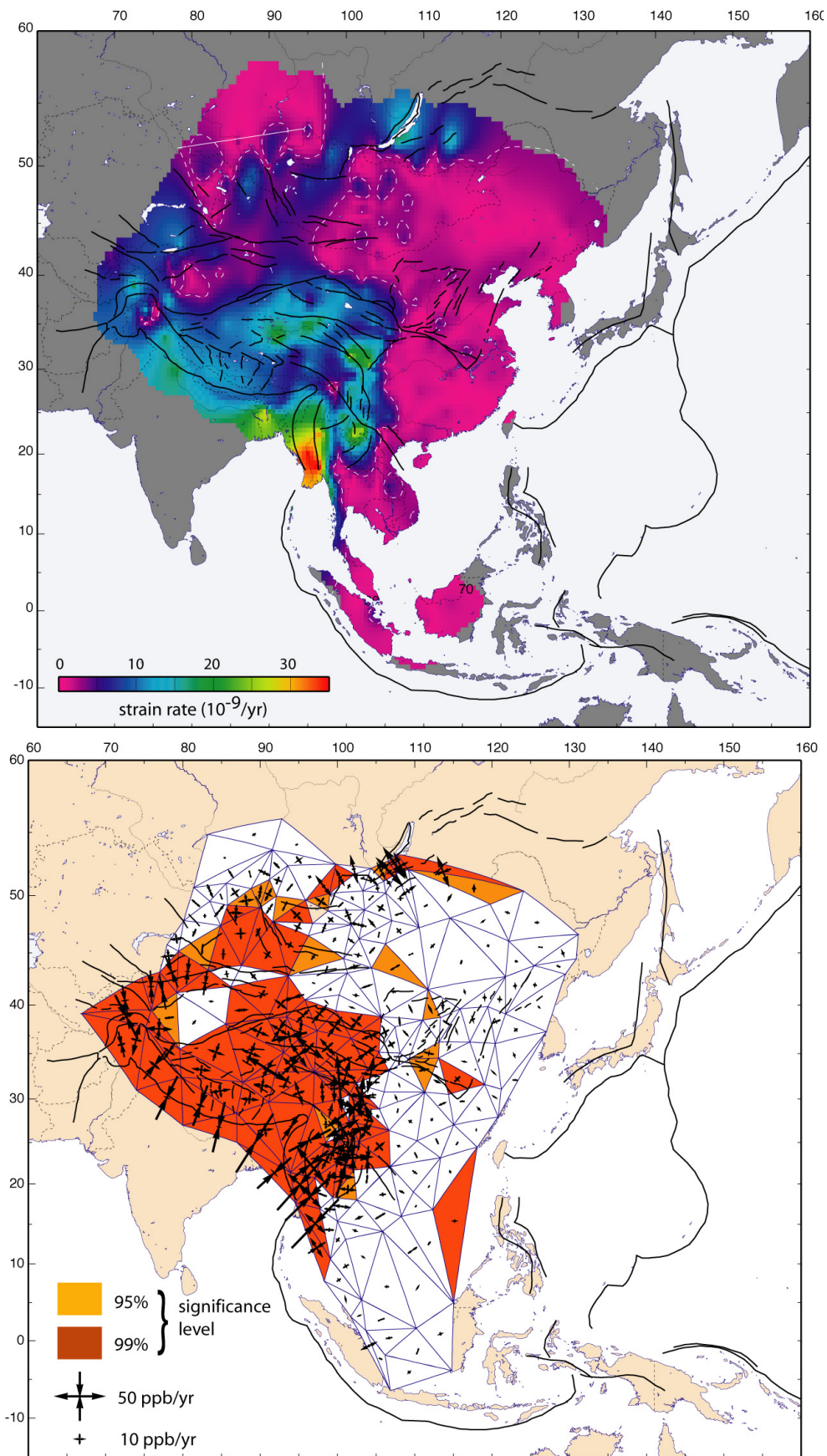


Figure 3. Top panel: Second invariant of the strain rate tensor calculated for a Delaunay triangulation (see bottom panel). The white dashed line shows the $3 \times 10^{-9} \text{ yr}^{-1}$ contour. Bottom panel: Delaunay triangulation of the GPS network shown on Figure 1 with principal axis of the strain rate tensor shown at the centroid of each triangle. Convergent arrows mean contractional strain, divergent arrows mean extensional strain. Yellow and orange triangles show domains where the strain rate tensor is significant at the 95% and 99% confidence level, respectively. White triangles indicate a significance level lower than 95%.