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# Non-Maximal Decidable Structures

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## Abstract

Given any infinite structure  $\mathcal{M}$  with a decidable first-order theory, we give a sufficient condition in terms of the Gaifman graph of  $\mathcal{M}$ , which ensures that  $\mathcal{M}$  can be expanded with some non-definable predicate in such a way that the first-order theory of the expansion is still decidable.

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## 1 Introduction

Elgot and Rabin ask in [2] whether there exist maximal decidable structures, i.e. structures  $\mathcal{M}$  with a decidable elementary theory and such that the elementary theory of any expansion of  $\mathcal{M}$  by a non-definable predicate is undecidable.

Sopruncov proved in [8] (using a forcing argument) that every structure in which a regular ordering is interpretable is not maximal. A partial order  $(B, <)$  is said to be regular if for every  $a \in B$  there exist distinct elements  $b_1, b_2 \in B$  such that  $b_1 < a, b_2 < a$ , and no element  $c \in B$  satisfies both  $c < b_1$  and  $c < b_2$ . As a corollary he also proved that there is no maximal decidable structure if we replace “elementary theory” by “weak monadic second-order theory”.

In [1] we considered a weakening of the Elgot-Rabin question, namely the question of whether all structures  $\mathcal{M}$  whose first-order theory is decidable can be expanded by some constant in such a way that the resulting structure still has a decidable theory. We answered this question negatively by proving that there exists a structure  $\mathcal{M}$  whose monadic second-order theory is decidable and such that any expansion of  $\mathcal{M}$  by a constant has an undecidable elementary theory.

In this paper we address the initial Elgot-Rabin question, and provide a criterion for non-maximality. More precisely, given any structure  $\mathcal{M}$  with a decidable first-order theory, we give in Section 3 a sufficient condition in terms of the Gaifman graph of  $\mathcal{M}$ , which ensures that  $\mathcal{M}$  can be expanded with some non-definable predicate in such a way that the first-order theory of the expansion

is still decidable. The condition is the following: for every natural number  $r$  and every finite set  $X$  of elements of the base set  $|\mathcal{M}|$  of  $\mathcal{M}$  there exists an element  $x \in |\mathcal{M}|$  such that the Gaifman distance between  $x$  and every element of  $X$  is greater than  $r$ . This condition holds e.g. for the structure  $(\mathbb{N}, S)$ , where  $S$  denotes the graph of the successor function, and more generally for any labelled infinite graph with finite degree and whose elementary theory is decidable, i.e. any structure  $\mathcal{M} = (V, E, P_1, \dots, P_n)$  where  $V$  is infinite,  $E$  is a binary relation of finite degree, the  $P_i$ 's are unary relations, and the elementary theory of  $\mathcal{M}$  is decidable. Unlike Soprunov's condition, our condition expresses some limitation on the expressive power of the structure  $\mathcal{M}$ .

In Section 2 we recall some important definitions and results. Section 3 deals with the main theorem. We conclude the paper with related questions.

## 2 Preliminaries

In the sequel we consider first-order logic with equality. We deal only with relational structures. Given a language  $\mathcal{L}$  and a  $\mathcal{L}$ -structure  $\mathcal{M}$ , we denote by  $|\mathcal{M}|$  the base set of  $\mathcal{M}$ . For every symbol  $R$  of  $\mathcal{L}$  we denote by  $R^{\mathcal{M}}$  the interpretation of  $R$  in  $\mathcal{M}$ . As usual we shall sometimes confuse symbols and their interpretation. We denote by  $FO(\mathcal{M})$  the first-order (complete) theory of  $\mathcal{M}$ , i.e. the set of first-order  $\mathcal{L}$ -sentences true in  $\mathcal{M}$ . By “definable in  $\mathcal{M}$ ” we mean “first-order definable in  $\mathcal{M}$  without parameters”.

We denote by  $qr(\phi)$  the quantifier rank of the formula  $\phi$ , defined inductively by  $qr(\phi) = 0$  if  $\phi$  is atomic,  $qr(\neg F) = qr(F)$ ,  $qr(F\alpha G) = \max(qr(F), qr(G))$  for  $\alpha \in \{\wedge, \vee, \rightarrow\}$ , and  $qr(\exists xF) = qr(\forall xF) = qr(F) + 1$ . We define  $FO_n(\mathcal{M})$  as the set of  $\mathcal{L}$ -sentences  $F$  such that  $qr(F) \leq n$  and  $\mathcal{M} \models F$ .

We say that the elementary diagram of a structure  $\mathcal{M}$  is computable if there exists an injective map  $f : |\mathcal{M}| \rightarrow \mathbb{N}$  such that the range of  $f$ , as well as the relations  $\{(f(a_1), \dots, f(a_n)) \mid a_1, \dots, a_n \in |\mathcal{M}| \text{ and } \mathcal{M} \models R(a_1, \dots, a_n)\}$  for every relation  $R$  of  $\mathcal{L}$ , are recursive (see e.g. [7]).

Let us recall useful definitions and results related to the Gaifman graph of a structure [3] (see also [5]). Let  $\mathcal{L}$  be a relational language, and  $\mathcal{M}$  be a  $\mathcal{L}$ -structure. The *Gaifman graph* of  $\mathcal{M}$ , which we denote by  $G(\mathcal{M})$ , is the undirected graph whose set of vertices is  $|\mathcal{M}|$ , and such that for all  $x, y \in |\mathcal{M}|$ , there is an edge between  $x$  and  $y$  if and only if  $x = y$  or if there exist some  $n$ -ary relational symbol  $R \in \mathcal{L}$  and some  $n$ -tuple  $\vec{t}$  of elements of  $|\mathcal{M}|$  which contains both  $x$  and  $y$  and satisfies  $\vec{t} \in R^{\mathcal{M}}$ .

The distance  $d(x, y)$  between two elements  $x, y \in |\mathcal{M}|$  is defined as the usual distance in the sense of the graph  $G(\mathcal{M})$ . We denote by  $B_r(x)$  the  $r$ -sphere with center  $x$ , i.e. the set of elements  $y$  of  $|\mathcal{M}|$  such that  $d(x, y) \leq r$ . It should be noted that for every fixed  $r$  the binary relation “ $y \in B_r(x)$ ” is definable in  $\mathcal{M}$ . For every  $X \subseteq |\mathcal{M}|$  we define  $B_r(X)$  as  $B_r(X) = \bigcup_{x \in X} B_r(x)$ .

A  $r$ -local formula  $\varphi(x_1, \dots, x_n)$  is a formula whose quantifiers are all relativized to  $B_r(\{x_1, \dots, x_n\})$ . We shall use the notation  $\varphi^{(r)}$  to indicate that  $\varphi$  is  $r$ -local.

Let us state Gaifman's theorem about local formulas.

**Theorem 1** ([3]) *Let  $\vec{x} = (x_1, \dots, x_n)$  and  $\varphi(\vec{x})$  be a  $\mathcal{L}$ -formula. From  $\varphi$  one can compute effectively a formula which is equivalent to  $\varphi$  and is a boolean combination of formulas of the form:*

- $\psi^{(r)}(\vec{x})$
- $\exists x_1 \dots \exists x_s (\bigwedge_{1 \leq i \leq s} \alpha^{(r)}(x_i) \wedge \bigwedge_{1 \leq i < j \leq s} d(x_i, x_j) > 2r)$

where  $s \leq qr(\varphi) + n$  and  $r \leq 7^k$ .

Moreover if  $\varphi$  is a sentence then only sentences of the second kind occur in the resulting formula.

### 3 A sufficient condition for non-maximality

The aim of this section is to prove the following theorem.

**Theorem 2** *Let  $\mathcal{L}$  be a finite relational language, and  $\mathcal{M}$  be an infinite countable  $\mathcal{L}$ -structure which satisfies the following conditions:*

1.  $FO(\mathcal{M})$  is decidable
2. every element of  $|\mathcal{M}|$  is definable in  $\mathcal{M}$
3. for every finite set  $X \subseteq |\mathcal{M}|$  and every  $r \in \mathbb{N}$ , there exists  $a \in |\mathcal{M}|$  such that  $d(a, X) > r$ .

Then there exists a unary predicate symbol  $R \notin \mathcal{L}$  and a  $(\mathcal{L} \cup \{R\})$ -expansion  $\mathcal{M}'$  of  $\mathcal{M}$  such that :

- $FO(\mathcal{M}')$  is decidable
- the set  $R^{\mathcal{M}'}$  is not definable in  $\mathcal{M}$ .
- the elementary diagram of  $\mathcal{M}'$  is computable.

Let us consider a few examples.

- The structure  $\mathcal{M} = (\mathbb{N}; S)$ , where  $S$  denotes the graph of the function  $x \mapsto x + 1$ , satisfies all conditions of Theorem 2. Indeed Langford [4] proved that  $FO(\mathcal{M})$  is decidable. Moreover condition 2 is easy to prove, and condition 3 is a straightforward consequence of the fact that  $d(x, y) = |x - y|$  for all natural numbers  $x, y$ .
- The same holds for any structure of the form  $\mathcal{M} = (\mathbb{N}; S, P_1, \dots, P_n)$  where the  $P_i$ 's denote unary predicates and  $FO(\mathcal{M})$  is decidable. Note that expanding a structure by unary predicates does not change its Gaifman graph.

- More generally Theorem 2 applies to any infinite labelled graph with finite degree, more precisely to any structure of the form  $\mathcal{M} = (V; E, P_1, \dots, P_n)$  where  $V$  is infinite,  $E$  is a binary relation with finite degree, the  $P_i$ 's denote unary predicates,  $FO(\mathcal{M})$  is decidable, and every element of  $V$  is definable in  $\mathcal{M}$ . In this case the Gaifman graph of  $\mathcal{M}$  has finite degree, which implies condition 3. Note that Theorem 2 also applies to some structures for which the degree of the Gaifman graph is infinite – see the last example.
- The structure  $\mathcal{M} = (\mathbb{N}; <)$  does not satisfy condition 3 of Theorem 2 since  $d(x, y) \leq 1$  for all  $x, y \in \mathbb{N}$ . Observe that  $FO(\mathcal{M})$  is decidable [4], and moreover  $\mathcal{M}$  is not maximal: consider e.g. the structure  $\mathcal{M}' = (\mathbb{N}; <, +)$  where  $+$  denotes the graph of addition;  $FO(\mathcal{M}')$  is decidable [6], and  $+$  is not definable in  $\mathcal{M}$  since in  $\mathcal{M}$  one can only define finite or co-finite subsets of  $\mathbb{N}$ .

One can prove actually that for every infinite structure  $\mathcal{M}$  in which some linear ordering of elements of  $|\mathcal{M}|$ , condition 3 does not hold. However the next example shows that Theorem 2 can be applied to some structures in which an infinite linear ordering is *interpretable*.

- Consider the disjoint union of  $\omega$  copies of  $(\mathbb{N}; <)$  equipped with a successor relation between copies, i.e. the structure  $\mathcal{M} = (\mathbb{N} \times \mathbb{N}; <, Suc)$  where
  - $(x, y) < (x', y')$  if and only if  $(x = x' \text{ and } y < y')$ ;
  - $Suc((x, y), (x', y'))$  if and only if  $x' = x + 1$

then  $\mathcal{M}$  satisfies the conditions of Theorem 2: the first condition comes from the fact that  $FO(\mathcal{M})$  reduces to  $FO(\mathbb{N}; <)$  and the two other conditions are easy to check.

Let us explain informally the structure of the proof of Theorem 2. Given  $\mathcal{M}$  which satisfies the conditions of Theorem 2, we define  $R^{\mathcal{M}'}$  by marking gradually elements of  $|\mathcal{M}|$ , some in  $R^{\mathcal{M}'}$  and some in its complement. More precisely we define by induction on  $n$  the sequence  $(X_n)_{n \in \mathbb{N}}$  with  $X_n = (R_n, S_n, T_n, F_n)$  where  $R_n$  corresponds to a set of elements of  $R^{\mathcal{M}'}$  (we will say “marked positively”),  $S_n$  corresponds to a set of elements marked in the complement of  $R^{\mathcal{M}'}$  (we will say “marked negatively”),  $T_n$  roughly corresponds to a set of spheres whose elements are marked in the complement of  $R^{\mathcal{M}'}$ , and  $F_n$  denotes the set of formulas of quantifier rank  $\leq n$  which will be true in  $\mathcal{M}'$ . At each step  $n$ , the partial marking  $X_n$  ensures that any subsequent marking will lead to a set  $R^{\mathcal{M}'}$  not definable by any formula of quantifier rank  $n$ . Moreover  $X_n$  also *fixes*  $FO_n(\mathcal{M}')$ . Finally  $R^{\mathcal{M}'}$  will be defined as the union of the sets  $R_n$ . In the construction we impose some sparsity condition on  $R^{\mathcal{M}'}$ ; this condition ensures that there are few elements of  $R^{\mathcal{M}'}$  in each  $r$ -sphere, which allows to express with  $\mathcal{L}$ -sentences whether a  $r$ -sphere of  $\mathcal{M}$  can be marked conveniently, and then use the condition that  $FO(\mathcal{M})$  is decidable in order to extend the marking in an effective way.

**Proof of Theorem 2.**

Assume that  $\mathcal{M}$  is a  $\mathcal{L}$ -structure which satisfies the conditions of the theorem. Let  $R \notin \mathcal{L}$  be a unary predicate symbol. For every  $X \subseteq |\mathcal{M}|$  we shall denote by  $\mathcal{M}(X)$  the  $(\mathcal{L} \cup \{R\})$ -expansion of  $\mathcal{M}$  defined by interpreting  $R$  by  $X$ .

Throughout the proof we shall use the following interesting consequences of conditions 1 and 2:

- the elementary diagram of  $\mathcal{M}$  is computable. Indeed since  $\mathcal{L}$  is finite we can enumerate all formulas  $\varphi(x)$  with one free variable. Let us denote by  $(\varphi_i(x))_{i \geq 0}$  such an enumeration. Then the application  $f : |\mathcal{M}| \rightarrow \mathbb{N}$  which maps every element  $e$  of  $|\mathcal{M}|$  to the least integer  $i$  such that  $\varphi_i$  defines  $e$  is injective; moreover the range of  $f$ , and the relations  $\{(f(a_1), \dots, f(a_n)) : \mathcal{M} \models Q(a_1, \dots, a_n)\}$  for every symbol  $Q$  of  $\mathcal{L}$ , are recursive.
- if  $\psi(x)$  is a formula with one free variable and  $\mathcal{M} \models \exists x \psi(x)$  then one can find in an effective way the first integer  $i$  who belongs to the range of  $f$  and such that  $\mathcal{M} \models \exists x (\varphi_i(x) \wedge \psi(x))$ . That is, one can find effectively some element  $x \in |\mathcal{M}|$  for which  $\psi(x)$  holds in  $\mathcal{M}$ .
- every finite or co-finite subset  $A \subseteq |\mathcal{M}|$  is definable in  $\mathcal{M}$ . This will allow to use shortcuts such as “ $x \in A$ ” when we write formulas in the language  $\mathcal{L}$ .

We now define by induction on  $n$  the sequence  $(X_n)_{n \in \mathbb{N}}$  such that for every  $n$ ,  $X_n = (R_n, S_n, T_n, F_n)$  where

1.  $R_n, S_n, T_n$  are finite subsets of  $|\mathcal{M}|$ ;
2.  $R_n \cap S_n = \emptyset$ ;
3.  $F_n$  is a set of  $(\mathcal{L} \cup \{R\})$ -sentences with quantifier rank  $\leq n$ ;
4.  $d(R_n, R_{n+1} \setminus R_n) \geq 7^{n+1}$ ;
5.  $d(x, y) \geq 7^{n+1}$  for every pair of distinct elements of  $R_{n+1} \setminus R_n$ ;
6. for every  $R' \subseteq |\mathcal{M}|$  such that  $R_n \subseteq R'$  and

$$R' \cap ((S_n \cup \bigcup_{i \leq n} B_{7^i}(T_i)) \setminus R_n) = \emptyset,$$

$R'$  is not definable by any  $\mathcal{L}$ -formula of quantifier rank  $\leq n$ ;

7. For every  $R' \subseteq |\mathcal{M}|$  such that  $R_n \subseteq R'$ ,

$$R' \cap ((S_n \cup \bigcup_{i \leq n} B_{7^i}(T_i)) \setminus R_n) = \emptyset,$$

$$d(R', R' \setminus R_n) \geq 7^{n+1},$$

and  $d(x, y) \geq 7^{n+1}$  whenever  $x, y$  are distinct elements of  $R' \setminus R_n$ , we have

$$FO_n(\mathcal{M}(R')) = F_n.$$

**Induction hypothesis:** assume that  $(X_i)_{i < n}$  is defined and satisfies the required conditions.

Let us define  $X_n$ . The definition consists in two main steps: during the first step we extend the marking in order to ensure that  $R^{\mathcal{M}'}$  will not be definable by any formula with quantifier rank  $n$ ; this is the easiest step, and it uses condition (3) of the theorem. During the second step, we extend again the marking in order to fix  $FO_n(\mathcal{M}')$ .

We set  $r = 7^n$ .

First step: during this step we mark a finite number of elements in order to ensure that  $R^{\mathcal{M}'}$  will not be definable by any  $\mathcal{L}$ -formula with quantifier rank  $n$ .

Since we deal with a finite relational language, there exist up to equivalence finitely many formulas with quantifier rank  $n$ . From  $\mathcal{L}$  one can compute an integer  $k_n$  and a finite set of  $\mathcal{L}$ -formulas  $\{\alpha_{n,i}(x) : 1 \leq i \leq k_n\}$  such that every  $\mathcal{L}$ -formula with quantifier rank  $n$  is equivalent to a disjunction of some of the  $\alpha_{n,i}$ 's, and moreover such that the formulas  $\alpha_{n,i}$  are incompatible. For  $i = 1, \dots, k_n$ , let us denote by  $E_{n,i}$  the subset of  $|\mathcal{M}|$  defined by  $\alpha_{n,i}(x)$ . By construction the sequence  $(E_{n,1}, \dots, E_{n,k_n})$  is a partition of  $|\mathcal{M}|$ , and every subset of  $|\mathcal{M}|$  definable by a formula of quantifier rank  $n$  is a finite union of some of the subsets  $E_{n,i}$ .

We shall mark elements in order that for some  $i$ , the subset  $E_{n,i}$  contains at least an element marked positively and another element marked negatively. This will ensure that condition 6 is satisfied. More precisely, for  $i = 1, \dots, k_n$ , we mark positively (respectively negatively) at most one new element of  $E_{n,i}$ . We define the sets  $R'_{n,i}$  (resp.  $S'_{n,i}$ ) such that  $R'_{n,i}$  contains the set of new elements to mark positively (resp. negatively) in  $E_{n,i}$  (each of the sets  $R'_{n,i}$  and  $S'_{n,i}$  is either empty or reduced to a singleton). We proceed as follows:

- if there exists some element of  $E_{n,i}$  which is not marked yet, and moreover all marked elements of  $E_{n,i}$  are marked positively, then we mark negatively the first unmarked element of  $E_{n,i}$ .

Formally, assume that the sets  $R'_{n,j}$  and  $S'_{n,j}$  have been defined for every  $j < i$ , and let

$$Z_{n,i} = R_{n-1} \cup \bigcup_{j < i} R'_{n,j} \cup S_{n-1} \cup \bigcup_{j < i} S'_{n,j} \cup \bigcup_{i < n} B_{(7^i)}(T_i)$$

If

$$\mathcal{M} \models \exists x(\alpha_{n,i}(x) \wedge x \notin Z_{n,i})$$

and moreover

$$\mathcal{M} \models (E_{n,i} \cap Z_{n,i}) \subseteq (R_{n-1} \cup \bigcup_{j < i} R'_{n,j})$$

(this set-theoretic property is expressible as a  $\mathcal{L}$ -sentence) then we set  $S'_{n,i}$  as the singleton set consisting in the first  $x$  such that

$$\mathcal{M} \models \exists x(\alpha_{n,i}(x) \wedge x \notin Z_{n,i}).$$

Otherwise we set  $S'_{n,i} = \emptyset$ .

- Then, if all currently marked elements of  $E_{n,i}$  are marked negatively, and moreover there exists some unmarked element  $x$  of  $E_{n,i}$  at distance  $\geq 7^{n+1}$  from already marked elements, then we mark positively the first such element  $x$ .

Formally, let

$$Z'_{n,i} = Z_{n,i} \cup S'_{n,i}$$

If

$$\mathcal{M} \models (E_{n,i} \cap (R_{n-1} \cup \bigcup_{j < i} R'_{n,j})) = \emptyset$$

and moreover

$$\mathcal{M} \models \exists x(\alpha_{n,i}(x) \wedge d(x, Z'_{n,i}) \geq 7^{n+1})$$

then let  $R'_{n,i}$  be the singleton set consisting in the first such  $x$ . Otherwise we set  $R'_{n,i} = \emptyset$ .

Note that the previous procedure is effective (see the remarks at the beginning of the proof).

Second step: during this step we extend the marking in order to fix  $FO_n(\mathcal{M}')$ .

Up to equivalence, there exist finitely many  $(\mathcal{L} \cup \{R\})$ -formulas  $F$  such that  $qr(F) = n$ . By Proposition 1 every such formula  $F$  is equivalent to a boolean combination of formulas of the form

$$\exists x_1 \dots \exists x_s \left( \bigwedge_{1 \leq i \leq s} \alpha^{(r)}(x_i) \wedge \bigwedge_{1 \leq i < j \leq s} d(x_i, x_j) > 2r \right).$$

Consider an enumeration  $G_{n,1}, \dots, G_{n,m_n}$  of all formulas of the previous form which arise when we apply Theorem 1 to formulas  $F$  such that  $qr(F) = n$ .

During this step we shall fix which formulas  $G_{n,j}$  will be true in  $\mathcal{M}'$ , which will suffice (using again Theorem 1) to fix which formulas  $F$  with quantifier rank  $n$  will be true in  $\mathcal{M}'$ .



The first idea is to check, for every  $j$ , whether there exists  $R' \subseteq |\mathcal{M}|$  which extends in a convenient way the current marking and such that  $\mathcal{M}(R') \models G_{n,j}$ . If the answer is positive, then we shall extend our marking just enough to ensure that every subsequent extension of the marking will satisfy  $\mathcal{M}' \models G_{n,j}$ . If the answer is negative, then we do not extend the marking, and then every subsequent extension of the marking will satisfy  $\mathcal{M}' \models \neg G_{n,j}$ .

We define by induction on  $j \leq m_n$  the sets  $R''_{n,j}$  and  $T'_{n,j}$ , such that  $R''_{n,j}$  contains new elements to mark positively, and  $T'_{n,j}$  contains the centers of new  $r$ -spheres whose elements are marked negatively.

We proceed as follows. Fix  $j$ , and assume that the sets  $R''_{n,i}$  and  $T'_{n,i}$  have been defined for every  $i < j$ . We have

$$G_{n,j} : \exists x_1 \dots \exists x_s \left( \bigwedge_{1 \leq i \leq s} \alpha_{n,j}^{(r)}(x_i) \wedge \bigwedge_{1 \leq i < j \leq s} d(x_i, x_j) > 2r \right)$$

for some  $r$ -local formula  $\alpha_{n,j}^{(r)}$  (formally  $s$  depend on  $n$  and  $j$ , but we omit the subscripts for the sake of readability).

Let  $R_{n,j}^+$  be the set of elements currently marked positively, i.e.

$$R_{n,j}^+ = R_{n-1} \cup \bigcup_{i < k_n} R'_{n,i} \cup \bigcup_{i < j} R''_{n,i},$$

and let  $R_{n,j}^-$  be the set of elements currently marked negatively, that is

$$R_{n,j}^- = (S_{n-1} \cup \bigcup_{i < k_n} S'_{n,i} \cup \bigcup_{i < n} B_{(\gamma^i)}(T_i) \cup \bigcup_{i < j} B_{(\gamma^n)}(T'_{n,i})) \setminus R_{n,j}^+.$$

Let  $P_{n,j} = R_{n,j}^+ \cup R_{n,j}^-$ .

We want to check whether there exists  $R' \subseteq |\mathcal{M}|$  such that

1.  $\mathcal{M}(R') \models G_{n,j}$ ;
2.  $R_{n,j}^+ \subseteq R'$  and  $R_{n,j}^- \cap R' = \emptyset$  (i.e.  $R'$  extends the current marking);
3.  $d(R_{n,j}^+, R' \setminus R_{n,j}^+) \geq 7^{n+1}$  ;
4.  $d(x, y) \geq 7^{n+1}$  for every pair of distinct elements of  $R' \setminus R_{n,j}^+$ .

Let us denote by  $(*)$  the conjunction of these four conditions. Let us prove that one can express  $(*)$  with a  $\mathcal{L}$ -sentence.

Assume first that there exists  $R'$  which satisfies  $(*)$ . Let  $x_1, \dots, x_s \in |\mathcal{M}|$  be such that

$$\mathcal{M}(R') \models \left( \bigwedge_{1 \leq i \leq s} \alpha_{n,j}^{(r)}(x_i) \wedge \bigwedge_{1 \leq i < j \leq s} d(x_i, x_j) > 2r \right)$$

Conditions 3 and 4 of  $(*)$  imply that each sphere  $B_r(x_i)$  contains at most one element of  $R' \setminus R_{n,j}^+$ , and moreover that if such an element exists, it is the unique

element of  $R'$  in  $B_r(x_i)$ . Thus we can assume without loss of generality that there exist  $t \leq s$  and  $y_1, \dots, y_t \in |\mathcal{M}|$  such that

$$B_r(x_i) \cap (R' \setminus R_{n,j}^+) = \{y_i\}$$

for every  $i \leq t$ , and

$$B_r(x_i) \cap (R' \setminus R_{n,j}^+) = \emptyset$$

for every  $i > t$ . Condition (3) yields  $d(R_{n,j}^+, y_i) \geq 7^{n+1}$  for every  $i$ , and condition (4) yields  $d(y_i, y_j) \geq 7^{n+1}$  for all distinct integers  $i, j$ .

Let us consider first the  $r$ -spheres  $B_r(x_i)$  for  $i \leq t$ . By definition of  $x_i$  we have  $\mathcal{M}(R') \models \alpha_{n,j}^{(r)}(x_i)$ . Now  $y_i$  is the unique element of  $R' \cap B_r(x_i)$  thus we have  $\mathcal{M} \models \alpha'_{n,j}(x_i, y_i)$  where  $\alpha'_{n,j}(x_i, y_i)$  is obtained from  $\alpha_{n,j}^{(r)}(x_i)$  by replacing every atomic formula of the form  $R(z)$  by  $(z = y_i)$ .

Now consider the  $r$ -spheres  $B_r(x_i)$  for  $i > t$ . By definition we have  $\mathcal{M}(R') \models \alpha_{n,j}^{(r)}(x_i)$ , and  $B_r(x_i)$  contains no element of  $R' \setminus R_{n,j}^+$ . Thus we have  $\mathcal{M} \models \gamma_{n,j}^{(r)}(x_i)$  where  $\gamma_{n,j}^{(r)}(x_i)$  is obtained from  $\alpha_{n,j}^{(r)}(x_i)$  by replacing every atomic formula of the form  $R(z)$  by  $(z \in B_r(x_i) \cap R_{n,j}^+)$ .

The previous arguments show that  $\mathcal{M} \models G'_{n,j}$  where  $G'_{n,j}$  is the  $\mathcal{L}$ -sentence  $G'_{n,j}$  defined as follows:

$$G'_{n,j} : \bigvee_{t \leq s} H_{n,j,t}$$

where

$$\begin{aligned} H_{n,j,t} : & \exists x_1 \dots \exists x_s \exists y_1 \dots \exists y_t \left( \bigwedge_{1 \leq i < j \leq s} d(x_i, x_j) > 2r \wedge \bigwedge_{1 \leq i < j \leq t} d(y_i, y_j) > 7r \wedge \right. \\ & \left. \wedge \bigwedge_{1 \leq i \leq t} d(y_i, R_{n,j}^+) > 7r \wedge \bigwedge_{1 \leq i \leq t} \beta_{n,j}^{(r)}(x_i, y_i) \wedge \bigwedge_{t < i \leq s} \gamma_{n,j}^{(r)}(x_i) \right) \end{aligned}$$

with

$$\beta_{n,j}^{(r)}(x_i, y_i) : y_i \in B_r(x_i) \wedge y_i \notin P_{n,j} \wedge B_r(x_i) \cap R_{n,j}^+ = \emptyset \wedge \alpha'_{n,j}(x_i, y_i).$$

Conversely, assume that  $\mathcal{M} \models G'_{n,j}$ . Let  $t, x_1, \dots, x_s$ , and  $y_1, \dots, y_t$  be such that  $H_{n,j,t}$  holds in  $\mathcal{M}$ . Then if we set  $R' = R_{n,j}^+ \cup \{y_1, \dots, y_t\}$ , one checks easily that  $R'$  satisfies (\*)

Therefore we have shown that the question whether there exists  $R'$  which satisfies (\*) is equivalent to the question whether  $\mathcal{M} \models G'_{n,j}$  for some  $\mathcal{L}$ -formula which can be constructed effectively from  $G_{n,j}$ .

If  $\mathcal{M} \models \neg G'_{n,j}$  (which can be checked effectively since by our hypotheses  $FO(\mathcal{M})$  is decidable), then we set

$$R''_{n,j} = T'_{n,j} = F'_{n,j} = \emptyset.$$

Now if  $\mathcal{M} \models G'_{n,j}$  one can find effectively the least value of  $t$  such that  $\mathcal{M} \models H_{n,j,t}$ , and then  $x_1, \dots, x_s$  and  $y_1, \dots, y_t$  for which the formula holds. We set

$$R''_{n,j} = \{y_1, \dots, y_t\}, T'_{n,j} = \{x_1, \dots, x_s\}, \text{ and } F'_{n,j} = \{G_{n,j}\}.$$

This completes the second step of the construction of  $X_n$ .

We can now define  $X_n$  as follows: we set

$$R_n = R_{n-1} \cup \bigcup_{i \leq k_n} R'_{n,i} \cup \bigcup_{j \leq m_n} R''_{n,j}$$

$$S_n = S_{n-1} \cup \bigcup_{i \leq k_n} S'_{n,i}$$

and

$$T_n = \bigcup_{j \leq m_n} T'_{n,j}.$$

In order to define  $F_n$ , consider a formula  $F$  with quantifier rank  $n$ . By Theorem 1,  $F$  is equivalent to a formula  $F'$  which is a boolean combination of formulas of the form  $G_{n,j}$ . Consider the truth value of  $F'$  determined by setting “true” all formulas  $G_{n,j} \in F'_{n,j}$ , and “false” formulas  $G_{n,j} \notin F'_{n,j}$ . Then we define  $F_n$  as the union of  $F_{n-1}$  and of all formulas  $F$  for which  $F'$  is true.

We have defined  $X_n$ . There remains to show that  $X_n$  satisfies all conditions required in the definition.

- Conditions (1) to (5) are easy consequences of the construction of  $X_n$  (and the induction hypotheses).
- Let us consider condition (6). Let  $R' \subseteq |\mathcal{M}|$  be such that  $R_n \subseteq R'$  and

$$R' \cap ((S_n \cup \bigcup_{i \leq n} B_{7^i}(T_i)) - R_n) = \emptyset.$$

Let us prove that  $R'$  is not definable by any  $\mathcal{L}$ -formula of quantifier rank  $\leq n$ . Since every subset of  $|\mathcal{M}|$  definable by a  $\mathcal{L}$ -formula with quantifier rank  $n$  is the union of some of the sets  $E_{n,i}$ , it suffices to prove that  $R'$  and its complement intersect some  $E_{n,i}$ .

By construction, the set  $X = R_n \cup S_n \cup \bigcup_{i \leq n} T_i$  is finite. Now by hypothesis  $\mathcal{M}$  satisfies condition 3 of Theorem 2, thus there exists  $x \in |\mathcal{M}|$  such that  $d(X, x) > 7^n$ . The element  $x$  belongs to some set  $E_{n,i}$ . Let us prove that  $R'$  and its complement intersect  $E_{n,i}$ .

Consider the step of the construction of  $X_n$  during which we marked elements of  $E_{n,i}$ . Recall that just before this step the set of marked elements was

$$Z_{n,i} = R_{n-1} \cup \bigcup_{j < i} R'_{n,j} \cup S_{n-1} \cup \bigcup_{j < i} S'_{n,j} \cup \bigcup_{i < n} B_{(7^i)}(T_i)$$

Since  $x \in E_{n,i}$  and  $d(X, x) > 7^n$ , the set  $E_{n,i} \setminus Z_{n,i}$  is non-empty. Thus either  $E_{n,i}$  already contained an element marked negatively (and in this case  $S'_{n,i} = \emptyset$ ), or we marked one (from  $E_{n,i} \setminus Z_{n,i}$ ) and put it in  $S'_{n,i}$ . Therefore the complement of  $R'$  intersects  $E_{n,i}$ .

Just after this step, then either  $E_{n,i}$  already contained some element marked positively, or by definition of  $x$  there existed an element  $y$  of  $E_{n,i}$  at distance  $\geq 7^n$  from currently marked elements, and thus we could mark positively the first such element  $y$ . In both cases this ensures that  $R'$  intersects  $E_{n,i}$ .

- Let us prove now that  $X_n$  satisfies condition (7). Let  $R' \subseteq |\mathcal{M}|$  be such that  $R_n \subseteq R'$ ,

$$R' \cap \left( (S_n \cup \bigcup_{i \leq n} B_{7^i}(T_i)) \setminus R_n \right) = \emptyset,$$

$$d(R', R \setminus R_n) \geq 7^{n+1}$$

and  $d(x, y) \geq 7^{n+1}$  whenever  $x, y$  are distinct elements of  $R' \setminus R_n$ . Let us prove that  $FO_n(\mathcal{M}(R')) = F_n$ . The case of formulas with quantifier rank  $< n$  follows from our induction hypotheses. Consider now formulas with quantifier rank  $n$ . Their truth values are completely determined by the truth values of formulas  $G_{n,j}$ . Thus it is sufficient to prove that for every  $j$  we have  $\mathcal{M}(R') \models G_{n,j}$  if and only if  $F'_{n,j} = \{G_{n,j}\}$ . Fix  $j$ , and consider the step of the construction of  $X_n$  during which we dealt with the formula  $G_{n,j}$ . If  $\mathcal{M} \models G'_{n,j}$  then in this case  $F'_{n,j} = \{G_{n,j}\}$ , and the definition of  $R''_{n,j}$  and  $T'_{n,j}$  imply that the formula  $G_{n,j}$  holds for every  $R'$  which extends (in a convenient way) the marking  $(R_n, S_n, T_n)$ , thus we have  $\mathcal{M}(R') \models G_{n,j}$ . On the other hand if  $\mathcal{M} \not\models G'_{n,j}$ , then the property (\*) cannot be satisfied, and we have set  $F_{n,j} = \emptyset$ . In particular  $R'$  does not satisfy (\*). Now the hypotheses on  $R'$  yield that  $R'$  satisfies the three last conditions of (\*), thus the first condition is not satisfied, that is  $\mathcal{M}(R') \not\models G_{n,j}$ .

This concludes the proof that there exists a sequence  $(X_n)_{n \geq 0}$  which satisfies all conditions required in the definition.

Now let  $\mathcal{M}'$  be the  $(\mathcal{L} \cup \{R\})$ -expansion of  $\mathcal{M}$  defined by

$$R^{\mathcal{M}'} = \bigcup_{n \geq 0} R_n.$$

Let us prove that  $\mathcal{M}'$  satisfies the properties required in Theorem 2.

The definition of  $R^{\mathcal{M}'}$  implies that for every  $n$ ,  $R^{\mathcal{M}'}$  is not definable by any  $\mathcal{L}$ -sentence with quantifier rank  $n$ , and moreover that  $FO_n(\mathcal{M}') = F_n$ . Therefore  $R^{\mathcal{M}'}$  is not definable in  $\mathcal{M}$ , and  $FO(\mathcal{M}')$  is decidable.

Let us prove that the elementary diagram of  $\mathcal{M}'$  is computable. Consider the function  $f$  used for the elementary diagram of  $\mathcal{M}$ ; it is sufficient to prove that  $\{f(a) \mid \mathcal{M}' \models R(a), a \in |\mathcal{M}|\}$  is recursive. Since every element  $e$  of  $|\mathcal{M}|$  is definable, there exists  $n, i$  such that  $E_{n,i} = \{e\}$ . During the construction of  $X_n$ , and more precisely just before the marking of  $E_{n,i}$ , then either  $e$  had already been marked, or  $e$  is marked during this step. Thus eventually every element of  $|\mathcal{M}|$  is marked in  $R^{\mathcal{M}'}$  or in its complement. This implies that

both  $\{f(a) \mid \mathcal{M}' \models R(a), a \in |\mathcal{M}|\}$  and  $\{f(a) \mid \mathcal{M}' \not\models R(a), a \in |\mathcal{M}|\}$  are recursively enumerable, from which the result follows.

This concludes the proof of Theorem 2.

## 4 Conclusion

We gave a sufficient condition in terms of the Gaifman graph of the structure  $\mathcal{M}$  which ensures that  $\mathcal{M}$  is not maximal. A natural problem is to extend Theorem 2 to structures  $\mathcal{M}$  which do not satisfy condition (3). We currently investigate the case of labelled linear orderings, i.e. infinite structures  $(A; <, P_1, \dots, P_n)$  where  $<$  is a linear ordering over  $A$  and the  $P_i$ 's denote unary predicates; the Gaifman distance is trivial for these structures. Another related general problem is to find a way to refine the notion of Gaifman distance.

Finally, it would also be interesting to study the complexity gap between the decision procedure for the theory of  $\mathcal{M}$  and the one for the structure  $\mathcal{M}'$  constructed in the proof of Theorem 2.

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