ANALYTICAL MODULI FOR UNFOLDINGS OF SADDLE-NODE VECTOR FIELDS

CHRISTIANE ROUSSEAU ^{*}, LOÏC TEYSSIER [†]

^{*}Dpartement de Mathmatiques et de Statistique
Universit de Montral, Canada

[†]Institut de Recherche Mathmatique Avance
Universit Louis Pasteur, Strasbourg, France

ABSTRACT. In this paper we consider germs of k -parameter generic families of analytic 2-dimensional vector fields unfolding a saddle-node of codimension k and we give a complete modulus of analytic classification under orbital equivalence and a complete modulus of analytic classification under conjugacy. The modulus is an unfolding of the corresponding modulus for the germ of a vector field with a saddle-node. The point of view is to compare the family with a “model family” *via* an equivalence (conjugacy) over canonical sectors. This is done by studying the asymptotic homology of the leaves and its consequences for solutions of the cohomological equation.

This paper is dedicated to the memory of Adrien Douady.

1. INTRODUCTION

We consider germs of generic unfoldings of holomorphic vector fields Z_0 in $\mathbb{C}^2$ near an isolated singularity which is a saddle-node of codimension $k \in \mathbb{N}_{>0}$ (*i.e.* of multiplicity $k+1$). For such germs there exist polynomial normal forms under orbital equivalence (*resp.* conjugacy) but generically there exist no analytic change of coordinates to these normal forms: if we restrict to real variables in the case of real vector fields the change of coordinates is C^∞ in the case of a single vector field and only C^N for arbitrarily high N in the case of an unfolding.

A modulus space has been given for a single vector field by Martinet-Ramis [9] for the problem of orbital equivalence and by Teyssier [17] and Meshcheryakova-Voronin [10] for the problem of conjugacy ([10] treats the codimension 1 case). In both cases the modulus is functional and the modulus space is huge. In this paper we address the same problem for germs of families unfolding a germ of vector field with a saddle-node at the origin. We could complete the first part of the program. We prove a theorem allowing to prepare a family and we identify two complete moduli of analytic classification for prepared families: one under orbital equivalence and one under conjugacy. These moduli are unfoldings of the corresponding moduli for the associated germs of vector fields with a saddle node obtained by Martinet-Ramis in the orbital case and Teyssier and Meshcheryakova-Voronin for the conjugacy case. In each case the identification of the modulus space is still an open problem. Our approach enlightens why the modulus spaces for the case of a single vector field are so large. Indeed a saddle-node of codimension k is the confluence of $k+1$ simple singular points. Each singular point is an organizing locus for the space of leaves in its neighborhood. The space of leaves restricted to special domains (canonical sectors) have a rigid complex structure: they are parameterized by $\mathbb{C}$ with one special leaf, the “center leaf” parameterized by 0. Hence the only changes of parameterization of the space of leaves are the linear maps. In the global family these local spaces of leaves generically glue in a non trivial way. When this persists until the limit case where all $k+1$ singular points merge together this yields divergence of the normalizing change of coordinates for a single vector field with a saddle-node.

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The polynomial normal form for the family is what we can call the “model family”. We can bring the family into this form using a formal transformation near $(0, 0, 0) \in \mathbb{C}^{k+2}$. In the model family all spaces of leaves glue trivially, so the model family is too poor to encode all the rich dynamics of an arbitrary analytic family of vector fields. Hence there exists in general no analytic family of changes of coordinates (and time scalings in the case of orbital equivalence) to the model family. However there exist analytic families of changes of coordinates (and time scalings in the case of orbital equivalence) to the model family over canonical sectors. The modulus measures the obstruction to gluing the different changes of coordinates into a global change of coordinates.

There are at least two different approaches to the modulus of a single vector field with a saddle-node at the origin. The first approach by Martinet-Ramis [9] characterizes the vector field under orbital equivalence by identifying the divergence of the normalizing formal power series with a co-chain in the ring of summable power series, which in turn can be understood geometrically as a collection of transition diffeomorphisms between consecutive sectorial spaces of leaves. It turns out that these invariants coincide with the calle-Voronin invariants of the induced holonomy of the strong separatrix. Meshcheryakova-Voronin added the first-return time needed to compute the holonomy to identify classes under conjugacy for vector fields. The second approach, by Teyssier [17] uses the geometry of the leaves in the neighborhood of the saddle-node, which is described in terms of asymptotic homology. Both approaches could have been generalized (unfolded) to the family case. A treatment with the first approach would have been similar to [14] and [15]. We have chosen to use the second approach so as to enlighten the asymptotic homology of the leaves and the special geometry of the space of leaves. Solving the conjugacy problem is then equivalent to solving some cohomological equations.

For convenience we will locate the singularity of Z_0 at $(0, 0)$. An **unfolding** $(Z_\varepsilon)_\varepsilon$ of Z_0 is a germ of analytic family of analytic vector fields. It has a representative for $||\varepsilon|| < \rho$ and $(x, y) \in r\mathbb{D} \times r'\mathbb{D}$, where $\mathbb{D} := \{|z| < 1\} \subset \mathbb{C}$. We want to study the space of all such families or, more precisely, its quotient under the action of local changes of coordinates (and time scalings in the case of orbital classification).

The strategy is the following. We first “prepare” the family to a preliminary prenormal form and we identify for each family the “model family” to which it will be compared. In particular we show that in this prenormal form the parameters are analytic invariants and hence that any equivalence or conjugacy preserves the parameters. This allows to work for each fixed value of the parameter (but on a neighborhood of the singular point independent of the chosen parameter). We then determine canonical sectors over which the space of leaves has a canonical structure. Over each canonical sector we get an equivalence between the original family and the model family. An equivalence between any two families over a canonical sector is obtained by composing the equivalence of the first family to the model with the equivalence of the model to the second family. The modulus is the obstruction to gluing the equivalences to the model family over the canonical sectors into a global equivalence. If two families have the same modulus it is then possible to glue together the equivalences over canonical sectors into a global equivalence between the two families.

The program above requires first to study in detail the model family. This is started in Section 3 and finished in Section 4. These two parts are quite long, but are likely to be used in further work on the realization part. Because this preliminary part is long we have added a Section 2 with the statements of the results. In Section 5 we show how the k sectorial center manifolds of a saddle-node of codimension k unfold as k special leaves over k canonical sectors. In the model family these special leaves glue together as a global leaf; measuring the obstruction to a global gluing is the first part of the orbital modulus. In Section 6 we introduce the notion of asymptotic homology and we build the canonical sectors. In Section 7 we discuss solutions of the cohomological equation, as these will be the tool for the classification problem. In Section 8 we give a new proof of the Hukuhara-Kimura-Matuda sectorial normalization theorem together with a generalization to unfoldings restricted to canonical sectors. Sections 9, 10 and 11 contain the full definitions of the modulus of an analytic family under orbital equivalence and under conjugacy and the proof that the modulus is indeed a complete modulus of analytic classification. Section 12 contains questions for future research and applications.

We were precisely in the final stage of writing this paper when we learned the death of Adrien Douady. Clearly his heritage in the subject is immense. Although many people has conjectured the Stokes phenomena coming from k -summability to be the limits of transitions when all singular points of an unfolding were in the Poincar domain, no one knew how to deal with the Siegel direction. It is the visionary geometric ideas of Douady and the thesis of his student Lavaurs which opened the subject and the hope to derive complete invariants of analytic classification for germs of families of vector fields. We dedicate this paper to his memory.

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INDEX OF NOTATIONS

- k : a positive integer.
 $\mathbb{C}\{x_1, \dots, x_n\}$: the algebra of germs of holomorphic functions on $\mathbb{C}^n$ at $0 \in \mathbb{C}^n$.
 $X \cdot F$: the Lie derivative of the function F along the vector field X .
 $\varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1}) \in \mathbb{C}^k$: the **canonical multi-parameter** of a prepared unfolding, see Definition 3.6.
 $(P_\varepsilon)_\varepsilon$: the analytical family of polynomials of degree $k+1$ unfolding x^{k+1} , namely $P_\varepsilon(x) = x^{k+1} + \varepsilon_{k-1}x^{k-1} + \dots + \varepsilon_1x + \varepsilon_0$.
 Σ_0 : the semi-algebraic open set in ε -space defined by the condition that P_ε has $k+1$ distinct roots, see Section 4.1.
 $X_\varepsilon^M = P_\varepsilon \frac{\partial}{\partial x} + y(1 + a(\varepsilon)x^k) \frac{\partial}{\partial y}$: the orbital model family; $a \in \mathbb{C}\{\varepsilon\}$ is fixed once and for all. The singular set of the prepared vector field coincides with $P_\varepsilon^{-1}(0) \times \{0\}$. See Section 4.
 $Z_\varepsilon^M = Q_\varepsilon X_\varepsilon^M$: the **model family**; $Q_\varepsilon = C_{0,\varepsilon} + C_{1,\varepsilon}x + \dots + C_{k,\varepsilon}x^k$ with $C_{0,\varepsilon} \neq 0$ and $\varepsilon \mapsto C_{j,\varepsilon} \in \mathbb{C}\{\varepsilon\}$. See Section 4 and Theorem 3.3.
 $\tau_\varepsilon = \frac{dx}{P_\varepsilon}$: the canonical closed **time-form** associated to X_ε^M , that is $\tau_\varepsilon(X_\varepsilon^M) = 1$.
 $(X_\varepsilon)_\varepsilon$: a prepared unfolding with $\tau_\varepsilon(X_\varepsilon) = 1$. This means the family only unfolds the foliation defined by X_0 . We write (see Proposition 3.1 and Definition 3.2)

$$X_\varepsilon(x, y) = X_\varepsilon^M(x, y) + [P_\varepsilon(x)R_{0,\varepsilon}(x) + y^2R_{2,\varepsilon}(x, y)] \frac{\partial}{\partial y}.$$

- $(Z_\varepsilon)_\varepsilon = (U_\varepsilon X_\varepsilon)_\varepsilon$: a **prepared unfolding** with $(U_\varepsilon)_\varepsilon \in \mathbb{C}\{x, y, \varepsilon\}$ and $U_\varepsilon = Q_\varepsilon + O(P_\varepsilon(x)) + O(y)$ where $\text{GCD}(Q_\varepsilon, P_\varepsilon) = 1$. The function U_ε is called the **time part** of Z_ε , whereas X_ε is the **orbital part**. The modulus of the orbital part is analyzed on $R_\varepsilon(x, y) = P_\varepsilon(x)R_{0,\varepsilon}(x) + y^2R_{2,\varepsilon}(x, y)$.
 r, r', ρ : the radii of the open domain $r\mathbb{D} \times r'\mathbb{D} \times \{||\varepsilon|| \leq \rho\}$ considered in (x, y, ε) -space. Here $||\varepsilon|| := \max(|\varepsilon_0|^{1/(k+1)}, \dots, |\varepsilon_{k-1}|^{1/2})$ and $\mathbb{D} = \{\omega \in \mathbb{C} : |\omega| < 1\}$. What we mean by $\{||\varepsilon|| \leq \rho\}$ is $\{||\varepsilon|| < \rho'\}$ for some $\rho' > \rho$.
 j : an element of $\mathbb{Z}/k$.
 $V_{j,\varepsilon}^\#$: a **squid-sector** in the x -variable. Here $\#$ may be $+, -, s$ or n . See Definition 4.15 and Lemma 4.17.
 $p_{j,n}, p_{j,s}$ (or $p_{j,n}^\pm, p_{j,s}^\pm$): the singular points of Z_ε over the closure of a sector $V_{j,\varepsilon}^\#$. Here “ n ” and “ s ” stand for “node type” and “saddle type” in the generic case $\varepsilon \in \Sigma_0$. See Definition 4.21.
 σ : the one-to-one correspondence associating to a sector $V_{j,\varepsilon}^+$ a sector $V_{\sigma(j),\varepsilon}^-$, where $V_{j,\varepsilon}^+$ and $V_{\sigma(j),\varepsilon}^-$ share the same singular points of saddle and node type. (See Lemma 4.9 and (4.9).)
 $V_{j,\sigma(j),\varepsilon}^g$ or $V_{j,\varepsilon}^g$: the **gate sector** which is the intersection of two non consecutive squid sectors $V_{j,\varepsilon}^+$ and $V_{\sigma(j),\varepsilon}^-$ sharing the same singular points $p_{j,n}^+ = p_{\sigma(j),n}^-$ and $p_{j,s}^+ = p_{\sigma(j),s}^-$.
 $\mathcal{V}_{j,\varepsilon}^\#$: the **canonical sector** of the foliation corresponding to $V_{j,\varepsilon}^\#$, obtained by considering all points $(\bar{x}, \bar{y}) \in V_{j,\varepsilon}^\# \times r'\mathbb{D}$ which can be linked to the singular point $p_{j,n}$ by a tangent asymptotic path. See Theorem 6.4 and Definition 6.5.
 $H_{j,\varepsilon}^\pm$: the corresponding **canonical first integral** over $\mathcal{V}_{j,\varepsilon}^\pm$ whose level sets coincide with the leaves of the foliation induced by Z_ε over $\mathcal{V}_{j,\varepsilon}^\pm$, see Definition 8.6.

$\gamma_{j,\varepsilon}^s(p)$: an **asymptotic path** passing through $p \in \mathcal{V}_{j,\varepsilon}^s$ linking $p_{j,n}$ and $p_{j+1,n}$. The upcoming analytic invariants of the family will be obtained as integrals over these asymptotic paths. See Definition 6.8.

$\{W_i\}_{1 \leq i \leq d}$: an open finite covering of Σ_0 with good sectors. See Definition 4.13.

$\mathcal{O}_b(W)$: the set of functions $f : \overline{W} \rightarrow \mathbb{C} \setminus \{0\}$ which are continuous on the closure $\overline{W}$ of a good sector $W \subset \Sigma_0$ and analytic on W .

$\mathcal{N}_\varepsilon^i = (a, \psi_{0,\varepsilon}^{\infty,i}, \dots, \psi_{k-1,\varepsilon}^{\infty,i}, \phi_{0,\varepsilon}^{0,i}, \dots, \phi_{k-1,\varepsilon}^{0,i})$:

it is defined for $\varepsilon \in W_i$. The d -uple $\{\mathcal{N}_\varepsilon^i\}_{1 \leq i \leq d}$ forms the **orbital part of the modulus** associated to $(X_\varepsilon)_\varepsilon$, which provides a complete set of invariants of the unfolding under orbital equivalence. The $\psi_{j,\varepsilon}^{\infty,i}$ are affine maps and $\phi_{j,\varepsilon}^{0,i} \in \mathbb{C}\{h\}$ with $\phi_{j,\varepsilon}^{0,i}(0) = 0$. They correspond to changes of coordinate in the space of leaves over the intersections $\mathcal{V}_{j,\varepsilon}^n$ and $\mathcal{V}_{j,\varepsilon}^s$ respectively. See Section 9.

$\mathcal{T}_\varepsilon^i = (C_{0,\varepsilon}, \dots, C_{k,\varepsilon}, \zeta_{0,\varepsilon}^i, \dots, \zeta_{k-1,\varepsilon}^i, \varepsilon)$:

it is defined for $\varepsilon \in W_i$. The collection $\{\mathcal{T}_\varepsilon^i\}_{1 \leq i \leq d}$ forms the **time part of the modulus** associated to $(Z_\varepsilon)_\varepsilon$. Together with $a(\varepsilon)$ and $\{\mathcal{N}_\varepsilon^i\}_{1 \leq i \leq d}$, it provides a complete set of invariants of the unfolding under conjugacy. The $C_{j,\varepsilon}$ are simply the coefficients of the polynomial Q_ε whereas the $\zeta_{j,\varepsilon}^i \in \mathbb{C}\{h\}$ represent time scalings over $\mathcal{V}_{j,\varepsilon}^s$. See Section 10.

2. STATEMENT OF RESULTS

This section is informal. For more precise statements and definitions we refer to the corresponding sections indicated between parentheses.

Our main goal is to provide invariants for classification of germs of an analytic family $(Z_\varepsilon)_\varepsilon$ under both orbital equivalence and conjugacy. Let us define these terms.

Definition 2.1. (see Section 11)

- (1) Two analytic vector fields (*resp.* germs of analytic vector fields) X and Y are **conjugate** if there exists an analytic diffeomorphism (*resp.* a germ of analytic diffeomorphism) Ψ such that $\Psi^*X = Y$, that is $X \circ \Psi = D\Psi(Y)$.
- (2) X and Y are **orbitally equivalent** under Ψ if there exists an analytic non-vanishing function (*resp.* germ) U such that X and UY are conjugate under Ψ . Equivalently this means that the image by Ψ of any integral curve of X is an integral curve of Y . We also speak of **equivalence** of the underlying foliations.
- (3) Two analytic families (*resp.* germs of analytic families of vector fields) $(Z_\varepsilon)_\varepsilon$ and $(\bar{Z}_{\bar{\varepsilon}})_{\bar{\varepsilon}}$ are conjugate (*resp.* orbitally equivalent) by a change of coordinates and parameters if there exists an analytic diffeomorphism (*resp.* germ of analytic diffeomorphism) $(x, y, \varepsilon) \mapsto (\Psi_\varepsilon(x, y), \varphi(\varepsilon))$ such that
 - (a) $\bar{\varepsilon} = \varphi(\varepsilon)$
 - (b) for fixed ε the vector fields Z_ε and $\bar{Z}_{\bar{\varepsilon}}$ are conjugate (*resp.* orbitally equivalent) under Ψ_ε .

2.1. Preparation. In order to study the analytic classification of families unfolding a saddle-node it is necessary to “prepare them”, so that the singular points are located on the x -axis and their eigenvalues easily computed from the prepared form.

The following preparation theorem is proved :

Preparation Theorem. (see Section 3) *A representative of a germ of analytic k -parameter family of vector fields unfolding a saddle-node of codimension k is conjugate by an analytic change of coordinates and parameters over a neighborhood of the origin in $\mathbb{C}^{2+k}$ to a family of the prepared form*

$$(2.1) \quad Z_\varepsilon = U_\varepsilon X_\varepsilon$$

where

$$(2.2) \quad X_\varepsilon(x, y) = P_\varepsilon(x) \frac{\partial}{\partial x} + (P_\varepsilon(x) R_{0,\varepsilon}(x) + y(1 + a(\varepsilon)x^k) + y^2 R_{2,\varepsilon}(x, y)) \frac{\partial}{\partial y},$$

$$U_\varepsilon(x, y) = Q_\varepsilon(x) + P_\varepsilon(x) q_\varepsilon(x) + O(y),$$

$\varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1})$ is a multi-parameter and

$$\begin{cases} P_\varepsilon(x) = x^{k+1} + \varepsilon_{k-1}x^{k-1} + \dots + \varepsilon_1x + \varepsilon_0 \\ Q_\varepsilon(x) = C_{0,\varepsilon} + C_{1,\varepsilon}x + \dots + C_{k,\varepsilon}x^k. \end{cases}$$

Here $\varepsilon \mapsto a(\varepsilon)$, $\varepsilon \mapsto C_{j,\varepsilon}$, $(x, \varepsilon) \mapsto q_\varepsilon(x)$, $(x, \varepsilon) \mapsto R_{0,\varepsilon}(x)$ and $(x, y, \varepsilon) \mapsto R_{2,\varepsilon}(x, y)$ are germs of holomorphic function and $\text{GCD}(P_\varepsilon, Q_\varepsilon) = 1$. If $\varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1})$ and $\bar{\varepsilon} = (\bar{\varepsilon}_0, \dots, \bar{\varepsilon}_{k-1})$ we define the equivalence relation over couples (ε, a) with $a \in \mathbb{C} \setminus \{\varepsilon\}$:

$$(\varepsilon, a) \sim (\bar{\varepsilon}, \bar{a}) \iff (\forall j) \bar{\varepsilon}_j = \exp(-2\pi i m(j-1)/k) \varepsilon_j \quad \text{and} \quad a(\varepsilon) = \bar{a}(\bar{\varepsilon}).$$

Then $(\varepsilon, a) / \sim$ is an analytic invariant.

This allows to define the model family

$$(2.3) \quad Z_\varepsilon^M := Q_\varepsilon X_\varepsilon^M$$

with

$$(2.4) \quad X_\varepsilon^M(x, y) := P_\varepsilon(x) \frac{\partial}{\partial x} + y(1 + a(\varepsilon)x^k) \frac{\partial}{\partial y}.$$

Remark 2.2. The germs $\varepsilon \mapsto a(\varepsilon)$, $\varepsilon \mapsto C_{0,\varepsilon}$, ..., $\varepsilon \mapsto C_{k,\varepsilon}$ are the formal invariants (they are invariant under formal changes of coordinates in (x, y, ε) fibered in the parameter). We can explain their presence in the following way. When $k + 1$ singular points merge in a saddle-node of codimension k we could expect that all combinations of eigenvalues (λ_i, μ_i) would be permitted. As there are only k parameters ε_i , the other degrees of freedom are provided by the formal invariants. In the case of orbital equivalence it is not the eigenvalues that are relevant but only their quotients: there are $k + 1$ of these, hence the presence of the formal parameter $a(\varepsilon)$. Of course not all combinations are possible in a given family, but the class of families allows for all possibilities. In the conjugacy case there are $2(k + 1)$ eigenvalues, so we need to add the $k + 1$ additional degrees of freedom with the constants $C_{j,\varepsilon}$.

In the case $k = 1$, $a(\varepsilon)$ allows for a shift between the quotient of the eigenvalues at the singular points, one being not necessarily the inverse of the other. Two additional constants $C_{0,\varepsilon}$ and $C_{1,\varepsilon}$ allow to determine λ_0 and λ_1 , from which μ_0 and μ_1 can be found.

2.2. Sectorial decomposition and study of the model. See Section 4. The general purpose is to describe the family of vector fields on a fixed neighborhood $r\mathbb{D} \times r'\mathbb{D}$ of the origin in (x, y) -space for all values of the parameters in a fixed neighborhood $\{||\varepsilon|| \leq \rho\}$ of the origin in parameter space. In the whole paper we will suppose that ρ is sufficiently small so that the $k + 1$ singular points coming from the unfolding of the saddle-node remain in $r\mathbb{D} \times r'\mathbb{D}$. We will also suppose that ρ is sufficiently small so that the whole study is valid on a domain $\{||\varepsilon|| < \rho'\}$ with $\rho < \rho'$. The reason for this is that we want to introduce a conic structure on ε -space from a partition of the sphere $\{||\varepsilon|| = \rho\}$. In practice we will simply write $||\varepsilon|| \leq \rho$.

The idea is to work with generic ε for which P_ε has distinct zeroes and to use the boundedness of the construction to fill the holes for the other values of ε . If Σ_0 is the set of generic ε in a ball of radius ρ where the discriminant of P_ε does not vanish, then we give a finite covering $\{W_i\}_{1 \leq i \leq d}$ of Σ_0 with “sectors” W_i , such that a uniform treatment can be done over each W_i (yielding analytic objects with respect to ε) and the treatments over different sectors have the same limit for $\varepsilon = 0$.

For a fixed ε in a given sector W_i we divide the phase space minus the strong separatrices as the union of $2k$ simply connected domains of the form $V \times r'\mathbb{D}$, where V is a spiraling sector in x -space, which we call “squid sector”. Roughly speaking a good sector W_i is defined by the condition that the length of the spiral is uniformly bounded. The union of the squid sectors and the singular points is a ball $r\mathbb{D}$ in x -space. The construction of the sectors V is greatly inspired by the work of Douady and Sentenac [2]. Each sector is associated to a sector of the boundary of $r\mathbb{D}$. We expect this construction to be useful for other problems of moduli of analytic classification, for instance the problem of the classification of a codimension k parabolic fixed point of a diffeomorphism.

In this partition process, each squid sector V is adherent to two singular points, one of “node type” (all leaves over the sector are asymptotic to the point) and one of “saddle type” (a unique leaf is asymptotic to the point over the sector). Note that for ε in different sectors W_i and for the same sector of the boundary of $r\mathbb{D}$ we obtain in general different families of adherent singular points of node and saddle types. As noted by Douady and Sentenac, the construction could be generalized to the case of multiple points ($\varepsilon \notin \Sigma_0$). In that case the two adherent singular points of a squid sector of saddle and node type could be saddle-nodes (and even the same saddle-node), but then only a saddle sector or a node sector of the saddle-node(s) is included in the squid sector V .

Because of the preparation theorem we have the same squid sectors for a prepared family and for the associated model family. We prove a sectorial normalization theorem which is the generalization (an unfolding) of the theorem of Hukuhara-Kimura-Matuda and show that over a sector V the foliation is biholomorphic to the model restricted to the same V (the size of the disk $r'\mathbb{D}$ in y -coordinate has to be adjusted a little). We then show that over these sectors the space of leaves of the model vector

field and of the original vector field are $\mathbb{C}$. This allows to define almost rigid coordinates on them, the leaf-coordinates.

We also show the existence of a marked leaf over each squid sector, corresponding to the weak separatrix of the saddle point attached to the sector (see Section 5). These leaves are called center manifolds, a name justified by the fact that for $\varepsilon = 0$ they indeed coincide with a sectorial center manifold. The leaf-coordinates are adjusted so as to vanish on the center manifolds.

2.3. The moduli of analytic classification. For a given good sector W_i in parameter space and the associated squid sectors V in x -space we compare the leaf-coordinates by means of diffeomorphisms on the intersection of two domains of the form $V \times r'\mathbb{D}$, which will allow to define the modulus for a given $\varepsilon \in W_i$. The connected components of the intersection of two such domains can be of three forms: a sector V^s adherent to a point of saddle type, a sector V^n adherent to a point of node type and a sector V^g (for gate) adherent to both. Over the sectors V^g the change of leaf-coordinates is linear. Over the sector V^s the space of leaves is biholomorphic to a disk and the changes of leaf-coordinates are diffeomorphisms of the form $h \mapsto h \exp(\phi^{0,i}(h))$, with $\phi^{0,i} \in \mathbb{C}\{h\}$ vanishing at 0. As there are k sectors this yields k analytic germs $\phi_{0,\varepsilon}^{0,i}, \dots, \phi_{k-1,\varepsilon}^{0,i}$. Over the sectors V^n the changes of leaf-coordinates are given by affine maps ψ^∞ , corresponding in particular to changes of center manifolds. Again there are k such affine maps $\psi_{0,\varepsilon}^{\infty,i}, \dots, \psi_{k-1,\varepsilon}^{\infty,i}$. These maps are defined up to the choice of leaf-coordinates on each sector, *i.e.* up to linear changes of coordinates. We choose convenient leaf-coordinates for which the derivative at 0 of $\psi_{j,\varepsilon}^{\infty,i}$ is $e^{2i\pi a(\varepsilon)/k}$. This condition forces the possible changes of leaf-coordinates to be of the special form $h_{j,\varepsilon} \mapsto c_\varepsilon h_{j,\varepsilon}$ with $c_\varepsilon \in \mathbb{C}_{\neq 0}$ independent on j . On a good sector W_i it is possible to choose the leaf-coordinates so as to depend analytically on $\varepsilon \in W_i$ with continuous limit at $\varepsilon = 0$. Then the $\phi_{j,\varepsilon}^{0,i}$ and the $\psi_{j,\varepsilon}^{\infty,i}$ can be chosen depending analytically on $\varepsilon \in W_i$ with continuous limit at $\varepsilon = 0$. We will always limit ourselves to this choice. So it is natural to limit the changes of leaf-coordinates c_ε to belong to the set of functions

$$(2.5) \quad \mathcal{O}_b(W_i) = \{f \in C^0(\overline{W}_i, \mathbb{C}_{\neq 0}) : f \text{ analytic on } W_i\},$$

where $\overline{W}_i$ is the (topological) closure of W_i . Besides a recent result of J. Ribón [12] shows this requirement is necessary : two families of foliations are conjugate if, and only if, for fixed ε the two corresponding foliations are conjugate on a polydisk of size independent on ε .

This allows to state the theorem giving the modulus of analytic classification. Let us define for any good sector W_i

$$\mathcal{N}_\varepsilon^i := \left(a, \psi_{0,\varepsilon}^{\infty,i}, \dots, \psi_{k-1,\varepsilon}^{\infty,i}, \phi_{0,\varepsilon}^{0,i}, \dots, \phi_{k-1,\varepsilon}^{0,i} \right)$$

and the equivalence relation

$$\begin{aligned} \mathcal{N}_\varepsilon^i \sim \overline{\mathcal{N}}_{\overline{\varepsilon}}^i &\iff (\varepsilon, a) \sim (\overline{\varepsilon}, \overline{a}) \text{ and for the same } m \in \mathbb{Z}/k : \\ &(\exists c_\varepsilon^i \in \mathcal{O}_b(W_i)) (\forall j, h) \begin{cases} \psi_{j+m,\varepsilon}^{\infty,i}(c_\varepsilon^i h) &= c_\varepsilon^i \overline{\psi}_{j,\overline{\varepsilon}}^{\infty,i}(h) \\ \phi_{j+m,\varepsilon}^{0,i}(c_\varepsilon^i h) &= \overline{\phi}_{j,\overline{\varepsilon}}^{0,i}(h) \end{cases} \end{aligned}$$

In the work of Martinet-Ramis the modulus for orbital equivalence of X_0 corresponds to some $\mathcal{N}_0$ satisfying the same properties.

Theorem I. (see Section 9) *The d families of equivalence classes of $(2k+1)$ -tuples $\{\mathcal{N}_\varepsilon^i / \sim\}_{\varepsilon \in W_i}$, $1 \leq i \leq d$ form a complete modulus of analytic classification under orbital equivalence for a prepared family $(X_\varepsilon)_\varepsilon$ given in (2.2). If $W_i \subset \Sigma_0$ is a good sector then $\mathcal{N}_\varepsilon^i$ can be chosen bounded and holomorphic with respect to $\varepsilon \in W_i$ and such that its limit for $\varepsilon \rightarrow 0$ is a fixed $\mathcal{N}_0$ independent of the sector W_i .*

The modulus of analytic classification under conjugacy is composed of the modulus under orbital equivalence plus a time part. The time part is formed of the coefficients $C_{j,\varepsilon}$ plus analytic functions

$\zeta_{j,\varepsilon}^i \in \mathbb{C}\{h\}$. As before the latter functions measure the obstructions to glue together the transformations of the system to the model over the squid sectors. The only obstructions appear on the sectors V^s . We then build the modulus for $\varepsilon \in W_i$

$$T_\varepsilon^i := (C_{0,\varepsilon}, \dots, C_{k,\varepsilon}, \zeta_{0,\varepsilon}^i, \dots, \zeta_{k-1,\varepsilon}^i)$$

and extend the equivalence relation $\sim$ to couples

$$(\mathcal{N}_\varepsilon^i, T_\varepsilon^i) \sim (\overline{\mathcal{N}}_\varepsilon^i, \overline{T}_\varepsilon^i) \iff \mathcal{N}_\varepsilon^i \sim \overline{\mathcal{N}}_\varepsilon^i \text{ and for the same } c_\varepsilon^i \text{ and } m : \\ (\forall j, h) \begin{cases} C_{j,\varepsilon} e^{2i\pi m j/k} &= \overline{C}_{j,\varepsilon} \\ \zeta_{j+m,\varepsilon}^i(c_\varepsilon^i h) &= \overline{\zeta}_{j,\varepsilon}^i(h). \end{cases}$$

Note that for $\varepsilon = 0$ the modulus of conjugacy of Teyssier and Mershcheryakova-Voronin for the vector field Z_0 satisfies the same kind of properties.

This yields the theorem:

Theorem II. (see Section 10) *The d families of equivalence classes of $(4k+2)$ -tuples $\{(\mathcal{N}_\varepsilon^i, T_\varepsilon^i) / \sim\}_{\varepsilon \in W_i}$, $1 \leq i \leq d$ is a complete modulus of analytic classification under conjugacy for a prepared family Z_ε given in (2.1). If $W_i \subset \Sigma_0$ is a good sector then $(\mathcal{N}_\varepsilon^i, T_\varepsilon^i)$ can be chosen bounded and holomorphic with respect to $\varepsilon \in W_i$ and such that the limit for $\varepsilon \rightarrow 0$ is a fixed $(\mathcal{N}_0, T_0)$ independent of the sector W_i .*

2.4. The cohomological equation. See Section 7. The tool to prove Theorems I and II is the solution of a cohomological equation, namely to find a family of functions $(F_\varepsilon)_\varepsilon$ such that

$$X_\varepsilon \cdot F_\varepsilon = G_\varepsilon,$$

where $(G_\varepsilon)_\varepsilon$ is an analytic family of functions and $X_\varepsilon \cdot F_\varepsilon$ is the Lie derivative of F_ε along the vector field X_ε . We solve such an equation over the sectors $V \times r'\mathbb{D}$: the solution F_ε is given by the integration of $G_\varepsilon \tau_\varepsilon$ on asymptotic paths with starting point at the singular point of node type. The difference of two sectorial solutions over non-void intersections is a first integral of X_ε and thus is constant on leaves. This allows to write the obstructions to a global solution as functions of the leaf-coordinates over the intersections V^s , V^n and V^g .

To bring the system X_ε of (2.2) to the model (2.4) over $V \times r'\mathbb{D}$ we must bring the weak invariant manifold of the point of saddle type to the horizontal axis $y = 0$. We then use a change of coordinates preserving $y = 0$ in the form of the flow of $y \frac{\partial}{\partial y}$ for some time N_ε . This time N_ε is found as a solution of a first cohomological equation of the form

$$X_\varepsilon \cdot N_\varepsilon = \tilde{R}_\varepsilon$$

for an appropriate function $\tilde{R}_\varepsilon$.

As for bringing the system $Z_\varepsilon = U_\varepsilon X_\varepsilon$ of (2.1) to the model (2.4) over $V \times r'\mathbb{D}$ we compose the previous change of coordinates with a change of coordinates taking care of the time part. This change of coordinates is given by the flow of the vector field $Q_\varepsilon X_\varepsilon$ for some time T_ε . The time T_ε is the solution of a second cohomological equation of the form

$$X_\varepsilon \cdot T_\varepsilon = \frac{1}{U_\varepsilon} - \frac{1}{Q_\varepsilon}.$$

3. PREPARATION OF THE FAMILY

This section deals with a germ of analytic family unfolding a germ of saddle-node of codimension $k \in \mathbb{N}_{\neq 0}$. We consider a germ of generic (to be defined below) analytic k -parameter family unfolding a germ of vector field with a saddle-node of codimension k . It is known that, up to a local analytic change of coordinates, a representative of the germ of vector field can be taken in Dulac's prenormal form

$$(3.1) \quad \begin{aligned} Z_0 &:= U_0 X_0 \\ X_0(x, y) &:= x^{k+1} \frac{\partial}{\partial x} + (y(1 + ax^k) + x^{k+1} R(x, y)) \frac{\partial}{\partial y} \end{aligned}$$

with $U_0(x, y) = C_0 + C_1x + \dots + C_kx^k + O(x^{k+1}) + O(y)$ and $a, C_j \in \mathbb{C}$ with $C_0 \neq 0$.

We consider an unfolding

$$(3.2) \quad Z_0(x, y) + H_1(x, y, \eta) \frac{\partial}{\partial x} + H_2(x, y, \eta) \frac{\partial}{\partial y}$$

where $H_j(x, y, \eta) = O(\eta)$ is a germ at $(0, 0, 0)$ of a holomorphic function and $\eta = (\eta_0, \dots, \eta_{k-1})$ is a multi-parameter in a neighborhood of 0 in $\mathbb{C}^k$. We make the change of coordinates

$$(\tilde{x}, \tilde{y}) := (x, (y(1 + ax^k) + x^{k+1}R(x, y))U_0(x, y) + H_2(x, y, \eta)).$$

Then all singular points occur on $\tilde{y} = 0$. Given that the origin of (3.1) is of multiplicity k there are $k + 1$ small singular points of (3.2) on $\tilde{y} = 0$. *Modulo* a translation in the variable $\tilde{x}$ we can suppose that they are roots of

$$(3.3) \quad P_\varepsilon(\tilde{x}) := \tilde{x}^{k+1} + \varepsilon_{k-1}\tilde{x}^{k-1} + \dots + \varepsilon_1\tilde{x} + \varepsilon_0.$$

The family is **generic** if the change of parameters $(\eta_0, \dots, \eta_{k-1}) \mapsto (\varepsilon_0, \dots, \varepsilon_{k-1})$ is an analytic isomorphism in a neighborhood of the origin. Then the family of vector fields has the form

$$(3.4) \quad (P_\varepsilon(\tilde{x})h_1(\tilde{x}, \varepsilon) + \tilde{y}h_3(\tilde{x}, \tilde{y}, \varepsilon)) \frac{\partial}{\partial \tilde{x}} + (P_\varepsilon(\tilde{x})h_2(\tilde{x}, \varepsilon) + \tilde{y}k_1(\tilde{x}, \tilde{y}, \varepsilon)) \frac{\partial}{\partial \tilde{y}}$$

with $h_1(0, 0)k_1(0, 0, 0) \neq 0$, for some $h_1, h_2 \in \mathbb{C}\{\tilde{x}, \varepsilon\}$ and $h_3, k_1 \in \mathbb{C}\{\tilde{x}, \tilde{y}, \varepsilon\}$.

It is possible to adapt the technique of Glutsyuk and straighten all strong manifolds of singular points uniformly on a neighborhood of the origin.

Proposition 3.1. *There exists an analytic change of coordinates $(\tilde{x}, \tilde{y}) \mapsto (x, y)$ on a neighborhood of the origin in $\mathbb{C}^2$ depending holomorphically on ε for ε in a neighborhood of the origin and conjugating the vector field (3.4) to*

$$(3.5) \quad Z_\varepsilon = U_\varepsilon X_\varepsilon$$

where

$$(3.6) \quad X_\varepsilon(x, y) = P_\varepsilon(x) \frac{\partial}{\partial x} + (P_\varepsilon(x)R_{0,\varepsilon}(x) + y(1 + a(\varepsilon)x^k) + y^2R_{2,\varepsilon}(x, y)) \frac{\partial}{\partial y}$$

and

$$(3.7) \quad U_\varepsilon(x, y) = Q_\varepsilon(x) + O(P_\varepsilon(x)) + O(y),$$

with

$$(3.8) \quad Q_\varepsilon(x) := C_{0,\varepsilon} + C_{1,\varepsilon}x + \dots + C_{k,\varepsilon}x^k.$$

Here $\varepsilon \mapsto a(\varepsilon)$, $\varepsilon \mapsto C_{j,\varepsilon}$, $(x, \varepsilon) \mapsto R_{0,\varepsilon}(x)$, $(x, y, \varepsilon) \mapsto U_\varepsilon(x, y)$ and $(x, y, \varepsilon) \mapsto R_{2,\varepsilon}(x, y)$ are germs of holomorphic functions at the origin. Moreover $\text{GCD}(Q_\varepsilon, P_\varepsilon) = 1$, which in particular means $C_{0,0} \neq 0$ when $\varepsilon = 0$.

Proof. The proof contains several steps. For the first step we write (3.4) as $h_1(\tilde{x}, \varepsilon)\hat{X}_\varepsilon$. Then

$$(3.9) \quad \hat{X}_\varepsilon(\tilde{x}, \tilde{y}) = (P_\varepsilon(\tilde{x}) + \tilde{y}h_4(\tilde{x}, \tilde{y}, \varepsilon)) \frac{\partial}{\partial \tilde{x}} + (P_\varepsilon(\tilde{x})h_3(\tilde{x}, \varepsilon) + \tilde{y}(1 + O(\tilde{x}, \tilde{y}, \varepsilon))) \frac{\partial}{\partial \tilde{y}}$$

where $h_3, h_4 \in \mathbb{C}\{\tilde{x}, \tilde{y}, \varepsilon\}$ and we look for a change of coordinates for $\hat{X}_\varepsilon$ straightening simultaneously all separatrices. We consider a small neighborhood W of the origin in ε -space and the open subset Σ_0 of generic ε such that the discriminant of P_ε does not vanish. Then P_ε has $k + 1$ distinct roots $x_0(\varepsilon), \dots, x_k(\varepsilon)$. There exists a neighborhood V_y of the origin in $\tilde{y}$ -space, independent of ε , such that the strong manifold of $(x_j, 0)$ is given by $\tilde{x} = F_j(\tilde{y})$ over V_y . The proof of that later fact is done as in [3]. The idea is the following: we consider the cones $K_j = \{(\tilde{x}, \tilde{y}) : |\tilde{y}| \geq |\tilde{x} - x_j(\varepsilon)|\}$. On such a cone we have $|\frac{dy}{dx}| > 1$ for ε sufficiently small (as $|\dot{\tilde{x}}| < 1/2|\tilde{x} - x_j(\varepsilon)|$ and $|\dot{\tilde{y}}| > 1/2|\tilde{x} - x_j(\varepsilon)|$ for $(\tilde{x}, \tilde{y})$ in a small neighborhood of the origin and ε sufficiently small). If $\chi(t) := |\tilde{y}(t)|$ we also have that $\dot{\chi} > \frac{1}{2}\chi > 0$. As the local invariant manifold is given by $\tilde{x} = F_j(\tilde{y}) = x_j(\varepsilon) + c(\varepsilon)\tilde{y} + o(\tilde{y})$, with

F_j analytic and $c(\varepsilon)$ small, then the graph of F_j is contained in the cone K_j for small $\tilde{y}$. Now the extension of the invariant manifold is the union of all real trajectories with positive time starting on points $(F_j(\delta e^{i\theta}), \delta e^{i\theta})$ for $\theta \in [0, 2\pi]$ and $\delta > 0$ small. These trajectories remain in K_j , so there is no obstruction to extend the graph of F_j to a fixed neighborhood V_y . The straightening change of

coordinates is then given by $(\tilde{x}, \tilde{y}) \mapsto (G(\tilde{x}, \tilde{y}, \varepsilon), \tilde{y}) = (\hat{x}, \tilde{y})$ where

$$(3.10) \quad G(\tilde{x}, \tilde{y}, \varepsilon) := \sum_{j=0}^k x_j(\varepsilon) \prod_{l \neq j} \frac{\tilde{x} - F_l(\tilde{y})}{F_j(\tilde{y}) - F_l(\tilde{y})}.$$

It is holomorphic for $(\tilde{x}, \varepsilon)$ small and $\tilde{y} \in V_y$. The holomorphy in ε follows from the invariance under permutations of the x_j . Moreover it has a holomorphic extension to $\Sigma_0 \cup \Sigma_1$ where Σ_1 is the set of ε for which $P_\varepsilon(x)$ has exactly one double root. Indeed $G(\tilde{x}, \tilde{y}, \varepsilon)$ is given by the following formula:

$$(3.11) \quad G(\tilde{x}, \tilde{y}, \varepsilon) = \frac{\begin{vmatrix} 0 & 1 & \tilde{x} & \dots & \tilde{x}^k \\ x_0(\varepsilon) & 1 & F_0(\tilde{y}) & \dots & F_0^k(\tilde{y}) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_k(\varepsilon) & 1 & F_k(\tilde{y}) & \dots & F_k^k(\tilde{y}) \end{vmatrix}}{\begin{vmatrix} 1 & F_0(\tilde{y}) & \dots & F_0^k(\tilde{y}) \\ \vdots & \vdots & \ddots & \vdots \\ 1 & F_k(\tilde{y}) & \dots & F_k^k(\tilde{y}) \end{vmatrix}}.$$

From now on we write x_j for $x_j(\varepsilon)$. If $\bar{\varepsilon} \in \Sigma_1$ and x_0 and x_1 coalesce as $\varepsilon \rightarrow \bar{\varepsilon}$, then the limit of $G(\tilde{x}, \tilde{y}, \varepsilon)$ exists provided that $\lim_{\varepsilon \rightarrow \bar{\varepsilon}} \frac{F_0(\tilde{y}) - F_1(\tilde{y})}{x_0 - x_1}$ exists. This can be seen by subtracting the row corresponding to x_0 to the row corresponding to x_1 , both in the numerator and the denominator of (3.11), and then by dividing both the numerator and the denominator by $x_0 - x_1$, thus removing the indeterminacy. Here we have $\lim_{\varepsilon \rightarrow \bar{\varepsilon}} \frac{F_0(\tilde{y}) - F_1(\tilde{y})}{x_0 - x_1} = 0$. The latter has been proved by Glutsyuk [3]. It comes from showing that $\lim_{\varepsilon \rightarrow \bar{\varepsilon}} F_j'(\tilde{y}) = 0$, $j = 0, 1$, uniformly over V_y . Let us take the case $j = 1$. The details are as follows. Without loss of generality we can suppose that one separatrix, for instance that of x_0 , has been straightened to $F_0(\tilde{y}) \equiv x_0$, which implies that $h_4(\tilde{x}, \tilde{y}, \varepsilon) = (\tilde{x} - x_0)h_5(\tilde{x}, \tilde{y}, \varepsilon)$ in (3.9). To prove that $\lim_{\varepsilon \rightarrow \bar{\varepsilon}} F_1'(\tilde{y}) = 0$, Glutsyuk proves that $\lim_{\varepsilon \rightarrow \bar{\varepsilon}} \frac{F_1'(\tilde{y})}{F_1(\tilde{y}) - x_0}$ is bounded.

$$(3.12) \quad \begin{aligned} F_1'(\tilde{y}) &= \left. \frac{d\tilde{x}}{d\tilde{y}} \right|_{\tilde{x}=F_1(\tilde{y})} \\ &= \frac{(F_1(\tilde{y}) - x_0)(F_1(\tilde{y}) - x_1) \prod_{k \neq 0,1} (F_1(\tilde{y}) - x_k) + \tilde{y}(F_1(\tilde{y}) - x_0)h_5(\tilde{x}, \tilde{y}, \varepsilon)}{\tilde{y}(1 + O(|F_1(\tilde{y}), \tilde{y}, \varepsilon|)) + P_\varepsilon(F_1(\tilde{y}))h_3(F_1(\tilde{y}), \varepsilon)}, \end{aligned}$$

with $h_5 \in \mathbb{C}\{\tilde{x}, \tilde{y}\}$ depending continuously on ε . Then

$$(3.13) \quad \frac{F_1'(\tilde{y})}{F_1(\tilde{y}) - x_0} = \frac{\frac{F_1(\tilde{y}) - x_1}{\tilde{y}} \prod_{k \neq 0,1} (F_1(\tilde{y}) - x_k) + h_5(\tilde{x}, \tilde{y}, \varepsilon)}{1 + O(|F_1(\tilde{y}), \tilde{y}, \varepsilon|) + \frac{F_1(\tilde{y}) - x_1}{\tilde{y}} \prod_{k \neq 1} (F_1(\tilde{y}) - x_k)h_3(F_1(\tilde{y}), \varepsilon)}$$

The conclusion follows as $\left| \frac{F_1(\tilde{y}) - x_1}{\tilde{y}} \right| < 1$ since we are in the cone K_1 (details in [3]).

The extension of G to $\Sigma_0 \cup \Sigma_1$ depends analytically on ε as it is again invariant under permutations of the x_j . Since the complement of $\Sigma_0 \cup \Sigma_1$ is of codimension 2 then, by Hartog's theorem, we can extend G to all values of ε , satisfying $|\varepsilon| \leq \rho$ for some positive ρ .

The change of coordinate (3.10) allows to factor $P_\varepsilon(\hat{x})$ in the first component of the vector field. Hence it has the form

$$P_\varepsilon(\hat{x})U(\hat{x}, \tilde{y}, \varepsilon) \frac{\partial}{\partial \hat{x}} + (P_\varepsilon(\hat{x})h_6(\hat{x}, \varepsilon) + \tilde{y}h_7(\hat{x}, \varepsilon) + O(\tilde{y}^2)) \frac{\partial}{\partial \tilde{y}}$$

where $U(0,0,0) = h_7(0,0) \neq 0$ and $h_6, h_7 \in \mathbb{C}\{\tilde{x}, \varepsilon\}$. We factorize $U(\hat{x}, y, \varepsilon)$ in the vector field which then has the form

$$U(\hat{x}, \tilde{y}, \varepsilon) \left[P_\varepsilon(\hat{x}) \frac{\partial}{\partial \hat{x}} + (P_\varepsilon(\hat{x})h_8(\hat{x}, \varepsilon) + \tilde{y}h_9(\hat{x}, \varepsilon) + O(\tilde{y}^2)) \frac{\partial}{\partial \tilde{y}} \right]$$

with $h_8, h_9 \in \mathbb{C}\{\tilde{x}, \varepsilon\}$ and $h_9(0,0) = 1$.

As in [7] we use a change of coordinate and parameter $(\hat{x}, \varepsilon) \mapsto (x, \tilde{\varepsilon})$ to transform $\frac{P_\varepsilon(\hat{x})}{h_9(\hat{x}, \varepsilon)} \frac{\partial}{\partial \hat{x}}$ into $\frac{P_{\tilde{\varepsilon}}(x)}{1+a(\tilde{\varepsilon})x^k} \frac{\partial}{\partial x}$ to bring the vector field to the final form (3.6). This ends the preparation of the orbital part.

For the time part, the vector field has the form $X_{\tilde{\varepsilon}}\hat{U}_{\tilde{\varepsilon}}$. We simply divide the x -part of $\hat{U}_{\tilde{\varepsilon}}$ by $P_{\tilde{\varepsilon}}(x)$:

$$\hat{U}_{\tilde{\varepsilon}} = Q_{\tilde{\varepsilon}}(x) + P_{\tilde{\varepsilon}}(x)q_{\tilde{\varepsilon}}(x) + O(y).$$

□

Definition 3.2. From now on we always work with germs of analytic families

$$(3.14) \quad Z_\varepsilon = U_\varepsilon X_\varepsilon$$

where

$$(3.15) \quad X_\varepsilon(x, y) = P_\varepsilon(x) \frac{\partial}{\partial x} + (P_\varepsilon(x)R_{0,\varepsilon}(x) + y(1 + a(\varepsilon)x^k) + y^2 R_{2,\varepsilon}(x, y)) \frac{\partial}{\partial y}$$

and

$$(3.16) \quad U_\varepsilon(x, y) = Q_\varepsilon(x) + O(P_\varepsilon(x)) + O(y),$$

which we call **prepared families**. Here Q_ε and $R_{j,\varepsilon}$ are characterized in Proposition 3.1.

Theorem 3.3.

- (1) A transformation $x \mapsto \tilde{x} = \exp(2\pi i m/k)x$ with $m = 1, \dots, k-1$, transforms a prepared family into a prepared family with corresponding polynomials $P_{\tilde{\varepsilon}}(\tilde{x}) = \tilde{x}^{k+1} + \tilde{\varepsilon}_{k-1}\tilde{x}^{k-1} + \dots + \tilde{\varepsilon}_1\tilde{x} + \tilde{\varepsilon}_0$, where $\tilde{\varepsilon}_j = \exp(-2\pi i m(j-1)/k)\varepsilon_j$ and $\tilde{Q}_{\tilde{\varepsilon}}(\tilde{x}) = \tilde{C}_{0,\tilde{\varepsilon}} + \dots + \tilde{C}_{k,\tilde{\varepsilon}}\tilde{x}^k$ where $\tilde{C}_{j,\tilde{\varepsilon}} = \exp(-2\pi i m j/k)C_{j,\varepsilon}$.
- (2) The $2(k+1)$ eigenvalues of the $k+1$ singular points of (3.14) given by $(x_j, 0)$, $j = 0, \dots, k$, where x_j are the roots of P_ε , coincide with that of the **model family**

$$(3.17) \quad Z_{\tilde{\varepsilon}}^M(x, y) := Q_{\tilde{\varepsilon}}(x)X_{\tilde{\varepsilon}}^M(x, y) = Q_{\tilde{\varepsilon}}(x) \left[P_{\tilde{\varepsilon}}(x) \frac{\partial}{\partial x} + y(1 + a(\tilde{\varepsilon})x^k) \frac{\partial}{\partial y} \right]$$

where $Q_{\tilde{\varepsilon}}$ is given in (3.8).

- (3) Suppose that two prepared families $(X_\varepsilon)_\varepsilon$ and $(\tilde{X}_{\tilde{\varepsilon}})_{\tilde{\varepsilon}}$ are conjugate. We define the equivalence relations

$$(3.18) \quad \varepsilon \cong \tilde{\varepsilon} \iff (\exists m \in \mathbb{Z}/k) \quad \tilde{\varepsilon}_j = \exp(-2\pi i m(j-1)/k)\varepsilon_j \quad j = 0, \dots, k-1.$$

$$(3.19) \quad (\varepsilon, a) \cong (\tilde{\varepsilon}, \tilde{a}) \iff \varepsilon \cong \tilde{\varepsilon} \quad \text{and} \quad \tilde{a}(\tilde{\varepsilon}) = a(\varepsilon)$$

where a is given in (3.6). The equivalence classes $[(\varepsilon, a)]/\sim$ are analytic invariants.

- (4) Let $\varepsilon := (\varepsilon_0, \dots, \varepsilon_{k-1})$ and $C_\varepsilon := (C_{0,\varepsilon}, \dots, C_{k,\varepsilon})$, where the $C_{j,\varepsilon}$'s are the coefficients of Q_ε . We define the equivalence relation

$$(3.20) \quad (\varepsilon, C_\varepsilon) \cong (\tilde{\varepsilon}, \tilde{C}_{\tilde{\varepsilon}}) \iff (\exists m \in \mathbb{Z}/k) \quad \begin{cases} \tilde{\varepsilon}_j = \exp(-2\pi i m(j-1)/k)\varepsilon_j & j = 0, \dots, k-1, \\ \tilde{C}_{j,\tilde{\varepsilon}} = \exp(-2\pi i m j/k)C_{j,\varepsilon} & j = 0, \dots, k. \end{cases}$$

The equivalence classes $[(\varepsilon, C_\varepsilon)]/\cong$ are analytic invariants of (3.14).

Proof of Theorem 3.3. Only the third and fourth items require a proof. We write a instead of $a(\varepsilon)$.
(3) Let $(x_j, 0)$, $j = 0, \dots, k$, be the singular points of (3.15). The ratios of eigenvalues at each singular point is an analytic invariant under orbital equivalence. When $\varepsilon \in \Sigma_0$ these are given by

$$(3.21) \quad \nu_j = \frac{1 + ax_j^k}{P'_\varepsilon(x_j)}.$$

Then

$$(3.22) \quad a = \sum_{j=0}^k \frac{1}{\nu_j}.$$

The quantity a remains bounded when two singular points collide as

$$(3.23) \quad a = \frac{1}{2\pi i} \int_{r\mathbb{S}^1} \frac{1 + az^k}{P_\varepsilon(z)} dz$$

where $r\mathbb{S}^1$ is a circle in x -space surrounding $x_0, \dots, x_k$.

We suppose that there is an equivalence $(x, y, \varepsilon) \mapsto (\Psi_\varepsilon(x, y), h(\varepsilon))$ between the prepared families (X_ε) and $(\tilde{X}_{h(\varepsilon)})$. This yields an equivalence between $Y_\varepsilon := X_\varepsilon/(1 + ax^k)$ and $\tilde{X}_{h(\varepsilon)}/(1 + \tilde{a}(h(\varepsilon))\tilde{x}^k)$. The map $\Psi_\varepsilon(x, y) = (H_{1,\varepsilon}(x, y), H_{2,\varepsilon}(x, y))$ sends the singular points to the singular points. Let us first show that it is possible to construct an equivalence $\Theta_\varepsilon = (K_{1,\varepsilon}, K_{2,\varepsilon})$ in which the first coordinate $K_{1,\varepsilon}$ depends on x alone. The ideas come from [9].

The map $H_{1,\varepsilon}(x, y) = h_{1,\varepsilon}(x) + r_\varepsilon(x, y)$ with $r_\varepsilon(x, y) = O(y)$, as a fibration $H_{1,\varepsilon} : (\mathbb{C}^2, 0) \rightarrow (\mathbb{C}, 0)$, is transverse to all fibers, except the fibers through the singular points. In particular the gradient of $H_{1,\varepsilon}$ is orthogonal to the vector field along the fibers through the singular points. This yields that $r_\varepsilon(x, y) = P_\varepsilon(x)r_{1,\varepsilon}(x, y)$, with $r_{1,\varepsilon}$ analytic in (x, y, ε) . As in [9] we can construct an analytic change of coordinates Θ_ε such that $H_{1,\varepsilon} \circ \Theta_\varepsilon = h_{1,\varepsilon}$ (see Lemma 3.4 below) which is an equivalence between Y_ε and itself.

So we can suppose that there exists an equivalence $(x, y, \varepsilon) \mapsto (\Psi_\varepsilon(x, y), h(\varepsilon))$ between the two families, in which $H_{1,\varepsilon}$ depends on x alone. Then the map $H_{1,\varepsilon}$ is a conjugacy between $W_\varepsilon(x) = P_\varepsilon(x)/(1 + ax^k)\frac{\partial}{\partial x}$ and $V_{h(\varepsilon)}(\tilde{x})\tilde{W}_{h(\varepsilon)}$, where $\tilde{W}_{h(\varepsilon)} = \tilde{P}_{h(\varepsilon)}/(1 + \tilde{a}(h(\varepsilon))\tilde{x}^k)\frac{\partial}{\partial \tilde{x}}$ and $(\tilde{x}, \varepsilon) \mapsto V_{h(\varepsilon)}(\tilde{x})$ is an analytic map. By Kostov's Theorem, there exists a germ of conjugacy $x \mapsto K_{1,\varepsilon}(x)$ between $V_{h(\varepsilon)}\tilde{W}_{h(\varepsilon)}$ and $\tilde{W}_{h(\varepsilon)}$. Let $\Theta_\varepsilon(\tilde{x}, \tilde{y}) := (K_{1,\varepsilon}(\tilde{x}), \tilde{y})$. Then $\Theta_\varepsilon \circ \Psi_\varepsilon$ is an equivalence between X_ε and $\Theta_\varepsilon^*(\tilde{X}_{h(\varepsilon)})$ while $L_\varepsilon := K_{1,\varepsilon} \circ H_{1,\varepsilon}$ is a conjugacy between W_ε and $\tilde{W}_{h(\varepsilon)}$.

The case $k = 1$. Then ε_0 is an analytic invariant since

$$\frac{1}{\sqrt{-\varepsilon_0}} = \frac{1}{\nu_0} - \frac{1}{\nu_1},$$

where $x_0 = \sqrt{-\varepsilon_0}$ and $x_1 = -\sqrt{-\varepsilon_0}$. This comes from [6] (see also [14]).

The case $k > 1$. It is done in Theorem 3.5 below.

(4) We have shown in (3) that $[(\varepsilon, a)]/\cong$ is an analytic invariant of X_ε and that the equivalence relation $\cong$ yields an equivalence relation on x given by $x \cong \tilde{x} = \exp(2\pi i m/k)x$. This yields that the set of singular points $\{x_0, \dots, x_k\}/\cong$ is an analytic invariant for a prepared family. The eigenvalues of the linearized vector field of Z_ε at $(x_j, 0)$ are analytic invariants of the system. They are given by

$$(3.24) \quad (\lambda_j, \mu_j) = (P'_\varepsilon(x_j)Q_\varepsilon(x_j), Q_\varepsilon(x_j)).$$

The coefficients $C_j(\varepsilon)$ of Q_ε are uniquely determined from the x_j using $Q_\varepsilon(x_j) = \mu_j$. $\square$

Lemma 3.4. *We consider the vector field (3.15). Let $H_{1,\varepsilon}(x, y) = h_{1,\varepsilon}(x) + P_\varepsilon(x)r_{1,\varepsilon}(x, y)$ with $r_{1,\varepsilon}(x, y) = O(y)$, be a family of analytic maps defined in a neighborhood of the origin in $\mathbb{C}^2$ such that $\frac{\partial H_{1,\varepsilon}}{\partial x}$ never vanishes on that neighborhood. There exists a family of analytic diffeomorphisms K_ε defined over a neighborhood of the origin in $\mathbb{C}^2$ which is an equivalence between (3.15) and itself (i.e. an orbital symmetry of (3.15)) and such that $H_{1,\varepsilon} \circ K_\varepsilon = h_{1,\varepsilon}$.*

Proof. The proof is an adaptation of Lemma (2.2.2), Chapter II of [9]. It is done by the homotopy method. Let

$$(3.25) \quad H_\varepsilon(t, x, y) = H_{t,\varepsilon}(x, y) = h_{1,\varepsilon}(x) + tP_\varepsilon(x)r_{1,\varepsilon}(x, y)$$

and ω_ε be a 1-form dual to X_ε . We look for a one-parameter family of analytic vector fields $\Xi_{t,\varepsilon}(x, y)$ such that

$$(3.26) \quad \omega_\varepsilon(\Xi_{t,\varepsilon}) = 0.$$

and

$$(3.27) \quad \Xi_{t,\varepsilon} \cdot H_{1,\varepsilon} = -\frac{\partial H_{t,\varepsilon}}{\partial t} = -P_\varepsilon r_{1,\varepsilon}.$$

Then (3.26) yields $\Xi_{t,\varepsilon} = g_\varepsilon X_\varepsilon$ for some arbitrary family of functions g_ε . As $X_\varepsilon = P_\varepsilon \frac{\partial}{\partial x} + A_\varepsilon \frac{\partial}{\partial y}$, (3.27) yields

$$(3.28) \quad g_\varepsilon(x, y) \left(\frac{\partial H_{t,\varepsilon}}{\partial x}(x, y) + tA(x, y) \frac{\partial r_{1,\varepsilon}}{\partial y}(x, y) \right) = -r_{1,\varepsilon}(x, y),$$

which has an analytic solution g over a neighborhood of the origin since $\frac{\partial H_{t,\varepsilon}}{\partial x} \neq 0$ for y sufficiently small.

The time- t flow of $\Xi_{t,\varepsilon}$ is a diffeomorphism $K_{t,\varepsilon}$ which is an orbital symmetry of X_ε and such that $H_{1,\varepsilon} \circ K_{1,\varepsilon} = h_{1,\varepsilon}$. $\square$

Theorem 3.5. *We consider a germ of an analytic change of coordinates $\Psi : (x, \varepsilon) = (x, \varepsilon_0, \dots, \varepsilon_{k-1}) \mapsto (\varphi_\varepsilon(x), h_0(\varepsilon), \dots, h_{k-1}(\varepsilon)) = (z, h)$ at $(0, 0, \dots, 0) \in \mathbb{C}^{1+k}$. The following assertions are equivalent :*

- (1) *the families $\left(\frac{P_\varepsilon(x)}{1+a(\varepsilon)x^k} \frac{\partial}{\partial x} \right)_\varepsilon$ and $\left(\frac{P_h(z)}{1+\tilde{a}(h)z^k} \frac{\partial}{\partial z} \right)_h$ are conjugate under Ψ ,*
- (2) *there exist λ with $\lambda^k = 1$ and $T \in \mathbb{C}\{\varepsilon\}$ such that*
 - $\varphi_\varepsilon(x) = \Phi_{X_\varepsilon}^{T(\varepsilon)} \circ R_\lambda(x)$ where $R_\lambda(x) = \lambda x$,
 - $\varepsilon_j = \lambda^{j-1} h_j(\varepsilon)$,
 - $a(\varepsilon) = \tilde{a}(h(\varepsilon))$.

Proof. (2) $\Rightarrow$ (1) is trivial so we only consider (1) $\Rightarrow$ (2). We may moreover assume that $k > 1$, the case $k = 1$ being recalled in Theorem 3.3.

The result is easily shown for $\varepsilon = 0$. But let us discuss some details which will be important in the proof. Indeed the flow $\Phi_{X_0}^t$ has the form $x(1 + g_t(x^k))$, with $g_t(0) = 0$. Moreover if $\varphi'_0(0) = \lambda_0$ we need have $\lambda_0^k = 1$ in order to preserve the form of X_0 . So we can compose $\Psi(x, \varepsilon)$ with R_{λ_0} and the corresponding change of parameters $\varepsilon_j = \lambda_0^{j-1} h_j(\varepsilon)$ and only discuss the composed family. Hence we can suppose that $\Psi(x, \varepsilon)$ is such that $\varphi'_0(0) = 1$. We now need to prove that $h_j(\varepsilon) \equiv \varepsilon_j$.

It is easy to check that the only changes of coordinates tangent to the identity which preserve X_0 are the maps $\Phi_{X_0}^t$: using power series, it is easily verified that such changes of coordinates have the form $x(1 + m_t(x^k))$ with $m_t(0) = 0$, where m_t is completely determined by $m'_t(0) = t$. This is exactly the form of the family $\Phi_{X_0}^t$. Indeed let $\Phi_{X_0}^t(x) = b_t(x)$. The function $b_t(x) = x d_t(x)$ with $d_t(0) = 1$ is solution of

$$-\frac{1}{k b_t^k(x)} + \frac{1}{k x^k} + a \ln(b_t(x)) - a \ln(x) = t$$

i.e.

$$d_t^k(x) - 1 + a k x^k d_t^k(x) \ln(d_t(x)) = k t x^k d_t^k(x).$$

Substituting an unknown power series $d_t(x) = 1 + \sum_{n \geq 1} c_n x^n$ yields the result.

Let

$$G(x, t, \varepsilon) := \Phi_{X_\varepsilon}^t \circ \varphi_\varepsilon(x),$$

$$H(x, t, \varepsilon) := \frac{\partial^{k+1} G}{\partial x^{k+1}}(x, t, \varepsilon)$$

and

$$K(t, \varepsilon) := H(0, t, \varepsilon).$$

K is an analytic map and we have

$$\frac{\partial K}{\partial t}(0, 0) = (k+1)! \neq 0.$$

Moreover, let t_0 be such that $K(t_0, 0) = 0$ (in the study for $\varepsilon = 0$ we have shown the existence of t_0). By the implicit function theorem there exists a unique function $t(\varepsilon)$ such that $t(0) = t_0$ and $K(t(\varepsilon), \varepsilon) \equiv 0$. Composing φ_ε with $\Phi_{X_\varepsilon}^{t(\varepsilon)}$ we can suppose that the original family Ψ is such that $\frac{\partial^{k+1} \varphi_\varepsilon}{\partial x^{k+1}}(0) = 0$.

Under this reduction we will now show that $\varphi_\varepsilon = id$. The argument will be done with an infinite descent. We introduce the ideal

$$I = \langle \varepsilon_0, \dots, \varepsilon_{k-1} \rangle.$$

With our preparation we know that $\varphi_0 = id$ so we write

$$\varphi_\varepsilon(x) := x + \sum_{n \geq 0} f_n(\varepsilon) x^n$$

where each $f_n \in I$ and $f_{k+1} \equiv 0$.

The conjugacy condition can be written as

$$(3.29) \quad \begin{aligned} & (1 + a(\varepsilon) x^k) (\varphi_\varepsilon^{k+1}(x) + h_{k-1}(\varepsilon) \varphi_\varepsilon^{k-1}(x) + \dots + h_0(\varepsilon)) \\ & - (1 + \tilde{a}(h(\varepsilon)) \varphi_\varepsilon^k(x)) (x^{k+1} + \varepsilon_{k-1} x^{k-1} + \dots + \varepsilon_0) \varphi'_\varepsilon(x) = 0. \end{aligned}$$

It is then clear that $h_j(\varepsilon) \in I$. For the sake of simplicity we simply write h_j instead of $h_j(\varepsilon)$. Let $g_j x^j$ be the term of degree j in (3.29). We will play with the infinite set of equations $g_j = 0$, $j \geq 0$.

The equations $g_j = 0$ with $0 \leq j \leq k-1$ yield

$$h_j - \varepsilon_j \in I^2,$$

since all other terms in the expression of g_j belong to I^2 .

The equation $g_{k+j} = 0$ with $0 \leq j \leq k$ yields $f_j \in I^2$ since the only terms of degree 1 are $a(h_j - \varepsilon_j) + (k+1-j)f_j$ when $j < k$ and $a f_0 + f_k$ for $j = k$. Also, our hypothesis is that $f_{k+1} \equiv 0$. Looking at the linear terms in the equations $g_\ell = 0$ with $\ell > 2k+1$ yields $f_{\ell-k} \in I^2$ since the only linear terms in g_ℓ are $-(\ell - 2k - 1)[f_{\ell-k} + a f_{\ell-2k}]$.

So we have that $f_j \in I^2$ for all j .

To show the conclusion we will shown by induction that, for any n , $h_j - \varepsilon_j \in I^n$ when $0 \leq j \leq k-1$ and $f_j \in I^n$ whenever $j \geq 0$. The conclusion is valid for $n = 1, 2$. We now suppose that it is valid for n and we show it for $n+1$.

To show that $h_j - \varepsilon_j \in I^{n+1}$ for $0 \leq j \leq k-1$ we consider again the corresponding equations $g_j = 0$, where the only linear terms are $h_j - \varepsilon_j$. Hence all other terms of the equation belong to I^{n+1} yielding $h_j - \varepsilon_j \in I^{n+1}$.

For the same reason the equation $g_{k+j} = 0$ with $0 \leq j \leq k$ yields $f_j \in I^{n+1}$ and the equations $g_\ell = 0$ with $\ell > 2k+1$ yields $f_{\ell-k} \in I^{n+1}$. $\square$

Definition 3.6. The parameter $\varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1})$ is called the **canonical (multi-)parameter** of the family (3.14).

Corollary 3.7. An orbital equivalence or a conjugacy between two prepared families is the composition of a map which preserves the canonical parameters with a map $(x, y, \varepsilon) \mapsto (\tilde{x}, y, \tilde{\varepsilon})$ where

$$(3.30) \quad \begin{cases} \tilde{x} = \exp(2\pi i m/k) x \\ \tilde{\varepsilon}_j = \exp(-2\pi i m(j-1)/k) \varepsilon_j \end{cases} \quad j = 0, \dots, k-1$$

for some $m \in \mathbb{Z}/k$.

4. THE MODEL FAMILY

We compare a prepared family of vector fields (3.14) with multi-parameter $\varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1}) \in \mathbb{C}^k$ to the model family given by (3.17) with the same singular points, and hence same parameters $\varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1})$ and formal invariant $a(\varepsilon)$ given by (3.23). The coefficients of Q_ε are chosen so that Z_ε has the same eigenvalues as the model at the singular points $(x_j, 0)$ for $j = 0, \dots, k$ as (3.14).

4.1. The parameter space Σ_0 . We define

$$(4.1) \quad \|\varepsilon\| := \max \left(|\varepsilon_{k-1}|^{1/2}, \dots, |\varepsilon_1|^{1/k}, |\varepsilon_0|^{1/(k+1)} \right)$$

The parameter space $\mathcal{W} = \{\varepsilon : \|\varepsilon\| \leq \rho\}$ of Ξ_ε is stratified. The generic stratum Σ_0 is the set of ε for which the discriminant of P_ε does not vanish:

$$(4.2) \quad \Sigma_0 := \{\varepsilon \in \mathcal{W} : \text{disc}(P_\varepsilon) \neq 0\}.$$

The singular part, where the discriminant vanishes, is of codimension one. Then, as soon as we define analytic and bounded functions on Σ_0 they can be extended to $\mathcal{W}$ by the theorem of removable singularities. For these reasons we limit ourselves to parameter values in Σ_0 .

Lemma 4.1. *All roots of P_ε are contained in a closed disk of radius at most $\sqrt{k} \|\varepsilon\|$.*

Proof. Let $\eta := \frac{1}{\sqrt{k} \|\varepsilon\|}$. If $|x| > \frac{1}{\eta}$ then

$$\left| \frac{P_\varepsilon(x)}{x^{k+1}} - 1 \right| < \eta^2 \|\varepsilon\|^2 + \dots + \eta^{k+1} \|\varepsilon\|^{k+1} \leq 1,$$

since each term is less than $\frac{1}{k}$. □

4.2. First integral of the model family. For $\varepsilon \in \Sigma_0$ the model X_ε^M has a (multi-valued) first integral

$$(4.3) \quad H_\varepsilon^M(x, y) = y \prod_{j=0}^k (x - x_j)^{-\frac{1}{\nu_j}}$$

where ν_j are defined by (3.21). A first integral of a vector field X is a function H such that $X \cdot H = 0$ or, equivalently, which is constant on integral curves of X . If H is not constant then the connected components of the level sets of H coincide with the integral curves of X .

Below we will describe more precisely the foliation of X_ε^M over adequate sectors, but preliminary work is needed to describe them. These sectors will correspond to domains over which H_ε^M is univalued and takes all values in $\mathbb{C}$.

4.3. The global and semi-local phase portrait of $P_\varepsilon \frac{\partial}{\partial x}$. The following trivial lemma will be used to define an equivalence relation on the parameter space.

Lemma 4.2. *The vector field*

$$(4.4) \quad \Xi_\varepsilon := P_\varepsilon \frac{\partial}{\partial x}$$

is transformed into $\eta^{-(k+1)} \Xi_\varepsilon$ under

$$(4.5) \quad \begin{cases} \varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1}) \mapsto (\eta^{k+1} \varepsilon_0, \eta^k \varepsilon_1, \dots, \eta^2 \varepsilon_{k-1}) \\ x \mapsto x/\eta, \end{cases}$$

where $\eta \in \mathbb{R}_{>0}$. Hence the bifurcation diagram for the phase portrait of Ξ_ε has a conic structure and is completely determined on the surface $\|\varepsilon\| = \rho$.

Definition 4.3. We define the following equivalence relation on the set of ε :

$$(4.6) \quad \varepsilon = (\varepsilon_0, \dots, \varepsilon_{k-1}) \simeq \varepsilon' = (\varepsilon'_0, \dots, \varepsilon'_{k-1}) \iff \exists \eta \in \mathbb{R}_{>0} : \varepsilon'_j = \eta^{k+1-j} \varepsilon_j.$$

The global phase portrait of Ξ_ε is studied by Douady and Sentenac in [2]. They show how the attracting and repelling separatrices of the saddle point at infinity separates the phase plane in simply connected regions. Among the different Ξ_ε they make a special discussion of the generic $P_\varepsilon \frac{\partial}{\partial x}$, which have the property that there is no homoclinic trajectory, *i.e.* no connection between an attracting and a repelling separatrix at infinity.

Definition 4.4. The vector field Ξ_ε is generic in the sense of Douady and Sentenac if all singular points are distinct and there are no homoclinic trajectories.

Douady and Sentenac show that the eigenvalues of the singular points of a generic vector field all have a nonzero real part and then that the singular points are nodes or foci.

A homoclinic trajectory γ goes in finite real time T from infinity to infinity since infinity is either a regular point ($k = 1$) or a pole ($k > 1$). The close loop γ on $\mathbb{CP}^1$ necessarily contains some singular points $x_{j_1}, \dots, x_{j_s}$ in its “interior”. The value of T can be calculated by the residue theorem:

$$T = \int_\gamma \frac{dx}{P_\varepsilon(x)} = 2\pi i \sum_{\ell=1}^s \frac{1}{P'_\varepsilon(x_{j_\ell})}.$$

(Even if the “interior” of γ is not well defined T is well defined since $\sum_{j=0}^k \frac{1}{P'_\varepsilon(x_j)} = 0$). Moreover T cannot vanish since γ is non contractible. We will recall below a lower bound for T calculated in [2]. Douady and Sentenac show that the generic Ξ_ε are dense and also that, given any Ξ_ε with $\varepsilon \in \Sigma_0$, there exists an angle θ such that $\exp(i\theta)\Xi_\varepsilon$ is generic.

We will derive below an adaptation of their result coming from the fact that we are only interested in Ξ_ε over a disk $r\mathbb{D}$.

Lemma 4.5. Let $K = 2^k - 1$ be the number of partitions of $\{x_0, \dots, x_k\}$ into the union of two disjoint non empty subsets. Let

$$\delta = \frac{\pi}{16K + 2}$$

and

$$(4.7) \quad \Xi_\varepsilon(\theta) := \exp(i\theta)\Xi_\varepsilon.$$

For any $\varepsilon \in \Sigma_0$ (i.e. such that all roots of P_ε are distinct) there exists $\theta = \theta(\varepsilon) \in (-\pi/4, \pi/4)$ such that $\Xi_\varepsilon(\theta)$ is generic in the sense of Douady and Sentenac. More precisely, for any partition $\{x_0, \dots, x_k\} = I_1 \cup I_2$ with $I_1, I_2 \neq \emptyset$

$$\left| \arg \left(\exp(i\theta) \sum_{x_j \in I_1} \frac{1}{P'_\varepsilon(x_j)} \right) \right| - \frac{\pi}{2} \notin (-\delta, \delta).$$

Moreover $\theta(\varepsilon)$ can be chosen constant on a neighborhood of a given $\tilde{\varepsilon}$ and can also be chosen constant on the equivalence class of ε under (4.6). It is possible to cover Σ_0 with $m = 4K - 1$ connected open sets W_i on which $\theta(\varepsilon)$ can be chosen constant.

Proof. Let $\theta_\ell = \frac{\pi\ell}{8K+1}$ for $\ell \in \{-(2K-1), \dots, 0, \dots, 2K-1\}$. We consider the set J of partitions $\{x_0, \dots, x_k\} = I_{\ell_1} \cup I_{\ell_2}$ with disjoint $I_{\ell_1}, I_{\ell_2} \neq \emptyset$.

We let

$$W_\ell = \left\{ \varepsilon : \left| \arg \sum_{j \in I_{\ell_1}} \frac{1}{P'_\varepsilon(x_j)} \right| + \theta_\ell - \frac{\pi}{2} \notin [-\delta, \delta], (I_{\ell_1}, I_{\ell_2}) \in J \right\}.$$

We need to show that $\{W_\ell\}_{\ell \in \{-(2K-1), \dots, 0, \dots, 2K-1\}}$ is an open covering of Σ_0 and that the W_ℓ are connected.

For this purpose we suppose that $\arg \sum_{j \in I_{\ell_1}} \frac{1}{P'_\varepsilon(x_j)} \in [-\pi, \pi]$. Let $M_{I_{\ell_1}} = \left| \arg \sum_{j \in I_{\ell_1}} \frac{1}{P'_\varepsilon(x_j)} \right| - \frac{\pi}{2} \in [-\frac{\pi}{2}, \frac{\pi}{2}]$. We divide $[-\frac{\pi}{2}, \frac{\pi}{2}]$ in $8K + 1$ equal closed intervals. $4K + 1$ of these sub-intervals cover $[-\frac{\pi}{4}, \frac{\pi}{4}]$. Among this group of $4K + 1$ intervals there is at least one group of three consecutive intervals whose union contains no $M_{I_{\ell_1}}$ in its interior. We apply one of the rotations θ_ℓ to send this group of

FIGURE 4.1. The dynamics of Ξ_ε near $|x| = r$.

intervals to the center interval, namely $[-3\delta, 3\delta]$. The $m = 4K - 1$ open sets correspond to the $4K - 1$ ways to choose three consecutive intervals (from the $4K + 1$ intervals) covering $[-\frac{\pi}{4}, \frac{\pi}{4}]$. The fact that the W_ℓ are connected comes from the fact that Σ_0 does not separate the ε -space. $\square$

Definition 4.6. Let $\rho > 0$ be given.

- (1) We consider a neighborhood $\mathcal{W} = \{\varepsilon : \|\varepsilon\| \leq \rho\}$ of $\varepsilon = 0$. An open sector W of $\mathcal{W} \setminus \{0\}$ is an *adequate sector* if it is a union of equivalence classes of (4.6) inside $\mathcal{W}$.
- (2) Let Σ_0 defined in (4.2). An open covering $\{W_i\}_{i \in I}$ of Σ_0 , where $W_i \subset \Sigma_0$, is an *adequate covering* of Σ_0 if each W_i is an adequate sector.
- (3) Given $\varepsilon \in \Sigma_0$ we associate to it an angle $\theta(\varepsilon)$. The angle $\theta(\varepsilon)$ is *adequate* if it satisfies Lemma 4.5 and if it can be chosen constant on the equivalence class of ε .

The 1-dimensional vector field $\Xi_0 = P_0 \frac{\partial}{\partial x}$ is given on $\{x : |x| \leq r\}$ in Figure 4.1(a). For ε sufficiently small the phase portrait near $\{|x| = r\}$ is similar to that of Ξ_0 (Figure 4.1(b)). In particular the boundary $\{|x| = r\}$ has k sub-sectors on which the vector field goes inwards and k sub-sectors on which it goes outwards.

To study properly the vector field Ξ_ε it is useful to change the x -coordinate to the complex time-coordinate (the generalized Fatou coordinate). This is the point of view described by Douady and Sentenac in [2]. The following lemmas summarize some properties described in [2], so most of them will be given without proof. They are also equivalent to some properties described by Oudkerk in [11].

Lemma 4.7. *The change of coordinate*

$$(4.8) \quad z = z(x) = \int_{\infty}^x \frac{dx}{P_\varepsilon(x)}$$

is a multivalued function defined on $\mathbb{CP} \setminus \{x_0, \dots, x_k\}$. It is a k -sheeted covering $\mathcal{S}$ of a neighborhood of ∞ . The image of the circle $r\mathbb{S}^1$ is a k -covering of a closed curve which is approximately a circle of radius $\frac{1}{kr^k}$: see Figure 4.2(a). We call B_0 the interior of the projection of this curve.

In the sequel we consider values of the parameter $\varepsilon \neq 0$ for which the multivalueness of z is of logarithmic type at each branch point. Hence the ball B_0 will have infinitely many images distributed in the z -plane. A more precise description is done further below.

Definition 4.8. The union Γ of the separatrices of a generic vector field in the sense of Douady and Sentenac is called the **separating graph** which divides $\mathbb{C} \setminus \Gamma$ in k simply connected components.

FIGURE 4.2. The image of $r\mathbb{D}$ in z -space. There are ramifications at each hole. FIGURE 4.3. A separating graph Γ and associated correspondence σ for $k = 3$.

A generic separating graph divides the disk $\{|x| \leq r\}$ into k simply-connected domains. At this level of the construction we will only consider the corresponding $2k$ segments on the circle $\{|x| = r\}$, which we conveniently denote by $\partial V_{j,\varepsilon}^\pm$ for $j \in \{0, 1, \dots, k-1\}$ (see Figures 4.1 and 4.3). A reason for this notation will be found in Section 4.4 where we define the squid sectors $V_{j,\varepsilon}^\pm$.

Lemma 4.9. [2] *We consider a generic vector field in the sense of Douady and Sentenac. Each connected component of $\mathbb{C} \setminus \Gamma$, where Γ is the separating graph, intersects $r\mathbb{S}^1$ in exactly one sector $\partial V_{j,\varepsilon}^+$ and one sector $\partial V_{\ell,\varepsilon}^-$ (see Figures 4.1 and 4.3). This yields a correspondence*

$$(4.9) \quad \sigma : \{0, \dots, k-1\} \rightarrow \{0, \dots, k-1\}, \quad j \mapsto \ell$$

between the sectors $\partial V_{j,\varepsilon}^+$ and $\partial V_{\ell,\varepsilon}^-$.

Proof. For $\varepsilon \in \Sigma_0$ we have that $\frac{dx}{P_\varepsilon(x)} = \sum_{j=0}^k \frac{dx}{P'_\varepsilon(x_j)(x-x_j)}$. Since the logarithm function is multivalued, this yields other images of the circle $r\mathbb{D}$ as drawn in Figure 4.2(a)). The interior of these curves (which we will also call balls) correspond to images of the exterior of $r\mathbb{D}$. A straight line of slope θ joining two such balls corresponds to a trajectory in real time of $\Xi_\varepsilon(\theta)$ joining a boundary sector of $r\mathbb{S}^1$ to a boundary sector of $r\mathbb{S}^1$. $\square$

Lemma 4.10. *We consider one of the angles θ_ℓ of the proof of Lemma 4.5 and the open sector W_ℓ of values of ε for which this angle is adequate. There exists $\rho > 0$ sufficiently small so that for any $\|\varepsilon\| \leq \rho$ all trajectories of $\Xi_\varepsilon(\theta)$ in real time starting on $|x| = r$ end in a singular point x_j .*

Proof. We change to the z coordinate. Each of these sectors corresponds to either the upper half-circle or the lower half-circle of the k -sheeted covering of the boundary of B_0 . Following the trajectory of $\Xi_\varepsilon(\theta)$ in real time is the same as following a trajectory of Ξ_ε in time $e^{i\theta}\mathbb{R}$, hence following a line of slope θ in z space.

There exists a trajectory γ_j of $\Xi_\varepsilon(\theta)$ in $r\mathbb{D}$ in time $\exp(i\theta')\mathbb{R}$ for some θ' starting on each $\partial V_{j,\varepsilon}^+$ and ending in $\partial V_{\sigma(j),\varepsilon}^-$ and not crossing the separating graph: we can consider this trajectory oriented from $\partial V_{j,\varepsilon}^+$ to $\partial V_{\sigma(j),\varepsilon}^-$. Let I_1 be the set of singularities to the right of γ_j and $M(\gamma_j)$ given by

$$(4.10) \quad M(\gamma_j) = \exp(-i\theta) \sum_{x_\ell \in I_1} \frac{1}{P'_\varepsilon(x_\ell)}.$$

If we consider the time function z defined for $\partial V_{j,\varepsilon}^+$, then it has a period $2\pi i M(\gamma_j)$, so we should visualize the z plane with holes which would be the translates of B_0 by $2\pi i M(\gamma_j)$. The same $M(\gamma_j)$ is also valid for initial conditions on $\partial V_{\sigma(j),\varepsilon}^-$. The trajectory γ_j can be visualized in z -space as a line from a hole to an adjacent hole. Hence its length is of the order of $M(\gamma_j) - \frac{2}{kr^k}$ and corresponds to the modulus of the time to travel along γ_j . We want to show that this quantity remains large when ε is small. Indeed the trajectory γ_j separates the singular points inside $r\mathbb{D}$ into two non empty sets. As all x_j lie in the disk of radius $\sqrt{k}||\varepsilon||$, then γ_j has points inside that disk. Hence the time to travel along γ_j is bounded in modulus by twice the modulus of the time to travel from $r\mathbb{S}^1$ to $\sqrt{k}||\varepsilon||\mathbb{S}^1$.

Instead of evaluating this time we will use the results of [2]. Indeed a slanted line of slope θ' joining two holes in the z -coordinate for $\Xi_\varepsilon(\theta)$ corresponds to a horizontal line joining two holes in $\Xi_\varepsilon(\theta - \theta')$. Such a line (if it joins the center of the two holes) is a homoclinic trajectory. So we need to estimate the traveling time $M'(\gamma_j)$ of a homoclinic trajectory of $\Xi_\varepsilon(\theta - \theta')$. In [2] (Corollary I.2.2.1) we find the following estimate

$$(4.11) \quad |M'(\gamma_j)| > \frac{1}{2^{k(k+4)/2} \max(|x_0|, \dots, |x_k|)} > \frac{1}{2^{k(k+4)/2} \sqrt{k} ||\varepsilon||}$$

since all x_j satisfy $|x_j| < \sqrt{k}||\varepsilon||$. Moreover it is clear that $|M(\gamma_j)|$ is approximately $|M'(\gamma_j)|$ minus twice the time to travel from ∞ to $|x| = r$. Hence $|M(\gamma_j)| \sim |M'(\gamma_j)| - \frac{2}{k|r|^k}$.

To finish the proof we know that $\arg M(\gamma_j) \notin [-\delta, \delta]$. Hence the horizontal line of $\Xi_\varepsilon(\theta)$ will not encounter any hole if $|M(\gamma_j)| \sin \delta > \frac{2}{kr^k}$. From the estimate above, this is clearly satisfied as soon as $||\varepsilon||$ is sufficiently small. $\square$

Lemma 4.11. *If $\varepsilon \in \Sigma_0$ and $\theta(\varepsilon)$ is adequate, a horizontal strip as in Figure 4.2(b) will start in a singular point x_n and end in a singular point x_s such that $\operatorname{Re}(e^{i\theta} P'_\varepsilon(x_n)) > 0$ and $\operatorname{Re}(e^{i\theta} P'_\varepsilon(x_s)) < 0$. The same holds for an infinite strip with parallel slanted ends as in Figure 4.6.*

Theorem 4.12. *There exists a finite open covering $\{W_i\}_{i \in I}$ of Σ_0 , where the W_i are adequate sectors and, for each W_i , there exists a constant adequate angle $\theta_i(\varepsilon) =: \theta_i$ such that the conclusion of Lemma 4.10 holds.*

Proof. The proof is immediate if one works in the time coordinate (4.8). $\square$

Definition 4.13. An open covering $\{W_i\}_{i \in I}$ of Σ_0 as in Theorem 4.12 is called a **good covering** of Σ_0 and the angles θ_i are called **good angles**. Each W_i with this property is called a **good sector**.

Remark 4.14. To give a good covering of Σ_0 it is sufficient to give a good covering of $\Sigma_0 \cap \{\varepsilon : ||\varepsilon|| = \rho\}$. Then the good covering is given by the equivalence classes of the elements of the good covering of $\Sigma_0 \cap \{\varepsilon : ||\varepsilon|| = \rho\}$.

In the case $k = 1$ there exists a good covering with only two sectors, for instance $\arg(\varepsilon) \in (-\eta, \pi + \eta)$ and $\arg(\varepsilon) \in (-\pi - \eta, \eta)$, with $\eta \in (0, \pi)$. The smaller η , the less spiraling in the drawing of the sectors.

FIGURE 4.4. The sectors $V_{j,0}^{\pm}$ for $\varepsilon = 0$ FIGURE 4.5. A squid sector in z -coordinate for ε general

4.4. Squid sectors. The first integral of the model family is ramified in the x -variable. For each value of ε in a small neighborhood of the origin we will define $2k$ sectors in x -space (called adapted sectors), above which the first integral (4.3) is univalued and there is a one-to-one correspondence between the set of level curves of the first integral and $\mathbb{C}$.

For $\varepsilon = 0$ we define a unique set of $2k$ sectors $V_{j,0}^{\pm}$, $j = 0, \dots, k-1$ (Figure 4.4). These sectors define sectors $\partial V_{j,0}^{\pm}$ on the boundary $r\mathbb{S}^1 = \partial(r\mathbb{D})$: the sectors defined for $\varepsilon \neq 0$ will be associated to the same boundary sectors $\partial V_{j,\varepsilon}^{\pm}$ of $r\mathbb{S}^1$. For a given $\varepsilon \neq 0$ the $2k$ sectors may not be uniquely defined and for each $\varepsilon \neq 0$ belonging to several W_i there will be several non-equivalent sets of $2k$ adapted sectors $V_{j,\varepsilon}^{\pm}$ for $j = 0, \dots, k-1$, with same boundary sectors $\partial V_{j,\varepsilon}^{\pm}$ (one for each W_i). In particular, in the generic case, each sector will be adherent to two singular points and non-equivalent sectors may be adherent to different pairs of singular points. However we will limit ourselves to definitions of sectors valid on equivalence classes of ε (under the equivalence relation (4.6)). When $\varepsilon \rightarrow 0$ inside an equivalence class, any set of $2k$ sectors will have the same limit: $V_{j,\varepsilon}^{\pm} \rightarrow V_{j,0}^{\pm}$.

Definition 4.15. We consider a good sector $W \subset \Sigma_0$ on which we fix a good angle θ , and $\rho > 0$ so that the conclusions of Lemmas 4.5 and 4.10 hold.

FIGURE 4.6. Different squid sectors in z -space and their intersections.

- (1) We first build the squid sectors in z -coordinate around the ball B_0 of center 0. The other sectors are deduced by translations and changes of sheet. These sectors are somewhat wider than the $\partial V_{j,\varepsilon}^\pm$ of Figure 4.1, so as to give an open covering of $r\mathbb{D} \setminus \{x_0, \dots, x_k\}$. For a given $\varepsilon \in W$ we define

$$t_\varepsilon := \kappa \|\varepsilon\|^{-1}$$

where $\kappa > \frac{1}{k}r^{-k}$ is sufficiently small so that $t_\varepsilon \leq \frac{1}{3M(\gamma_j)}$ and $z^{-1}(t_\varepsilon\mathbb{D} \setminus B_0)$ does not meet the disc $\sqrt{k}\|\varepsilon\|\mathbb{D}$ containing the roots of P_ε (the number $M(\gamma_j)$ is defined in (4.10)). This choice of κ can be made independently on ε according to the estimate (4.11) of Lemma 4.10. The following construction corresponds to Figure 4.5:

- (a) inside $t_\varepsilon\mathbb{D} \setminus B_0$ the domain is a horizontal strip; the distance w between the horizontal boundary of the strip and the parallel diameter of B_0 is fixed once and for all satisfying $0 < w < \frac{2}{3k}r^{-k}$. We call it the **width** of the squid sectors.
- (b) outside the disk $t_\varepsilon\mathbb{D}$ the domain is a slanted strip comprised between straight lines making an angle θ with the horizontal. The distance between the outermost lines and the center of B_0 is $\frac{M(\gamma_j)}{2} + w$.

The domain in z -space is taken so that no two points project on the same x -point, *i.e.* differ by a period of $P_\varepsilon(x)$.

- (2) The projection in x -space of such a domain is called a **squid sector** and denoted $V_{j,\varepsilon}^\pm$. See Figure 4.7.
- (3) For $\varepsilon = 0$ we do the same construction with $t_0 := +\infty$ and $\theta := 0$, which corresponds to the half-plane with holes $\{Im(z) < w\} \setminus B_0$. See Figure 4.4 and Figure 4.6(d).

Lemma 4.16. *Any compact subset of $V_{j,0}^\pm$ is contained in a compact set of $V_{j,\varepsilon}^\pm$ for ε sufficiently small.*

FIGURE 4.7. The canonical squid sectors when $k = 1$ for different values of ε .

Proof. This is obvious from the Figures 4.4 and 4.5. □

Lemma 4.17. [2], [11]. *In the neighborhood of a generic $\varepsilon \in \Sigma_0$, any squid sector is adherent to two singular points x_s and x_n , one being an attractor and the other being a repeller for $\Xi_\varepsilon(\theta)$ given in (4.7).*

- (1) *In the case $k = 1$ the intersection of the two squid sectors is formed by three sectors V_ε^s , V_ε^n and V_ε^g , see Figure 4.7 and Figure 4.8. The upper-indices s (resp. n , g) refer to “saddle-like” (resp. “node-like” and “gate”). The gate structure was introduced by Oudkerk [11]. V_ε^s is adherent to an attracting point x_s for $\Xi_\varepsilon(\theta)$, V_ε^n is adherent to a repelling point x_n for $\Xi_\varepsilon(\theta)$ and V_ε^g is adherent to both.*
- (2) *In the case $k > 1$ the intersection of two consecutive squid sectors is given by one or two sectors, namely*
 - *in the case of $V_{j,\varepsilon}^+ \cap V_{j,\varepsilon}^-$ a sector $V_{j,\varepsilon}^s$, and an additional sector $V_{j,\sigma(j),\varepsilon}^g$ if and only if $\sigma(j) = j$.*
 - *in the case of $V_{j+1,\varepsilon}^+ \cap V_{j,\varepsilon}^-$ a sector $V_{j,\varepsilon}^n$, and an additional sector $V_{j,\sigma(j),\varepsilon}^g$ if and only if $\sigma(j+1) = j$.*

FIGURE 4.8. In the case $k = 1$ the intersections of the two sectors is formed of V_ε^n , V_ε^s and V_ε^g . To visualize V_ε^g , we need to take a translate of one of the sectors by a period.

$V_{j,\varepsilon}^s$ is adherent to an attracting point for $\Xi_\varepsilon(\theta)$, $V_{j,\varepsilon}^n$ is adherent to a repelling point for $\Xi_\varepsilon(\theta)$ and $V_{j,\sigma(j),\varepsilon}^g$ exists if and only if the two sectors share the same singular points, in which case it is adherent to both.

In order to be able to give definitions valid for all sectors we will often use the notation

$$(4.12) \quad V_{j,\varepsilon}^g := V_{j,\sigma(j),\varepsilon}^g.$$

- (3) Two non consecutive squid sectors $V_{j,\varepsilon}^+$ and $V_{\ell,\varepsilon}^-$ intersect along a gate sector $V_{j,\ell,\varepsilon}^g$ if and only if $\ell = \sigma(j)$ (see for instance Figure 4.9), i.e. in the case where they are adherent to the same singular points.

Remark 4.18. The boundary of a squid sector $V_{j,\varepsilon}^\pm$ can be split into four parts for generic ε , which we explicit in x -coordinate since its structure in z -coordinate is simpler to recover :

- starting from x_n we follow a “S”-shaped spiraling curve to reach x_s
- then we reach the circle rS^1 by following a spiral
- we follow an arc of circle, corresponding to $\partial V_{j,\varepsilon}^\pm$
- we close the loop by reaching x_n along another spiral.

Lemma 4.19. *Let $W \subset \Sigma_0$ be a good sector. The squid sectors can be taken depending analytically on $\varepsilon \in W$ and continuously on $\varepsilon \in W \cup \{0\}$.*

Remark 4.20. Simply taking a horizontal strip in z -coordinate would not work for our construction. Indeed we need to discriminate between singular points of saddle-type x_s and node-type x_n for the foliation. This goal is achieved by taking slanted strips near infinity (corresponding to spirals in x -coordinates) which forces the leaves respectively either not to or to accumulate on the point when following the sector. We discuss this property in the upcoming Section 4.5.

Moreover simply taking a slanted strip in z -coordinate would not ensure the convergence $V_{j,\varepsilon}^\pm \rightarrow V_{j,0}^\pm$, as θ is fixed when ε belongs to a good sector W_i and θ is taken to be 0 when $\varepsilon = 0$. This is the reason why we need a mixed construction for the squid sectors.

4.5. Study of the foliations of the model family. We consider the first integral of the model (4.3) over fibered squid sectors

$$(4.13) \quad \mathcal{V}_{j,\varepsilon}^\pm := V_{j,\varepsilon}^\pm \times \mathbb{C}$$

constructed with an adapted set of squid sectors $V_{j,\varepsilon}^\pm$.

(a) Here p_0 and p_1 are of saddle type while p_2 is of node type.

(b) Here p_1 is of saddle type whereas p_0 and p_2 are of node type.

FIGURE 4.9. Examples of (non-equivalent) squid sectors in the case $k = 2$ for the same value of ε and different choices of θ .

FIGURE 4.10. Modulus of a leaf of the model foliation over a squid sector ($k = 1$). These drawings justify the terms “node type” (on the left of each figure) and “saddle type” (on the right) qualifying the singular points.

Definition 4.21.

- (1) Let $\varepsilon \in \Sigma_0$. Each fibered squid sector is adherent to two distinct singular points $(x_n, 0)$ and $(x_s, 0)$ of X_ε^M . The point $(x_n, 0)$ (resp. $(x_s, 0)$) is said to be of **node type** (resp. **saddle type**) if $\operatorname{Re}(\exp(i\theta)P'_\varepsilon(x_n)) > 0$ (resp. $\operatorname{Re}(\exp(i\theta)P'_\varepsilon(x_s)) < 0$). We note $p_n := (x_n, 0)$ and $p_s := (x_s, 0)$. Depending on the context we may also use the notation $p_{j,n} = (x_{j,n}, 0)$ or $p_{j,n}^\pm = (x_{j,n}^\pm, 0)$ (similarly $p_{j,s}$ or $p_{j,s}^\pm$) to emphasize that we consider the singular points of node and saddle type associated to the fibered squid sector $\mathcal{V}_{j,\varepsilon}^\pm$.

- (2) If $\varepsilon = 0$ we set $p_{j,n} := p_{j,s} := (0, 0)$.

For each fibered squid sector we fix the principal holomorphic determination $H_{j,\varepsilon}^{M,\pm}$ of the first integral H_ε^M (defined in (4.3)) on $\mathcal{V}_{j,\varepsilon}^\pm$. This is defined starting on the boundary in sector $\partial V_{0,\varepsilon}^+$ of Figure 4.1, turning on $|x| = r$ in the positive direction and then extending to the interior of the sectors.

Lemma 4.22. *The convergence $H_{j,\varepsilon}^{\pm,M} \rightarrow H_{j,0}^{\pm,M}$ is uniform on any compact set of $V_{j,0}^\pm$.*

Proposition 4.23. *Let $r > 0$ and $\varepsilon \in \Sigma_0 \cup \{0\}$ be given. The foliation $\mathcal{F}_{j,\varepsilon}^\pm$ induced by X_ε^M on $\mathcal{V}_{j,\varepsilon}^\pm$ satisfies the following properties :*

- (1) *For each leaf $\mathcal{L}$ of $\mathcal{F}_{j,\varepsilon}^\pm$ there exists $h \in \mathbb{C}$ such that $\mathcal{L} = \left(H_{j,\varepsilon}^{M,\pm}\right)^{-1}(h)$. On the other hand, for any $h \in \mathbb{C}$ the set $\left(H_{j,\varepsilon}^{M,\pm}\right)^{-1}(h)$ is a leaf of $\mathcal{F}_{j,\varepsilon}^\pm$.*
- (2) *There exists a holomorphic function $K : V_{j,\varepsilon}^\pm \times \mathbb{C} \rightarrow \mathbb{C}$ such that the leaf of $\mathcal{F}_{j,\varepsilon}^\pm$ corresponding to $h \in \mathbb{C}$ coincides with the graph of $x \mapsto K(x, h)$.*
- (3) *There exists $r, \rho > 0$ such that for any $r' > 0$, any $\varepsilon \in \Sigma_0 \cup \{0\}$ with $\|\varepsilon\| \leq \rho$ and any $(\bar{x}, \bar{y}) \in V_{j,\varepsilon}^\pm \times r'\mathbb{D} \setminus \{0\}$ the closure of $\left[K\left(\cdot, H_{j,\varepsilon}^{\pm,M}(\bar{x}, \bar{y})\right)\right]^{-1}(r'\mathbb{S}^1)$ is a (connected) real analytic curve D which separates $V_{j,\varepsilon}^\pm$ into two connected components, and crosses transversely the boundary $\partial V_{j,\varepsilon}^\pm$ in exactly two points (see Figure 4.11(a)). One connected component of $V_{j,\varepsilon}^\pm \setminus D$ accumulates on $x_{j,n}$ while the other accumulates on $x_{j,s}$. Moreover $D \rightarrow \{x_{j,n}\}$ as $r' \rightarrow 0$.*
- (4) *Let $r', r > 0$ be given. Then :*
 - (a) $H_{j,\varepsilon}^{M,\pm}(V_{j,\varepsilon}^\# \times r'\mathbb{D}) = \mathbb{C}$ for $\# \in \{\pm, n, g\}$
 - (b) $H_{j,\varepsilon}^{M,\pm}(V_{j,\varepsilon}^s \times r'\mathbb{D}) = \eta(r')\mathbb{D}$ with $\eta(r') = r'O(1)$ uniformly in ε belonging to a good sector.
- (5) *There exists a unique distinguished leaf, the zero level curve of $H_{j,\varepsilon}^{M,\pm}$, which is adherent to both singular points $p_{j,s}$ and $p_{j,n}$. The distinguished leaves over the different sectors glue in a global leaf in $r\mathbb{D} \times \mathbb{C}$, which actually is $(r\mathbb{D} \setminus \{x_0, \dots, x_k\}) \times \{0\}$.*
- (6) *Assume $\varepsilon \neq 0$. In each sector $\mathcal{V}_{j,\varepsilon}^\pm$ all leaves, except the distinguished leaf, are adherent to exactly one of the singular points, namely $p_{j,n}$.*

Proof. We drop all indices so as to enlighten the ideas. Because the squid sectors are simply connected the first integral H is univalued. We note $\mathcal{L}_h := H^{-1}(h)$ the curve of level h of H .

(1) Firstly the relation $X \cdot H = 0$ yields that the function H is constant on each leaf of $\mathcal{F}$. Thus each $\mathcal{L}_h$ is a union of leaves. For a fixed $\bar{x} \in V$ the map $H_{\bar{x}} : y \mapsto H(\bar{x}, y)$ is linear and invertible. Hence, given $(\bar{x}, \bar{y}) \in \mathcal{V}$, there exists $h := H(\bar{x}, \bar{y})$ such that $(\bar{x}, \bar{y}) \in \mathcal{L}_h$; in particular every leaf of $\mathcal{F}$ is contained in some $\mathcal{L}_h$. On the other hand the injectivity of $H_{\bar{x}}$ implies that if $(\bar{x}, \bar{y})$ and $(\bar{x}, \tilde{y})$ lie in distinct leaves then $H(\bar{x}, \bar{y}) \neq H(\bar{x}, \tilde{y})$. The conclusion follows since any $(\tilde{x}, \tilde{y})$ may be connected within a leaf to some $(\bar{x}, y)$ using the fact that $\mathcal{F}$ is transverse to the lines $\{x = \text{cst}\}$ on $\mathcal{V}$.

(2) is a direct consequence of (1). Each leaf $\mathcal{L}_h$ coincides with the graph of the holomorphic function

$$(4.14) \quad K(\cdot, h) : x \mapsto h \frac{y}{H(x, y)}.$$

(Note that $\frac{y}{H(x, y)}$ is a function of x alone.)

(3) We work in z -coordinate as in Lemma 4.7 and assume that $h \neq 0$. The map $\tilde{K} : z \mapsto K(x(z), h)$ satisfies the differential equation

$$(4.15) \quad \frac{d\tilde{K}}{dz}(z) = \tilde{K}(z) \left(1 + ax(z)^k\right).$$

Hence

$$(4.16) \quad \begin{aligned} \tilde{K}(z) &= \bar{y} \exp A(z) \neq 0 \\ A(z) &= \int_{\bar{z}}^z \left(1 + ax(s)^k\right) ds \end{aligned}$$

where A is holomorphic on a neighborhood of the strip $\tilde{V}$ corresponding in z -coordinate to the closure of the squid sector V (see Lemmas 4.10 and 4.11). We let $z = u + iv$. The level sets $\left\{ \left| \tilde{K} \right| = r' \right\} = \left\{ \operatorname{Re}(A) = \ln \frac{r'}{|\bar{y}|} \right\}$ for fixed $\bar{y}$ and different $r' > 0$ define a regular real analytic foliation of $\tilde{V}$ through the differential system

$$(4.17) \quad \begin{aligned} \dot{u} &= -\frac{\partial}{\partial v} \operatorname{Re}(A(u + iv)) = \operatorname{Im}(a(x(u + iv))^k) \\ \dot{v} &= \frac{\partial}{\partial u} \operatorname{Re}(A(u + iv)) = 1 + \operatorname{Re}(a(x(u + iv))^k) . \end{aligned}$$

A first observation is that $t \mapsto v(t)$ is strictly monotonous provided that $r^k |a| < 1$. Indeed we have $|\dot{u}| < |a| r^k$ and $|\dot{v} - 1| < |a| r^k$. We will assume now that $r^k |a| < 1$, which can be achieved for r sufficiently small independently on ε . By integrating the previous inequalities between 0 and t we obtain :

$$(4.18) \quad |v(t) - v(0) - t| \leq |t| |a| r^k$$

$$(4.19) \quad \left| \frac{u(t) - u(0)}{v(t) - v(0)} \right| \leq \frac{r^k |a|}{1 - r^k |a|}$$

We further require that $\eta := \frac{r^k |a|}{1 - r^k |a|} < 1$ by potentially diminishing r if necessary. The curve $\tilde{D} : t \mapsto (u(t), v(t))$ lies thus in the union of the conic regions $\mathcal{C}_+ := \{|u - u(0)| \leq \eta(v - v(0))\}$ (for $t \geq 0$) and $\mathcal{C}_- := \left\{ v - v(0) \leq -\frac{1}{\eta} |u - u(0)| \right\}$ (for $t \leq 0$).

Let us write $\partial \tilde{V} = B_- \cup B_+$ where B_+ (*resp.* B_-) comes from the upper (*resp.* lower boundary) of $\tilde{V}$ on z -coordinate (see Figure 4.11(b)). Because of (4.18) we derive that the integral curve obtained for $\bar{z} = u(0) + iv(0)$ cuts $\partial \tilde{V}$ in at least two points $z_+ \in B_+$ and $z_- \in B_-$. Indeed $|\theta(\varepsilon)| < \frac{\pi}{4}$. Hence if we take any starting point $z = u(0) + iv(0)$ in B_+ (*resp.* B_-) the set $\mathcal{C}_+$ (*resp.* $\mathcal{C}_-$) intersects $\tilde{V}$ only at z . This yields the uniqueness of $z_{\pm}$. See Figure 4.11(b).

The fact that one component accumulates of x_n and the other on x_s comes clearly from Lemma 4.11. If $\varepsilon \in \Sigma_0$ the fact that D cannot converge to $\{x_s\}$ follows from the construction of θ since $\cos \theta > 0$ and

$$(4.20) \quad |K(x(te^{i\theta} + \bar{z}), h)| \sim_{t \rightarrow \pm\infty} A \exp(t \cos \theta)$$

$$(4.21) \quad \lim_{t \rightarrow \pm\infty} x(te^{i\theta} + \bar{z}) = x_{\#}$$

where $\# = n$ (*resp.* $\# = s$) if $\operatorname{Re}(e^{i\theta} P'_\varepsilon(x_n)) > 0$ (*resp.* $\operatorname{Re}(e^{i\theta} P'_\varepsilon(x_s)) < 0$) and $t \rightarrow -\infty$ (*resp.* $t \rightarrow +\infty$). More details can be found in Lemma 6.6.

(4) According to the discussion made just above we have, for fixed $\bar{y}$,

$$(4.22) \quad \lim_{x \rightarrow x_n, x \in V} |H(x, \bar{y})| = \infty$$

$$(4.23) \quad \lim_{x \rightarrow x_s, x \in V} |H(x, \bar{y})| = 0$$

Because H is linear in $\bar{y}$ and the argument of $\bar{y}$ takes all values, every $h \in \mathbb{C}$ is reached on any sector accumulating on x_n . The same argument shows that $H(V^s)$ is a disk of radius

$$(4.24) \quad \begin{aligned} \eta(r') &= \sup_{V^s \times r' \mathbb{D}} |H(x, y)| \\ &= r' \max_{\partial V^s \setminus \{x_s\}} |H(x, 1)| . \end{aligned}$$

The fact that

$$(4.25) \quad H_0^M(x, y) = yx^{-a(0)} \exp \frac{1}{kx^k}$$

yields $\eta_0(r') = r' \exp\left(\frac{c}{kr^k}\right)$ for some constant $c > 1$ depending on the width of V_0^s . This proves the claim as $\partial V_\varepsilon^s \setminus \{x_s\} \rightarrow \partial V_0^s \setminus \{0\}$ and $H_\varepsilon(\cdot, 1) \rightarrow H_0(\cdot, 1)$ uniformly on $\overline{V_0^s} \setminus \{0\}$.

FIGURE 4.11. The trace $D := \{x : |K_{j,\varepsilon}^\pm(x, h)| = r'\}$ of the leaf on $V_{j,\varepsilon}^\pm \times r'\mathbb{S}^1$.

(5) The line $\{y = 0\} \setminus \{p_0, \dots, p_k\}$ clearly is the curve of level 0 of H , so is a leaf. All the principal determinations of H_ε^M agrees on $\{y = 0\}$ so that these distinguished leaves glue in a global leaf.

(6) It follows from (3). Indeed, let $\mathcal{L}_h$ be a leaf of $\mathcal{F}$ with $h \neq 0$. On the one hand $\mathcal{L}_h$ cannot accumulate on p_s because x_s belongs to the closure of $\{|K(\cdot, h)| > r'\}$ for all $r' > 0$. On the other hand $D \rightarrow \{x_n\}$ as $r' \rightarrow 0$ so that x_n lies in $\overline{\mathcal{L}_h}$. $\square$

5. THE CENTER MANIFOLDS

This section is purely orbital so we work with a prepared family X_ε of vector fields of the form (3.15). Let us define for $k > 1$ the sectors

$$(5.1) \quad V_{j,\varepsilon} := V_{j,\varepsilon}^+ \cup V_{j,\varepsilon}^-$$

and

$$(5.2) \quad \mathcal{V}_{j,\varepsilon} := V_{j,\varepsilon} \times r'\mathbb{D},$$

built from the squid sectors obtained in Definition 4.15 (see Figure 5.1). If $k = 1$ we merge $V_{0,\varepsilon}^+$ and $V_{0,\varepsilon}^-$ only on the saddle and gate sides. This yields a sector of opening greater than 2π , which must be considered in the universal covering of x -space punctured at x_n .

Lemma 5.1. *Each sector $\mathcal{V}_{j,\varepsilon}$ contains a singular point $p_{j,s} = (x_{j,s}, 0)$ such that the x -eigenvalue (resp. y -eigenvalue) of the linearized vector field of $\exp(i\theta(\varepsilon))X_\varepsilon$ at $p_{j,s}$ has a negative (resp. positive) real part.*

We choose to study the family (3.15) over a fixed polydisk in (x, y) -space, taken as $r\mathbb{D} \times r'\mathbb{D}$. For $\varepsilon = 0$ the vector field has a formal center manifold given by a (generically divergent) power series $y = \hat{S}(x) = \sum_{n \geq 2} a_n x^n$. The sum of this series gives k center manifolds as graphs of functions $\{y = S_{j,0}(x)\}$ over the sectors $V_{j,0}$ provided r is sufficiently small with respect to r' .

Theorem 5.2. *We consider a prepared family of the form (3.15). There exists $\rho > 0$ such that for each ε with $\|\varepsilon\| \leq \rho$ and adapted set of sectors $V_{j,\varepsilon}$, $j = 0, \dots, k-1$, there exist k leaves which are center manifolds defined by graphs $\{y = S_{j,\varepsilon}(x)\}$ over $V_{j,\varepsilon}$ and such that $\lim_{x \rightarrow x_{j,s}} S_{j,\varepsilon}(x) = 0$. In the*

limit when $\varepsilon \rightarrow 0$ inside an equivalence class then $S_{j,\varepsilon} \rightarrow S_{j,0}$ uniformly on compact sets of $\mathcal{V}_{j,0}$. The $S_{j,\varepsilon}$ are unique on $V_{j,\varepsilon}$ and are called **sectorial center manifolds**. Let $W \subset \Sigma_0$ be a good sector. Then the $S_{j,\varepsilon}$ depend analytically on $\varepsilon \in W$. Moreover the $S_{j,\varepsilon}$ are uniformly bounded in $\varepsilon \in W \cup \{0\}$.

Remark 5.3. In fact we have $S_{j,\varepsilon} = O(P_\varepsilon)$.

Proof. The proof is adapted from that of [14], with ideas borrowed from Glutsyuk [3]. The idea is that the graph of the function $S_{j,\varepsilon}(x)$ is the stable manifold of $(x_{j,s}, 0)$ given in Lemma 5.1. The function $S_{j,\varepsilon}(x)$ of the theorem must be a solution of the nonlinear differential equation:

$$(5.3) \quad P_\varepsilon(x)S'_{j,\varepsilon}(x) = S_{j,\varepsilon}(x)(1 + a(\varepsilon)x^k) + S_{j,\varepsilon}^2(x)R_{2,\varepsilon}(x, S_{j,\varepsilon}(x)) + P_\varepsilon(x)R_{0,\varepsilon}(x),$$

such that $S_{j,\varepsilon}(x_j) = 0$. For $\varepsilon = 0$ the solution of (5.3) is k -summable in all directions except in the directions $\exp(\frac{2\pi i \ell}{k})\mathbb{R}_{\geq 0}$ for $\ell \in \mathbb{Z}/k$, see [9]. If r is chosen sufficiently small the equation (5.3) with $\varepsilon = 0$ has a solution over $V_{j,0}$ for each $j = 0, \dots, k-1$. We can always suppose that r is sufficiently small so that $|S_{j,0}(x)| < |x|$ for $|x| = r$ (this comes from the fact that $S_{j,0}(x)$ has an asymptotic expansion of the form $O(x^{k+1})$ near $x = 0$).

The equation (5.3) has an analytic solution defined in the neighborhood of $x_{j,s}$ and vanishing at $x_{j,s}$ (because the quotient of eigenvalues is neither zero nor a positive real number). For ε sufficiently small in an equivalence class we now need to extend this solution to $V_{j,\varepsilon}$. For (x, ε) sufficiently small the inequality $|\dot{y}| > |\dot{x}|$ is satisfied for (x, y) in the cones: $K_\ell(\varepsilon) = \{(x, y) : |y| > |x - x_\ell|\}$, $\ell = 0, \dots, k-1$. Also leaves of the foliation of (5.3) contain trajectories with real time of all systems of the form $X_\varepsilon(\theta) = e^{i\theta} X_\varepsilon$.

We need to find points $(x', S_{j,\varepsilon}(x'))$, with $|x'| = r$, which “should” belong to the center manifold and are located under the cones $K_\ell(\varepsilon)$. The extension of their trajectories under the different $v_\varepsilon(\theta)$ will yield the full center manifold. The details are as follows.

We let $x' = r \exp(\frac{\pi i(2j+1)}{k})$. Let Φ_0^t be the flow of X_0 . Then for all $(x'', S_{j,0}(x''))$ with $|x''| = r$ and $x'' \in \overline{V}_{j,0}$ there exists $t(x'') \in \mathbb{C}$ such that $(x'', S_{j,0}(x'')) = \Phi_0^{t(x'')}(x', S_{j,0}(x'))$.

Let $\eta > 0$ small. The trajectories with real time starting at (x', y) with $|y - S_{j,0}(x')| = \eta$, i.e. on a circle B , cross the cylinder C given by $|y| = r'$ along a non-contractible loop γ : this yields a continuous map Π_0 from the circle B to the cylinder C .

We limit ourselves to values of ε with $||\varepsilon|| \leq \rho$ where ρ is sufficiently small so that x_j remain inside $|x| < r$. For small ε the map Π_0 is deformed to a continuous map Π_ε from the circle B to the cylinder C . Hence there is a topological obstruction to the continuous extension of Π_ε to the disk $D = \{(x, y) : x = x', |y - S_{j,0}(x)| \leq \eta\}$ given by the interior of B inside the section $\{x = x'\}$, yielding that the orbit of at least one point (x', y'_ε) of D does not meet the cylinder.

Then the forward trajectory of (x', y'_ε) “remains under” the cones K_ℓ , and in particular lies in the region $|y| < |x - x_{j,s}|$.

For all x'' with $|x''| = r$ and $x'' \in \overline{V}_{j,\varepsilon}$ there exists $t_\varepsilon(x'')$ such that $\Phi_\varepsilon^{t_\varepsilon(x'')}(x', y'_\varepsilon) = (x'', y''_\varepsilon)$. We let $S_{j,\varepsilon}(x'') = y''_\varepsilon$. When ε is small the map $S_{j,\varepsilon}$ is close to $S_{j,0}$ on $\{|x| = r\} \cap \overline{V}_{j,0}$. In particular, if ε is sufficiently small we have $|y''_\varepsilon| < |x - x_\ell|$ for all ℓ .

We limit ourselves to values of ε in a good sector. Hence, if θ is a good angle, all trajectories of $\exp(i\theta)X_\varepsilon$ starting at points (x'', y''_ε) belong to the invariant manifold of $(x_{j,s}, 0)$, i.e. give an extension of $S_{j,\varepsilon}(x)$.

The uniform boundedness of the $S_{j,\varepsilon}$ comes from the fact that all graphs of functions $S_{j,\varepsilon}$ over $V_{j,\varepsilon}$ are located below the cones $K_{j,s}(\varepsilon)$. $\square$

Remark 5.4. Although the k center manifolds seem to be attached to the attracting parts of $\partial r\mathbb{D}$, they are only unique when an adapted set of squid sectors is given. Different center manifolds attached to different sets of adapted squid sectors may not coincide near $\partial r\mathbb{D}$ (see Figure 4.9).

The Martinet-Ramis modulus for analytic classification is a $2k$ -tuple of germs of analytic maps $\mathcal{N}_0 = (\psi_0^\infty, \dots, \psi_{k-1}^\infty, \phi_0^0, \dots, \phi_{k-1}^0)$, the ψ_j^∞ being affine maps. These k affine maps will unfold as affine maps $\psi_{j,\varepsilon}^\infty$ which will measure the shift between the k center manifolds: to do this we will need

FIGURE 5.1. An example of the sectors $V_{j,\epsilon}$ together with the node part of the modulus $\psi_{j,\epsilon}^\infty$ when $k = 3$.

to introduce adequate coordinates on which to define the $\psi_{j,\epsilon}^\infty$. These coordinates will parameterize the space of leaves over the neighborhoods $V_{j,\epsilon}^\pm$. In particular the $\psi_{j,\epsilon}^\infty$ will all be linear when the k sectorial center manifolds glue together as a global invariant manifold. The relative position of the k center manifolds can be read precisely from the $\psi_{j,\epsilon}^\infty$.

Example 5.5. Let us interpret the $\psi_{j,\epsilon}^\infty$ in Figure 5.1. The points $(x_2, 0)$ and $(x_3, 0)$ have stable manifolds. The points $(x_0, 0)$ and $(x_1, 0)$ may have weak invariant manifolds if the quotient of their eigenvalues is not in $1/\mathbb{N}_{\neq 0}$.

- (1) $\psi_{0,\epsilon}^\infty$ measures if the stable manifold of $(x_2, 0)$ is ramified at $(x_1, 0)$: it is indeed the case if $\psi_{0,\epsilon}^\infty$ is nonlinear. In that case, if $(x_1, 0)$ has a weak invariant manifold, then necessarily it does not coincide with the stable manifold of $(x_2, 0)$.
- (2) $\psi_{1,\epsilon}^\infty$ measures if the stable manifolds of $(x_2, 0)$ and $(x_3, 0)$ coincide or not: they coincide precisely if $\psi_{1,\epsilon}^\infty$ is linear.
- (3) $\psi_{2,\epsilon}^\infty$ measures if the stable manifolds of $(x_2, 0)$ and $(x_3, 0)$ coincide on the other side of $(x_0, 0)$.
- (4) From (1) and (2) it is possible to decide if the stable manifold of $(x_2, 0)$ coincides with the weak invariant manifold of $(x_0, 0)$. Indeed if $\psi_{1,\epsilon}^\infty$ (*resp.* $\psi_{2,\epsilon}^\infty$) is linear and $\psi_{2,\epsilon}^\infty$ (*resp.* $\psi_{1,\epsilon}^\infty$) is nonlinear, then necessarily the stable manifold of $(x_2, 0)$ does not coincide with the weak invariant manifold of $(x_0, 0)$. In the particular case where $(x_0, 0)$ would be a resonant node this would imply that it would have no weak invariant manifold (this is the **parametric resurgence phenomenon** described in [14]).
- (5) It is also possible to decide directly if the stable manifold of $(x_2, 0)$ coincides with the weak invariant manifold of $(x_0, 0)$ even if both $\psi_{0,\epsilon}^\infty$ and $\psi_{1,\epsilon}^\infty$ are nonlinear, but this is more involved and requires two additional tools: the Lavaurs maps and the other part of the modulus, namely the functions $\phi_{j,\epsilon}^0$. Indeed we need a characterization of the weak invariant manifold of $(x_0, 0)$: it is a leaf which is fixed when one turns around $(x_0, 0)$. Following the leaves when one turns around a singular point requires the transition maps between the space of leaves associated to the different $V_{j,\epsilon}^\pm$ over the sectors $V_{j,\sigma(j),\epsilon}^g$. These transition maps, called Lavaurs maps, are global linear maps. The maps $\phi_{j,\epsilon}^0$ are related to transition maps between the space of leaves associated to the different $V_{j,\epsilon}^\pm$ over the sectors $V_{j,\epsilon}^s$. We come back to this in Section 12.1, Example 12.1.

Corollary 5.6. *We consider a prepared family (3.15) and $W \subset \Sigma_0$ a good sector on which the conclusion of Theorem 5.2 is satisfied. The family of changes of coordinates $(x, y) \mapsto (x, y - S_{j,\varepsilon}(x))$ transforms the family $(X_\varepsilon)_\varepsilon$ into*

$$(5.4) \quad (X_{j,\varepsilon})_\varepsilon := \left(P_\varepsilon(x) \frac{\partial}{\partial x} + y \left(1 + a(\varepsilon)x^k + \tilde{R}_{j,\varepsilon}(x, y) \right) \frac{\partial}{\partial y} \right)_\varepsilon$$

with $\tilde{R}_{j,\varepsilon} = O(y)$ over $V_{j,\varepsilon}$ and uniformly in $\varepsilon \in W \cup \{0\}$.

6. ASYMPTOTIC PATHS

We want to show that the foliation $\mathcal{F}_{j,\varepsilon}^\pm$ induced by Z_ε over “canonical sectors” is “trivial” in some way, the triviality being expressed in terms of asymptotic cycles. This property will ensure that Z_ε is analytically conjugate to the model over these sectors, as explained in Section 7.

In order to build the canonical sectors we first give some definitions.

6.1. Basic definitions. Throughout this section $\overline{\Omega}$ stands for the topological closure of Ω .

Definition 6.1. Let Z be a vector field with components holomorphic on a neighborhood of $\overline{\Omega}$ for some open set $\Omega \subset \mathbb{C}^2$ and consider the foliation $\mathcal{F}$ induced by Z on Ω .

- (1) A piecewise- C^1 map $\gamma : \mathbb{R} \rightarrow \overline{\Omega}$ satisfying
 - (a) there exists a leaf $\mathcal{L}$ or a singular point $\mathcal{L} := \{S\}$ such that: $(\forall t \in \mathbb{R}) \gamma(t) \in \mathcal{L}$
 - (b) $\lim_{t \rightarrow \pm\infty} \gamma(t) = p_\pm \in \overline{\Omega}$
 is called an **asymptotic path**, linking p_- to p_+ within $\mathcal{F}$. These points need not belong to the same leaf (they can be singularities of $\mathcal{F}$) and are called the **endpoints** of γ . They will be referred to as $\gamma(\pm\infty)$. The map γ will be called an **asymptotic cycle** if $p_- = p_+$.
- (2) A piecewise- C^1 map $h : \mathbb{R} \times \mathbb{R} \rightarrow \overline{\Omega}$ such that
 - (a) $(\forall t \in \mathbb{R} \cup \{\pm\infty\}) h(t, \cdot), h(\cdot, t)$ are asymptotic paths
 - (b) the family $(h(t, \cdot))_{t \in \mathbb{R}}$ (*resp.* $(h(\cdot, t))_{t \in \mathbb{R}}$) converges uniformly to $h(\pm\infty, \cdot)$ (*resp.* $h(\cdot, \pm\infty)$) as $t \rightarrow \pm\infty$
 is called an **asymptotic homology** between $\gamma_{-\infty} := h(-\infty, \cdot)$ and $\gamma_{+\infty} := h(+\infty, \cdot)$.

This notion will be useful to express the triviality of $\mathcal{F}$ over the canonical sectors. In fact one could give a definition of what may be called “asymptotic homology of $\mathcal{F}$ over Ω ” by considering the complex of $\mathbb{Z}$ -modules generated by points (0-chains), asymptotic paths (1-chains) and asymptotic homologies (2-chains) endowed with boundary operators. We will not need these refinements in our present study but that is what is at work here.

Definition 6.2.

- (1) Let $p \in \overline{\Omega}$. We define the **connected component** of p in $\mathcal{F}$ as the set

$$(6.1) \quad \{q \in \overline{\Omega} : (\exists \gamma \text{ an asymptotic path}) \gamma(-\infty) = p \text{ and } \gamma(+\infty) = q\}.$$

We say that $\mathcal{F}$ is **connected** when there exists a point $p \in \overline{\Omega}$ such that all points of $\overline{\Omega}$ belong to the connected component of p .

- (2) We say that $\mathcal{F}$ is **simply connected** when each asymptotic cycle is asymptotically homologous to a constant path.
- (3) A foliation $\mathcal{F}$ both connected and simply connected will be called **(asymptotically) trivial**.

Remark 6.3. We will show below that the foliation over each squid sector is connected. The point p we will choose will be the point of node type in the closure of the squid sector. Remark that the connected component p' of an interior point of the squid sector will only be the closure of the leaf through that point.

6.2. Canonical sectors. After these preparations we shall prove the following:

Theorem 6.4. *We consider an adapted set of squid sectors $V_{j,\varepsilon}^\pm$ covering $r\mathbb{D}$ in x -space where ε belongs to some good sector $W \subset \Sigma_0$. Each $V_{j,\varepsilon}^\pm$ is adherent to two points $x_{j,s}$ and $x_{j,n}$. Let $\mathcal{V}_{j,\varepsilon}^\pm$ be the interior of the connected component of $p_{j,n} = (x_{j,n}, 0)$ in the foliation induced by Z_ε over $V_{j,\varepsilon}^\pm \times r'\mathbb{D}$. There exist $r, r', \rho > 0$ such that the following assertions hold for $\varepsilon \in W \cup \{0\}$:*

- (1) *For each $p \in \mathcal{V}_{j,\varepsilon}^\pm$ there exists an asymptotic path $\gamma_{j,\varepsilon}^\pm(p)$ within $\mathcal{F}_{j,\varepsilon}^\pm$ such that $\gamma_{j,\varepsilon}^\pm(-\infty) = p_{j,n}$ and $\gamma_{j,\varepsilon}^\pm(t) = p$ for all $t \geq 0$.*
 - (2) *The domain $\mathcal{V}_{j,\varepsilon}^\pm$ contains a fibered squid sector $V_{j,\varepsilon}^\pm \times r''\mathbb{D}$. We denote $\mathcal{F}_{j,\varepsilon}^\pm$ the foliation induced by Z_ε over $\mathcal{V}_{j,\varepsilon}^\pm$.*
 - (3) *There exists a unique leaf $\mathcal{S}_{j,\varepsilon}^\pm$ of $\mathcal{F}_{j,\varepsilon}^\pm$ accumulating on both $p_{j,n}$ and $p_{j,s}$ corresponding to the sectorial center manifold of $Z_{j,\varepsilon}$. This leaf is the graph of a holomorphic function*
- $$(6.2) \quad S_{j,\varepsilon}^\pm : V_{j,\varepsilon}^\pm \rightarrow r'\mathbb{D}$$

which extends as a continuous function on the closure $S_{j,\varepsilon}^\pm(x_{j,n}) = S_{j,\varepsilon}^\pm(x_{j,s}) = 0$. The sectorial central manifolds $\mathcal{S}_{j,\varepsilon}^\pm$ glue on $\mathcal{V}_{j,\varepsilon} = \mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ and coincide with the graph of $x \mapsto S_{j,\varepsilon}(x)$ (see Theorem 5.2). (Of course in the case $k = 1$ the gluing only occurs on the saddle and gate sides and $\mathcal{V}_{j,\varepsilon} = \mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ is a sector of opening greater than 2π .)

- (4) *The foliation $\mathcal{F}_{j,\varepsilon}^\pm$ is asymptotically trivial.*

We postpone the proof of Theorem 6.4 till Section 6.3.

Definition 6.5. The $2k$ sectors $\mathcal{V}_{j,\varepsilon}^\pm$ are called the **canonical sectors** associated to Z_ε .

For a good sector $W \subset \Sigma_0$ and $\varepsilon \in W \cup \{0\}$ we let

$$(6.3) \quad \mathcal{V}_\varepsilon := \text{int} \left(\text{clos} \left(\bigcup_{j=0}^{k-1} (\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-) \right) \right).$$

This is an open neighborhood of $(0, 0)$ containing a polydisk $r\mathbb{D} \times r''\mathbb{D}$ independent of ε .

6.3. Proof of Theorem 6.4. We fix a good angle θ associated to a good sector W , see Lemma 4.13. Note that (3) has been proved in Theorem 5.2, so we can apply the change of coordinates $(x, y) \mapsto (x, y - S_{j,\varepsilon}(x))$, where $x \mapsto S_{j,\varepsilon}(x)$ is the sectorial central manifold over $V_{j,\varepsilon}^+ \cup V_{j,\varepsilon}^-$, which sends the foliation $\mathcal{F}_{j,\varepsilon}^\pm$ on $\mathcal{F}'$ defined by

$$(6.4) \quad \tilde{X}_\varepsilon(x, y) := P_\varepsilon(x) \frac{\partial}{\partial x} + y \left(1 + a(\varepsilon)x^k + \tilde{R}_\varepsilon(x, y) \right) \frac{\partial}{\partial y}$$

on $V_{j,\varepsilon}^\pm \times r'\mathbb{D}$ (see Corollary 5.6). We will prove the remaining claims (1), (2) and (4) for that foliation which, after possibly decreasing $r, r', \rho > 0$, will still hold back in the original coordinates. The proof will rely on the following straightforward estimate which we give without proof :

Lemma 6.6. *Let $\chi(t) := |y(t)|$ in the following non-autonomous system:*

$$(6.5) \quad \dot{x}(t) = \exp(i\theta)P_\varepsilon(x(t))$$

$$(6.6) \quad \dot{y}(t) = \exp(i\theta)y(t) \left(1 + \tilde{R}_\varepsilon(x(t), y(t)) \right),$$

so that

$$(6.7) \quad \dot{\chi}(t) = \chi(t) \text{Re} \left(\exp(i\theta) \left(1 + \tilde{R}_\varepsilon(x(t), y(t)) \right) \right).$$

- (1) *Assume that for some r, r' we have*

$$(6.8) \quad 0 < \alpha \leq \text{Re} \left(\exp(i\theta)(1 + \tilde{R}(x, y)) \right) \leq \beta$$

for any $(x, y) \in r\mathbb{D} \times r'\mathbb{D}$. Then for any $t \leq 0$:

$$(6.9) \quad \chi(0)e^{\beta t} \leq \chi(t) \leq \chi(0)e^{\alpha t}$$

FIGURE 6.1. Some asymptotic paths in z -coordinate. The curve D indicates where the y -component of the leaf reaches $r'\mathbb{S}^1$.

(2) *It is possible to find r, r' small enough so that α and β are as close to $\cos\theta$ as we wish, independently on ε .*

(1) First we build the path in z -coordinate (the function z is defined in Lemma 4.7) and we refer to the notations given in Figure 6.1. We define $t_\varepsilon := \kappa \|\varepsilon\|^{-k}$ as in Definition 4.15.

- If $\bar{z} := z(\bar{x})$ belongs to the part of the strip which can be linked to $\text{Im}(z) = -\infty$ in a straight line of slope θ we define

$$z(t) := \bar{z} + te^{i\theta}$$

for $t \leq 0$.

- If $\bar{z}$ belongs to the disk $t_\varepsilon\mathbb{D}$ we choose a path $t \mapsto z(t)$ avoiding the central hole B_0 and reaching z_- on the boundary of the disk. The path consists of horizontal line(s) and possibly an arc of the circle of fixed radius $\mu = Ar^{-k}$. We then link z_- to $-\infty$ with a straight line as before.
- Otherwise we link $\bar{z}$ to some point z_+ of the circle $t_\varepsilon\mathbb{S}^1$ with a straight line of slope θ , then proceed as above starting from z_+ .

We call γ the path $t \mapsto x(t)$ corresponding to the path in z -coordinate build just above. We need to show the existence of $r'' > 0$ such that γ can be lifted into the foliation for all $(\bar{x}, \bar{y}) \in V_{j,\varepsilon}^\pm \times r''\mathbb{D}$. According to the previous lemma, if $|z(t)| > t_\varepsilon$ or if $z(t)$ belongs to a horizontal line then $t \mapsto |y(t)|$ is decreasing, so that these parts of γ can be lifted in $V_{j,\varepsilon}^\pm \times r'\mathbb{D}$ as soon as $\bar{y} \in r'\mathbb{D}$. In all the other cases the paths used are of bounded length, independently of ε . Hence using finitely many flow-boxes we derive the existence of $r'' > 0$ satisfying the expected property.

(2) The claim is proved through the Lemma 6.6.

(3) This comes from Theorem 5.2.

(4) So far we have proved that $\mathcal{F}'$ is connected. We now show that it is simply connected. In fact we prove a slightly stronger result :

Proposition 6.7. *There exists $r > 0$ independent of small ε such that:*

- (1) *The leaf of $\mathcal{F}_{j,\varepsilon}^\pm$ passing through $(\bar{x}, \bar{y})$ is the graph of a holomorphic function $x \in \Omega_{\bar{x}, \bar{y}} \mapsto K_{j,\varepsilon, \bar{x}, \bar{y}}^\pm(x)$ and $\Omega_{\bar{x}, \bar{y}} \subset V_{j,\varepsilon}^\pm$ is simply connected. Let $\Omega = \bigcup_{(\bar{x}, \bar{y}) \in V_{j,\varepsilon}^\pm} \Omega_{\bar{x}, \bar{y}} \times \{(\bar{x}, \bar{y})\} \subset V_{j,\varepsilon}^\pm \times V_{j,\varepsilon}^\pm$. Then there exists a holomorphic function $K_{j,\varepsilon}^\pm : \Omega \rightarrow \mathbb{C}$ such that $K_{j,\varepsilon}^\pm(x, \bar{x}, \bar{y}) = K_{j,\varepsilon, \bar{x}, \bar{y}}^\pm(x)$.*
- (2) *The closure of $\Omega_{\bar{x}, \bar{y}}$ is also simply connected.*

FIGURE 6.2. An asymptotic cycle ($k = 1$).

- (3) The closure D of $[K_{j,\varepsilon}^\pm(\cdot, \bar{x}, \bar{y})]^{-1}(r'\mathbb{S}^1)$ is a (connected) real analytic curve which separates $V_{j,\varepsilon}^\pm$ into two connected components, and cuts the boundary $\partial V_{j,\varepsilon}^\pm$ in exactly two points (see Figure 4.11(a) and Figure 6.3). One connected component of $V_{j,\varepsilon}^\pm \setminus D$ accumulates on $x_{j,n}$ while the other accumulates on $x_{j,s}$. Besides $D \rightarrow \{x_{j,n}\}$ as $r' \rightarrow 0$.

Proof. The proof is done as in Proposition 4.23(3), using the estimates of Lemma 6.6. Indeed the only obstruction to the analytic continuation of a leaf is the constraint $|y(x)| < r'$ because the foliation is transverse to the lines $\{x = \text{cst}\}$. $\square$

Because $\Omega_{\bar{x}, \bar{y}}$ is simply connected the endpoint of a candidate asymptotic cycle γ of $\mathcal{F}_{j,\varepsilon}^\pm$ must be a singular point. The leaf cannot accumulate on $p_{j,s}$ so that $\gamma(\pm\infty) = p_{j,n}$. In that case γ is asymptotically homologous to its endpoint as the closure of $\Omega_{\bar{x}, \bar{y}}$ is simply connected. Thus the sectorial foliation is simply connected. $\square$

6.4. Asymptotic homology over the intersections of sectors.

Definition 6.8. We show below that the intersections of different $\mathcal{V}_{j,\varepsilon}^\pm$ correspond to sectors $\mathcal{V}_{j,\varepsilon}^s$, $\mathcal{V}_{j,\varepsilon}^n$ and $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$ as in the case of squid sectors (Lemma 4.17). Each p in such an intersection yields an asymptotic path :

- $\gamma_{j,\varepsilon}^s(p) := \gamma_{j,\varepsilon}^+(p) - \gamma_{j,\varepsilon}^-(p)$ if $p \in \mathcal{V}_{j,\varepsilon}^s$.
- $\gamma_{j,\varepsilon}^n(p) := \gamma_{j+1,\varepsilon}^+(p) - \gamma_{j,\varepsilon}^-(p)$ if $p \in \mathcal{V}_{j,\varepsilon}^n$.
- $\gamma_{j,\sigma(j),\varepsilon}^g(p) := \gamma_{j,\varepsilon}^+(p) - \gamma_{\sigma(j),\varepsilon}^-(p)$ if $p \in \mathcal{V}_{j,\sigma(j),\varepsilon}^g$.

This path links the corresponding node-type singular points within the foliation induced on $\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$, $\mathcal{V}_{j+1,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ or $\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{\sigma(j),\varepsilon}^-$. We call it the **canonical asymptotic path** associated to p .

Proposition 6.9. Under the same hypothesis as Theorem 6.4 the following assertions hold.

- (1) For $k > 1$ the intersections $\mathcal{V}_{j,\varepsilon}^+ \cap \mathcal{V}_{j,\varepsilon}^-$ splits into one or two connected components, namely $\mathcal{V}_{j,\varepsilon}^s$ (and possibly $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$ if $\varepsilon \neq 0$ and both sectors are adherent to the same singular points $p_{j,n}$ and $p_{j,s}$). Similarly the intersections $\mathcal{V}_{j,\varepsilon}^- \cap \mathcal{V}_{j+1,\varepsilon}^+$ splits into $\mathcal{V}_{j,\varepsilon}^n$ (and possibly $\mathcal{V}_{j+1,\sigma(j+1),\varepsilon}^g$ if

(a) $\gamma^n \subset \mathcal{V}_{j,\varepsilon}^n$ is homologous to its endpoints(b) $\gamma^g \subset \mathcal{V}_{j,\varepsilon}^g$ is homologous to its endpoints

FIGURE 6.3. The asymptotic cycle $\gamma^s = \gamma_{j,\varepsilon}^s(p)$ is not trivial in $\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ when $p \in \mathcal{V}_{j,\varepsilon}^s$. We recall that the z -coordinate is a k -sheeted covering of $\mathbb{C}$ minus the black disks so that $\mathcal{V}_{j,\varepsilon}^s$ and $\mathcal{V}_{j,\varepsilon}^n$ may not actually belong to the same sheet. In particular the two endpoints of γ^s may correspond to different points $p_{j,n}^\pm$ of node type.

$\varepsilon \neq 0$ and both sectors are adherent to the same singular points $p_{j,n}$ and $p_{j,s}$). Also $\mathcal{V}_{j,\sigma(j),\varepsilon}^g = \mathcal{V}_{j,\varepsilon}^+ \cap \mathcal{V}_{\sigma(j),\varepsilon}^-$ if $\sigma(j) \neq j, j+1$.

In the case $k = 1$ the intersection of the two sectors splits into two or three components.

- (2) The foliation induced by Z_ε over $\mathcal{V}_{j,\varepsilon}^n$ or $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$ is trivial. Moreover the canonical asymptotic path through a point p lying in one of those sectors is asymptotically homologous to the node-type singular point.
- (3) The foliation induced by Z_ε over $\mathcal{V}_{j+1,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ is asymptotically trivial.
- (4) If $p \in \mathcal{V}_{j,\varepsilon}^s \setminus \mathcal{S}_{j,\varepsilon}$ then $\gamma_{j,\varepsilon}^s(p)$ is not homologous to a constant path. Any other asymptotic path in the same leaf over $\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$, not homologous to a constant path, is homologous to $\gamma_{j,\varepsilon}^s(p)$ in $\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ (up to reversing of orientation) and has same endpoints.
- (5) In $\mathcal{S}_{j,\varepsilon} \cup \{p_{j,s}\}$ any asymptotic path is homologous to $\{p_{j,n}\}$.

Proof. This proof is mainly graphical. We refer to Figure 6.3.

(1) According to Proposition 6.7 each leaf is a graph over a domain Ω (the complement of the hatched area in Figure 6.3) and this domain looks like a half-strip or a strip in z -coordinate. Hence $\mathcal{V}_{j,\varepsilon}^s$, $\mathcal{V}_{j,\varepsilon}^n$ and $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$ are connected. The remaining claims are easy from Lemma 4.17.

(2)–(5) are immediate. $\square$

7. COHOMOLOGICAL EQUATIONS

In order to work out the moduli of classification, we need to describe precisely the global obstructions to solve equations of the form

$$(7.1) \quad X_\varepsilon \cdot F_\varepsilon = G_\varepsilon$$

where G_ε is given. We will explain why it is so in the oncoming Section 8. Such equations are called **cohomological equations**. A natural intuitive solution is given by $F_\varepsilon = \int G_\varepsilon$, where the integral is taken along trajectories of the vector field. In order to make this formal we need to choose well a base point. A natural base point is the point of node type. As it is reached in infinite time we need to define the notion of asymptotic integrals.

Definition 7.1. Let Ω be an open set of $\mathbb{C}^2$ and consider a 1-form ω with coefficients meromorphic on a neighborhood of the closure of Ω . Consider an asymptotic path γ which avoids the poles of ω (except maybe at its end-points). We define the **asymptotic integral** of ω along γ as

$$(7.2) \quad \int_{\gamma} \omega := \int_{-\infty}^{+\infty} \gamma^* \omega.$$

Definition 7.2. Let $\mathcal{A} := (\mathcal{A}_{\varepsilon})_{\varepsilon \in W \cup \{0\}} := (\mathcal{V}_{j,\varepsilon}^{\pm})_{\varepsilon \in W \cup \{0\}}$ be a family of canonical sectors associated to a good sector W in ε -space and to a boundary sector of $r\mathbb{D}$ (i.e. $\mathcal{A}$ is one of the $2k$ families $(\mathcal{V}_{j,\varepsilon}^{\pm})_{\varepsilon \in W \cup \{0\}}$). We define the algebra $\mathcal{O}_b(\mathcal{A}, W)$ of all functions $(x, y, \varepsilon) \mapsto G_{\varepsilon}(x, y)$ holomorphic and bounded on $\bigcup_{\varepsilon \in W} \mathcal{V}_{j,\varepsilon}^{\pm} \times \{\varepsilon\}$ with continuous extension to the closure and such that G_0 be holomorphic on $\mathcal{A}_0$.

Theorem 7.3. Let $\tau_{\varepsilon} := \frac{dx}{P_{\varepsilon}(x)}$, let $\mathcal{A} := (\mathcal{V}_{j,\varepsilon}^{\pm})_{\varepsilon \in W \cup \{0\}}$ be a family of canonical sectors where W is a good sector. We suppose that for each $\varepsilon \in W$ the singular points of node and saddle type in $\mathcal{V}_{j,\varepsilon}^{\pm}$ are given by $p_{j,n} = (x_{j,n}, 0)$ and $p_{j,s} = (x_{j,s}, 0)$ respectively. Consider a function $G \in \mathcal{O}_b(\mathcal{A}, W)$ with

$$G_{\varepsilon} = O(P_{\varepsilon}(x)) + O(y).$$

(1) For $p \in \mathcal{V}_{j,\varepsilon}^{\pm}$ define

$$(7.3) \quad F_{j,\varepsilon}^{\pm}(p) := \int_{\gamma_{j,\varepsilon}^{\pm}(p)} G_{\varepsilon} \tau_{\varepsilon}$$

where $\gamma_{j,\varepsilon}^{\pm}(p)$ is constructed in Theorem 6.4. This asymptotic integral is absolutely convergent for all $\varepsilon \in W \cup \{0\}$.

(2) Each function $F_{j,\varepsilon}^{\pm}$ defined in (7.3) is holomorphic on $\mathcal{V}_{j,\varepsilon}^{\pm}$ and satisfies

$$(7.4) \quad X_{\varepsilon} \cdot F_{j,\varepsilon}^{\pm} = G_{\varepsilon}.$$

(3) The function extends continuously to $\mathcal{V}_{j,\varepsilon}^{\pm} \cup \{p_s, p_n\} \times r'\mathbb{D}$. In that case the function $y \mapsto F_{j,\varepsilon}^{\pm}(x_{\#}, y)$, with $\# \in \{n, s\}$, is holomorphic and

$$(7.5) \quad |F_{j,\varepsilon}^{\pm}(x_{\#}, y) - F_{j,\varepsilon}^{\pm}(x, y)| \leq A|x - x_{\#}|$$

for some $A > 0$ independent of $(x, y) \in \mathcal{V}_{j,\varepsilon}^{\pm}$ and ε .

(4) The function $(x, y, \varepsilon) \mapsto F_{j,\varepsilon}^{\pm}(x, y)$ belongs to $\mathcal{O}_b(\mathcal{A}, W)$.

(5) If γ is an asymptotic path within $\mathcal{V}_{j,\varepsilon}^{\pm}$ with same endpoints and orientation as $\gamma_{j,\varepsilon}^{\pm}(p)$ and lying in the same leaf, then $F_{j,\varepsilon}^{\pm}(p) = \int_{\gamma} G_{\varepsilon} \tau_{\varepsilon}$.

(6) Any other bounded holomorphic solution $F \in \mathcal{O}_b(\mathcal{A}, W)$ of (7.1) differs from $F_{j,\varepsilon}^{\pm}$ by the addition of a function $f : \varepsilon \mapsto f(\varepsilon)$, $f \in \mathcal{O}_b(\mathcal{A}, W)$, which corresponds to the freedom in the choice of $F_{j,\varepsilon}^{\pm}(p_n)$.

Definition 7.4. A function $F_{j,\varepsilon}^{\pm}$ constructed above will be called a **sectorial solution** to the equation $X_{\varepsilon} \cdot F = G$.

The proof of this theorem is done in Section 7.2. The basic idea behind this result can nonetheless be shown very simply : it is the foliated equivalent of the fundamental theorem of calculus.

Lemma 7.5. Let $\Omega \subset \mathcal{V}_{\varepsilon}$ be a domain and F be a holomorphic function on Ω . Let τ be a meromorphic 1-form on Ω such that $\tau(X_{\varepsilon}) = 1$, and let $\gamma : [0, 1] \rightarrow \Omega$ be a tangent path avoiding the poles of τ . Then

$$(7.6) \quad F(\gamma(1)) - F(\gamma(0)) = \int_{\gamma} (X_{\varepsilon} \cdot F) \tau.$$

Proof. We set $G := X_\varepsilon \cdot F$ which is holomorphic on Ω and use the relation :

$$(7.7) \quad \int_\gamma G \tau = \int_{[0,1]} (\gamma^* G) (\gamma^* \tau) .$$

Since $\gamma'(t) = c(t) X_\varepsilon \circ \gamma(t)$ we deduce $\gamma^*(\tau) = c dt$ and $c \gamma^* X_\varepsilon = \frac{\partial}{\partial t}$; in particular

$$c \gamma^* (G) = c (\gamma^* X_\varepsilon) \cdot (\gamma^* F) = \frac{\partial}{\partial t} (F \circ \gamma) .$$

Hence

$$(7.8) \quad \begin{aligned} \int_\gamma G \tau &= \int_{[0,1]} \frac{\partial}{\partial t} (F \circ \gamma(t)) dt \\ &= F(\gamma(1)) - F(\gamma(0)) . \end{aligned}$$

□

7.1. Global equations and solutions. We discuss, for fixed ε , the case where G comes from a global function $G_\varepsilon \in \mathcal{O}(\mathcal{V}_\varepsilon)$, that is $\mathcal{A}_\varepsilon := \mathcal{V}_\varepsilon = \cup_j (\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-)$ and $G = G_{j,\varepsilon}^\pm := G_\varepsilon|_{\mathcal{V}_{j,\varepsilon}^\pm}$. First let us describe how the sectorial solutions $F_{j,\varepsilon}^\pm$ glue :

Corollary 7.6. *Let $G_\varepsilon \in \mathcal{O}(\mathcal{V}_\varepsilon)$ such that $G_\varepsilon = O(P_\varepsilon) + O(y)$. The sectorial solutions $F_{j+1,\varepsilon}^+$ and $F_{j,\varepsilon}^-$ to $X_\varepsilon \cdot F = G_\varepsilon$ coincide on $\mathcal{V}_{j,\varepsilon}^n$. The solutions $F_{j,\varepsilon}^+$ and $F_{\sigma(j),\varepsilon}^-$ coincide on $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$.*

Proof. Assume $p \in \mathcal{V}_{j,\sigma(j),\varepsilon}^g$. Then $\mathcal{V}_{j,\varepsilon}^+$ and $\mathcal{V}_{\sigma(j),\varepsilon}^-$ share the same node point $p_{j,n}^+ = p_{\sigma(j),n}^-$. The concatenation $\gamma_{j,\varepsilon}^+(p) - \gamma_{\sigma(j),\varepsilon}^-(p)$ yields an asymptotic cycle $\gamma_\varepsilon^g(p)$ through $p_{j,n}$ which is asymptotically homologous to $\{p_{j,n}\}$ according to Proposition 6.9. Item (5) of Theorem 7.3 yields the conclusion. The same argument applies when $p \in \mathcal{V}_{j,\varepsilon}^n$. □

Hence the obstructions to obtain global holomorphic solutions $F \in \mathcal{O}(\mathcal{V}_\varepsilon)$ lie only in the intersections $\mathcal{V}_{j,\varepsilon}^s$.

Corollary 7.7. *Let $G_\varepsilon \in \mathcal{O}(\mathcal{V}_\varepsilon)$ such that $G_\varepsilon = O(P_\varepsilon) + O(y)$. Let $p_{j,n}^\pm$ be the point of node type associated to $\mathcal{V}_{j,\varepsilon}^\pm$. We have that $p_{j,n}^- = p_{j+1,n}^+$. The asymptotic path $\gamma_{j,\varepsilon}^s(p)$ links the point $p_{j,n}^-$ to the point $p_{j,n}^+$. Let $I(j)$ be the value of the integral:*

$$I(j) := \int_{\gamma_{j,\varepsilon}^s(p)} G_\varepsilon \tau_\varepsilon ,$$

where the integration is done on canonical asymptotic paths given by Definition 6.8.

There exists a holomorphic function $F \in \mathcal{O}(\mathcal{V}_\varepsilon)$ such that $X_\varepsilon \cdot F = G_\varepsilon$ if, and only if the following two conditions are satisfied:

- the value of $I(j)$ does not depend on the choice of p in a fixed sector $\mathcal{V}_{j,\varepsilon}^s$.
- For all $m \geq 1$ and all $j, j+1, \dots, j+m$ such that $p_{j,n}^- = p_{j+m,n}^+$ we have

$$(7.9) \quad I(j) + \dots + I(j+m) = 0 .$$

Remark 7.8. As will be seen in the last section the second condition is redundant as the graph whose edges are the $\gamma_{j,\varepsilon}^s$ linking distinct points of node type actually is a tree.

Proof. This derives from the construction of the $F_{j,\varepsilon}^\pm$ in (7.3). Firstly the conditions are clearly necessary by continuity of F and Lemma 7.5. Indeed for all $p \in \mathcal{V}_{j,\varepsilon}^s$ there exists two node-type singular points $p_{j,n}^-$ and $p_{j,n}^+$, not necessarily distinct, linked by $\gamma_{j,\varepsilon}^s(p)$. Hence :

$$(7.10) \quad I(j) = F(p_{j,n}^+) - F(p_{j,n}^-) ,$$

which in turn implies (7.9).

Let us now look at the converse: since $\mathcal{V}_\varepsilon$ is connected we can build the unique sectorial solutions given by

$$\begin{aligned} F_{0,\varepsilon}^-(p_{0,n}^-) &:= 0 \\ F_{0,\varepsilon}^+(p_{0,n}^+) &:= I(0) + F_{0,\varepsilon}^-(p_{0,n}^-) \\ F_{1,\varepsilon}^-(p_{1,n}^-) &:= F_{0,\varepsilon}^+(p_{0,n}^+) \\ &\vdots \\ F_{j,\varepsilon}^+(p_{j,n}^+) &:= I(j) + F_{j,\varepsilon}^-(p_{j,n}^-) \\ F_{j+1,\varepsilon}^-(p_{j+1,n}^-) &:= F_{j,\varepsilon}^+(p_{j,n}^+) \\ &\vdots \end{aligned}$$

The conditions precisely ensure that all $F_{j,\varepsilon}^\pm$ glue together in a uniform F_ε and that the $F_\varepsilon(p_{j,n}^\pm)$ are well defined. $\square$

7.2. Proof of Theorem 7.3.

7.2.1. Preliminaries.

Without loss of generality we can straighten the sectorial center manifold, since $S_{j,\varepsilon} = O(P_\varepsilon)$ as stated in Remark 5.3. We drop all indices j and $\pm$ and write

$$(7.11) \quad X_\varepsilon(x, y) = X_\varepsilon^M(x, y) + y^2 R_\varepsilon(x, y) \frac{\partial}{\partial y}.$$

Let $p = (\bar{x}, \bar{y})$. We refer to Theorem 6.4 and to Section 6.3 for the construction of $\gamma_\varepsilon(p)(t) = (x(t), y(t))$. This path is solution to the differential system

$$(7.12) \quad \begin{aligned} \dot{x}(t) &= \exp(i\theta) P_\varepsilon(x(t)) \\ \dot{y}(t) &= \exp(i\theta) y(t) \left(1 + a(\varepsilon) x(t)^k + y(t) R_\varepsilon(x(t), y(t)) \right), \end{aligned}$$

as soon as $|x(t)| < \sqrt{k} \|\varepsilon\|$. It satisfies the same system with $\theta = 0$ when $|x(t)| < \vartheta r$ for some fixed $\vartheta < 1$ independent on small ε .

The following proposition is the key to the uniformity with respect to ε .

Proposition 7.9. *There exists a constant $C > 0$ independent of $\varepsilon \in W$ such that*

$$\int_{-\infty}^0 |P_\varepsilon(x(t))| dt \leq \frac{C}{\sin \delta} |x(0) - x_n|$$

for any asymptotic path $t \mapsto x(t)$ landing at x_n built in Section 6.3. The same estimate is true if we replace x_n by x_s provided that we integrate $|P_\varepsilon|$ between 0 and $+\infty$.

Proof. Notice that $|P_\varepsilon(x(t))| = |\dot{x}(t)|$ most of the time, so what we try to achieve here is to bound the growth of the length of spirals. We will rely on the following trivial computation :

Lemma 7.10. *Take $\bar{x} \in \mathbb{C}$. We consider a logarithmic spiral $t \leq 0 \mapsto x(t) = \bar{x} e^{\lambda t}$ with $\operatorname{Re}(\lambda) > 0$. Then*

$$\int_{-\infty}^0 |x(t)| dt = \frac{|\bar{x}|}{\operatorname{Re}(\lambda)}.$$

Let us first explain the strategy of the proof. It is done by induction on the number $k+1$ of singular points enclosed in $r\mathbb{D}$. We make essential use of the conic structure of Σ_0 by applying the change of coordinate $x \mapsto \tilde{x} := x \|\varepsilon\|^{-1}$ which transforms $P_\varepsilon(x)$ into $\|\varepsilon\|^{k+1} P_\varepsilon(\tilde{x})$ with $\|\varepsilon\| = 1$. Then we show that we can isolate the singularities inside small disks $D(\tilde{x}_j, \eta)$, each one containing at most k singular points, where η is independent of ε with $\|\varepsilon\| = 1$. Notice that if ε belongs to a good sector and m singular points are contained in a disk

$$A = D(\tilde{x}_j, \eta)$$

then the m -dimensional multi-parameter associated to the m singular points contained within A also belongs to a good sector of this m -dimensional parameter space. (The multi-parameter is formed of the coefficients of the normalization of the monic polynomial of degree m vanishing at the singular points, the normalization being done *via* a translation so that the sum of the singular points vanishes.) Hence we will be able to apply the recursion hypothesis in each A . The last step consists in providing the estimate outside the disks, which is not difficult.

We consider

$$(7.13) \quad \Omega_\eta := \cup_{0 \leq j \leq k} D(\tilde{x}_j, \eta)$$

the union of disks centered at $\tilde{x}_j$ and of a given radius $\eta > 0$. We claim that there exists η small enough and independent on $\tilde{\varepsilon}$ such that Ω_η has at least two connected components. If indeed it were not true we could find a decreasing sequence $(\eta_\ell)_{\ell \in \mathbb{N}} \rightarrow 0$ and a sequence $(\tilde{\varepsilon}_\ell)_\ell$ such that all roots of $P_{\tilde{\varepsilon}_\ell}$ would be contained in a domain of diameter at most $2(k+1)\eta_\ell$. Since $\{\tilde{\varepsilon} : \|\tilde{\varepsilon}\| = 1\}$ is compact there exists a point of accumulation $\tilde{\varepsilon}_\infty$ for which the polynomial $P_{\tilde{\varepsilon}_\infty}$ has one root of multiplicity $k+1$. This necessarily means $\tilde{\varepsilon}_\infty = 0$ and is impossible. We have thus isolated in each connected component of Ω_η at most k singular points.

We assume that $\tilde{x}$ is bound to remain within some $\zeta\mathbb{D}$ with $\zeta > k+1$.

We first deal with the case $k=1$, which contains all the ingredients for the general case. Consider the disk $A_n = D(\tilde{x}_{0,n}, \eta)$ containing the singular point $\tilde{x}_n := \tilde{x}_{0,n}$ of node type. This singularity is either hyperbolic or a node, in which case the vector field $e^{i\theta} P_\varepsilon \frac{\partial}{\partial x}$ is linearizable on the whole disk. Moreover $|\tilde{x}_n| = 1$. Hence the conclusion of Lemma 7.10 with $\lambda := e^{i\theta} P'_\varepsilon(\tilde{x}_n)$ holds :

$$\int_{-\infty}^0 |\tilde{x}(t) - \tilde{x}_n| dt \leq \frac{B}{\operatorname{Re}(\lambda)} |\tilde{x}(0) - \tilde{x}_n|$$

with B independent on ε , as soon as $\tilde{x}(0) \in A_n$. This type of inequality is robust under analytic changes of variables. We have $\operatorname{Re}(\lambda) > |P'_\varepsilon(\tilde{x}_n)| \sin \delta = 2 \sin \delta |\tilde{x}_n| = 2 \sin \delta$ according to Lemma 4.5. Therefore :

$$\begin{aligned} \int_{-\infty}^0 |P_{\tilde{\varepsilon}}(\tilde{x}(t))| dt &\leq 2\zeta \int_{-\infty}^0 |\tilde{x}(t) - \tilde{x}_n| dt \\ &\leq \frac{\zeta B}{\sin \delta} |\tilde{x}(0) - \tilde{x}_n|. \end{aligned}$$

The same argument applies for $\tilde{x}_s = -\tilde{x}_n$ so when $\tilde{x}(0) \in \Omega_\eta \setminus A_n$:

$$\int_0^{+\infty} |P_{\tilde{\varepsilon}}(\tilde{x}(t))| dt \leq \frac{\zeta B}{\sin \delta} |\tilde{x}(0) - \tilde{x}_s|.$$

If $\tilde{x}(0) \notin \Omega_\eta$ then $|\tilde{x}(0) - \tilde{x}_n| \geq \eta > 0$ and the trajectory remains outside Ω_η only for a finite interval of time $[t_-, t_+]$. The lengths of all those trajectories are uniformly bounded by some $M = M(\zeta) > 0$ for all $\tilde{\varepsilon} \in W$ with $\|\tilde{\varepsilon}\| = 1$. We can thus write

$$\int_{t_-}^{t_+} |P_{\tilde{\varepsilon}}(\tilde{x}(t))| dt \leq \frac{M}{\eta} |\tilde{x}(0) - \tilde{x}_n|$$

and the same for $\tilde{x}_s$. When ζ is large enough the trajectories look like the trajectories of $\dot{x} = x^2$ (which are circles tangent at the origin to the real axis). Therefore $M(\zeta) \leq M'\zeta$ with M' independent on large ζ and on $\tilde{\varepsilon}$. To conclude, if we let $B_1 = B + \frac{M \sin \delta}{\eta \zeta}$, we obtain

$$\int_{-\infty}^0 |P_{\tilde{\varepsilon}}(\tilde{x}(t))| dt \leq \frac{\zeta B_1}{\sin \delta} |\tilde{x}(0) - \tilde{x}_n|$$

for all $\tilde{x}(0) \in \zeta\mathbb{D}$ and all $\zeta > 0$, for all $\tilde{\varepsilon} \in W$ with $\|\tilde{\varepsilon}\| = 1$.

Back to the original coordinates we find :

$$\int_{-\infty}^0 |P_\varepsilon(x(t))| dt = \|\varepsilon\|^2 \int_{-\infty}^0 |P_\varepsilon(\tilde{x}(t))| dt \leq \frac{\|\varepsilon\| \zeta B_1}{\sin \delta} |x(0) - x_n|$$

for all $|x(0)| < \zeta \|\varepsilon\|$. By letting $\zeta := r \|\varepsilon\|^{-1}$ we obtain $C := r B_1$.

We deal now briefly with the general case $k > 1$ in a similar way. Inside each component A of Ω_η we apply the recursion hypothesis since there are at most k singular points lying within A . The argument developed just above applies again to obtain the bound in $\zeta \mathbb{D} \setminus \Omega_\eta$, then $\zeta \mathbb{D}$. We finally derive :

$$\int_{-\infty}^0 |P_\varepsilon(x(t))| dt \leq \frac{\|\varepsilon\|^k \zeta^k B_k}{\sin \delta} |x(0) - x_n|$$

for all $x(0) \in \zeta \|\varepsilon\| \mathbb{D}$. The conclusion is reached by setting $\zeta := r \|\varepsilon\|^{-1}$. $\square$

7.2.2. Back to the proof of Theorem 7.3.

(1) We write $\gamma_\varepsilon(\bar{x}, \bar{y})(t) = (x(t), y(t))$ for $t \leq 0$. Lemma 6.6 yields

$$(7.14) \quad |y(t)| \leq |\bar{y}| e^{\alpha t}$$

for some $\alpha > \frac{1}{2} \cos \theta$ independent on small $\varepsilon \in W$.

Assume that for $\varepsilon \in W$

$$(7.15) \quad G_\varepsilon(x, y) = P_\varepsilon(x) g_{0,\varepsilon}(x) + y g_{1,\varepsilon}(x, y).$$

There exists constants $M_1, M_2 > 0$, both independent on $\bar{x}$ and ε , such that

$$(7.16) \quad |G_\varepsilon(x(t), y(t))| \leq M_1 |P_\varepsilon(x(t))| + M_2 \bar{y} e^{\alpha t}.$$

The integral $\int_{-\infty}^0 G(x(u), y(u)) du$ is thus absolutely convergent according to Proposition 7.9. Since $\tau_\varepsilon(X_\varepsilon) = 1$ it follows that

$$(7.17) \quad \int_t^0 \gamma_\varepsilon(p)^* (G_\varepsilon \tau_\varepsilon) = e^{i\theta} \int_t^0 G(x(u), y(u)) du$$

for $t \leq 0$, which completes the proof.

(2) From Proposition 6.7 the leaf passing through (x, y) is the graph of a holomorphic function $v \mapsto l(v, y)$. Because of (7.17) the function $p \mapsto F_\varepsilon(p)$ is holomorphic. Besides

$$(7.18) \quad \begin{aligned} X_\varepsilon \cdot F_\varepsilon(p) &= \left(e^{-i\theta} \frac{d}{dt} e^{i\theta} \int_{-\infty}^t G(x(u), l(x(u), \bar{y})) du \right) \Big|_{t=0} \\ &= G(p) \end{aligned}$$

as in Lemma 7.5.

(3) Let us consider a point $p := (x_n, \bar{y})$ and show that

$$(7.19) \quad \lim_{(x,y) \rightarrow p} F_\varepsilon(x, y) = \int_{[0, \bar{y}]} g_{1,\varepsilon}(x_n, y) \frac{dy}{1 + a(\varepsilon) x_n^k + y R_\varepsilon(x_n, y)},$$

where $g_{1,\varepsilon}$ is defined in (7.15). The latter integral is holomorphic with respect to small $\bar{y}$ and can be rewritten (using (7.12))

$$(7.20) \quad F_\varepsilon(p) := e^{i\theta} \int_{-\infty}^0 \frac{g_{1,\varepsilon}(x_n, \tilde{y}(t))}{1 + a(\varepsilon) x_n^k + \tilde{y}(t) R_\varepsilon(x_n, \tilde{y}(t))} \tilde{y}(t) dt$$

where $(\tilde{x}(t), \tilde{y}(t))$ is the solution for $t \leq 0$ to the system

$$(7.21) \quad \begin{aligned} \dot{\tilde{x}} &= \frac{e^{i\theta} P(\tilde{x})}{1 + a(\varepsilon) \tilde{x}^k + \tilde{y} R_\varepsilon(\tilde{x}, \tilde{y})} \\ \dot{\tilde{y}} &= e^{i\theta} \tilde{y} \end{aligned}$$

with initial condition $(\bar{x}, \bar{y})$.

We only need to prove that $\lim_{x \rightarrow x_n} F_\varepsilon(x, \bar{y}) = F_\varepsilon(x_n, \bar{y})$ because $F_\varepsilon(x_n, \cdot)$ is holomorphic and the integral is additive with respect to the path of integration. There exists two constants $L_1, L_2 > 0$ independent on ε such that :

$$|G(x, y) - G(x_n, y)| \leq L_1 |P_\varepsilon(x)| + L_2 |y|.$$

Since as $t \mapsto -\infty$ the modulus $|\tilde{y}(t)|$ is exponentially flat of rate α close to 1 while $|\tilde{x}(t) - x_n|$ is exponentially flat at an order tending toward 0 as ε gets smaller, we can write $|\tilde{y}(t)| \leq L_3 |P_\varepsilon(\tilde{x}(t))|$. Hence there exists some L depending only on r, r', ρ such that, for all $t \leq 0$,

$$|G(\tilde{x}(t), \tilde{y}(t)) - G(x_n, \tilde{y}(t))| \leq L |P_\varepsilon(\tilde{x}(t))|,$$

from which we deduce

$$(7.22) \quad \left| \int_{-\infty}^0 G(\tilde{x}(t), \tilde{y}(t)) - G(x_n, \tilde{y}(t)) dt \right| \leq L \int_{-\infty}^0 |P_\varepsilon(\tilde{x}(t))| dt \\ \leq \frac{CL}{\sin \delta} |\bar{x} - x_n|,$$

by Lemma 6.6 and Proposition 7.9. Hence F_ε extends continuously to $\{x_n\} \times r'\mathbb{D}$ and we have moreover proved that

$$(7.23) \quad |F_\varepsilon(\bar{x}, \bar{y}) - F_\varepsilon(x_n, \bar{y})| \leq A |\bar{x} - x_n|.$$

We must now deal with the separatrix $\{x_s\} \times r'\mathbb{D}$ using much the same argument. Consider an asymptotic path γ linking the two singularities within $V_\varepsilon \times \{0\}$ that is, more precisely, $\gamma(\infty) = p_s$ and $\gamma(-\infty) = p_n$. The estimate (7.16) shows that the integral $\int_\gamma G\tau_\varepsilon$ converges, therefore we claim that F_ε extends continuously on $\{x_s\} \times r'\mathbb{D}$ to

$$(7.24) \quad F_\varepsilon(x_s, \bar{y}) := \int_\gamma G\tau_\varepsilon + \int_{[0, \bar{y}]} g_{1, \varepsilon}(x_s, y) \frac{dy}{1 + a(\varepsilon)x_s^k + yR_\varepsilon(x_s, y)}.$$

If $\bar{y} = 0$ the result is trivial. For a given $\bar{y} \neq 0$ we can choose $\bar{x} - x_s$ sufficiently small so that the path $\gamma_\varepsilon(\bar{x}, \bar{y})$ crosses the real analytic set $\{|x - x_s| = |y|\}$ at some point $\bar{q} = \bar{q}(\bar{x})$ (see Figure 7.1). Because of (7.14) we know that $\bar{q}$ is unique. Let $\bar{s} = \bar{s}(\bar{x}) \leq 0$ be such that $\bar{q} = (\tilde{x}(\bar{s}), \tilde{y}(\bar{s}))$. Obviously

$$(7.25) \quad \lim_{\bar{x} \rightarrow x_s} \bar{s} = -\infty,$$

$$(7.26) \quad \lim_{\bar{x} \rightarrow x_s} \tilde{x}(\bar{s}) = x_s.$$

Define $\bar{t} \leq 0$ such that $x(\bar{t}) = \tilde{x}(\bar{s})$ ($\bar{t}$ (*resp.* $\bar{s}$) is the time for $(\bar{x}, \bar{y})$ to reach $\bar{q}$ in (7.12) (*resp.* (7.21))). On the one hand

$$(7.27) \quad \int_{-\infty}^{\bar{t}} |G(x(t), y(t)) - G(x(t), 0)| dt \leq L' |y(\bar{t})| \alpha^{-1} e^{\alpha \bar{t}} \\ \leq \frac{2L'}{\cos \theta} |\tilde{x}(\bar{s}) - x_s|$$

whereas on the other hand

$$\int_{\bar{s}}^0 |G(\tilde{x}(t), \tilde{y}(t)) - G(x_s, \tilde{y}(t))| dt \leq L \int_0^{-\bar{s}} |P_\varepsilon(\tilde{x}(t + \bar{s}))| dt \\ \leq L \int_0^{+\infty} |P_\varepsilon(\tilde{x}(t + \bar{s}))| dt \\ \leq \frac{CL}{\sin \delta} |\tilde{x}(\bar{s}) - x_s|.$$

From the fact that $t \mapsto |y(t)|$ is exponentially increasing we infer $\tilde{x}(\bar{s}) - x_s = O(\bar{x} - x_s)$, which ends the proof.

(4) From (7.19) and (7.24) we deduce that $y \mapsto F_{j, \varepsilon}^\pm(x_\#, y)$ belongs to $\mathcal{O}_b(\{x_\#\} \times r'\mathbb{D}, W)$. Because of the estimate obtained in (3) we deduce that $F_{j, \varepsilon}^\pm$ belongs to $\mathcal{O}_b(\mathcal{V}_{j, \varepsilon}^\pm, W)$.

FIGURE 7.1.

(5) Let h be an asymptotic homology between $\gamma = h(-\infty, \cdot)$ and $\gamma_\varepsilon(p) = h(+\infty, \cdot)$ within $\mathcal{V}_\varepsilon$. Because we integrate the restriction of G to a leaf (a holomorphic surface) we only need to prove that

$$\lim_{t \rightarrow \pm\infty} \int_{h(\cdot, t)} G \tau_\varepsilon = 0.$$

But this is obvious since the length of $s \mapsto h(s, t)$ is bounded (thanks to the uniformity of the convergence $h(s, \cdot) \rightarrow h(\pm\infty, \cdot)$) and $G(h(s, t)) \rightarrow 0$ as $t \rightarrow \pm\infty$ uniformly in s .

(6) Let F be another solution. Then

$$(7.28) \quad X_\varepsilon \cdot (F - F_\varepsilon) = 0.$$

Hence there must exist a bounded, holomorphic function $(\varepsilon, h) \mapsto f(\varepsilon, h)$ such that, for all $p \in \mathcal{V}_\varepsilon$:

$$(7.29) \quad F(p) - F_\varepsilon(p) = f(\varepsilon, H_\varepsilon(p))$$

where H_ε is the canonical first integral (see Corollary 8.7). According to the same corollary $H_\varepsilon(\mathcal{V}_\varepsilon) = \mathbb{C}$, so that $h \mapsto f(\varepsilon, h)$ must be an entire function, thus constant.

8. THE SECTORIAL NORMALIZATION THEOREM

As this is the principal tool to the identification of moduli of analytic classification for generic families unfolding a saddle-node of codimension k we start by some generalities on this matter.

8.1. The principle of a modulus of analytic classification. The heart of the paper is to identify complete moduli of analytic classification for generic families unfolding a saddle-node of codimension k , under either

- orbital equivalence,
- or conjugacy.

The idea is the following: we start with two germs of prepared families $Z_\varepsilon = U_\varepsilon X_\varepsilon$ and $Z'_\varepsilon = U'_\varepsilon X'_\varepsilon$ and we want to decide whether they are orbitally equivalent or conjugate. We know that the multi-parameter is canonical and that an equivalence or conjugacy must preserve the parameter (up to the equivalence relation (3.18)). Applying a rotation of order k to one of the families, we can suppose that the two families have the same canonical multi-parameter and the same polynomial $P_\varepsilon(x)$. We can then limit ourselves to discuss orbital equivalence or conjugacy preserving the multi-parameter.

For that purpose we construct orbital equivalences or conjugacies with the model family $Z_\varepsilon^M = Q_\varepsilon X_\varepsilon^M$ over canonical sectors. The modulus is a measure of the obstruction to glue these in a global equivalence or conjugacy with the model. By composing them, this provides equivalences or conjugacies between the two families over canonical sectors. These provide a global equivalence or conjugacy between the two families precisely when the two families have equal moduli.

Solving the orbital equivalence problem of Z_ε with Z_ε^M on a canonical sector is the same as solving the conjugacy problem between X_ε and X_ε^M under a change of coordinates preserving the x -variable.

Once the sectorial center manifold has been straightened, this change of coordinates, Ψ_ε^N , will be taken as the flow $\Phi_Y^{N_\varepsilon}$ of the vector field

$$(8.1) \quad Y := y \frac{\partial}{\partial y}$$

for some time $N_\varepsilon(x, y)$ with N_ε analytic and we will have $\left(\Phi_Y^{N_\varepsilon}\right)^*(X_\varepsilon^M) = X_\varepsilon$.

To solve the conjugacy problem we first solve the equivalence problem and then consider the conjugacy problem between $Q_\varepsilon X_\varepsilon$ and Z_ε^M . This will be done through a map $\Psi_\varepsilon^T = \Phi_{Q_\varepsilon X_\varepsilon}^{T_\varepsilon}$, i.e. the flow of $Q_\varepsilon X_\varepsilon$ for some time $T_\varepsilon(x, y)$ given by an analytic function T_ε .

Both maps N_ε (for Normal change of coordinates) and T_ε (for Tangential change of coordinates) will be obtained by solving cohomological equations. The justification of this procedure comes from the following “fundamental” lemma, which can be proved using Lie’s formal formula for the flow of a vector field :

$$(8.2) \quad \Phi_X^t = \sum_{n \geq 0} \frac{t^n}{n!} X \cdot^n Id$$

Lemma 8.1. [17] *Let X and Y be germs at $(0,0)$ of commuting, holomorphic vector fields. Consider a function $F \in \mathcal{O}(\mathcal{W})$, where $\mathcal{W}$ is a domain on which X and Y are holomorphic. Assume that $Y \cdot F(\bar{p}) \neq -1$ for some $\bar{p} \in \mathcal{W}$. Then the map ψ defined by*

$$(8.3) \quad \psi(x, y) := \Phi_Y^{F(x, y)}(x, y)$$

is a local change of coordinates near $\bar{p}$ satisfying :

$$(8.4) \quad \psi^*(X) = X - \frac{X \cdot F}{1 + Y \cdot F} Y.$$

By setting $X = Y$ we deduce a useful sufficient condition (which is generically necessary) for two vector fields inducing the same foliation to be conjugate.

Corollary 8.2. *Let X be a germ of a vector field with a singularity at $(0,0)$ and U, V two non-vanishing holomorphic germs. If there exists a germ of holomorphic function T such that*

$$(8.5) \quad X \cdot T = \frac{1}{V} - \frac{1}{U}$$

then the vectors fields UX and VX are (locally) analytically conjugate, the conjugacy being given by Φ_{UX}^T , namely $\left(\Phi_{UX}^T\right)^(UX) = VX$. In particular $U(0,0) = V(0,0)$.*

Proof. It follows from Lemma 8.1 applied with $X, Y \mapsto UX$. The hypotheses of the lemma are satisfied for $\bar{p} := (0,0)$ (indeed $X(0,0) = 0$). Moreover (8.5) is solvable only if $\frac{1}{V(0,0)} - \frac{1}{U(0,0)} = 0$. $\square$

8.2. Sectorial normalization. Let Z_ε be given in (3.5) and its restriction on

$$(8.6) \quad \mathcal{V}_{j,\varepsilon} = \mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-.$$

On $\mathcal{V}_{j,\varepsilon}$ we consider the change of coordinates $(x, y) \mapsto \Phi_{j,\varepsilon}(x, y) := (x, y - S_{j,\varepsilon}(x))$ of Corollary 5.6 and let

$$(8.7) \quad X_{j,\varepsilon} := (\Phi_{j,\varepsilon})_* X_\varepsilon.$$

We call $\tau_\varepsilon = \frac{dx}{P_\varepsilon}$ the corresponding time-form. Then $X_{j,\varepsilon}$ has the form

$$(8.8) \quad X_{j,\varepsilon} = P_\varepsilon(x) \frac{\partial}{\partial x} + \left(y \left(1 + a(\varepsilon)x^k - \tilde{R}_{j,\varepsilon}(x, y) \right) \right) \frac{\partial}{\partial y},$$

with $\tilde{R}_{j,\varepsilon}(x, y) = O(y)$.

The following proposition, and its extension in Theorem 8.5, gives a geometric proof of the theorem of Hukuhara-Kimura-Matuda [4] ($\varepsilon = 0$) together with its generalization to unfoldings. For $\varepsilon = 0$ one can recover the summability property using the theorem of Ramis-Sibuya and the fact that the first-integrals in the intersections $\mathcal{V}_{j,0}^s$ are flat of order $\frac{1}{k}$.

Proposition 8.3. *Let $\Phi_{j,\varepsilon}$ be the change of coordinates $(x, y) \mapsto (x, y - S_{j,\varepsilon}(x))$ which straightens the sectorial separatrix (see Corollary 5.6).*

- (1) *We consider $X_{j,\varepsilon} = X_\varepsilon^M - \tilde{R}_{j,\varepsilon}Y$ over $\mathcal{V}_{j,\varepsilon}$, given in (8.8), where Y is given in (8.1). Let $N_{j,\varepsilon}^\pm$ be the solution of $X_{j,\varepsilon} \cdot N_{j,\varepsilon}^\pm = \tilde{R}_{j,\varepsilon}$ on $\mathcal{V}_{j,\varepsilon}^\pm$ with $N_{j,\varepsilon}^\pm(p_{j,n}) = 0$, and $\Psi^N := \Phi_Y^{N_{j,\varepsilon}^\pm} \circ \Phi_{j,\varepsilon}$. Then $(\Psi^N)^* X_\varepsilon^M = X_\varepsilon$.*
- (2) *Let $T_{j,\varepsilon}$ be the sectorial solution with $T_{j,\varepsilon}(p_{j,n}) = 0$ of*

$$(8.9) \quad X_\varepsilon \cdot T_{j,\varepsilon} = \frac{1}{U_\varepsilon} - \frac{1}{Q_\varepsilon}$$

on $\mathcal{V}_{j+1,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ and let $\Psi^T = \Phi_{Q_\varepsilon X_\varepsilon}^{T_{j,\varepsilon}}$. Then $(\Psi^T)^(Q_\varepsilon X_\varepsilon) = Z_\varepsilon$.*

- (3) *Moreover the functions $N_{j,\varepsilon}^\pm$ and $T_{j,\varepsilon}$ are bounded on $\mathcal{V}_{j,\varepsilon}^\pm$ and have continuous extensions to $\mathcal{V}_{j,\varepsilon}^\pm \cup (\{x_{j,s}, x_{j,n}\} \times r'\mathbb{D})$, and*

$$(8.10) \quad N_{j,\varepsilon}^\pm(p_{j,\#}) = T_{j,\varepsilon}(p_{j,\#}) = 0,$$

where $\# \in \{s, n\}$.

- (4) *The functions $N_{j,\varepsilon}^\pm$ and $T_{j,\varepsilon}$ are uniformly bounded when ε belongs to some good sector in Σ_0 .*

Proof.

- (1) We apply Lemma 8.1 to (8.7) with $X = X_\varepsilon^M$ and $Y = y \frac{\partial}{\partial y}$. Then $\left(\Phi_Y^{N_{j,\varepsilon}^\pm}\right)^* X_\varepsilon^M = X_\varepsilon^M - \tilde{R}_{j,\varepsilon}Y$ since $(X_\varepsilon^M - \tilde{R}_{j,\varepsilon}Y) \cdot N_{j,\varepsilon}^\pm = \tilde{R}_{j,\varepsilon}$. The conclusion follows using Corollary 5.6.
- (2) This is immediate from Corollary 8.2.
- (3) and (4) are consequences of Theorem 7.3.

□

We summarize these results in the sectorial normalization Theorem 8.5 below. To state it we require the notion of sectorial diffeomorphism.

8.2.1. Sectorial diffeomorphisms.

Definition 8.4. Recall that the squid sectors $V_{j,\varepsilon}^\pm$ actually depend on an angle θ and a width $w_0 > 0$ (see Definition 4.15 and Figure 4.5).

- (1) Let $\varepsilon \in \Sigma_0 \cup \{0\}$ and $\# \in \{-, +, s, n, g\}$ be given. Define $V_{j,\varepsilon}^\#(\eta) := V_{j,\varepsilon}^\# \cap \eta\mathbb{D}$. A **germ of a sectorial diffeomorphism** over $\mathcal{V}_{j,\varepsilon}^\#$ is a (class of) map(s) $\Psi_{j,\varepsilon}^\# : \tilde{\mathcal{V}}_{j,\varepsilon}^\# \rightarrow \mathbb{C}^2$ holomorphic and one-to-one on

$$(8.11) \quad \tilde{\mathcal{V}}_{j,\varepsilon}^\# := V_{j,\varepsilon}^\#(r_0) \times r'_0\mathbb{D}$$

for $r_0, r'_0 > 0$ sufficiently small, satisfying:

- (a) $\Psi_{j,\varepsilon}^\#$ extends to a homeomorphism $\Psi_{j,\varepsilon}^\#$ defined on $\mathcal{W} := (V_{j,\varepsilon}^\#(r_0) \cup \{p_{j,s}, p_{j,n}\}) \times r'_0\mathbb{D}$, fixing the singularities and such that $\Psi_{j,\varepsilon}^\#(\{p_{j,*}\} \times r'_0\mathbb{D}) \subset \{p_{j,*}\} \times \mathbb{C}$ for each $* \in \{s, n\}$.
- (b) The image $\Psi_{j,\varepsilon}^\#(\tilde{\mathcal{V}}_{j,\varepsilon}^\#)$ of the squid sector $\tilde{\mathcal{V}}_{j,\varepsilon}^\#$ is squeezed between two squid sectors:

$$\mathcal{V}_1 \subset \Psi_{j,\varepsilon}^\#(\tilde{\mathcal{V}}_{j,\varepsilon}^\#) \subset \mathcal{V}_2,$$

where

$$(8.12) \quad \mathcal{V}_\ell := V_{j,\varepsilon}^\#(r_\ell) \times r'_\ell\mathbb{D}, \quad \ell = 1, 2,$$

have same angle θ but with maybe different widths w_ℓ and r'_ℓ .

- (2) Let $W \subset \Sigma_0$ be some good sector. A **germ of a family of sectorial diffeomorphisms** is a family of canonical sectors $\mathcal{V}_{j,\varepsilon}^\#$ together with a family $\left(\Psi_{j,\varepsilon}^\#\right)_{\varepsilon \in W \cup \{0\}}$ of germs of sectorial diffeomorphisms for all values of $\varepsilon \in W \cup \{0\}$ with $\|\varepsilon\| \leq \rho$ for some $\rho > 0$ and for which we can choose $w_\ell, r_\ell, r'_\ell, \ell \in \{0, 1, 2\}$, of (8.11) and (8.12) independent on ε .

This implies that sectorial diffeomorphisms respect locally the fibered squid sectors, *e.g.* neither crush them nor blow them away along the separatrices $\{p_{j,*}\} \times r'\mathbb{D}$. This property is necessary to ensure that we are able to construct holomorphic conjugacies on a full neighborhood of the singularities when the moduli of two vector fields coincide. In practice we will consider a good covering of Σ_0 given by Theorem 4.12 and we will construct germs of families of sectorial diffeomorphisms depending analytically on ε on each open set of the covering.

8.2.2. Sectorial normalization theorem.

Theorem 8.5. *Let $W \subset \Sigma_0$ be a good sector. There exists $r, r', \rho > 0$ sufficiently small so that, for any $\varepsilon \in W \cup \{0\}$ with $\|\varepsilon\| \leq \rho$ and associated set of canonical sectors, the vector field Z_ε is conjugate to its model Z_ε^M by a sectorial diffeomorphism $\Psi_{j,\varepsilon}^\pm$ over $\mathcal{V}_{j,\varepsilon}^\pm$. The change of coordinates splits into an orbital part, namely*

$$(8.13) \quad \Psi_{j,\varepsilon}^{N,\pm}(x, y) := \left(x, (y - S_{j,\varepsilon}^\pm(x)) \exp(N_{j,\varepsilon}^\pm(x, y))\right)$$

transforming $Q_\varepsilon X_\varepsilon^N$ into $Q_\varepsilon X_\varepsilon$ composed with a tangential part, namely

$$(8.14) \quad \Psi_{j,\varepsilon}^T(x, y) := \Phi_{Q_\varepsilon X_\varepsilon}^{T_{j,\varepsilon}(x,y)}(x, y).$$

transforming $Q_\varepsilon X_\varepsilon$ into $U_\varepsilon X_\varepsilon$. Both $\left(\Psi_{j,\varepsilon}^{N,\pm}\right)_{\varepsilon \in W \cup \{0\}}$ and $\left(\Psi_{j,\varepsilon}^T\right)_{\varepsilon \in W \cup \{0\}}$ are families of sectorial diffeomorphisms, over $(\mathcal{V}_{j,\varepsilon}^\pm)_\varepsilon$ and $(\mathcal{V}_{j+1,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-)_\varepsilon$ respectively.

Proof. The holomorphy of the changes of coordinates, their dependence on ε and the continuity property for families of sectorial diffeomorphisms follow from Theorem 7.3 and Proposition 8.3.

For the sake of clarity we omit to write the upper and lower indices ε, j and $\pm$. We prove that Ψ^N and Ψ^T are sectorial diffeomorphisms. The easiest case is Ψ^N since it preserves the x -coordinate. According to Theorem 7.3(3) we have

$$(8.15) \quad |N(x, y) - N_\#(y)| \leq A|x - x_\#|$$

with A independent of ε and $N_\#(y) := N(x_\#, y)$ for $\# \in \{s, n\}$. Hence, if we let $\Psi^N = (Id, \psi_1)$ then

$$(8.16) \quad \begin{aligned} |\psi_1(x, y) - \psi_1(x_\#, y)| &\leq |y - S_j(x)| \left| e^{N(x,y)} - e^{N_\#(y)} \right| \\ &\leq A'|y - S_j(x)| |x - x_\#|. \end{aligned}$$

Because $y \mapsto \psi_1(x_\#, y)$ is a diffeomorphism for small $r' > 0$ independently of ε small, ψ_1 is well-behaved near $\{x_n\} \times r'\mathbb{D}$.

This allows to conclude that, for maybe smaller $r, r' > 0$, the image $\Psi^N(\mathcal{V})$ is included, and contains, some fibered squid sector as required. Here the width of the squid sector does not change.

We now show that ψ_1 is one-to-one, *i.e.* if $\psi_1(x, y_1) = \psi_1(x, y_2)$ then $y_1 = y_2$. We have

$$(8.17) \quad \begin{aligned} |\psi_1(x, y_1) - \psi_1(x, y_2)| &= |y_1 - y_2| \left| e^{N(x,y_1)} + (y_2 - S(x)) \frac{e^{N(x,y_2)} - e^{N(x,y_1)}}{y_2 - y_1} \right| \\ &\geq K|y_1 - y_2| \end{aligned}$$

with $K > 0$, since $|y_2 - S(x)| \leq 2r'$ can be made as small as we wish whereas $e^{N(x,y_1)}$ remains far from 0.

Let us now consider $\Psi^T := (\psi_0, \psi_1) = \Phi_{\frac{T_\varepsilon}{U_\varepsilon X_\varepsilon^N}}^{T_\varepsilon}$ (with another ψ_1), and prove that it is a sectorial diffeomorphism. Let us first deal with ψ_0 . We have

$$(8.18) \quad \begin{aligned} \psi_0(x, y) &= \Phi_{Q_\varepsilon P_\varepsilon \frac{\partial}{\partial x}}^{T_\varepsilon(x, y)}(x) \\ &= \Phi_{P_\varepsilon \frac{\partial}{\partial x}}^{\overline{T}(x, y, \varepsilon)}(x) \end{aligned}$$

where $\overline{T}$ is continuous. Because $T_\varepsilon(p_n) = 0$ we can assume that $|\overline{T}|$ is bounded by some arbitrary small $\frac{1}{2}\eta$ if r is sufficiently small. Hence

$$(8.19) \quad \psi_0\left(\Phi_{P_\varepsilon \frac{\partial}{\partial x}}^{\exp(i\theta)t}(x), y\right) = \Phi_{P_\varepsilon \frac{\partial}{\partial x}}^{\exp(i\theta)t + \overline{T}(x, y, \varepsilon)}(x)$$

so the open set $\psi_0(V)$ contains a squid sector $V(r_1)$ with some width $w_0 - \eta$ and is contained in some $V(r_2)$ with some width $w_0 + \eta$. On the other hand $\Phi_{Q_\varepsilon X_\varepsilon}^t(x, y) = (f(x, y, t), g(x, y, t))$ with

$$(8.20) \quad g(x, y, t) = y + t((x - x_n)(x - x_s)O(1) + yO(1)).$$

Here again we can conclude that ψ_1 is well behaved near $\{x_\# \} \times r'\mathbb{D}$ so $\Psi^T(V)$ is included, and contains, some fibered squid sector.

It only remains to show that Ψ^T is one-to-one. Since it is given by the flow of $Q_\varepsilon X_\varepsilon$ it sends each leaf of $\mathcal{F}_\varepsilon^\pm$ into itself. It is then sufficient to show that its restriction to each leaf $\mathcal{L}$ is one-to-one. Assume then that $(x_j, y_j) \in \mathcal{L}$ for $j \in \{1, 2\}$ and that $\Psi^T(x_1, y_1) = \Psi^T(x_2, y_2)$; because $\mathcal{L}$ is the graph of a function $l : \Omega \rightarrow \mathbb{C}$ (see Proposition 6.7) if we can show that $x_1 = x_2$ then $y_1 = y_2$.

There exists $K > 0$ independent of ε and of (x_1, x_2) , such that we can find a path γ_0 linking x_1 to x_2 within Ω with a length less than $K|x_1 - x_2|$. Let $\gamma := l \circ \gamma_0$ be the lift of γ_0 in $\mathcal{L}$; the application of Lemma 7.5 yields

$$(8.21) \quad \begin{aligned} \psi_0(x_2, y_2) - \psi_0(x_1, y_1) &= \int_\gamma (X_\varepsilon \cdot \psi_0) \tau_\varepsilon \\ &= 0. \end{aligned}$$

On the one hand, according to Lemma 8.1, $Z_\varepsilon \cdot \Psi^T = (Q_\varepsilon X) \circ \Psi^T$ so that, according to Lemma 7.5,

$$(8.22) \quad 0 = \psi_0(x_2, y_2) - \psi_0(x_1, y_1) = \int_\gamma \frac{Q_\varepsilon \circ \psi_0}{U_\varepsilon} \frac{P_\varepsilon \circ \psi_0}{P_\varepsilon} dx.$$

On the other hand, one can find a constant $K_1 > 0$ (independent of small ε) such that

$$(8.23) \quad \left| \frac{Q_\varepsilon \circ \psi_0}{U_\varepsilon} \frac{P_\varepsilon \circ \psi_0}{P_\varepsilon}(x, y) - 1 \right| \leq K_1(|x - x_n| + |y|)$$

because $Q_\varepsilon(x_n) = U_\varepsilon(p_n)$ and $T_\varepsilon(p_n) = 0$. We derive

$$(8.24) \quad \begin{aligned} \left| \int_\gamma 1 dx \right| &\leq K_1 \int_\gamma (|x| + |x_n| + |y|) |dx| \\ |x_1 - x_2| &\leq K_1(2r + r')K|x_1 - x_2| \end{aligned}$$

which, if $r, r' > 0$ are sufficiently small necessarily means $x_1 = x_2$. □

8.3. Canonical first integral and spaces of leaves.

Definition 8.6. We use the map $\Psi_{j, \varepsilon}^{N, \pm}$ of Theorem 8.5 to define the **canonical sectorial first integral** $H_{j, \varepsilon}^\pm := H_{j, \varepsilon}^M \circ \Psi_{j, \varepsilon}^{N, \pm}$ of Z_ε over $\mathcal{V}_\varepsilon^\pm$ as in Section 4.2. For $\varepsilon \in \Sigma_0$ it is given by:

$$(8.25) \quad H_{j, \varepsilon}^\pm(x, y) = (y - S_{j, \varepsilon}^\pm(x)) \exp(N_{j, \varepsilon}^\pm(x, y - S_{j, \varepsilon}^\pm(x))) \prod_{j=0}^k (x - x_j)^{-\frac{1}{\nu_j}}.$$

Corollary 8.7. *For each $h \in \mathbb{C}$ the level surface $(H_{j,\varepsilon}^\pm)^{-1}(h)$ is connected and coincides with a leaf of $\mathcal{F}_{j,\varepsilon}^\pm$. Each leaf of $\mathcal{F}_{j,\varepsilon}^\pm$ is reached in that way. For any domain $\mathcal{W} \subset \mathcal{V}_{j,\varepsilon}^\pm$ and any analytic function $F \in \mathcal{O}(\mathcal{W})$ such that $X_\varepsilon \cdot F = 0$ (or, equivalently, F is constant on each leaf of $\mathcal{F}_{j,\varepsilon}^\pm$) there exists a unique holomorphic function $f \in \mathcal{O}(H_{j,\varepsilon}^\pm(\mathcal{W}))$ such that $F = f \circ H_{j,\varepsilon}^\pm$.*

Proof. The first part follows immediately from Proposition 4.23 since $H_{j,\varepsilon}^\pm = H_{j,\varepsilon}^M \circ \Psi_{j,\varepsilon}^{N,\pm}$ and $\Psi_{j,\varepsilon}^{N,\pm}$ is one-to-one, sending X_ε^M to X_ε . On the other hand if $X_\varepsilon \cdot F = 0$ then Lemma 7.5 implies that F is constant on any leaf of the foliation induced by Z_ε on $\mathcal{W}$. Hence F factors as $f \circ H_{j,\varepsilon}^\pm$ for some function $f : H_{j,\varepsilon}^\pm(\mathcal{W}) \rightarrow \mathbb{C}$. However for any $(\bar{x}, \bar{y}) \in \mathcal{W}$ the restriction of $H_{j,\varepsilon}^\pm$ to a small disk $\{\bar{x}\} \times \{|y - \bar{y}| < \eta\}$ is invertible since X_ε is transverse to the lines $\{\bar{x}\} \times \mathbb{C}$. As a conclusion f must be holomorphic at $H_{j,\varepsilon}^\pm(\bar{x}, \bar{y})$ since F is also holomorphic at $(\bar{x}, \bar{y})$. $\square$

The next corollary is a direct consequence of Proposition 4.23 and the fact that $\Psi_{j,\varepsilon}^\pm$ is a sectorial diffeomorphism :

Corollary 8.8. *The space of leaves $H_{j,\varepsilon}^\pm(\mathcal{V}_{j,\varepsilon}^\pm)$ is biholomorphic to $\mathbb{C}$. Moreover:*

- (1) *The space of leaves $H_{j,\varepsilon}^+(\mathcal{V}_{j,\varepsilon}^s)$ of the foliation induced by X_ε on $\mathcal{V}_{j,\varepsilon}^s$ is biholomorphic to $\mathbb{D}$. When ε is sufficiently small and belongs to a good sector, the size of the conformal disk $H_{j,\varepsilon}^+(\mathcal{V}_{j,\varepsilon}^s)$ is bounded from below and does not vanish as $\varepsilon \rightarrow 0$.*
- (2) *The spaces of leaves over $\mathcal{V}_{j,\varepsilon}^n$ and $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$ are biholomorphic to $\mathbb{C}$.*

Except on $\mathcal{V}_{j,\varepsilon}^n$ we can choose the conformal coordinate on $\mathbb{C}$ so that 0 corresponds to the sectorial separatrix.

9. MODULUS UNDER ORBITAL EQUIVALENCE

Corollary 7.7 yields a necessary and sufficient condition for a family to be orbitally equivalent to the model family through the existence of analytic center manifolds and global solutions to the homological equations. We want to be more precise and to quantify “how far” we are from the existence of solutions. For this we need a “canonical coordinate” on the space of leaves over the different sub-sectors to do the measurement. This will allow to measure how the solutions compare in the different sectors of the intersections $\mathcal{V}_{j,\varepsilon}^+ \cap \mathcal{V}_{\ell,\varepsilon}^-$. This canonical coordinate is provided by Corollary 8.8.

Definition 9.1. (1) The space of leaves over $\mathcal{V}_{j,\varepsilon}^\pm$ is $\mathbb{C}$. A coordinate parameterizing the leaves over $\mathcal{V}_{j,\varepsilon}^\pm$ is a first integral for the system over that domain. A first integral vanishing on the center manifold is called a *leaf-coordinate over $\mathcal{V}_{j,\varepsilon}^\pm$* .
 (2) The space of leaves over $\mathcal{V}_{j,\varepsilon}^s$ is biholomorphic to $\mathbb{D}$. A first integral vanishing on the center manifold is called a *leaf-coordinate over $\mathcal{V}_{j,\varepsilon}^s$* if it extends to a leaf-coordinate over $\mathcal{V}_{j,\varepsilon}^-$.

Lemma 9.2.

- (1) *Given a leaf-coordinate over $\mathcal{V}_{j,0}^\pm$ for $\varepsilon = 0$, then for each good sector $W \subset \Sigma_0$, as in Definition 4.13, the leaf-coordinate over $\mathcal{V}_{j,\varepsilon}^\pm$ can be chosen to depend analytically on ε and such that its limit for $\varepsilon \rightarrow 0$ is the chosen leaf-coordinate over $\mathcal{V}_{j,0}^\pm$.*
- (2) *On $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$, $\mathcal{V}_{j,\varepsilon}^s$ and $\mathcal{V}_{j,\varepsilon}^\pm$, the only changes of leaf-coordinates are the linear maps. On $\mathcal{V}_{j,\varepsilon}^n$ they are the affine maps.*

Proof. (1) The canonical first integral $H_{j,\varepsilon}^\pm$ (see Definition 8.6) is one leaf-coordinate which has the required analytic dependence in ε .

(2) For fixed ε any other leaf-coordinate on $\mathcal{V}_{j,\varepsilon}^\pm$ is the composition of $H_{j,\varepsilon}^\pm$ by an analytic diffeomorphism φ_ε as stated in Corollary 8.7. A possible choice for the leaf-coordinate is thus $\varphi_\varepsilon \circ H_{j,\varepsilon}^\pm$. The only global diffeomorphisms of $\mathbb{C}$ are the affine maps. Moreover $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$ is attached to one point of saddle type. Its center manifold is unique and corresponds to the origin in the leaf-coordinate. The same is true if the point is a saddle-node as we restrict to one of its saddle sectors. Both spaces of

leaves are $\mathbb{C}$ and the only global diffeomorphisms of $\mathbb{C}$ are the affine maps. Those preserving the origin are the linear maps. $\square$

9.1. The first part of the orbital modulus.

Theorem 9.3. *On any sector $\mathcal{V}_{j,\varepsilon}^n$ the change of leaf-coordinate from $\mathcal{V}_{j,\varepsilon}^-$ to $\mathcal{V}_{j+1,\varepsilon}^+$ is an affine map $\psi_{j,\varepsilon}^\infty$. If ε belongs to some good sector $W \subset \Sigma_0$ then $\psi_{j,\varepsilon}^\infty$ depends analytically on ε and its continuous limit for $\varepsilon \rightarrow 0$ is $\psi_{j,0}^\infty$. For a suitable choice of the leaf-coordinate one can choose*

$$(\psi_{j,\varepsilon}^\infty)'(0) = e^{2i\pi a(\varepsilon)/k}.$$

Proof. This follows simply from the fact that the change of leaf-coordinate is a global diffeomorphism of $\mathbb{C}$. It depends analytically on ε and has the right limit for $\varepsilon = 0$ as soon as the leaf-coordinate does. $\square$

9.2. The cohomological equation over $\mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-$ and the second part of the orbital modulus.

Take $\varepsilon \in \Sigma_0 \cup \{0\}$. Let

$$(9.1) \quad \mathcal{V}_{j,\varepsilon} := \mathcal{V}_{j,\varepsilon}^+ \cup \mathcal{V}_{j,\varepsilon}^-.$$

On $\mathcal{V}_{j,\varepsilon}$ we consider the change of coordinates $(x, y) \mapsto \Phi_{j,\varepsilon}(x, y) = (x, y - S_{j,\varepsilon}(x))$ and set $X_{j,\varepsilon} = (\Phi_{j,\varepsilon})_* X_\varepsilon$. Let $\tilde{R}_{j,\varepsilon}$ be defined on $\mathcal{V}_{j,\varepsilon}$ as in (8.8). Taking $p \in \mathcal{V}_{j,\varepsilon}^s$ we consider

$$(9.2) \quad L_{j,\varepsilon}(p) := \int_{\gamma_{j,\varepsilon}^s(p)} \tilde{R}_{j,\varepsilon} \tau_\varepsilon,$$

where $\gamma_{j,\varepsilon}^s(p)$ is defined in Definition 6.8.

Proposition 9.4. *The function $L_{j,\varepsilon}$ in (9.2) is constant on each leaf of $\mathcal{F}_{j,\varepsilon}^\pm$. In the leaf-coordinate it is a holomorphic map $\phi_{j,\varepsilon}^0$, vanishing at the origin. On open sets where the leaf-coordinate is analytic in ε it depends analytically on ε . It has the limit $L_{j,0}$ ($\phi_{j,0}^0$ in the leaf-coordinate) when $\varepsilon \rightarrow 0$.*

Definition 9.5. For a prepared family of vector fields $X_{j,\varepsilon}$ of the form ((2.2)) we consider the functions $L_{j,\varepsilon}$ of (9.2) and the associated functions $\phi_{j,\varepsilon}^0$ in the leaf-coordinate over $\mathcal{V}_{j,\varepsilon}^s$.

For each value of $\varepsilon \in \Sigma_0 \cup \{0\}$ and each associated set of canonical sectors we have defined a $(2k+1)$ -tuple $\mathcal{N}_\varepsilon = (a, \psi_{0,\varepsilon}, \dots, \psi_{k-1,\varepsilon}^\infty, \phi_{0,\varepsilon}^0, \dots, \phi_{k-1,\varepsilon}^0)$. This $(2k+1)$ -tuple depends on a choice of leaf-coordinates over the sectors $\mathcal{V}_{j,\varepsilon}^\pm$. A different choice of leaf-coordinates over the same canonical sectors also depending analytically on ε with a continuous limit for $\varepsilon \rightarrow 0$ yields to a different $(2k+1)$ -tuple $\overline{\mathcal{N}}_\varepsilon = (a, \overline{\psi}_{0,\varepsilon}^\infty, \dots, \overline{\psi}_{k-1,\varepsilon}^\infty, \overline{\phi}_{0,\varepsilon}^0, \dots, \overline{\phi}_{k-1,\varepsilon}^0)$. They are related by the equivalence relation

$$(9.3) \quad \mathcal{N}_\varepsilon \sim \overline{\mathcal{N}}_\varepsilon \iff (\exists c_\varepsilon \in \mathcal{O}_b(W_i)) (\forall j) \begin{cases} \psi_{j,\varepsilon}^\infty(c_\varepsilon h) = c_\varepsilon \overline{\psi}_{j,\varepsilon}^\infty(h) \\ \phi_{j,\varepsilon}^0(c_\varepsilon h) = \overline{\phi}_{j,\varepsilon}^0(h). \end{cases}$$

In order to take into account that changes of coordinates and parameters of the form (3.30) transform a prepared family into a prepared family we enlarge the equivalence relation (9.3). Let $\overline{\mathcal{N}}_\varepsilon = (\overline{a}, \overline{\psi}_{0,\varepsilon}^\infty, \dots, \overline{\psi}_{k-1,\varepsilon}^\infty, \overline{\phi}_{0,\varepsilon}^0, \dots, \overline{\phi}_{k-1,\varepsilon}^0)$

$$(9.4) \quad \mathcal{N}_\varepsilon \sim \overline{\mathcal{N}}_\varepsilon \iff (\exists c_\varepsilon \in \mathcal{O}_b(W_i)) (\exists m \in \mathbb{Z}/k) (\forall j, h, \varepsilon) \begin{cases} \varepsilon_\ell = \exp(-2\pi i m(\ell-1)/k) \overline{\varepsilon}_\ell \\ a(\varepsilon) = \overline{a}(\overline{\varepsilon}) \\ \psi_{j+m,\varepsilon}^\infty(c_\varepsilon h) = c_\varepsilon \overline{\psi}_{j,\overline{\varepsilon}}^\infty(h) \\ \phi_{j+m,\varepsilon}^0(c_\varepsilon h) = \overline{\phi}_{j,\overline{\varepsilon}}^0(h). \end{cases}$$

Note that a $2k$ -tuple $\mathcal{N}_\varepsilon$ depends on a good sector W_i in ε space for which we can construct an adequate set of squid sectors with fixed good angle θ . In order to emphasize this dependence we will note

$$\mathcal{N}_\varepsilon^i := (a, \psi_{0,\varepsilon}^{\infty,i}, \dots, \psi_{k-1,\varepsilon}^{\infty,i}, \phi_{0,\varepsilon}^{0,i}, \dots, \phi_{k-1,\varepsilon}^{0,i}).$$

Given a good covering $\{W_i\}_{1 \leq i \leq d}$ of Σ_0 in ε -space we have d -tuples $(\mathcal{N}_\varepsilon^i)_{1 \leq i \leq d}$.

Theorem 9.6. *Given a germ of prepared family X_ε of the form (3.6) a good covering $\{W_i\}_{1 \leq i \leq d}$ of Σ_0 in ε -space, the d families of equivalence classes of $2k$ -tuples*

$$\mathcal{N}_\varepsilon^i = \left\{ \left(a, \psi_{0,\varepsilon}^{\infty,i}, \dots, \psi_{k-1,\varepsilon}^{\infty,i}, \phi_{0,\varepsilon}^{0,i}, \dots, \phi_{k-1,\varepsilon}^{0,i} \right) \right\} / \sim,$$

is a complete modulus of analytic classification for the prepared family X_ε under orbital equivalence. Moreover $\mathcal{N}_\varepsilon^i$ can be chosen to depend analytically on $\varepsilon \in W_i$ and such that its limit for $\varepsilon \rightarrow 0$ is a given $\mathcal{N}_0$.

We postpone the proof of the theorem till Section 11.

Theorem 9.7. *A complete modulus of analytic classification under orbital equivalence of a germ of an analytic family of vector fields unfolding a saddle-node of codimension k is given by the modulus of an associated prepared family.*

Corollary 9.8. *Let $(Z_\varepsilon)_\varepsilon$ and $(\bar{Z}_\varepsilon)_{\bar{\varepsilon}}$ be two orbitally equivalent prepared families. Then there exists an equivalence of the form $R_m \circ \Psi_\varepsilon^N$ where R_m is the rotation of angle $\frac{2i\pi m}{k}$ and a change of parameter $\varepsilon_\ell = \exp(-2\pi i m(\ell-1)/k) \bar{\varepsilon}_\ell$. The change of coordinates Ψ_ε^N preserves the x -variable and is a conjugacy between $(R_m^*(X_\varepsilon))_{\bar{\varepsilon}}$ and $(\bar{X}_{\bar{\varepsilon}})_{\bar{\varepsilon}}$.*

This statement will result directly from the proof of Theorem 9.6 given further below.

10. MODULUS UNDER CONJUGACY

Two families of vector fields can only be conjugate if they are orbitally equivalent. The modulus of an analytic family under conjugacy is constructed by adding a time part to the modulus ($\mathcal{N}_\varepsilon$) of orbital equivalence.

10.1. Time-part of the modulus. We consider the family Z_ε given in (3.5). Taking $p \in \mathcal{V}_{j,\varepsilon}^s$ we construct $\gamma_{j,\varepsilon}^\infty(p)$ and we consider

$$(10.1) \quad T_{j,\varepsilon}(p) = \int_{\gamma_{j,\varepsilon}^s(p)} \left(\frac{1}{U_\varepsilon} - \frac{1}{Q_\varepsilon} \right) d\tau_{j,\varepsilon},$$

where $\gamma_{j,\varepsilon}^s(p)$ is introduced in Definition 6.8.

Proposition 10.1. *The function $T_{j,\varepsilon}(p)$ in (10.1) depends only on the leaf. In the leaf-coordinate it is a holomorphic map $\tilde{T}_{j,\varepsilon}$.*

Proof. This follows from Theorem 7.3 and Proposition 6.9. $\square$

Definition 10.2. For the vector field Z_ε of the form (3.5) and ε in a good sector W_i in parameter space we consider the functions $T_{j,\varepsilon}$ of (10.1) and the associated functions $\tilde{T}_{j,\varepsilon}$ in the leaf-coordinate over $\mathcal{V}_{j,\varepsilon}^s$. We build the functions $\zeta_{j,\varepsilon} := \tilde{T}_{j,\varepsilon} - \tilde{T}_{j,\varepsilon}(0)$ as part of the time modulus of Z_ε .

For each value of $\varepsilon \in W_i \cup \{0\}$ and each associated set of canonical sectors we have defined a $(2k+1)$ -tuple

$$\mathcal{T}_\varepsilon^i = (C_{0,\varepsilon}, \dots, C_{k,\varepsilon}, \zeta_{0,\varepsilon}^i, \dots, \zeta_{k-1,\varepsilon}^i).$$

This $(2k+1)$ -tuple depends on a choice of a leaf-coordinate over the sectors $\mathcal{V}_{j,\varepsilon}^+$. A different choice of leaf-coordinates over the same canonical sectors yields a different $(2k+1)$ -tuple $\bar{\mathcal{T}}_\varepsilon^i = (\bar{C}_{0,\varepsilon}, \dots, \bar{C}_{k,\varepsilon}, \bar{\zeta}_{0,\varepsilon}^i, \dots, \bar{\zeta}_{k-1,\varepsilon}^i)$. If we also take into account the changes of coordinates and parameters of the form (3.30) sending a prepared family to a prepared family and we let $\bar{\mathcal{T}}_{\bar{\varepsilon}}^i = (\bar{C}_{0,\bar{\varepsilon}}, \dots, \bar{C}_{k,\bar{\varepsilon}}, \bar{\zeta}_{0,\bar{\varepsilon}}^i, \dots, \bar{\zeta}_{k-1,\bar{\varepsilon}}^i)$, we introduce the following equivalence relation

$$(\mathcal{N}_\varepsilon^i, \mathcal{T}_\varepsilon^i) \sim (\bar{\mathcal{N}}_{\bar{\varepsilon}}^i, \bar{\mathcal{T}}_{\bar{\varepsilon}}^i) \iff \mathcal{N}_\varepsilon^i \sim \bar{\mathcal{N}}_{\bar{\varepsilon}}^i \text{ and for the same } c_\varepsilon^i \text{ and } m : \begin{cases} C_{j,\varepsilon} e^{2i\pi m j/k} &= \bar{C}_{j,\bar{\varepsilon}} \\ \zeta_{j+m,\varepsilon}^i(c_\varepsilon^i h) &= \bar{\zeta}_{j,\bar{\varepsilon}}^i(h). \end{cases}$$

where the constants c and m are the same as in (9.4).

Theorem 10.3. *Given a prepared family Z_ε of the form (2.1) and a good covering $\{W_i\}_{1 \leq i \leq d}$ of Σ_0 in ε -space, the d families of equivalence classes of $(4k+2)$ -tuples*

$$(10.2) \quad \left\{ \left(a, \psi_{0,\varepsilon}^{\infty,i}, \dots, \psi_{k-1,\varepsilon}^{\infty,i}, \phi_{0,\varepsilon}^{0,i}, \dots, \phi_{k-1,\varepsilon}^{0,i}, C_{0,\varepsilon}, \dots, C_{k,\varepsilon}, \zeta_{0,\varepsilon}^i, \dots, \zeta_{k-1,\varepsilon}^i \right)_{\varepsilon \in W_i} \right\} / \sim,$$

is a complete modulus of analytic classification for the family Z_ε under conjugacy. Moreover $(\mathcal{N}_\varepsilon^i, \mathcal{T}_\varepsilon^i)$ can be chosen to depend analytically on $\varepsilon \in W_i$ and such that the limit for $\varepsilon \rightarrow 0$ is a chosen $(\mathcal{N}_0, \mathcal{T}_0)$.

We postpone a more precise statement of the theorem and the proof till Section 11.

Theorem 10.4. *A complete modulus of analytic classification under conjugacy of a germ of analytic family of vector fields unfolding a saddle-node of codimension k is given by the modulus of an associated prepared family.*

Corollary 10.5. *Let $(Z_\varepsilon)_\varepsilon$ and $(\overline{Z}_\varepsilon)_\varepsilon$ be conjugate prepared families. Then there exists a conjugacy of the form $R_m \circ \Psi_\varepsilon^N \circ \Psi_\varepsilon^T$, where each $(\Psi_\varepsilon^\#)_\varepsilon$ is an analytic family of diffeomorphisms, R_m is the rotation of angle $\frac{2i\pi m}{k}$ and $\varepsilon_\ell = \overline{\varepsilon}_\ell \exp(-2\pi i m(\ell-1)/k)$. The change of coordinates Ψ_ε^N preserves the x -variable and is an orbital equivalence between $(R_m^*(X_\varepsilon))_\varepsilon$ and $(\overline{X}_\varepsilon)_\varepsilon$. The change of coordinates Ψ_ε^T is given by the flow $\Phi_{Z_\varepsilon}^{T_\varepsilon}$ of Z_ε for the time T_ε , where $(x, y, \varepsilon) \mapsto T_\varepsilon(x, y)$ is holomorphic near $(0, 0, 0)$.*

We postpone the proof of this result till the end of Section (11).

10.2. Global symmetries. Theorem (9.6) and Theorem (10.3) will ultimate rely on the following classification of global symmetries, described as follows :

Proposition 10.6. *Let W be a good sector. Any germ $(\chi_\varepsilon)_{\varepsilon \in W \cup \{0\}}$ of analytic family of symmetries of $(Z_\varepsilon)_{\varepsilon \in W \cup \{0\}}$ over $(\mathcal{V}_\varepsilon)_{\varepsilon \in W \cup \{0\}}$, bounded with respect to $\varepsilon \in W$, is entirely determined by an integer $m \in \mathbb{Z}/k$ and two maps $\alpha : \varepsilon \mapsto \alpha(\varepsilon)$ and $\beta : \varepsilon \mapsto \beta(\varepsilon)$ holomorphic and bounded on W with continuous extension to $W \cup \{0\}$. We have*

$$(10.3) \quad \chi_\varepsilon = \chi_\varepsilon^N \circ \chi_\varepsilon^T \circ R_m$$

where R_m is the rotation $(x, y) \mapsto (e^{2i\pi \frac{m}{k}} x, y)$, the map χ_ε^N preserves the x -variable and comes from a linear change of leaf-coordinate, and $\chi_\varepsilon^T = \Phi_{Z_\varepsilon}^{\beta(\varepsilon)}$. The complex number $\alpha(\varepsilon)$ represents the linear change of leaf-coordinate induced by χ_ε^N in the sectorial spaces of leaves. For ε fixed the group of all possible $(m, \exp \alpha(\varepsilon))$ is isomorphic to the group of changes of leaf-coordinate preserving $(\mathcal{N}_\varepsilon, \mathcal{T}_\varepsilon)$ (the symmetry group of the invariants). Moreover :

- (1) if there exists $\varepsilon \in W \cup \{0\}$ such that one of the $\psi_{j,\varepsilon}^\infty$ is not linear then $\alpha = 0$.
- (2) if there exists $\varepsilon \in W \cup \{0\}$ such that for all $n \in \mathbb{N}_{>1}$ one of the $\phi_{j,\varepsilon}^0$ for some ε is not of the form $h \mapsto f(h^n)$ then $\alpha = 0$.
- (3) if all $\phi_{j,\varepsilon}^0$ are of the form $f_{j,\varepsilon}(h^n)$ for some fixed maximal $n > 1$ then $\alpha = 2i\pi \frac{q}{n}$ for some fixed $q \in \mathbb{Z}/n$ independent on j .
- (4) If all $\psi_{j,\varepsilon}^\infty$ are linear and all $\phi_{j,\varepsilon}^0$ vanish, then $\alpha \in \mathbb{C}\{\varepsilon\}$.

The families of orbital symmetries of $(\mathcal{F}_\varepsilon)_\varepsilon$ are of the same form with β being some germ of a holomorphic function at $(0, 0, 0)$. For a fixed ε the group of all possible $(m, \exp \alpha(\varepsilon))$ is isomorphic to the symmetry group of $\mathcal{N}_\varepsilon$.

Proof. We endow each sectorial space of leaves over $\mathcal{V}_{j,\varepsilon}^\pm$ with the sectorial canonical first-integral $H_{j,\varepsilon}^\pm$ so that $H_{j+1,\varepsilon}^+ = \psi_{j,\varepsilon}^\infty \circ H_{j,\varepsilon}^-$ and $H_{j,\varepsilon}^- = H_{j,\varepsilon}^+ \exp(\phi_{j,\varepsilon}^0 \circ H_{j,\varepsilon}^+)$ on $\mathcal{V}_{j,\varepsilon}^n$ and $\mathcal{V}_{j,\varepsilon}^s$ respectively. The symmetry $\chi_\varepsilon(x, y) = (A_\varepsilon(x, y), B_\varepsilon(x, y))$ induces a change of leaf-coordinate $\chi_{j,\varepsilon}^\pm : h \mapsto h \exp \alpha_{j,\varepsilon}^\pm$, for some $\alpha_{j,\varepsilon}^\pm \in \mathbb{C}$, and a time scaling $\xi_{j,\varepsilon}^\pm = \Phi_{Z_\varepsilon}^{\beta_{j,\varepsilon}^\pm}$. Since χ_ε is a global map, the first observation is that all $\beta_{j,\varepsilon}^\pm$ must be equal to the same $\beta(\varepsilon)$ since $\xi_{j,\varepsilon}^\pm(x, y) = (A_\varepsilon(x, y), \dots)$. It is also possible to show that $\exp \alpha_{j,\varepsilon}^\pm = \exp \alpha(\varepsilon)$ depends only on $\varepsilon \in W$. The fact that α and β depend analytically on

$\varepsilon \in W$ is clear enough. As χ_ε is bounded on W with continuous extension to $\varepsilon = 0$ it is also the case for α and β .

For the same reason, namely because χ_ε is a global object, the changes of leaf-coordinate $\chi_{j,\varepsilon}^+$ (*resp.* $\chi_{j,\varepsilon}^-$) must commute with $\psi_{j,\varepsilon}^\infty : h \mapsto e^{2i\pi a/k} h + s_\varepsilon$ (*resp.* $\psi_{j,\varepsilon}^0 : h \mapsto h \exp \phi_{j,\varepsilon}^0(h)$). Hence

$$(10.4) \quad \phi_{j,\varepsilon}^0(h) = \phi_{j,\varepsilon}^0(h \exp \alpha(\varepsilon))$$

$$(10.5) \quad s_\varepsilon = s_\varepsilon \exp \alpha(\varepsilon).$$

We now discuss several cases:

- (i) If any of the $\psi_{j,0}^\infty$ is nonlinear then necessarily the same is true of $\psi_{j,\varepsilon}^\infty$ for $\varepsilon \neq 0$. Thus $\exp \alpha(\varepsilon) \equiv 1$ and we can choose $\alpha(\varepsilon) = 0$.
- (ii) If all $\psi_{j,0}^\infty$ are linear but one $\psi_{j,\varepsilon}^\infty$ is nonlinear, then it is nonlinear for all values of ε on a dense open subset of W . For these values of ε we have $\alpha(\varepsilon) = 0$. By analytic continuation this is the case for all values of ε in W .
- (iii) If for any $n > 1$ there exists j such that one $\phi_{j,\varepsilon}^0$ is not of the type $\phi_{j,\varepsilon}^0(h) = f_j(h^n)$ for some analytic germ of function f_j and at least one value of ε then $\alpha(\varepsilon) = 0$.
- (iv) If all $\phi_{\ell,\varepsilon}^0$ are of the type $\phi_{\ell,\varepsilon}^0(h) = f_{\ell,\varepsilon}(h^n)$ with $n > 1$ and n is maximal with this property, then the only symmetries are of the form $\alpha(\varepsilon) = 2\pi i \frac{q}{n}$.
- (v) If all $\psi_{j,\varepsilon}^\infty$ are linear and all $\phi_{j,\varepsilon}^0$ are zero then there is no constraint and $\alpha(\varepsilon) \in \mathbb{C}$.

Checking the remaining statements is straightforward. $\square$

Corollary 10.7. $(Z_\varepsilon)_\varepsilon$ is orbitally equivalent to $(X_\varepsilon^M)_\varepsilon$ if, and only if, the symmetry group of $\mathcal{N}_\varepsilon$ is infinite for all ε .

11. PROOFS OF THEOREMS 9.6 AND 10.3

Definition 11.1. Two germs of k -parameter analytic families of vector fields $(Z_\varepsilon)_\varepsilon$ (*resp.* $(\overline{Z}_\varepsilon)_\varepsilon$) unfolding a saddle-node of codimension k at the origin for $\varepsilon = 0$ (*resp.* $\overline{\varepsilon} = 0$) are **orbitally equivalent** if there exists a germ of analytic map

$$(11.1) \quad K = (g, \Psi, \xi) : (\varepsilon, x, y) \mapsto (g(\varepsilon), \Psi(\varepsilon, x, y), \xi(\varepsilon, x, y))$$

fibered over the parameter space where

- (1) $g : \varepsilon \mapsto \overline{\varepsilon} = g(\varepsilon)$ is a germ of an analytic diffeomorphism preserving the origin;
- (2) there exists a representative $\Psi_\varepsilon(x, y) = \Psi(\varepsilon, x, y)$ which is an analytic diffeomorphism in (ε, x, y) on a small neighborhood of the origin in (ε, x, y) -space;
- (3) there exists a representative $\xi_\varepsilon(x, y) = \xi(\varepsilon, x, y)$ depending analytically on (ε, x, y) in a small neighborhood of the origin in (ε, x, y) -space with values in $\mathbb{C}_{\neq 0}$;
- (4) the change of coordinates Ψ_ε and time scaling ξ_ε is an equivalence between Z_ε and $\overline{Z}_{g(\varepsilon)}$ over a ball of small radius $r > 0$:

$$(11.2) \quad \overline{Z}_{g(\varepsilon)}(x, y) = \xi(\varepsilon, x, y) ((\Psi_\varepsilon)_* Z_\varepsilon)(x, y).$$

The families are **conjugate** if it is possible to choose $K = (g, \Psi, \xi)$ with $\xi \equiv 1$.

11.1. Proof of Theorem 9.6. If two families are orbitally equivalent under an equivalence Ψ preserving the parameter modulo a rotation of order k then they have the same modulus. Let K be indeed an orbital equivalence between two families $(Z_\varepsilon)_\varepsilon$ and $(\overline{Z}_\varepsilon)_\varepsilon$, which we can assume to be prepared. After possibly applying a rotation of order k to one of the systems and changing the parameter accordingly we can assume that the x -component of Ψ is tangent to the identity and that $g = Id$. Let $W \subset \Sigma_0$ be a good sector with associated canonical sectors. Over $\mathcal{V}_{j,\varepsilon}^\pm$ the map Ψ induces a change of leaf-coordinate, given by linear and invertible maps $h \mapsto \overline{h} = c_{j,\varepsilon}^\pm h$. Hence $c_{j+1,\varepsilon}^+ \psi_{j,\varepsilon}^\infty(h) = \overline{\psi}_{j,\varepsilon}^\infty(c_{j,\varepsilon}^- h)$ and $\phi_{j,\varepsilon}^0(h) = \overline{\phi}_{j,\varepsilon}^0(c_{j,\varepsilon}^j h)$. Because all the $(\psi_{j,\varepsilon}^\infty)'(\infty)$ have been normalized and all $\phi_{j,\varepsilon}(0) = 0$ for

fixed ε all the $c_{j,\varepsilon}^\pm$ agree: $c_{j,\varepsilon}^\pm = c_\varepsilon$. Moreover c_ε depends analytically on $\varepsilon \in W_i$ with continuous limit at $\varepsilon = 0$. Hence the moduli coincide.

Conversely we consider two prepared families with same modulus. We can apply a rotation of order k (and the corresponding change of parameter) and a change of leaf-coordinate in the modulus so that the functions $\psi_{j,\varepsilon}^{\infty,i}$ and $\phi_{j,\varepsilon}^{0,i}$ be exactly the same for the two families. Because a change of leaf is given by some functions $c_\varepsilon^i \in \mathcal{O}_{W_i}$ which are bounded and bounded away from 0 there exist common r, r', ρ valid for the two families. We now look for an equivalence preserving the parameter. The strategy is the following: we start by constructing an equivalence between the two families on a good open covering $\{W_i\}$ of Σ_0 given in Definition 4.13 and we show the existence of an equivalence depending analytically on $\varepsilon \neq 0$ in each W_i and continuously on ε in W_i near $\varepsilon = 0$. Using that the equivalences are bounded and the symmetries of the system we correct to an equivalence depending analytically on ε .

On each fixed open set W_i we drop the upper index i . We can suppose that the two families have the same representative of the modulus $\mathcal{N}_\varepsilon = \overline{\mathcal{N}}_\varepsilon$. On each $\mathcal{V}_{j,\varepsilon}^\pm$ we get first integrals given by the canonical leaf-coordinates $H_{j,\varepsilon}^\pm$ and $\overline{H}_{j,\varepsilon}^\pm$. Each first integral yields a change of coordinates on $\mathcal{V}_{j,\varepsilon}^\pm$ transforming X_ε^M into X_ε of the form $\Psi_{j,\varepsilon}^\pm : (x, y) \mapsto (x, \tilde{y})$ where

$$(11.3) \quad \tilde{y} = H_{j,\varepsilon}^\pm \prod_{j=0}^k (x - x_j)^{\frac{1}{\nu_j}}.$$

Then

$$(11.4) \quad \Psi_{j,\varepsilon}^\pm(x, y) = (x, (y - S_{j,\varepsilon}(x)) \exp N_{j,\varepsilon}^\pm(x, y))$$

which is univalued on the sector. Similar changes of coordinates $\overline{\Psi}_{j,\varepsilon}^\pm$ exist for $\overline{\mathcal{X}}_\varepsilon$. We define an equivalence between the vectors fields X_ε and $\overline{X}_\varepsilon$ as

$$(11.5) \quad \Psi_\varepsilon := \left(\overline{\Psi}_{j,\varepsilon}^\pm \right)^{-1} \circ \Psi_{j,\varepsilon}^\pm.$$

This change of coordinates is well defined as the two vector fields have the same modulus. On the sectors $\mathcal{V}_{j,\varepsilon}^n$ the result follows from $H_{j+1,\varepsilon}^+ = \psi_{j,\varepsilon}^\infty \circ H_{j,\varepsilon}^-$ and (11.4). On the sectors $\mathcal{V}_{j,\varepsilon}^s$ the result follows similarly from $H_{j,\varepsilon}^- = H_{j,\varepsilon}^+ \exp(\phi_{j,\varepsilon}^0 \circ H_{j,\varepsilon}^+)$. On the sectors $\mathcal{V}_{j,\sigma(j),\varepsilon}^g$ the result follows from the fact that the linear maps transforming one first integral to the other are identical for the two families. Let us show that they are completely determined by the $\phi_{j,\varepsilon}^0(0)$ and $(\psi_{j,\varepsilon}^\infty)'(\infty)$. Indeed, we look at the decomposition $r\mathbb{D} = \bigcup_{j=0}^{k-1} (V_{j,\varepsilon}^+ \cup V_{j,\varepsilon}^-) \cup \{x_0, \dots, x_k\}$ (see for instance Figure 4.9). Making one turn in the positive (*resp.* negative) direction around each point x_ℓ of node (*resp.* saddle) type yields a correspondence map $k_{\ell,\varepsilon}$ on the leaves which is analytic when written in a leaf-coordinate. If x_ℓ is of node type (*resp.* saddle type), then this map is a composition of some of the linear maps with some of the $\psi_{j,\varepsilon}^\infty$ (*resp.* $\psi_{j,\varepsilon}^0$), where $\psi_{j,\varepsilon}^0$ is defined as

$$\psi_{j,\varepsilon}^0(h) = h \exp(\phi_{j,\varepsilon}^0(h))$$

and is tangent to the identity. $\psi_{j,\varepsilon}^0$ is a correspondence map from $\mathcal{V}_{j,\varepsilon}^-$ to $\mathcal{V}_{j,\varepsilon}^+$ over $\mathcal{V}_{j,\varepsilon}^s$. The multiplier of the correspondence map $k_{\ell,\varepsilon}$ at the fixed point x_ℓ is given by $\exp(-\frac{2\pi i}{\nu_\ell})$. On the other hand it is given by the product of the multipliers of the linear maps together with those of the maps $(\psi_{j,\varepsilon}^0)'(0)$ (*resp.* $(\psi_{j,\varepsilon}^\infty)'(\infty)$) arising in the decomposition. This yields a system allowing to find the multipliers of the linear maps. We need to show that this system has a unique solution. This comes from the structure of gate sectors $V_{j,\sigma(j),\varepsilon}^g$ discussed in Lemma 4.9 and also studied by Oudkerk [11]. Identifying a gate sector to a segment between two singular points, the resulting graph of the gate sectors is a tree (an explanation follows below). Then we start solving for the multipliers of the linear maps by the ends of the trees, where we can find one multiplier at a time and move towards the inside of the graph, until all multipliers are found.

Let us describe why the graph is a tree. The separating graph Γ (the union of the separatrices from infinity) allows to divide $D' = r\mathbb{D} \setminus \Gamma$ into connected components, such that the intersection of the closure of each connected component with $r\mathbb{S}^1$ is exactly $\partial V_{j,\varepsilon}^+ \cup \partial V_{\sigma(j),\varepsilon}^-$, which yielded the map σ defined in (4.9). In each connected component of D' it is possible to draw a curve joining $\partial V_{j,\varepsilon}^+$ to $\partial V_{\sigma(j),\varepsilon}^-$ (Figure 4.3). This curve cuts exactly one gate sector. If we were having a cycle of gate sectors some of these curves would cut more than one gate sector.

A second argument to show that the graph is a tree is the following. The graph of the gate sectors has $k + 1$ vertices and k edges. Moreover, from its construction, it is easy to see that it is connected. Indeed two adjacent boundary sectors share a singular point, so their respective attached gate sectors, each corresponding to an edge, share a common vertex. If we have cycles in the graph, then necessarily the number of edges should be at least as large as the number of vertices. Hence there are no cycles.

It is of course possible to define a map Ψ_ε as in (11.5) for any value of $\varepsilon \in \Sigma_0 \cup \{0\}$. Moreover for $\varepsilon \in W_i$ it is possible to choose Ψ_ε^i depending analytically on $\varepsilon \neq 0$ in W_i and having the same limit Ψ_0 for $\varepsilon \rightarrow 0$. The last step of the proof is to build a global Ψ_ε depending analytically on ε on a full neighborhood of $\varepsilon = 0$ from the Ψ_ε^i defined for $\varepsilon \in W_i$. The ideas are similar to those of the addendum of [8], namely to use the symmetries of the system. Indeed on $W_{i,i'} = W_i \cap W_{i'}$

$$(11.6) \quad \chi_{i,i',\varepsilon} := \left(\Psi_{\varepsilon}^{i'} \right)^{-1} \circ \Psi_{\varepsilon}^i$$

is a symmetry of X_ε on a full neighborhood of the origin in (x, y) -space preserving the x -variable. These symmetries have been described in Proposition 10.6. They are given by analytic maps $\alpha_{i,i'}(\varepsilon)$ corresponding to linear changes of leaf-coordinate, with $\alpha_{i,i'}(0) = 0$. By Proposition 10.6 $\alpha_{i,i'}(\varepsilon) \equiv 0$, which implies $\chi_{i,i',\varepsilon} = id$, except in the case where all $\psi_{j,\varepsilon}^\infty$ are linear and all $\phi_{j,\varepsilon}^0 \equiv 0$. (If these properties are true for some W_i then they are true for all the other good sectors of the covering). In the latter case the center manifold $y = S_\varepsilon(x)$ is a global analytic bounded map for $\varepsilon \in \Sigma_0$, hence for all ε with $\|\varepsilon\| \leq \rho$. The linear changes of leaf coordinates are induced by linear changes $y \mapsto cy$ in the y -coordinate over the model. Looking at the constructions of the functions N_ε^i and $N_\varepsilon^{i'}$ (resp. $\overline{N}_\varepsilon^i$ and $\overline{N}_\varepsilon^{i'}$) over sectors W_i and $W_{i'}$, it is clear that their values coincide on the separatrices. Indeed the value of $N_\varepsilon^i(x_{j,\#}, y)$, $\# \in \{s, n\}$, is given by the integral of $\tilde{R}_{j,\varepsilon}$ on the segment $[0, y]$ in $\{x = x_{j,\#}\}$ (the horizontal part of the integral from $p_{j,s}$ to $p_{j,n}$ vanishing, since inside the center manifold). Moreover, on $x = x_{j,\#}$, $\tilde{R}_{j,\varepsilon}(x_{j,\#}, y) = R_{2,\varepsilon}(x_{j,\#}, y)$. Hence $\alpha_{i,i'}(\varepsilon) = 0$, which implies that the equivalences $\Psi_{i,\varepsilon}$ between X_ε and $\overline{X}_\varepsilon$ defined over the sectors W_i glue into a global bounded equivalence Ψ_ε defined for $\varepsilon \in \Sigma_0$.

Hence we have defined a global map Ψ_ε on Σ_0 . As it is bounded, it is possible to extend it to a full neighborhood W of the origin in ε -space. $\square$

11.2. Proof of Theorem 10.3. The strategy is similar to that of Theorem 9.6.

For the direct part, if two families are conjugate then we can bring them to the prepared form (3.5) with same X_ε and the two families have the form $Z_\varepsilon = X_\varepsilon U_\varepsilon$ and $\overline{Z}_\varepsilon = X_\varepsilon \overline{U}_\varepsilon$ with same temporal normal form $Q_\varepsilon X_\varepsilon$. Then there exists a conjugacy Ψ_ε between these two forms which is a symmetry of the foliation. Proposition 10.6 describes those maps and we obtain the existence of a holomorphic map T_ε such that $\Phi_{Z_\varepsilon}^{T_\varepsilon}$ conjugates Z_ε to $\overline{Z}_\varepsilon$. According to Lemma 8.1 we have $X_\varepsilon \cdot T_\varepsilon = \frac{1}{U_\varepsilon} - \frac{1}{\overline{U}_\varepsilon}$ and according to Corollary 7.7 we obtain, for all $p \in \mathcal{V}_{j,\varepsilon}^s$,

$$(11.7) \quad \int_{\gamma_{j,\varepsilon}^s(p)} \left(\frac{1}{U_\varepsilon} - \frac{1}{Q_\varepsilon} + \frac{1}{Q_\varepsilon} - \frac{1}{\overline{U}_\varepsilon} \right) \tau_\varepsilon = I(j)$$

independently on p (we recall that $\tau_\varepsilon = \frac{dx}{P_\varepsilon}$ is the time-form associated to X_ε). With the notations of Proposition 10.1 this implies

$$\tilde{T}_{j,\varepsilon}(h) = \tilde{\overline{T}}_{j,\varepsilon}(h) + I(j)$$

in the leaf coordinate so that $\zeta_{j,\varepsilon} - \overline{\zeta}_{j,\varepsilon} = 0$.

Conversely, let us suppose that two prepared families Z_ε and $\overline{Z}_\varepsilon$ have the same modulus and same polynomial P_ε . From Theorem 9.6 we know that the two families are orbitally equivalent and (after possibly applying a rotation of order k and the corresponding change of parameter) that the equivalence preserves the parameter, so we can suppose that they have the form $Z_\varepsilon = U_\varepsilon X_\varepsilon$ and $\overline{Z}_\varepsilon = \overline{U}_\varepsilon X_\varepsilon$. We look for a conjugacy of the form $\Phi_{U_\varepsilon X_\varepsilon}^{T_\varepsilon}$ where T_ε is holomorphic over $\mathcal{V}_\varepsilon$ and satisfies

$$(11.8) \quad X_\varepsilon \cdot T_\varepsilon = \frac{1}{U_\varepsilon} - \frac{1}{\overline{U}_\varepsilon}.$$

As before we consider a good open covering $\{W_i\}_{1 \leq i \leq d}$ of Σ_0 and we construct analytic functions T_ε^i depending analytically on ε over W_i and having the same limit when $\varepsilon \rightarrow 0$ inside W_i . For a fixed leaf-coordinate over $\mathcal{V}_{j,\varepsilon}^\pm$ we have $\zeta_{j,\varepsilon} = \overline{\zeta}_{j,\varepsilon}$ so that, for any $p \in \mathcal{V}_{j,\varepsilon}^s$,

$$(11.9) \quad \int_{\gamma_{j,\varepsilon}^s(p)} \left(\frac{1}{U_\varepsilon} - \frac{1}{\overline{U}_\varepsilon} \right) \tau_\varepsilon = I(j)$$

where $I(j)$ is constant. Applying once more Corollary 7.7, while using the fact that the graph of gate sectors is a tree, gives the existence of T_ε^i .

The last step is to build from the T_ε^i a global T_ε depending analytically on $\varepsilon \in \Sigma_0$. We proceed as in Theorem 9.6 and correct $\Phi_{Z_\varepsilon}^{T_\varepsilon^i}$ by composing with a symmetry $\chi_{i,\varepsilon}$ of Z_ε over W_i . As described in Proposition 10.6 any family of symmetries over $W_i \cap W_{i'}$ which does not exchange leaves are given by analytic maps $\varepsilon \mapsto \beta_{i,i'}(\varepsilon)$ with $\beta_{i,i'}(0) = 0$. We want to find functions β_i such that $\beta_{i,i'} = \beta_i - \beta_{i'}$. Of course this is the first Cousin problem, which is solvable since Σ_0 is a Stein manifold but this is not sufficient as we need to show that the β_i are bounded. So we proceed as follows. On each sector W_i we have constructed a family of functions T_ε^i defined on $r\mathbb{D} \times r'\mathbb{D}$ and conjugating Z_ε and $\overline{Z}_\varepsilon$ for $\varepsilon \in W_i$. Hence these functions differ from a constant $\beta_{i,i'}(\varepsilon)$ for each $\varepsilon \in W_i \cap W_{i'}$ on $W_i \cap W_{i'}$. This constant is calculated for instance as $T_\varepsilon^i(0,0) - T_\varepsilon^{i'}(0,0)$. We let $\beta_i(\varepsilon) := T_\varepsilon^i(0,0)$. Defining

$$T_\varepsilon := T_\varepsilon^i - \beta_i(\varepsilon),$$

yields the required map T_ε so that $\Phi_{Z_\varepsilon}^{T_\varepsilon}$ conjugates Z_ε with $\overline{Z}_\varepsilon$. $\square$

11.3. Proof of Corollaries 9.8 and 10.5. Since $(Z_\varepsilon)_\varepsilon$ and $(\overline{Z}_\varepsilon)_\varepsilon$ are conjugate they have the same moduli, thus are orbitally equivalent. From the proof of Theorem 9.6 we get Ψ_ε^N and R_m , while the proof of Theorem 10.3 done just above provides us with $\Psi_\varepsilon^T = \Phi_{Z_\varepsilon}^{T_\varepsilon}$. $\square$

12. PERSPECTIVES, APPLICATIONS AND QUESTIONS

12.1. Reading the dynamics from the modulus. The modulus allows to read the dynamics of the system. A first case was presented in Example 5.5. This example was not finished. Indeed we gave sufficient conditions for the stable manifold of $(x_2, 0)$ to coincide with the weak invariant manifold of $(x_0, 0)$, but they were not necessary. We now can give the necessary and sufficient condition.

We also discuss other cases coming from Figure 12.1 which is the Figure 5.1 of Example 5.5. We introduce the transition maps: $\psi_{j,\varepsilon}^0 : \mathcal{V}_{j,\varepsilon}^- \rightarrow \mathcal{V}_{j,\varepsilon}^+$ defined over $\mathcal{V}_{j,\varepsilon}^s$ by

$$(12.1) \quad \psi_{j,\varepsilon}^0(h) := h \exp(\phi_{j,\varepsilon}^0(h)).$$

Note that the $\psi_{j,\varepsilon}^\infty$ (*resp.* $\psi_{j,\varepsilon}^0$) are transition maps when we move in the anticlockwise (*resp.* clockwise) direction. A hidden motivation for this choice is that it is the direction for which the dynamics of the holonomy is going forward: the iterates of a point under the holonomy map move in that direction ([14]). We locate the area of action of each transition map in Figure 12.1.

Example 12.1. End of Example 5.5. We introduce the Lavaurs maps L_i (see Figure 12.1). These maps are the changes of leaf-coordinates over the gate sectors.

FIGURE 12.1. Interpretation of the orbital invariants in terms of the global dynamics around singular points. The linear transformations L_j are the changes of leaf-coordinates over the gate sectors. The direction of the arrows yield their direction. For instance L_1 is the change from the leaf-coordinate on $V_{0,\epsilon}^+$ to the one on $V_{1,\epsilon}^-$.

- (5): To give a necessary and sufficient condition for the stable manifold of $(x_2, 0)$ to coincide with the weak invariant manifold of $(x_0, 0)$ we need to characterize the weak invariant manifold of $(x_0, 0)$. It is the only leaf which is not ramified at the point. Hence it is the fixed point of the first return map of leaves when one makes a positive turn around $(x_0, 0)$. We choose to start this return map in a sector where the stable manifold of $(x_2, 0)$ corresponds to the zero leaf-coordinate.

So the necessary condition is given for instance by :

$$L_1 \circ \psi_{2,\epsilon}^\infty \circ L_2 \circ \psi_{1,\epsilon}^\infty(0) = 0.$$

- (6): We can for instance read the dynamics of $(x_2, 0)$ for the particular values of the parameters for which it is a saddle point (the ratio of eigenvalues is in $\mathbb{R}_{\leq 0}$). Then the return map for leaves is given by :

$$k_{2,\epsilon} = \psi_{0,\epsilon}^0 \circ L_0 \circ \psi_{1,\epsilon}^0 \circ L_1.$$

In particular $(x_2, 0)$ is orbitally linearizable if and only if $k_{2,\epsilon}$ is linearizable. The parametric resurgence phenomenon described in [14] also appears here. Indeed, let us recall that $(\psi_{j,\epsilon}^0)'(0) = 1$ which comes from the fact that $\phi_{j,\epsilon}^0(0) = 0$. For instance let ε_n be a sequence of values of ε such that $\lim \varepsilon_n = 0$, L_0 and L_1 are fixed and $k'_{2,\varepsilon}(0) = \exp(2\pi i \frac{p}{q})$. If

$$k_{2,0} = \psi_{0,0}^0 \circ L_0 \circ \psi_{1,0}^0 \circ L_1$$

is non-linearizable then so is the case for k_{2,ε_n} as soon as n is sufficiently large. The non-linearizability of $k_{2,0}$ can be seen from the non vanishing of a coefficient of the normal form.

In the particular case where $k'_{2,\varepsilon}(0) = 1$, this is the case as soon as $k_{2,0}$ is nonlinear.

12.2. Extending the discussion beyond Σ_0 . We have made an extensive description of the family Z_ε for the values of ε in Σ_0 . Such a description can also be made for the other values of ε and the paper of Douady and Sentenac [2] already contains the necessary adjustments. Indeed here, when $\varepsilon \in \Sigma_0$ the sectors are constructed as strips in z -space. When $\varepsilon \notin \Sigma_0$ and some of the singular points are saddle-nodes such a decomposition in $2k$ sectors still exist, but some of the strips in z -plane are replaced by half-spaces. Each sector is again adherent to two points, one of saddle type and one of node

type, using the remark that a saddle-node can be of saddle type or of node type when restricted to a domain over a sector. The center manifold theorem (Theorem 5.2) is still valid in this context and we get k center manifolds on k sectors attached to sectors $\partial V_{j,\varepsilon}^\pm$ of the boundary $|x| = r$. The construction of asymptotic paths (Theorem 6.4) can be performed in full generality. Similarly Theorem 7.3, where we solve cohomological equations on sectors, remains true for all values of ε .

In our discussion we have worked with a finite open covering $\mathcal{W} = \{W_i\}$ of Σ_0 . In this way we have avoided discussing the stratification of the complement of Σ_0 . The W_i are constructed as cones on open sets in the sphere $\{||\varepsilon|| = \rho\}$. A subset of $\mathcal{W}$ is necessary to cover the neighborhood of a value $\varepsilon_0 \notin \Sigma_0$. The spatial organization of these sectors around ε_0 is an interesting question for a future work. For instance, if ε_0 is a regular point of a stratum of codimension 1, then the intersection of these sectors with a section transverse to the stratum gives an open covering of the section minus ε_0 .

12.3. The link with the holonomy of the strong separatrix. In [15] the modulus of analytic equivalence under orbital equivalence of a generic 1-parameter family unfolding a planar vector field with a resonant saddle is given in terms of the modulus of analytic equivalence of the family of holonomy maps corresponding to one separatrix. Then a time part is added to the modulus to give a modulus of analytic equivalence under conjugacy. The approach of [15] could obviously have been extended to the case of the saddle-node. We have preferred a geometric approach, based on the asymptotic homology of the leaves, as it is the fact that the space of leaves over the canonical sectors is $\mathbb{C}$ which yields that the maps $\psi_{j,\varepsilon}^\infty$ of the modulus are affine maps.

If we consider a section $y = 1$ of the strong separatrix, then it can be proved as in [14] that all leaves over a canonical sector $\mathcal{V}_{j,\varepsilon}^\pm$ intersect $y = 1$ and that different points of intersection belong to the same orbit of the holonomy map. So we have a correspondence between the space of leaves over the canonical sectors and the orbit spaces of the holonomy maps. Hence two germs of generic families of vector fields with a saddle-node of codimension k at the origin and same formal parameter are orbitally equivalent if and only if the families of unfoldings of the holonomies of their strong separatrices are conjugate. The same is true for conjugacy if we add to the holonomies the times needed to compute them by following the flow of the vector field.

It is worth here citing the work [12] of Javier Ribn which studies the modulus of analytic classification of 1-parameter families unfolding of resonant diffeomorphisms.

12.4. Questions and directions for future research.

- (1) The most important question coming from our work is to identify the modulus space, both for the problem of orbital equivalence and for the problem of conjugacy. The dependence on ε of the components of the moduli is a highly non trivial question. In an upcoming paper with Reinhard Schäfke we propose to prove that in the case $k = 1$ the moduli $\phi_{0,\varepsilon}^{0,i}$, $\psi_{0,\varepsilon}^{\infty,i}$ and $\zeta_{0,\varepsilon}^i$ represent $\frac{1}{2}$ -sums of formal power series $\sum A_n(h) \varepsilon^n$ with A_n holomorphic, as was earlier suspected. For a given value of k , this requires in particular to describe the relationships between the different $\mathcal{N}_\varepsilon^i$ (resp. $(\mathcal{N}_\varepsilon^i, \mathcal{T}_\varepsilon^i)$) on all intersections $W_i \cap W_{i'}$ of two good sectors in ε -space.
- (2) As for the time part of the modulus, the problem addressed is whether it is possible to “unfold” a result of [17] (an adaptation of Ramis-Sibuya theorem) stating that, given k functions holomorphic on the space of leaves of the canonical sectors $\mathcal{V}_{j,0}^s$, it is possible to find a holomorphic function G_0 such that the obstructions to solve $Z_0 \cdot F_0 = G_0$ are precisely the given functions.

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E-mail address: Christiane Rousseau : rousseac@dms.umontreal.ca, Loc Teyssier : teyssier@math.u-strasbg.fr
 URL: <http://www.dms.umontreal.ca/~rousseac>, <http://math.u-strasbg.fr/~teyssier>

Christiane Rousseau : DÉPARTEMENT DE MATHÉMATIQUES ET DE STATISTIQUE, UNIVERSITÉ DE MONTRÉAL CP 6128, SUCC. CENTRE-VILLE MONTRÉAL, QUÉBEC H3C 3J7, CANADA, **Loc Teyssier** : LABORATOIRE IRMA, 7 RUE RENÉ DESCARTES F-67084 STRASBOURG CÉDEX, FRANCE