The differential equation satisfied by a plane curve of degree $n$
Alain Lascoux

To cite this version:
Alain Lascoux. The differential equation satisfied by a plane curve of degree $n$. 2006. hal-00019227

HAL Id: hal-00019227
https://hal.archives-ouvertes.fr/hal-00019227
Preprint submitted on 17 Feb 2006
The differential equation satisfied by a plane curve of degree $n$

Alain Lascoux

Abstract

Eliminating the arbitrary coefficients in the equation of a generic plane curve of order $n$ by computing sufficiently many derivatives, one obtains a differential equation. This is a projective invariant. The first one, corresponding to conics, has been obtained by Monge. Sylvester, Halphen, Cartan used invariants of higher order. The expression of these invariants is rather complicated, but becomes much simpler when interpreted in terms of symmetric functions.

Résumé

L’expression différentielle des courbes planes de degré donné fournit un invariant projectif. Monge a obtenu celle des coniques planes, Sylvester et Halphen ont généralisé l’équation de Monge aux courbes planes de tout degré. Nous montrons que la théorie des fonctions symétriques permet de retrouver ces invariants, et d’en donner des expressions plus compactes.

Mots-clés: Équation de Monge, invariant d’Halphen, invariants différentiels.

Key words: Monge equation, Halphen invariant, differential invariants.

A line in the plane can be written

$$y = ax + b,$$

with arbitrary coefficients $a, b$, but it is more satisfactory to write it

$$y'' = 0.$$

More generally

$$y^n + \ast y^{n-1}x + \cdots + \ast x^n + \cdots + \ast y^0 x^0 = 0$$

is the equation of a general planar curve of order $n$. Writing sufficiently many derivatives of this equation, one can eliminate in their system the arbitrary coefficients ($\ast$).

However, already in the case of a conic (solved by Monge), we have to use the derivatives of order 3, 4, 5, and the outcome is not straightforward to interpret. We need some method to perform the elimination.
It is convenient, instead of taking \( \frac{d^i}{dx^i} \), to rather use the normalization \( D^i = \frac{d^i}{i! dx^i} \).

With these conventions, the Leibnitz formula loses its coefficients:

\[
D^n(fg) = \sum_{i+j=n} D^i f D^j g .
\]

We need the collection \( \{D^0 y, D^1 y, D^2 y, \ldots\} \), which we can write with the help of a generating series

\[
\sum_{i=0}^{\infty} z^i D^i y .
\]

Symmetric function theory tells that we ought to formally factorize this series, as we factorize the total Chern class of a vector bundle.

Thus we introduce a formal alphabet \( \mathbb{A} \) and write

\[
\sum_{i=0}^{\infty} \frac{d^i y}{i! dx^i} = \sum_{i=0}^{\infty} z^i D^i y = \prod_{a \in \mathbb{A}} (1 + za) = \sum_{i=0}^{\infty} z^i \Lambda^i \mathbb{A} ,
\]

(1)

denoting by \( \Lambda^i \mathbb{A} \) the *elementary symmetric functions* in \( \mathbb{A} \), and thus identifying \( D^i y \) to \( \Lambda^i \mathbb{A} \). We refer to [4] for what concerns the theory of symmetric functions, and to [3] for its \( \lambda \)-ring approach.

Remember that taking \( k \) copies of an alphabet (we write \( k \mathbb{A} \)) translates into taking the \( k \)-th power of the generating function :

\[
\left( \sum_{i=0}^{\infty} z^i \Lambda^i \mathbb{A} \right)^k = \sum_{i=0}^{\infty} z^i \Lambda^i (k \mathbb{A}) .
\]

Adding \( r \) copies of a letter \( x \) to these \( k \) copies of \( \mathbb{A} \) is written, at the level of generating series, as

\[
(1 + zx)^r \left( \sum_{i=0}^{\infty} z^i \Lambda^i \mathbb{A} \right)^k = \sum_{i=0}^{\infty} z^i \Lambda^i (k \mathbb{A} + r x) .
\]

(2)

Thus, instead of having a sum \( D^n(y^2) = \sum_{i+j=n} D^i y D^j y \) to express the derivatives of the square of \( y \), one can now use the more compact notation \( D^n(y^2) = \Lambda^n(2 \mathbb{A}) \).

More generally, \( D^n(y^3) = \Lambda^n(3 \mathbb{A}) \), \( D^n(y^4) = \Lambda^n(4 \mathbb{A}) \), \ldots and one has the following easy lemma resulting from Leibnitz’ rule:
**Lemma 1** Given \( n, k, r \in \mathbb{N} \), then
\[
D^n(x^r y^k) = x^r \Lambda^n(kA + r/x) .
\] (3)

We can now easily write the derivatives of any orders of the components of the equation of a planar curve.

Let us look first at the case treated by Monge.

We start with
\[
u = y^2 + c_1 xy + c_2 y + (\ast)x^2 + (\ast)x + (\ast)
\]
and take successive derivatives, starting from the third one (so that the part depending on \( x \) only (where the coefficients \((\ast)\) appear) has already been eliminated).

\[
\begin{align*}
D^3u &= \Lambda^3(2A) + xc_1 \Lambda^3(A + 1/x) + c_2 \Lambda^3A \\
D^4u &= \Lambda^4(2A) + (xc_1 + c_2) \Lambda^4(A) + c_1 \Lambda^3A \\
D^5u &= \Lambda^5(2A) + (xc_1 + c_2) \Lambda^5(A) + c_1 \Lambda^4A
\end{align*}
\] (4)

Elimination of the coefficients among these three equations gives the vanishing:
\[
\begin{vmatrix}
\Lambda^2(A) & \Lambda^3(A) & \Lambda^3(2A) \\
\Lambda^3(A) & \Lambda^4(A) & \Lambda^4(2A) \\
\Lambda^4(A) & \Lambda^5(A) & \Lambda^5(2A)
\end{vmatrix} = \begin{vmatrix}
\Lambda^2 & \Lambda^3 & 2\Lambda^30 + 2\Lambda^21 \\
\Lambda^3 & \Lambda^4 & 2\Lambda^40 + 2\Lambda^31 + \Lambda^22 \\
\Lambda^4 & \Lambda^5 & 2\Lambda^50 + 2\Lambda^41 + 2\Lambda^32
\end{vmatrix},
\] (8)

writing \( \Lambda^i \) for \( \Lambda^iA \), \( \Lambda^i j \) for \( \Lambda^i A \Lambda^j A \), . . . .

The last determinant can be simplified and becomes
\[
\begin{vmatrix}
\Lambda^2 & \Lambda^3 & 0 \\
\Lambda^3 & \Lambda^4 & \Lambda^{22} \\
\Lambda^4 & \Lambda^5 & 2\Lambda^{32}
\end{vmatrix} = \begin{vmatrix}
y''/2 & y'''/6 & 0 \\
y'''/6 & y''''/24 & (y''/2)^2 \\
y''''/24 & y''/120 & y'''y''''/6
\end{vmatrix},
\] (9)

which is Monge’s equation, after suppressing the extra factor \( y''/2 \):
\[
D^2y D^2y D^5y - 3D^2y D^3y D^4y + 2D^3y D^3y D^3y = 0 .
\] (10)

The general case takes only a few lines more to be written down.

From Eq.2, one has
\[
\Lambda^n(A + rx) = \Lambda^nA + rx \Lambda^{n-1}A + \left(\frac{r}{2}\right)x^2 \Lambda^{n-2}A + \cdots + \left(\frac{r}{n}\right)x^n \Lambda^0A .
\]
Let $u$ be a polynomial in $x, y$ of total degree $n$ with leading term $y^n$. The equations
\[ D^{n+1}u = 0 = \cdots = D^{n(n+3)/2}u \]
are
\[
0 = \Lambda^{n+1}(nA) + c_{1,n-1}\Lambda^n((n-1)A) + c_{2,n-1}\Lambda^{n+1}((n-1)A) + \cdots \\
+ c_{1,1}\Lambda^2A + c_{2,1}\Lambda^3A + \cdots + \Lambda^{n+1}A \\
0 = \Lambda^{n+2}(nA) + c_{1,n-1}\Lambda^{n+1}((n-1)A) + c_{2,n-1}\Lambda^{n+2}((n-1)A) + \cdots \\
+ c_{1,1}\Lambda^3A + c_{2,1}\Lambda^4A + \cdots + \Lambda^{n+2}A \\
\cdots \quad \cdots \quad \cdots \quad \cdots \quad \cdots \\
0 = \Lambda^{n(n+3)/2}(nA) \\
+ c_{1,n-1}\Lambda^{n(n+3)/2-1}((n-1)A) + c_{2,n-1}\Lambda^{n(n+3)/2}((n-1)A) + \cdots \\
+ c_{1,1}\Lambda^{n(n+1)/2+1}A + c_{2,1}\Lambda^{n(n+1)/2+2}A + \cdots + \Lambda^{n(n+3)/2}A,
\]
where the coefficients $c_{i,j}$ are polynomials in $x$ only.

Eliminating these coefficients, one obtains the vanishing of the following determinant (we have written the columns in a different order):

\[
\begin{vmatrix}
\Lambda^2A & \Lambda^3A & \cdots & \Lambda^{n+1}A & \cdots & \Lambda^n((n-1)A) & \Lambda^{n+1}((n-1)A) & \Lambda^{n+1}(nA) \\
\Lambda^3A & \Lambda^4A & \cdots & \Lambda^{n+2}A & \cdots & \Lambda^{n+1}((n-1)A) & \Lambda^{n+2}((n-1)A) & \Lambda^{n+2}(nA) \\
\vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
\cdots & \Lambda^N A & \cdots & \Lambda^{N-1}((n-1)A) & \Lambda^{N}((n-1)A) & \Lambda^{N}(nA)
\end{vmatrix}
\]

with $N = n(n + 3)/2$, which is the differential equation satisfied by a planar curve of order $n$.

This determinant has a simple structure, with blocks of $n, n-1, \ldots, 1$ columns involving the elementary symmetric functions in $A, 2A, \ldots, nA$.

One can simplify it a little. Because the image of a curve of degree $n$ under the transformation $y \to \alpha y + \beta$ is still a curve of the same degree, the value of the determinant is independent of $y = \Lambda^0A$ and $y' = \Lambda^1A$, that one can put both equal to 0.

Therefore, instead of the generating series (2), one can now take a second alphabet $D$ such that
\[
\Lambda^iD = \Lambda^{i+2}A, \quad i = 0, 1, \ldots
\]
(as usual $\Lambda^i = 0$ for $i < 0$).

In other words, $\sum z^i \Lambda^iD = \Lambda^2A + z\Lambda^3A + \cdots$, and for what concerns its powers, one has that
\[
\Lambda^i(kD) = \Lambda^{i+2k}(kA), \quad i, k \in \mathbb{N}.
\]

\[4\]
The equation of a planar curve can now be rewritten

\[
\begin{vmatrix}
\Lambda^0D & \cdots & \Lambda^{n-1}D & \Lambda^{-1}(2D) & \cdots & \Lambda^{n-3}(2D) & \cdots & \Lambda^{1-n}(nD) \\
\Lambda^1D & \cdots & \Lambda^nD & \Lambda^0(2D) & \cdots & \Lambda^{n-2}(2D) & \cdots & \Lambda^{2-n}(nD) \\
\vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
\cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\
\cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\
\Lambda^N D & \cdots & \Lambda^{N-2}(2D) & \Lambda^{N-2n+2}(nD)
\end{vmatrix}
\] (12)

with \(N = (n - 1)(n + 4)/2\), and, apart from notations, this is the equation given by Sylvester.

For the conic, this equation is the determinant (13) that we have seen above:

\[
\begin{vmatrix}
\Lambda^0D & \Lambda^1D & \Lambda^{-1}(2D) \\
\Lambda^1D & \Lambda^2D & \Lambda^0(2D) \\
\Lambda^2D & \Lambda^3D & \Lambda^1(2D)
\end{vmatrix} = \begin{vmatrix}
\Lambda^0D & \Lambda^1D & 0 \\
\Lambda^1D & \Lambda^2D & \Lambda^0D \\
\Lambda^2D & \Lambda^3D & 2\Lambda^1D
\end{vmatrix} (13)
\]

The equation of a planar cubic is:

\[
\begin{vmatrix}
\Lambda^0D & \Lambda^1D & \Lambda^2D & \Lambda^{-1}(2D) \\
\Lambda^1D & \Lambda^2D & \Lambda^3D & \Lambda^0(2D) \\
\Lambda^2D & \Lambda^3D & \Lambda^4D & \Lambda^1(2D) \\
\Lambda^3D & \Lambda^4D & \Lambda^5D & \Lambda^2(2D)
\end{vmatrix} = 0. \quad (14)
\]

In terms of \(\Lambda^iD\) only, written \(\Lambda_i\), and putting \(\Lambda_0 = 1\), the determinant reads

\[
\begin{vmatrix}
1 & \Lambda_1 & \Lambda_2 & 0 & 1 & 0 \\
\Lambda_1 & \Lambda_2 & \Lambda_3 & 1 & 2\Lambda_1 & 0 \\
\Lambda_2 & \Lambda_3 & \Lambda_4 & 2\Lambda_1 & \Lambda_1^2 + 2\Lambda_2 & 1 \\
\Lambda_3 & \Lambda_4 & \Lambda_5 & \Lambda_1^2 + 2\Lambda_1\Lambda_3 + 2\Lambda_4 & 3\Lambda_1 \\
\Lambda_4 & \Lambda_5 & \Lambda_6 & 2\Lambda_1\Lambda_2 + 2\Lambda_3 & \Lambda_1^2 + 2\Lambda_1\Lambda_3 + 2\Lambda_4 & 3\Lambda_1^2 + 3\Lambda_2 \\
\Lambda_5 & \Lambda_6 & \Lambda_7 & \Lambda_2^2 + 2\Lambda_2\Lambda_3 + 2\Lambda_4 & 2\Lambda_2\Lambda_3 + 2\Lambda_1\Lambda_4 + 2\Lambda_5 & \Lambda_3^2 + 6\Lambda_1\Lambda_2 + 3\Lambda_3
\end{vmatrix}
\]

which expands into the rather less attractive expression:

\[
6\Lambda_1^7\Lambda_2^3 - 30\Lambda_1^4\Lambda_2^2\Lambda_5 - 10\Lambda_3^2\Lambda_1\Lambda_5 + 52\Lambda_2\Lambda_7\Lambda_3^2 - 2\Lambda_5\Lambda_7\Lambda_3^2 + 5\Lambda_7\Lambda_1^2\Lambda_2^2 + 30\Lambda_1\Lambda_5^2\Lambda_2 + 6\Lambda_5^2\Lambda_6\Lambda_4 - 6\Lambda_1\Lambda_6\Lambda_3 + 3\Lambda_6\Lambda_1\Lambda_5^2 - 3\Lambda_6^2\Lambda_1\Lambda_2 - 20\Lambda_1^2\Lambda_2^2\Lambda_3 - 60\Lambda_1\Lambda_4\Lambda_6\Lambda_4 - 10\Lambda_3^2\Lambda_2\Lambda_5 - 3\Lambda_3^2\Lambda_5\Lambda_2 - 3\Lambda_4\Lambda_1\Lambda_5^2 + 10\Lambda_3^2\Lambda_2\Lambda_5^2 - 15\Lambda_7\Lambda_1\Lambda_2^2 + 2\Lambda_5^2\Lambda_7^2 + 4\Lambda_7\Lambda_1^4 + 5\Lambda_6\Lambda_3 - 8\Lambda_2\Lambda_4\Lambda_3\Lambda_1\Lambda_5 - 5\Lambda_2\Lambda_6\Lambda_1\Lambda_5 - 10\Lambda_3^2\Lambda_2\Lambda_1\Lambda_4 + 5\Lambda_3\Lambda_7\Lambda_5\Lambda_3 - 6\Lambda_2\Lambda_5\Lambda_2^2 + 5\Lambda_7^2\Lambda_4\Lambda_3^2 + 5\Lambda_7^2\Lambda_4\Lambda_3^2 - 30\Lambda_1^2\Lambda_2\Lambda_3^2 - 50\Lambda_1^2\Lambda_2\Lambda_3^2 - 12\Lambda_5\Lambda_7^2\Lambda_2^2 + 6\Lambda_2^2\Lambda_4\Lambda_7 - 10\Lambda_4\Lambda_7\Lambda_2 - 10\Lambda_4^2\Lambda_7\Lambda_5 - 10\Lambda_6^2\Lambda_7\Lambda_3 + 7\Lambda_7^2\Lambda_6\Lambda_4 + 12\Lambda_2\Lambda_7\Lambda_5^2 + 10\Lambda_2^2\Lambda_7\Lambda_5^2 + 3\Lambda_3\Lambda_4\Lambda_6\Lambda_5 - 3\Lambda_3\Lambda_7\Lambda_1\Lambda_4 - 2\Lambda_4\Lambda_6\Lambda_5 - 15\Lambda_2\Lambda_6\Lambda_1\Lambda_3^2 + 5\Lambda_2\Lambda_7\Lambda_1\Lambda_4 - 2\Lambda_5\Lambda_1\Lambda_2\Lambda_3 + 10\Lambda_3^2\Lambda_1\Lambda_2^2 + 6\Lambda_1^2\Lambda_2^2 - 10\Lambda_2\Lambda_1\Lambda_2^2 - 10\Lambda_1\Lambda_2\Lambda_3^2 + 3\Lambda_3\Lambda_7\Lambda_3 - 2\Lambda_5\Lambda_5^2 - 6\Lambda_6\Lambda_3^2\Lambda_3 - 4\Lambda_7\Lambda_2^2\Lambda_4 + 4\Lambda_6\Lambda_2^2\Lambda_5 + 30\Lambda_6\Lambda_3^2\Lambda_3^2
\]
Invariance under the projective group

We did not yet use that the image of a curve under a projective transformation of the plane is still a curve of the same degree, and therefore that the differential equation is a projective invariant.

Expressed in terms of \( D \), invariance under some subgroup of the projective group amounts to belonging to the kernel of

\[
\nabla_D := \frac{d}{dA^1} + 2\Lambda^1 D \frac{d}{dA^2} + 3\Lambda^2 D \frac{d}{dA^3} + 4\Lambda^3 D \frac{d}{dA^4} + \cdots
\]

This operator appears in the theory of binary forms in \( x, y \), and simply expresses the invariance under the translation \( x \rightarrow x + 1 \). Elements of this kernel are called semi-invariants in the theory of binary forms.

To simplify the operator \( \nabla_D \), let us introduce another alphabet \( E \):

\[
\Lambda^i E = \Lambda^i D / i! = \frac{1}{i! (i+2)!} \frac{d^{i+2} y}{dx^{i+2}}.
\]

Under the change of alphabet, our projective invariants belong to the kernel of

\[
\nabla_E := \frac{d}{dA^1} + \Lambda^1 E \frac{d}{dA^2} + \Lambda^2 E \frac{d}{dA^3} + \Lambda^3 E \frac{d}{dA^4} + \cdots
\]

But this kernel is very easy to determine. Indeed, \( \nabla_E \) sends \( \psi_i E \) onto 1, and it sends all the other power sums \( \psi_i E, i = 2, \ldots, n \) onto 0, \( n \) being the cardinality of \( E \). Therefore a semi-invariant is a polynomial in \( \psi_2 E, \psi_3 E, \ldots \).

Monge’s element is of degree 3 in \( E \). Therefore it must be proportional to \( \psi_3 E \). Indeed, the equation of a conic is

\[
[\psi_3 E = 0],
\]

or, in terms of elementary symmetric functions,

\[
\psi_3 E = 3\Lambda^3 E - 3\Lambda^{12} E + \Lambda^{111} E = 0
\]
which rewrites, with $\Lambda^1 \mathcal{E} = \frac{d^3y}{d^1! d^3! dx^3}$, $\Lambda^2 \mathcal{E} = \frac{d^4y}{d^2! d^4! dx^4}$, $\Lambda^3 \mathcal{E} = \frac{d^5y}{d^3! d^5! dx^5}$, into the
equation that we have already seen:

$$3 \left( \frac{y''}{2!} \right)^2 \frac{y''}{3!} - 3 \frac{y''}{2!} \frac{y'''}{1!} \frac{y'''}{2!} \frac{y'''}{4!} + \left( \frac{y'''}{1!} \right)^3 = 0 .$$

The equations of curves of higher degree can be similarly treated, using
the usual theory of binary forms, and involve generalizations of the Hessian.

The next projective invariant, after the invariant of Monge, has been
found by Halphen\[2]. Cartan\[1] takes it as the projective analogue of the
curvature. The equation of the cubic is a polynomial in it and Monge invari-
ant. Halphen invariant, in terms of power sums in $\mathcal{E}$, is

$$48\psi_3\psi_3 - 20\psi_3^2\psi_2 - \psi_4^2 + 12\psi_2^2\psi_4 - 36\psi_4^2$$

and is easier to handle than determinants of the type displayed in Eq. (14).

References


Memoir on seminvariants, p.566–583.

On perpetuant reciprocants, p. 584–596.


On a modified form of pure reciprocants, p. 829–832.


Vol IV: Note on Captain MacMahon’s transformation of the theory of
invariants, p. 236–237.

Lectures on the theory of reciprocants, 303–513.
Note sur les invariants différentiels, p.520–523.