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MONTE CARLO STUDY
OF THE PRECIPITATION KINETICS
OF Al$_3$Zr IN Al-Zr

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1. INTRODUCTION

Precipitation kinetics in alloys, like spinodal decomposition, nucleation and growth, or phase ordering, are now often studied at an atomistic scale using Monte Carlo simulations. So as to be able to reproduce the different kinetic behaviors during these transformations, one needs to adopt a realistic description of the diffusion. Therefore it is better to use a vacancy-diffusion mechanism than a direct atom exchange mechanism. It is then possible to explain why different kinetic pathways are observed. For instance, the vacancy diffusion mechanism can predict the importance of monomer diffusion with respect to the diffusion of small clusters [1,2]. This leads to a difference in the cluster size distribution during precipitation [2] and determines the coarsening mechanism (evaporation-condensation or coagulation) [3-4]. One can predict too the slowdown of precipitation kinetics by vacancy trapping due to the addition of a third component impurity [5]. Finally, different interactions of solute atoms with vacancy lead to a difference of precipitate / matrix interface morphology during the kinetic pathway, the interface being diffuse for a repulsion between vacancy and solute atoms and sharp for an attraction [6].

One drawback of kinetic Monte Carlo simulations using vacancy-diffusion mecha-
nism is that they limit themselves to pair interactions to describe configurational energy of alloys. Multisite interactions including more than two lattice sites are necessary if one wants to fully reproduce the thermodynamics of a system \([5, 6]\). These interactions reflect dependence of bonds with their local environment and as a consequence break the symmetry imposed by pair interactions on phase diagram. It is interesting to notice that in Calphad approach \([6]\) one naturally considers such interactions by describing formation energy of solid solutions with Redlich-Kister polynomials, and that coefficients of these polynomials can be mapped onto an Ising model to give effective interactions including more than two lattice sites \([8, 9]\). Moreover these interactions allow one to understand shapes of precipitates in alloys \([10]\) and can lead to a prediction of coherent interface energy in really good agreement with ab-initio calculations performed on supercells \([11]\). Nevertheless, to study kinetics in Monte Carlo simulations with such interactions, one usually uses a direct atom exchange mechanism \([12]\), and thus looses all kinetic effects due to vacancy-diffusion mechanism.

We incorporate these multisite interactions in a kinetic model using a vacancy-diffusion mechanism to study precipitation kinetics of \(\text{Al}_3\text{Zr}\) in Al-Zr solid solution. For small supersaturation in zirconium of the aluminum solid solution, it has been experimentally observed that \(\text{Al}_3\text{Zr}\) precipitates are in the metastable \(\text{L1}_2\) structure \([13, 14, 15]\). These precipitates are found to have mainly spherical shape (diameter \(\sim 10-20\) nm), as well as rod-like shape \([13]\). For supersaturation higher than the peritectic concentration, nucleation is homogeneous and precipitates are coherent with the matrix \([13, 14]\). With prolonged heat treatment, if the temperature is high enough, the metastable \(\text{L1}_2\) structure can transform to the stable one \(\text{DO}_{23}\). Using a phase field method, Proville and Finel \([16]\) modelled these two steps of the precipitation, \(i.e.\) the transient nucleation of the \(\text{L1}_2\) structure and the transformation to the \(\text{DO}_{23}\) structure. In this work, we only focus on the precipitation first stage, where \(\text{Al}_3\text{Zr}\) precipitates have the \(\text{L1}_2\) structure and are coherent with the matrix.

We first use ab-initio calculations to fit a generalized Ising model describing thermodynamics of Al-Zr system. We then extend description of the configurational energy of the binary Al-Zr to the one of the ternary Al-Zr-Vacancy system and adopt a vacancy-atom exchange mechanism to describe kinetics. This atomistic model is used in Monte Carlo simulations to study diffusion in the Al-Zr solid solution as well as precipitation kinetics of \(\text{Al}_3\text{Zr}\). We mainly focus our study on detecting any influence of multisite interactions on kinetics.

2. THERMODYNAMICS OF \(\text{Al-Zr}\) BINARY

2.1. Ab initio calculations

We use the full-potential linear-muffin-tin-orbital (FP-LMTO) method \([17, 18, 19]\) to calculate formation energies of different compounds in the Al-Zr binary system, all based on a fcc lattice. Details of ab initio calculation can be found in appendix A. They are the same as in our previous work \([20]\), except the fact that we use the generalized gradient approximation (GGA) instead of the local density approximation (LDA) for the exchange-correlation functional.

The use of GGA for the exchange correlation energy leads to a slightly better description of the Al-Zr system. The approximation does not fail to predict phase
stability of pure Zr \[21\] as LDA does: if one does not include generalized-gradient corrections, the stable structure at 0 K for Zr is found to be the \(\omega\) one (hexagonal with 3 atoms per unit cell) and not the hcp structure.

Another change depending on the approximation used for the exchange-correlation functional is that formation enthalpies obtained with GGA for the different Al-Zr compounds are a little bit lower (a few percent) than with LDA. For the DO\(_{23}\) structure of Al\(_3\)Zr (table I), GGA predicts a formation energy which perfectly reproduces the one measured by calorimetry \[22\]: including generalized-gradient corrections has improved the agreement. The energy of transformation from the L1\(_2\) to the DO\(_{23}\) structure, \(\Delta E = -23\) meV/atom, agrees really well too with the experimental one measured by Desh et al. \[23\], but this was already true with LDA. Gradient corrections have improved the agreement for the equilibrium volumes too: with the LDA, they were too low compared to the available experimental ones. Considering the values of the relaxed equilibrium parameters, shape of the unit cell and atomic positions, no change is observed according to the approximation used, both LDA and GGA being in good agreement with measured parameters.

Table 1: Calculated equilibrium volumes \(V_0\), \(c'/a\) ratios (\(c' = c/2\) for the DO\(_{22}\) phase and \(c' = c/4\) for the DO\(_{23}\) phase), atomic displacements (normalized by \(a\)), and energies of formation for Al\(_3\)Zr compared to experimental data.

<table>
<thead>
<tr>
<th></th>
<th>(V_0) (Å(^3)/atom)</th>
<th>(c'/a)</th>
<th>Atomic displacements</th>
<th>(\Delta E) (eV/atom)</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1(_2)</td>
<td>GGA(^a) 16.89</td>
<td></td>
<td></td>
<td>−0.478</td>
</tr>
<tr>
<td></td>
<td>LDA(^b) 16.12</td>
<td></td>
<td></td>
<td>−0.524</td>
</tr>
<tr>
<td>DO(_{22})</td>
<td>GGA(^a) 17.40</td>
<td>1.138</td>
<td></td>
<td>−0.471</td>
</tr>
<tr>
<td></td>
<td>LDA(^b) 16.60</td>
<td>1.141</td>
<td></td>
<td>−0.525</td>
</tr>
<tr>
<td>DO(_{23})</td>
<td>GGA(^a) 17.16</td>
<td>1.080</td>
<td>(\delta_{\text{Al}} = +0.0013) (\delta_{\text{Zr}} = -0.0239)</td>
<td>−0.502</td>
</tr>
<tr>
<td></td>
<td>LDA(^b) 16.35</td>
<td>1.087</td>
<td>(\delta_{\text{Al}} = -0.0021) (\delta_{\text{Zr}} = -0.0273)</td>
<td>−0.548</td>
</tr>
<tr>
<td>Exp.(^c)</td>
<td>17.25</td>
<td>1.0775</td>
<td>(\delta_{\text{Al}} = +0.0004) (\delta_{\text{Zr}} = -0.0272)</td>
<td>−0.502 ± 0.014</td>
</tr>
</tbody>
</table>

\(^a\)FP-LMTO calculations (present work)
\(^b\)FP-LMTO calculations \[20\]
\(^c\)Neutron diffraction \[24\]
\(^d\)Calorimetry \[22\]

2.2. Cluster expansion of the formation energy

In order to express the formation energy of any Al-Zr compound based on a perfect fcc lattice, we make a cluster expansion \[25\] of our FP-LMTO calculations to fit a generalized Ising model. This allows us to obtain the energy of any configuration of the fcc lattice.
Considering a binary alloy of \( N \) sites on a rigid lattice, its configuration can be described through an Ising model by the vector \( \boldsymbol{\sigma} = \{ \sigma_1, \sigma_2, \ldots, \sigma_N \} \) where the pseudo-spin configuration variable \( \sigma_i \) is equal to \( \pm 1 \) if an A or B atom occupies the site \( i \). Any structure is then defined by its density matrix \( \rho^s \), \( \rho^s(\boldsymbol{\sigma}) \) being the probability of finding the structure \( s \) in the configuration \( \boldsymbol{\sigma} \).

With any cluster of \( n \) lattice points \( \alpha = \{ i_1, i_2, \ldots, i_n \} \) we associate the multisite correlation function

\[
\zeta^s_\alpha = \text{Tr} \, \rho^s \prod_{i \in \alpha} \sigma_i = \frac{1}{2^N} \sum_{\boldsymbol{\sigma}} \rho^s(\boldsymbol{\sigma}) \prod_{i \in \alpha} \sigma_i, \tag{1}
\]

where the sum has to be performed over the \( 2^N \) possible configurations of the lattice.

Clusters related by a translation or a symmetry operation of the point group of the structure have the same correlation functions. Denoting by \( D_\alpha \) the number of such equivalent clusters per lattice site, or degeneracy, the energy, like any other configurational function, can be expanded in the form

\[
E = \sum_\alpha D_\alpha J_\alpha \zeta^s_\alpha, \tag{2}
\]

where the sum has to be performed over all non equivalent clusters and the cluster interaction \( J_\alpha \) is independent of the structure.

The cluster expansion as defined by equation \( \text{2} \) cannot be used directly: a truncated approximation of the sum has to be used. The truncation is made with respect to the number of points contained in a cluster, thus assuming that order effects on energy are limited to a small set of lattice points. It is truncated too with respect to distance between sites. Long range interactions are important mostly if one wants to fully reproduce elastic effects \( \text{[26]} \).

We use in the expansion of the energy six different clusters: the empty cluster \( \{0\} \), the point cluster \( \{1\} \), the pairs of first and second nearest neighbors \( \{2,1\} \) and \( \{2,2\} \), the triangle of first nearest neighbors \( \{3,1\} \), and the tetrahedron of first nearest neighbors \( \{4,1\} \). The corresponding cluster interactions are obtained by making a least square fit of compound energies calculated with FP-LMTO. All \( 17 \) used compounds are lying on a perfect fcc lattice: energies are calculated without any relaxation of the volume, of the shape of the unit cell, or of the atomic positions. The lattice parameter used is the one which minimizes the cohesive energy of pure Al, \( a = a_{\text{Al}} = 4.044 \, \text{Å} \). We choose to fit the cluster expansion for the equilibrium lattice parameter of Al because we are interested in describing thermodynamics of the Al rich solid solution as well as of \( \text{Al}_3\text{Zr} \) precipitates in the \( \text{L1}_2 \) structure. These precipitates have an equilibrium lattice parameter close to the one of pure Al, \( a = 4.073 \, \text{Å} \) as obtained from FP-LMTO calculations with GGA, and during the nucleation stage they are coherent with the Al matrix. Consequently, such an expansion should be able to give a reasonable thermodynamic description of the different configurations reached during this precipitation stage where precipitates are coherent.

Coefficients of the cluster expansion of the energy are given in table \( \text{3} \). Comparing the values of the many-body interactions, we see that the main contribution to the energy arises from the pair interactions and that the 3- and 4-point cluster contributions are only corrections. Signs of pair interactions reflect the tendency of Al and Zr atoms to
Table 2: Formation energy relative to pure fcc elements for Al-Zr compounds lying on a perfect fcc lattice \((a = a_{Al} = 4.044 \text{ Å})\) obtained from a direct FP-LMTO calculations and from its cluster expansion.

<table>
<thead>
<tr>
<th>Pearson symbol</th>
<th>Structure type</th>
<th>(E_{\text{form}}) (eV/atom)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>FP-LMTO</td>
</tr>
<tr>
<td>Al (fcc)</td>
<td>cF4</td>
<td>Cu</td>
</tr>
<tr>
<td>Al(_2)Zr (D1(_a))</td>
<td>tI10</td>
<td>MoNi4</td>
</tr>
<tr>
<td>Al(_3)Zr (L1(_2))</td>
<td>cP4</td>
<td>Cu(_3)Au</td>
</tr>
<tr>
<td>Al(<em>3)Zr (DO(</em>{22}))</td>
<td>tI8</td>
<td>Al(_3)Ti</td>
</tr>
<tr>
<td>Al(<em>3)Zr (DO(</em>{23}))</td>
<td>tI16</td>
<td>Al(_3)Zr</td>
</tr>
<tr>
<td>Al(_2)Zr (β)</td>
<td>tI6</td>
<td>MoSi(_2)</td>
</tr>
<tr>
<td>AlZr (L1(_0))</td>
<td>tP4</td>
<td>AuCu</td>
</tr>
<tr>
<td>AlZr (L1(_1))</td>
<td>hR32</td>
<td>CuPt</td>
</tr>
<tr>
<td>AlZr (CH40)</td>
<td>tI8</td>
<td>NbP</td>
</tr>
<tr>
<td>AlZr (D4)</td>
<td>cF32</td>
<td>?(^a)</td>
</tr>
<tr>
<td>AlZr (Z2)</td>
<td>tP8</td>
<td>?(^a)</td>
</tr>
<tr>
<td>Zr(_2)Al (β)</td>
<td>tI6</td>
<td>MoSi(_2)</td>
</tr>
<tr>
<td>Zr(_3)Al (L1(_2))</td>
<td>cP4</td>
<td>Cu(_3)Au</td>
</tr>
<tr>
<td>Zr(<em>3)Al (DO(</em>{22}))</td>
<td>tI8</td>
<td>Al(_3)Ti</td>
</tr>
<tr>
<td>Zr(<em>3)Al (DO(</em>{23}))</td>
<td>tI16</td>
<td>Al(_3)Zr</td>
</tr>
<tr>
<td>Zr(_4)Al (D1(_a))</td>
<td>tI10</td>
<td>MoNi(_4)</td>
</tr>
<tr>
<td>Zr (fcc)</td>
<td>cF4</td>
<td>Cu</td>
</tr>
</tbody>
</table>

\(^a\)Description of structures D4 and Z2 can be found in Ref. [6]

Table 3: Cluster expansion of the formation energy.

<table>
<thead>
<tr>
<th>Cluster</th>
<th>(D_a)</th>
<th>(J_a) (eV/atom)</th>
</tr>
</thead>
<tbody>
<tr>
<td>{0}</td>
<td>1</td>
<td>−4.853</td>
</tr>
<tr>
<td>{1}</td>
<td>1</td>
<td>0.933</td>
</tr>
<tr>
<td>{2,1}</td>
<td>6</td>
<td>97.5 \times 10^{-3}</td>
</tr>
<tr>
<td>{2,2}</td>
<td>3</td>
<td>−28.4 \times 10^{-3}</td>
</tr>
<tr>
<td>{3,1}</td>
<td>8</td>
<td>4.2 \times 10^{-3}</td>
</tr>
<tr>
<td>{4,1}</td>
<td>2</td>
<td>13.1 \times 10^{-3}</td>
</tr>
</tbody>
</table>

form heteroatomic first nearest neighbor pairs and homoatomic second nearest neighbor pairs.

In table 2, we compare the formation energies of the different compounds directly obtained from FP-LMTO calculations with the ones given by their cluster expansion. The standard deviation equals 41 meV/atom and the maximal difference is 79 meV/atom. This could have been improved by including more clusters in the expansion of the energy or by using a mixed-space cluster expansion [26]. Nevertheless, this would not have changed the main characteristics of the Al-Zr system, i.e. the short range order.
tendency given by pair interactions, as well as the dependence on local environment of the interactions given by 3- and 4-point cluster interactions. In order to be able to build a realistic kinetic model and to run Monte Carlo simulations in a reasonable amount of time, we have to keep the thermodynamic description of Al-Zr system as simple as it can be. Therefore we do not try to improve expansion convergence and we focus our work on the influence of the 3- and 4-point cluster interactions on the thermodynamic and kinetic properties.

2.3. Phase diagram

![Phase diagram graphic]

Figure 1: Al rich part of the phase diagram corresponding to the equilibrium between the fcc solid solution and the L1\textsubscript{2} structure given by our set of parameters (table \ref{table1}). (a) Comparison of the phase diagrams obtained with pair, triangle, and tetrahedron interactions (solid line) and the one obtained with only pair interactions (dotted line). (b) Comparison with the predicted metastable solubility limit \cite{20} (dashed line).

We use the cluster-variation method (CVM) \cite{27} in the tetrahedron-octahedron (TO) approximation \cite{28, 29} to study the equilibrium between the fcc Al-rich solid solution and the L1\textsubscript{2} structure (Fig. 1) corresponding to energy parameters of table \ref{table2}. At low temperature, the 2 sublattices of the L1\textsubscript{2} structure remain highly ordered, as at the experimental peritectic melting temperature ($T \sim 934$ K) the Zr concentrations of the two sublattices are respectively 100 and 1.8 at.%. Turning out the energy coefficients of the first nearest neighbor triangle and tetrahedron ($J_3 = J_4 = 0$), we see that these many-body interactions have a thermodynamic influence only at high temperature (Fig. 1 (a)), as for temperatures below 1000 K the phase diagram remains unchanged with or without these interactions.

In figure 1 (b), we compare the Zr solubility limit in the fcc solid solution corresponding to the present work energy parameters with our previous estimation of this metastable solubility limit \cite{20}. We should point out that the solubility limit obtained with the parameters given by table \ref{table2} corresponds to a coherent equilibrium between the fcc solid solution and the L1\textsubscript{2} structure as the energy coefficients of the expansion have been calculated for a perfect fcc lattice at the parameter of pure Al. This leads to a
destabilization of the ordered phase and this is the main reason why we obtain a higher solubility than the estimated one corresponding to the equilibrium between incoherent phases. Another reason is that we use the cluster expansion to compute $\text{Al}_3\text{Zr}$ cohesive energy, and thus get a small error on this energy, whereas in our previous study we directly used the value given by FP-LMTO calculation.

3. KINETIC MODEL

In order to be able to build an atomistic kinetic model, we have to generalize our thermodynamic description of the Al-Zr binary system to the one of the Al-Zr-Vacancy ternary system. To do so, we recast first the spin-like formalism of the cluster expansion into the more convenient one of the lattice gas formulation using occupation numbers $[5]$. This will allow us to obtain effective interactions for the different configurations of the tetrahedron of first nearest neighbors and of the pair of second nearest neighbors. Atom-vacancy interactions can then be introduced quite easily.

3.1. Effective interactions

Instead of using the pseudo-spin variables $\sigma_n$ as we did in chap 2.2., this will be easier for the following to work with occupation numbers $p^i_n$, $p^j_n$ being equal to 1 if an atom of type $i$ occupies the site $n$ and to 0 otherwise. In a binary alloy, occupation numbers and pseudo-spin variable at site $n$ are related by

$$p^A_n = \frac{1 + \sigma_n}{2}, \quad \text{and} \quad p^B_n = \frac{1 - \sigma_n}{2}. \quad (3)$$

For the Al-Zr binary system, we included in our truncated cluster expansion of the energy first nearest neighbor interactions up to the pair, triangle, and tetrahedron clusters and a second nearest neighbor pair interaction. Thus, using the occupation numbers $p^i_n$, the expression of the energy becomes

$$E = \frac{1}{4N_s} \sum_{n,m,p,q}^{ijkl} \epsilon_{ijkl}^{(1)} p^i_n p^j_m p^k_p p^l_q + \frac{1}{2N_s} \sum_{r,s}^{ij} \epsilon_{ij}^{(2)} p^i_r p^j_s, \quad (4)$$

where the first sum runs over all sites $(n,m,p,q)$ forming a first nearest neighbor tetrahedron and all their different configurations $(i,j,k,l)$, and the second sum over all sites $(r,s)$ forming a second nearest neighbor pair and all their different configurations $(i,j)$. $N_s$ is the number of lattice sites, $\epsilon_{ijkl}^{(1)}$ the effective energy of a first nearest neighbor tetrahedron in the configuration $(i,j,k,l)$, and $\epsilon_{ij}^{(2)}$ the effective energy of a second nearest neighbor pair in the configuration $(i,j)$.

Writing the energy with these effective interactions increases the number of dependent variables. Therefore several choices of these effective energies correspond to the same cluster expansion, then to the same thermodynamic and kinetic properties. If we make the assumption that second nearest neighbor interactions do not contribute to the cohesive energy of pure elements, i.e. $\epsilon_{AA}^{(2)} = 0$ and $\epsilon_{BB}^{(2)} = 0$, we obtain as many effective interactions as parameters in the truncated cluster expansion. Such an assumption does not have any physical influence and it just guarantees that homo-atomic effective interactions, $\epsilon_{AAAA}^{(1)}$ and $\epsilon_{AA}^{(2)}$, do not depend on the on the nature of B atom. Effective
energies of the first nearest neighbor tetrahedron in its different configurations are then related to the cluster expansion coefficients by the equations

\[
\begin{pmatrix}
\epsilon_{AAAA}^{(1)} \\
\epsilon_{AAB}^{(1)} \\
\epsilon_{AABB}^{(1)} \\
\epsilon_{ABBB}^{(1)} \\
\epsilon_{BBBB}^{(1)}
\end{pmatrix} = \frac{1}{12} \begin{pmatrix}
6 & 6 & 6 & 6 & 6 \\
6 & 3 & 0 & -3 & -6 \\
6 & 0 & -2 & 0 & 6 \\
6 & -3 & 0 & 3 & -6 \\
6 & -6 & 6 & -6 & 6
\end{pmatrix} \begin{pmatrix}
D_0J_0 + D_{2,2}J_{2,2} \\
D_1J_1 \\
D_{2,1}J_{2,1} \\
D_{3,1}J_{3,1} \\
D_{4,1}J_{4,1}
\end{pmatrix},
\]

(5)

and the second nearest neighbor pair interaction by the equation

\[
\epsilon_{AB}^{(2)} = -\frac{2}{3}D_{2,2}J_{2,2}.
\]

(6)

For Al-Zr binary system, tetrahedron effective interactions corresponding to the cluster expansion of chap. 2.2 can be found in table 4: two sets are given depending if \( J_3 \) and \( J_4 \) are taken from the cluster expansion of table 3 or are supposed equal to zero. For both sets \( \epsilon_{AB}^{(2)} = +0.057 \text{ eV} \).

3.2. Decomposition of effective interactions

As we wrote before, several sets of effective interactions produce the same cluster expansion. In the following, we generate the set of interactions useful for our kinetic model for which we have to count bonds we break for vacancy-atom exchange.

Different contributions are included in the effective energy \( \epsilon_{ijkl}^{(1)} \). One part of the energy is due to the bonding corresponding to the six different pairs of atoms contained in the tetrahedron, each of these pairs belonging to two different tetrahedrons. Then one has to add corrections due to order on the four triangles contained in the tetrahedron and another correction due to order on the tetrahedron itself. This decomposition leads to the relation

\[
\epsilon_{ijkl}^{(1)} = \frac{1}{2} \left( \epsilon_{ij}^{(1)} + \epsilon_{ik}^{(1)} + \epsilon_{il}^{(1)} + \epsilon_{jk}^{(1)} + \epsilon_{jl}^{(1)} + \epsilon_{kl}^{(1)} \right) + \left( \tilde{\epsilon}_{ijk}^{(1)} + \tilde{\epsilon}_{ijl}^{(1)} + \tilde{\epsilon}_{ikl}^{(1)} + \tilde{\epsilon}_{jkl}^{(1)} \right) + \tilde{\epsilon}_{ijkl}^{(1)},
\]

(7)

where \( \epsilon_{ij}^{(1)} \) is the effective energy of the first nearest neighbor pair in the configuration \((i, j)\) and \( \tilde{\epsilon}_{ijk}^{(1)} \) and \( \tilde{\epsilon}_{ijkl}^{(1)} \) the corrections to add to pair energy due to order on triangles and on the tetrahedron.

Using the previous breakdown of the tetrahedron effective energy, the expression of the energy becomes

\[
E = \frac{1}{2N_s} \sum_{n,m} \epsilon_{ij}^{(1)} P_n P_m + \frac{1}{3N_s} \sum_{n,m,p} \epsilon_{ijk}^{(1)} P_n P_m P_p + \frac{1}{4N_s} \sum_{n,m,p,q} \epsilon_{ijkl}^{(1)} P_n P_m P_p P_q + \frac{1}{2N_s} \sum_{r,s} \epsilon_{ij}^{(2)} P_r P_s.
\]

(8)
As this is just another mathematical way to rewrite the cluster expansion of the energy, the following relations holds:

\[
D_0 J_0 + D_{2,2} J_{2,2} = \frac{3}{2} \left( \epsilon_{AA}^{(1)} + 2 \epsilon_{AB}^{(1)} + \epsilon_{BB}^{(1)} \right) + \epsilon_{AAA}^{(2)} + 3 \epsilon_{AAB}^{(2)} + 3 \epsilon_{ABB}^{(2)} + \epsilon_{BBB}^{(2)} + \frac{1}{8} \left( \epsilon_{AAAA}^{(2)} + 4 \epsilon_{AAAB}^{(2)} + 6 \epsilon_{AABB}^{(2)} + 4 \epsilon_{ABBB}^{(2)} + \epsilon_{BBBB}^{(2)} \right)
\]

\[
D_1 J_1 = 3 \left( \epsilon_{AA}^{(1)} - \epsilon_{BB}^{(1)} \right)
\]

\[
D_{2,1} J_{2,1} = \frac{3}{2} \left( \epsilon_{AA}^{(1)} - 2 \epsilon_{AB}^{(1)} + \epsilon_{BB}^{(1)} \right)
\]

\[
D_{3,1} J_{3,1} = \epsilon_{AAA}^{(1)} - 3 \epsilon_{AAB}^{(1)} + 3 \epsilon_{ABB}^{(1)} - \epsilon_{BBB}^{(1)} + \frac{1}{2} \left( \epsilon_{AAAA}^{(1)} - 2 \epsilon_{AAAB}^{(1)} + 2 \epsilon_{AABB}^{(1)} - \epsilon_{BBBB}^{(1)} \right)
\]

\[
D_{4,1} J_{4,1} = \frac{1}{8} \left( \epsilon_{AAAA}^{(1)} - 4 \epsilon_{AAAB}^{(1)} + 6 \epsilon_{AABB}^{(1)} - 4 \epsilon_{ABBB}^{(1)} + \epsilon_{BBBB}^{(1)} \right)
\]

As we want \( \tilde{\epsilon}_{ij}^{(1)} \) to be the energetic corrections due to order on triangles, the contribution to \( J_{2,1} \) of \( \tilde{\epsilon}_{AAA}^{(1)}, \tilde{\epsilon}_{AAB}^{(1)}, \ldots \) must equal zero (second term in right hand side of eq. 9c). For the same reason, the contribution to \( J_{2,1} \) and \( J_{3,1} \) of \( \tilde{\epsilon}_{AAA}^{(1)}, \tilde{\epsilon}_{AAB}^{(1)}, \ldots \) must equal zero (last term in right hand side of eq. 9c and 9c). We require too that triangle and tetrahedron order corrections do not contribute to the cohesive energy of pure elements, as we did for second nearest neighbor pair interactions. Thus, \( \tilde{\epsilon}_{AAA}^{(1)} = \tilde{\epsilon}_{BBB}^{(1)} = 0 \) and \( \tilde{\epsilon}_{AAAA}^{(1)} = \tilde{\epsilon}_{BBBB}^{(1)} = 0 \). With these restrictions, all parameters entering in the expression 9 of the energy are well determined.

The first nearest neighbor pair effective energies are thus

\[
\epsilon_{AA}^{(1)} = \frac{1}{6} \left( D_0 J_0 + D_1 J_1 + D_{2,1} J_{2,1} + D_{2,2} J_{2,2} + D_{3,1} J_{3,1} + D_{4,1} J_{4,1} \right)
\]

\[
\epsilon_{AB}^{(1)} = \frac{1}{6} \left( D_0 J_0 - D_{2,1} J_{2,1} + D_{2,2} J_{2,2} + D_{4,1} J_{4,1} \right)
\]

\[
\epsilon_{BB}^{(1)} = \frac{1}{6} \left( D_0 J_0 - D_1 J_1 + D_{2,1} J_{2,1} + D_{2,2} J_{2,2} - D_{3,1} J_{3,1} + D_{4,1} J_{4,1} \right)
\]

the order corrections on first nearest neighbor triangle

\[
\tilde{\epsilon}_{AAB}^{(1)} = -\tilde{\epsilon}_{ABB}^{(1)} = -\frac{1}{6} D_{3,1} J_{3,1},
\]

and the order corrections on first nearest neighbor tetrahedron

\[
\tilde{\epsilon}_{AAAB}^{(1)} = \tilde{\epsilon}_{ABB}^{(1)} = -D_{4,1} J_{4,1}
\]

\[
\tilde{\epsilon}_{AABB}^{(1)} = 0.
\]
Inverting the system one can easily express all these quantities from the effective tetrahedron energies $\epsilon_{ijkl}$ too.

3.3. Interactions with vacancy

Within the previous formalism, we can easily introduce atom-vacancy interactions. These interactions are a simple way to take into account the electronic relaxations around the vacancy. Without them, the vacancy formation energy $E_{V}^{\text{for}}$ in a pure metal would necessarily equal the cohesive energy ($E_{V}^{\text{for}} = 0.69$ eV \cite{30} and $E_{\text{coh}} = 3.36$ eV for fcc Al).

We only consider first-nearest neighbor interactions with vacancies and we do not include any order correction on triangle and tetrahedron configurations containing at least one vacancy, i.e., $\tilde{\epsilon}_{ijV}^{(1)} = \tilde{\epsilon}_{ijkV}^{(1)} = 0$ where $i$, $j$, and $k$ are any of the species Al, Zr, and V. The vacancy formation energy in a pure metal A is then given by

$$E_{V}^{\text{for}} = 8\epsilon_{AAAAV}^{(1)} - 6\epsilon_{AAAA}^{(1)} = 12\epsilon_{AV}^{(1)} - 6\epsilon_{AA}^{(1)}$$  \hspace{1cm} (13)

The interaction $\epsilon_{AV}^{(1)}$ is deduced from the experimental value of the vacancy formation energy in pure Al. For the interaction $\epsilon_{ZrV}^{(1)}$, we assume that the vacancy formation energy in the fcc structure is the same as in the hcp one, these two structures being quite similar. The only experimental information we have concerning this energy is $E_{V}^{\text{for}} > 1.5$ eV \cite{30}. We thus use the ab-initio value calculated by Le Bacq et al. \cite{31}, $E_{V}^{\text{for}} = 2.07$ eV. This value is calculated at the equilibrium volume of Zr and cannot be used directly to obtain $\epsilon_{ZrV}^{(1)}$ as this interaction should correspond in our model to the equilibrium lattice parameter of pure Al. We have to use instead the vacancy formation enthalpy

$$H_{V}^{\text{for}} = E_{V}^{\text{for}} + P\delta\Omega_{V}^{\text{for}},$$  \hspace{1cm} (14)

where $\delta\Omega_{V}^{\text{for}} = -1.164$ Å$^3$ is the vacancy formation volume in pure Zr \cite{30}, and $P$ is the pressure to impose to Zr to obtain a lattice parameter equal to the one of Al. $P$ is calculated from the bulk modulus $B = 91$ GPa of fcc Zr and the equilibrium volumes of Al and Zr, $\Omega_{Al}^{0} = 16.53$ Å$^3$ and $\Omega_{Zr}^{0} = 23.36$ Å$^3$, these three quantities being obtained from our FP-LMTO calculations. This gives us the value $H_{V}^{\text{for}} = 1.88$ eV for the vacancy formation enthalpy in pure Zr at the lattice parameter of pure Al.

We use the experimental value of the divacancy binding energy $E_{2V}^{\text{bin}} = 0.2$ eV \cite{30} in order to compute a vacancy-vacancy interaction, $\epsilon_{VV}^{(1)} = E_{2V}^{\text{bin}} - \epsilon_{AV}^{(1)} + 2\epsilon_{AAV}^{(1)}$. If we do not include this interaction and set it equal to zero instead, we obtain the wrong sign for the divacancy binding energy, divacancies being thus more stable than two monovacancies. This does not affect our Monte Carlo simulations as we only include one vacancy in the simulation box, but this will have an influence if we want to build a mean field approximation of our diffusion model.

We thus managed to add vacancy contributions to our thermodynamic description of the Al-Zr binary system. Using the breakdown of the first nearest neighbor tetrahedron interaction, we can obtain the effective energies corresponding to the 15 different configurations a tetrahedron can have in the ternary system. These effective energies are presented in table for the cases where energy correction due to order on first nearest neighbor triangle and tetrahedron are assumed different from zero or not ($J_3$ and $J_4$ given by table or $J_3 = J_4 = 0$).
Table 4: Effective energies of the first nearest neighbor tetrahedron for Al-Zr-V ternary system. The set with order correction corresponds to the values $J_3$ and $J_4$ given by the cluster expansion of table 3 and the set without order correction assumes $J_3 = J_4 = 0$.

<table>
<thead>
<tr>
<th>Configuration</th>
<th>Effective energy (eV)</th>
<th>with order correction</th>
<th>without order correction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Al Al Al Al</td>
<td>-1.680</td>
<td>-1.680</td>
<td></td>
</tr>
<tr>
<td>Al Al Al Zr</td>
<td>-2.257</td>
<td>-2.214</td>
<td></td>
</tr>
<tr>
<td>Al Al Zr Zr</td>
<td>-2.554</td>
<td>-2.554</td>
<td></td>
</tr>
<tr>
<td>Al Zr Zr Zr</td>
<td>-2.707</td>
<td>-2.698</td>
<td></td>
</tr>
<tr>
<td>Zr Zr Zr Zr</td>
<td>-2.647</td>
<td>-2.647</td>
<td></td>
</tr>
<tr>
<td>Al Al Al V</td>
<td>-1.174</td>
<td>-1.174</td>
<td></td>
</tr>
<tr>
<td>Al Al Zr V</td>
<td>-1.567</td>
<td>-1.561</td>
<td></td>
</tr>
<tr>
<td>Al Zr Zr V</td>
<td>-1.748</td>
<td>-1.754</td>
<td></td>
</tr>
<tr>
<td>Zr Zr Zr V</td>
<td>-1.751</td>
<td>-1.751</td>
<td></td>
</tr>
<tr>
<td>Al Al V V</td>
<td>-0.518</td>
<td>-0.518</td>
<td></td>
</tr>
<tr>
<td>Al Zr V V</td>
<td>-0.758</td>
<td>-0.758</td>
<td></td>
</tr>
<tr>
<td>Zr Zr V V</td>
<td>-0.804</td>
<td>-0.804</td>
<td></td>
</tr>
<tr>
<td>Al V V V</td>
<td>+0.288</td>
<td>+0.288</td>
<td></td>
</tr>
<tr>
<td>Zr V V V</td>
<td>+0.194</td>
<td>+0.194</td>
<td></td>
</tr>
<tr>
<td>V V V V</td>
<td>+1.243</td>
<td>+1.243</td>
<td></td>
</tr>
</tbody>
</table>

With this set of thermodynamic parameters, we calculate the binding energy between a Zr solute atom and a vacancy in Al,

$$E_{ZrV}^{bin} = 2 \left( \epsilon_{AlAlAlAl}^{(1)} + \epsilon_{AlAlZrV}^{(1)} - \epsilon_{AlAlAlZr}^{(1)} - \epsilon_{AlAlAV}^{(1)} \right).$$

(15)

The value obtained, $E_{ZrV}^{bin} = +0.369$ eV, agrees with the experimental observation that there is no attraction between Zr solute atoms and vacancies in Al [30, 32].

3.4. Migration barriers

Diffusion occurs via vacancy jumps towards one of its twelve first nearest neighbors. The vacancy exchange frequency with a neighbor of type $A$ ($A$ = Al or Zr) is given by

$$\Gamma_{A-V} = \nu_A \exp \left( -\frac{E_{A}^{act}}{k_B T} \right),$$

(16)

where $\nu_A$ is an attempt frequency and the activation energy $E_{A}^{act}$ is the energy change required to move the $A$ atom from its initial stable position to the saddle point position. It is computed as the difference between the contribution $\epsilon_{A}^{SP}$ of the jumping atom to the saddle point energy and the contributions of the vacancy and of the jumping atom to the initial energy of the stable position. This last contribution is obtained by considering all bonds which are broken by the jump, i.e. all pair interactions the vacancy and the jumping atoms are forming as well as all order corrections on triangles and tetrahedrons.
containing the jumping atom,

$$E_{A}^{act} = e_{A}^{sp} - \sum_{j \neq V} \epsilon_{Vj}^{(1)} - \sum_{j} \epsilon_{Aj}^{(1)} - \sum_{jk} \tilde{\epsilon}_{Ajk}^{(1)} - \sum_{jkl} \tilde{\epsilon}_{Ajkl}^{(1)} - \sum_{j} \epsilon_{Aj}^{(2)}.$$  \hspace{1cm} (17)

The attempt frequency $\nu_{A}$ and the contribution $e_{A}^{sp}$ of the jumping atom to the saddle point energy can depend on the configuration \cite{3}. Nevertheless, we do not have enough information to see if such a dependence holds in the case of Al-Zr alloys. We thus assume that these parameters depend only on the nature of the jumping atom, which gives us four purely kinetic parameters to adjust.

The contribution of Al to the saddle point energy, $e_{Al}^{sp}$, is deduced from the experimental value of the vacancy migration energy in pure Al, $E_{V}^{mig} = 0.61$ eV \cite{30}, and the attempt frequency $\nu_{Al}$ from the experimental Al self-diffusion coefficient, $D_{Al*} = D_{0} \exp (-Q/k_{B}T)$, the self-diffusion activation energy $Q$ being the sum of the vacancy formation and migration energies in pure Al and $D_{0} = 1.73 \times 10^{-5}$ m$^{2}$s$^{-1}$ \cite{33,34}.

To calculate $\nu_{Zr}$ and $e_{Zr}^{sp}$, we use the experimental value\textsuperscript{1} of the diffusion coefficient of Zr impurity in Al, $D_{Zr*} = 728 \times 10^{-4}$ exp ($-2.51$ eV$/k_{B}T$) m$^{2}$s$^{-1}$ \cite{33,34}. The kinetic parameters can be deduced from this experimental data by using the five frequency model for solute diffusion in fcc lattices \cite{33}, if we make the assumption that there is no correlation effect. We check afterwards that such an assumption is valid: at $T = 500$ K the correlation factor is $f_{Zr*} = 1$ and at $T = 1000$ K $f_{Zr*} = 0.875$. Correlation effects are thus becoming more important at higher temperature but they can be neglected in the range of temperature used in the fitting procedure.

Table 5: Kinetic parameters for a thermodynamic description of Al-Zr binary with and without energy corrections due to order on first nearest neighbor triangle and tetrahedron.

<table>
<thead>
<tr>
<th></th>
<th>with order correction</th>
<th>without order correction</th>
</tr>
</thead>
<tbody>
<tr>
<td>$e_{Al}^{sp}$</td>
<td>-8.219 eV</td>
<td>-8.219 eV</td>
</tr>
<tr>
<td>$e_{Zr}^{sp}$</td>
<td>-11.286 eV</td>
<td>-10.942 eV</td>
</tr>
<tr>
<td>$\nu_{Al}$</td>
<td>$1.36 \times 10^{14}$ Hz</td>
<td>$1.36 \times 10^{14}$ Hz</td>
</tr>
<tr>
<td>$\nu_{Zr}$</td>
<td>$4.48 \times 10^{17}$ Hz</td>
<td>$4.48 \times 10^{17}$ Hz</td>
</tr>
</tbody>
</table>

So as to study the influence on kinetics of energy corrections due to order on triangles and tetrahedrons, we fit another set of kinetic parameters corresponding to a thermodynamic description of Al-Zr binary with only pair interactions (i.e. $J_{3} = J_{4} = 0$, or equivalently $\epsilon_{ijk}^{(1)} = \epsilon_{ijkl}^{(1)} = 0$). This other set of kinetic parameters presented in table \cite{3} reproduces as well coefficients for Al self-diffusion and for Zr impurity diffusion, the only difference being that these kinetic parameters correspond to a simpler thermodynamic description of Al-Zr binary.

\textsuperscript{1}Diffusion coefficient measured in the temperature range between 800 and 910 K.
4. DIFFUSION IN SOLID SOLUTION

Diffusion in Al-Zr solid solutions can be fully characterized by the tracer correlation coefficients \( f_{Al} \) and \( f_{Zr} \), and by the phenomenological Onsager coefficients \( L_{AlAl} \), \( L_{AlZr} \), and \( L_{ZrZr} \). These coefficients link fluxes of diffusing species, \( J_{Al} \) and \( J_{Zr} \), to their chemical potential gradients [36, 37] through the relations

\[
J_{Al} = -L_{AlAl}\nabla\mu'_Al/k_BT - L_{AlZr}\nabla\mu'_Zr/k_BT
\]
\[
J_{Zr} = -L_{AlZr}\nabla\mu'_Al/k_BT - L_{ZrZr}\nabla\mu'_Zr/k_BT.
\]  

(18)

Chemical potentials entering these equations are relative to the vacancy chemical potential, \( \mu'_Al = \mu_{Al} - \mu_V \) and \( \mu'_Zr = \mu_{Zr} - \mu_V \). We use to express diffusion fluxes the Onsager reciprocity condition, \( L_{AlZr} = L_{ZrAl} \).

These coefficients can be used in finite-difference diffusion code so as to study "industrial" processes where diffusion is involved (precipitation, solidification, homogenization, . . . ) [38]. One way to obtain these coefficients is to adapt Calphad methodology to kinetics, i.e. to guess an expression for \( L_{AB} \) describing its variation with temperature and composition of the alloy and to adjust the model parameters on a large kinetic database [39, 40]. On the other hand one can use an atomistic model as the one described in chap. 3 to obtain the phenomenological coefficients [41, 8, 9]. If one carefully applies the same mean field approximation for thermodynamics and kinetics it is possible to get the whole Onsager matrix and not only diagonal terms and to catch all correlation effects [12]. Such an approach compared to the previous one does not need a huge experimental database. Moreover, as it is based on a realistic description of diffusion at the atomic scale, it appears safer to extrapolate kinetic quantities out of the range (composition or temperature) used in the fitting procedure.

In this study, we do not use any mean-field approximation to calculate phenomenological coefficients, but obtain them directly from kinetic Monte Carlo simulations by using generalization of the Einstein formula for tracer diffusion due to Allnatt [43, 37]

\[
L_{AB} = \frac{\langle \Delta R_A \cdot \Delta R_B \rangle}{6\Delta t}, \quad A, B = Al, Zr,
\]

(19)

where the brackets indicate a thermodynamic ensemble average and \( \Delta R_A \) is the sum of total displacement \( \Delta r_i \) of all atoms \( i \) of type \( A \) during time \( \Delta t \),

\[
\Delta R_A = \sum_{i \in A} \Delta r_i.
\]

(20)

We use residence time algorithm to run kinetic Monte Carlo calculations. The simulation box contains 125000 lattice sites, one of this site being occupied by a vacancy. Sum of total displacements \( \Delta R_{Al} \) and \( \Delta R_{Zr} \) in equation 19 are computed for a time interval corresponding to \( \sim 10^6 \) vacancy jumps, and their thermodynamic averages are obtained through simulations of \( 10^9 \) vacancy jumps. Such a big number of jumps is necessary to converge thermodynamic averages entering in the calculation of \( L_{AlZr} \) and \( L_{ZrZr} \), whereas \( L_{AlAl} \) converges more quickly. This is due to the difference of diffusion coefficients between Al and Zr.

Results of calculations are presented in figure 2 for two different temperatures, \( T = 1000 \) K and \( T = 900 \) K, and different Zr concentration from 0 to 8 at.% For the
Figure 2: Onsager coefficients $L_{AlAl}$ and $L_{ZrZr}$. Squares and solid lines correspond to $T = 1000 \text{ K}$ and circles and dashed lines to $T = 900 \text{ K}$. The vertical lines indicate the corresponding solubility limit obtained from CVM calculations. Full symbols correspond to the set of parameters with order corrections on triangles and tetrahedrons and open symbols to the set without order corrections.

The off-diagonal coefficient $L_{AlZr}$ of Onsager matrix, dispersion is too important to get a precise value of thermodynamic average$^2$. We interpret this as an indication that this coefficient can be neglected in this range of temperature and concentration.

Onsager coefficients are calculated for Zr concentration corresponding to the stable as well as to the metastable solid solution, the limit being given by the CVM calculations of chap. 2.2. For calculations in the metastable solid solution, thermodynamic averages are computed during the incubation stage of precipitation kinetics when no stable precipitate is present in the simulation box (chap. 5). $L_{AlAl}$ behavior deviates only slightly from its linear extrapolation from the stable solid solution, but for $L_{ZrZr}$ it

$^2 L_{AlZr} = 0 \pm 10^{-12} \text{ m}^2\text{s}^{-1}$ at $T = 1000 \text{ K}$ and $L_{AlZr} = 0 \pm 10^{-13} \text{ m}^2\text{s}^{-1}$ at $T = 900 \text{ K}$
seems that no extrapolation from the stable to the metastable solid solution is possible.

So as to see the influence of triangles and tetrahedrons interactions, we ran simulations with only pair interactions considering the corresponding kinetic parameters of table 3. One can directly see on figure 2 that these two sets of parameters reproduce the same experimental data, *i.e.* the self-diffusion coefficient

\[
D_{Al^*} = f_0 \lim_{C_{Zr} \to 0} L_{AlAl},
\]

where \( f_0 = 0.78145 \) for a fcc lattice, and the Zr impurity diffusion coefficient

\[
D_{Zr^*} = \lim_{C_{Zr} \to 0} L_{ZrZr}/C_{Zr}.
\]

Order corrections mainly affect \( L_{ZrZr} \). This coefficient is slightly lower when one considers energy corrections due to order on triangles and tetrahedrons. The difference increases with Zr concentration and thus in the metastable solid solution: these order corrections lead to a slight slowdown of Zr diffusion. The two thermodynamic models are equivalent at these temperatures (*cf.* phase diagram on Fig. 1 (a)). As a consequence kinetic behaviors obtained from them are really close.

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{fig3.png}
\caption{Onsager coefficients \( L_{AlAl}, L_{ZrZr}, \) and \( L_{AlZr} \) calculated at \( T = 3000 \) K. Full symbols and solid lines correspond to the set of parameters with order corrections on triangles and tetrahedrons and open symbols and dashed lines to the set without order corrections.}
\end{figure}

At higher temperatures, triangle and tetrahedron interactions change the phase diagram (Fig. 1 (a)). This thermodynamic influence leads to a kinetic change too: at
$T = 3000 \text{ K}$. Onsager coefficients are lower when considering these multisite interactions (Fig. 3). One should notice that correlation effects cannot be neglected at this temperature as $L_{\text{AlZr}}$ is far from being null. Thus one is not allowed anymore to assume Onsager matrix as diagonal. With triangle and tetrahedron interactions, $\text{Al}_3\text{Zr}$ precipitate is more stable, which means that order effects are stronger. The kinetic corrolary of this thermodynamic influence is that they slow down diffusion.

5. **KINETICS OF PRECIPITATION**

Precipitation kinetics have been obtained by Monte Carlo simulations for four different supersaturations of the solid solution ($C_{\text{Zr}}^0 = 5$, 6, 7, and 8 at.%) at $T = 1000 \text{ K}$. At this temperature, the equilibrium concentration is $C_{\text{Zr}}^{eq} = 2.1 \text{ at.\%}$. The simulation box contains 125000 lattice sites and its starting configuration is a completely disordered (random) solid solution.

5.1. **Short range order parameters**

![Graphs showing evolution of second nearest neighbor short range order of Zr atoms, $\alpha_{\text{Zr}}^2$, at $T = 1000 \text{ K}$ and four different nominal concentrations $C_{\text{Zr}}^0$. Full and dotted lines are respectively for the set of parameters with and without order corrections on triangles and tetrahedrons.](image)

Figure 4: Evolution of second nearest neighbor short range order of Zr atoms, $\alpha_{\text{Zr}}^2$, at $T = 1000 \text{ K}$ and four different nominal concentrations $C_{\text{Zr}}^0$. Full and dotted lines are respectively for the set of parameters with and without order corrections on triangles and tetrahedrons.

The quantities of interest to follow the global evolution of precipitation during the simulation are Warren-Cowley short range order (SRO) parameters. SRO parameters for first-nearest neighbors evolve too quickly to give any really significant information on precipitation state. During simulation first steps, Zr atoms surround themselves
with Al. Once this local equilibrium for first nearest neighborhood is reached, the corresponding SRO parameters do not evolve anymore. On the other hand, SRO parameters for second nearest neighbors slowly evolve until the end of the simulation. For Zr atoms, it is defined as

$$\alpha^2_{Zr} = \langle p^Zr_n \rangle_{Zr,2} - C^0_{Zr} \over 1 - C^0_{Zr},$$

where $\langle p^Zr_n \rangle_{Zr,2}$ stands for the average of occupation numbers $p^Zr_n$ on all second nearest neighbors of Zr atoms. For a randomly distributed configuration of the alloy (initial configuration) $\alpha^2_{Zr} = 0$, whereas for the L1$_2$ structure $\alpha^2_{Zr} = 1$. Looking at fig. 4, one sees that $\alpha^2_{Zr}$ evolves more quickly with the set of parameters with only pair interactions than with triangle and tetrahedron interactions. At first glance, this is in agreement with the slight difference on $L_{ZrZr}$ measured in the metastable solid solution at this temperature (Fig. 2) for the two set of parameters. So as to see if the difference of precipitation kinetics can be understood only in terms of a difference of diffusion speed or is due to another factor, we measure the nucleation rate in our simulations and interpret it with classical theory of nucleation [14, 15].

5.2. Precipitate critical size

We first need to give us a criterion to decide which atoms are belonging to L1$_2$ precipitates. As stable precipitates are almost perfectly stoichiometric at $T = 1000$ K (chap. 2.3.), we only look at Zr atoms and consider for each Zr atom in L1$_2$ precipitate that three Al atoms are belonging to the same precipitate. Zr atoms are counted as belonging to L1$_2$ precipitates if all their twelve first nearest neighbors are Al atoms and at least half of their six nearest neighbors are Zr atoms. Moreover, we impose that at least one Zr atom in a precipitate has its six second nearest neighbors being Zr, i.e. has a first and second nearest neighborhood in perfect agreement with the L1$_2$ structure.

Classical theory of nucleation predicts there is a critical radius, or equivalently a critical number $i^*$ of atoms, below which precipitates are unstable and will re-dissolve into the solid solution and above which precipitates will grow. $i^*$ is obtained by considering the competition between the interface free energy $\sigma$ and the nucleation free energy per atom $\Delta G^n$,

$$i^* = {2 \pi \over 3} \left( \frac{a^2 \sigma}{\Delta G^n} \right)^3. \quad (24)$$

Clusters of size $i < i^*$ are considered to be local variations of the solid solution composition and thus are not counted as L1$_2$ precipitates.

5.3. Nucleation free energy

The nucleation free energy per atom entering equation 24 is given by [14, 15]

$$\Delta G^n = \frac{3}{4} \left( \mu_{Al}(C^{eq}_{Zr}) - \mu_{Al}(C^0_{Zr}) \right) + \frac{1}{4} \left( \mu_{Zr}(C^{eq}_{Zr}) - \mu_{Zr}(C^0_{Zr}) \right), \quad (25)$$

where $\mu_{Al}(C_{Zr})$ and $\mu_{Zr}(C_{Zr})$ are the chemical potentials of respectively Al and Zr components in the solid solution of concentration $C_{Zr}$. $C^{eq}_{Zr}$ is the equilibrium concentration of the solid solution, and $C^0_{Zr}$ the nominal concentration. The factors 3/4 and 1/4
arises from the stoichiometry of the precipitating phase $\text{Al}_3\text{Zr}$. Usually the nucleation free energy is approximated by

$$\Delta G^n = \frac{3}{4} k_B T \log \frac{1 - C_{eq}^{Zr}}{1 - C_0^{Zr}} + \frac{1}{4} k_B T \log \frac{C_{eq}^{Zr}}{C_0^{Zr}}$$

which is obtained by considering in equation 25 the expressions of the chemical potentials for an ideal solution. As at $T = 1000$ K we obtained the same solubility limit, $C_{eq}^{Zr} = 2.1$ at.%, with or without triangle and tetrahedron interactions (cf. phase diagram on fig. 1), the approximation 26 cannot be used to see if these interactions have any influence on the nucleation free energy. Therefore we use CVM-TO to calculate chemical potentials entering expression 25. Looking at figure 5, one should notice that the ideal solution approximation would have lead to an overestimation of $\Delta G^n$, the error being $\sim 10\%$ for the maximal supersaturation considered. With CVM-TO, we do not obtain any change in the value of the nucleation free energy depending we are considering or not order corrections for first nearest neighbor triangle and tetrahedron. Thus slowdown of precipitation kinetics with these corrections cannot be explained by a decreasing of the nucleation free energy.

### 5.4. Interface free energy

To determine the precipitate critical size $i^*$ using expression 24, we need to know the value of the interface free energy $\sigma$ too. We calculate this energy at 0 K for different orientations of the interface. We therefore do not consider any configurational entropy and simply obtain the interface energy by counting the number by area unit of wrong "bonds" compared to pure Al and $\text{Al}_3\text{Zr}$ in $\text{Li}_2$ structure. For (100) and (110) interfaces there is an ambiguity in calculating such an energy as two different
planes, one pure Al and the other one of stoichiometry $\text{Al}_{1/2}\text{Zr}_{1/2}$, can be considered as interface. Considering L1$_2$ precipitates as stoichiometric will guarantee that to any type of the two possible interfaces is associated a parallel interface of the other type. Thus for (100) and (110) interfaces, we consider the average of these two different interface energies to be meaningful for the parameter $\sigma$ entering in classical theory of nucleation. For (111) interface, as only one interface of stoichiometry $\text{Al}_{3/4}\text{Zr}_{1/4}$ is possible, we do not obtain such an ambiguity. The energies corresponding to these different interfaces are

$$\sigma_{100} = \frac{1}{\sqrt{2}} \sigma_{110} = \frac{1}{\sqrt{3}} \sigma_{111} = \frac{2\epsilon^{(2)}_{AB} - \epsilon^{(2)}_{AA} - \epsilon^{(2)}_{BB}}{2a^2},$$

with $a^2\sigma_{100} = 57.0$ meV.

These interface energies only depend on second nearest neighbor interactions and therefore are the same with or without order corrections on first nearest neighbor triangle and tetrahedron. To determine the critical size of precipitates with equation (24) we use an interface free energy slightly higher than $\sigma_{100}$, $a^2\sigma = 64.1$ meV. With this interface free energy, nucleation rate obtained from Monte Carlo simulations are in better agreement than with $\sigma_{100}$ (Fig. 7). As precipitates observed in Monte Carlo simulations do not exhibit sharp interfaces, this is quite natural to have to use an energy higher than the minimal calculated one.

### 5.5. Nucleation rate

Critical size for precipitates obtained from these nucleation and interface free energies are respectively $i^* = 187, 104, 76,$ and $57$ atoms for the different nominal concentrations $C^0_{\text{Zr}} = 5, 6, 7,$ and $8$ at.%. We use these critical sizes to determine the number $N_p$ of supercritical precipitates contained in the simulation boxes, their average size $\langle i \rangle_p$, as well as the concentration of the solid solution $C_{\text{Zr}}$. The variation with time of these quantities are shown on fig. 6 for the simulation box of nominal concentration $C^0_{\text{Zr}} = 8$ at.%. After an incubation time, one observes a nucleation stage where the number of precipitates increases linearly until it reaches a maximum. We then enter into the growth stage: the number of precipitates does not vary and their size is increasing. At last, during the coarsening stage, precipitates are still growing but their number is decreasing. For this concentration, one clearly sees that precipitation kinetics is faster with only pair interactions as the number of precipitates is increasing more rapidly. Moreover precipitates have a bigger size than with triangle and tetrahedron order corrections.

The steady-state nucleation rate $J^{st}$ is measured during the nucleation stage, when the number of precipitates is varying quite linearly with time. Slowdown of precipitation kinetics with triangle and tetrahedron order corrections can be seen on the steady-state nucleation rate (Fig. 7): without these corrections $J^{st}$ is about two times higher than when these corrections are included.

In classical theory of nucleation, the steady-state nucleation rate is given by the expression [44],

$$J^{st} = N_0 Z \beta^* \exp \left( -\frac{\Delta G^*}{kT} \right),$$

where $N_0$ is the number of nucleation sites, i.e. the number of lattice sites ($N_0 = 125000$ for Monte Carlo simulations), $\Delta G^*$ is the nucleation barrier and corresponds to the free
Figure 6: Kinetics of precipitation for a nominal Zr concentration $C_{Zr}^0 = 8$ at.\%: evolution with time of the number $N_p$ of precipitates in the simulation box, of precipitates average size $<i>_p$, and of Zr concentration in the solid solution. Full and dotted lines are respectively for the set of parameters with and without order corrections on triangles and tetrahedrons.

energy of a precipitate of critical size $i^*$,

$$\Delta G^* = \frac{\pi}{3} \left( \frac{a^2 \sigma}{\Delta G^*} \right)^3,$$

(28)

$Z$ is the Zeldovitch factor and describes size fluctuations of precipitates around $i^*$,

$$Z = \frac{1}{2\pi} \frac{\Delta G^{n2}}{(a^2 \sigma)^{3/2} \sqrt{kT}},$$

(29)

and $\beta^*$ is the condensation rate for clusters of critical size $i^*$. Assuming the limiting step of the adsorption is the long range diffusion of Zr atoms in the solid solution, the condensation rate is \[44\]

$$\beta^* = 8\pi \frac{a^2 \sigma}{\Delta G^*} \frac{D_Zr}{a^2} C_{Zr}^0.$$

(30)

Zr diffusion coefficient is obtained from our measure of Onsager coefficients in the metastable solid solution (chap. \[4\]). Assuming that vacancies are at equilibrium ($\mu_V = 0$), its expression is \[35, 36, 37\]

$$D_Zr = \left( L_{ZrZr} - \frac{C_{Zr}^0}{1 - C_{Zr}^0} L_{AlZr} \right) \frac{1}{k_B T} \left( \frac{\partial \mu_{Zr}}{\partial C_{Zr}^0} \right).$$

(31)
Figure 7: Evolution of the steady-state nucleation rate \( J^{st} \) with the nominal concentration for \( T = 1000 \) K. Full and open symbols are respectively for the set of parameters with and without order corrections on triangles and tetrahedrons. The full line corresponds to the nucleation rate predicted by classical theory of nucleation with \( a^2\sigma = 64.1 \) meV and the dotted line with \( a^2\sigma = a^2\sigma_{100} = 57.0 \) meV. \( J^{st} \) is normalized by the number of lattice sites in the simulation box, \( N_0 = 125000 \).

We obtain the thermodynamic factor \( \partial \mu_{Zr} / \partial C_{Zr}^0 \) using CVM-TO calculations. This factor is the same with or without order corrections on triangles and tetrahedrons. Therefore, the only difference these corrections induce on Zr diffusion arises from \( L_{ZrZr} \). In classical theory of nucleation, the diffusion coefficient entering in the expression 30 of the condensation rate is only a scaling factor for time and does not have any other influence on kinetics. As a consequence the steady-state nucleation rate varies linearly with Zr diffusion coefficient as it clearly appears when combining equations 30 and 27. Thus small variations of \( L_{ZrZr} \) with the set of parameters used do not allow to explain the difference of the nucleation rate: with order corrections, \( L_{ZrZr} \) is far from being half the value it is with only pair interactions (Fig. 2). Thus slowdown of precipitation kinetics is not due to a slowdown of Zr diffusion.

One possible explanation would be a difference of the interface free energy \( \sigma \). \( J^{st} \) is really sensitive to this parameter and one only needs a small decrease of \( \sigma \) to obtain a higher nucleation rate (see on fig. 7 the decrease of \( J^{st} \) when \( a^2\sigma \) is going from 57.0 to 64.1 meV). Such a decrease would explain too why precipitates have a bigger size with only pair interactions. At \( T = 0 \) K, we obtain the same interface energy for all directions considered with the two sets of parameters, but at finite temperatures the configurational entropy could lead to a difference of interface free energy. Nevertheless, this needs to be confirmed, by CVM calculations or using the Cahn-Hilliard method [46] for instance. Another possible explanation to understand the different kinetic pathways would be a different mobility of small clusters with the two sets of parameters. But this would be quite surprising, as we do not expect small clusters to be really mobile because of the repulsion between vacancy and Zr solute atoms.
6. CONCLUSIONS

We built an atomistic kinetic model for Al-Zr binary system using ab-initio calculations as well as experimental data. So as to be as realistic as it should be at this atomic scale, this model describes diffusion through vacancy jumps. Thanks to ab-initio calculations we could improve usual thermodynamic descriptions based on pair interactions and incorporate multisite interactions for clusters containing more than two lattice points so as to consider dependence of bonds with their local environment.

At temperatures lower than 1000 K, these energetic corrections due to local order do not modify thermodynamics: the phase diagram does not change when one does not consider these order corrections. For higher temperatures they lead to a stabilization of the ordered structure L1$_2$.

Concerning diffusion in the solid solution, these order corrections on first nearest neighbor triangle and tetrahedron do not really change the Onsager matrix, and thus diffusion characteristics. They just lead to a slight slowdown of Zr diffusion in the metastable solid solution. When looking at higher temperatures, the slowdown of Zr diffusion is more important.

For precipitation, kinetics are slower with these interactions. The slowdown is too important to be related to the small decrease of Zr diffusion in the metastable solid solution at the same temperature. One possibility would be a change of configurational entropy contribution to interface free energy.

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A DETAILS OF AB-INITIO CALCULATIONS

Ab initio calculations were carried out using a full-potential linear-muffin-tin-orbital (FP-LMTO) method [17,18,19] in the version developed by Methfessel and Van Schilfgaarde [47]. The basis used contained 22 energy independent muffin-tin-orbitals (MTO) per Al and Zr site: three $\kappa$ values for the orbitals s and p and two $\kappa$ values for the orbitals d where the corresponding kinetic energies were $\kappa^2 = 0.01$ Ry (spd), 1.0 Ry (spd), and 2.3 Ry (sp). A second panel with a basis composed of 22 energy independent MTO with the same kinetic energies was used to make a correct treatment of the 4p semicore states of Zr. The same uniform mesh of points was used to make the integrations in the Brillouin zone for valence and semicore states. The radii of the muffin-tin spheres were chosen to have a compactness of 47.6% for Al sites and 54.1% for Zr sites. Inside the muffin-tin spheres, the potential is expanded in spherical harmonics up to $l = 6$ and in the interstitial region spherical Hankel functions of kinetic energies $\kappa^2 = 1$ Ry and 3.0 Ry were fitted up to $l = 6$. The calculations were performed in the generalized
gradient approximation (GGA) and the parameterization used was the one of Perdew et al. [48, 49].

References


