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ROAD CRACK EXTRACTION WITH ADAPTED FILTERING AND MARKOV MODEL-BASED SEGMENTATION

Introduction and validation

S. CHAMBON, C. GOURRAUD, J.-M. MOLIARD, P. NICOLLE
Laboratoire Central des Ponts et Chaussées, LCPC, Nantes
{chambon,gourraud,moliard,nicolle}@lcpc.fr

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Abstract: The automatic detection of road cracks is important in a lot of countries to quantify the quality of road surfaces and to determine the national roads that have to be improved. Many methods have been proposed to automatically detect the defects of road surface and, in particular, cracks: with tools of mathematical morphology, neuron networks or multiscale filter. These last methods are the most appropriate ones and our work concerns the validation of a wavelet decomposition which is used as the initialisation of a segmentation based on Markovian modelling. Nowadays, there is no tool to compare and to evaluate precisely the performances and the advantages of all the existing methods and to qualify the efficiency of a method compared to the state of the art. In consequence, the aim of this work is to validate our method and to describe how to set the parameters.

1 INTRODUCTION

In many countries, the quality of roads is evaluated by taking into account numerous characteristics: adherence, texture and defects. Since 1980, many efforts have been spent for making this task more comfortable, less dangerous for employees and also, more efficient and less expensive by using an acquisition system of road images and by introducing semi-automatic defect detection, in particular crack detection. Nowadays, a lot of acquisition systems are proposed, see (Schmidt, 2003), but, as far as we are concerned, even if a lot of automatic crack detections had been proposed, no method had been widely applied. In fact, this problem is difficult because road cracks represent a small part of the images (less than 1.5% of the image) and is very low contrasted (the signal of the crack is mixed with the road texture). Actually, there is no evaluation protocol to compare and to highlight methods that are well dedicated to this task and we propose to introduce such a protocol. Moreover, our second aim is to introduce and to validate our contributions about a multi-scale approach based on two steps: a binarization with adapted filtering and a refinement of the binarization by a Markov model-based segmentation.

First, existing methods are resumed before describing our approach. Then, we introduce the evaluation protocol that enables us to validate the new approach and we give the details of the parameter settings.

2 DETECTION OF ROAD CRACKS

For this task, three steps can be distinguished: image acquisition, data storage and image processing. In this paper, even if the choices for the two first steps are important, we focus on the image processing step.

Four different kinds of methods exist, see Table 1. The thresholding methods are the oldest ones and also the most popular. They are based on histogram analysis (Acosta et al., 1992), on adaptive thresholding (Elbehiery et al., 2005), or on Gaussian modelling (Koutsopoulos and Downey, 1993). These techniques are simple but not very efficient: the results show a lot of false detections. Some methods are based on morphological tools (Elbehiery et al., 2005; Iyer and Sinha, 2005; Tanaka and Uematsu, 1998). The results contain less false detections but they are highly dependent on the choice of the parameters.
works-based methods have been proposed to alleviate the problems of the two first categories (Kaseko and Ritchie, 1993). However, they need a learning phase which is not well appropriate to the task. Filtering methods are the most recent. At the beginning, a contour detection has been used but the major drawbacks lie on using a constant scale, supposing that the width of the crack is constant and that we suppose that the background is not as noisy (or textured) as a road surface. This is not realistic and this is why, most of the filtering methods are based on a wavelet decomposition (Delagnes and Barba, 1995; Subirats et al., 2006; Wang et al., 2007; Zhou et al., 2005) or on partial differential equations (Augereau et al., 2001). We can also notice an auto-correlation method (Lee and Oshtima, 1994) (the authors estimate a similarity coefficient between patterns that represent simulated cracks and patterns inside the images). Some methods also use texture decomposition (Petrou et al., 1996; Song et al., 1992) (the goal is to find a noise, i.e. the crack, inside a known texture).

Table 1: State of the art about road crack detection – In brackets, we give the years of publication.

<table>
<thead>
<tr>
<th>Method</th>
<th>Years</th>
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<tbody>
<tr>
<td>Threshold</td>
<td>(1992–1999)</td>
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<tr>
<td>Morphology</td>
<td>(1998)</td>
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<tr>
<td>Multi-scale</td>
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</table>

3 AFMM Method

First, we present the general algorithm of the method: Adapted Filtering and Markov Model-based segmentation (AFMM) and, second, our contributions in each of the parts of this algorithm.

3.1 Algorithm

The goal of this algorithm, presented in Figure 1, is to obtain, steps 1 to 3, a binarization (black pixels for background and white pixels for the crack) and a refinement of this detection by using a Markovian segmentation, step 4. In the first part, a photometric hypothesis is used: a crack is darker than the background (the rest of the road pavement) whereas, in the second part, a geometric hypothesis is exploited: a crack is composed of a set of connected segments with different orientations. The number of scales for the adapted filtering has to be chosen and depends on the resolution of the image. By supposing a resolution of 1 mm per pixel, by choosing 5 scales, a crack with a width from 2 mm to 1 cm can be detected. Moreover, the number of directions (for the filtering) also has to be chosen and, it seems natural to take these four directions: \( [0, \pi/4, \pi/2, 3\pi/4] \) that correspond to the four usual directions used for crack classification. Adapted filtering is applied in each scale, each direction and then all the results are merged (mean of the coefficients). The results of this filtering is used to initialize the Markov model.

Input
Road images

Initialization
Number of scales and angles

Steps
1. For each scale do Estimate adapted filter (AF)
2. For each direction do Apply AF
3. Merge AF in all the directions
4. For each scale do
   (a) Initialize the sites (Markov)
   (b) While not (stop condition) do Update the sites

Figure 1: Algorithm with adapted filter and Markovian modelling (AFMM) – Steps 1 to 3 lead to a binary image using adapted filtering, while step 4 refines this result with a Markovian modelling.

3.2 Adapted filtering

The \( \psi \in L^2(\mathbb{R}^2) \) function is a wavelet if:

\[
\int_{\mathbb{R}^2} |\varphi(x)|^2 dx < \infty, \text{ avec } x = (i, j), \tag{1}
\]

where \( \varphi \) is the Fourier transform of \( \psi \). The equation (1) induces that \( \int_{\mathbb{R}^2} \varphi(x) dx = 0 \). The wavelet family is defined for each scale \( s \) and for each position \( u \), by:

\[
\psi_{s,u}(x) = \frac{1}{2} \varphi(R^s((x - u)/s)), \tag{2}
\]
where $\psi \in \mathbb{R}^2$ and $R^0$ is a rotation of angle $\theta$. One of the main difficulties to apply a wavelet decomposition is the choice of the mother wavelet $\psi$. Numerous functions are used in the literature: Haar wavelet, Gaussian derivatives, Mexican hat filter, Morlet wavelet. It is very hard to determine which one is the best for a given application. In the case of crack detection, two elements are present: the crack (if there is a crack) and the background (the road surface can be viewed as a repetitive texture). The goal of the crack detection is to recognize a signal, i.e. the shape is known up to a factor, mixed with a noise whose its characteristics are known. Consequently, adapted filtering is well designed for the problem: extracting singularities in coefficients estimated by a wavelet transform. If $s$ is a discrete and deterministic signal with values stored in the vector $s = (s_1 \ldots s_N)$, $N$ the number of samples, and $z = (z_1 \ldots z_N)$, is a noisy observation of $s$, supposed to be an additive noise: $z = s + b$. The main hypothesis is that this second-order noise is centered and stationary, with auto-correlation function $\Phi_{bb}$ of terms $\Phi_{bb(i,j)} = \Phi_{bb'(i,j)}$ independent from the signal $s$. The adapted filter $h$ of $s$ is defined by:

$$h = \phi_{bb}^{-1}s. \tag{3}$$

The crack signal depends on the definition of the crack. In this paper, like most of the papers of this domain, crack pixels correspond to black pixels surrounded by background pixels (road pixels). This is why, in (Subirats et al., 2006), a crack is a piecewise constant function:

$$f(x) = \begin{cases} -a & \text{if } x \in [-\frac{T}{2}, \frac{T}{2}] \\ 0 & \text{elsewhere,} \end{cases} \tag{4}$$

where the factor $a$ and the threshold $T$ have to be determined. It does not correspond to a realistic representation of the crack. Because of sub-sampling, lights, orientation of the camera, the signal is more like a Gaussian function with zero mean:

$$f(x) = -a e^{-\frac{1}{2}(x)^2}, \tag{5}$$

where $a$ is the size of the crack and depends on $\sigma$, the deviation of the Gaussian law, i.e. $a = \frac{1}{\sigma \sqrt{2\pi}}$.

Consequently, the term $\sigma$ allows to fix the width of the crack (like threshold $T$ in equation (4)).

### 3.3 Contribution to segmentation

The goal of this part is to extract shapes, i.e. cracks, using the detection maps estimated at the first stage of the algorithm. The MRF (Markov Random Field) principle is introduced before the presentation of the improvements about the initialization of sites and the updating of the Markov Random Field.

For the first step of segmentation (initialization), in (Subirats et al., 2006), the sites are of size $3 \times 3$, consequently, a regular grid is considered in the image. The four configurations that are possible, are represented in Figure 2. The initialization of the sites is based on the configuration that maximizes the wavelet coefficients. More formally, if we denoted $\gamma_{2,0}$, $\gamma_{2,\frac{\pi}{2}}$, $\gamma_{2,\pi}$ and $\gamma_{2,\frac{3\pi}{2}}$, see in the bold rectangle in Figure 2, the four configurations, the best configuration $\gamma_{\text{best}}$ is:

$$\gamma_{\text{best}} = \arg\max_{\alpha \in [0, \frac{\pi}{2}]} m_{2,\alpha}. \tag{6}$$

where $m_{2,\alpha}$ is the mean of wavelet coefficients on the considered configuration $\gamma_{2,\alpha}$. These four configurations do not represent all the possible and are not realistic configurations. In fact, all these four configurations are centered, whereas, it is possible to have some non-centered configurations. Consequently, we use a set of configurations that includes this aspect and we employ a set of sixteen configurations illustrated in Figure 2. By modifying the number of configurations, we need to adapt the initialization of sites, i.e. equation (6).

![Figure 2: The sixteen configurations of the Markov model (initialization) – The sites are represented with dark gray levels.](image)

The image is considered as a finite set of sites denoted $S = \{s_1, \ldots, s_N\}$. For each site, the neighborhood is defined by: $\mathcal{U}_s = \{s'|s \notin \mathcal{U}_s & s' \in \mathcal{U}_s \Rightarrow s \in \mathcal{U}_s\}$. A clique $c$ is defined as a subset of sites in $S$ where every pair of distinct sites are neighbors. The random fields considered are:
1. The observation field \( Y = \{ y_s \} \) with \( s \in S \). Here, \( y_s \) is the mean of wavelet coefficients on the site.

2. The descriptor field \( L = \{ l_s \} \) with \( s \in S \). For this work, if there is a crack \( l_s = 1 \) elsewhere \( l_s = 0 \).

MRF model is well suited to take into account spatial dependencies between the variables. Each iteration, a global cost, or a sum of potentials, that depends on the values of the sites and the links between neighborhoods, is updated. This global cost takes into account the site coefficients estimated during the first part of the algorithm: adaptive filtering) and the relation between each site and the sites in its neighborhood (in this paper, it corresponds to the eight neighbors). More formally, the global cost is the sum of all the potentials of the sites and contains two terms:

\[
u_i(s) = \alpha_1 u_1(s) + \alpha_2 \sum_{s' \in \Psi_i^s} u_2(s,s'), \quad (7)\]

where \( \Psi_i^s \) is the neighborhood of site \( s \). The choice of the values of \( \alpha_1 \) and \( \alpha_2 \) depends on the importance of each part of the equation (7).

The function \( u_1 \) is given by:

\[
u_1(y_s,l_s) = \begin{cases} e^{\xi_1(k-y_s)^2} & \text{if } y_s \geq k \\ 1 & \text{elsewhere} \end{cases} \]

where \( d = \sqrt{(x_s-x_{s'})^2 + (y_s-y_{s'})^2} \) and \( x, y \) are the coordinates of the sites.

The parameters \( \xi_1, \xi_2 \) and \( k \) have to be fixed\(^1\). For the definition of \( u_2 \), we have to determine the number of cliques. In (Subirats et al., 2006), four cliques are possible. As there are four configurations in the previous approach, there is sixteen possibilities. A 8-neighborhood is considering but the potential function proposed in the precedent work only considers the difference of orientations between two neighborhoods and not the position between the two sites of the clique, see Table 2.

Some cases are not penalized with the old configuration. For example, these two unfavorable cases are not penalized: two sites with the same orientation but with no connection between them, two sites with the same orientation but their position makes them parallel. This is why, with the sixteen possible configurations presented in Figure 2, the new variant takes into account differences of orientations between two sites (there are 16 \( \times \) 16 possibilities) and position of the two sites (there is eight possibilities because of 8-connexion). Consequently, the new potential function \( u_2 \) follows these two important rules:

\((R_1)\) The lower the difference of orientations between two sites, the lower the potential.

\((R_2)\) The lower the distance between two sites, the lower the potential (in this case, distance means the minimal distance between the extremities of the two segments).

More formally, if \( d \) denotes the Euclidean distance between the two closest extremities of the sites, with \( d \in [0,d_{\max}] \) \( (d_{\max} = 5\sqrt{2}) \), \( \theta_1 \) and \( \theta_2 \), the orientations of respectively \( s = \{ s_i \}_{i=1..N_s} \) and \( s' = \{ s'_j \}_{j=1..N'_s} \), and \( \theta_e \) the angle between the two sites, the \( u_2 \) function is defined by:

\[
u_2(s',s) = \alpha_2 \left( \frac{2\pi - \theta_1 - \theta_2}{2\pi} \right) + (1 - \alpha_2) \left( \frac{J(NBC_{\min},d(s,s'))}{d_{\max}} \right) \quad (9)\]

where NbC indicates the number of elements of the two sites \( s \) and \( s' \) and \( J(x) \) equals 1 if \( NbC = 0 \) and 0 elsewhere. The first term is induced by the rule about the orientations, rule \((R_1)\), it is zero when the sites have the same orientation and this orientation is the same as the orientation between the sites, i.e. \( \theta_e = \theta_2 = \theta_1 \). It gives bad costs to configurations where the sites do not have the same orientation but also the particular case where they are parallel, see example (a) in Figure 3. The second and third terms express the rule \((R_2)\) about the distances. Two aspects have to be distinguished: the number of connected pixels, when the sites are connected, and, on the contrary, the distance between the sites. It allows to give low influence to disconnected sites and also to increase the cost of sites that are parallel but connected, see example (b) in Figure 3. To study the influence of all these terms, the equation has been normalized and the different terms have been weighted.

<table>
<thead>
<tr>
<th>( \gamma )</th>
<th>( \beta )</th>
<th>( \alpha )</th>
<th>( \beta_1 )</th>
<th>( \beta_2 )</th>
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</table>

Table 2: Function \( u_2 \) – This table represents the values of the function \( u_2(s',s) \) for the four initial configurations of the sites. In the experiments we have taken the values of the parameters proposed by the authors (Subirats et al., 2006) and that give the best results: \( \beta_1 = -2, \beta_2 = -1 \) and \( \beta_3 = 2 \).

\(^1\)The choice of \( k \) is related to the maximal number of pixels that belong to a crack (it depends on the resolution of images and hypothesis about the size and configuration of cracks). We have chosen \( k \) in order to consider at most 5% of the image as a crack. Moreover, our experimenting have brought us to take \( \xi_1=\xi_2=100 \).
To resume, the two first kinds of images are considered as reference segmentations or “ground truth” segmentations that are enough reliable to be considered an exact evaluation and to well illustrate the behavior of the method in theoretical cases whereas the last kind of images allows to validate the work on real images with a “pseudo” ground truth.

### 4.2 Reference segmentation

For real images, we briefly explain how the manual segmentation is validated. Four experts manually give a segmentation of the images with the same tools and in the same conditions. Then, the four segmentations are merged, following these rules:

1. A pixel marked as a crack by more than two experts is considered as a crack pixel;
2. Every pixel marked as a crack and next to a pixel kept by step 1 or 2 is also considered as a crack.

The second rule is iterative and stops when no pixel is added. Then, the result is dilated with a structuring element of size $3 \times 3$.

In this part, we distinguish two datasets of real images. First, we have work with 10 images, in order to validate our manual segmentation and to determine how to fix the parameters of the proposed method. This first dataset is called **initial dataset**. The second one contains 32 images to complete the evaluation and we called it the **complementary dataset**.

**Initial dataset** To evaluate the reliability of the reference segmentations, we estimate, first, the percentage of covering between each operator, and, second,
the mean distance between each pixel (detected by only one expert and not kept in the reference image) and the reference segmentation. Table 3 compares the results for these 10 first images. We can notice that the first 4 images are the most reliable because the mean error is less than 2 pixels. On the contrary, the last 6 images are less reliable but they are also the most difficult to extract a segmentation.

Complementary dataset The same technique is used for establishing the reference segmentations with 32 images. By analyzing the results for criteria D., presented in Table 3, we decided to classify the 42 tested images in 3 categories:

1. Reliable: All the images have obtained $D < 2$ and it means that all the operators have selected areas that are quite close to each other and the segmentation is reliable.

2. Quite reliable: All the images have obtained $2 \leq D < 8$, it means that some parts of the crack are not easy to segment and there are locally big errors.

3. Ambiguous: All the images have obtained $D \geq 8$. It clearly show that the images are really difficult to segment and in most of the cases, it means that some parts are detected as a crack whereas they are not a crack and reversely.

Table 3: Manual segmentation comparison for establishing the reference segmentations – For each image, we give the percentage of pixels that are preserved in the final reference segmentation compared to the size of the image (F), the percentage of covering between 2, 3 and 4 segmentations (over all the pixels marked as crack by the 4 experts) and the sum of them (S). For all the crack pixels not preserved in the final reference segmentation, the mean distance to this segmentation is given, noted D.

<table>
<thead>
<tr>
<th>Images</th>
<th>F (%)</th>
<th>2 (%)</th>
<th>3 (%)</th>
<th>4 (%)</th>
<th>S (%)</th>
<th>D (pix)</th>
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<tbody>
<tr>
<td>37</td>
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<td>9.79</td>
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<td>29.8</td>
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4.3 Evaluation Criteria

In this section, we introduce how the reference segmentation and the estimated segmentation are compared. In Figure 5, we present common evaluation criteria that are used for segmentation evaluation. We have added the principle of "accepted" detection that tolerates a small error on the localization of crack pixels. This criterion is needed because perfect detection seems, for the moment, difficult to reach, see the results in Table 3, that illustrate this aspect. In consequence, these "accepted" pixels have been included in the estimation of the similarity coefficient or DICE. The threshold for accepted pixels equals 0 for synthetic images whereas it depends on the mean distances, see D. in table 3, for the real images.

Table 3: Manual segmentation comparison for establishing the reference segmentations – For each image, we give the percentage of pixels that are preserved in the final reference segmentation compared to the size of the image (F), the percentage of covering between 2, 3 and 4 segmentations (over all the pixels marked as crack by the 4 experts) and the sum of them (S). For all the crack pixels not preserved in the final reference segmentation, the mean distance to this segmentation is given, noted D.

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5 Experimental results

For the proposed method, we want to determine, first, how to fix the different parameters, second, the preprocessing steps that are necessary, and, finally, which
variant is the most efficient. In consequence, we have tested different:

- **Parameter values** – The weights $\alpha_1$, equation (7), and $\alpha_2$, equation (9), are tested from 0 to 1 with a step of 0.1.
- **Pre-processings** – These pre-processings have been experimented to reduce noises induced by texture, to increase the contrast of the defect and, to reduce the light halo in some images (like the last six ones presented in Table 3):
  1. **Threshold** – Each pixel over a given threshold is replaced by the local average gray levels.
  2. **Smoothing** – A $3 \times 3$ mean filter is applied.
  3. **Erosion** – An erosion with a square structuring element of size $3 \times 3$ is applied.
  4. **Restoration** – It tries to combine the advantages of all the previous methods in three steps: histogram equalization, thresholding (like **Threshold**), and erosion (like **Erosion**).
- **Algorithm variants** – Four variants are compared:
  1. **Init** – This is the initial method proposed in (Subirats et al., 2006).
  2. **Gaus** – This variant considers the crack as a Gaussian function, see section 3.2.
  3. **InMM** – This is the initial version with an improvement of the Markov model (new definition of the sites and of the potential function), see section 3.3.
  4. **GaMM** – This is the **Gaus** version with the new Markov model.
- **Comparison** – We have compared this method with a method based on morphological tools and that is quite similar to (Tanaka and Uematsu, 1998) noted **Morph**.

### 5.1 Parameter influence

Among the results, two conclusions can be done. For each variant and each pre-processing, the weights between the term for adapted filtering and the term for the Markovian modelling should be the same, see equation (7), i.e. $\alpha_1 = 0.5$. However, when more weight is given to adapted filtering, the quality of the results is lower than when more weight is given to the Markovian segmentation. It means that in this kind of application, the geometric information is more reliable than the photometric one and it seems coherent with the difficulties of the acquisition.

For the new Markov model, we have noticed that the results are the best when the weights are the same between the orientation term and the distance term, see equation (9), i.e. $\alpha_2 = 0.5$. However, better results are obtained when the weight of the orientation is greater than the distance one instead of the reverse.

### 5.2 Pre-processing

These tests have been done with real images, because, a priori, synthetic images do not need pre-processings. The results are given by:

<table>
<thead>
<tr>
<th>Init</th>
<th>Gaus</th>
<th>InMM</th>
<th>GaMM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Restoration</td>
<td>Restoration</td>
<td>Threshold</td>
<td>Erosion</td>
</tr>
</tbody>
</table>

However, for the four first images (acquired with lighting conditions more comfortable than the lighting conditions of the next 6 ones), the pre-processing is not significant for increasing the quality of the results. Moreover, with the new Markov model, the pre-processing step increases a little the quality.

### 5.3 Variants

The results are separated in two cases: with synthetic images and with real images. In Figure 6, the evolution of the similarity coefficient, or DICE, for the 11 synthetic images and the 10 real images is presented. With synthetic images, **GaMM** is clearly the best for most of the images. However, for one image (the fifth), the results are worse than with **Gaus** but they are still correct (DICE=0.72). On the contrary, for the most difficult images (the 3 first ones that contain a real road background), **GaMM** obtains acceptable results (DICE > 0.5) whereas the other methods are not efficient at all. An illustration is given in Figure 7: it shows how **GaMM** can reduce false detections.

The results with real images, see graph (b) in Figure 6, are coherent with those obtained with synthetic images. The new Markov model allows the best improvements, compared to the initial method **Init**. The method **GaMM** obtains the best results, except on few images, see an example in figure 8, where **InMM** is the best. However, **GaMM** gives results that are quite similar and the differences are not significant.

### 5.4 Complementary dataset and comparison

Finally, we have compared the result of **GaMM** on each of the complementary dataset (32 images) with the results obtained with a classic method based on morphological tools, like (Tanaka and Uematsu, 1998). The mean DICE is 0.6 with **GaMM** whereas it is 0.49 with the **Morphology** method, see Figure 9. It shows how this new method outperforms this kind.
of method. However, if we compare image per image, the results show that in 50% of the cases GaMM is the best, see illustrations of these results in Figures 10 and 11. More precisely, it seems more performant with Ambiguous images, whereas the Morphology method is the best with Reliable images, see § "Complementary dataset".

6 CONCLUSIONS

In a first time, we have introduced new methods for the detection of road cracks. In a second time, we have presented a new evaluation and comparison protocol for automatic detection of road cracks and the
Figure 9: Comparison with *Morph* – The dotted lines illustrate the five different kinds of images tested. For the first one (that corresponds to real images with good illumination), the results are mixed whereas for the other ones, *GaMM* is the best.

![Comparison with *Morph*](image)

Figure 10: Differences between *Morph* and *GaMM* – Examples with synthetic and real images. *Morph* outperforms *GaMM* with simple synthetic images whereas *GaMM* gives better detection with real images.

![Differences between *Morph* and *GaMM*](image)

Figure 11: Differences between *Morph* and *GaMM* – Examples with real images acquired on a vehicle. The detection with *GaMM* is more complete than with *Morph*.

![Differences between *Morph* and *GaMM*](image)

new methods are validated by this protocol. As far as we are concerned, we proposed real images with ground truth for the first time in the community. Our next work is to propose our ground truth to the community in order to have a larger comparison. Then, we want to increase our data set by taking into account the different qualities of road surface or road texture (because for the moment, each proposed method seems very dependent on the road texture). Finally, we want to refine our evaluation criteria by using (Arbelaez et al., 2009).
REFERENCES


