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Incremental Design of a Power Transformer Station Controller using a Controller Synthesis Methodology

Hervé Marchand and Mazen Samaan

Abstract—In this paper, we describe the incremental specification of a power transformer station controller using a controller synthesis methodology. We specify the main requirements as simple properties, named control objectives, that the controlled plant has to satisfy. Then, using algebraic techniques, the controller is automatically derived from this set of control objectives. In our case, the plant is specified at a high level, using the data-flow synchronous SIGNAL language, and then by its logical abstraction, named polynomial dynamical system. The control objectives are specified as invariance, reachability, ... properties, as well as partial order relations to be checked by the plant. The control objectives equations are synthesized using algebraic transformations.

Index Terms—Discrete event systems, polynomial dynamical system, supervisory control problem, optimal control, SIGNAL, SIGALI, power plant.

1 INTRODUCTION & MOTIVATIONS

The SIGNAL language [1] is developed for precise specification of real-time reactive systems [2]. In such systems, requirements are usually checked a posteriori using property verification and/or simulation techniques. The control theory of Discrete Event Systems allows us to use constructive methods that ensure a priori required properties of the system behavior. The validation phase is then reduced to properties that are not guaranteed by the programming process.

Different theories for control of Discrete Event Systems have existed since the 1980s [3], [4], [5]. Here, we choose to specify the plant in SIGNAL and the control synthesis, as well as verification performed on a logical abstraction of this program, called a polynomial dynamical system (PDS), over \( \mathbb{Z}/3 \mathbb{Z} \) (i.e., integers modulo 3: \{-1,0,1\}). The control of the plant is performed by restricting the controllable input values with respect to the control objectives (logical or optimal). These restrictions are obtained by incorporating new algebraic equations into the initial system. The theory uses classical tools in algebraic geometry, such as ideals, varieties, and morphisms. This theory sets the basis for our formal calculus tool, SIGALI, built around the SIGNAL environment. SIGALI manipulates the system of equations instead of the sets of solutions, avoiding the enumeration of the state space. This abstract level avoids a particular choice of set implementations, such as BDDs, even if all operations are actually based on this representation for sets.

The methodology is the following (see Fig. 1): The user first specifies, in SIGNAL, both the physical model and the control/verification objectives to be ensured/checked. The SIGNAL compiler translates the SIGNAL program into a PDS and the control/verification objectives in terms of polynomial relations/operations. The controller is then synthesized using SIGALI. The result is a controller coded by a polynomial and then by a Ternary Decision Diagram (TDD), a slight extension of the Binary Decision Diagrams (BDDs).

To illustrate our approach, we consider, in this paper, the application to the specification of the automatic control system of a power transformer station. It concerns the response to electric faults on the lines traversing it. It involves complex interaction between communicating automata, interruption and preemption behaviors, timers and timeouts, and reactivity to external events, among others. The functionality of the controller is to handle the power interruption, the redirection of supply sources, and the reestablishment of the power following an interruption. The objective is twofold: the safety of material and the best uninterrupted service. The safety of material can be achieved by triggering circuit breakers when an electric fault occurs, whereas the best quality service can be achieved by minimizing the number of costumers concerned by a power cut and reestablishment of the current as quickly as possible for the customers hit by the fault (i.e., minimizing the failure in the distribution of power in terms of duration and size of the interrupted subnetwork).

2 OVERVIEW OF THE POWER TRANSFORMER STATION

In this section, we give a brief description of the power transformer station network, as well as the various requirements the controller has to handle.
2.1 The Power Transformer Station

Électricité de France has hundreds of high voltage networks linked to production and medium voltage networks connected to distribution. Each station consists of one or more power transformer stations to which circuit-breakers are connected. The purpose of an electric power transformer station is to lower the voltage so that it can be distributed in urban centers to end-users. The kind of transformer (see Fig. 2) we consider receives high voltage lines and feeds several medium voltage lines to distribute power to end-users.

For each high voltage line, a transformer lowers the voltage. During operation of this system, several faults can occur (three types of electric faults are considered; phase PH, homopolar H, or wattmetric W) due to causes internal or external to the station. To protect the device and the environment, several circuit breakers are placed in a network of cells in different parts of the station (on the link lines, arrival lines, and departure lines). They are informed about the possible presence of faults by sensors.

2.1.1 Power and Fault Propagation

Here, we discuss some physical properties of the power network located inside the power transformer station controller. It is obvious that the power can be seen by the different cells if and only if all the upstream circuit-breakers are closed. Consequently, if the link circuit-breaker is opened, the power is cut and no fault can be seen by the different cells of the power transformer station. The visibility of the fault by the sensors of the cells is less obvious. In fact, we have to consider two major properties:

- On one hand, if a physical fault, considered as an input of our system, is seen by the sensors of a cell, then all the downstream sensors are not able to see some physical faults. In fact, the appearance of a fault at a certain level (the departure level in Fig. 3a, for example) increases the voltage on the downstream lines and masks all the other possible faults.
- On the other hand, if the sensors of a cell at a given level (for example, the sensors of one of the departure cells as illustrated in Fig. 3b) are informed about the presence of a fault, then all the upstream sensors (here, the sensors of the arrival cell) detect the same fault. Consequently, it is the arrival cell that handles the fault.

2.2 The Controller

The controller can be divided into two parts. The first part concerns the local controllers (i.e., the cells). We chose to specify each local controller in SIGNAL because they merge logical and numerical aspects. Here, we give only a brief description of the behavior of the different cells (more details can be found in [6], [7]). The other part concerns more general requirements to be checked by the global controller of the power transformer station. That specification will be described in the next section.

2.2.1 The Cells

Each circuit breaker controller (or cell) defines a behavior beginning with the confirmation and identification of the type of the fault. In fact, a variety of faults are transient, i.e., they occur only for a very short time. Since their duration is so short that they do not cause any danger, the operation of the circuit-breaker is inhibited. The purpose of this confirmation phase is to let the transient faults disappear...
spontaneously. If the fault is confirmed, it is handled by opening the circuit-breaker for a certain number of periods during a given delay and then closing it again. The circuit-breaker is opened in consecutive cycles with an increased duration. At the end of each cycle, if the fault is still present, the circuit-breaker is reopened. Finally, in case the fault is still present at the end of the last cycle, the circuit-breaker is opened definitively and control is given to the remote operator.

The specification of a large part of these local controllers has been performed using the SIGNAL synchronous language [6] and verified using our formal calculus system, named SIGALI [7].

2.2.2 Some Global Requirements for the Controller

Even if it is quite easy to specify the local controllers in SIGNAL, some other requirements are too informal or their behaviors are too complex to be expressed directly as programs.

1. One of the most significant problems concerns the appearance of two faults, (the kind of faults is not important here) at two different departure cells at the same time. Double faults are very dangerous because they imply high defective currents. At the the fault location, this results in a dangerous path voltage that can electrocute people or cause heavy material damages. The detection of these double faults must be performed as fast as possible, as well as the handling of one of the faults.

2. Another important aspect is to know which of the circuit breakers must be opened. If the fault appears on the departure line, it is possible to open the circuit breaker at departure level, at link level, or at arrival level. Obviously, it is in the interest of users that the circuit be broken at the departure level and not at a higher level so that the fewest users are deprived of power.

3. We also have to take into account the importance of the departure circuit-breaker. Assume that a departure line, involved in a double faults problem, supplies a hospital. Then, if double faults occur, the controller should not open this circuit-breaker since electricity must always delivered to a hospital.

The transformer station network, as well as, the cells are specified in SIGNAL. In order to take into account requirements 1, 2, and 3, with the purpose of obtaining an optimal controller, we rely on automatic controller synthesis that is performed on the logical abstraction of the global system (network + cells).

3 THE SIGNAL EQUATIONAL DATA FLOW LANGUAGE

SIGNAL [1] is built around a minimal kernel of operators. It manipulates signals $X$, which denote unbounded series of typed values $(x_i)_{i \in T}$, indexed by time $t$ in a time domain $T$. An associated clock determines the set of instants at which values are present. A particular type of signals, called event, is characterized only by its presence and always has the value true (hence, its negation by not is always false).

The clock of a signal $X$ is obtained by applying the operator event $X$. The constructs of the language can be used in an equational style to specify the relations between signals, i.e., between their values and between their clocks. Systems of equations on signals are built using a composition construct, thus defining processes. Data flow applications are activities executed over a set of instants in time. At each instant, input data is acquired from the execution environment; output values are produced according to the system of equations considered as a network of operations.

3.1 The Signal Language

The kernel of the SIGNAL language is based on four operations, defining primitive processes or equations, and a composition operation to build more elaborate processes in the form of systems of equations.

- Functions are instantaneous transformations on the data. The definition of a signal $Y_1$ by the function $f : \forall \text{int}, Y_1 = f(X_1, X_2, \ldots, X_n)$ is written in SIGNAL: $Y := f(X_1, X_2, \ldots, X_n)$. $Y, X_1, \ldots, X_n$ are required to have the same clock.
- Selection of a signal $X$ according to a Boolean condition $C$ is $Y := X$ when $C$. If $C$ is present and true, then $Y$ has the presence and value of $X$. The clock of $Y$ is the intersection of that of $X$ and that of $C$ at the value true.
- Deterministic merge, noted $Z := X$ default $Y$, has the value of $X$ when it is present or, otherwise, that of $Y$ if it is present and $X$ is not. Its clock is the union of that of $X$ and that of $Y$.
- Delay gives access to past values of a signal, e.g., the equation $(Z_{t+1} = X_{t-1})$, with initial value $(V_0)$ defines a dynamic process. It is encoded by $ZX := X$ with initialization $ZX$ init $V_0$. $X$ and $ZX$ have equal clocks.
- Composition of processes is noted “$|$” (for processes $P_1$ and $P_2$, with parenthesizing $(| P_1 | P_2 |)$). It consists of the composition of the systems of equations; it is associative and commutative. It can be interpreted as parallelism between processes.

Table 1 shows each of the primitives with a trace.

3.1.1 Derived Features

Derived processes have been defined on the base of the primitive operators, providing programming comfort. For example, the instruction $X^0 = Y$ specifies that signals $X$ and $Y$ are synchronous (i.e., have equal clocks); when $B$ gives the clock of true-valued occurrences of $B$.

For a more detailed description of the language, its semantic, and applications, the reader is referred to [1]. The complete programming environment also features a block-
3.2 The Graphical Interface
The complete programming environment of SIGNAL also contains a graphical, block-diagram oriented, user interface [8], as illustrated in Fig. 4. This environment allows the user to have graphical and textual representations of the language structures. These representations may be used together during the building or the “reading” of the program. A SIGNAL expression may be considered as a set of components (represented as boxes) with connection points (ports represented with triangles) joined by links. The same SIGNAL expression is also a system of equations on series of values; this system is represented by a phrase built with the language operators (definitions of variables, composition, renaming, etc.)

This graphical interface will be used further in order to perform the specification of the system to be controlled, as well as the automatic simulation of the controlled system.

3.3 Specification, in SIGNAL, of the Power Transformer Station
The transformer station network we are considering contains four departure, two arrival, and one link circuit-breakers, as well as the cells that control each circuit-breaker [7]. The process, Physical_Model in Fig. 4, describes the power and fault propagation according to the state of the different circuit-breakers. It is composed of nine subprocesses. The process Power.Propagation describes the propagation of power according to the state of the circuit-breakers (Open/Closed). The process Fault.Visibility describes the fault propagation and visibility according to the other faults that are potentially present. The remaining seven processes encode the different circuit-breakers.

The inputs of this main process are Booleans that encode the physical faults: Fault.Link_i, Fault.Arr_i, and Fault.Dep_j (i=1,2), and Fault.Dep_j (j=1,...,4). They encode faults that are actually present on the different lines. The event inputs req_close,... and req_open,... indicate opening and closing requests of the various circuit-breakers. The outputs of the main process are the Booleans Fault.Link_i, Fault.Arr_i, and Fault.Dep_j, representing the signals that are sent to the different cells. They indicate whether a cell is faulty or not. These outputs represent the knowledge that the sensors have of the different cells. We will now see how the subprocesses are specified in SIGNAL.

3.3.1 The Circuit-Breaker
A circuit-breaker is specified in SIGNAL as follows: The process Circuit-Breaker takes two sensors inputs, Req.Open and Req.Close. They represent opening and closing requests. The output Close represents the status of the circuit-breaker. (See Fig. 5.)

The Boolean Close becomes true when the process receives the event req_close and false when it receives the event Req.open, otherwise it is equal to its last value (i.e., Close is true when the circuit-breaker is closed and false otherwise). The constraint Req.Close when Req.Open ^= when not Req.Close says that the two events Req.Close and Req.Open are exclusive.

```
| Close := (Req.Close default (false when Req.Open) default Z.Close
| Z.Close := Close $1 init true
| Close = Tick
| (Req.Close when Req.Open)^= when (not Req.Open)
```

Fig. 5. The circuit-breaker in SIGNAL.
3.3.2 Power Propagation

Power Propagation is a filter process using the state of the circuit-breakers. It also induces a visibility of possible faults. If a circuit-breaker is open, then no fault can be detected by the sensors of downstream cells.

This is specified in the process Power_propagation shown in Fig. 6. The inputs are Booleans that code the physical faults and the status of the circuit-breakers. For example, a fault could be detected by the sensor of the departure cell 1 (i.e., Fault_Dep_1_E is true) if there exists a physical fault (Fault_Dep_1_M = true) and if the upstream circuit-breakers are closed (i.e., Close_Link = true and Close_Arr_1=true and Close_Dep_1 = true).

3.3.3 Fault Visibility and Propagation

The process in Fig. 7 specifies fault visibility and propagation. As we explained in Section 2.1, a fault could be seen by the sensors of a cell only if no upstream fault is present.

For example, a fault cannot be detected by the sensor of the departure cell 1 (i.e., Fault_Dep_1 is false), even if a physical fault exists at this level (Fault_Dep_1_E = true). When another physical fault exists at the link level (Fault_Link_1_K = true) or at the arrival level (Fault_Arr_1_K = true). It is true just when the departure cell 1 detects a physical fault (Fault_Dep_1_E) and no upstream fault exists. A contrario, if a fault is picked up by a cell, then it is also picked up by the upstream cells. This is, for example, the meaning of, Fault_Link := (when (Fault_Arr_1 default Fault_Arr_2)) default Fault_link_K.

4 Verification of Signal Programs

The SIGNAL environment contains a verification and controller synthesis tool-box, SIGALL. This tool allows us to prove the correctness of the dynamical behavior of the system. The equational nature of the SIGNAL language leads naturally to the use of a method, based on polynomial dynamical equation systems (PDSs) over \( \mathbb{Z}/n\mathbb{Z} \) (i.e., integers modulo 3: \( \{-1,0,1\} \)) as a formal model of program behavior. The theory of PDS uses classical concepts of algebraic geometry, such as ideals, varieties, and homomorphisms [9]. The techniques consist of manipulating the system of equations instead of the sets of solutions, which avoids enumerating state spaces. More precisely, a set of states and/or events can actually be represented by a unique polynomial named principal generator. This way we can perform operations on sets while still remaining in the domain of polynomial functions while not having to
enumerate them. The tool, SIGALI, implements the basic operators; set theoretic operators, fix-point computation, and quantifiers [10]. It relies on an implementation of polynomials by Ternary Decision Diagram (TDD) (for three valued logics) in the same spirit of BDD [11], but where the paths in the data structures are labeled by values in \{-1, 0, 1\} instead of \{0, 1\}.

4.1 From a SIGNAL Program to a Polynomial Dynamical System

To model its behavior, a SIGNAL process is translated into a system of polynomial equations over \(\mathbb{Z}/2\mathbb{Z}\) [7]. The three possible states of a Boolean signal \(X\) (i.e., \(\text{present} \land \text{true}, \text{present} \land \text{false}, \text{or} \text{absent}\)) are coded in a signal variable \(x\) by \text{present} \to 1, \text{present} \to 0, \text{false} \to -1, \text{or} \text{absent} \to 0.\) For the non-Boolean signals, we only code the fact that the signal is \text{present} or \text{absent} \((\text{present} \to 1 \text{ and absente} \to 0)\).

Each of the primitive processes of SIGNAL are then encoded as polynomial equations. Let us just consider the example of the selection operator. \(C := A \text{ when } B \text{ means } \langle \text{if } b = 1 \text{ then } c = a \text{ else } c = 0 \rangle.\) It can be rewritten as the polynomial equation \(c = a(b - b^2).\) Indeed, the solutions of this equation are the set of possible behaviors of the primitive process when. For example, if the signal \(B\) is true (i.e., \(b = 1\)), then \((-b - b^2) = (-1 - 1) = 1\) in \(\mathbb{Z}/2\mathbb{Z}\), which leads to \(c = a.\)

The delay \(\delta\), which is dynamical, is different because it requires memorizing the past value of the signal into a state variable \(x\). In order to encode \(B := \delta x\) \text{ init } B_0\), we have to introduce the three following equations:

\[
\begin{align*}
x' &= a + (1 - a^2)x \\
b &= xa \\
x_0 &= b_0
\end{align*}
\]

where \(x'\) is the value of the memory at the next instant. Equation (1) describes what will be the next value \(x'\) of the state variable. If \(a\) is \text{present}, \(x'\) is equal to \(a\), otherwise \(x'\) is equal to the last value of \(a\), memorized by \(x\). Equation (2) gives to \(b\) the last value of \(a\) (i.e., the value of \(x\)) and constrains the clocks \(b\) and \(a\) to be equal. Equation (3) corresponds to the initial value of \(x\), which is the initial value of \(b\).

Table 2 shows how all the primitive operators are translated into polynomial equations. Remark that, for the non-Boolean expressions, we just translate the synchronization between the signals.

Any SIGNAL specification can be translated into a set of equations called polynomial dynamical system (PDS) that can be reorganized as follows:

\[
S = \begin{pmatrix}
X' \\
Q(X, Y) \\
Q_0(X_0)
\end{pmatrix} = \begin{pmatrix}
P(X, Y) \\
0 \\
0
\end{pmatrix}
\]

where \(X, Y, X'\) are vectors of variables in \(\mathbb{Z}/2\mathbb{Z}\) and \(\text{dim}(X) = \text{dim}(X')\). The components of the vectors \(X\) and \(X'\) represent the states of the system and are called state variables. They come from the translation of the delay operator. \(Y\) is a vector of variables in \(\mathbb{Z}/2\mathbb{Z}\), called event variables. In the following, \(x, x_i, y, y_i\) will denote particular instantiations of vector \(X\) and \(Y\). The first equation is the state transition equation; the second equation is called the constraint equation and specifies which events may occur in a given state; the last equation gives the initial states. The behavior of such a PDS is the following: At each instant \(t\), given a state \(x_t\) and an admissible \(y_t\) such that \(Q(x_t, y_t) = 0\), the system evolves into state \(x_{t+1} = P(x_t, y_t)\).

4.2 Verification of a SIGNAL Program

We now explain how verification of a SIGNAL program (in fact, the corresponding PDS) can be carried out. Using algebraic operations, it is possible to check properties such as invariance, reachability, and attractivity [7]. Note that most of them will be used in the sequel as control objectives for controller synthesis purposes. Here, we just give the basic definitions of each of these properties.

**Definition 1.**

1. A set of states \(E\) is invariant for a dynamical system if, for every \(x\) in \(E\) and every \(y\) admissible in \(x\), \(P(x, y)\) is still in \(E\).
2. A subset \(F\) of states is reachable if and only if, for every state \(x\) in \(F\), there exists a trajectory starting from the initial states that reaches \(x\).
3. A subset \(F\) of states is attractive from a set of states \(E\) if and only if every state trajectory initialized in \(E\) reaches \(F\).

For a more complete review of the theoretical foundation of this approach, the reader may refer to [9], [7].

4.2.1 Specification of a Property

Using an extension of the SIGNAL language, named SIGNAL +, it is possible to express the properties to be checked, as well as the control objectives to be synthesized (see Section 5.2), in the SIGNAL program. The syntax is,

\[
\text{Sigali(Verif_Objective(\text{PROP}))}
\]

The keyword \text{Sigali} means that the subexpression has to be evaluated by SIGALI. The function \text{Verif_Objective} (it could be invariance, reachability, attractivity, etc.) means that SIGNAL has to check the corresponding property according to the Boolean \text{PROP}, which defines a set of states in the corresponding PDS. The complete
SIGNAL program is obtained by composing the process specifying the plant and the one specifying the verification objectives in parallel. Thus, the compiler produces a file which contains the polynomial dynamical system resulting from the abstraction of the complete SIGNAL program and the algebraic verification objectives. This file is then interpreted by SIGALI. Suppose that, for example, we want a SIGNAL program named “system” to check the attractivity of the set of states where the Boolean PROP is true. Then the corresponding SIGNAL + program is:

```plaintext
(| system() (physical model specified in Signal) |
 | PROP: definition of the Boolean PROP in Signal |
 | Sigali(Attractivity(True(PROP))) |
)
```

The corresponding SIGALI file, obtained after compilation of the SIGNAL program, is:

```plaintext
read(‘‘system.z3z’’); => loading of the PDS
Set_States = True(PROP);
=> Compute the states where PROP is true
Attractivity(S, Set_States);
=> Check for the attractivity of
Set_States from the initial states.
```

The file “system.z3z” contains, in a coded form, the polynomial dynamical system that represents the system. Set_States is a polynomial that is equal to 0 when the Boolean PROP is true. The methods consist of verifying that the set of states where the polynomial Set_States takes the value 0 is attractive from the initial states (the answer is then true or false): Attractivity(S, Set_States). This file is then interpreted by SIGALI which checks the verification objective.

### 4.3 Verification of the Power Transformer Network

In this section, we apply the tools to check various properties of our SIGNAL implementation of the transformer station. After the translation of the SIGNAL program, we obtain a PDS with 60 state variables and 35 event variables. Its computation is realized in less than five seconds. Note that the compiler also checks the causal and temporal concurrency of our program and produces an executable code. We will now describe some of the different properties which have been proven.

#### 4.3.1 Property One

“There is no possibility to have a fault at the departure, arrival and link level when the link circuit-breaker is open.” In order to check this property, we add to the original specification the following code:

```plaintext
(| Error := ((Fault_Link or Fault_Arr_1 or Fault_Arr_1 or Fault_Dep_1 or Fault_Dep_2 or Fault_Dep_3 or Fault_Dep_4) when Open_Link) |
 | default false |
 | Error ^= Tick |
 | Sigali(Reachable(False(Error))) |
)
```

The Error signal is a Boolean which takes the value true when the property is violated. In order to prove the property, we have to check that there does not exist any trajectory of the system which leads to the states where the Error signal is true (Reachable(True(Error))). The produced file is interpreted by SIGALI which checks whether this set of states is reachable or not. In this case, the result is false, which means that the Boolean Error never takes the value true. The property is satisfied. In the same way, we proved similar properties when one of the arrival or departure circuit-breakers is open.

#### 4.3.2 Property Two

“If there exists a physical fault at the link level and if this fault is picked up by its sensor, then the arrival sensors cannot detect a fault.” We show here the property for the arrival cell 1. It can be expressed as an invariance of a set of states.

```plaintext
(| Error := (Fault_Arr_1 when Fault_Link_E) |
 | default false |
 | Error ^= Tick |
 | Sigali(Invariance(False(Error))) |
)
```

We have proven similar properties for a departure fault as well as when a physical fault appears at the arrival level and at the departure level at the same time.

#### 4.3.3 Property Three

Using the same methods, we also proved the following property: “If a fault occurs at a departure level, then it is automatically seen by the upstream sensors when no other fault exists at a higher level.”

All the important properties of the transformer station network have been proven in this way. Note that the cell behaviors have also been proven (see [7], [12] for more details).

### 5 Automatic Controller Synthesis Methodology

#### 5.1 Controllable Polynomial Dynamical System

Before speaking about control of polynomial dynamical systems, we first need to introduce a distinction between the events. From now on, we distinguish between the uncontrollable events, which are sent by the system to the controller, and the controllable events, which are sent by the controller to the system.

A polynomial dynamical system $S$ is now written as:

$$
S: \begin{cases}
Q(X, Y, U) = 0 \\
X' = P(X, Y, U) \\
Q_0(x_0) = 0,
\end{cases}
$$

where the vector $X$ represents the state variables; $Y$ and $U$ are respectively the set of uncontrollable and controllable event variables. Such a system is called a controllable polynomial dynamical system. Let $n$, $m$, and $p$ be the respective dimensions of $X$, $Y$, and $U$. The trajectories of a controllable

2. Alternatively, this property could be also expressed as the invariance of the Boolean False(Error), namely Sigali(Invariance(False(Error))).
system are sequences \((x_t, y_t, u_t)\) in \((\mathbb{Z}/2\mathbb{Z})^{n+m+p}\) such that 
\(Q_0(x_0) = 0\) and, for all \(t\), \(Q(x_t, y_t, u_t) = 0\) and \(x_{t+1} = P(x_t, y_t, u_t)\). The events \((y_t, u_t)\) include an uncontrollable component \(y_t\) and a controllable one \(u_t\). We have no direct influence on the \(y_t\) part, which depends only on the state \(x_t\), but we observe it. On the other hand, we have full control over \(u_t\) and we can choose any value of \(u_t\) which is admissible, i.e., such that \(Q(x_t, y_t, u_t) = 0\).

To distinguish the two components, a vector \(y \in (\mathbb{Z}/2\mathbb{Z})^m\) is called an event and a vector \(u \in (\mathbb{Z}/2\mathbb{Z})^p\) a control. From now on, an event \(y\) is admissible in a state \(x\) if there exists a control \(u\) such that \(Q(x, y, u) = 0\); such a control is said compatible with \(y\) in \(x\).

### 5.1.1 The Controllers

A PDS can be controlled by first selecting a particular initial state \(x_0\) and then by choosing suitable values for \(u_1, u_2, \ldots, u_t, \ldots\). Here we will consider control policies where the value of the control \(u_t\) is instantaneously computed from the value of \(x_t\) and \(y_t\). Such a controller is called a static controller. It is a system of two equations:

\[\begin{align*}
C(X, Y, U) &= 0 \\
C_0(X_0) &= 0
\end{align*}\]

The equation \(C_0(X_0) = 0\) determines initial states satisfying the control objectives and the other one describes how to choose the instantaneously controls. When the controlled system is in state \(x\), and when an event \(y\) occurs, any value \(u\) such that \(Q(x, y, u) = 0\) and \(C(x, y, u) = 0\) can be chosen. The behavior of the system \(S\) composed with the controller is then modeled by the system \(S_c\).

\[S_c = \begin{cases} 
X' = P(X, Y, U) \\
Q(X, Y, U) = 0 & C(X, Y, U) = 0 \\
Q_0(X_0) = 0 & C_0(X_0) = 0.
\end{cases}\]

However, not every controller \((C, C_0)\) is acceptable. First, the controlled system \(S_c\) has to be initialized. Thus, the equations \(Q_0(X_0) = 0\) and \(C_0(X_0) = 0\) must have common solutions. Further, due to the uncontrollability of the events \(Y\), any event that the system \(S\) can produce must be admissible by the controlled system \(S_c\). This remark leads to the definition of acceptable controller: be acceptable.

**Definition 2.** An acceptable controller for a system \(S\), defined by (2), is given by an initial constraint equation \(C_0(X_0) = 0\) and a control equation \(C(X, Y, U)\) such that:

1. The initial constraints \(C_0(X_0) = 0\) and \(Q_0(X_0) = 0\) have common solutions;
2. For all the states \(x\) which can be reached during the evolution of the controlled system, any uncontrollable event \(y\) admissible in \(x\) for the initial system \(S\) is also admissible for the controlled system \(S_c\).

### 5.2 Traditional Control Objectives

We now illustrate the use of the framework for solving a control synthesis problem we shall reuse in the sequel.

Suppose we want to ensure the invariance of a set of states \(\mathcal{O}\). Let \(\mathcal{O}_S\) be the orbit of the controller system. The problem is to compute \((C, C_0)\) such that \(\mathcal{O}_S \subseteq \mathcal{O}\). Assume that there exists an acceptable controller \((C, C_0)\) which satisfies this property. In this case, we know that:

1. \(\mathcal{O}_S \subseteq \mathcal{O}\)
2. \(\mathcal{O}\) invariant for \(S_c\), which means that

\[
\forall x \in \mathcal{O}_S, \forall y \in (\mathbb{Z}/2\mathbb{Z})^m, \forall u \in (\mathbb{Z}/2\mathbb{Z})^p, Q(x, y, u) = 0 \\
\text{and } C(x, y, u) = 0 \Rightarrow P(x, y, u) \in \mathcal{O}_S.
\]

Now, let \(x\) be a state of \(\mathcal{O}_S\) and \(y\) an event admissible in \(x\) by the system \(S\). Since \((C, C_0)\) constitutes an acceptable controller, \(y\) is also admissible in \(x\) for the controlled system \(S_c\). Then, there exists a command \(u\) such that \(Q(x, y, u) = 0\) and \(C(x, y, u) = 0\), and, for this command, \(P(x, y, u)\) belongs to \(\mathcal{O}_S\). For the system \(S\), \(\mathcal{O}_S\) possesses a property similar to invariance. We say that \(\mathcal{O}_S\) is U-invariant under control for the system \(S\).

**Definition 3.** A set of states \(O\) is U-invariant under control for a system \(S\) if, for every state \(x\) in \(\mathcal{O}\) and every event \(y\) admissible in \(x\), there exists a command \(u\) such that \(Q(x, y, u) = 0\) and \(P(x, y, u) \in \mathcal{O}\).

If there exists an acceptable controller which ensures the invariance of \(\mathcal{O}\), then the orbit \(\mathcal{O}_S\) of \(S\) is included in \(\mathcal{O}\) and is U-invariant under control for \(S\). It is also necessary to have some initial states of \(S\) included in \(\mathcal{O}\) (\(\mathcal{O} \cap V(<Q_0>) \neq \emptyset\)), where \(V(<Q_0>) = \{x/Q_0(x_0) = 0\}\). The essential result is that these three properties are sufficient.

**Theorem 1.** Given a controlled system \(S\) and \(\mathcal{O}\), a set of states \(S\), there exists an acceptable controller which ensures the invariance of \(\mathcal{O}\) if and only if there exists a set of states \(E\) such that:

1. \(E \subseteq \mathcal{O}\),
2. \(E \cap V(<Q_0>) \neq \emptyset\),
3. \(E\) is U-invariant under control for \(S\).

**Proof.** We have already shown that the conditions are necessary. Conversely, assume there exists a set \(E\) which satisfies the three properties. Let \(C_0\) be a principal generator of \(E\) and \(C = P(C_0)\), where \(P(g)\) is the polynomial which has, as solutions, the set \(\{(x, y, u) / P(x, y, u) \text{ is solution of } g\}\), which is called comorphism of \(g\) by construction, we have

\[
\begin{cases} 
C_0(x_0) = 0 & x_0 \in E, \\
C(x, y, u) = 0 & \iff P(x, y, u) \in E.
\end{cases}
\]

It follows that the orbit of \(S_c\) is included in \(E\) and, hence, in \(\mathcal{O}\), the controller \((C, C_0)\) ensures the invariance of \(\mathcal{O}\). Now, let \(x\) be a state in the orbit of \(S\), and \(y\) an event admissible in \(x\) for \(S\). \(x\) is also an element of \(E\) and, since \(E\) is U-invariant under control, there exists a command \(u\) such that \(Q(x, y, u) = 0\) and \(P(x, y, u) \in E\). This is equivalent to \(Q(x, y, u) = 0\) and \(C(x, y, u) = 0\). \(y\) is

4. We recall that the orbit of a polynomial dynamical system \(S\) is the set of states that are reachable from one of the initial states (i.e., the solutions of the polynomial \(Q_0(X_0) = 0\)).
then also admissible in $x$ for $S$. Since the condition $E \cap \mathcal{V}(Q_0) \neq \emptyset$ means that $C_0(X_0) = 0$ and $Q_0(X_0) = 0$ have common solutions, the controller $(C, C_0)$ is acceptable.

The proof gives an algorithm to obtain a controller, ensuring the invariance of a set of states $O$. It suffices to find a U-invariant under control subset of $O$ which satisfies the initialization condition (a2). $O$ contains at least one U-invariant under control, the empty set. It is easy to see that the union of two U-invariant under control sets is also U-invariant under control. As a consequence, there exists a greatest U-invariant under control subset of $O$. Let $E$ be this subset. If $E$ satisfies the condition (a2), then control equations can be obtained from the principal generator of $E$, else no subset of $O$ can satisfy condition (a2) and the problem has no solution.

The computation of $E$ uses the operator $\overline{p_e}$ defined by, for any set of states $F$,

$$\overline{p_e}(F) = \{ x \in (\mathbb{Z}/\mathbb{Z})^n / \forall y \in (\mathbb{Z}/\mathbb{Z})^m, Q'(x, y) = 0 \} \Rightarrow \exists u, Q(x, y, u) = 0 \text{ and } P(x, y, u) \in F \},$$

where the solutions of the polynomials $Q'$ are the set $\{(x, y)/\exists u, Q(x, y, u) = 0\}$. From Definition 1, it is clear that a set of states $F$ is U-invariant under control if and only if $F \subseteq \overline{p_e}(F)$. The greatest U-invariant under control subset of $O$ is obtained by constructing the sequence $(E_i)_{i \in \mathbb{N}}$ defined by,

$$\begin{align*}
E_0 &= O \\
E_{i+1} &= E_i \setminus \overline{p_e}(E_i).
\end{align*}$$

The sequence is decreasing. Since all sets $E_i$ are finite, there exists an index $j$ such that $E_{j+1} = E_j$. The set $E_j$ is the greatest U-invariant under control subset of $O$; $E$ is equal to $E_j$. In practice, we transform this computation to an equivalent sequence of polynomials $(g_j)_{j \in \mathbb{N}}$, where $g_j$ is a polynomial having as solutions the set of states $E_j$ [13], [10]. Once obtained, the greatest U-invariant under control subset $E$ of $O$, the controller is simply given by the system of equations described by (5).

Using similar methods, we are also able to compute controllers $(C, C_0)$ that ensure,

- the global reachability (resp. attractivity) of a set of states from the initial states of the system.
- the persistence of a set of states. A set of states $E$ if it is attractive from the initial states and if $E$ is invariant.
- the recurrence of a set of states. A set of states $E$ is recurrent if it is visited infinitely often.

We can also consider control objectives that are conjunctions of basic properties of state trajectories. However, basic properties cannot, in general, be combined in a modular way. For example, an invariance property puts restrictions on the set of state trajectories which may not be compatible with an attractivity property. The synthesis of a controller insuring both properties must be effected by considering both properties simultaneously and not by combining a controller insuring safety with a controller insuring attractivity independently. For more details on the way controllers are synthesized, the reader may refer to [10].

### 5.2.1 Specification of the Control Objectives

As for verification (Section 4), the control objectives can be directly specified in SIGNAL + program, using the key-word Sigali. For example, if we add in a SIGNAL program the line

```plaintext
Sigali (S_Reachability(S, PROP))
```

the compiler produces a file that is interpreted by SIGALI which computes the controller with respect to the control objective. In this particular case, the controller will ensure the reachability of the set of states $Set$ States, where $Set$ States is a polynomial that is equal to zero when the Boolean PROP is true. The result of the controller synthesis is a polynomial that is represented by a Ternary Decision Diagram (TDD). This TDD is then saved in a file that could be used to perform a simulation [14].

### 5.2.2 Application to the Transformer Station

We have seen in the previous section that one of the most critical requirements concerns the double fault problem. We assume here that the circuit-breakers are ideal, i.e., they immediately react to actuators (i.e., when a circuit-breaker receives an opening/closing request, then, at the next instant, the circuit-breaker is opened/closed). With this assumption, the double fault problem can be rephrased as follows:

*If two faults are picked up at the same time by two different departure cells, then, at the next instant, one of the two faults (or both) must disappear.*

In order to synthesize the controller, we assume that the only controllable events are the opening and closing requests of the different circuit-breakers. The other events concern the appearance of the faults and cannot be considered controllable. The specification of the control objective is then:

```plaintext
1 2_Fault ::= when (Fault_Dep_1 and Fault_Dep_2)
    default when (Fault_Dep_1 and Fault_Dep_3)
    default when (Fault_Dep_1 and Fault_Dep_4)
    default when (Fault_Dep_2 and Fault_Dep_3)
    default when (Fault_Dep_2 and Fault_Dep_4)
    default when (Fault_Dep_3 and Fault_Dep_4)
    default false

1 Z_2_Fault ::= 2_Fault $1 init false
1 Error ::= 2_Fault and Z_2_Fault
1 Sigali (S_Invariance(S, False(Error)) 1)
```

The Boolean 2_Fault is true when two faults are present at the same time and is false otherwise. The Boolean Error is true when two faults are present at two consecutive instants. We then ask SIGALI to compute a controller that forces the Boolean Error to always be false (i.e., whatever the behavior, there is no possibility for the controlled system to reach a state where Error is true). The SIGNAL compiler translates the SIGNAL program into a PDS and the control objectives in terms of polynomial relations and polynomial operations. Applying the algorithm, described by the fixed-point computation (7), we are able to synthesize a controller $(C_1, C_0)$, that ensures the invariance of the set of states where the Boolean Error is true for the controlled system.
$S_G = S + (C_1, C_0)$. The result is a controller coded by a polynomial and, therefore, by a TDD.

Using the controller synthesis methodology, we solved the double fault problem. However, some requirements have not been taken into account (importance of the lines of the circuit-breakers, etc., ...). These kind of requirements cannot be solved using traditional control objectives such as invariance, reachability, or attractivity. In the next section, we will handle this kind of requirements, using control objectives expressed as order relations.

5.3 Numerical Order Relation Control Problem

We now present the synthesis of control objectives that considers the way to reach a given logical goal. These kind of control objectives will be useful in the sequel to express some properties of the power transformer station controller as the one dealing with the importance of the different circuit-breakers. For this purpose, we introduce cost functions on states. Intuitively speaking, the cost function is used to express priority between the different states that a system can reach in one transition. Let $S$ be a PDS as the one described by (2). Let us suppose that the system evolves into a state $x$ and that $y$ is an admissible event at $x$. As the system is generally not deterministic, it may have several controls $u$ such that $Q(x, y, u) = 0$. Let $u_1$ and $u_2$ be two controls compatible with $y$ in $x$. The system can evolve into either $x_1 = P(x, y, u_1)$ or $x_2 = P(x, y, u_2)$. Our goal is to synthesize a controller that will choose between $u_1$ and $u_2$ in such a way that the system evolves into one of the states that are maximal for the relation $R_x$. To do so, we introduce a new order relation $\succ_c$ defined from the order relation $\succ$.

\[ (x, y, u) \succ_c (x', y', u') \iff \begin{cases} x = x' \\ y = y' \\ P(x, y, u) \succ_c P(x, y, u') \end{cases} \]  

(10)

In other words, a triple $(x, y, u)$ is "better" than a triple $(x', y', u')$ whenever the state $P(x, y, u)$ reached by choosing the control $u$ is better than the state $P(x, y, u')$ reached by choosing the control $u'$.

We will now compute the maximal triples of this new order relation among all the triples. To this effect, we use $I = \{(x, y, u) \in (\mathbb{Z}/3\mathbb{Z})^{n+m+p} \mid Q(x, y, u) = 0\}$, the set of admissible triples $(x, y, u)$. The maximal set of triples $I_{\text{max}}$ is then provided by the following relation:

\[ I_{\text{max}} = I \backslash \{(x, y, u) \mid \exists (x, y, u') \in I, (x, y, u) \succ_c (x, y, u')\} \]  

(11)

The characterization of the set of states $I_{\text{max}}$ in terms of polynomials is the following:

**Proposition 2.** The polynomial $C$ that has $I_{\text{max}}$ as solutions is given by:

\[ C(X, Y, U) = Q(X, Y, U) \oplus (1 - \exists \text{elim}_U(Q(X, Y, U'), R_x(P(X, Y, U'), P(X, Y, U)))) \]

where the solutions of $\exists \text{elim}_U(Q(X, Y, U')$ are given by the set $\{(x, y)/\exists u', Q(x, y, u') = 0\}$.

Using this controller, the choice of a control $u$, compatible with $y$ in $x$, is reduced such that the possible successor state is maximal for the (partial) order relation $\succ_c$. Note

---

5. To compute efficiently such polynomials, it is important to use the Arithmetic Decision Diagrams (ADD) developed, for example, by [15].
that if a triple \((x, y, u)\) is not comparable with the maximal
element of the order relation \(\succeq\), the control \(u\) is allowed by
the controller (i.e., \(u\) is compatible with the event \(y\) in the
state \(x\)).

Without control, the system can start from one of the
initial states of \(I_0 = \{x_0 / Q_0(x_0) = 0\}\). To determine the
new initial states of the system, we will take the ones that
are the maximal states (for the order relation \(R_\gamma\)) among all
the solutions of the equation \(Q_0(x_0) = 0\). This computation
is performed by removing from \(I_0\) all the states for which
there exist at least one smaller state for the strict order
relation \(\succ\). Using the same method as the one previously
described for the computation of \(C\), we obtain a polynomial
\(C_0\). The solutions of this polynomial are the states that are
maximal for the order relation \(\succeq\).

Theorem 2. With the preceding notations, \((C, C_0)\) is an
acceptable controller for the system \(S\). Moreover, the controlled
system \(S_C = (S + (C, C_0))\) adopts the control policy of
Definition 5.

Some other characterization of order relations in terms of
polynomials can be found in [10]. Finally, note that the
notion of numerical order relation has been generalized
over a bounded states trajectory of the system, retrieving
the classical notion of Optimal Control [16].

5.3.2 Application to the Power Transformer Station
Controller
We have seen in Section 5.2 how to compute a controller
that solves the double fault problem. However, even if this
particular problem is solved, other requirements had not
been taken into account. The first one is induced by the
obtained controller itself. Indeed, several solutions are
available at each instant. For example, when two faults
appear at a given instant, the controller can choose to open
all the circuit-breakers or, at least, the link circuit-breaker.
This kind of solutions is not admissible and must not be
considered. The second requirements concern the impor-
tance of the lines. The first controller \((C_1, C_0)\) does not
handle this kind of problems and can force the system to
open the bad circuit-breakers.

As a consequence, two new requirements must be added
in order to obtain a real controller.

1. The number of opened circuit-breakers must be
minimal.
2. The importance of the lines (and of the circuit-
breakers) has to be different.

These two requirements introduce a quantitative aspect to
the control objectives. We will now describe the solutions
we proposed to cope with these problems.

First, let us assume that the state of a circuit-breaker is
coded with a state variable according to the following
convention: The state variable \(i\) is equal to one if and only if
the corresponding circuit-breaker \(i\) is closed. \(CB\) is then a
vector of state variables which collects all the state variables
encoding the states of the circuit-breakers. To minimize the
number of open circuit-breakers and to take into account
the importance of the line, we use a cost function \(f\). We
simply encode the fact that the more important is the
circuit-breaker, the cost allocated is larger compared to the
state variable which encodes the circuit-breaker. Fig. 8
summarizes the way we allocate the cost.

The cost allocated to each state variable corresponds to
the cost when the corresponding circuit-breaker is opened.
When it is closed, the cost is equal to zero. The cost of a
global state is simply obtained by adding all the circuit-
breaker costs. With this cost function, it is always more
expensive to open a circuit-breaker at a certain level than to
open all the downstream circuit-breakers. Moreover, the
cost allocated to the state variable that encodes the second
departure circuit-breaker (encoded by the state variable
\(X_{dep2}\)) is larger than the others because the corresponding
line supplies a hospital (for example). Finally, note that the
cost function is minimal when the number of open circuit-
breakers is minimal.

Let us consider the system \(S_{C_1} = S + ((C_1, C_0))\). We then
introduce an order relation over the states of the system. A
state \(x_1\) is said to be better compared to a state \(x_2\) if \(x_1 \succeq x_2\)
and only if, for their corresponding subvectors \(CB_1\) and
\(CB_2\), we have \(CB_1 \succ CB_2\). This order relation is then
translated in an algebraic relation \(R_{\gamma}\), following (8) and (9)
and, by applying the construction described in Proposition
2 and Theorem 2, we obtain a controller \((C_2, C_0)\) for which
the controlled system \(S_{C_1} = (S_{C_1} + (C_2, C_0))\) respects the
control strategy.

6 Conclusion
In this paper, we described the incremental specification of
a power transformer station controller using the control
theory concepts of the class of polynomial dynamical
systems over \(\mathbb{Z} / \mathbb{Z}\). Because this model results from the
translation of a SIGNAL program [1], we have a powerful
environment to describe the model for a synchronous data-
flow system. Even if classical control can be used, we have
shown that, using the algebraic framework, the optimal
control synthesis problem is possible. The order relation
controller synthesis technique can be used to synthesize
control objectives which relate more to the way to get to a
logical goal than to the goal to be reached.
### APPENDIX A

#### THE SIGALI TOOLBOX

The SIGALI tool box offers algebraic polynomial computation functionalities. It relies on an implementation of polynomials by Ternary Decision Diagram (TDD) for three valued logics in the same spirit of BDD [11], but where the paths in the data structures are decorated by values in \{-1, 0, 1\} instead of \{0, 1\}.

From a practical point of view, a polynomial dynamical system can be obtained for “free” provided we have specified the system in the high level language SIGNAL [1, 18]. In fact, the equational nature of SIGNAL leads naturally to the use of a method based on polynomial dynamical equation systems over \(\mathbb{Z} / \mathbb{Z}\) as a formal model of program behavior [7]. The model essentially expresses Boolean data and synchronizations. There exists a lot of examples using SIGALI for SIGNAL programs, among them, a production cell [19], a power transformer station controller [7], an experiment with reactive tasking in active robot-vision [20], etc., ...

### A.1 Some Elements of the Sigali Syntax

#### A.1.1 The Basic Syntax

We can write polynomial expressions, lists of polynomials, etc. All the usual polynomial operations are also available (+, -, *, ...). For example, the polynomial \(a^2(-b-b^2)\) is written \(a^\text{2}\n(-b-b^\text{2})\). The list of variables, polynomials, equations, etc., is written as follows: \([a, b, c, d]\) is a set of variables, \([a+b, c+d]\) is a list of polynomials, and \([a+b=x, a+d^2=2b^2]\) is an equation system. As for the polynomial operations, all the possible operations over lists have been defined (union, intersection, complementary, etc., ...). The function call is classically written \(f(x, y, z)\). For example, in order to check the invariance of a set of states defined by a polynomial \(g\) w.r.t. an ILTS \(S\), we write:

\[
\text{Invariant}(S, g);
\]

The result of such a computation is either \textit{true} or \textit{false}.

The affection is simply written as follows:

\[
\text{symbol} : \text{expression};
\]

For example, \(g: \text{gen(}([a+b=x, a*d^2=2b^2])\) will attach the name \(g\) to the principal generator of this equation system (which is then computed).

Fix point computation can also be performed. For example, given: \(p_0 = 0, p_{i+1} = p_i^2 + 1\), the corresponding expression in SIGALI is \(\text{loop } x=x^2+1/\text{init } 0;\). Of course, such sequences do not always converge. This is not checked by the system.

#### A.1.2 Some Useful Functions.

There exist more than 120 different functions that belong to the kernel of the SIGALI languages. We just provide the one that is used in Sections 1 and 2 (See Table 3).

Moreover, starting from the existing functions, it is also possible to define new functions. The syntax is the following: \(\text{def } f(x, y, z): \text{expression};\)

### A.2 Ensuring the Invariance.

We here assume that the polynomial dynamical system has already been defined in SIGALI. It is formally given by:

\[
S : \begin{cases}
Q(X, Y, U) = 0 \\
X' = P(X, Y, U) \\
Q_0(X_0) = 0,
\end{cases}
\]

where \(X, X', Y\) and \(U\) are lists of variables, \(P\) is a list of polynomial functions, \(Q\) is a polynomial, as well as \(Q_0\). The complete PDS \(S\) is stored in another list given by

\[
S : \text{system}(\text{union}_\text{Lvar}(Y, U), X, P, \text{Init}, Q);
\]

To compute the controller that ensures the invariance of a set of states modeled by a polynomial \(\text{Prop}\), we first need to compute the operator \(\text{pre}\). It is done as follows:

\[
\text{def pre}\_\text{cont}(x) : \\
\text{forall}(Y, \text{implies}(\text{exists}(U, Q), \\
\text{exists}(U, \text{intersection}(Q, \\
\text{comor}(x)))));
\]

Using this operator and a fix point computation, according to (7), we are able to compute the greatest \(U\) invariant under control of a given set of states, say \(E\) (\(E\) as to be understood as a polynomial).
def S_Invariant(E):
    loopy = intersection(E, Pre_cont(y)) init E;
Finally, according to Section 5.2 a controller is given by:
Co : S_Invariant(E); C : comor (Co);
and the controlled system by:
S_c : system(union_lvar(Y,U), X, P,
             intersection(Co,Init),
             intersection(Q,C));

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