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Cyclic production in regular robotic cells: A counterexample to the 1-cycle conjecture

Florence Thiard, Nicolas Catusse, Nadia Brauner

July 15, 2014

1 Linear and circular robotic cells

Robotic flow-shops consists in m machines disposed in linear, semi-circular or circular layout, served by a robotic arm. We focus on the case where all the parts to produce are identical. The objective is to maximize the throughput of the cell. A survey on robotic cells can be found in [2].

The robotic cells we consider are composed of m bufferless machines, denoted by $M_1, M_2 \dots M_m$, an entry buffer IN and an exit buffer OUT . The parts must be processed on each of the machines $M_1, M_2 \dots M_m$ in that order. The parts are available in infinite quantity at buffer IN ; likewise, the exit buffer OUT has infinite capacity.

We represent the entry buffer IN and the exit buffer OUT by two auxiliary machines, respectively M_0 and M_{m+1} . In a cell with circular layout, IN and OUT are in the same place: $M_0 = M_{m+1}$. Figure (1a) shows an example of a 3-machine semi-circular cell, while figure (1b) shows a 3-machine circular cell.

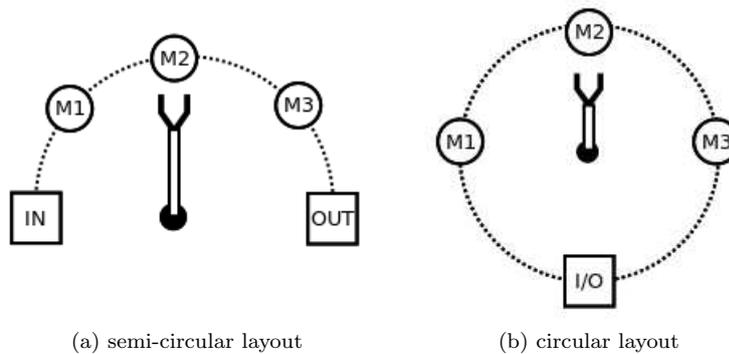


Figure 1: 3-machine robotic cells

We focus on the single-gripper robot, bufferless case, which means the robot and the machines $M_1 \dots M_m$ can only handle one part at a time. Thus, the robot has to be empty to pick up a part from a machine.

We operate with unbounded waiting policy (once processed, a part can stay on a machine as long as necessary) and additive travel times.

In this work, we make two further assumptions: we assume that the cell is balanced, meaning that the processing times on machines $M_1 \dots M_m$ are the same, and regular, which means that the distance between two consecutive machines is always the same. In this case, the problem's input consists of four numbers: m the number of machine, p the processing time, δ the travel time between two consecutive machines, and ϵ the loading/unloading time.

In a cell with linear or semi-circular layout verifying these assumptions, the travel time between 2 machines M_i and M_j is $\delta_{i,j} = |i - j|\delta$. In a cell with circular layout, the travel time between 2 machines is defined by the shortest path along the circle, so $\delta_{i,j} = \min(|i - j|, m + 1 - |i - j|)\delta$. So far, circular layouts have been less studied than linear (or semi-circular) layouts, and some important results on the latter are not applicable to the former.

We consider cyclic robot moves. A k -cycle is a production cycle of exactly k parts: during one iteration of the cycle, k parts enter the cell through M_0 , k parts exit the cell through M_{m+1} , and the cells returns to the same state (same machines loaded, same machines empty, and same position of the robot). In particular, 1-cycles are production cycles of 1 part.

To describe the cycles we will use the concept of activities, introduced in [8]. For $i \in \{0 \dots m\}$, activity A_i refers to the following sequence of events:

- The robot unloads a part from M_i ;
- The robot travels to M_{i+1} ;
- The robot loads the part onto M_{i+1} .

A k -cycle can be described as a sequence of activities. The authors in [8] showed that a k -cycle is a sequence in which each activity A_i occurs exactly k times between A_{i-1} and A_{i+1} (in a cyclic sense), for $i \in \{1 \dots m - 1\}$. More specifically a 1-cycle can be described as a permutation of activities A_0, \dots, A_m [11].

A cycle is optimal if it minimizes the cycle time over the number of parts produced in one iteration $\frac{T(C_k)}{k}$. A set S of cycle is dominant if, for any instance, there exists a cycle of S that is optimal.

1-cycles are of a special interest as it is shown in [7] that in a linear cell, the best 1-cycle can be found in polynomial time. However, this result is not applicable to circular cells. Rajapakshe *et al.* in fact proved in [10] that finding the best 1-cycle is NP-hard, for cells with a similar circular-type layout.

2 Dominant set of cycles : the 1-cycle conjecture

In [11], the authors state the following conjecture, and state it is true for 2 machine cells :

Conjecture 2.1 (1-cycle conjecture) *The set of 1-cycle is dominant*

For linear, regular, unbounded cells, the authors in [8] and [4] proved that the conjecture is true for 3 machines, but a counterexample for 4-machines is showed in [5]. In the balanced case, the conjecture has been proven true for up to 6 machines [5, 3]. According to [2], the proof can be extended up to 15 machines.

We provide a counterexample to the 1-cycle conjecture for the circular case.

Theorem 2.1 *In a regular balanced unbounded 6-machine cell with circular layout, the 2-cycle*

$$C = (A_0A_3A_2A_5A_1A_4A_3A_6A_0A_2A_5A_4A_1A_6)$$

dominates all 1-cycles for the following instance:

$$\delta = 1 \quad \epsilon = 0 \quad p = 11$$

In order to prove Theorem 2.1, we study some classical cycles and establish some necessary properties of dominant cycles. In the following, we always assume that $\epsilon = 0$ and neglect this parameter. As the instance in Theorem 2.1 has $\epsilon = 0$, this assumption is sufficient for the proof. As the 2-machine case has been completely solved for both linear and circular layout, we will also always assume that $m \geq 3$.

2.1 Lower bounds on the cycle times

First, we present two classical lower bounds, valid both for linear and circular layout. The formulation is adapted to the regular balanced case.

Property 2.1 [6] *Any k -cycle c verifies*

$$T(c) \geq k(p + 4\delta) \tag{1}$$

The intuition behind this bound is the minimum time between two loadings of the same machine.

Property 2.2 [9] *Any k -cycle c verifies*

$$T(c) \geq k((m + 1)\delta + \min(p, \delta)) \tag{2}$$

Intuitively, if an activity is immediately followed by the consecutive activity, the robot waits p ; if not, it adds at least δ to its minimum travel time.

Now, we introduce the following notations, for every cycle c :

- $\Delta(c)$ is the total travel time of the robot,
- $d_{i,k}(c)$ is the travel time of the robot between the k -th loading of machine M_i and its subsequent unloading (in a cyclic sense).
- $d_{min}(c) = \min_{i,k}(d_{i,k}(c))$

The following lower bound is actually a lower bound on the cycle-time of a given cycle, depending on this parameter.

Property 2.3 *For any cycle c , the cycle time verifies*

$$T(c) \geq \Delta(c) + \max(0, p - d_{min}(c)) \tag{3}$$

Proof

It is easy to see that we have $T(c) \geq \Delta(c)$.

Let $(i_0, k_0) = \arg \min_{i,k} (d_{i,k}(c))$. Between the k_0 -th loading of M_{i_0} and its subsequent unloading, the robot travels d_{min} , but there must be at least p units of time for the part to be processed. So, if $p \geq d_{min}$, somewhere between the loading and the unloading, the robot must wait (additionally to its travel time) at least $p - d_{min}$ units of time. Hence $T(c) \geq \Delta(c) + \max(0, p - d_{min}(c))$ \square

2.2 Some classical cycles

Identity cycle

We call identity cycle (also named uphill permutation or forward cycle in the literature) the cycle $\pi_{id} = (A_0 A_1 \dots A_m)$. The cycle time of this cycle is

$$T(\pi_{id}) = (m + 1)\delta + mp \quad (4)$$

In this cycle, the robot circles the cell once. Intuitively, this cycle is interesting for instances for which p is much smaller than δ . From the lower bound in Property 2.2, one can immediately derive the following:

Property 2.4 *If $p \leq \delta$, then the identity cycle π_{id} is optimal.*

Downhill cycle

We call downhill cycle (also named reverse cycle) the cycle $\pi_d = (A_0 A_m A_{m-1} \dots A_1)$. The cycle time of this cycle is

$$T(\pi_d) = 3(m + 1)\delta + \max(0, p - (3m - 1)\delta) \quad (5)$$

In this cycle, each spot is visited by the robot three times. Intuitively, this cycle is interesting for instances for which p is much greater than δ . From the lower bound in Property 2.1, one can easily derive the following:

Property 2.5 *If $p \geq (3m - 1)\delta$, then the downhill cycle π_d is optimal.*

Odd-Even cycle

In circular cells, a third 1-cycle of particular interest is the odd-even cycle, defined as such:

For m even,

$$\pi_{oe} = (A_0 A_2 A_4 \dots A_m A_1 A_3 A_5 \dots A_{m-1})$$

And for m odd,

$$\pi_{oe} = (A_0 A_2 A_4 \dots A_{m-1} A_1 A_3 A_5 \dots A_m).$$

In this cycle, the robot circles around the cell twice: the first time performing even activities (thus loading odd machines), the second time performing odd activities (thus loading even machines).

The cycle time for the odd-even cycle is

$$T(\pi_{oe}) = 2(m + 1)\delta + \frac{2\alpha - 1}{\alpha} \max(0, p - (m + 1)\delta) \quad \text{with } m = \begin{cases} 2\alpha & \text{if } m \text{ even} \\ 2\alpha - 1 & \text{if } m \text{ odd} \end{cases}$$

Proof

Consider α consecutive iterations of π_{oe} . The robot travels $2(m+1)\alpha\delta$, circling the cell 2α times. Let us follow the path of one same part in the cell.

During the first loop, the part M_1 is loaded with A_0 . It is then unloaded during the second loop with A_1 . Between the loading and the unloading, the robot travels $(m+1)\delta$ and the piece must stay on M_1 at least p , so the robot must wait $\max(0, p - (m+1)\delta)$. Similarly, during any loop, the part is loaded on a machine, then unloaded and taken to the next machine during the next loop, and the robot must wait $\max(0, p - (m+1)\delta)$. If m is odd, the part exits on the last loop. If m is even, it is loaded on M_m during the last loop.

Eventually, for this one part, the robot must wait at least $(2\alpha - 1)\max(0, p - (m+1)\delta)$ over α iterations of the cycle. Therefore,

$$T(\pi_{oe}) \geq 2(m+1)\delta + \frac{2\alpha - 1}{\alpha} \max(0, p - (m+1)\delta)$$

Now, let us call w_j^i the waiting time of the robot at machine M_j during the i -th iteration of cycle π_{oe} , and $W^i = (w_1^i, \dots, w_m^i)$. Let $a = p - (m+1)\delta$.

Case 1: m odd $\pi_{oe} = (A_0A_2A_4 \dots A_{m-1}A_1A_3A_5 \dots A_m)$ The waiting times at iteration i are :

$$\left\{ \begin{array}{l} w_{2j}^i = \max(0, a - \sum_{k=1+j}^{\alpha} w_{2k-1}^{i-1} - \sum_{k=1}^{j-1} w_{2k}^i) \\ w_{2j-1}^i = \max(0, a - \sum_{k=j}^{\alpha-1} w_{2k}^i - \sum_{k=1}^{j-1} w_{2k-1}^i) \end{array} \right.$$

If $a \leq 0$ then all waiting times w are zero, and the cycle time is $2(m+1)\delta$.

If not, we can easily check that the vector $W_0 = (\frac{a}{\alpha}, \dots, \frac{a}{\alpha})$ is a fixed point of W . The corresponding cycle time is $2(m+1)\delta + \frac{2\alpha-1}{\alpha}a$

Case 2: m even $\pi_{oe} = (A_0A_2A_4 \dots A_mA_1A_3A_5 \dots A_{m-1})$

Similarly, the waiting times at iteration i are

$$\left\{ \begin{array}{l} w_{2j}^i = \max(0, a - \sum_{k=1+j}^{\alpha} w_{2k-1}^{i-1} - \sum_{k=1}^{j-1} w_{2k}^i) \\ w_{2j-1}^i = \max(0, a - \sum_{k=j}^{\alpha} w_{2k}^i - \sum_{k=1}^{j-1} w_{2k-1}^i) \end{array} \right.$$

If $a \leq 0$ then $W = 0$, and the cycle time is $2(m+1)\delta$.

If not, we can check that the vector $W_0 = (0, \frac{a}{\alpha}, \dots, \frac{a}{\alpha})$ is a fixed point of W . The corresponding cycle time is $2(m+1)\delta + \frac{2\alpha-1}{\alpha}a$

Finally,

$$T(\pi_{oe}) = 2(m+1)\delta + \frac{2\alpha - 1}{\alpha} \max(0, p - (m+1)\delta)$$

□

2.3 Best 1-cycle

In this section, we focus on the problem of the best 1-cycle. We seek the region of optimality (in the sense of optimality over all 1-cycles) of the three cycles presented in the previous section, and show some necessary properties of optimal 1-cycles in the remaining region. As the best 1-cycles are known for $m = 3$ and $m = 4$ (see [1]), in the following we assume $m \geq 5$.

In particular, for 6-machine cells satisfying our initial assumptions, we show that $\{\pi_{id}, \pi_{oe}, \pi_d\}$ form a set a dominant 1-cycles.

To make the following proofs more readable, we will use this notation for activities:

$$A_i = A_{i \bmod (m+1)}$$

2.3.1 Another lower bound...

Additionally to the lower bounds presented in Section 2.1 , we establish the following lower bound on 1-cycles:

Property 2.6 *If $p \geq \delta$, and π is a 1-cycle with $\pi \neq \pi_{id}$, then*

$$T(\pi) \geq 2(m+1)\delta \tag{6}$$

Proof Let $\pi \neq \pi_{id}$ be a 1-cycle different from the identity cycle.

Case 1: If π contains a sequence $A_i A_j$ with $j \neq i+2$ and $j \neq i+1$, then we have:

$$T(\pi) \geq (m+1)\delta + (m-1)\min(p, \delta) + 2\delta \geq 2(m+1)\delta$$

Case 2: If not, then π contains a sequence $A_i A_{i+2}$, and every other 2-element sub-sequence in π can be written $A_j A_{j+1}$ or $A_j A_{j+2}$. Then, we know that:

- The robot always travels in the same direction, forward. With $m \geq 3$, The shortest circular path from a machine M_j to M_{j+1} never requires to go backward.
- The robot travels at least 2 times between M_{i+1} and M_{i+2} . Once loaded, while performing activity A_{i+1} and once empty, while performing the sequence $A_i A_{i+2}$.

So, the robot, circles the cell at least twice:

$$T(\pi) \geq 2(m+1)\delta$$

□

2.3.2 Regions of optimality for classical cycles

In this section, we determine some values of parameter p , depending on δ , for which one of the 3 cycles π_{id} , π_{oe} and π_d is optimal.

Property 2.7

(i) *if $p \leq \frac{m+1}{m}\delta$, then the identity permutation π_{id} is optimal among 1-cycles.*

(ii) if $\frac{(m+1)}{m}\delta \leq p \leq (m+1)\delta$, then the odd-even cycle π_{oe} is optimal among 1-cycles.

(iii) if $p \geq 3(m-1)\delta$, the downhill permutation π_d is optimal among 1-cycles.

Proof

Property (iii) follows directly from bound 1.

For $p \leq \delta$, then from bound 2 π_{id} is optimal.

For $\delta \leq p \leq \frac{m+1}{m}\delta$, we have

$$T(\pi_{id}) = (m+1)\delta + mp \leq 2(m+1)\delta$$

and, from Property 2.6, for any 1-cycle π :

$$T(\pi) \geq 2(m+1)\delta \geq T_{\pi_{id}}$$

which implies (i).

Finally, for $\frac{(m+1)}{m}\delta \leq p \leq (m+1)\delta$, we have

$$T(\pi_{oe}) = 2(m+1)\delta$$

$$T(\pi_{id}) = (m+1)\delta + mp \geq 2(m+1)\delta$$

and for any 1-cycle $\pi \neq \pi_{id}$, from property 2.6:

$$T(\pi) \geq 2(m+1)\delta$$

which implies (ii). □

Now remains the case of instances where $(m+1)\delta \leq p \leq (3m-1)\delta$. This is the issue we address in the next section.

2.3.3 Necessary properties of optimal 1-cycles

We now assume that $(m+1)\delta \leq p \leq (3m-1)\delta$. We establish some properties a 1-cycle must satisfy in order to do better than both the odd-even cycle and the downhill permutation in this region.

Let π^* be a 1-cycle verifying, for $(m+1)\delta \leq p \leq (3m-1)\delta$,

$$\begin{cases} T(\pi^*) < T(\pi_{oe}) \\ T(\pi^*) < T(\pi_d) = 3(m+1)\delta \end{cases}$$

Property 2.8 π^* satisfies the following property:

(i) $2(m+1)\delta < \Delta(\pi^*) < 3(m+1)\delta$

(ii) π^* doesn't contain any sequence $A_i A_{i+1}$ with $i \neq m$

(iii) $d_{min}(\pi^*) > \Delta(\pi^*) - \frac{3\alpha-2}{2\alpha-1}(m+1)\delta$

We will prove this property through a series of claims.

Claim 2.1 π^* contains no sequence $A_i A_{i+1}$ with $i \neq m$.

Proof First, π^* contains at most one sub-sequence of two consecutive activities (except from the sequence $A_m A_0$), otherwise $T(\pi) \geq (m+1)\delta + 2p = 3(m+1)\delta$.

Let us assume now that it exists one and only one $i \neq m$ so that $A_i A_{i+1}$ is a sub-sequence of π^* . We can already deduce that $T(\pi^*) \geq (m+1)\delta + (m+1)\delta + (m-1)\delta$.

Case 1: $\pi^* = \dots A_m A_0 A_1 \dots$

In this case, there exist an index $j \notin \{m, 0\}$ et $k \notin \{0, 1\}$ so that $A_{m-1} A_j$ and $A_k A_2$ are two sub-sequences of π^* .

Case 2: $\pi^* = \dots A_{m-1} A_m A_0 \dots$

In this case, there exists an index $j \notin \{m-1, m\}$ and $k \notin m, 0$ so that $A_{m-2} A_j$ and $A_k A_1$ a π^* .

Case 3: $\pi^* = \dots A_i A_{i+1} \dots$

In this case, there exist indexes $j, k \notin \{i, i+1\}$ so that $A_{i-1} A_j$ and $A_k A_{i+2}$ are sub-sequences of π^* .

In all cases, we have $T(\pi^*) \geq (m+1)\delta + (m+1)\delta + 2\delta + (m-1)\delta = 3(m+1)\delta$ □

Claim 2.2 The travel time $\Delta(\pi^*)$ associated with π^* verifies $\Delta(\pi^*) \geq 2(m+1)\delta$.

Proof This is a consequence of 2.1. As π^* contains no sequence of the form $A_i A_{i+1}$ with $i \neq m$, there is two possibilities:

Case 1: There exists a sub-sequence $A_i A_j$ with $j \neq i+2$ in π^* . Then the travel time of the robot is at least $(m+1)\delta + m\delta + \delta = 2(m+1)\delta$

Case 2: π^* contains only subsequences of the form $A_i A_{i+2}$ and maybe $A_m A_0$. Similarly to the proof of 2.6, we can say that the robot always travels forward, and circles the cell twice: $\Delta(\pi^*) \geq 2(m+1)\delta$. □

Claim 2.3 The travel time $\Delta(\pi^*)$ associated with π^* verifies $\Delta(\pi^*) > 2(m+1)\delta$.

Proof Let us assume that $\Delta(\pi^*) = 2(m+1)\delta$. We already know that π^* can have no sub-sequences $A_i A_{i+1}$ except for $A_m A_0$.

Case 1: π^* doesn't contain the sequence $A_m A_0$. Then all sub-sequences have to be of the form $A_i A_{i+2}$: the only possible cycle is of the form $A_0 A_2 A_4 \dots A_m A_1 A_3 \dots A_{m-1}$, which is π_{oe} if m is even, and not possible if m is odd.

Case 2: π^* contains the sequence $A_m A_0$. π^* contains only one sequence of the form $A_i A_{i+3}$ or $A_i A_{i-1}$. The others are of the form $A_i A_{i+2}$. π^* contains a sequence $A_{m-1} A_j$ with $j \neq 0$, and a sequence $A_l A_1$ with $l \neq m$. Thus, π^* must contain the sequence $A_{m-1} A_1$. The only possible cycle is of the form $A_0 A_2 A_4 \dots A_{m-1} A_1 A_3 \dots A_m$, which is π_{oe} if m is odd, and not possible if m is even. □

Claim 2.4 The travel time $\Delta(\pi^*)$ associated with π^* verifies $\Delta(\pi^*) < 3(m+1)\delta$

Proof Otherwise, we would have $T(\pi^*) \geq 3(m+1)\delta = T(\pi_d)$ □

Claim 2.5 $d_{min}(\pi^*)$ verifies $d_{min}(\pi^*) > \Delta\pi - \frac{3\alpha-2}{2\alpha-1}(m+1)\delta$

Proof Assume δ is fixed, and call $T_c(p)$ the cycle time of any cycle c depending on p . We assume $p \leq (3m-1)\delta$.

Let's call $p_{int} = \frac{3\alpha-1}{2\alpha-1}(m+1)\delta$ the value of parameter p for which π_d and π_{oe} have the same cycle time.

$$T_{\pi_{oe}}(p_{int}) = T_{\pi_d}(p_{int}) = 3(m+1)\delta$$

Let f the affine function with coefficient 1 verifying

$$f(p_{int}) = T_{\pi_{oe}}(p_{int}) = T_{\pi_d}(p_{int}) = 3(m+1)\delta$$

The expression of f is

$$f(p) = p + \frac{3\alpha-2}{2\alpha-1}(m+1)\delta$$

For $(m+1)\delta \leq p \leq p_{int}$,

$$f(p) \geq T_{\pi_{oe}}(p) \geq T_{\pi_d}(p)$$

For $p_{int} \leq p \leq (3m-1)\delta$,

$$f(p) \geq T_{\pi_d}(p) \geq T_{\pi_{oe}}(p)$$

Let us introduce

$$g(p) = \Delta(\pi^*) + \max\left[0, p - \left(\Delta(\pi^*) - \frac{3\alpha-2}{2\alpha-1}(m+1)\delta\right)\right]$$

If $d_{min}(\pi^*) \leq \Delta(\pi^*) - \frac{3\alpha-2}{2\alpha-1}(m+1)\delta$, then, from 2.3 we can deduce:

$$T_{\pi^*}(p) \geq \Delta(\pi^*) + \max(0, p - d_{min}(\pi^*)) \geq g(p) \geq \min(T_{\pi_{oe}}(p), T_{\pi_d}(p))$$

So, $d_{min}(\pi^*) > \Delta(\pi^*) - \frac{3\alpha-2}{2\alpha-1}(m+1)\delta$. □

2.4 Dominant set of 1-cycles

We know that 1-cycles are permutations of activities. Given a 1-cycle, it is easy to check if it complies with the conditions exposed in Property 2.8. Of course this can only be done for small numbers of machines as the number of 1-cycles is exponential.

For $6 \leq m \leq 8$, we computed all 1-cycles and found that no cycle matches the conditions in Property 2.8.

Proposition 2.1 *For a regular balanced cell, with $6 \leq m \leq 8$ and $\epsilon = 0$, the set $\{\pi_0, \pi_{oe}, \pi_d\}$ dominates all 1-cycles.*

2.4.1 Conclusion

Finally, we can prove Theorem 2.1.

Let us consider a 6-machine circular cell, with $p = 11$, $\delta = 1$, $\epsilon = 0$. From Proposition 2.1, we know that $\{\pi_0, \pi_{oe}, \pi_d\}$ dominates all 1-cycles.

For these parameters, one has

$$T(\pi_{id}) = 7 + 66 = 73 \quad (7)$$

$$T(\pi_{oe}) = 14 + \frac{5}{3}4 = 20 + \frac{2}{3} \quad (8)$$

$$T(\pi_{dh}) = 21 \quad (9)$$

We just need to show that $\frac{T(C)}{k} \leq T(\pi_{oe}) = 20 + \frac{2}{3}$.

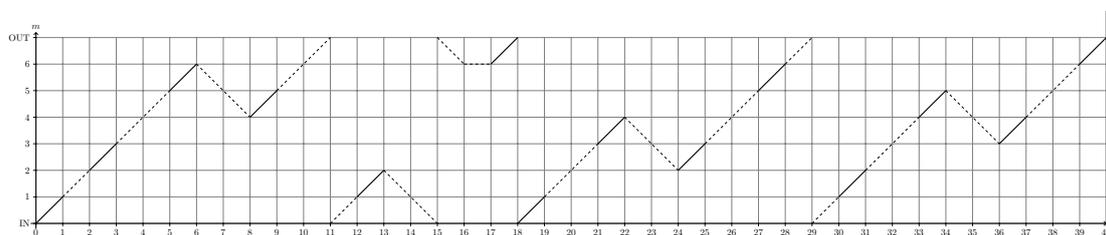


Figure 2: An iteration of C , for $p = 11$ and $\delta = 1$

During one iteration of C , the robot travels $\Delta(C) = 39$.

For more commodity, let us rewrite C by simple rotation:

$$(A_0A_2A_5A_4A_1A_6A_0A_3A_2A_5A_1A_4A_3A_6)$$

We have $d_{6,1} = d_{2,2} = 10$, and for all other (i, k) , $d_{i,k} \geq 11$. As the first unloading of M_6 takes place between the first loading of M_2 and its subsequent unloading, the robot only needs to wait one unit of time, before unloading M_6 (see Figure 2).

Thus, we have $T(C) = 39 + 1 = 40$

$$\frac{T(C)}{2} = 20 < T(\pi_{oe})$$

In conclusion, C is strictly better than all 1-cycles on this instance. We proved Theorem 2.1, thus showing that the 1-cycle conjectures is false for 6 machine.

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