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GENERIC PROPERTIES OF PADÉ APPROXIMANTS AND PADÉ UNIVERSAL SERIES

S. CHARPENTIER, V. NESTORIDIS AND F. WIELONSKY

ABSTRACT. We establish properties concerning the distribution of poles of Padé approximants, which are generic in Baire category sense. We also investigate Padé universal series, an analog of classical universal series, where Taylor partial sums are replaced with Padé approximants. In particular, we complement previous studies on this subject by exhibiting dense or closed infinite dimensional linear subspaces of analytic functions in a simply connected domain of the complex plane, containing the origin, whose all non zero elements are made of Padé universal series. We also show how Padé universal series can be built from classical universal series with large Ostrowski-gaps.

1. INTRODUCTION

Many questions related to polynomial or rational approximations have been addressed from the point of view of Baire category, see e.g. [4, 10, 11, 20, 21]. For instance, P.B. Borwein established in [10] that the set of entire functions f , for which the poles of their best rational approximations on $[-1, 1]$ are dense in \mathbb{C} , is a residual set. He also proved that Baker's conjecture, on the existence of a subsequence in the m -th row of the Padé table that converges locally uniformly, holds true generically in the set of entire functions. Fournodavlos and Nestoridis recently improved this result in [15]. In the first part of the present paper, we derive similar results about the density of poles for the case of Padé approximants. We also show that, generically, the poles of a subsequence of the Padé approximants go to infinity.

The remaining, and main part, of the paper is devoted to the study of Padé universal series. We first need to introduce a few notations and the notion of (classical) universal series. For Ω , a simply connected domain in \mathbb{C} , containing the origin, we denote by $H(\Omega)$ the set of holomorphic functions on Ω endowed with the topology of locally uniform convergence. For a compact subset K of \mathbb{C} , we also denote by $A(K)$ the set of continuous functions in K , holomorphic in its interior, endowed with the topology of uniform convergence. For a power series $f = \sum_{n \geq 0} a_n z^n$, we denote by $S_N(f)$ the N -th partial sum $\sum_{n=0}^N a_n z^n$ of f . We recall that a *universal series* in Ω , with respect to an increasing sequence $\mu = (p_n)_n$ of positive integers, is a function f in $H(\Omega)$ such that, for any compact set $K \subset \mathbb{C} \setminus \Omega$, with connected complement, and any function h in $A(K)$, there is a subsequence $(\lambda_n)_n$ of μ such that the partial sums $S_{\lambda_n}(f)$ converges to h in $A(K)$, while they converge to f in

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$H(\Omega)$, cf. [7, 22, 26]. It was proved in [27] that the set $U^\mu(\Omega)$ of universal series is residual in $H(\Omega)$, see also [26].

Several articles recently dealt with the notion of *Padé universal series*, an analog of universal series, where the role of partial Taylor sums is played by Padé approximants, see e.g. [14, 15, 28]. For a power series f at the origin, we denote by $[f; p_n/q_n]$ the Padé approximant of degree (p_n, q_n) to f , see Section 2 for more details on Padé approximants.

Definition of Padé universal series Let $\mathcal{S} := (p_n, q_n)_n$ be a sequence of pairs of non negative integers. A Padé universal series in Ω , with respect to \mathcal{S} , is a function $f \in H(\Omega)$ such that, for every compact set K in $\mathbb{C} \setminus \Omega$, with connected complement, and every function h in $A(K)$, there exists a subsequence \mathcal{S}' of \mathcal{S} such that:

- i) $[f; p_n/q_n]$ converges to h in $A(K)$ along the subsequence \mathcal{S}' ;
- ii) $[f; p_n/q_n]$ converges to f in $H(\Omega)$ along the subsequence \mathcal{S}' .

Assuming that the sequence $(p_n)_n$ is unbounded, the set $\mathcal{U}^{\mathcal{S}}(\Omega)$ of Padé universal series is residual in $H(\Omega)$, see [14, Theorem 3.1]. Actually, it is easy to see, by a Rouché type argument in the complement of Ω , that the hypothesis on the sequence $(p_n)_n$ is mandatory for that result to hold true. A stronger notion of Padé universal series has been considered, where the Padé approximants approach any rational functions on any compact sets (not necessarily with connected complement) of $\mathbb{C} \setminus \Omega$ with respect to the chordal metrics [28]. We shall not pursue this direction here.

In the investigation of universality, it is natural to seek some significant subsets of the set of universal elements having a linear structure. For instance, one may ask if there exists a linear subspace, made of universal elements (except for the zero one), which is dense in the ambient space. If true, one says that the universal elements are *algebraically generic*. One may also ask about the existence of a closed and infinite dimensional subspace, in which case one speaks of *spaceability* of the universal elements. Since the last decade, there has been a growing interest in this line of research. We refer the reader to [1, 2, 5, 8, 9, 19, 24, 25] and the references therein. The non linearity of Padé approximation makes the above questions a little bit trickier for Padé universal series. Nevertheless, by considering particular series whose Padé approximants, along a row of the Padé table, have prescribed denominators, we answer both questions in the affirmative, see Theorems 4.10 and 4.11.

Our method of proof is actually an adaptation of the one used for classical universal series. This makes it possible to derive results on Padé universal series from related results about universal series. In particular, from the recent work [13], we know that there exists a residual subset of $H(\mathbb{D})$ (or more generally of $H(\Omega)$), made of universal Taylor series with so-called *large* Ostrowski-gaps. Upon performing a simple division, we are then lead to Padé universal series. Together with Baire category theorem, this allows us to recover the fact that $\mathcal{U}^{\mathcal{S}}(\mathbb{D})$ is residual in $H(\mathbb{D})$, [14, Theorem 3.1].

The paper is organized as follows. In Section 2, we recall the main properties of Padé approximants. Section 3 deals with generic properties of poles of Padé approximants. In Section 4, we study Padé universal series whose Padé approximants have prescribed poles, and use these series to exhibit algebraically generic sets, as well as spaceable sets, of Padé

universal series. We also prove that Padé universal series whose Padé approximants have asymptotically prescribed poles are generic. In the final section 5, we show how to build Padé universal series from classical universal series with large Ostrowski gaps, and use this method to recover the genericity of Padé universal series.

Notations. Throughout, we will denote by $[f; p/q]$ the Padé approximant of degree (p, q) of a power series f at the origin. We will also denote by $P_{p,q}(f)$ and $Q_{p,q}(f)$ the numerator and the denominator of $[f; p/q]$, written in irreducible form.

Let $P = \sum_{k=0}^p a_k z^k$ be a polynomial. The valuation of P is the smallest index k such that $a_k \neq 0$. We denote it $\text{val}(P)$. As usual the degree of P , denoted by $\text{deg}(P)$, is the largest k with $a_k \neq 0$.

Finally, we recall that a subset of a topological space is a G_δ set if it can be expressed as an intersection of countably many open sets. It is residual if it is the intersection of countably many sets with dense interiors. A meagre subset is the complement of a residual set. A property is generic if it holds on a residual set.

2. PRELIMINARIES ON PADÉ APPROXIMANTS

In this section, we recall the definition of Padé approximants and give some of their properties. Basic references for Padé approximants are e.g. [3, 18, 29, 31].

Definition 2.1. *Let $S(z) = \sum_{k \geq 0} a_k z^k$ be a formal power series with complex coefficients. A Padé approximant of type (m, n) of S is a rational function P_m/Q_n , with $\text{deg } P_m \leq m$, $\text{deg } Q_n \leq n$, (P_m, Q_n) coprime, $Q_n(0) = 1$ and such that*

$$(2.1) \quad S(z) - P_m/Q_n(z) = \mathcal{O}(z^{m+n+1}).$$

The (algebraic) \mathcal{O} symbol indicates that the expression on the left side is a power series beginning with a power z^l , $l \geq m + n + 1$.

Here, it is understood that two polynomials are coprime if they have no common roots. In particular, the pair $(0, 1)$ is coprime while the pair $(0, z)$ is not coprime. Also, we agree to represent the zero function in a unique way as a rational function, namely the rational function $0/1$ where 0 and 1 are the constant polynomials of degree 0.

Note that, if a Padé approximant exists, it can only be unique. Indeed, if we have two solutions P_m/Q_n and \tilde{P}_m/\tilde{Q}_n of (2.1), then

$$(\tilde{Q}_n P_m - Q_n \tilde{P}_m)(z) = \mathcal{O}(z^{m+n+1}),$$

so that $\tilde{Q}_n P_m - Q_n \tilde{P}_m = 0$ and the two rational functions are equal. Note also that the assumption $Q_n(0) = 1$ is not a real restriction since if $Q_n(0) = 0$ then $P_m(z)$ would have to cancel the zeros of $Q_n(z)$ at the origin in order that (2.1) has a meaning.

The linearized version of (2.1) is

$$(2.2) \quad Q_n(z)S(z) - P_m(z) = \mathcal{O}(z^{m+n+1}).$$

Because of the assumption $Q_n(0) = 1$, it is actually equivalent to (2.1). The number $n + m + 1$ of free coefficients on the left of (2.2) equals the number of vanishing coefficients on the right. The coefficients of Q_n are used to make the coefficients of z^{m+1}, \dots, z^{m+n}

in the expansion of $Q_n(z)S(z) - P_m(z)$ vanish. Then the coefficients of P_m are chosen so that the coefficients of $1, \dots, z^m$ vanish. Setting $Q_n(z) = q_n z^n + \dots + 1$, one gets the linear system of n equations with n unknowns,

$$(2.3) \quad \begin{pmatrix} a_{m-n+1} & \cdots & a_m \\ \vdots & \vdots & \vdots \\ a_m & \cdots & a_{m+n-1} \end{pmatrix} \begin{pmatrix} q_n \\ \vdots \\ q_1 \end{pmatrix} = - \begin{pmatrix} a_{m+1} \\ \vdots \\ a_{m+n} \end{pmatrix}.$$

We denote by $C_{m,n}$ the (Hankel) determinant of the above system,

$$(2.4) \quad C_{m,n} := \begin{vmatrix} a_{m-n+1} & \cdots & a_m \\ \vdots & \vdots & \vdots \\ a_m & \cdots & a_{m+n-1} \end{vmatrix}.$$

The Padé approximant P_m/Q_n of S , if it exists, admits an explicit expression, originally given by Jacobi, in terms of determinants, namely P_m/Q_n equals the quotient of the following two polynomials, written in determinantal form as

$$(2.5) \quad \widehat{P}_m(z) = \begin{vmatrix} a_{m-n+1} & a_{m-n+2} & \cdots & a_{m+1} \\ \vdots & \vdots & \vdots & \vdots \\ a_m & a_{m+1} & \cdots & a_{m+n} \\ \sum_{i=0}^{m-n} a_i z^{n+i} & \sum_{i=0}^{m-n+1} a_i z^{n+i-1} & \cdots & \sum_{i=0}^m a_i z^i \end{vmatrix}, \quad \widehat{Q}_n(z) = \begin{vmatrix} a_{m-n+1} & \cdots & a_{m+1} \\ \vdots & \vdots & \vdots \\ a_m & \cdots & a_{m+n} \\ z^n & \cdots & 1 \end{vmatrix}.$$

Indeed, it is easy to verify that the power expansion of $\widehat{Q}_n S(z)$ has no powers of z^l , $m+1 \leq l \leq m+n$, and that the one of $(\widehat{Q}_n S - \widehat{P}_m)(z)$ has no powers of z^l , $0 \leq l \leq m$. Hence the linearized version (2.2) is always satisfied by \widehat{P}_m and \widehat{Q}_n and, if the Padé approximant exists, we thus have $P_m/Q_n = \widehat{P}_m/\widehat{Q}_n$.

Proposition 2.2. *Let $S(z) = \sum_{k \geq 0} a_k z^k$ be a formal power series. The following three assertions are equivalent:*

- (i) *The determinant $C_{m,n}$ is nonzero.*
- (ii) *The Padé approximant P_m/Q_n (which, by definition, is irreducible) of type (m,n) of S exists and $\deg P_m = m$ or $\deg Q_n = n$.*
- (iii) *The polynomials \widehat{P}_m and \widehat{Q}_n are coprime and $\deg \widehat{P}_m = m$ or $\deg \widehat{Q}_n = n$.*
- (iv) *The polynomials \widehat{P}_m and \widehat{Q}_n are coprime.*

If the above assertions hold true then $\widehat{P}_m(z) = \widehat{Q}_n(0)P_m(z)$ and $\widehat{Q}_n(z) = \widehat{Q}_n(0)Q_n(z)$. Moreover, if $C_{m,n} = 0$ and the Padé approximant P_m/Q_n of type (m,n) of S exists, then $\widehat{P}_m(z) = T(z)P_m(z)$ and $\widehat{Q}_n(z) = T(z)Q_n(z)$ where T is a polynomial such that $T(0) = 0$.

Proof. We start with the equivalence of (i) and (ii). if $C_{m,n} \neq 0$ then the system (2.3) has a unique solution and the Padé approximant exists. If $\deg P_m < m$ and $\deg Q_n < n$ then e.g. $(z+1)P_m(z)$ and $(z+1)Q_n(z)$ would also be a solution to (2.2) contradicting the uniqueness of the solution to the system (2.3). Conversely, assume that the Padé approximant exists and $\deg P_m = m$ or $\deg Q_n = n$. If $C_{m,n} = 0$, then the system (2.3)

can only have infinitely many solutions. Two rational functions $P_m^{(1)}/Q_n^{(1)}$ and $P_m^{(2)}/Q_n^{(2)}$, obtained from two distinct solutions $Q_n^{(1)} \neq Q_n^{(2)}$ of (2.3) represent the same irreducible rational function P_m/Q_n . From the assumption that $\deg P_m = m$ or $\deg Q_n = n$, the polynomials $Q_n^{(1)}$ and $Q_n^{(2)}$ can only differ from Q_n by constant factors, and from the normalization $Q_n^{(1)}(0) = Q_n^{(2)}(0) = 1$, these constant factors have to be equal to 1. Hence, $Q_n^{(1)} = Q_n^{(2)}$, which gives a contradiction. We next show the equivalence of (i) or (ii) with (iii). If $C_{m,n} \neq 0$, one has $\widehat{Q}_n(0) \neq 0$ and $P_m/Q_n = \widehat{P}_m/\widehat{Q}_n$ because \widehat{P}_m and \widehat{Q}_n satisfy (2.2), so that (ii) implies (iii) and $Q_n(z) = \widehat{Q}_n(z)/\widehat{Q}_n(0)$, $P_m(z) = \widehat{P}_m(z)/\widehat{Q}_n(0)$. Conversely, if (iii) holds true, then $\widehat{Q}_n(0) \neq 0$ since otherwise z would be a common factor of \widehat{P}_m and \widehat{Q}_n . Hence P_m/Q_n exists and equals $\widehat{P}_m/\widehat{Q}_n$. Since both fractions are irreducible, $\deg P_m = \deg \widehat{P}_m$ and $\deg Q_n = \deg \widehat{Q}_n$ and assertion (ii) is satisfied. Clearly (iii) implies (iv) and (iv) implies (i) because if $C_{m,n} = 0$, we see from the determinantal expressions that $\widehat{P}_m(0) = \widehat{Q}_n(0) = 0$ which would be a contradiction.

Finally, if $C_{m,n} = 0$ and the Padé approximant exists, we have $P_m/Q_n = \widehat{P}_m/\widehat{Q}_n$ because \widehat{P}_m and \widehat{Q}_n satisfy (2.2). Since $\widehat{P}_m(0) = \widehat{Q}_n(0) = 0$, we obtain the last assertion of the proposition. \square

Throughout, we denote by \mathbb{F} be the space of formal power series $\sum_{k \geq 0} a_k z^k$ around the origin.

Definition 2.3. We define $\mathcal{D}_{m,n}$ as the subset of power series in \mathbb{F} (or in $H(\Omega)$, according to the context) such that the associated Hankel determinant $C_{m,n}$ does not vanish, and $\mathcal{N}_{m,n}$ as the subset of $\mathcal{D}_{m,n}$ of series S such that $\deg Q_{m,n}(S) = n$ (one then says that the degree (m, n) is *normal* for S). When considering a sequence of degrees (m_k, n_k) indexed by an integer $k \geq 0$, we shall usually simplify the notations to \mathcal{D}_k and \mathcal{N}_k .

We identify a formal power series $\sum_{k \geq 0} a_k z^k$ around the origin, with the sequence $(a_k)_k$ in $\mathbb{C}^{\mathbb{N}}$, and we endow \mathbb{F} with the topology of the cartesian product. This topology can be equivalently defined by the family of semi-norms $p_j((a_k)_k) = |a_j|$, $j \geq 0$, and the associated distance

$$d((a_k)_k, (b_k)_k) = \sum_{k=0}^{\infty} \frac{1}{2^k} \frac{|a_k - b_k|}{1 + |a_k - b_k|},$$

which makes (\mathbb{F}, d) into a complete metric space.

Let Ω be a simply connected domain, containing the origin, and let $(K_k)_{k \geq 0}$ be an exhausting sequence of compact subsets of Ω . We endow the space $H(\Omega)$ with the topology of uniform convergence on the compact sets K_k , $k \geq 0$. This is a complete metric space, associated with the distance

$$d_{\infty}(f, g) = \sum_{k=0}^{\infty} \frac{1}{2^k} \frac{p_k(f - g)}{1 + p_k(f - g)},$$

where p_k denotes the semi-norm $p_k(f) = \sup_{z \in K_k} |f(z)|$. The topology of $H(\Omega)$ is stronger than that of the ambient space (\mathbb{F}, d) .

Proposition 2.4. *The sets $\mathcal{D}_{m,n}$ and $\mathcal{N}_{m,n}$ are open and dense subsets of \mathbb{F} and $H(\Omega)$, endowed with their respective topology.*

Proof. The set $\mathcal{D}_{m,n}$ (resp. $\mathcal{N}_{m,n}$) is characterized by the fact that the Hankel determinant $C_{m,n} \neq 0$ (resp. $C_{m,n} \neq 0$ and $C_{m+1,n} \neq 0$). These Hankel determinants are continuous functions of a finite number of coefficients of f so $\mathcal{D}_{m,n}$ and $\mathcal{N}_{m,n}$ are open subsets of \mathbb{F} and $H(\Omega)$. Actually, they are polynomial functions of the coefficients, so, by an analyticity argument, $\mathcal{D}_{m,n}$ and $\mathcal{N}_{m,n}$ are also dense in \mathbb{F} and $H(\Omega)$. The sets $\mathcal{D}_{m,n}$ and $\mathcal{N}_{m,n}$, as subsets of $H(\Omega)$, are open in $H(\Omega)$ since all functions in a given neighborhood of a $f \in H(\Omega)$ have, by Cauchy's estimates, Taylor coefficients close to those of f . The sets $\mathcal{D}_{m,n}$ and $\mathcal{N}_{m,n}$ are also dense in $H(\Omega)$ because if $f \in H(\Omega)$ does not belong to $\mathcal{D}_{m,n}$ or $\mathcal{N}_{m,n}$, any neighborhood of f contains a set of the form

$$\left\{ f(z) + \sum_{i=m_k-n_k+1}^{m_k+n_k-1} r_i z^i, |r_i| \leq \epsilon \right\},$$

for ϵ small enough, which itself contains an element in $\mathcal{D}_{m,n}$ or $\mathcal{N}_{m,n}$. \square

In the next section, the following proposition, whose proof is immediate from the definition of Padé approximants and Proposition 2.2, will be useful.

Proposition 2.5. *Let $S(z) = \sum_{k \geq 0} a_k z^k$ be a power series with $a_0 \neq 0$. If the Padé approximant of type (m, n) for S exists, then the Padé approximant of type (n, m) for the reciprocal $1/S$ of S also exists and*

$$[1/S; n/m] = 1/[S; m/n].$$

Moreover, $S \in \mathcal{D}_{m,n}$ if and only if $1/S \in \mathcal{D}_{n,m}$.

3. BEHAVIOR OF POLES OF PADÉ APPROXIMANTS

Our first result states that, generically, the poles (or the zeros) of the Padé approximants of a given series form a dense subset of \mathbb{C} . One may interpret this property as a pathological behavior of Padé approximation.

Theorem 3.1. *Let $\mathcal{S} = (m_k, n_k)_{k \geq 0}$ be a sequence of pairs of positive integers such that $(m_k + n_k)_{k \geq 0}$ is unbounded. Let $\mathbb{F}_{\mathcal{S}}^{\mathcal{P}}$ (resp. $\mathbb{F}_{\mathcal{S}}^{\mathcal{Z}}$) denote the subset of power series f of \mathbb{F} such that $f \in \mathcal{N}_{m_k, n_k}$ for all $k \geq 0$ and the set consisting of the poles (resp. zeroes) of all Padé approximants $[f; m_k/n_k]$, $k \geq 0$, is dense in \mathbb{C} . Then,*

- (i) *The set $\mathbb{F}_{\mathcal{S}}^{\mathcal{P}}$ is a dense G_{δ} (hence residual) subset of (\mathbb{F}, d) .*
- (ii) *The set $\mathbb{F}_{\mathcal{S}}^{\mathcal{Z}}$ is also a dense G_{δ} subset of (\mathbb{F}, d) .*

Remark 3.2. For the row sequence $(m, 1)_{m \geq 0}$, an explicit series, with a positive radius of convergence and which satisfies assertion (i), is given in [31, §45]. For the diagonal sequence $(n, n)_{n \geq 0}$, an example is also given in [32].

Proof. We consider two cases:

First case: $(m_k)_{k \geq 0}$ is unbounded. We know from Proposition 2.4 that \mathcal{N}_k is an open and dense subset of \mathbb{F} . Next, let $(V_j)_{j \geq 0}$ be a denumerable basis of open sets of \mathbb{C} and set

$$(3.1) \quad F_{\mathcal{S},j} = \{f \in \mathbb{F}, \exists k \geq 0, f \in \mathcal{D}_k \text{ and } [f; m_k/n_k] \text{ has a pole in } V_j\}.$$

Then

$$\mathbb{F}_{\mathcal{S}}^{\mathcal{P}} = \left(\bigcap_{k \geq 0} \mathcal{N}_k \right) \cap \left(\bigcap_{j \geq 0} F_{\mathcal{S},j} \right),$$

and, by Baire's theorem, it suffices to show that each $F_{\mathcal{S},j}$ is open and dense. The fact that $F_{\mathcal{S},j}$ is open is clear since the Hankel determinant (2.4) and the denominator of the Padé approximants in (2.5) are continuous functions of a finite number of coefficients of the power series. Let \mathbb{P} be the set of polynomials and

$$\mathbb{P}_{\mathcal{S}} = \{P \in \mathbb{P}, \exists k \geq 0, \deg P = m_k - 1\}.$$

From the assumption that the sequence $(m_k)_{k \geq 0}$ is unbounded, we know that $\mathbb{P}_{\mathcal{S}}$ is dense in (\mathbb{F}, d) . To prove that $F_{\mathcal{S},j}$ is dense in \mathbb{F} , it is thus sufficient to show that, for a given $P \in \mathbb{P}_{\mathcal{S}}$, there is a $f \in F_{\mathcal{S},j}$ close to P . Assume

$$P(z) = a_0 + \dots + a_{m_k-1} z^{m_k-1}, \quad a_{m_k-1} \neq 0,$$

and consider the series (actually the polynomial)

$$(3.2) \quad f(z) = P(z) + f_{m_k-1+n_k} z^{m_k-1+n_k} + f_{m_k+n_k} z^{m_k+n_k} \in \mathbb{F},$$

where $f_{m_k-1+n_k}$ and $f_{m_k+n_k}$ are small and non vanishing coefficients. We show that $f_{m_k-1+n_k}$ and $f_{m_k+n_k}$ can be chosen so that $f \in F_{\mathcal{S},j}$. Indeed, $f \in \mathcal{D}_k$ because the determinant

$$C_{m_k, n_k} = \begin{vmatrix} a_{m_k-n_k+1} & \dots & a_{m_k-1} & 0 & \\ \vdots & \ddots & \ddots & \vdots & \\ a_{m_k-1} & \ddots & 0 & 0 & \\ 0 & \dots & 0 & f_{m_k-1+n_k} & \end{vmatrix} = f_{m_k-1+n_k} a_{m_k-1}^{n_k-1}$$

is non vanishing. Moreover, according to the second formula in (2.5), the denominator of the Padé approximant $[f; m_k/n_k]$ equals

$$\begin{vmatrix} a_{m_k-n_k+1} & \dots & a_{m_k-1} & 0 & 0 \\ \vdots & \ddots & \ddots & \vdots & \vdots \\ a_{m_k-1} & \ddots & 0 & 0 & f_{m_k-1+n_k} \\ 0 & \dots & 0 & f_{m_k-1+n_k} & f_{m_k+n_k} \\ z^{n_k} & \dots & \dots & z & 1 \end{vmatrix}.$$

Expanding this determinant along its last column, we readily obtain the expression

$$(3.3) \quad -f_{m_k-1+n_k}^2 P_{n_k-2}(z) z^2 - f_{m_k+n_k} a_{m_k-1}^{n_k-1} z + f_{m_k-1+n_k} a_{m_k-1}^{n_k-1},$$

where $P_{n_k-2}(z)$ is some polynomial of degree $n_k - 2$ which depends only on the coefficients of $P(z)$. Note that $P_{n_k-2}(z) = 0$ if $n_k = 1$. It is clear that the nonzero coefficients $f_{m_k-1+n_k}$ and $f_{m_k+n_k}$ can be chosen as small as desired and such that (3.3) vanishes at a given point (distinct from the origin) of V_j . This shows that $F_{\mathcal{S},j}$ is dense in \mathbb{F} and finishes the proof of assertion (i) when $(m_k)_{k \geq 0}$ is unbounded.

The above reasoning can be repeated for the set of zeroes of the Padé approximants. Indeed, using the first formula in (2.5), which gives the numerator of the Padé approximant, one just has to replace (3.3) with

$$(3.4) \quad -f_{m_k-1+n_k}^2 R_{m_k-2}(z) z^2 - f_{m_k+n_k} a_{m_k-1}^{n_k-1} P(z) + f_{m_k-1+n_k} a_{m_k-1}^{n_k-1} \tilde{P}(z),$$

where $R_{m_k-2}(z)$ is some polynomial of degree $m_k - 2$ which depends only on the coefficients of $P(z)$, and $\tilde{P}(z) = P(z)$ if $n_k > 1$ and $\tilde{P}(z) = P(z) + f_{m_k} z^{m_k}$ if $n_k = 1$. One can always find a point z_j in V_j such that $P(z_j) \neq 0$. Hence, two nonzero coefficients $f_{m_k-1+n_k}$ and $f_{m_k+n_k}$ can be chosen, sufficiently small and such that (3.4) vanishes at $z_j \in V_j$. This shows that the set of series having a Padé approximant with a zero in V_j is dense in \mathbb{F} .

Second case: $(m_k)_{k \geq 0}$ is bounded. Then $(n_k)_{k \geq 0}$ is unbounded. We denote by $\tilde{\mathcal{S}}$ the sequence $(n_k, m_k)_{k \geq 0}$ and by \mathbb{F}_0 the subset of \mathbb{F} of power series with non vanishing constant coefficient a_0 . It is an open and dense subset of \mathbb{F} . We also set

$$\tilde{F}_{\tilde{\mathcal{S}},j} = \{f \in \mathbb{F}, \exists k \geq 0, f \in \mathcal{D}_{m_k, n_k} \text{ and } [f; m_k/n_k] \text{ has a zero in } V_j\}.$$

It is an open subset of \mathbb{F} . To show it is dense, it suffices to check that $\mathbb{F}_0 \cap \tilde{F}_{\tilde{\mathcal{S}},j}$ is dense in \mathbb{F}_0 . This follows from the facts that

- (i) $\mathbb{F}_0 \cap \tilde{F}_{\tilde{\mathcal{S}},j} = (\mathbb{F}_0 \cap F_{\tilde{\mathcal{S}},j})^{-1} := \{1/f, f \in \mathbb{F}_0 \cap F_{\tilde{\mathcal{S}},j}\}$,
- (ii) $\mathbb{F}_0 \cap F_{\tilde{\mathcal{S}},j}$ is dense in \mathbb{F}_0 ,
- (iii) the map which takes the inverse of a function is a homeomorphism in \mathbb{F}_0 .

The first fact is a consequence of Proposition 2.5. The second fact follows from the first part of the proof, where we note, in the definition (3.2) of f , that $P \in \mathbb{F}_0$ implies $f \in \mathbb{F}_0$. For the last fact, we recall that the coefficients $(b_n)_{n \geq 0}$ of the reciprocal of a series with coefficients $(a_n)_{n \geq 0}$ are given by the recursive formulae

$$b_0 = a_0^{-1}, \quad b_n = -a_0^{-1} \sum_{i=1}^n a_i b_{n-i}, \quad n \geq 1.$$

Since each $\tilde{F}_{\tilde{\mathcal{S}},j}$ is open and dense, we derive that $\mathbb{F}_{\tilde{\mathcal{S}}}^{\mathbb{Z}}$ is a dense G_δ subset of (\mathbb{F}, d) . The fact that $\mathbb{F}_{\tilde{\mathcal{S}}}^{\mathbb{P}}$ is a dense G_δ subset is proved in a similar way. The assertions of the theorem are thus obtained. \square

The functions in $H(\Omega)$ which have a reciprocal in $H(\Omega)$ are exactly the non vanishing ones. Such functions are not dense in $H(\Omega)$. Hence, in this space, we have to assume that the sequence \mathcal{S} of indices is such that $(m_k)_{k \geq 0}$ is unbounded.

Theorem 3.3. *Let $\mathcal{S} = (m_k, n_k)_{k \geq 0}$ be a sequence of pairs of positive integers such that $(m_k)_{k \geq 0}$ is unbounded. The assertions of Theorem 3.1 remain true in the space $H(\Omega)$ endowed with the topology of uniform convergence on compact subsets of Ω .*

Proof. The proof of Theorem 3.1, except for the second part where it is assumed that $(m_k)_{k \geq 0}$ is bounded, can be repeated. Indeed, \mathcal{N}_k and $F_{SS,j}$ are open in $H(\Omega)$. Moreover, from Runge's theorem, we know that \mathbb{P} is dense in $H(\Omega)$ and the same holds true for $\mathbb{P}_{\mathcal{S}}$. The sequel of the proof, showing that $F_{SS,j}$ is dense in $H(\Omega)$, remains unchanged, where we remark that $d_{\infty}(P, f)$ can be made as small as we want by choosing the coefficients $f_{m_k-1+n_k}$ and $f_{m_k+n_k}$ sufficiently small. \square

The space \mathbb{F} can also be endowed with the product topology, where each copy of \mathbb{C} is given the discrete topology. This topology is equivalently defined from the distance

$$\tilde{d}((a_k)_k, (b_k)_k) = 2^{-j} \text{ with } \begin{cases} j = \inf\{k \geq 0, a_k \neq b_k\} \text{ if } (a_k)_k \neq (b_k)_k, \\ j = \infty \text{ if } (a_k)_k = (b_k)_k. \end{cases}$$

The space (\mathbb{F}, \tilde{d}) is a complete metric space, whose topology is stronger than that of the distance d . Note that in a given neighbourhood of a series $S(z) = \sum_{k \geq 0} a_k z^k$, all series share with S a certain number of its first coefficients a_0, a_1, \dots . As in $H(\tilde{\Omega})$, the subset of series which admit a reciprocal, i.e. with a nonzero constant coefficient, is not dense. Moreover, the sets \mathcal{N}_k in the proof of Theorem 3.1 are still open in (\mathbb{F}, \tilde{d}) but no more dense. Hence, we only have a version of Theorem 3.3 in (\mathbb{F}, \tilde{d}) which holds for subsequences, namely:

Theorem 3.4. *Let $\mathcal{S} = (m_k, n_k)_{k \geq 0}$ be a sequence of pairs of positive integers such that $(m_k)_{k \geq 0}$ is unbounded. The subset $\widehat{\mathbb{F}}_{\mathcal{S}}^{\mathcal{P}}$ (resp. $\widehat{\mathbb{F}}_{\mathcal{S}}^{\mathcal{Z}}$) of power series f of \mathbb{F} such that there exists an infinite sequence $I \subset \mathbb{N}$ with $f \in \mathcal{D}_k$ for all $k \in I$ and the poles (resp. zeroes) of the Padé approximants $[f; m_k/n_k]$, $k \in I$, are dense in \mathbb{C} , is a dense G_{δ} subset of (\mathbb{F}, \tilde{d}) .*

Proof. Now, we have $\widehat{\mathbb{F}}_{\mathcal{S}}^{\mathcal{P}} = \bigcap_{j \geq 0} F_{SS,j}$ where $F_{SS,j}$ is defined as in (3.1). Each set $F_{SS,j}$ is open with respect to \tilde{d} because the conditions for a series f to belong to $F_{SS,j}$ only involve a finite number of its coefficients. To show that $F_{SS,j}$ is dense in \mathbb{F} , we consider a given series f_0 in \mathbb{F} and first pick a polynomial $P \in \mathbb{P}_{\mathcal{S}}$ sufficiently close to it, namely a truncation of f_0 of degree $m_k - 1$ so that $\tilde{d}(f_0, P) = 2^{-m_k}$ is small enough, and then construct f as in the proof of Theorem 3.1. It still shows the density of $F_{SS,j}$ because $\tilde{d}(P, f) = 2^{-(m_k-1+n_k)} \leq 2^{-m_k}$ is also small. The assertion about $\widehat{\mathbb{F}}_{\mathcal{S}}^{\mathcal{Z}}$ is also proved as in Theorem 3.1. \square

The above results show that the usual behavior of Padé approximants is, in a way, wild. In an opposite direction, and in connection with Baker's conjecture, a generic convergence result for the Padé approximants of entire functions was obtained in [10]. It was recently extended to arbitrary domains and also in other ways in [15]. Here, we state a version for a simply connected domain Ω which is slightly more precise than [15, Theorem 3.7].

Theorem 3.5. *Let $(m_k, n_k)_{k \geq 0}$ be a sequence of pairs of positive integers such that $(m_k)_{k \geq 0}$ is unbounded. The subset $\widehat{H}(\Omega)$ of functions f in $H(\Omega)$ such that there exists an infinite sequence $I \subset \mathbb{N}$ with $f \in \mathcal{N}_k$ for all $k \in I$ and the Padé approximants $[f; m_k/n_k]$, $k \in I$, tend to f in $H(\Omega)$ as $k \rightarrow \infty$, is a dense G_{δ} subset of $H(\Omega)$.*

Proof. [15, Theorem 3.7] tells that the conclusion holds true with \mathcal{D}_k instead of \mathcal{N}_k . Since \mathcal{N}_k is open and dense in $H(\mathbb{D})$, the result follows by Baire Category Theorem. \square

Note that the assumption that $(m_k)_{k \geq 0}$ is unbounded cannot be discarded in the above theorem. Indeed, if the sequence $(m_k)_{k \geq 0}$ is bounded, then, by Rouché's theorem, the functions $f \in \widehat{H}(\Omega)$, uniform limit of Padé approximants $[f; m_k/n_k]$, can only have a number of zeroes bounded by $\sup_k m_k$ in Ω . The subset of such functions f is not dense in $H(\Omega)$.

When $\Omega = \mathbb{C}$, the Padé approximants of degrees (m_k, n_k) , $k \in I$, of the functions in the previous theorem have poles which all go to infinity as $k \rightarrow \infty$. Hence the subset of such functions is residual in $H(\mathbb{C})$. More generally, we have the following assertion.

Theorem 3.6. *Let $(m_k, n_k)_{k \geq 0}$ be a sequence of pairs of positive integers such that $(m_k)_{k \geq 0}$ is unbounded. The subset $\widetilde{H}(\Omega) \subset H(\Omega)$ of functions f such that there exists an infinite sequence $I \subset \mathbb{N}$ with $f \in \mathcal{N}_k$ for all $k \in I$ and the poles of the Padé approximants $[f; m_k/n_k]$, $k \in I$, tend to infinity as $k \rightarrow \infty$, is a dense G_δ subset of $H(\Omega)$. The same assertion holds true in the space of power series \mathbb{F} endowed with the topology of the distance d .*

Remark 3.7. We do not know if the theorem remains true when the sequence $(m_k)_{k \geq 0}$ is bounded (and $(n_k)_{k \geq 0}$ is unbounded). Actually, it cannot be true if we consider functions such that the poles of the Padé approximants of the entire sequence $(m_k, n_k)_{k \geq 0}$ tend to infinity. Indeed, by a result of Gonchar, see [17, §3], if $m \in \mathbb{N}$ is a given integer, f is a function analytic e.g. in a disk \mathbb{D}_r , and the poles of the Padé approximants $[f; m/k]$, $k \geq k_0$, lie outside of this disk, then the Padé approximants tend locally uniformly to f in \mathbb{D}_r . This entails that f has at most m zeroes in \mathbb{D}_r and the subset of such functions is not dense in $H(\mathbb{D}_r)$.

Proof of Theorem 3.6. Let \mathbb{D}_j be the open disk centered at 0, of radius j and let

$$H_{j,k} = \{f \in H(\Omega), f \in \mathcal{N}_k \text{ and } [f; m_k/n_k] \text{ has no poles in } \overline{\mathbb{D}}_j\}.$$

Then

$$\widetilde{H}(\Omega) = \bigcap_{j=1}^{\infty} \bigcup_{k=1}^{\infty} H_{j,k}.$$

Each set $H_{j,k}$ is clearly open in $H(\Omega)$. Hence, $\widetilde{H}(\Omega)$ is a G_δ subset of $H(\Omega)$. By Theorem 3.5, $\widehat{H}(\mathbb{C})$ is dense in $H(\mathbb{C})$ which is itself a dense subset of $H(\Omega)$ (endowed with its topology). Since the topology of $H(\mathbb{C})$ is finer than the topology of $H(\Omega)$, we deduce that $\widehat{H}(\mathbb{C})$ is dense in $H(\Omega)$. Consequently $H_{j,k}$, which contains $\widehat{H}(\mathbb{C})$ is also dense in $H(\Omega)$. By Baire's theorem, $\widetilde{H}(\Omega)$ is dense in $H(\Omega)$ as well.

When $\Omega \neq \mathbb{C}$, we display another proof of the density of $\cup_{k=1}^{\infty} H_{j,k}$, $j \geq 1$, which does not use Theorem 3.5. Let P be a polynomial and K a compact subset of Ω . We show that there exists a function f in the previous union which is close to P on K . Let $m_k \geq \deg P$, which is possible since m_k is unbounded. Since Ω is simply connected, and different from \mathbb{C} , it cannot contain a neighborhood of infinity. Hence, there exists some complex point μ ,

large enough, so that $\mu \notin \Omega \cup \overline{\mathbb{D}}_j$, $P(\mu) \neq 0$, and $Q_{n_k}(z) = (1 - z/\mu)^{n_k}$ is uniformly close to 1 on K . Now, we choose

$$f(z) = P(z)/Q_{n_k}(z) \in H(\Omega).$$

Then, f is close to P on K . Moreover, $[f; m_k/n_k]$ exists and equals f , the Padé denominator $Q_{m_k, n_k}(f)$ equals Q_{n_k} which is of degree n_k , and f has no poles in $\overline{\mathbb{D}}_j$.

The above proofs can be repeated in the space (\mathbb{F}, d) . □

4. A PARTICULAR SET OF PADÉ UNIVERSAL SERIES AND APPLICATIONS

For the sake of clarity, we restrict ourselves in the sequel to the case $\Omega = \mathbb{D}$. Nevertheless, our results would hold in a simply connected domain Ω containing the origin.

4.1. Padé universal series whose Padé approximants have prescribed poles. We first exhibit Padé universal series admitting a sequence of Padé approximants of degrees $(\lambda_n, 1)$, $\lambda_n \rightarrow \infty$, whose denominators equal the polynomial $1 - z$.

Theorem 4.1. *Let $\mu = (p_n)_n$ be an unbounded sequence of positive integers. There exists a function f in $H(\mathbb{D})$ such that for every compact set $K \subset \mathbb{C} \setminus (\mathbb{D} \cup \{1\})$ with $\mathbb{C} \setminus K$ connected and every function $h \in A(K)$, there is a subsequence $(\lambda_n)_n$ of μ with the following properties:*

- a) $f \in \mathcal{N}_{\lambda_n, 1}$ for all $n = 1, 2, \dots$;
- b) $[f; \lambda_n/1] \rightarrow h$ in $A(K)$ as $n \rightarrow \infty$;
- c) $[f; \lambda_n/1] \rightarrow f$ in $H(\mathbb{D})$ as $n \rightarrow \infty$;
- d) $Q_{\lambda_n, 1}(f)(z) = 1 - z$.

The set $\mathcal{U}_{1-z}^\mu(\mathbb{D})$ of such functions is a dense meagre subset of $H(\mathbb{D})$.

The proof is based on two lemmas.

Lemma 4.2. *Let $a \in \mathbb{C} \setminus \mathbb{D}$. There exists a sequence $(K_n)_n$ of compact subsets of $\mathbb{C} \setminus (\mathbb{D} \cup \{a\})$, with $\mathbb{C} \setminus K_n$ connected for all n , such that every compact set $K \subset \mathbb{C} \setminus (\mathbb{D} \cup \{a\})$ with $\mathbb{C} \setminus K$ connected is included in a set K_n for some n .*

Proof. The proof is a slight modification of that of [26, Lemma 2.1]. For an integer $k \geq 1$, let $(\Gamma_j^k)_j$ be an enumeration of all simple polygonal lines from 0 to a , passing through k , having a finite number of vertices, all of them with rational coordinates. Let $(K_n)_n$ be an enumeration of the family

$$\left(\{z \in \mathbb{C}, 1 \leq |z| \leq k, \text{dist}(z, \Gamma_j^k) \geq l^{-1}\} \right)_{j, k, l \geq 1}.$$

Then $(K_n)_n$ satisfies the assertion of the lemma. Indeed, for any compact set K in the complement of $\mathbb{D} \cup \{a\}$, with connected complement, there exists a simple polygonal line with finitely many vertices of rational coordinates connecting 0 to a , through k , k large enough, whose distance to K is strictly positive. Hence K is included in K_n for some n . □

Remark 4.3. Note that the sequence $(K_n)_n$ in Lemma 4.2 is not an increasing sequence.

The next lemma follows easily from Mergelyan's theorem.

Lemma 4.4. *Let $a \in \mathbb{C} \setminus \mathbb{D}$. Let K be a compact subset of $\mathbb{C} \setminus (\mathbb{D} \cup \{a\})$ with connected complement, L a compact subset of \mathbb{D} and $h \in A(K)$. Then for every $\varepsilon > 0$, every $\alpha \in \mathbb{C}$ and every integer $p \geq 1$, there exists a polynomial $P = \sum_{k=p}^q a_k z^k$ such that*

- a) $P(a) \neq 0$ and $P(a) \neq \alpha$.
- b) $\|P - h\|_K \leq \varepsilon$;
- c) $\|P\|_L \leq \varepsilon$.

Proof. Since $K \cup \mathbb{D}$ has connected complement, one may find a polynomial Q such that

$$\|Q\|_{\mathbb{D}} \leq \varepsilon, \quad \|Q - z^{-p}h\|_K \leq \varepsilon.$$

The polynomial $P = z^p Q$ satisfies b) and c). If a) is not satisfied, it suffices to add to P a monomial of degree greater than p with a coefficient small enough. \square

Proof of Theorem 4.1. We directly prove that $\mathcal{U}_{1-z}^\mu(\mathbb{D})$ is a dense meagre subset of $H(\mathbb{D})$. It is easy to see that a power series $\sum_k a_k z^k$ whose Padé approximant of degrees $(p, 1)$ has (non reducible) denominator $1 - z$ must satisfy $a_{p+1} = a_p$. Hence, the complement of $\mathcal{U}_{1-z}^\mu(\mathbb{D})$ contains the subset E of $H(\mathbb{D})$ consisting of those power series $\sum_k a_k z^k$ with radius of convergence at least 1 such that for any $p \in \mathbb{N}$, $a_p \neq a_{p+1}$. The set E can be written as $E = \bigcap_{n \geq 0} E_n$ where

$$E_n = \left\{ \sum_k a_k z^k \in H(\mathbb{D}), a_k \neq a_{k+1} \text{ for every } k = 0, \dots, n \right\}.$$

Each E_n , $n \in \mathbb{N}$, is clearly open and dense in $H(\mathbb{D})$, then by the Baire Category Theorem, E is a dense G_δ subset of the Baire space $H(\mathbb{D})$. Thus the complement of $\mathcal{U}_{1-z}^\mu(\mathbb{D})$ is residual and $\mathcal{U}_{1-z}^\mu(\mathbb{D})$ is meagre.

We now turn to proof of the density of $\mathcal{U}_{1-z}^\mu(\mathbb{D})$. We fix a polynomial T in $H(\mathbb{D})$, a compact set $L \subset \mathbb{D}$ and $0 < \varepsilon_0 < 1$. Let

- $(L_n)_n$ be an exhaustion of compact subsets of \mathbb{D} such that for all $n \geq 0$, $L \subset L_n$,
- $(K_n)_n$, a sequence of compact sets given by Lemma 4.2 with $a = 1$,
- $(Q_n)_n$, an enumeration of polynomials with coefficients in $\mathbb{Q} + i\mathbb{Q}$,
- $\phi, \psi : \mathbb{N} \rightarrow \mathbb{N}$, two functions such that, for every pair (m, l) of positive integers, there exist infinitely many integers n such that $(\phi(n), \psi(n)) = (m, l)$.

We build by induction a power series f in $\mathcal{U}^\mu(\mathbb{D})$ which is close to T in $H(\mathbb{D})$, namely

$$\|f - T\|_L \leq \varepsilon_0,$$

where $\varepsilon_0 > 0$. We set $f_0 = (1 - z)T$. Then we assume that the polynomial f_j has been built for some $j \geq 1$. We set $f_{j+1} = f_j + P$ where P is given by Lemma 4.4 with

$$a = 1, \quad K = K_{\psi(j+1)}, \quad L = L_{j+1}, \quad h = (1 - z)Q_{\phi(j+1)} - f_j,$$

and

$$\varepsilon = \varepsilon_0 2^{-j-1} \min(1, d(1, K_{\psi(j+1)}), d(1, L)), \quad \alpha = -f_j(1), \quad p = \min_n \{p_n; p_n \geq \deg(f_j)\} + 2.$$

By construction we have, for every $j \geq 1$,

- (i) $\|(1-z)Q_{\phi(j)} - f_j\|_{K_{\psi(j)}} \leq \varepsilon \leq 2^{-j} \min(1, d(1, K_{\psi(j)}))$;
- (ii) $\|f_{j+1} - f_j\|_{L_{j+1}} \leq \varepsilon \leq 2^{-j-1} d(1, L) \varepsilon_0$.

We now define

$$(4.1) \quad \tilde{f} = \sum_{j \geq 0} (f_{j+1} - f_j) + f_0.$$

By (ii) above, we deduce that $\tilde{f} \in H(\mathbb{D})$ and that f_N tends to \tilde{f} in $H(\mathbb{D})$, as N tends to ∞ . Moreover

$$\tilde{f} - (1-z)T = \sum_{j \geq 0} (f_{j+1} - f_j),$$

so that (ii) also implies

$$\|\tilde{f} - (1-z)T\|_L \leq d(1, L) \varepsilon_0,$$

since $L \subset L_j$ for every $j \geq 1$. Finally we define $f = \tilde{f}/(1-z)$ and show that f gives the desired power series. From the above observations, it is clear that $f \in H(\mathbb{D})$ and that

$$\|f - T\|_L \leq \varepsilon_0.$$

It remains to show that $f \in \mathcal{U}_{1-z}^\mu(\mathbb{D})$. Notice that, by construction, for every $j \geq 1$, if we denote by p_{n_j} the smallest element of μ such that $p_{n_j} \geq \deg(f_j)$, then

$$(4.2) \quad f \in \mathcal{N}_{p_{n_j}, 1} \quad \text{and} \quad [f; p_{n_j}/1] = \frac{f_j}{1-z}.$$

Indeed, since for every $j \geq 1$, $f_{j+1} = f_j + P$ with $\text{val}(P) \geq p_{n_j} + 2$, the $p_{n_j} + 1$ first coefficients of the Taylor expansions of f and $f_j/(1-z)$ coincide. In addition f_j does not vanish at 1 since $f_j = f_{j-1} + P$ with $P(1) \neq -f_{j-1}(1)$. As $\deg(f_j) \leq p_{n_j}$ (4.2) follows.

We now fix $\varepsilon > 0$, a compact set $K \subset \mathbb{C} \setminus (\mathbb{D} \cup \{1\})$ with connected complement and a function $h \in A(K)$. Let (l, m) be two integers such that $K \subset K_m$ and such that $\|Q_l - h\|_{K_m} \leq \varepsilon/2$. Let then $(v_j)_j$ be an infinite sequence such that $(l, m) = (\phi(v_j), \psi(v_j))$ for every $j \geq 0$, and consider the subsequence $(p_{n_j})_j$ of μ where p_{n_j} is the smallest element p_n of μ with $p_n \geq \deg(f_{v_j})$. By (i) and the above, there exists j large enough such that

$$\|[f; p_{n_j}/1] - h\|_K \leq \left\| \frac{f_{v_j}}{1-z} - h \right\|_{K_m} \leq \left\| \frac{f_{v_j}}{1-z} - Q_l \right\|_{K_m} + \|Q_l - h\|_{K_m} \leq \frac{\varepsilon}{2} + \frac{\varepsilon}{2}.$$

Property c) in the theorem follows from (4.2) and the fact that $f_{v_j}/(1-z)$ tends to f in $H(\mathbb{D})$. \square

Theorem 4.1 holds true with $1-z$ replaced by $1-z/w$, for any point $w \in \mathbb{C} \setminus \mathbb{D}$. Actually, one can replace the polynomial $1-z$ by any polynomial Q of some degree $q \geq 1$,

$$(4.3) \quad Q(z) = \prod_{i=1}^q \left(1 - \frac{z}{w_i}\right) \quad \text{with } |w_i| \geq 1 \text{ for every } i,$$

and the assertion $f \in \mathcal{N}_{\lambda_n, 1}$ by the assertion $f \in \mathcal{N}_{\lambda_n, q}$. This is the content of the following theorem, which is the main result of this section.

Theorem 4.5. *Let $W = (w_1, \dots, w_q)$ be a family of q points in $\mathbb{C} \setminus \mathbb{D}$, not necessarily distinct. Let $\mu = (p_n)_n$ be an unbounded sequence of integers and Q a polynomial of degree q as in (4.3). There exists a function f in $H(\mathbb{D})$ such that for every compact set $K \subset \mathbb{C} \setminus (\mathbb{D} \cup W)$ with $\mathbb{C} \setminus K$ connected, and every function $h \in A(K)$, there is a subsequence $(\lambda_n)_n$ of μ with the following properties:*

- a) $f \in \mathcal{N}_{\lambda_n, q}$ for all $n = 1, 2, \dots$;
- b) $[f; \lambda_n/q] \rightarrow h$ in $A(K)$ as $n \rightarrow \infty$;
- c) $[f; \lambda_n/q] \rightarrow f$ in $H(\mathbb{D})$ as $n \rightarrow \infty$;
- d) $Q_{\lambda_n, q}(f) = Q$.

The set $\mathcal{U}_Q^\mu(\mathbb{D})$ of such functions is a dense meagre subset of $H(\mathbb{D})$.

The proof is an easy modification of that of Theorem 4.1, based on the two following lemmas whose proofs are similar to those of Lemmas 4.2 and 4.4, respectively, and are omitted.

Lemma 4.6. *Let $W = (w_1, \dots, w_q)$ be a family of q points in $\mathbb{C} \setminus \mathbb{D}$, not necessarily distinct. There exists a sequence $(K_n)_n$ of compact subsets of $\mathbb{C} \setminus (\mathbb{D} \cup W)$, with $\mathbb{C} \setminus K_n$ connected for all n , such that every compact set $K \subset \mathbb{C} \setminus (\mathbb{D} \cup W)$ with $\mathbb{C} \setminus K$ connected is included in a set K_n for some n .*

Lemma 4.7. *Let $W = (w_1, \dots, w_q)$ be a family of q points in $\mathbb{C} \setminus \mathbb{D}$, not necessarily distinct. Let K be a compact subset of $\mathbb{C} \setminus (\mathbb{D} \cup W)$ with connected complement and L a compact subset of \mathbb{D} . Let also $h \in A(K)$. Then for every $\varepsilon > 0$, every family $(a_1, \dots, a_q) \in \mathbb{C}$ and every integer $p \geq 1$, there exists a polynomial $P = \sum_{k=p}^r b_k z^k$ such that*

- a) $P(w_i) \neq 0$ and $P(w_i) \neq a_i$ for every $1 \leq i \leq q$;
- b) $\|P - h\|_K \leq \varepsilon$;
- c) $\|P\|_L \leq \varepsilon$.

Proof of Theorem 4.5. It suffices to repeat the proof of Theorem 4.1. The set $\mathcal{U}_Q^\mu(\mathbb{D})$ being meager is still a consequence of the fact that the denominator of the (λ_n, q) Padé approximants of any $f \in \mathcal{U}_Q^\mu(\mathbb{D})$ equals the prescribed polynomial Q . The density follows by applying Lemma 4.6 and defining the recurrence with $f_0 = Q(z)T$ and then, at the $(j+1)$ -th step, by using Lemma 4.7 with

$$K = K_{\psi(j+1)}, \quad L = L_{j+1}, \quad h = Q \cdot Q_{\phi(j+1)} - f_j,$$

$$\varepsilon = \varepsilon_0 2^{-j-1} \min(1, d(W, K_{\psi(j+1)}), d(W, L)), \quad a_i = -f_j(w_i) \text{ for } 1 \leq i \leq q,$$

and

$$p = \min_n \{p_n; p_n \geq \deg(f_j)\} + q + 1,$$

where the notations in the proof of Theorem 4.1) are used. □

We end this paragraph with a result which will be useful in the next section.

Definition 4.8. Let $W = (w_1, \dots, w_q)$ be a family of q points in $\mathbb{C} \setminus \mathbb{D}$, not necessarily distinct. Let μ be an unbounded sequence of positive integers and Q be a polynomial as in (4.3). Let also $K \subset \mathbb{C} \setminus (\mathbb{D} \cup W)$ be a compact subset with $\mathbb{C} \setminus K$ connected. We denote by

$\mathcal{U}_Q^\mu(\mathbb{D}, K)$ the set of functions $f \in H(\mathbb{D})$ satisfying the same properties as those in $\mathcal{U}_Q^\mu(\mathbb{D})$, except that Property b) is verified only on the compact set K .

The next corollary is a slight refinement of Theorem 4.5.

Corollary 4.9. *Let $W = (w_1, \dots, w_q)$ be a family of q points in $\mathbb{C} \setminus \mathbb{D}$, not necessarily distinct. Let $\mu^i = (p_n^i)_n$, $i \in \mathbb{N}$, be a countable family of unbounded sequences of positive integers and Q be a polynomial as in (4.3). Let also $(K_i)_i$, $i \in \mathbb{N}$, be a countable family of compact sets of $\mathbb{C} \setminus (\mathbb{D} \cup W)$ with $\mathbb{C} \setminus K_i$ connected for every $i \in \mathbb{N}$. Then the set*

$$\bigcap_{i \in \mathbb{N}} \mathcal{U}_Q^{\mu^i}(\mathbb{D}, K_i)$$

is a dense meagre subset of $H(\mathbb{D})$.

Proof. We only give a scheme of the proof. The set is meagre in $H(\mathbb{D})$ as a subset of meagre sets. For the density we let the reader check that it follows as in the proof of Theorem 4.5 by building a universal Padé series by induction, starting with $f_0 = Q(z)T$ and using Lemma 4.7 for the $(j + 1)$ -th step with

$$K = K_{\psi(j+1)}, \quad L = L_{j+1}, \quad h = Q \cdot Q_{\phi(j+1)} - f_j,$$

$$\varepsilon = \varepsilon_0 2^{-j-1} \min(1, d(W, K_{\psi(j+1)}), d(W, L)), \quad a_i = -f_j(w_i) \text{ for } 1 \leq i \leq q,$$

and

$$p = \min_n \{p_n^{\psi(j+1)}; p_n^{\psi(j+1)} \geq \deg(f_j)\} + q + 1.$$

□

4.2. Algebraic genericity of a set of Padé universal series. Padé approximation is not a linear operation and thus algebraic genericity for Padé universal series is not a straightforward property. However, using Theorem 4.5 with a particular polynomial Q , one can show that a set of Padé universal series, related to $\mathcal{U}_Q^\mu(\mathbb{D})$, contains a linear subspace (except for the zero function) dense in $H(\mathbb{D})$.

Theorem 4.10. *Let μ be an unbounded sequence of positive integers, $a \in \mathbb{C} \setminus \mathbb{D}$, and $q \geq 1$ an integer. The set*

$$\tilde{\mathcal{U}}_{Q_q}^\mu(\mathbb{D}) := \bigcup_{p=0}^q \mathcal{U}_{Q_p}^\mu(\mathbb{D}), \quad Q_p(z) = (1 - z/a)^p,$$

is algebraically generic. More precisely, there exists a sequence of functions $f_k \in \mathcal{U}_{Q_q}^\mu(\mathbb{D})$, $k \geq 0$, such that $F = \text{span}(f_k, k \geq 0)$ is dense in $H(\mathbb{D})$ and $F \setminus \{0\}$ is contained in $\tilde{\mathcal{U}}_{Q_q}^\mu(\mathbb{D})$.

Proof. The scheme of proof is standard, see e.g. [7, Corollary 19]. Let $(O_j)_{j \geq 0}$ be a countable basis of neighborhoods of the separable space $H(\mathbb{D})$ and let $(K_i)_i$ be a sequence of compact subsets given by Lemma 4.2. We set $\mu_0^i = \mu = (p_n)_n$ for any $i \in \mathbb{N}$. By Corollary 4.9 there exists $f_0 \in \bigcap_{i \in \mathbb{N}} \mathcal{U}_{Q_q}^{\mu_0^i}(\mathbb{D}, K_i) \cap O_0$. For any $i \in \mathbb{N}$, let $\mu_1^i := (p_n^{1,i})_n$ be a subsequence of μ_0^i such that

- (1) $[f_0; p_n^{1,i}/q] \rightarrow 0$ as $n \rightarrow \infty$ in $A(K_i)$;
- (2) $[f_0; p_n^{1,i}/q] \rightarrow f_0$ as $n \rightarrow \infty$ in $H(\mathbb{D})$;
- (3) Q_q is the denominator of $[f_0; p_n^{1,i}/q]$ for every $n \geq 0$.

We then define $f_1 \in \bigcap_{i \in \mathbb{N}} \mathcal{U}_{Q_q}^{\mu_1^i}(\mathbb{D}, K_i) \cap O_1$ and construct by induction sequences $(f_k, \mu_k^i)_k$ with $\mu_k^i = (p_n^{k,i})_n$, $i \in \mathbb{N}$, such that for any i ,

4. $f_k \in \bigcap_{i \in \mathbb{N}} \mathcal{U}_{Q_q}^{\mu_k^i}(\mathbb{D}, K_i) \cap O_k$;
5. μ_{k+1}^i is a subsequence of μ_k^i , for any i ;
6. $[f_k; p_n^{j,i}/q] \rightarrow 0$ as $n \rightarrow \infty$ in $A(K_i)$ for any $j > k$;
7. $[f_k; p_n^{j,i}/q] \rightarrow f_k$ as $n \rightarrow \infty$ in $H(\mathbb{D})$ for any $j > k$;
8. Q_q is the denominator of $[f_k; p_n^{j,i}/q]$ for any $n \geq 0$ and any $j > k$.

We assert that $F = \text{span}(f_k, k \geq 0)$ satisfies the properties of the theorem. It is clearly dense in $H(\mathbb{D})$ since the family $(f_k)_k$ is dense in $H(\mathbb{D})$. Let now

$$g = \alpha_0 f_0 + \dots + \alpha_l f_l, \quad \alpha_l \neq 0,$$

be any nonzero function of F . We have to show that $g \in \tilde{\mathcal{U}}_{Q_q}^{\mu}(\mathbb{D})$. Let $K \subset \mathbb{C} \setminus (\mathbb{D} \cup \{a\})$ and $h \in A(K)$. Choose i_0 such that $K \subset K_{i_0}$. Since $f_l \in \bigcap_{i \in \mathbb{N}} \mathcal{U}_{Q_q}^{\mu_l^i}(\mathbb{D}, K_i)$ there exists a subsequence $(\lambda_n)_n$ of $\mu_l^{i_0}$ such that Q is the denominator of $[f_k; p_{\lambda_n}^{l,i_0}/q]$ for any $0 \leq k \leq l$ and such that

$$[f_l; p_{\lambda_n}^{l,i_0}/q] \rightarrow \frac{h}{\alpha_l} \text{ in } A(K) \quad \text{and} \quad [f_l; p_{\lambda_n}^{l,i_0}/q] \rightarrow f_l \text{ in } H(\mathbb{D}), \text{ as } n \rightarrow \infty,$$

while, for every $0 \leq k < l$,

$$[f_k; p_{\lambda_n}^{l,i_0}/q] \rightarrow 0 \text{ in } A(K) \quad \text{and} \quad [f_k; p_{\lambda_n}^{l,i_0}/q] \rightarrow f_k \text{ in } H(\mathbb{D}), \text{ as } n \rightarrow \infty.$$

Moreover from items 3. and 8., the Padé approximant $[g; p_{\lambda_n}^{l,i_0}/q]$ exists and, for every $n \geq 0$

$$(4.4) \quad [g; p_{\lambda_n}^{l,i_0}/q] = \sum_{k=0}^l \alpha_k [f_k; p_{\lambda_n}^{l,i_0}/q].$$

Thus,

$$[g; p_{\lambda_n}^{l,i_0}/q] \rightarrow h \text{ in } A(K) \quad \text{and} \quad [g; p_{\lambda_n}^{l,i_0}/q] \rightarrow g \text{ in } H(\mathbb{D}), \text{ as } n \rightarrow \infty.$$

There exists at least a degree $0 \leq p_0 \leq q$ such that the denominator of (4.4), written in irreducible form, equals Q_{p_0} for infinitely many n . Consequently, $g \in \mathcal{U}_{Q_{p_0}}^{\mu}(\mathbb{D}) \subset \tilde{\mathcal{U}}_{Q_q}^{\mu}(\mathbb{D})$. \square

4.3. Spaceability of a set of Padé universal series. In this section, we prove that the set $\tilde{\mathcal{U}}_{Q_q}^{\mu}(\mathbb{D})$ is also spaceable.

Theorem 4.11. *The set $\tilde{\mathcal{U}}_{Q_q}^{\mu}(\mathbb{D})$ is spaceable, namely, it contains, except for the zero function, an infinite dimensional closed linear subspace of $H(\mathbb{D})$. More precisely, with the notations of Theorem 4.10, there exists a sequence of functions $f_k \in \mathcal{U}_{Q_q}^{\mu}(\mathbb{D})$, $k \geq 0$, such*

that $F = \text{span}(f_k, k \geq 0)$ is a closed infinite dimensional subspace of $H(\mathbb{D})$ and $F \setminus \{0\}$ is contained in $\tilde{\mathcal{U}}_{\mathbb{Q}_q}^\mu(\mathbb{D})$.

The first construction of an infinite dimensional closed subspace of universal Taylor series was given in [6]. Bayart’s proof has been extended to an abstract setting in Banach spaces in [12] and then in Fréchet spaces in [23]. Our proof will consist in a slight adaptation of the method presented in these papers. It is now standard and uses different ingredients, the first one being the notion of a basic sequence, see e.g. [23, 30]. A sequence $(u_n)_n$ in a Fréchet space X (over a field \mathbb{K}) is a *basic sequence* if it is a Schauder basis of $\text{clos}(\text{span}(\{u_n, n \geq 0\}))$, i.e. if any element $x \in \text{clos}(\text{span}(\{u_n, n \geq 0\}))$ can be uniquely written in X as a series $\sum_n a_n u_n$ for some $a_n \in \mathbb{K}$, $n \in \mathbb{N}$. If X is a Fréchet space with a continuous norm and if $(p_n)_n$ is a sequence of increasing continuous norms defining its topology (the existence of which is equivalent to the existence of a continuous norm in X), we shall denote by X_n the normed space X endowed with the topology of the norm p_n .

The next result gives a criterion to check that a sequence of elements in a Fréchet space with a continuous norm is basic.

Lemma 4.12 ([23, Lemme 2.2]). *Let X be a Fréchet space (over the field $\mathbb{K} = \mathbb{R}$ or \mathbb{C}) with a continuous norm, $(p_n)_n$ an increasing sequence of continuous norms defining its topology and $(\varepsilon_n)_n$ a sequence of positive real numbers such that $B = \prod_n (1 + \varepsilon_n) < \infty$. If $(u_n)_n$ is a sequence of elements in X satisfying for every $n \in \mathbb{N}$, every $0 \leq j \leq n$, and every $a_1, \dots, a_{n+1} \in \mathbb{K}$*

$$p_j \left(\sum_{k=0}^n a_k u_k \right) \leq (1 + \varepsilon_n) p_j \left(\sum_{k=0}^{n+1} a_k u_k \right)$$

then $(u_n)_n$ is a basic sequence in each X_n , $n \in \mathbb{N}$, and in X .

The infimum of the constant $B = \prod_n (1 + \varepsilon_n)$ satisfying the above is called the constant of basicity of $(u_n)_n$. A useful tool to construct convenient basic sequences in Fréchet spaces with continuous norm is as follows.

Lemma 4.13 ([23, Lemme 2.3]). *Let X be a Fréchet space (over the field $\mathbb{K} = \mathbb{R}$ or \mathbb{C}) with a continuous norm, $(p_n)_n$ an increasing sequence of continuous norms defining its topology and M an infinite dimensional subspace of X . Then for every $\varepsilon > 0$, every $u_0, \dots, u_n \in X$, there exists $u_{n+1} \in M$ such that $p_1(u_{n+1}) = 1$ and such that for every $0 \leq j \leq n$, for every $a_0, \dots, a_{n+1} \in \mathbb{K}$ we have*

$$p_j \left(\sum_{k=0}^n a_k u_k \right) \leq (1 + \varepsilon) p_j \left(\sum_{k=0}^{n+1} a_k u_k \right).$$

We shall also need the notion of equivalent basic sequences.

Definition 4.14. Two basic sequences $(u_n)_n$ and $(f_n)_n$ of a Fréchet space X are *equivalent* if, for any $a_1, a_2, \dots \in \mathbb{K}$, $\sum_n a_n u_n$ converges in X if and only if $\sum_n a_n f_n$ converges in X .

The following lemma is also useful.

Lemma 4.15 ([23, Lemme 2.5]). *Let X be a Fréchet space (over the field $\mathbb{K} = \mathbb{R}$ or \mathbb{C}) with a continuous norm, $(p_n)_n$ an increasing sequence of continuous norms defining its topology and let $K \geq 1$. If $(u_k)_k$ is a basic sequence in X such that for every k , $p_1(u_k) = 1$, and for every $n \in \mathbb{N}$, the sequence $(u_k)_{k \geq n}$ is basic in X_n with constant of basicity less than B , then every sequence $(f_k)_k$ in X satisfying*

$$\sum_n 2Bp_n(u_n - f_n) < 1$$

is basic in each X_n , $n \in \mathbb{N}$, and in X . Moreover $(u_k)_k$ and $(f_k)_k$ are equivalent in the completion of each X_n , $n \in \mathbb{N}$, and in X .

Theorem 4.11 is stated in the space $H(\mathbb{D})$, where $(z^n)_{n \geq 0}$ can be taken as an explicit basic sequence. Hence the above lemmas on basic sequences are not crucial in this case. However, in the more general space $H(\Omega)$, Ω a simply connected domain in \mathbb{C} , the existence of a basic sequence is no more obvious, and these lemmas would be mandatory there.

Proof of Theorem 4.11. Let

- $(L_n)_n$ be an exhaustion of compact subsets of \mathbb{D} ,
- $(K_n)_n \subset \mathbb{C} \setminus (\mathbb{D} \cup \{a\})$, a family of compact sets given by Lemma 4.2,
- $(P_n)_n$ an enumeration of polynomials with coefficients in $\mathbb{Q} + i\mathbb{Q}$,
- ϕ, ψ , maps from \mathbb{N} to \mathbb{N} such that for any pair $(l, r) \in \mathbb{N} \times \mathbb{N}$, there exist infinitely many integers n with $(\phi(n), \psi(n)) = (l, r)$.

Moreover, we denote by \prec the (non strict) lexicographical order on $\mathbb{N} \times \mathbb{N}$.

Three sequences $(u_k)_{k \geq 0}$, $(g_{n,k})_{n \geq k \geq 0}$ and $(f_{n,k})_{n \geq k \geq 0}$ of polynomials in $H(\mathbb{D})$ can be built inductively, from which the desired infinite dimensional closed linear subspace of $H(\mathbb{D})$ is derived. The construction of these sequences is described in all details in [23], see also [12]. Figure 1 illustrates the ordering of the construction, which follows the lexicographical order of the indices. The construction would make use of Lemma 4.13 and Lemma 4.4, as indicated in the figure.

Here, we only state the properties of the resulting sequences. For $(\eta_n)_n \subset \mathbb{R}_+$, a well-chosen sequence decreasing to 0 fast enough and for $(u_k)_k$, a basic sequence of $H(\mathbb{D})$, obtained from Lemma 4.13 (in the disk \mathbb{D} , $(u_k)_k$ could be a subsequence of $(z^n)_n$), the sequences $(u_k)_{k \geq 0}$, $(g_{n,k})_{n \geq k \geq 0}$ and $(f_{n,k})_{n \geq k \geq 0}$ satisfy, for every $n \geq k \geq 0$:

- (1) $\|Q_q P_{\phi(n)} - g_{n,k}\|_{K_{\psi(n)}} \leq \eta_n \min(1, d(a, K_{\psi(n)})^q)$;
- (2) $\|f_{n,k}\|_{K_{\psi(n+1)}} \leq \eta_n d(a, K_{\psi(n+1)})^q$;
- (3) $\|f_{n+1,k} - f_{n,k}\|_{L_{n+1}} \leq \eta_n d(a, L_{n+1})^q$;
- (4) $\|f_{n,k} - g_{n,k}\|_{L_n} \leq \eta_n d(a, L_n)^q$;
- (5) $\|f_{k,k} - Q_q u_k\|_{L_k} \leq \eta_k d(a, L_k)^q$;

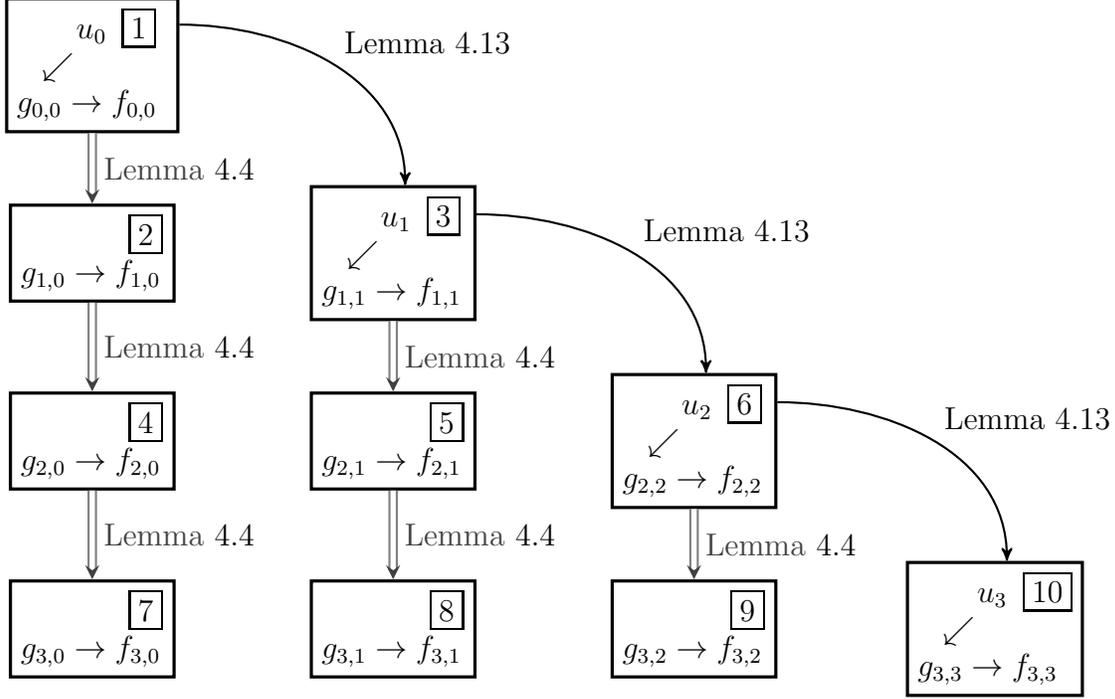


FIGURE 1. The first steps in the construction of the sequences $(u_k)_{k \geq 0}$, $(g_{n,k})_{n \geq k \geq 0}$ and $(f_{n,k})_{n \geq k \geq 0}$. Numbers in boxes correspond to the numbering of these steps.

- (6) For every $n \geq k$, $g_{n+1,k} = f_{n,k} + P$ (resp. $g_{k,k} = u_k + P$) where P is a polynomial with

$$\text{val}(P) \geq \min \left\{ p_n; p_n \geq \max_{(n',k') \prec (n,k)} \deg(f_{n',k'}) + q + 1 \right\}$$

(resp. $\geq \min \{ p_n; p_n \geq \deg(u_k) + q + 1 \}$);

- (7) For every $n \geq k$, $f_{n,k} = g_{n,k} + R$ where R is a polynomial with

$$\text{val}(R) \geq \min \left\{ p_n; p_n \geq \max_{(n',k') \prec (n,k)} \deg(g_{n',k'}) + q + 1 \right\};$$

- (8) $g_{n,k}$ does not vanish at a ;

- (9) $\|u_k\|_{L_0} = 1$.

For every $k \geq 0$, we set

$$\tilde{f}_k = \sum_{n \geq k} (f_{n+1,k} - f_{n,k}) + f_{k,k} \quad \text{and} \quad f_k = \tilde{f}_k / Q_q.$$

By item 3., we deduce that $f_k \in H(\mathbb{D})$ and that $f_k = \lim_{n \rightarrow \infty} f_{n,k} / Q_q$. Moreover by item 5. and Lemma 4.15, $(f_k)_k$ is a basic sequence of $H(\mathbb{D})$ equivalent to $(u_k)_k$. Thus we define

the closed subspace

$$F := \text{clos}(\text{span}(f_k, k \geq 0)) = \left\{ \sum_{k=0}^{\infty} a_k f_k \text{ which converges in } H(\mathbb{D}) \right\}.$$

Since, by items 6. and 7., the f_k 's are linearly independent, F is infinite dimensional. It remains to check that every nonzero element f of F is in $\widetilde{\mathcal{U}}_{Q_q}^{\mu}(\mathbb{D})$. Fix any $j > k \geq 0$. First, arguing as in the proof of Theorem 4.1, observe that, in view of items 6., 7. and 8., if we denote by $p_{n_j}(k)$ the smallest element of μ such that $p_{n_j}(k) \geq \deg(g_{j,k})$, we have

$$f_k \in \mathcal{D}_{p_{n_j}(k), q} \text{ and } [f_k; p_{n_j}(k)/q] = \frac{g_{j,k}}{Q_q}.$$

Let $f = \sum_{k=0}^{\infty} a_k f_k \in F \setminus \{0\}$. From the construction, in particular the choices of valuations, we obtain that the $p_{n_j}(k) + q$ Taylor coefficients of f coincide with those of

$$a_k \frac{g_{j,k}}{Q_q} + \sum_{k'=0}^{k-1} a_{k'} \frac{f_{j,k'}}{Q_q} + \sum_{k'=k+1}^{j-1} a_{k'} \frac{f_{j-1,k'}}{Q_q},$$

which is a rational function whose numerator and denominator degrees are less than or equal to $p_{n_j}(k)$ and q respectively. Thus the Padé approximant of f of type $(p_{n_j}(k), q)$ exists and equals

$$[f; p_{n_j}(k)/q] = a_k \frac{g_{j,k}}{Q_q} + \sum_{k'=0}^{k-1} a_{k'} \frac{f_{j,k'}}{Q_q} + \sum_{k'=k+1}^{j-1} a_{k'} \frac{f_{j-1,k'}}{Q_q}.$$

Note that the denominator of the rational fraction in the right-hand side, written in irreducible form, equals Q_p for some $0 \leq p \leq q$.

To finish we have to show that f possesses universal approximation properties. We first observe that the sequence $(a_k)_k$ is bounded by some constant M . Indeed since $(f_k)_k$ and $(u_k)_k$ are equivalent, the series $\sum_{k \geq 0} a_k u_k$ converges and by item 9., we have

$$|a_k| = \|a_k u_k\|_{L_0} \leq 2B \left\| \sum_{k \geq 0} a_k u_k \right\|_{L_0},$$

where B stands for the constant of basicity of the basic sequence $(u_k)_k$. We set $M = 2B$. Let k_0 be the smallest integer k such that $a_k \neq 0$. Now let $K \subset \mathbb{C} \setminus (\mathbb{D} \cup \{a\})$ be a compact subset and let $r \in \mathbb{N}$ be such that $K \subset K_r$. We fix also a polynomial P_l in the countable dense family of polynomials. By definition of ϕ and ψ there exists an increasing sequence $(v_j)_j \subset \mathbb{N}$ such that $(\phi(v_j), \psi(v_j)) = (l, r)$ and $v_j > k_0$ for any $j \geq 0$. Let us denote by p_{n_j} the smallest element of μ such that $p_{n_j} \geq \deg(g_{v_j, k_0})$. By the above and items 1. and 2.,

we have

$$\begin{aligned}
 \|[f; p_{n_j}/q] - P_l\|_{K_r} &= \left\| a_{k_0} \frac{g_{v_j, k_0}}{Q_q} + \sum_{k'=k_0+1}^{v_j-1} a_{k'} \frac{f_{v_j-1, k'}}{Q_q} - P_{\phi(v_j)} \right\|_{K_{\psi(v_j)}} \\
 &\leq \left\| a_{k_0} \frac{g_{v_j, k_0}}{Q_q} - P_{\phi(v_j)} \right\|_{K_{\psi(v_j)}} + \left\| \sum_{k'=k_0+1}^{v_j-1} a_{k'} \frac{f_{v_j-1, k'}}{Q_q} \right\|_{K_{\psi(v_j)}} \\
 &\leq M\eta_{v_j} + M \sum_{k'=k_0+1}^{v_j-1} \eta_{v_j}.
 \end{aligned}$$

Choosing $(\eta_n)_n$ decreasing to 0 fast enough, we get that $[f; p_{n_j}/q]$ tends to P_l in $A(K_r)$ as j tends to ∞ .

It remains to show that, given $m \in \mathbb{N}$, $[f; p_{n_j}/q]$ tends to f uniformly on L_m . For j large enough, using items 3. and 4. (see also Figure 1), one can check that

$$\begin{aligned}
 \|[f; p_{n_j}/q] - f\|_{L_n} &\leq \left\| \sum_{k' \geq v_j+1} a_{k'} f_{k'} \right\|_{L_n} + \left\| \sum_{k'=k_0}^{v_j} a_{k'} \left(\sum_{n \geq v_j+1} \frac{f_{n+1, k'}}{Q_q} - \frac{f_{n, k'}}{Q_q} \right) \right\|_{L_n} \\
 &\quad + \left\| a_{v_j} (f_{v_j, v_j} - g_{v_j, v_j}) \right\|_{L_n} \\
 &\leq \left\| \sum_{k' \geq v_j+1} a_{k'} f_{k'} \right\|_{L_n} + \sum_{k'=k_0}^{v_j} |a_{k'}| \left(\sum_{n \geq v_j+1} \eta_n \right) + |a_{v_j}| \eta_{v_j}.
 \end{aligned}$$

Again, choosing $(\eta_n)_n$ to decrease to 0 fast enough gives our contention since $\sum_{k \geq 0} a_k f_k$ is convergent in $H(\mathbb{D})$. \square

4.4. Genericity of Padé universal series whose Padé approximants have asymptotically prescribed poles. We have seen in Theorem 4.5 that the set $\mathcal{U}_Q^\mu(\mathbb{D})$ is meagre in $H(\mathbb{D})$. However, if we only demand the Padé approximants to have poles that go *asymptotically* to prescribed points in \mathbb{C} , then the corresponding set of Padé universal series becomes residual.

In the sequel, we adopt the following convention. When we write a tuple $(w^{(1)}, \dots, w^{(q)})$ of complex points, we assume it is ordered with respect to some lexicographical order \prec_α on $\mathbb{R}_+ \times [\alpha, \alpha + 2\pi[$, where each point is identified with its polar coordinates $(r, \vartheta) \in \mathbb{R}_+ \times [\alpha, \alpha + 2\pi[$. The argument $\alpha \in [0, 2\pi[$ is chosen to be different from each of the arguments of the $w^{(i)}$, $1 \leq i \leq q$.

Theorem 4.16. *Let μ be an unbounded sequence of integers and $q \geq 1$ an integer. There exists a function f in $H(\mathbb{D})$ such that for every tuple $W = (w^{(1)}, \dots, w^{(q)})$ of points in $\mathbb{C} \setminus \mathbb{D}$ ordered as above, where the argument α is different from the argument of $w^{(i)}$, $1 \leq i \leq q$, for every compact set $K \subset \mathbb{C} \setminus (\mathbb{D} \cup W)$ with $\mathbb{C} \setminus K$ connected, and every function $h \in A(K)$, there is a subsequence $(\lambda_n)_n$ of μ with the following properties:*

a) $f \in \mathcal{N}_{\lambda_n, q}$, for all $n \geq 1$;

- b) $[f; \lambda_n/q] \rightarrow h$ in $A(K)$ as $n \rightarrow \infty$;
- c) $[f; \lambda_n/q] \rightarrow f$ in $H(\mathbb{D})$ as $n \rightarrow \infty$;
- d) $a_{\lambda_n}^{(i)} \rightarrow w^{(i)}$ as $n \rightarrow \infty$, $1 \leq i \leq q$, where $(a_{\lambda_n}^{(1)}, \dots, a_{\lambda_n}^{(q)})$ are the roots of $Q_{\lambda_n, q}(f)$, ordered with respect to \prec_α .

The set $\tilde{\mathcal{U}}^{\mu, q}(\mathbb{D})$ of such functions is a dense G_δ -subset of $H(\mathbb{D})$.

Proof. The main tool is Baire category theorem. Let

- $(w_i^{(1)}, \dots, w_i^{(q)})_i$ be an enumeration of all ordered tuple of q complex numbers in $\mathbb{C} \setminus \mathbb{D}$ with rational coordinates,
- $(L_r)_r$, an exhaustion of compacta in \mathbb{D} ,
- $(K_{n, i})_n$, the sequence of compacta given by Lemma 4.6 with (w_1, \dots, w_q) replaced by $(w_i^{(1)}, \dots, w_i^{(q)})$,
- $(P_j)_j$ an enumeration of polynomials with coefficients in $\mathbb{Q} + i\mathbb{Q}$.

Applying Mergelyan's theorem in each $K_{n, i}$, the set $\tilde{\mathcal{U}}^{\mu, q}(\mathbb{D})$ can be described as:

$$\tilde{\mathcal{U}}^{\mu, q}(\mathbb{D}) = \bigcap_{i, n, j, s, r} \bigcup_{p \in \mu} F(i, n, j, s, r, p)$$

where, for $i, n, j, r \in \mathbb{N}$, $s \in \mathbb{N}^*$ and $p \in \mu$, $F(i, n, j, s, r, p)$ denotes the set of functions in $H(\mathbb{D})$ such that

$$f \in \mathcal{D}_{p, q}, \quad \deg Q_{\lambda_n, q}(f) = q, \quad \max_{1 \leq l \leq q} |a_p^{(l)} - w_i^{(l)}| < s^{-1},$$

and

$$\|[f; p/q] - P_j\|_{K_{n, i}} < s^{-1}, \quad \|[f; p/q] - f\|_{L_r} < s^{-1}.$$

Recall that, by definition, $f \in \mathcal{D}_{p, q}$ means that the Hankel determinant $C_{p, q}$ does not vanish. Also, from the determinantal expression for the denominator of a Padé denominator, see (2.5), we have that $Q_{\lambda_n, q}(f)$ is of degree q if and only if $C_{p+1, q}$ is nonzero. Now, by Baire category theorem, it is sufficient to prove that each union $\bigcup_{p \in \mu} F(i, n, j, s, r, p)$ is open and dense in $H(\mathbb{D})$. The Hankel determinants $C_{p, q}$, $C_{p+1, q}$, the Padé approximant $[f; p/q]$ and the roots $a_p^{(l)}$, $1 \leq l \leq q$, are continuous functions of the $p + q + 1$ first coefficients of the Taylor expansion of f . Therefore each set $F(i, n, j, s, r, p)$ is open. Now Theorem 4.5, with (w_1, \dots, w_q) replaced by $(w_i^{(1)}, \dots, w_i^{(q)})$, ensures that each $\bigcup_{p \in \mu} F(i, n, j, s, r, p)$ is dense in $H(\mathbb{D})$. \square

Remark 4.17. Theorem 4.16 gives another occurrence of density of poles. Indeed, the functions in $\tilde{\mathcal{U}}^{\mu, q}(\mathbb{D})$ have Padé approximants of degree (p_n, q) , with $(p_n)_n = \mu$, whose set of poles is dense in $\mathbb{C} \setminus \mathbb{D}$, compare with the results of Section 3.

5. FROM UNIVERSAL SERIES TO PADÉ UNIVERSAL SERIES

In this section we explore connections between the classical universal series and the Padé universal series. We recall that the notion of universal series was defined in the introduction.

For sake of simplicity, we restrict ourselves to the case of the unit disc, but the results displayed in this section would hold true for any simply connected domain Ω in \mathbb{C} .

It may be natural to ask whether a classical universal series provides a Padé universal series, possibly in a systematic way. The answer to this question is affirmative and, even more, one can exhibit a *dense* class of classical universal series which are systematically Padé universal series. Here *dense* refers to the topology of $H(\mathbb{D})$. Subsequently, one can recover the genericity of Padé universal series, stated in the introduction, as a consequence of the theory of classical universal series. In this connection, let us remark that, in the proof of Theorem 4.1, the function \tilde{f} defined in (4.1), from which the Padé universal series is built, is very close to be a universal series. This observation together with some additional tools from the theory of universal series actually provides us with a way to build up Padé universal series.

We first recall the notion of Ostrowski-gaps.

Definition 5.1. Let $\sum_{n \geq 0} a_n z^n$ be a power series. We say that it has Ostrowski-gaps $(p_m, q_m)_m$ if $(p_m)_m$ and $(q_m)_m$ are sequences of integers such that

- a) $p_0 < q_0 \leq p_1 < q_1 \leq \dots \leq p_m < q_m \leq \dots$ and $\lim_{m \rightarrow \infty} q_m/p_m = \infty$,
- b) for $I = \cup_{m \geq 0} \{p_m + 1, \dots, q_m\}$, we have $\lim_{n \rightarrow \infty, n \in I} |a_n|^{1/n} = 0$.

Gehlen, Müller and Luh proved that every universal series possesses Ostrowski-gaps [16]. Recently the authors of [13] introduced the notion of *large* Ostrowski-gaps where large refers to how fast q_m/p_m tends to ∞ . More precisely, let us call a *weight*, a strictly increasing function

$$\varphi : \mathbb{R}_+ \rightarrow \mathbb{R}_+ \text{ such that } \varphi(x) \rightarrow \infty \text{ and } \varphi(x)/x \rightarrow 0 \text{ as } x \rightarrow \infty.$$

A function f in $H(\mathbb{D})$ is said to have Ostrowski-gaps (p_m, q_m) with respect to the weight φ if its Taylor series has Ostrowski-gaps (p_m, q_m) with $p_m < \varphi(q_m) < q_m$ for every $m \geq 0$. Note that, the faster the ratio $\varphi(x)/x$ decreases to zero, the larger the Ostrowski-gaps are.

Definition 5.2. Let φ be a weight and let μ be an increasing sequence of positive integers. A power series f in $H(\mathbb{D})$ is a *universal series having Ostrowski-gaps with respect to φ* if, for every compact set $K \subset \mathbb{C} \setminus \mathbb{D}$ with connected complement and every function h in $A(K)$, there exist a subsequence (p_m) of μ and a sequence (q_m) such that

- a) $S_{p_m}(f) \rightarrow h$ in $A(K)$ as $m \rightarrow \infty$,
- b) f has Ostrowski-gaps $(p_m, q_m)_m$ with respect to φ .

We denote by $U^{(\mu, \varphi)}(\mathbb{D})$ the set of such power series. It has been proved in [13] that, for any weight φ , the set $U^{(\mu, \varphi)}(\mathbb{D})$ is residual in $H(\mathbb{D})$.

Proposition 5.3 ([13, Proposition 2.5]). *Let φ be a weight and let μ be an increasing sequence of positive integers. The set $U^{(\mu, \varphi)}(\mathbb{D})$ is a dense G_δ subset of $H(\mathbb{D})$.*

Actually it can be checked that the proof of Proposition 5.3 easily implies the following one stating the density of a very particular class of universal series.

Proposition 5.4. *Let φ be a weight and let μ be an increasing sequence of positive integers. There exists a function $f = \sum_{k \geq 0} a_k z^k$ in $H(\mathbb{D})$, having Ostrowski-gaps $(p_m, q_m)_m$ with respect to φ , such that $(p_m)_m$ is a subsequence of μ , $a_{p_m} \neq 0$ for every m , and for every compact set $K \subset \mathbb{C} \setminus \mathbb{D}$ with connected complement and every function h in $A(K)$, there is a sequence $(\lambda_n)_n$ of integers with the following properties:*

- a) $\|S_{p_{\lambda_n}}(f) - h\|_K \rightarrow 0$, as $n \rightarrow \infty$,
- b) $a_k = 0$, for $p_m \leq k \leq q_m$, $m \geq 0$.

The set $U_0^{(\mu, \varphi)}(\mathbb{D})$ of such functions is a dense subset of $H(\mathbb{D})$.

Remark 5.5. Notice that, in the above proposition, the gaps $(p_m, q_m)_m$ do not depend on the compact set K nor on the function $h \in A(K)$, compare with Definition 5.2.

We first prove that any function of $U_0^{(\mu, \varphi)}(\mathbb{D})$ provides a Padé universal series whose Padé approximants have prescribed poles.

Proposition 5.6. *Let φ be a weight and μ an increasing sequence of positive integers. Let $g = \sum_{k \geq 0} b_k z^k$ be in $U_0^{(\mu, \varphi)}(\mathbb{D})$. We denote by Z_g the union of all zeros of the partial sums $S_{p_m}(g)$, $m \geq 0$, where $(p_m, q_m)_m$ are the Ostrowski-gaps of g . Let now Q be any polynomial of degree $q \geq 1$, with zeros $Z(Q)$ outside $\mathbb{D} \cup Z_g$ and such that $Q(0) = 1$. Then the function $f := g/Q$ belongs to the set $\mathcal{U}_Q^\mu(\mathbb{D})$, as defined in Theorem 4.5.*

Proof. Let K be any compact set in $\mathbb{C} \setminus (\mathbb{D} \cup Z(Q))$ and let $h \in A(K)$. There exists a sequence $(\lambda_n)_n$ of integers such that

- (1) $S_{p_{\lambda_n}}(g) \rightarrow Qh$ in $A(K)$, as n tends to ∞ ;
- (2) $S_{p_{\lambda_n}}(g) \rightarrow g$ in $H(\mathbb{D})$, as n tends to ∞ ;
- (3) For every $k \in \{p_m, \dots, q_m\}$, $m \geq 0$, we have $b_k = 0$.

Now, by the properties of Ostrowski-gaps, up to a subsequence, we can assume that $q_{\lambda_n} - p_{\lambda_n} > q$ for any $n \geq 0$. Hence, $f \in \mathcal{D}_{p_{\lambda_n}, q}$ and $[f; p_{\lambda_n}/q] = S_{p_{\lambda_n}}(g)/Q$, where we use the fact that Q and $S_{p_m}(g)$ are coprime. Dividing by Q in items 1. and 2. finishes the proof. \square

Remark 5.7. Observe that the previous proof works for any weight φ .

Next, we recall [14, Theorem 3.1] in the case of the unit disk.

Theorem 5.8 (Theorem 3.1 of [14]). *Let $\mathcal{S} = (p_m, q_m)_m$ be a sequence of pairs of positive integers such that $(p_n)_n$ is unbounded. There exists a function f in $H(\mathbb{D})$ such that for every compact set $K \subset \mathbb{C} \setminus \mathbb{D}$ with $\mathbb{C} \setminus K$ connected and every function $h \in A(K)$, there is a subsequence $(\lambda_n)_n$ of \mathbb{N} with the following properties:*

- a) $f \in \mathcal{D}_{p_{\lambda_n}, q_{\lambda_n}}$ for all $n = 1, 2, \dots$;
- b) $[f; p_{\lambda_n}/q_{\lambda_n}] \rightarrow h$ in $A(K)$ as $n \rightarrow \infty$;
- c) $[f; p_{\lambda_n}/q_{\lambda_n}] \rightarrow f$ in $H(\mathbb{D})$ as $n \rightarrow \infty$;

The set $\mathcal{U}^{\mathcal{S}}(\mathbb{D})$ of those functions is a dense G_δ subset of $H(\mathbb{D})$.

The following proposition tells us that each element of certain classes of universal series with large Ostrowski-gaps is a Padé universal series in the sense of [14, Theorem 3.1].

Proposition 5.9. *Let $\mathcal{S} = (p_m, q_m)_m$ be a sequence of pairs of positive integers such that $(p_n)_n$ is unbounded. There exist a weight φ and an increasing sequence μ of positive integers such that $U_0^{(\mu, \varphi)}(\mathbb{D}) \subset \mathcal{U}^{\mathcal{S}}(\mathbb{D})$.*

Proof. Let $\mu = (p_m)_m$ and φ be such that, for any positive integer n ,

$$\varphi(p_r + q_r) < n, \quad \text{where } p_r = \min\{p_m; p_m \geq n\}.$$

Let $g = \sum_k a_k z^k \in U_0^{(\mu, \varphi)}(\mathbb{D})$. By the choice of μ and φ , it follows that there exists a sequence $(\lambda_n)_n \subset \mathbb{N}$ such that

$$(5.1) \quad \tilde{p}_n = p_{\lambda_n} \text{ and } \tilde{q}_n \geq p_{\lambda_n} + q_{\lambda_n}.$$

To prove that $g \in \mathcal{U}^{\mathcal{S}}(\mathbb{D})$, we fix a compact set $K \subset \mathbb{C} \setminus \mathbb{D}$ with $\mathbb{C} \setminus K$ connected and $h \in A(K)$. Since $g \in U_0^{(\mu, \varphi)}(\mathbb{D})$ we have, up to a subsequence,

$$(5.2) \quad \|S_{\tilde{p}_n}(g) - \tilde{h}\|_K \rightarrow 0 \text{ and } \|S_{\tilde{p}_n}(g) - g\|_L \rightarrow 0 \text{ as } n \rightarrow \infty.$$

By (5.1) $S_{\tilde{p}_n}(g) = S_{p_{\lambda_n}}(g)$ and $a_k = 0$ for any $k \in [p_{\lambda_n}, p_{\lambda_n} + q_{\lambda_n}]$, so $S_{p_{\lambda_n}}(g) = [g; p_{\lambda_n}/q_{\lambda_n}]$, hence the conclusion by (5.2). \square

We are in a position to recover [14, Theorem 3.1] for the case of a disk, that is that $\mathcal{U}^{\mathcal{S}}(\mathbb{D})$ is a dense G_δ subset of $H(\mathbb{D})$, without using Baire Category Theorem:

Let $(L_r)_r$ be an exhaustion of compacta in \mathbb{D} , $(K_m)_m$ a sequence of compacta in $\mathbb{C} \setminus \mathbb{D}$ given by [26, Lemma 5] and $(P_j)_j$ an enumeration of polynomials with coefficients in $\mathbb{Q} + i\mathbb{Q}$. Then

$$\mathcal{U}^{\mathcal{S}}(\mathbb{D}) = \bigcap_{j,s,r,m} \bigcup_{n \in \mathbb{N}} F(n, j, s, r, m)$$

where $F(n, j, s, r, m)$ is the set of function f in $H(\mathbb{D})$ such that

$$f \in \mathcal{D}_{p_n, q_n}, \quad \|[f; p_n/q_n] - P_j\|_{K_m} < s^{-1}, \quad \|[f; p_n/q_n] - f\|_{L_r} < s^{-1}.$$

We know that each set $F(n, j, s, r, m)$ is open so $\mathcal{U}^{\mathcal{S}}(\mathbb{D})$ is a G_δ subset of $H(\mathbb{D})$. By Proposition 5.9 it contains some $U_0^{(\mu, \varphi)}(\mathbb{D})$ which is dense by Proposition 5.4, hence the conclusion.

We end up with a statement which is slightly stronger than [14, Theorem 3.1] (for the unit disk).

Corollary 5.10. *With the notations and assumptions of Theorem 5.8, the subset of $\mathcal{U}^{\mathcal{S}}(\mathbb{D})$ each element of which belongs to $\mathcal{N}_{m,n}$ for any $(m, n) \in \mathbb{N} \times \mathbb{N}$, is a dense G_δ subset of $H(\mathbb{D})$.*

Proof. This is nothing but Baire Category Theorem together with [14, Theorem 3.1], since $\mathcal{N}_{m,n}$ is an open dense subset of $H(\mathbb{D})$. \square

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