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# A SIMPLE PROOF OF THE TREE-WIDTH DUALITY THEOREM

FRÉDÉRIC MAZOIT

ABSTRACT. We give a simple proof of the “tree-width duality theorem” of Seymour and Thomas that the tree-width of a finite graph is exactly one less than the largest order of its brambles.

## 1. INTRODUCTION

A *tree-decomposition*  $\mathcal{T} = (T, l)$  of a graph  $G = (V, E)$  is tree whose nodes are labelled in such a way that

- i.  $V = \bigcup_{t \in V(T)} l(t)$ ;
- ii. every  $e \in E$  is contained in at least one  $l(t)$ ;
- iii. for every vertex  $v \in V$ , the nodes of  $T$  whose bags contain  $v$  induce a connected subtree of  $T$ .

The label of a node is its *bag*. The *width* of  $\mathcal{T}$  is  $\max\{|l(t)| ; t \in V(T)\} - 1$ , and the *tree-width*  $\text{tw}(G)$  of  $G$  is the least width of any of its tree-decomposition.

Two subsets  $X$  and  $Y$  of  $V$  *touch* if they meet or if there exists an edge linking them. A set  $\mathcal{B}$  of mutually touching connected vertex sets in  $G$  is a *bramble*. A *cover* of  $\mathcal{B}$  is a set of vertices which meets all its elements, and the *order* of  $\mathcal{B}$  is the least size of one of its covers.

In this note, we give a new proof of the following theorem of Seymour and Thomas which Reed [Ree97] calls the “tree-width duality theorem”.

**Theorem 1** ([ST93]). *Let  $k \geq 0$  be an integer. A graph has tree-width  $\geq k$  if and only if it contains a bramble of order  $> k$ .*

Although our proof is quite short, our goal is not to give a shorter proof. The proof in [Die05] is already short enough. Instead, we claim that our proof is much simpler than previous ones. Indeed, the proofs in [ST93, Die05] rely on a reverse induction on the size of a bramble which is not very enlightening. A new conceptually much simpler proof appeared in [LMT10] but this proof is a much more general result on sets of partitions which through a translation process unifies all known duality theorem of this kind such as the branch-width/tangle or the path-width blockade Theorems. We turn this more general proof back into a specific proof for tree-width which we believe is interesting both as an introduction to the framework of [AMNT09, LMT10], and to a reader which does not want to dwell into this framework but still want to have a better understanding of the tree-width duality Theorem.

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## 2. THE PROOF

So let  $G = (V, E)$  be a graph and let  $k$  be a fixed integer. A bag of a tree-decomposition of  $G$  is *small* if it has size  $\leq k$  and is *big* otherwise. A *partial* ( $< k$ )-*decomposition* is a tree-decomposition  $\mathcal{T}$  with no big internal bag and with at least one small bag. Obviously, if all its bags are small, then  $\mathcal{T}$  is a tree-decomposition of width  $< k$ . If not, it contains a big leaf bag and the neighbouring bag  $l(u)$  of any such big leaf bag  $l(t)$  is small. The nonempty set  $l(t) - l(u)$  is a  $k$ -*flap* of  $\mathcal{T}$ .

Now suppose that  $X$  and  $Y$  are respectively  $k$ -flaps of some partial ( $< k$ )-decompositions  $(T_X, l_X)$  and  $(T_Y, l_Y)$ , and that  $S = N(X) \subseteq N(Y)$ . Then by identifying the leaves of the two decompositions which respectively contains  $X$  and  $Y$  and relabelling this node  $S$ , then we obtain a new “better” partial ( $< k$ )-decomposition.

This gluing process is quite powerful. Indeed let  $S \subseteq V$  have size  $\leq k$  and let  $C_1, \dots, C_p$  be the components of  $G - S$ . The star whose centre  $u$  is labelled  $l(u) = S$  and whose  $p$  leaves  $v_1, \dots, v_p$  are labelled by  $l(v_i) = C_i \cup N(C_i)$  is a partial ( $< k$ )-decomposition which we call the *star decomposition from  $S$* . It can be shown that if  $\text{tw}(G) < k$ , then an optimal tree-decomposition can always be obtained by repeatedly applying this gluing process from star decompositions from sets of size  $\leq k$ . But this process is not powerful enough for our purpose. We need the following lemma.

**Lemma 1.** *Let  $X$  and  $Y$  be respectively  $k$ -flaps of some partial ( $< k$ )-decompositions  $(T_X, l_X)$  and  $(T_Y, l_Y)$  of some graph  $G = (V, E)$ . If  $X$  and  $Y$  do not touch, then there exists a partial ( $< k$ )-decomposition  $(T, l)$  whose  $k$ -flaps are subsets of  $k$ -flaps of  $(T_X, l_X)$  and  $(T_Y, l_Y)$  other than  $X$  and  $Y$ .*

*Proof.* Since,  $X$  and  $Y$  do not touch, there exists  $S \subseteq V$  such that no component of  $G - S$  meet both  $X$  and  $Y$  (for example  $N(X)$ ). Choose such an  $S$  with  $|S|$  minimal. Note that  $|S| \leq |N(X)| \leq k$ . Let  $A$  contain  $S$  and all the components of  $G - S$  which meet  $X$ , and let  $B = (V - A) \cup S$ .

**Claim 1.** *There exists a partial ( $< k$ )-decomposition of  $G[B]$  with  $S$  as a leaf and whose  $k$ -flaps are subsets of the  $k$ -flaps of  $(T_X, l_X)$  other than  $X$ .*

Let  $x$  be the leaf of  $T_X$  whose bag contains  $X$ . Since  $|S|$  is minimum, there exists  $|S|$  vertex disjoint paths  $P_s$  from  $X$  to  $S$  ( $s \in S$ ). Note that  $P_s$  only meets  $B$  in  $s$ . For each  $s \in S$ , pick a node  $t_s$  in  $T_X$  with  $s \in l_X(t_s)$ , and let  $l'_X(t) = (l_X(t) \cap B) \cup \{s \mid t \in \text{path from } x \text{ to } t_s\}$  for all  $t \in T$ . Then  $(T_X, l'_X)$  is the tree-decomposition of  $G[B]$ . Indeed, since we removed only vertices not in  $B$ , every vertex and every edge of  $G[B]$  is contained in some bag  $l'_X(t)$ . Moreover, for any  $v \notin S$ ,  $l'_X(t)$  contains  $v$  if and only if  $l_X(t)$  does. And  $l'_X(t)$  contains  $s \in S$  if  $l_X(t)$  does or if  $t$  is on the path from  $x$  to  $t_s$ . In either cases, the vertices  $t \in V(T_X)$  whose bag  $l'_X(t)$  contain a given vertex induce a subtree of  $T_X$ .

Now the size of a bag  $l'_X(t)$  is at most  $|l_X(t)|$ . Indeed, since  $P_s$  is a connected subgraph of  $G$ , it induces a connected subtree of  $T_X$ , and this subtree contains the path from  $x$  to  $t_s$ . So for every vertex  $s \in l'_X(t) \setminus l_X(t)$ , there exists at least one other vertex of  $P_s$  which has been removed. The decomposition  $(T_X, l'_X)$  is thus indeed a partial ( $< k$ )-decomposition of  $G[B]$ . It remains to prove that the  $k$ -flaps of  $(T_X, l'_X)$  are contained in the  $k$ -flaps of  $(T_X, l_X)$  other than  $X$ . But by construction, the only leaf whose bag received new vertices is  $x$  and  $l'_X(x) = S$  which is small. This finishes the proof of the claim.

Let  $(T_Y, l_Y)$  be obtained in the same way for  $G[A]$ . By identifying the leaves  $x$  and  $y$  of  $T_X$  and  $T_Y$ , we obtain a partial  $(< k)$ -decomposition which satisfies the conditions of the lemma.  $\square$

We are now ready to prove the tree-width duality Theorem.

*Proof.* For the backward implication, let  $\mathcal{B}$  be a bramble of order  $> k$  in a graph  $G$ . We show that every tree-decomposition  $(T, l)$  of  $G$  has a part that covers  $\mathcal{B}$ , and thus  $\mathcal{T}$  has width  $\geq k$ .

We start by orienting the edges  $t_1 t_2$  of  $T$ . Let  $T_i$  be the component of  $T \setminus t_1 t_2$  which contains  $t_i$  and let  $V_i = \cup_{t \in V(T_i)} l(t)$ . If  $X := l(t_1) \cap l(t_2)$  covers  $\mathcal{B}$ , we are done. If not, then because they are connected, each  $B \in \mathcal{B}$  disjoint from  $X$  is contained in some  $B \subseteq V_i$ . This  $i$  is the same for all such  $B$ , because they touch. We now orient the edge  $t_1 t_2$  towards  $t_i$ . If every edge of  $T$  is oriented in this way and  $t$  is the last vertex of a maximal directed path in  $T$ , then  $l(t)$  covers  $\mathcal{B}$ .

To prove the forward direction, we now assume that  $G$  has tree-width  $\geq k$ , then any partial  $(< k)$ -decomposition contains a  $k$ -flap. There thus exists a set  $\mathcal{B}$  of  $k$ -flaps such that

- (i)  $\mathcal{B}$  contains a flap of every partial  $(< k)$ -decomposition;
- (ii)  $\mathcal{B}$  is upward closed, that is if  $C \in \mathcal{B}$  and  $D \supseteq C$  is a  $k$ -flap, then  $D \in \mathcal{B}$ .

So far, the set of all  $k$ -flaps satisfies (i) and (ii).

- (iii) Subject to (i) and (ii),  $\mathcal{B}$  is inclusion-wise minimal.

The set  $\mathcal{B}$  may not be a bramble because it may contain non-connected elements but we claim that the set  $\mathcal{B}'$  which contains the connected elements of  $\mathcal{B}$  is a bramble of order  $\geq k$ . Obviously, its elements are connected. To see that its order is  $> k$ , let  $S \subseteq V$  have size  $\leq k$ . Then  $\mathcal{B}'$  contains a  $k$ -flap of the star-decomposition from  $S$ , and  $S$  is thus not a covering of  $\mathcal{B}'$ .

We now prove that the elements of  $\mathcal{B}$  pairwise touch, which finishes the proof that  $\mathcal{B}'$  is a bramble. Suppose not, then let  $X$  and  $Y \in \mathcal{B}$  witness this. Obviously, no subsets of  $X$  and  $Y$  can touch so let us suppose that they are inclusion-wise minimal in  $\mathcal{B}$ . The set  $X$  being minimal,  $\mathcal{B} \setminus \{X\}$  is still upward closed and is a strict subset of  $\mathcal{B}$ . There thus exists at least one partial  $(< k)$ -decomposition  $(T_X, l_X)$  whose only flap in  $\mathcal{B}$  is  $X$ . Likewise, let  $(T_Y, l_Y)$  have only  $Y$  as a flap in  $\mathcal{B}$ . Let  $(T, l)$  be the partial  $(< k)$ -decomposition satisfying the conditions of Lemma 1. Since  $\mathcal{B}$  is upward closed and contains no  $k$ -flap of  $(T_X, l_X)$  and  $(T_Y, l_Y)$  other than  $X$  and  $Y$ , it contains no  $k$ -flap of  $(T, l)$ , a contradiction.  $\square$

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