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# Remarks on curve classes on rationally connected varieties

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*To Joe Harris, on his 60th birthday*

## 1 Introduction

Let  $X$  be a smooth complex projective variety. Define

$$Z^{2i}(X) = \frac{\mathrm{Hdg}^{2i}(X, \mathbb{Z})}{H^{2i}(X, \mathbb{Z})_{\mathrm{alg}}}, \quad (1)$$

where  $\mathrm{Hdg}^{2i}(X, \mathbb{Z})$  is the space of integral Hodge classes on  $X$  and  $H^{2i}(X, \mathbb{Z})_{\mathrm{alg}}$  is the subgroup of  $H^{2i}(X, \mathbb{Z})$  generated by classes of codimension  $i$  closed algebraic subsets of  $X$ .

These groups measure the defect of the Hodge conjecture for integral Hodge classes, hence they are trivial for  $i = 0, 1$  and  $n = \dim X$ , but in general they can be nonzero by [1]. Furthermore they are torsion if the Hodge conjecture for *rational* Hodge classes on  $X$  of degree  $2i$  holds. In addition to the previously mentioned case, this happens when  $i = n - 1$ ,  $n = \dim X$ , due to the Lefschetz theorem on  $(1, 1)$ -classes and the hard Lefschetz isomorphism (cf. [23]). We will call classes in  $\mathrm{Hdg}^{2n-2}(X, \mathbb{Z})$  “curve classes”, as they are also degree 2 homology classes.

Note that the Kollár counterexamples (cf. [14]) to the integral Hodge conjecture already exist for curve classes (that is degree 4 cohomology classes in this case) on projective threefolds, unlike the Atiyah-Hirzebruch examples which work for degree 4 integral Hodge classes in higher dimension.

It is remarked in [21], [23] that the two groups

$$Z^4(X), Z^{2n-2}(X), n := \dim X$$

are birational invariants. (For threefolds, this is the same group, but not in higher dimension.) The nontriviality of these birational invariants for rationally connected varieties is asked in [23]. Still more interesting is the nontriviality of these invariants for unirational varieties, having in mind the Lüroth problem (cf. [3], [2], [4]).

Concerning the group  $Z^4(X)$ , Colliot-Thélène and the author proved in [8], building on the work of Colliot-Thélène and Ojanguren [5], that it can be nonzero for unirational varieties starting from dimension 6. What happens in dimensions 5 and 4 is unknown (the four dimensional case being particularly challenging in our mind), but in dimension 3, there is the following result proved in [22]:

**Theorem 1.1.** (*Voisin 2006*) *Let  $X$  be a smooth projective threefold which is either uniruled or Calabi-Yau. Then the group  $Z^4(X)$  is equal to 0.*

This result, and in particular the Calabi-Yau case, implies that the group  $Z^6(X)$  is also 0 for a Fano fourfold  $X$  which admits a smooth anticanonical divisor. Indeed, a smooth anticanonical divisor  $j : Y \hookrightarrow X$  is a Calabi-Yau threefold, so that we have  $Z^4(Y) = 0$  by Theorem 1.1 above. As  $H^2(Y, \mathcal{O}_Y)$ , every class in  $H^4(Y, \mathbb{Z})$  is a Hodge class, and it follows

that  $H^4(Y, \mathbb{Z}) = H^4(Y, \mathbb{Z})_{alg}$ . As the Gysin map  $j_* : H^4(Y, \mathbb{Z}) \rightarrow H^6(X, \mathbb{Z})$  is surjective by the Lefschetz theorem on hyperplane sections, it follows that  $H^6(X, \mathbb{Z}) = H^6(X, \mathbb{Z})_{alg}$ , and thus  $Z^6(X) = 0$ .

In the paper [11], it was proved more generally that if  $X$  is any Fano fourfold, the group  $Z^6(X)$  is trivial. Similarly, if  $X$  is a Fano fivefold on index 2, the group  $Z^8(X)$  is trivial.

These results have been generalized to higher dimensional Fano manifolds of index  $n - 3$  and dimension  $\geq 8$  by Enrica Floris [9] who proves the following result:

**Theorem 1.2.** *Let  $X$  be a Fano manifold over  $\mathbb{C}$  of dimension  $n \geq 8$  and index  $n - 3$ . then the group  $Z^{2n-2}(X)$  is equal to 0: Equivalently, any integral cohomology class of degree  $2n - 2$  on  $X$  is algebraic.*

The purpose of this note is to provide a number of evidences for the vanishing of the group  $Z^{2n-2}(X)$ , for any rationally connected variety over  $\mathbb{C}$ . Note that in this case, since  $H^2(X, \mathcal{O}_X) = 0$ , the Hodge structure on  $H^2(X, \mathbb{Q})$  is trivial, and so is the Hodge structure on  $H^{2n-2}(X, \mathbb{Q})$ , so that  $Z^{2n-2}(X) = H^{2n-2}(X, \mathbb{Z})/H^{2n-2}(X, \mathbb{Z})_{alg}$ . We will first prove the following two results.

**Proposition 1.3.** *The group  $Z^{2n-2}(X)$  is locally deformation invariant for rationally connected manifolds  $X$ .*

Let us explain the meaning of the statement. Consider a smooth projective morphism  $\pi : \mathcal{X} \rightarrow B$  between connected quasi-projective complex varieties, with  $n$  dimensional fibers. Recall from [15] that if one fiber  $X_b := \pi^{-1}(b)$  is rationally connected, so is every fiber. Let us endow everything with the usual topology. Then the sheaf  $R^{2n-2}\pi_*\mathbb{Z}$  is locally constant on  $B$ . On any Euclidean open set  $U \subset B$  where this local system is trivial, the group  $Z^{2n-2}(X_b)$ ,  $b \in U$  is the finite quotient of the constant group  $H^{2n-2}(X_b, \mathbb{Z})$  by its subgroup  $H^{2n-2}(X_b, \mathbb{Z})_{alg}$ . To say that  $Z^{2n-2}(X_b)$  is locally constant means that on open sets  $U$  as above, the subgroup  $H^{2n-2}(X_b, \mathbb{Z})_{alg}$  of the constant group  $H^{2n-2}(X_b, \mathbb{Z})$  does not depend on  $b$ .

It follows from the above result that the vanishing of the group  $Z^{2n-2}(X)$  for  $X$  a rationally connected manifold reduces to the similar statement for  $X$  defined over a number field.

Let us now define an  $l$ -adic analogue  $Z^{2n-2}(X)_l$  of the group  $Z^{2n-2}(X)$  (cf. [6], [7]). Let  $X$  be a smooth projective variety defined over a field  $K$  which in the sequel will be either a finite field or a number field. Let  $\overline{K}$  be an algebraic closure of  $K$ . Any cycle  $Z \in CH^s(X_{\overline{K}})$  is defined over a finite extension of  $K$ . Let  $l$  be a prime integer different from  $p = \text{char } K$  if  $K$  is finite. It follows that the cycle class

$$cl(Z) \in H_{et}^{2s}(X_{\overline{K}}, \mathbb{Q}_l(s))$$

is invariant under a finite index subgroup of  $\text{Gal}(\overline{K}/K)$ .

Classes satisfying this property are called Tate classes. The Tate conjecture for finite fields asserts the following:

**Conjecture 1.4.** (cf. [18] for a recent account) *Let  $X$  be smooth and projective over a finite field  $K$ . The cycle class map gives for any  $s$  a surjection*

$$cl : CH^{2s}(X_{\overline{K}}) \otimes \mathbb{Q}_l \rightarrow H^{2s}(X_{\overline{K}}, \mathbb{Q}_l(s))_{Tate}.$$

Note that the cycle class defined on  $CH^s(X_{\overline{K}})$  takes in fact values in  $H^{2s}(X_{\overline{K}}, \mathbb{Z}_l(s))$ , and more precisely in the subgroup  $H^{2s}(X_{\overline{K}}, \mathbb{Z}_l(s))_{Tate}$  of classes invariant under a finite index subgroup of  $\text{Gal}(\overline{K}/K)$ . We thus get for each  $i$  a morphism

$$cl^i : CH^{2i}(X_{\overline{K}}) \otimes \mathbb{Z}_l \rightarrow H^{2i}(X_{\overline{K}}, \mathbb{Z}_l(i))_{Tate}.$$

We can thus introduce the following variant of the groups  $Z^{2i}(X)$ :

$$Z_{et}^{2i}(X)_l := H_{et}^{2i}(X_{\overline{K}}, \mathbb{Z}_l(i))_{Tate} / \text{Im } cl^i.$$

An argument similar to the one used for the proof of Proposition 1.3 will lead to the following result:

**Proposition 1.5.** *Let  $X$  be a smooth rationally connected variety defined over a number field  $K$ , with ring of integers  $\mathcal{O}_K$ . Assume given a projective model  $\mathcal{X}$  of  $X$  over  $\mathrm{Spec} \mathcal{O}_K$ . Fix a prime integer  $l$ . Then except for finitely many  $p \in \mathrm{Spec} \mathcal{O}_K$ , the group  $Z_{et}^{2n-2}(X)_l$  is isomorphic to the group  $Z_{et}^{2n-2}(X_p)_l$ .*

In the course of the paper, we will also consider variants  $Z_{rat}^{2n-2}(X)$ , resp.  $Z_{et,rat}^{2n-2}(X)_l$  of the groups  $Z^{2n-2}(X)$ , resp.  $Z_{et}^{2n-2}(X)_l$ , obtained by taking the quotient of the group of integral Hodge classes (resp. integral  $l$ -adic Tate classes) by the subgroup generated by classes of *rational* curves. This variant is suggested by Kollár's paper (cf. [16, Question 3, (1)]). By the same arguments, these groups are also deformation and specialization invariants for rationally connected varieties.

Our last result is conditional but it strongly suggests the vanishing of the group  $Z^{2n-2}(X)$  for  $X$  a smooth rationally connected variety over  $\mathbb{C}$ . Indeed, we will prove using the main result of [19] and the two propositions above the following consequence of Theorem 1.5:

**Theorem 1.6.** *Assume Tate's conjecture 1.4 holds for degree 2 Tate classes on smooth projective surfaces defined over a finite field. Then the group  $Z^{2n-2}(X)$  is trivial for any smooth rationally connected variety  $X$  over  $\mathbb{C}$ .*

**Thanks.** *I thank the organizers of the beautiful conference "A celebration of algebraic geometry" for inviting me there. I also thank Jean-Louis Colliot-Thélène, Olivier Debarre and János Kollár for useful discussions.*

*It is a pleasure to dedicate this note to Joe Harris, whose influence on the subject of rational curves on algebraic varieties (among other topics!) is invaluable.*

## 2 Deformation and specialization invariance

**Proof of Proposition 1.3.** We first observe that, due to the fact that relative Hilbert schemes parameterizing curves in the fibers of  $B$  are a countable union of varieties which are projective over  $B$ , given a simply connected open set  $U \subset B$  (in the classical topology of  $B$ ), and a class  $\alpha \in \Gamma(U, R^{2n-2}\pi_*\mathbb{Z})$  such that  $\alpha_t$  is algebraic for  $t \in V$ , where  $V$  is a smaller nonempty open set  $V \subset U$ , then  $\alpha_t$  is algebraic for any  $t \in U$ .

To prove the deformation invariance, we just need using the above observation to prove the following:

**Lemma 2.1.** *Let  $t \in U \subset B$ , and let  $C \subset X_t$  be a curve and let  $[C] \in H^{2n-2}(X_t, \mathbb{Z}) \cong \Gamma(U, R^{2n-2}\pi_*\mathbb{Z})$  be its cohomology class. Then the class  $[C]_s$  is algebraic for  $s$  in a neighborhood of  $t$  in  $U$ .*

**Proof of Lemma 2.1.** By results of [15], there are rational curves  $R_i \subset X_t$  with ample normal bundle which meet  $C$  transversally at distinct points, and with arbitrary tangent directions at these points. We can choose an arbitrarily large number  $D$  of such curves with generically chosen tangent directions at the attachment points. We then know by [10, §2.1] that the curve  $C' = C \cup_{i \leq D} R_i$  is smoothable in  $X_t$  to a smooth unobstructed curve  $C'' \subset X_t$ , that is  $H^1(C'', N_{C''/X_t}) = 0$ . This curve  $C''$  then deforms with  $X_t$  (cf. [12], [13, II.1]) in the sense that the morphism from the deformation of the pair  $(C'', X_t)$  to  $B$  is smooth, and in particular open. So there is a neighborhood of  $V$  of  $t$  in  $U$  such that for  $s \in V$ , there is a curve  $C''_s \subset X_s$  which is a deformation of  $C'' \subset X_t$ . The class  $[C''_s] = [C'']_s$  is thus algebraic on  $X_s$ . On the other hand, we have

$$[C''] = [C'] = [C] + \sum_i [R_i].$$

As the  $R_i$ 's are rational curves with positive normal bundle, they are also unobstructed, so that the classes  $[R_i]_s$  also are algebraic on  $X_s$  for  $s$  in a neighborhood of  $t$  in  $U$ . Thus

$[C]_s = [C''']_s - \sum_i [R_i]_s$  is algebraic on  $X_s$  for  $s$  in a neighborhood of  $t$  in  $U$ . The lemma, hence also the proposition, is proved. ■

**Remark 2.2.** There is an interesting variant of the group  $Z^{2n-2}(X)$ , which is suggested by Kollár (cf. [16]) given by the following groups:

$$Z_{\text{rat}}^{2n-2}(X) := H^{2n-2}(X, \mathbb{Z}) / \langle [C], C \text{ rational curve in } X \rangle.$$

Here, by a rational curve, we mean an irreducible curve whose normalization is rational. These groups are of torsion for  $X$  rationally connected, as proved by Kollár ([13, Theorem 3.13 p 206]). It is quite easy to prove that they are birationally invariant.

The proof of Proposition 1.3 gives as well the following result (already noticed by Kollár [16]) :

**Variante 2.3.** *If  $\mathcal{X} \rightarrow B$  is a smooth projective morphism with rationally connected fibers, the groups  $Z_{\text{rat}}^{2n-2}(\mathcal{X}_t)$  are local deformation invariants.*

Let us give one application of Proposition 1.3 (or rather its proof) and/or its variante 2.3. Let  $X$  be a smooth projective variety of dimension  $n+r$ , with  $n \geq 3$  and let  $\mathcal{E}$  be an ample vector bundle of rank  $r$  on  $X$ . Let  $C_1, \dots, C_k$  be smooth curves in  $X$  whose cohomology classes generate the group  $H^{2n+2r-2}(X, \mathbb{Z})$ . For  $\sigma \in H^0(X, \mathcal{E})$ , we denote by  $X_\sigma$  the zero locus of  $\sigma$ . When  $\mathcal{E}$  is generated by sections,  $X_\sigma$  is smooth of dimension  $n$  for general  $\sigma$ .

**Theorem 2.4.** *1) Assume that the sheaves  $\mathcal{E} \otimes \mathcal{I}_{C_i}$  are generated by global sections for  $i = 1, \dots, k$ . Then if  $X_\sigma$  is smooth rationally connected for general  $\sigma$ , the group  $Z^{2n-2}(X_\sigma)$  vanishes for any  $\sigma$  such that  $X_\sigma$  is smooth of dimension  $n$ .*

*2) Under the same assumptions as in 1), assume the curves  $C_i \subset X$  are rational. Then if  $X_\sigma$  is smooth rationally connected for general  $\sigma$ , the group  $Z_{\text{rat}}^{2n-2}(X_\sigma)$  vanishes for any  $\sigma$  such that  $X_\sigma$  is smooth of dimension  $n$ .*

**Proof.** 1) Let  $j_\sigma : X_\sigma \rightarrow X$  be the inclusion map. Since  $n \geq 3$  and  $\mathcal{E}$  is ample, by Sommese's theorem [20], the Gysin map  $j_{\sigma*} : H^{2n-2}(X_\sigma, \mathbb{Z}) \rightarrow H^{2n+2r-2}(X, \mathbb{Z})$  is an isomorphism. It follows that the group  $H^{2n-2}(X_\sigma, \mathbb{Z})$  is a constant group. In order to show that  $Z^{2n-2}(X_\sigma)$  is trivial, it suffices to show that the classes  $(j_{\sigma*})^{-1}([C_i])$  are algebraic on  $X_\sigma$  since they generate  $H^{2n-2}(X_\sigma, \mathbb{Z})$ . Since the  $X_\sigma$ 's are rationally connected, Theorem 1.3 tells us that it suffices to show that for each  $i$ , there exists a  $\sigma(i)$  such that  $X_{\sigma(i)}$  is smooth  $n$ -dimensional and that the class  $(j_{\sigma(i)*})^{-1}([C_i])$  is algebraic on  $X_{\sigma(i)}$ .

It clearly suffices to exhibit one smooth  $X_{\sigma(i)}$  containing  $C_i$ , which follows from the following lemma:

**Lemma 2.5.** *Let  $X$  be a variety of dimension  $n+r$  with  $n \geq 2$ ,  $C \subset X$  be a smooth curve,  $\mathcal{E}$  be a rank  $r$  vector bundle on  $X$  such that  $\mathcal{E} \otimes \mathcal{I}_C$  is generated by global section. Then for a generic  $\sigma \in H^0(X, \mathcal{E} \otimes \mathcal{I}_C)$ , the zero set  $X_\sigma$  is smooth of dimension  $n$ .*

**Proof.** The fact that  $X_\sigma$  is smooth of dimension  $n$  away from  $C$  is standard and follows from the fact that the incidence set  $(\sigma, x) \in \mathbb{P}(H^0(X, \mathcal{E} \otimes \mathcal{I}_C)) \times (X \setminus C), \sigma(x) = 0$  is smooth of dimension  $n+N$ , where  $N := \dim \mathbb{P}(H^0(X, \mathcal{E} \otimes \mathcal{I}_C))$ . It thus suffices to check the smoothness along  $C$  for generic  $\sigma$ .

This is checked by observing that since  $\mathcal{E} \otimes \mathcal{I}_C$  is generated by global sections, its restriction  $\mathcal{E} \otimes N_{C/X}^*$  is also generated by global sections. This implies that for each point  $c \in C$ , the condition that  $X_\sigma$  is singular at  $c$  defines a codimension  $n$  closed algebraic subset  $P_c$  of  $P := \mathbb{P}(H^0(X, \mathcal{E} \otimes \mathcal{I}_C))$ , determined by the condition that  $d\sigma_c : N_{C/X,c} \rightarrow \mathcal{E}_c$  is not surjective. Since  $\dim C = 1$ , the union of the  $P_c$ 's cannot be equal to  $P$  if  $n \geq 2$ . ■

This concludes the proof of 1) and the proof of 2) works exactly in the same way. ■

Let us finish this section with the proof of Proposition 1.5.

**Proof of Proposition 1.5.** Let  $p \in \text{Spec } \mathcal{O}_K$ , with residue field  $k(p)$ . Assume  $\mathcal{X}_p$  is smooth. For  $l$  prime to  $\text{char } k(p)$ , the (adequately constructed) specialization map

$$H_{et}^{2n-2}(X_{\overline{K}}, \mathbb{Z}_l(n-1)) \rightarrow H_{et}^{2n-2}(\mathcal{X}_{\overline{p}}, \mathbb{Z}_l(n-1)) \quad (2)$$

is then an isomorphism (cf. [17, Chapter VI, §4]).

Observe also that since  $X_{\overline{K}}$  is rationally connected, the rational étale cohomology group  $H_{et}^{2n-2}(X_{\overline{K}}, \mathbb{Q}_l(n-1))$  is generated over  $\mathbb{Q}_l$  by curve classes. Hence the same is true for  $H_{et}^{2n-2}(\mathcal{X}_{\overline{p}}, \mathbb{Q}_l(n-1))$ . Thus the whole cohomology groups

$$H_{et}^{2n-2}(X_{\overline{K}}, \mathbb{Z}_l(n-1)), H_{et}^{2n-2}(\mathcal{X}_{\overline{p}}, \mathbb{Z}_l(n-1))$$

consist of Tate classes, and (2) gives an isomorphism

$$H_{et}^{2n-2}(X_{\overline{K}}, \mathbb{Z}_l(n-1))_{Tate} \rightarrow H_{et}^{2n-2}(\mathcal{X}_{\overline{p}}, \mathbb{Z}_l(n-1))_{Tate}. \quad (3)$$

In order to prove Proposition 1.5, it thus suffices to prove the following:

**Lemma 2.6.** 1) For almost every  $p \in \text{Spec } \mathcal{O}_K$ , the fiber  $\mathcal{X}_{\overline{p}}$  is smooth and separably rationally connected.

2) If  $\mathcal{X}_{\overline{p}}$  is smooth and separably rationally connected, for any curve  $C_{\overline{p}} \subset \mathcal{X}_{\overline{p}}$ , the inverse image  $[C_{\overline{p}}]_{\overline{K}} \in H_{et}^{2n-2}(X_{\overline{K}}, \mathbb{Z}_l(n-1))$  of the class  $[C_{\overline{p}}] \in H_{et}^{2n-2}(\mathcal{X}_{\overline{p}}, \mathbb{Z}_l(n-1))$  via the isomorphism (3) is the class of a 1-cycle on  $X_{\overline{K}}$ .

**Proof.** 1) When the fiber  $\mathcal{X}_p$  is smooth, the separable rational connectedness of  $\mathcal{X}_{\overline{p}}$  is equivalent to the existence of a smooth rational curve  $C_{\overline{p}} \cong \mathbb{P}_{k(p)}^1$  together with a morphism  $\phi : C_{\overline{p}} \rightarrow \mathcal{X}_{\overline{p}}$  such that the vector bundle  $\phi^*T_{\mathcal{X}_{\overline{p}}}$  on  $\mathbb{P}_{k(p)}^1$  is a direct sum  $\oplus_i \mathcal{O}_{\mathbb{P}_{k(p)}^1}(a_i)$  where all  $a_i$  are positive. Equivalently

$$H^1(\mathbb{P}_{k(p)}^1, \phi^*T_{\mathcal{X}_{\overline{p}}}(-2)) = 0. \quad (4)$$

The smooth projective variety  $X_{\overline{K}}$  being rationally connected in characteristic 0, it is separably rationally connected, hence there exists a finite extension  $K'$  of  $K$ , a curve  $C$  and a morphism  $\phi : C \rightarrow X$  defined over  $K'$ , such that  $C \cong \mathbb{P}_{K'}^1$ , and  $H^1(\mathbb{P}_{K'}^1, \phi^*T_{X_{K'}}(-2)) = 0$ .

We choose a model

$$\Phi : \mathcal{C} \cong \mathbb{P}_{\mathcal{O}_{K'}}^1 \rightarrow \mathcal{X}'$$

of  $C$  and  $\phi$  defined over a Zariski open set of  $\text{Spec } \mathcal{O}_{K'}$ . By upper-semi-continuity of cohomology, the vanishing (4) remains true after restriction to almost every closed point  $p \in \text{Spec } \mathcal{O}_{K'}$ , which proves 1).

2) The proof is identical to the proof of Proposition 1.3: we just have to show that the curve  $C_{\overline{p}} \subset \mathcal{X}_{\overline{p}}$  is algebraically equivalent in  $\mathcal{X}_{\overline{p}}$  to a difference  $C_{\overline{p}}'' - \sum_i R_{i,\overline{p}}$ , where each curve  $C_{\overline{p}}''$ , resp.  $R_{i,\overline{p}}$  (they are in fact defined over a finite extension  $k(p)'$  of  $k(p)$ ), lifts to a curve  $C''$ , resp.  $R_i$  in  $X_{K'}$  for some finite extension  $K'$  of  $K$ .

Assuming the curves  $C_{\overline{p}}''$ ,  $R_{i,\overline{p}}$  are smooth, the existence of such a lifting is granted by the condition  $H^1(C_{\overline{p}}'', N_{C_{\overline{p}}''/\mathcal{X}_{\overline{p}}}) = 0$ , resp.  $H^1(R_{i,\overline{p}}, N_{R_{i,\overline{p}}/\mathcal{X}_{\overline{p}}}) = 0$ .

Starting from  $C \subset \mathcal{X}_{\overline{p}}$  where  $\mathcal{X}_{\overline{p}}$  is separably rationally connected over  $\overline{p}$ , we obtain such curves  $C_{\overline{p}}''$ ,  $R_{i,\overline{p}}$  as in the previous proof, applying [10, §2.1]. ■

The proof of Proposition 1.5 is finished. ■

Again, this proof leads as well to the proof of the specialization invariance of the  $l$ -adic analogues  $Z_{et, rat}^{2n-2}(X)_l$  of the groups  $Z_{rat}^{2n-2}(X)$  introduced in Remark 2.2.

**Variante 2.7.** Let  $X$  be a smooth rationally connected variety defined over a number field  $K$ , with ring of integers  $\mathcal{O}_K$ . Assume given a projective model  $\mathcal{X}$  of  $X$  over  $\text{Spec } \mathcal{O}_K$ . Fix a prime integer  $l$ . Then for any  $p \in \text{Spec } \mathcal{O}_K$  such that  $\mathcal{X}_{\overline{p}}$  is smooth separably connected, the group  $Z_{et, rat}^{2n-2}(X)_l$  is isomorphic to the group  $Z_{et, rat}^{2n-2}(X_p)_l$ .

### 3 Consequence of a result of Chad Schoen

In [19], Chad Schoen proves the following theorem:

**Theorem 3.1.** *Let  $X$  be a smooth projective variety of dimension  $n$  defined over a finite field  $k$  of characteristic  $p$ . Assume that the Tate conjecture holds for degree 2 Tate classes on smooth projective surfaces defined over a finite extension of  $k$ . Then the étale cycle class map:*

$$cl : CH^{n-1}(X_{\bar{k}}) \otimes \mathbb{Z}_l \rightarrow H^{2n-2}(X_{\bar{k}}, \mathbb{Z}_l(n-1))_{Tate}$$

*is surjective, that is  $Z_{\text{ét}}^{2n-2}(X)_l = 0$ .*

In other words, the Tate conjecture 1.4 for degree 2 *rational* Tate classes implies that the groups  $Z_{\text{ét}}^{2n-2}(X)_l$  should be trivial for all smooth projective varieties defined over finite fields. This is of course very different from the situation over  $\mathbb{C}$  where the groups  $Z^{2n-2}(X)$  are known to be possibly nonzero.

**Remark 3.2.** There is a similarity between the proof of Theorem 3.1 and the proof of Theorem 1.1. Schoen proves that given an integral Tate class  $\alpha$  on  $X$  (defined over a finite field), there exist a smooth complete intersection surface  $S \subset X$  and an integral Tate class  $\beta$  on  $S$  such that  $j_{S*}\beta = \alpha$  where  $j_S$  is the inclusion of  $S$  in  $X$ . The result then follows from the fact that if the Tate conjecture holds for degree 2 rational Tate classes on  $S$ , it holds for degree 2 integral Tate classes on  $S$ .

I prove that for  $X$  a uniruled or Calabi-Yau, and for  $\beta \in Hdg^4(X, \mathbb{Z})$  there exists surfaces  $S_i \xrightarrow{j_{S_i}} X$  (in an adequately chosen linear system on  $X$ ) and integral Hodge classes  $\beta_i \in Hdg^2(S_i, \mathbb{Z})$  such that  $\alpha = \sum_i j_{S_i*}\beta_i$ . The result then follows from the Lefschetz theorem on  $(1, 1)$ -classes applied to the  $\beta_i$ .

We refer to [7] for some comments on and other applications of Schoen's theorem, and conclude this note with the proof of the following theorem (cf. Theorem 1.6 of the introduction).

**Theorem 3.3.** *Assume Tate's conjecture 1.4 holds for degree 2 Tate classes on smooth projective surfaces defined over a finite field. Then the group  $Z^{2n-2}(X)$  is trivial for any smooth rationally connected variety  $X$  over  $\mathbb{C}$ .*

**Proof.** We first recall that for a smooth rationally connected variety  $X$ , the group  $Z^{2n-2}(X)$  is equal to the quotient  $H^{2n-2}(X, \mathbb{Z})/H^{2n-2}(X, \mathbb{Z})_{\text{alg}}$ , due to the fact that the Hodge structure on  $H^{2n-2}(X, \mathbb{Q})$  is trivial. In fact, we have more precisely

$$H^{2n-2}(X, \mathbb{Q}) = H^{2n-2}(X, \mathbb{Q})_{\text{alg}}$$

by hard Lefschetz theorem and the fact that

$$H^2(X, \mathbb{Z}) = H^2(X, \mathbb{Z})_{\text{alg}}$$

by the Lefschetz theorem on  $(1, 1)$ -classes.

Next, in order to prove that  $Z^{2n-2}(X)$  is trivial, it suffices to prove that for each  $l$ , the group  $Z^{2n-2}(X) \otimes \mathbb{Z}_l = H^{2n-2}(X, \mathbb{Z}_l)/(\text{Im } cl) \otimes \mathbb{Z}_l$  is trivial.

We apply Proposition 1.3 which tells as well that over  $\mathbb{C}$ , the group  $Z^{2n-2}(X) \otimes \mathbb{Z}_l$  is locally deformation invariant for families of smooth rationally connected varieties. Note that our smooth projective rationally connected variety  $X$  is the fiber  $X_t$  of a smooth projective morphism  $\phi : \mathcal{X} \rightarrow B$  defined over a number field, where  $\mathcal{X}$  and  $B$  are quasiprojective, geometrically connected and defined over a number field. By local deformation invariance, the vanishing of  $Z^{2n-2}(X) \otimes \mathbb{Z}_l$  is equivalent to the vanishing of  $Z^{2n-2}(X_{t'}) \otimes \mathbb{Z}_l$  for any point  $t' \in B(\mathbb{C})$ . Taking for  $t'$  a point of  $B$  defined over a number field,  $X_{t'}$  is defined over a number field. Hence it suffices to prove the vanishing of  $Z^{2n-2}(X) \otimes \mathbb{Z}_l$  for  $X$  rationally connected defined over a number field  $L$ .

We have

$$Z^{2n-2}(X) \otimes \mathbb{Z}_l = H^{2n-2}(X, \mathbb{Z}_l) / (\text{Im } cl) \otimes \mathbb{Z}_l,$$

and by the Artin comparison theorem (cf. [17, Chapter III, §3]), this is equal to

$$\frac{H_{et}^{2n-2}(X, \mathbb{Z}_l(n-1))}{(\text{Im } cl) \otimes \mathbb{Z}_l} = Z_{et}^{2n-2}(X)_l$$

since  $H_{et}^{2n-2}(X, \mathbb{Z}_l(n-1))$  consists of Tate classes. Hence it suffices to prove that for  $X$  rationally connected defined over a number field and for any  $l$ , the group  $Z_{et}^{2n-2}(X)_l$  is trivial.

We now apply Proposition 1.5 to  $X$  and its reduction  $X_p$  for almost every closed point  $p \in \text{Spec } \mathcal{O}_L$ . It follows that the vanishing of  $Z_{et}^{2n-2}(X)_l$  is implied by the vanishing of  $Z_{et}^{2n-2}(X_p)_l$ . According to Schoen's theorem 3.1, the last vanishing is implied by the Tate conjecture for degree 2 Tate classes on smooth projective surfaces.  $\blacksquare$

**Remark 3.4.** This argument does not say anything on the groups  $Z_{rat}^{2n-2}(X)$ , since there is no control on the 1-cycles representing given degree  $2n-2$  Tate classes on varieties defined over finite fields. Similarly, Theorem 1.1 does not say anything on  $Z_{rat}^4(X)$  for  $X$  a rationally connected threefold.

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