

# Decomposition of deformations of thin rods. Application to nonlinear elasticity

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## Abstract.

This paper deals with the introduction of a decomposition of the deformations of curved thin beams, with section of order  $\delta$ , which takes into account the specific geometry of such beams. A deformation  $v$  is split into an elementary deformation and a warping. The elementary deformation is the analog of a Bernoulli-Navier's displacement for linearized deformations replacing the infinitesimal rotation by a rotation in  $SO(3)$  in each cross section of the rod. Each part of the decomposition is estimated with respect to the  $L^2$  norm of the distance from gradient  $v$  to  $SO(3)$ . This result relies on revisiting the rigidity theorem of Friesecke-James-Müller in which we estimate the constant for a bounded open set star-shaped with respect to a ball. Then we use the decomposition of the deformations to derive a few asymptotic geometrical behavior: large deformations of extensional type, inextensional deformations and linearized deformations. To illustrate the use of our decomposition in nonlinear elasticity, we consider a St Venant-Kirchhoff material and upon various scaling on the applied forces we obtain the  $\Gamma$ -limit of the rescaled elastic energy. We first analyze the case of bending forces of order  $\delta^2$  which leads to a nonlinear inextensional model. Smaller pure bending forces give the classical linearized model. A coupled extensional-bending model is obtained for a class of forces of order  $\delta^2$  in traction and of order  $\delta^3$  in bending.

## I. Introduction

This paper pertains to the field of modeling the deformations of a thin structure who has a curved rod-like geometry with a few applications to elastic rods. Let us consider a curved rod of fixed length and with cross sections of small diameter of order  $\delta$ . Let us denote by  $s_3$  the arc length of the middle line of the rod, by  $\mathbf{n}_1(s_3), \mathbf{n}_2(s_3)$  two normal vectors of this line and the corresponding coordinates by  $s = (s_1, s_2, s_3)$ . In this setting, the aim of this paper is twofold. In a first result, we show that a deformation  $v$  of such a rod can be decomposed as the sum of an elementary deformation and of a residual one as follows (see (II.2.1)):

$$(I.1) \quad v(s) = \mathcal{V}(s_3) + \mathbf{R}(s_3)(s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3)) + \bar{v}(s).$$

In the above decomposition, the field  $\mathcal{V}(s_3)$  is the mean of  $v$  over each section and  $\mathbf{R}(s_3)(s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3))$  is the rotation of the same section, meaning that  $\mathbf{R}(s_3) \in SO(3)$  (the special orthogonal group i.e. the set of orthogonal  $3 \times 3$ -matrices with determinant equal to 1). The residual field  $\bar{v}(s)$  represents the warping of a section. The main interest of our decomposition is the fact that each term is estimated with respect to  $\delta$  and the  $L^2$ -norm of the distance between  $\nabla v$  to  $SO(3)$ . In order to obtain such decompositions, we first adapt the proof of the so called "Rigidity Theorem" established by Friesecke-James-Müller in [11]. Our improvement only consists in evaluating the dependence of the quantity which measure the distance from the gradient of

a deformation (defined on an open set  $\Omega$ ) to  $SO(3)$  in terms of two geometrical parameters characterizing  $\Omega$  (see Theorem II.1.1). As far as thin structures are concerned, the main interest of this result is the possibility to slice the considered structure into small pieces for which the two geometrical parameters are uniformly controlled. This point is particularly helpful for a curved rod with a variable curvature which is the case investigated in the present paper. This allows to define the elementary deformation as a continuous field and to derive estimates on  $\mathcal{V}$ ,  $\mathbf{R}$ ,  $\bar{v}$  and on the distance between  $\nabla v$  and  $\mathbf{R}$ . These estimates first permit to identify a few known critical orders for the quantity  $\|\text{dist}(\nabla v, SO(3))\|_{L^2}$  with respect to  $\delta$  (see [15], [19], [20]). Then, we explicitly investigate two cases namely where  $\|\text{dist}(\nabla v, SO(3))\|_{L^2}$  is of order  $\delta^2$  and  $\delta^\kappa$  where  $\kappa$  is a *real* number strictly greater than 2. Let us emphasize that the decomposition of  $v$  together with the estimates on  $\mathcal{V}$ ,  $\mathbf{R}$ ,  $\bar{v}$  allow to identify the limit of the Green-Lagrange strain tensor in terms of the limit of the components of the decomposition of  $v$ . Moreover this decomposition of a deformation is, in some sense, stable with respect to the limit process with respect to  $\delta$ , which can be seen as a justification of this splitting of  $v$ .

The second type of results concerns the asymptotic behavior of the deformations of elastic rods when  $\delta$  goes to 0, assuming that the elastic energy is comparable to  $\|\text{dist}(\nabla v, SO(3))\|_{L^2}^2$  and more precisely for a Saint Venant-Kirchhoff's material. We consider an elastic rod submitted to dead forces (which are assumed to be volume forces to simplify the computations but this is not essential). We strongly use the decomposition (I.1) to choose the scaling for the applied forces. In order to obtain an elastic energy of order  $\delta^{2\kappa}$  with  $\kappa \geq 2$ , we are led to split the forces into two types: order  $\delta^{\kappa-1}$  for the loads with mean equal to 0 over each cross section and order  $\delta^\kappa$  for general loads. We mainly investigate the cases  $\kappa = 2$  and  $\kappa > 2$ . Then we also use our decomposition to identify the limit energy through a  $\Gamma$ -convergence argument in both cases. Let us briefly summarize the obtained results.

In the case  $\kappa = 2$ , we obtain a minimization problem which depends only on the fields  $\mathcal{V}$  and  $\mathbf{R}$  (and indeed on the forces and the boundary conditions of the 3D problem) which corresponds to the nonlinear energy for inextensible rods obtained in [15] and [19]. Moreover if the rod is clamped on one (and only one) of its extremities, we show that this minimization problem is equivalent to an integro-differential problem for  $\mathbf{R}$  and that for small enough forces there is uniqueness of the solution.

In the case  $\kappa > 2$ , the limit minimization problem corresponds to the standard linear bending-torsion energy which is also obtained in the case  $\kappa = 3$  in [15] and [20].

We also examine a situation where the forces satisfy a specific geometrical assumption (which corresponds to pure traction-compression for a straight rod) but are of order  $\delta^{\kappa-1}$  ( $\kappa \geq 3$ ) and nevertheless which leads to an elastic energy of order  $\delta^{2\kappa}$ . We obtain a linear limit model for extensional displacement in the elastic 1D rod (with an elastic limit energy already derived in the case of a straight rod and a 3D energy of order  $\delta^6$  in [15] and [20]).

As a general reference on elasticity, we refer to [7] and [3]. A general introduction to the mathematical modeling of elastic rod models can be found in [2], [24], see also e.g. [1], [16]. For the justification of rods or plates models in nonlinear elasticity we refer [1], [8], [12], [14], [15], [18], [19], [20], [21], [22], [23]. For a general introduction of  $\Gamma$ -convergence we refer to [9]. The rigidity theorem and its applications to thin structures using  $\Gamma$ -convergence arguments can be found in [11], [12], [19], [20]. For the decomposition of the deformations in thin structures, we refer to [13], [14] and for a few applications the junctions of multi-structures and homogenization to [4], [5], [6].

The paper is organized as follow. Section II is devoted to introduce the decomposition (I.1) of the deformations in a thin curved rod and to establish the estimates on  $\mathcal{V}$ ,  $\mathbf{R}$ ,  $\bar{v}$ . In Section III, after rescaling the rod and the various fields with respect to  $\delta$ , we investigate the limit of the Green-St Venant tensor in the two cases  $\|\text{dist}(\nabla v, SO(3))\|_{L^2} \sim \delta^2$  and  $\|\text{dist}(\nabla v, SO(3))\|_{L^2} \sim \delta^\kappa$  for  $\kappa > 2$ . In Section IV, we consider an

elastic curved rod made of a St Venant- Kirchhoff 's material (see IV.1.9). After rescaling the applied forces, we identify the limit energy (as  $\delta$  goes to 0) through a  $\Gamma$ -convergence technique. The  $\Gamma$ -limit is a functional of  $\mathcal{V}$  and  $\mathbf{R}$  if  $\kappa = 2$  and of the displacement field  $\mathcal{U}$  and of an infinitesimal rotation field  $\mathcal{R} \wedge \vec{x}$  if  $\kappa > 2$ . Then, a specific choice of applied forces leads to a linear extentional model. Section V is devoted to give an equivalent formulation of the limit minimization problem obtained in the nonlinear case  $\kappa = 2$  which leads to a partial uniqueness result. At least an appendix at the end of the paper details a few technical points concerning the interpolation between two rotations and a density result.

## II. Decomposition of a deformation in a thin curved rod

In this section, we derive a decomposition of the type I.1 for a deformation  $v$  of a curved rod together with the estimates given in Theorem II.2.2. In order to obtain these results, we first adapt the proof of the "Theorem of Geometric Rigidity" established in [11]. As mentioned in the introduction we essentially evaluate the dependence of the quantity which measure the distance from  $\nabla v$  to  $SO(3)$  in terms of two geometrical parameters characterizing the domain. This is the object of Subsection II.1. Then Subsection II.2 is devoted to establish the estimates on the terms of the decomposition of  $v$  with respect to  $\|\text{dist}(\nabla v, SO(3))\|_{L^2}$  (see Theorem II.2.2). The techniques are similar to the ones developed for small displacements in [13] and [14]. At least, in Subsection II.3 where the rod is assumed to be clamped at least on one of its extremities, we deduce estimates of  $v$  and  $\nabla v$  in terms of  $\|\text{dist}(\nabla v, SO(3))\|_{L^2}$ .

### II.1. Estimating the constant in the Theorem of Geometric Rigidity

We equip the vector space  $\mathbf{M}_n$  of  $n \times n$  matrices with the Frobenius norm defined by

$$\mathbf{A} = (a_{ij})_{1 \leq i, j \leq n}, \quad \|\mathbf{A}\| = \sqrt{\sum_{i=1}^n \sum_{j=1}^n |a_{ij}|^2}.$$

Recall that an open set  $\Omega$  of  $\mathbb{R}^n$  is said to be star-shaped with respect to a ball  $B(O; R_1)$  if for any  $x \in B(O; R_1)$  and any  $y \in \Omega$  the segment  $[x, y]$  is included in  $\Omega$ .

**Theorem II.1.1.** *Let  $\Omega$  be an open set of  $\mathbb{R}^n$  contained in the ball  $B(O; R)$  and star-shaped with respect to the ball  $B(O; R_1)$ , ( $0 < R_1 \leq R$ ). For any  $v \in (H^1(\Omega))^n$ , there exist  $\mathbf{R} \in SO(n)$  and  $\mathbf{a} \in \mathbb{R}^n$  such that*

$$(II.1.1) \quad \begin{cases} \|\nabla v - \mathbf{R}\|_{(L^2(\Omega))^{n \times n}} \leq C \|\text{dist}(\nabla v; SO(n))\|_{L^2(\Omega)}, \\ \|v - \mathbf{a} - \mathbf{R}x\|_{(L^2(\Omega))^n} \leq CR \|\text{dist}(\nabla v; SO(n))\|_{L^2(\Omega)}, \end{cases}$$

where the constant  $C$  depends only on  $n$  and  $\frac{R}{R_1}$ .

**Proof of Theorem II.1.1.** The proof of the first inequality in Theorem II.1.1 is identical to the proof of Theorem 3.1 in [11] if we show that the constants which appear in the three main points of this proof only depend upon  $n$  and  $\frac{R}{R_1}$ . These three main arguments are first an approximation lemma, then a specific covering of  $\Omega$  and finally a Poincaré-Wirtinger's type inequality. In particular, we explicitly construct a covering of  $\Omega$  which can be used in the proof of Theorem 3.1 of [11] and which only depends of  $\frac{R}{R_1}$  and  $n$ .

We begin with the following lemma which just specifies the dependence of the constants in Proposition A.1 of [11].

**Lemma II.1.2.** *Let  $n \geq 1$  be an integer and  $1 \leq p < \infty$  be a real number. Let  $\Omega$  be an open set of  $\mathbb{R}^n$  contained in the ball  $B(O; R)$  and star-shaped with respect to the ball  $B(O; R_1)$ , ( $0 < R_1 \leq R$ ). There exists*

a constant  $C = C(n, p, R/R_1)$  such that for any function  $v \in (W^{1,p}(\Omega))^n$  and for any real number  $\lambda > 0$  there exists a function  $w \in (W^{1,\infty}(\Omega))^n$  such that

$$\begin{aligned} (i) \quad & \|\nabla w\|_{(L^\infty(\Omega))^{n \times n}} \leq C\lambda \\ (ii) \quad & |\{x \in \Omega ; v(x) \neq w(x)\}| \leq \frac{C}{\lambda^p} \int_{\{x \in \Omega ; \|\nabla v(x)\| > \lambda\}} \|\nabla v(x)\|^p dx \\ (iii) \quad & \|\nabla v - \nabla w\|_{(L^p(\Omega))^{n \times n}} \leq C \int_{\{x \in \Omega ; \|\nabla v(x)\| > \lambda\}} \|\nabla v(x)\|^p dx \end{aligned}$$

**Proof of Lemma II.1.2.** Let us denote by  $B_n = B(O; 1)$  the unit ball of  $\mathbb{R}^n$  and set  $S_n = \partial B_n$ . The proof of Lemma II.1.2 is given in [11] except what concerns the dependence of the constant in the inequalities with respect to the geometrical parameter  $R/R_1$  which will be extensively used in the sequel. We recall the following result proved in [11] ( Proposition A1; see also Evans and Garipey [10], Section 6.6.2 and 6.6.3): there exists a constant  $C_0$  which depends on  $n$  and  $p$  such that for any function  $\tilde{v}$  in  $(W^{1,p}(B_n))^n$  and for any real number  $\tilde{\lambda} > 0$  there exists a function  $\tilde{w} \in (W^{1,\infty}(B_n))^n$  such that

$$(II.1.2) \quad \begin{cases} \|\nabla_y \tilde{w}\|_{(L^\infty(B_n))^{n \times n}} \leq C_0 \tilde{\lambda} \\ |\{y \in B_n ; \tilde{v}(y) \neq \tilde{w}(y)\}| \leq \frac{C_0}{\tilde{\lambda}^p} \int_{\{y \in B_n ; \|\nabla_y \tilde{v}(y)\| > \tilde{\lambda}\}} \|\nabla_y \tilde{v}(y)\|^p dy \\ \|\nabla_y \tilde{v} - \nabla_y \tilde{w}\|_{(L^p(B_n))^{n \times n}} \leq C_0 \int_{\{y \in B_n ; \|\nabla_y \tilde{v}(y)\| > \tilde{\lambda}\}} \|\nabla_y \tilde{v}(y)\|^p dy \end{cases}$$

Since  $\Omega$  is, in particular, star-shaped with respect to the origin  $O$ , for any direction  $s$  of  $S_n$  the ray issued from  $O$  and with direction  $s$  meets the boundary  $\partial\Omega$  on a unique point  $P(s)$ . In order to transform the ball  $B_n$  into the set  $\Omega$ , we first introduce the function  $F$  from  $S_n$  into  $\mathbb{R}^+$  by

$$\forall s \in S_n, \quad F(s) = \|\overrightarrow{OP}(s)\|_2,$$

where  $\|\cdot\|_2$  denotes the euclidian norm on  $\mathbb{R}^n$

Now the function  $G$  from  $\mathbb{R}^n$  into  $\mathbb{R}^n$  is defined by

$$G : y \in \mathbb{R}^n \longrightarrow \begin{cases} yF\left(\frac{y}{\|y\|_2}\right) & \text{if } y \neq O \\ O & \text{if } y = O. \end{cases}$$

This function  $G$  is one to one from  $\mathbb{R}^n$  onto  $\mathbb{R}^n$  and maps  $B_n$  onto  $\Omega$ . Moreover, due to the geometrical assumptions on  $\Omega$ , the function  $G$  is Lipschitz-continuous and satisfies the following inequalities for almost any  $y \in \mathbb{R}^n$

$$(II.1.3) \quad R_1 C_1 \leq \|\nabla_y G(y)\| \leq R C_2, \quad \frac{C_1}{R} \leq \|\nabla_x G^{-1}(x)\| \leq \frac{C_2}{R_1}, \quad R_1^n C_1 \leq |\det(\nabla_y G(y))| \leq R^n C_2$$

where the constants  $C_1$  and  $C_2$  depend on  $n$  and  $R/R_1$ . The proof of the above estimates is left to the reader (see also [13] and [14]).

Let  $v \in (W^{1,p}(\Omega))^n$ . We define the function  $\tilde{v} = v \circ G$  which belongs to  $(W^{1,p}(B_n))^n$  and we have for almost any  $y \in B_n$

$$\nabla_y \tilde{v}(y) = \nabla_x v(G(y)) \nabla_y G(y).$$

Taking into account (II.1.3), we obtain

$$(II.1.4) \quad R_1 C_3 |||\nabla_x v(G(y))||| \leq |||\nabla_y \tilde{v}(y)||| \leq R C_4 |||\nabla_x v(G(y))|||$$

where  $C_3$  and  $C_4$  depend on  $n$  and  $R/R_1$ . Using the estimates on the jacobian given by (II.1.3), we deduce that

$$(II.1.5) \quad \begin{cases} C_5 \frac{R_1^p}{R^n} \|\nabla_x v\|_{(L^p(\Omega))^{n \times n}}^p \leq \|\nabla_y \tilde{v}\|_{(L^p(B_n))^{n \times n}}^p \leq C_6 \frac{R^p}{R_1^n} \|\nabla_x v\|_{(L^p(\Omega))^{n \times n}}^p & \text{for } 1 \leq p < \infty \\ C_5 R_1 \|\nabla_x v\|_{(L^\infty(\Omega))^{n \times n}} \leq \|\nabla_y \tilde{v}\|_{(L^\infty(B_n))^{n \times n}} \leq C_6 R \|\nabla_x v\|_{(L^\infty(\Omega))^{n \times n}} \end{cases}$$

where  $C_5$  and  $C_6$  depend on  $n$  and  $R/R_1$ . Now we apply the result recalled at the beginning of the proof so that for any  $\lambda > 0$ , setting  $\tilde{\lambda} = C_4 R \lambda$ , there exists a function  $\tilde{w} \in (W^{1,\infty}(B_n))^n$  such that (II.1.2) holds true. Let us set  $w = \tilde{w} \circ G^{-1}$  which belongs to  $(W^{1,\infty}(\Omega))^n$ . Thanks to (II.1.2) and (II.1.5) we have

$$\|\nabla_x w\|_{(L^\infty(\Omega))^{n \times n}} \leq \frac{C_0 \tilde{\lambda}}{C_5 R_1} = \frac{C_0 C_4}{C_5} \frac{R}{R_1} \lambda,$$

and *i*) is proved. We use (II.1.3) and (II.1.4) to obtain

$$\begin{aligned} & |\{x \in \Omega ; v(x) \neq w(x)\}| \leq C_2 R^n |\{y \in B_n ; \tilde{v}(y) \neq \tilde{w}(y)\}| \\ & \leq \frac{C_0 C_2 R^n}{\tilde{\lambda}^p} \int_{\{y \in B_n ; |||\nabla_y \tilde{v}(y)||| > \tilde{\lambda}\}} |||\nabla_y \tilde{v}(y)|||^p dy \leq \frac{C_0 C_2}{C_1} \frac{R^n}{R_1^n} \frac{1}{\lambda^p} \int_{\{x \in \Omega ; |||\nabla_x v(x)||| > \lambda\}} |||\nabla_x v(x)|||^p dx \end{aligned}$$

and *ii*) is established. Now we prove *iii*). We have for  $\lambda$  and  $w$  satisfying *i*) and *ii*)

$$\begin{aligned} \int_{\Omega} |||\nabla_x v(x) - \nabla_x w(x)|||^p dx &= \int_{v \neq w} |||\nabla_x v(x) - \nabla_x w(x)|||^p dx \leq 2^p \int_{v \neq w} \{|||\nabla_x v(x)|||^p + |||\nabla_x w(x)|||^p\} dx \\ &\leq 2^p \int_{v \neq w} \{\lambda^p dx + |||\nabla_x w(x)|||^p\} dx + 2^p \int_{|||\nabla_x v(x)||| > \lambda} |||\nabla_x v(x)|||^p dx \\ &\leq C \int_{v \neq w} \lambda^p dx + 2^p \int_{|||\nabla_x v(x)||| > \lambda} |||\nabla_x v(x)|||^p dx \leq C \int_{|||\nabla_x v(x)||| > \lambda} |||\nabla_x v(x)|||^p dx \end{aligned}$$

Finally we obtain

$$\|\nabla_x v - \nabla_x w\|_{(L^p(\Omega))^{n \times n}} \leq C \int_{\{x \in \Omega ; |||\nabla_x v(x)||| > \lambda\}} |||\nabla_x v(x)|||^p dx,$$

where the constant depends on  $n$ ,  $p$  and  $R/R_1$ . This concludes the proof of Lemma II.1.2.  $\square$

We now turn to the second argument in the proof of Theorem II.1.1, namely the specific covering of  $\Omega$ . In the following we construct a covering  $\mathcal{Q}$  of  $\Omega$  with cubes of the type  $Q(a, r) = a + ]-r, r[^n$ ,  $r > 0$  satisfying the following properties:

- \* for every  $Q(a, r) \in \mathcal{Q}$ , the cube  $Q(a, 2r)$  is included in  $\Omega$ ,
- \* there exists a constant  $C(n, \frac{R}{R_1})$  such that

$$(II.1.6) \quad \forall x \in Q(a, r) \in \mathcal{Q}, \quad r \leq \text{dist}_\infty(x, \partial\Omega) \leq C(n, \frac{R}{R_1})r,$$

\* there exists a finite integer  $N(n)$  such that for every cube  $Q(a, r)$  of the covering  $\mathcal{Q}$ , the number of cubes of the type  $Q(b, 2r')$  which meet  $Q(a, r)$ , where  $Q(b, r')$  belongs to  $\mathcal{Q}$ , is at most  $N(n)$ .

For  $i \in \mathbb{N}$  and  $k \in \mathbb{N}$ , we set  $r_i = \frac{R_1}{2^i 3 \sqrt{n}}$  and

$$\mathcal{R}_k = \left\{ a \in r_k \mathbb{Z}^n \mid a = r_k (i_1, i_2, \dots, i_n), \quad i_p \in \mathbb{Z} \text{ and odd} \right\}.$$

The covering  $\mathcal{Q}$  of  $\Omega$  is constructed by induction as follows :

\* consider all the cubes  $Q(a, r_0)$ ,  $a \in \mathcal{R}_0$ , such that  $Q(a, 2r_0) \subset \Omega$  and denote by  $\mathcal{Q}_0$  the family of these cubes  $Q(a, r_0)$  and by  $\mathcal{U}_0 = \bigcup_{Q(a, r_0) \in \mathcal{Q}_0} Q(a, r_0)$ ,

\* in step  $k \geq 1$ , consider the cubes  $Q(a, r_k)$ ,  $a \in \mathcal{R}_k$ , such that  $Q(a, r_k) \subset \Omega \setminus \overline{\mathcal{U}_0 \cup \dots \cup \mathcal{U}_{k-1}}$  and such that  $Q(a, 2r_k) \subset \Omega$ , and denote by  $\mathcal{Q}_k$  the family of these cubes  $Q(a, r_k)$  and by  $\mathcal{U}_k = \bigcup_{Q(a, r_k) \in \mathcal{Q}_k} Q(a, r_k)$ .

We denote by  $\mathcal{Q}$  the countable family of all the cubes constructed through the above process.

The above explicit construction permits to show that the covering  $\mathcal{Q}$  verifies the required properties (as an example we can take  $C(n, \frac{R}{R_1}) = 5\sqrt{n} \frac{R}{R_1}$  and  $N(n) = 2^{n+3}$ ).

As far as the third argument in the proof of Theorem I.2.1 is concerned, we now recall the following Poincaré-Wirtinger's inequality (see [13] for a proof and various applications). Since  $\Omega$  is contained in the ball  $B(O; R)$  and is star-shaped with respect to the ball  $B(O; R_1)$ , there exists a constant  $C$  which depends on  $n$  and  $\frac{R}{R_1}$  such that for any  $\phi \in H^1(\Omega)$  (see [13])

$$(II.1.7) \quad \|\phi - \mathcal{M}(\phi)\|_{L^2(\Omega)} \leq C \|\rho \nabla \phi\|_{(L^2(\Omega))^n}$$

where  $\mathcal{M}(\phi)$  is the mean of  $\phi$  over  $\Omega$  and  $\rho(x) = \text{dist}(x, \partial\Omega)$ . Using Lemma II.1.2, the specific covering of  $\Omega$  described above and the Poincaré-Wirtinger's inequality (II.1.7) permit to reproduce the proof of Theorem 3.1 in [11] in order to obtain the first estimate of our Theorem II.1.1 with a constant which depends only on  $n$  and  $\frac{R}{R_1}$ . To end the proof, we apply inequality (II.1.7) to the field  $v(x) - \mathbf{R}x$  and we use the first estimate in Theorem II.1.1.  $\square$

## II.2. Decomposition of the deformation in a curved rod. Estimates

### II.2.1. The geometry

Let us introduce a few notations and definitions concerning the geometry of a curved rod (see [13], [14] for a detailed presentation).

Let  $\zeta$  be a curve in the euclidian space  $\mathbb{R}^3$  parametrized by its arc length  $s_3$ . The current point of the curve is denoted  $M(s_3)$ .

We suppose that the mapping  $s_3 \rightarrow M(s_3)$  belongs to  $(\mathcal{C}^2([0, L]))^3$  and that it is one to one. We have

$$\frac{dM}{ds_3} = \mathbf{t}, \quad \|\mathbf{t}\|_2 = 1,$$

where  $\|\cdot\|_2$  is the euclidian norm in  $\mathbb{R}^3$ .

Let  $\mathbf{n}_1$  be a function belonging to  $(\mathcal{C}^1([0, L]))^3$  and such that

$$\forall s_3 \in [0, L], \quad \|\mathbf{n}_1(s_3)\|_2 = 1 \quad \text{and} \quad \mathbf{t}(s_3) \cdot \mathbf{n}_1(s_3) = 0.$$

We set

$$\mathbf{n}_2 = \mathbf{t} \wedge \mathbf{n}_1.$$

In the sequel,  $\omega$  denotes a bounded domain in  $\mathbb{R}^2$  with lipschitzian boundary (while obviously,  $\bar{\omega}$  denotes the closure of  $\omega$ ). We choose the origin  $O$  of coordinates at the center of mass of  $\omega$  and we choose the coordinates axes  $(O; \mathbf{e}_1)$  and  $(O; \mathbf{e}_2)$  as the principal axes of inertia of  $\omega$ , so that  $\int_{\omega} x_1 dx_1 dx_2 = \int_{\omega} x_2 dx_1 dx_2 = \int_{\omega} x_1 x_2 dx_1 dx_2 = 0$ . The reference cross-section  $\omega_{\delta}$  of the rod is obtained by transforming  $\omega$  with a dilatation of ratio  $\delta > 0$  and we set

$$\Omega_{\delta} = \omega_{\delta} \times (0, L).$$

Introduce now the mapping  $\Phi : \mathbb{R}^2 \times [0, L] \longrightarrow \mathbb{R}^3$  defined by

$$\Phi : (s_1, s_2, s_3) \longmapsto M(s_3) + s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3)$$

There exists  $\delta_0 > 0$  depending only on  $\zeta$ , such that the restriction of  $\Phi$  to the compact set  $\bar{\Omega}_{\delta}$  is a  $\mathcal{C}^1$ -diffeomorphism between  $\bar{\Omega}_{\delta}$  and  $\Phi(\bar{\Omega}_{\delta})$ . Moreover, there exists two positive constants  $c$  and  $c_1$  such that

$$\forall \delta \in (0, \delta_0], \quad \forall s \in \bar{\Omega}_{\delta}, \quad c \leq |||\nabla \Phi(s)||| \leq c_1.$$

**Definition II.2.1.** For  $\delta \in (0, \delta_0]$ , the curved rod  $\mathcal{P}_{\delta}$  is defined by

$$\mathcal{P}_{\delta} = \Phi(\Omega_{\delta}).$$

The cross-section of the curved rod is isometric to  $\omega_{\delta}$ . In  $\mathcal{P}_{\delta}$ , the point  $M(s_3)$  is the center of gravity of the cross-section  $\Phi(\omega_{\delta} \times \{s_3\})$  and the axes of direction  $\mathbf{n}_1(s_3)$  and  $\mathbf{n}_2(s_3)$  are the principal axes of this cross-section.

**Notation.** *Reference domains and running points.* We denote  $x$  and  $s$  respectively the running point of  $\mathcal{P}_{\delta}$  and of  $\Omega_{\delta}$  so that  $x = \Phi(s)$ .

A deformation  $v$  defined on  $\mathcal{P}_{\delta}$  can be also considered as a deformation defined on  $\Omega_{\delta}$  which we will also denote by  $v$ , as a convention. As far as the gradients of  $v$  are concerned we have  $\nabla_s v = \nabla_x v \cdot \nabla \Phi$

$$\forall \delta \in (0, \delta_0], \quad c |||\nabla_x v(x)||| \leq |||\nabla_s v(s)||| \leq C |||\nabla_x v(x)|||$$

where the constants are positive and do not depend on  $\delta$ .

## II.2.2. The elementary deformation

In this subsection, we show that any deformation  $v \in (H^1(\mathcal{P}_{\delta}))^3$  of the rod  $\mathcal{P}_{\delta}$  can be decomposed as (using the above convention)

$$(II.2.1) \quad v(s) = \mathcal{V}(s_3) + \mathbf{R}(s_3)(s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3)) + \bar{v}(s), \quad s \in \Omega_{\delta},$$

where  $\mathcal{V}$  belongs to  $(H^1(0, L))^3$ ,  $\mathbf{R}$  belongs to  $(H^1(0, L))^{3 \times 3}$  and satisfies for any  $s_3 \in [0, L]$ :  $\mathbf{R}(s_3) \in SO(3)$  and  $\bar{v}$  belongs to  $(H^1(\mathcal{P}_{\delta}))^3$  (or  $(H^1(\Omega_{\delta}))^3$  using again the same convention as for  $v$ ). Let us give a few comments on the above decomposition. The term  $\mathcal{V}$  gives the deformation of the center line of the rod and it is indeed a function of the arc length  $s_3$ . The second term  $\mathbf{R}(s_3)(s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3))$  describes the rotation

of the cross section (of the curved rod) which contains the point  $M(s_3)$ . The sum of the two first terms  $\mathcal{V}(s_3) + \mathbf{R}(s_3)(s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3))$  is called an elementary deformation of the rod.

### II.2.3. The main theorem

The following theorem gives a decomposition (II.2.1) of a deformation and estimates on the terms of this decomposition.

**Theorem II.2.2.** *Let  $v \in (H^1(\mathcal{P}_\delta))^3$ , there exists an elementary deformation  $\mathcal{V} + \mathbf{R}(s_1 \mathbf{n}_1 + s_2 \mathbf{n}_2)$  and a warping  $\bar{v}$  satisfying (II.2.1) and such that*

$$(II.2.2) \quad \begin{cases} \|\bar{v}\|_{(L^2(\Omega_\delta))^3} \leq C\delta \|dist(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \|\nabla_s \bar{v}\|_{(L^2(\Omega_\delta))^{3 \times 3}} \leq C \|dist(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \left\| \frac{d\mathbf{R}}{ds_3} \right\|_{(L^2(0,L))^{3 \times 3}} \leq \frac{C}{\delta^2} \|dist(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \left\| \frac{d\mathcal{V}}{ds_3} - \mathbf{R}t \right\|_{(L^2(0,L))^3} \leq \frac{C}{\delta} \|dist(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \|\nabla_x v - \mathbf{R}\|_{(L^2(\Omega_\delta))^{3 \times 3}} \leq C \|dist(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \end{cases}$$

where the constant  $C$  does not depend on  $\delta$ .

**Proof.** Let  $N$  be an integer belonging to  $\left[\frac{2L}{3\delta}, \frac{L}{\delta}\right]$  and let  $0 \leq \alpha \leq L - \frac{L}{N}$ .

We have  $\delta \leq \frac{L}{N} \leq \frac{3}{2}\delta$ . Let  $R > 1$  be such that the reference cross-section  $\omega$  is contained in the ball  $B(O; R)$ .

Then the domain  $\Omega_{\delta, \alpha} = \omega_\delta \times ]\alpha, \alpha + \frac{L}{N}[$  has a diameter less than  $3R\delta$ . In the sequel we will work with the portions  $\mathcal{P}_{\delta, \alpha}$  of the rod  $\mathcal{P}_\delta$  defined by

$$\mathcal{P}_{\delta, \alpha} = \Phi(\Omega_{\delta, \alpha}).$$

As in [13] we distinguish two cases.

**First case.** If  $\omega$  is star-shaped with respect to a ball of radius  $R_1 \leq 1/2$ , it is shown in [13] that each  $\mathcal{P}_{\delta, \alpha}$  is star-shaped with respect to a ball of radius  $\frac{R_1 \delta}{8}$  and we are in a position to apply Theorem II.1.1 to the function  $v$  into each part  $\mathcal{P}_{\delta, \alpha}$  for which the ratio  $\frac{R}{R_1}$  is independent of  $\delta$ . As a consequence, there exist  $\mathbf{R}_\alpha \in SO(3)$  and  $\mathbf{a}_\alpha \in \mathbb{R}^3$  such that

$$(II.2.3) \quad \begin{cases} \|\nabla_x v - \mathbf{R}_\alpha\|_{(L^2(\mathcal{P}_{\delta, \alpha}))^{3 \times 3}} \leq C \|dist(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta, \alpha})} \\ \|v - \mathbf{a}_\alpha - \mathbf{R}_\alpha(x - M(\alpha))\|_{(L^2(\mathcal{P}_{\delta, \alpha}))^3} \leq C\delta \|dist(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta, \alpha})}. \end{cases}$$

The constant  $C$  does not depend on  $\alpha$  and  $\delta$ .

**Second Case.** Let us consider the general case, where the cross-section is a bounded domain in  $\mathbb{R}^2$  with lipschitzian boundary. There exists a finite sequence of open sets  $\omega^{(1)}, \dots, \omega^{(K)}$  such that

$$\omega = \bigcup_{1 \leq l \leq K} \omega^{(l)}, \quad \omega_\delta = \bigcup_{1 \leq l \leq K} \omega_\delta^{(l)},$$

and such that every  $\omega^{(l)}$  is star-shaped with respect to a disc of radius  $R_1$ ,  $0 < R_1 \leq 1/2$ . Moreover, the open set  $\omega$  is connected, then there exists  $R_2 \in ]0, R_1]$  such that  $\omega^{(r)} \cap \omega^{(s)}$  contains a disc of radius  $R_2$  if the intersection is not empty.

The domain  $\Omega_{\delta,\alpha}^{(l)} = \omega_\delta^{(l)} \times ]\alpha, \alpha + \frac{L}{N}[$  is star-shaped with respect to a ball of radius  $R_1\delta$ . As in the first case, there exist  $\mathbf{R}_\alpha^{(l)} \in SO(3)$  and  $\mathbf{a}_\alpha^{(l)} \in \mathbb{R}^3$  such that

$$\begin{aligned} \|\nabla_x v - \mathbf{R}_\alpha^{(l)}\|_{(L^2(\mathcal{P}_{\delta,\alpha}^{(l)}))^{3 \times 3}} &\leq C \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta,\alpha}^{(l)})} \\ \|v - \mathbf{a}_\alpha^{(l)} - \mathbf{R}_\alpha^{(l)}(x - M(\alpha))\|_{(L^2(\mathcal{P}_{\delta,\alpha}^{(l)}))^3} &\leq C\delta \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta,\alpha}^{(l)})}. \end{aligned}$$

The constant  $C$  does not depend on  $\alpha$ ,  $\delta$  and  $l$ .

If  $\omega^{(r)} \cap \omega^{(s)} \neq \emptyset$  the portion  $\mathcal{P}_{\delta,\alpha}^{(r)} \cap \mathcal{P}_{\delta,\alpha}^{(s)}$  contains a ball of radius  $R_2\delta/8$ . This allows us to compare the elementary deformations  $\mathbf{a}_\alpha^{(r)} + \mathbf{R}_\alpha^{(r)}(x - M(\alpha))$  and  $\mathbf{a}_\alpha^{(s)} + \mathbf{R}_\alpha^{(s)}(x - M(\alpha))$  in this ball. We obtain

$$\|\mathbf{R}_\alpha^{(r)} - \mathbf{R}_\alpha^{(s)}\|^2 \leq \frac{C}{\delta^3} \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta,\alpha}^{(r)} \cup \mathcal{P}_{\delta,\alpha}^{(s)})}^2 \quad \|\mathbf{a}_\alpha^{(r)} - \mathbf{a}_\alpha^{(s)}\|^2 \leq \frac{C}{\delta} \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta,\alpha}^{(r)} \cup \mathcal{P}_{\delta,\alpha}^{(s)})}^2$$

where the constant only depends on  $R$ ,  $R_1$  and  $R_2$ .

Setting  $\mathbf{R}_\alpha = \mathbf{R}_\alpha^{(1)}$  and  $\mathbf{a}_\alpha = \mathbf{a}_\alpha^{(1)}$  and proceeding step by step with respect to  $l$ , we finally deduce that (II.2.3) holds true with a constant  $C$  which does not depend on  $\alpha$  and  $\delta$  as in the first case.

Now we consider two splittings of  $\mathcal{P}_\delta$  by considering two sets of arc length

$$\alpha_k = k \frac{L}{N}, \quad k = 0, \dots, N, \quad \beta_k = \alpha_k + \frac{L}{2N}, \quad k = 0, \dots, N-1.$$

We consider the elementary deformations  $\mathbf{a}_{\alpha_k} + \mathbf{R}_{\alpha_k}(x - M(\alpha_k))$  and  $\mathbf{a}_{\beta_k} + \mathbf{R}_{\beta_k}(x - M(\beta_k))$  of the portions  $\mathcal{P}_{\delta,\alpha_k}$  and  $\mathcal{P}_{\delta,\beta_k}$  which satisfies estimates (II.2.3) with a constant independent of  $k$ . Considering  $\mathcal{P}_{\delta,\alpha_k} \cap \mathcal{P}_{\delta,\beta_k}$  and  $\mathcal{P}_{\delta,\alpha_{k+1}} \cap \mathcal{P}_{\delta,\beta_k}$ , we can compare  $\mathbf{R}_{\alpha_k}$  and  $\mathbf{R}_{\alpha_{k+1}}$ . We obtain

$$(II.2.4) \quad \sum_{k=0}^{N-1} \frac{L}{N} \left\| \left\| \frac{\mathbf{R}_{\alpha_{k+1}} - \mathbf{R}_{\alpha_k}}{L/N} \right\| \right\|^2 \leq \frac{C}{\delta^4} \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_\delta)}^2,$$

where  $\mathbf{R}_{\alpha_N} = \mathbf{R}_{\alpha_{N-1}}$  and where the constant  $C$  is independent of  $\delta$ .

Now we are in a position to define the elementary deformation associated to  $v$ . We set for  $s_3 \in [0, L]$

$$(II.2.5) \quad \mathcal{V}(s_3) = \frac{1}{|\omega_\delta|} \int_{\omega_\delta} v(s_1, s_2, s_3) ds_1 ds_2.$$

In order to define  $\mathbf{R}$  we use the following argument whose proof is postponed to the appendix. There exists a field of matrices  $\mathbf{R}$  belonging to  $(H^1(0, L))^{3 \times 3}$ , with  $\mathbf{R}(s_3) \in SO(3)$  for all  $s_3 \in [0, L]$ , such that  $\mathbf{R}(\alpha_k) = \mathbf{R}_{\alpha_k}$  for  $k = 0, \dots, N$  and

$$(II.2.6) \quad \left\| \frac{d\mathbf{R}}{ds_3} \right\|_{(L^2(0, L))^{3 \times 3}}^2 \leq 4 \sum_{k=0}^{N-1} \frac{L}{N} \left\| \left\| \frac{\mathbf{R}_{\alpha_{k+1}} - \mathbf{R}_{\alpha_k}}{L/N} \right\| \right\|^2.$$

Indeed the field  $\bar{v}$  is defined by

$$(II.2.7) \quad \bar{v}(s) = v(s) - \mathcal{V}(s_3) - \mathbf{R}(s_3)(s_1 \mathbf{n}_1 + s_2 \mathbf{n}_2) \quad \text{for a. e. } s \in \Omega_\delta.$$

The third estimate of (II.2.2) follows directly from (II.2.4) and (II.2.6).

From (II.2.3) we obtain

$$(II.2.8) \quad \begin{cases} \sum_{k=0}^{N-1} \|\nabla_x v - \mathbf{R}_{\alpha_k}\|_{(L^2(\mathcal{P}_{\delta, \alpha_k}))^{3 \times 3}}^2 \leq C \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta})}^2 \\ \sum_{k=0}^{N-1} \|v - \mathbf{a}_{\alpha_k} - \mathbf{R}_{\alpha_k}(x - M(\alpha_k))\|_{(L^2(\mathcal{P}_{\delta, \alpha_k}))^3}^2 \leq C\delta^2 \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta})}^2. \end{cases}$$

Now, we take the mean value over the cross-sections of  $\Omega_\delta$ , then using the definition of  $\mathcal{V}$  we deduce

$$(II.2.9) \quad \sum_{k=0}^{N-1} \|\mathcal{V} - \mathbf{a}_{\alpha_k} - \mathbf{R}_{\alpha_k}(M - M(\alpha_k))\|_{(L^2(\alpha_k, \alpha_{k+1}))^3}^2 \leq C \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta})}^2.$$

Thanks to the definition of  $\mathbf{R}$  and the third estimate in (II.2.2) we get

$$(II.2.10) \quad \sum_{k=0}^{N-1} \|\mathbf{R} - \mathbf{R}_{\alpha_k}\|_{(L^2(\alpha_k, \alpha_{k+1}))^{3 \times 3}}^2 \leq \frac{C}{\delta^2} \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta})}^2.$$

Consequently (II.2.9) and (II.2.10) give the first estimate in (II.2.2) while (II.2.10) leads to the last estimate in (II.2.2).

Due to the definition of  $\Phi$ , we have  $\frac{\partial \Phi}{\partial s_\alpha} = \mathbf{n}_\alpha$  and  $\frac{\partial \Phi}{\partial s_3} = \mathbf{t} + s_1 \frac{d\mathbf{n}_1}{ds_3} + s_2 \frac{d\mathbf{n}_2}{ds_3}$  so that the relation  $\nabla_s v = \nabla_x v \cdot \nabla \Phi$  leads to

$$(II.2.11) \quad \frac{\partial v}{\partial s_1} = \nabla_x v \cdot \mathbf{n}_1 \quad \frac{\partial v}{\partial s_2} = \nabla_x v \cdot \mathbf{n}_2 \quad \frac{\partial v}{\partial s_3} = \nabla_x v \cdot \left( \mathbf{t} + s_1 \frac{d\mathbf{n}_1}{ds_3} + s_2 \frac{d\mathbf{n}_2}{ds_3} \right).$$

Then inserting (II.2.10) into (II.2.8) gives in particular

$$(II.2.12) \quad \left\| \frac{\partial v}{\partial s_3} - \mathbf{R} \left( \mathbf{t} + s_1 \frac{d\mathbf{n}_1}{ds_3} + s_2 \frac{d\mathbf{n}_2}{ds_3} \right) \right\|_{(L^2(\Omega_\delta))^3}^2 \leq C \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta})}^2.$$

Integrating first over  $\omega_\delta \times \{s_3\}$  in (II.2.12) leads to the fourth estimate of (II.2.2) (recall that  $\int_\omega s_1 ds_1 ds_2 = \int_\omega s_2 ds_1 ds_2 = 0$ ). It remains to show the estimate on  $\nabla_s \bar{v}$ . From (II.2.11) we get

$$(II.2.13) \quad \frac{\partial \bar{v}}{\partial s_1} = (\nabla_x v - \mathbf{R}) \cdot \mathbf{n}_1 \quad \frac{\partial \bar{v}}{\partial s_2} = (\nabla_x v - \mathbf{R}) \cdot \mathbf{n}_2$$

and then with (II.2.8) and (II.2.10)

$$(II.2.14) \quad \left\| \frac{\partial \bar{v}}{\partial s_1} \right\|_{(L^2(\Omega_\delta))^3}^2 + \left\| \frac{\partial \bar{v}}{\partial s_2} \right\|_{(L^2(\Omega_\delta))^3}^2 \leq C \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta})}^2.$$

Now we estimate  $\left\| \frac{\partial \bar{v}}{\partial s_3} \right\|_{(L^2(\Omega_\delta))^3}$ . We have from (II.2.7) and (II.2.11)

$$(II.2.15) \quad \frac{\partial \bar{v}}{\partial s_3} = (\nabla_x v - \mathbf{R}) \cdot \left( \mathbf{t} + s_1 \frac{d\mathbf{n}_1}{ds_3} + s_2 \frac{d\mathbf{n}_2}{ds_3} \right) - \frac{d\mathbf{R}}{ds_3} \cdot (s_1 \mathbf{n}_1 + s_2 \mathbf{n}_2) - \frac{d\mathcal{V}}{ds_3} + \mathbf{R} \cdot \mathbf{t}.$$

Proceeding as above to bound the first term and using the third, the fourth and the last estimates of (II.2.2) to control the last two terms of the above inequality permit to obtain the estimate on  $\frac{\partial \bar{v}}{\partial s_3}$  given in (II.2.2).  $\square$

In order to split the bending and the stretching of the rod, we now introduce the following quantities:

$$(II.2.16) \quad \forall s_3 \in [0, L], \quad \mathcal{V}_B(s_3) = \mathcal{V}(0) + \int_0^{s_3} \mathbf{R}(z) \mathbf{t}(z) dz, \quad \mathcal{V}_S(s_3) = \mathcal{V}(s_3) - \mathcal{V}_B(s_3).$$

Let us notice that  $\mathcal{V}_B$  is the bending deformation of the middle line while  $\mathcal{V}_S$  is the stretching deformation. Due to the third estimate in (II.2.2) we have

$$(II.2.17) \quad \|\mathcal{V}_S\|_{(H^1(0,L))^3} \leq \frac{C}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}.$$

Inserting the definition (II.2.16) into the decomposition (II.2.1) gives

$$(II.2.18) \quad v(s) = \mathcal{V}_B(s_3) + \mathbf{R}(s_3)(s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3)) + \mathcal{V}_S(s_3) + \bar{v}(s), \quad s \in \Omega_\delta.$$

Estimates (II.2.2) and (II.2.17) permit to interpret the part  $\mathcal{V}_B(s_3) + \mathbf{R}(s_3)(s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3))$  of the decomposition (II.2.18) as an approximation of the parametrization of the deformed rod at least if  $\|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \ll \delta$ .

#### II.2.4. The boundary condition

Let us denote by  $\mathbf{I}_3$  the unit  $3 \times 3$  matrix and by  $I_d$  the identity map of  $\mathbb{R}^3$ .

In this subsection, we derive boundary conditions on the terms of the elementary deformation given by Theorem II.2.2. Indeed these conditions depend on the boundary conditions on the field  $v$ . We discuss essentially the usual case of a clamped condition on the extremity of the rod defined by

$$\Gamma_{0,\delta} = \Phi(\omega_\delta \times \{0\}).$$

Then we assume that

$$v(x) = x \quad \text{on} \quad \Gamma_{0,\delta}.$$

In the following we show that the elementary deformation  $\mathcal{V}(s_3) + \mathbf{R}(s_3)(s_1 \mathbf{n}_1 + s_2 \mathbf{n}_2)$  given by Theorem II.2.2 can be chosen such that  $\bar{v} = 0$  on the corresponding boundary  $\omega_\delta \times \{0\}$ . Due to the definition (II.2.5) of  $\mathcal{V}$ , we first have

$$\mathcal{V}(0) = M(0),$$

(recall that the point  $M(0)$  is the beginning of the middle line of the rod  $\mathcal{P}_\delta$ ; see the notations in Subsection 3.1). Now we prove that in Theorem II.2.2 the choice  $\mathbf{R}(0) = \mathbf{I}_3$  is licit as a boundary condition for the matrix  $\mathbf{R}(s_3)$ . Estimates (II.2.3) for the first portion  $\mathcal{P}_{\delta,\alpha_0}$  imply

$$\|v(\cdot, \cdot, 0) - \mathbf{a}_{\alpha_1} - \mathbf{R}_{\alpha_0}(s_1 \mathbf{n}_1(0) + s_2 \mathbf{n}_2(0))\|_{(L^2(\omega_\delta))^3}^2 \leq C \delta \text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta,\alpha_0})}^2.$$

Using now the boundary condition written in the equivalent form  $v(s_1, s_2, 0) = M(0) + s_1 \mathbf{n}_1(0) + s_2 \mathbf{n}_2(0)$  in the above estimate and using again  $\int_\omega s_1 ds_1 ds_2 = \int_\omega s_2 ds_1 ds_2 = \int_\omega s_1 s_2 ds_1 ds_2 = 0$  lead to

$$\|\mathbf{R}_{\alpha_0} - \mathbf{I}_3\|^2 \leq \frac{C}{\delta^3} \|\text{dist}(\nabla_x v; SO(3))\|_{L^2(\mathcal{P}_{\delta, \alpha_0})}^2.$$

As a consequence we can substitute  $\mathbf{R}_{\alpha_0}$  by  $\mathbf{I}_3$  in the construction of the function  $\mathbf{R}(s_3)$  without altering estimates (II.2.2) so that  $\mathbf{R}(0) = \mathbf{I}_3$ . Indeed this leads to  $\bar{v} = 0$  on the boundary  $\omega_\delta \times \{0\}$ . The above arguments can be easily adapted if the imposed deformation on  $\Gamma_{0,\delta}$  is of the form  $v(x) = A + \mathbf{P}(x - M(0))$  where  $A$  is the deformation of the point  $M(0)$  and  $\mathbf{P}$  is  $3 \times 3$  matrix. This leads to two boundary conditions of the type  $\mathcal{V}(0) = A$  and  $\mathbf{R}(0) = \mathbf{Q}$  where, as an example, the rotation  $\mathbf{Q}$  minimizes the distance from  $\mathbf{P}$  to  $SO(3)$ . In the same spirit, if the rod is clamped on the two extremities of  $\mathcal{P}_\delta$ , one can modify the construction of the function  $\mathbf{R}(s_3)$  in such a way that  $\mathbf{R}(0) = \mathbf{R}(L) = \mathbf{I}_3$  keeping (II.2.2) true.

### II.3. $H^1$ - Estimates

Throughout the paper we now assume that the boundary  $\Gamma_{0,\delta}$  is clamped so that

$$(II.3.1) \quad \mathcal{V}(0) = M(0) = \mathcal{V}_B(0), \quad \mathcal{V}_S(0) = 0 \quad \text{and} \quad \mathbf{R}(0) = \mathbf{I}_3$$

and we denote by  $C$  a generic constant independent of  $\delta$ .

We derive a first  $H^1$ - estimates using directly (II.2.2) and the fact that  $\|\mathbf{R}\mathbf{t}\|_2 = 1$ . It gives

$$(II.3.2) \quad \left\| \frac{d\mathcal{V}}{ds_3} \right\|_{(L^2(0,L))^3} \leq C \left( 1 + \frac{1}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \right).$$

Using the boundary condition (II.3.1), it leads to

$$(II.3.3) \quad \|\mathcal{V}\|_{(L^2(0,L))^3} \leq C \left( 1 + \frac{1}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \right).$$

Inserting (II.3.2), (II.3.3) into (II.2.1) and using the estimates of (II.2.2), we deduce that

$$(II.3.4) \quad \begin{cases} \|v\|_{(L^2(\mathcal{P}_\delta))^3} + \|\nabla_x v\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}} \leq C \left( \delta + \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \right), \\ \|v - \mathcal{V}\|_{(L^2(\mathcal{P}_\delta))^3} \leq C \delta \left( \delta + \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \right). \end{cases}$$

Notice that using (II.2.2) also leads to the following estimates

$$(II.3.5) \quad \begin{cases} \|\mathbf{R} - \mathbf{I}_3\|_{(L^2(0,L))^{3 \times 3}} \leq \frac{C}{\delta^2} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \left\| \frac{d\mathcal{V}}{ds_3} - \mathbf{t} \right\|_{(L^2(0,L))^3} \leq \frac{C}{\delta^2} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}. \end{cases}$$

Since  $\mathbf{t} = \frac{dM}{ds_3}$  the last inequality of (II.3.5) together with the boundary condition (II.3.1) give

$$(II.3.6) \quad \|\mathcal{V} - M\|_{(L^2(0,L))^3} \leq \frac{C}{\delta^2} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}.$$

From (II.2.1), (II.3.5), (II.3.6) and estimates (II.2.2), we deduce that

$$(II.3.7) \quad \|v - I_d\|_{(L^2(\mathcal{P}_\delta))^3} + \|\nabla_x v - \mathbf{I}_3\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}} \leq \frac{C}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}.$$



while estimates (II.3.4) and (II.3.7) on  $v$  become

$$(III.1.2) \quad \begin{cases} \|\Pi_\delta v\|_{(L^2(\Omega))^3} \leq C \left(1 + \frac{1}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}\right) \\ \left\| \frac{\partial \Pi_\delta v}{\partial S_1} \right\|_{(L^2(\Omega))^3} \leq C \left(\delta + \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}\right) \\ \left\| \frac{\partial \Pi_\delta v}{\partial S_2} \right\|_{(L^2(\Omega))^3} \leq C \left(\delta + \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}\right) \\ \left\| \frac{\partial \Pi_\delta v}{\partial S_3} \right\|_{(L^2(\Omega))^3} \leq C \left(1 + \frac{1}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}\right) \end{cases}$$

and

$$(III.1.3) \quad \begin{cases} \|\Pi_\delta(v - I_d)\|_{(L^2(\Omega))^3} \leq \frac{C}{\delta^2} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \left\| \frac{\partial \Pi_\delta(v - I_d)}{\partial S_1} \right\|_{(L^2(\Omega))^3} \leq \frac{C}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \left\| \frac{\partial \Pi_\delta(v - I_d)}{\partial S_2} \right\|_{(L^2(\Omega))^3} \leq \frac{C}{\delta} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \\ \left\| \frac{\partial \Pi_\delta(v - I_d)}{\partial S_3} \right\|_{(L^2(\Omega))^3} \leq \frac{C}{\delta^2} \|\text{dist}(\nabla_x v, SO(3))\|_{L^2(\mathcal{P}_\delta)}. \end{cases}$$

All the estimates given in Section II.2.3 over  $\Omega_\delta$  can be easily transposed over  $\Omega$ .

### III.2. Limit behavior for a sequence such that $\|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \sim \delta^2$

Let us consider a sequence of deformations  $v_\delta$  of  $(H^1(\mathcal{P}_\delta))^3$  such that  $v_\delta = I_d$  on  $\Gamma_{0,\delta}$  and

$$(III.2.1) \quad \|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^2.$$

Indeed different boundary conditions on  $v_\delta$  can be considered on both the extremities of  $\mathcal{P}_\delta$  (see Subsection II.2.4). We denote by  $\mathcal{V}_\delta$ ,  $\mathbf{R}_\delta$  and  $\bar{v}_\delta$  the three terms of the decomposition of  $v_\delta$  given by Theorem II.2.2 and by  $\mathcal{V}_{B,\delta}$  and  $\mathcal{V}_{S,\delta}$  the two terms given by (II.2.16). The estimates (II.2.2), (II.2.17), (II.3.5), (II.3.6), (III.1.1), (III.1.3) lead to the following lemma:

**Lemma III.2.1.** *There exists a subsequence still indexed by  $\delta$  such that*

$$(III.2.2) \quad \begin{cases} \mathbf{R}_\delta \rightharpoonup \mathbf{R} \text{ weakly in } (H^1(0, L))^{3 \times 3} \\ \mathcal{V}_\delta \longrightarrow \mathcal{V} \text{ strongly in } (H^1(0, L))^3 \\ \mathcal{V}_{B,\delta} \longrightarrow \mathcal{V} \text{ strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta} \mathcal{V}_{S,\delta} \rightharpoonup \mathcal{V}_S \text{ weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^2} \Pi_\delta \bar{v}_\delta \rightharpoonup \bar{v} \text{ weakly in } (L^2(0, L; H^1(\omega)))^3 \end{cases}$$

Moreover  $\mathbf{R}(s_3)$  belongs to  $SO(3)$  for any  $s_3 \in [0, L]$ ,  $\mathcal{V} \in (H^2(0, L))^3$  and they satisfy

$$(III.2.3) \quad \mathcal{V}(0) = M(0), \quad \mathbf{R}(0) = \mathbf{I}_3, \quad \mathcal{V}_S(0) = 0, \quad \text{and} \quad \frac{d\mathcal{V}}{ds_3} = \mathbf{R}t.$$

Furthermore, we also have

$$(III.2.4) \quad \begin{cases} \Pi_\delta v_\delta \longrightarrow \mathcal{V} \text{ strongly in } (H^1(\Omega))^3, \\ \Pi_\delta(\nabla_x v_\delta) \longrightarrow \mathbf{R} \text{ strongly in } (L^2(\Omega))^{3 \times 3}. \end{cases}$$

The fields  $\mathcal{V}$  and  $\mathbf{R}$  which are defined in Lemma III.2.1 describe the deformation of the limit 1D curved rod as a deformation of the middle line  $\mathcal{V}$  and a rotation of each cross section  $\mathbf{R}$ . The convergences (III.2.4) show that  $(\mathcal{V}, \mathbf{R})$  is the limit of the deformation of the (rescaled) 3D curved rod and represents the elementary deformation of this rod.

The last relation in (III.2.3) shows that  $\left\| \frac{d\mathcal{V}}{ds_3} \right\|_2 = 1$  and then the variable  $s_3$  remains the arc length of the middle line of the deformed configuration. As a consequence, in the present case, there is no extension-compression of order 1 the rod. Then the limit behavior is essentially a bending model. At least, the fourth convergence (III.2.2) means that the quantity  $\mathcal{V}_S$  which describes the stretching is of order  $\delta$ .

The following corollary gives a corrector result.

**Corollary III.2.2.** *For the same subsequence as in Lemma III.2.1, we have*

$$(III.2.5) \quad \begin{cases} \frac{1}{\delta} (\Pi_\delta(\nabla_x v_\delta) - \mathbf{R}_\delta) \mathbf{n}_\alpha \rightharpoonup \frac{\partial \bar{v}}{\partial S_\alpha} \quad \text{weakly in } (L^2(\Omega))^3, \\ \frac{1}{\delta} (\Pi_\delta(\nabla_x v_\delta) - \mathbf{R}_\delta) \mathbf{t} \rightharpoonup \frac{d\mathbf{R}}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \quad \text{weakly in } (L^2(\Omega))^3. \end{cases}$$

**Proof.** The first convergence in (III.2.5) is a direct consequence of (II.2.13) and (III.2.2). In order to obtain the second convergence, remark first that, thanks to estimates (III.1.1) and (III.2.1) the sequence  $\frac{1}{\delta} \Pi_\delta \bar{v}_\delta$  is bounded in  $H^1(\Omega)$ . Due to (III.2.2), its weak limit must be equal to 0. Using now (II.2.15) and the convergences (III.2.2) leads to the result.  $\square$

To end this section, let us notice that the strong convergences in (III.2.4) together with the relation  $(\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3 = (\nabla_x v_\delta - \mathbf{R}_\delta)^T \nabla_x v_\delta + (\mathbf{R}_\delta)^T (\nabla_x v_\delta - \mathbf{R}_\delta)$  permit to obtain the limit of the Green-St Venant's tensor in the rescaled domain  $\Omega$

$$(III.2.6) \quad \frac{1}{2\delta} \Pi_\delta ((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \rightharpoonup \mathbf{E} \quad \text{weakly in } (L^1(\Omega))^{3 \times 3},$$

where

$$(III.2.7) \quad \mathbf{E} = \frac{1}{2} \left\{ (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \left( \frac{\partial \bar{v}}{\partial S_1} \mid \frac{\partial \bar{v}}{\partial S_2} \mid \frac{d\mathbf{R}}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \right)^T \mathbf{R} \right. \\ \left. + \mathbf{R}^T \left( \frac{\partial \bar{v}}{\partial S_1} \mid \frac{\partial \bar{v}}{\partial S_2} \mid \frac{d\mathbf{R}}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \right) (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T \right\}$$

and where  $(\mathbf{a} \mid \mathbf{b} \mid \mathbf{c})$  denotes the  $3 \times 3$  matrix with columns  $\mathbf{a}$ ,  $\mathbf{b}$  and  $\mathbf{c}$ .

Setting  $\bar{w} = \mathbf{R}^T \bar{v}$  and using the fact that the matrix  $\mathbf{R}^T \frac{d\mathbf{R}}{ds_3}$  is antisymmetric, we can write  $\mathbf{E}$  as

$$(III.2.8) \quad \mathbf{E} = (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \widehat{\mathbf{E}} (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T,$$

where the symmetric matrix  $\widehat{\mathbf{E}}$  is defined by

$$(III.2.9) \quad \widehat{\mathbf{E}} = \begin{pmatrix} \frac{\partial \bar{w}}{\partial S_1} \cdot \mathbf{n}_1 & \frac{1}{2} \left\{ \frac{\partial \bar{w}}{\partial S_1} \cdot \mathbf{n}_2 + \frac{\partial \bar{w}}{\partial S_2} \cdot \mathbf{n}_1 \right\} & \frac{1}{2} \left\{ \frac{\partial \bar{w}}{\partial S_1} \cdot \mathbf{t} - S_2 \frac{d\mathbf{R}}{ds_3} \mathbf{n}_1 \cdot \mathbf{R} \mathbf{n}_2 + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{R} \mathbf{n}_1 \right\} \\ * & \frac{\partial \bar{w}}{\partial S_2} \cdot \mathbf{n}_2 & \frac{1}{2} \left\{ \frac{\partial \bar{w}}{\partial S_2} \cdot \mathbf{t} + S_1 \frac{d\mathbf{R}}{ds_3} \mathbf{n}_1 \cdot \mathbf{R} \mathbf{n}_2 + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{R} \mathbf{n}_2 \right\} \\ * & * & -S_1 \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{R} \mathbf{n}_1 - S_2 \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{R} \mathbf{n}_2 + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{R} \mathbf{t} \end{pmatrix}.$$

**Corollary III.2.3.** *Assume that*

$$\forall \delta \in ]0, \delta_0], \quad \det(\nabla v_\delta(x)) > 0 \quad \text{for a.e. } x \in \mathcal{P}_\delta$$

then, for the same subsequence as in Lemma III.2.1, we have

$$(III.2.10) \quad \|\mathbf{E}\|_{(L^2(\Omega))^{3 \times 3}} = \|\widehat{\mathbf{E}}\|_{(L^2(\Omega))^{3 \times 3}} \leq \liminf_{\delta \rightarrow 0} \frac{1}{\delta^2} \|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)}.$$

**Proof.** The map  $A \rightarrow \sqrt{A^T A}$  is continuous from the space of the  $3 \times 3$  matrices into the set of all symmetric matrices and we have  $\|\sqrt{A^T A}\| = \|A\|$ , where  $\|\cdot\|$  is the Frobenius norm. Then, the second strong convergence in (III.2.4) gives

$$\Pi_\delta \left( \sqrt{(\nabla_x v_\delta)^T \nabla_x v_\delta} \right) \rightarrow \mathbf{I}_3 \quad \text{strongly in } (L^2(\Omega))^{3 \times 3}.$$

Estimate (III.2.1) implies that the sequence  $\frac{1}{\delta} \Pi_\delta \left( \sqrt{(\nabla_x v_\delta)^T \nabla_x v_\delta} - \mathbf{I}_3 \right)$  is bounded in  $(L^2(\Omega))^{3 \times 3}$ . The identity  $(\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3 = \left( \sqrt{(\nabla_x v_\delta)^T \nabla_x v_\delta} - \mathbf{I}_3 \right) \left( \sqrt{(\nabla_x v_\delta)^T \nabla_x v_\delta} + \mathbf{I}_3 \right)$ , the weak convergence (III.2.6) and the above strong convergence give

$$\frac{1}{\delta} \Pi_\delta \left( \sqrt{(\nabla_x v_\delta)^T \nabla_x v_\delta} - \mathbf{I}_3 \right) \rightharpoonup \mathbf{E} \quad \text{weakly in } (L^2(\Omega))^{3 \times 3}.$$

We recall that for any  $3 \times 3$  matrix  $\mathbf{A}$  such that  $\det(\mathbf{A}) > 0$ , we have  $\text{dist}(\mathbf{A}, SO(3)) = \|\sqrt{A^T A} - \mathbf{I}_3\|$ . By weak lower semi-continuity of the norm, we obtain the result.  $\square$

### III.3. Limit behavior for a sequence such that $\|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \sim \delta^\kappa$ for $\kappa > 2$ .

Let us consider a sequence of deformations  $v_\delta$  of  $(H^1(\mathcal{P}_\delta))^3$  such that  $v_\delta = I_d$  on  $\Gamma_{0,\delta}$  and

$$\|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^\kappa.$$

The estimates (II.3.7) and (III.1.3) lead to the following convergences:

$$(III.3.1) \quad \begin{cases} \mathbf{R}_\delta \rightarrow \mathbf{I}_3 & \text{strongly in } (H^1(0, L))^{3 \times 3}, \\ \Pi_\delta v_\delta \rightarrow M & \text{strongly in } (H^1(\Omega))^3, \\ \Pi_\delta(\nabla_x v_\delta) \rightarrow \mathbf{I}_3 & \text{strongly in } (L^2(\Omega))^{3 \times 3}. \end{cases}$$

We now study the asymptotic behavior of the sequence of displacements

$$u_\delta = v_\delta - I_d.$$

Due to decomposition (II.2.1) we write

$$(III.3.2) \quad u_\delta(s) = \mathcal{U}_\delta(s_3) + (\mathbf{R}_\delta - \mathbf{I}_3)(s_3) (s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3)) + \bar{v}_\delta(s), \quad s \in \Omega_\delta,$$

where  $\mathcal{U}_\delta(s_3) = \mathcal{V}_\delta(s_3) - M(s_3) = (\mathcal{V}_{B,\delta}(s_3) - M(s_3)) + \mathcal{V}_{S,\delta}(s_3)$  and we have the following Lemma:

**Lemma III.3.1.** *There exists a subsequence still indexed by  $\delta$  such that*

$$(III.3.3) \quad \left\{ \begin{array}{l} \frac{1}{\delta^{\kappa-2}}(\mathbf{R}_\delta - \mathbf{I}_3) \rightharpoonup \mathbf{A} \quad \text{weakly in } (H^1(0, L))^{3 \times 3} \\ \frac{1}{\delta^{\kappa-2}}\mathcal{U}_\delta \rightarrow \mathcal{U} \quad \text{strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-1}}\mathcal{V}_{S, \delta} \rightharpoonup \mathcal{V}_S \quad \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^\kappa}\Pi_\delta \bar{v}_\delta \rightharpoonup \bar{v} \quad \text{weakly in } (L^2(0, L; H^1(\omega)))^3 \end{array} \right.$$

The function  $\mathcal{U}$  belongs to  $(H^2(0, L))^3$ , for any  $s_3 \in [0, L]$  the matrix  $\mathbf{A}(s_3)$  is antisymmetric and the following relations hold true:

$$(III.3.4) \quad \mathcal{U}(0) = \mathcal{V}_S(0) = 0, \quad \mathbf{A}(0) = 0 \quad \text{and} \quad \frac{d\mathcal{U}}{ds_3} = \mathbf{A}\mathbf{t},$$

Moreover we have

$$(III.3.5) \quad \left\{ \begin{array}{l} \frac{1}{\delta^{\kappa-2}}\Pi_\delta u_\delta \rightarrow \mathcal{U} \quad \text{strongly in } (H^1(\Omega))^3, \\ \frac{1}{\delta^{\kappa-2}}\Pi_\delta(\nabla_x u_\delta) \rightarrow \mathbf{A} \quad \text{strongly in } (L^2(\Omega))^{3 \times 3}. \end{array} \right.$$

**Proof.** The convergences (III.3.3), (III.3.5) and the relations (III.3.4) follow directly from estimates (II.2.2), (II.3.6), (II.3.7) and (III.1.3). It remains to prove that  $\mathbf{A}(s_3)$  is antisymmetric. Using the first convergence in (III.3.1) and the first convergence in (III.3.3) we get

$$\frac{1}{\delta^{\kappa-2}}\mathbf{R}_\delta^T(\mathbf{R}_\delta - \mathbf{I}_3) \rightarrow \mathbf{A} \quad \text{strongly in } (L^2(0, L))^{3 \times 3}.$$

The matrix  $\mathbf{R}_\delta$  belongs to  $SO(3)$ , hence  $\mathbf{R}_\delta^T(\mathbf{R}_\delta - \mathbf{I}_3) = -(\mathbf{R}_\delta - \mathbf{I}_3)^T$ . Then, from the first convergence in (III.3.3), we deduce that the matrix  $\mathbf{A}(s_3)$  is antisymmetric.  $\square$

Since  $\mathbf{A}$  is antisymmetric, there exists a field  $\mathcal{R} \in (H^1(0, L))^3$  (with  $\mathcal{R}(0) = 0$ ) such that for all  $x \in \mathbb{R}^3$

$$(III.3.6) \quad \mathbf{A}x = \mathcal{R} \wedge x.$$

From (III.3.4) and the above equality we obtain

$$(III.3.7) \quad \frac{d\mathcal{U}}{ds_3} = \mathcal{R} \wedge \mathbf{t}.$$

The relation (III.3.6) means that, at the order  $\delta^{\kappa-2}$ , the cross sections of  $\mathcal{P}_\delta$  are submitted to small rotations and (III.3.7) shows that the limit displacement is of Bernoulli-Navier's type.

**Corollary III.3.2.** *For the same subsequence as in Lemma III.3.1, we have*

$$(III.3.8) \quad \left\{ \begin{array}{l} \frac{1}{\delta^{\kappa-1}}(\Pi_\delta(\nabla_x v_\delta) - \mathbf{R}_\delta)\mathbf{n}_\alpha \rightharpoonup \frac{\partial \bar{v}}{\partial S_\alpha} \quad \text{weakly in } (L^2(\Omega))^3, \\ \frac{1}{\delta^{\kappa-1}}(\Pi_\delta(\nabla_x v_\delta) - \mathbf{R}_\delta)\mathbf{t} \rightharpoonup \frac{d\mathcal{R}}{ds_3} \wedge (S_1\mathbf{n}_1 + S_2\mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \quad \text{weakly in } (L^2(\Omega))^3. \end{array} \right.$$

**Proof.** The proof of Corollary III.3.2 is similar to that of Corollary III.2.2, but using now (II.2.13), (II.2.15) and the convergences of Lemma III.3.1.  $\square$

From Lemma III.3.1 and Corollary III.3.2 we deduce the limit of the Green-St Venant's tensor in the rescaled domain  $\Omega$

$$(III.3.9) \quad \frac{1}{2\delta^{\kappa-1}} \Pi_\delta((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \rightharpoonup \mathbf{E} \quad \text{weakly in } (L^1(\Omega))^{3 \times 3},$$

where the symmetric matrix  $\mathbf{E}$  is defined by

$$\mathbf{E} = \frac{1}{2} \left\{ \left( \frac{\partial \bar{v}}{\partial S_1} \mid \frac{\partial \bar{v}}{\partial S_2} \mid \frac{d\mathcal{R}}{ds_3} \wedge (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \right)^T (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \right. \\ \left. + (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T \left( \frac{\partial \bar{v}}{\partial S_1} \mid \frac{\partial \bar{v}}{\partial S_2} \mid \frac{d\mathcal{R}}{ds_3} \wedge (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \right) \right\}$$

We can write  $\mathbf{E}$  in the form

$$\mathbf{E} = (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \widehat{\mathbf{E}} (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T$$

where the symmetric matrix  $\widehat{\mathbf{E}}$  is defined by

$$(III.3.10) \quad \widehat{\mathbf{E}} = \begin{pmatrix} \frac{\partial \bar{v}}{\partial S_1} \cdot \mathbf{n}_1 & \frac{1}{2} \left\{ \frac{\partial \bar{v}}{\partial S_1} \cdot \mathbf{n}_2 + \frac{\partial \bar{v}}{\partial S_2} \cdot \mathbf{n}_1 \right\} & \frac{1}{2} \left\{ \frac{\partial \bar{v}}{\partial S_1} \cdot \mathbf{t} - S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_1 \right\} \\ * & \frac{\partial \bar{v}}{\partial S_2} \cdot \mathbf{n}_2 & \frac{1}{2} \left\{ \frac{\partial \bar{v}}{\partial S_2} \cdot \mathbf{t} + S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_2 \right\} \\ * & * & -S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} \end{pmatrix}.$$

From Lemma III.3.1 and the above convergences, we deduce the analog of Corollary II.2.3.

**Corollary III.3.3.** *Assume that*

$$\forall \delta \in ]0, \delta_0], \quad \det(\nabla v_\delta(x)) > 0 \quad \text{for a.e. } x \in \mathcal{P}_\delta$$

then, for the same subsequence as in Lemma III.3.1, we have

$$(III.3.11) \quad \|\mathbf{E}\|_{(L^2(\Omega))^{3 \times 3}} = \|\widehat{\mathbf{E}}\|_{(L^2(\Omega))^{3 \times 3}} \leq \liminf_{\delta \rightarrow 0} \frac{1}{\delta^\kappa} \|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)}.$$

### III.4. Comparison with linearized deformations

In this subsection, we always consider a sequence of deformations  $v_\delta$  of  $(H^1(\mathcal{P}_\delta))^3$  satisfying  $v_\delta = I_d$  on  $\Gamma_{0,\delta}$  and

$$\|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^\kappa.$$

We recall the decomposition (III.3.2) of the displacement  $u_\delta = v_\delta - I_d$ .

Let us notice that (II.3.5) shows that for  $\kappa > 2$ , both the displacement and its gradient are small (with respect to  $\delta$ ). One can then address the problem of comparing the limit displacement  $\mathcal{U}_\delta$  and the limit displacement in the framework of small deformations. To this end let us first recall the decomposition of displacement for small deformations.

We define the strain semi-norm  $|\cdot|_{\mathcal{E}}$  by setting

$$\forall w \in (H^1(\mathcal{P}_\delta))^3 \quad |w|_{\mathcal{E}} = \left\| \frac{1}{2} (\nabla_x w + (\nabla_x w)^T) \right\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}}$$

Now, using the results obtained in [13], we decompose  $u_\delta$  in the sum of an elementary displacement and a warping

$$u_\delta(s) = U_{e,\delta}(s) + \bar{u}_\delta(s) = \mathcal{U}_\delta(s_3) + \mathcal{R}_\delta(s_3) \wedge (s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3)) + \bar{u}_\delta(s) \quad \text{for a.e. } s \in \Omega_\delta.$$

The warping  $\bar{u}_\delta$  satisfies the following equalities

$$\int_{\omega_\delta} \bar{u}_\delta(s_1, s_2, s_3) ds_1 ds_2 = 0 \quad \int_{\omega_\delta} \bar{u}_\delta(s_1, s_2, s_3) \wedge (s_1 \mathbf{n}_1(s_3) + s_2 \mathbf{n}_2(s_3)) ds_1 ds_2 = 0 \quad \text{for a.e. } s_3 \in (0, L).$$

Notice that the first term  $\mathcal{U}_\delta$  of the elementary displacement  $U_{e,\delta}$  is the mean value of  $u_\delta$  over the cross-section  $\Phi(\omega_\delta \times \{s_3\})$  and then is the same as in (III.3.2). Theorem 2.1 in [13] gives

$$(III.4.1) \quad \begin{cases} \|\nabla_s \bar{u}_\delta\|_{(L^2(\Omega_\delta))^{3 \times 3}} \leq C|u_\delta|_\mathcal{E} & \|\bar{u}_\delta\|_{(L^2(\Omega_\delta))^3} \leq C\delta|u_\delta|_\mathcal{E} \\ \left\| \frac{d\mathcal{R}_\delta}{ds_3} \right\|_{(L^2(0,L))^3} \leq C \frac{|u_\delta|_\mathcal{E}}{\delta^2} & \left\| \frac{d\mathcal{U}_\delta}{ds_3} - \mathcal{R}_\delta \wedge \mathbf{t} \right\|_{(L^2(0,L))^3} \leq C \frac{|u_\delta|_\mathcal{E}}{\delta}. \end{cases}$$

We recall (see [14]) the definitions of the inextensional displacements and extensional displacements sets of the middle-line of the curved rod.

$$(III.4.2) \quad \begin{cases} D_{In} = \left\{ U \in (H^1(0, L))^3 \mid \frac{dU}{ds_3} \cdot \mathbf{t} = 0, U(0) = 0 \right\} \\ D_{Ex} = \left\{ U \in (H^1(0, L))^3 \mid \frac{dU}{ds_3} \cdot \mathbf{n}_1 = \frac{dU}{ds_3} \cdot \mathbf{n}_2 = 0, U(0) = 0 \right\} \end{cases}$$

An element of  $D_{In}$  is an inextensional displacement while an element of  $D_{Ex}$  is an extensional one. We recall (see [14]) that  $\mathcal{U}_\delta$  can be written as the sum of an inextensional displacement and an extensional one

$$(III.4.3) \quad \mathcal{U}_\delta = U_{I,\delta} + U_{E,\delta} \quad U_{I,\delta} \in D_{In}, \quad U_{E,\delta} \in D_{Ex},$$

and we have (see again [14])

$$(III.4.4) \quad \left\| \frac{d\mathcal{U}_\delta}{ds_3} \right\|_{(L^2(0,L))^3} + \left\| \frac{dU_{I,\delta}}{ds_3} \right\|_{(L^2(0,L))^3} \leq C \frac{|u_\delta|_\mathcal{E}}{\delta^2} \quad \left\| \frac{dU_{E,\delta}}{ds_3} \right\|_{(L^2(0,L))^3} \leq C \frac{|u_\delta|_\mathcal{E}}{\delta}.$$

In order to be obtain the same estimate on  $\mathcal{U}_\delta$  that the one given by Lemma III.3.1. in the previous section, we are lead to assume that  $|u_\delta|_\mathcal{E} \leq C\delta^\kappa$ . Comparing with estimate (II.3.10) which gives

$$|u_\delta|_\mathcal{E} \leq C(\delta^\kappa + \delta^{2\kappa-3})$$

since  $\|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^\kappa$  we are led to assume in the following that  $\kappa \geq 3$ .

The estimates (III.3.11), (III.4.1), (III.4.2) and (III.4.4) lead to the following lemma:

**Lemma III.4.1.** *We assume that  $\kappa \geq 3$ . There exists a subsequence (still indexed by  $\delta$ ) of the sequence given in Lemma III.3.1 such that*

$$(III.4.5) \quad \left\{ \begin{array}{l} \frac{1}{\delta^{\kappa-2}} U_{I,\delta} \longrightarrow \mathcal{U} \quad \text{strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-1}} U_{E,\delta} \rightharpoonup U_E \quad \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-2}} \mathcal{R}_\delta \rightharpoonup \mathcal{R} \quad \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-1}} \left( \frac{d\mathcal{U}_\delta}{ds_3} - \mathcal{R}_\delta \wedge \mathbf{t} \right) \cdot \mathbf{n}_\alpha \rightharpoonup \mathcal{Z}_\alpha \quad \text{weakly in } L^2(0, L) \\ \frac{1}{\delta^\kappa} \Pi_\delta \bar{u}_\delta \rightharpoonup \bar{u} \quad \text{weakly in } (L^2(0, L; H^1(\omega)))^3 \\ \frac{1}{2\delta^{\kappa-1}} \Pi_\delta (\nabla u_\delta + (\nabla u_\delta)^T) \rightharpoonup \mathbf{E}' \quad \text{weakly in } (L^2(\Omega))^{3 \times 3} \end{array} \right.$$

with  $\mathbf{E}' = (\mathbf{n}_1 | \mathbf{n}_2 | \mathbf{t}) \widehat{\mathbf{E}}' (\mathbf{n}_1 | \mathbf{n}_2 | \mathbf{t})^T$  where the symmetric matrix  $\widehat{\mathbf{E}}'$  is given by

$$\widehat{\mathbf{E}}' = \begin{pmatrix} \frac{\partial \bar{u}}{\partial S_1} \cdot \mathbf{n}_1 & \frac{1}{2} \left\{ \frac{\partial \bar{u}}{\partial S_1} \cdot \mathbf{n}_2 + \frac{\partial \bar{u}}{\partial S_2} \cdot \mathbf{n}_1 \right\} & \frac{1}{2} \left\{ \frac{\partial \bar{u}}{\partial S_1} \cdot \mathbf{t} - S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} + Z_1 \right\} \\ * & \frac{\partial \bar{u}}{\partial S_2} \cdot \mathbf{n}_2 & \frac{1}{2} \left\{ \frac{\partial \bar{u}}{\partial S_2} \cdot \mathbf{t} + S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} + Z_2 \right\} \\ * & * & -S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 + \frac{dU_E}{ds_3} \cdot \mathbf{t} \end{pmatrix}.$$

Moreover the symmetric matrices  $\mathbf{E}$  and  $\widehat{\mathbf{E}}$  given in (III.3.10) satisfy

$$(III.4.6) \quad \widehat{\mathbf{E}} = \begin{cases} \widehat{\mathbf{E}}' + \frac{1}{2} (\|\mathcal{R}\|_2^2 \mathbf{I}_3 - \mathcal{R} \cdot^T \mathcal{R}) & \text{if } \kappa = 3, \\ \widehat{\mathbf{E}}' & \text{if } \kappa > 3. \end{cases}$$

**Proof.** The convergences (III.4.5) and the expression of  $\widehat{\mathbf{E}}'$  are proved in [14] taking into account (III.4.4) and the fact that  $|u_\delta|_{\mathcal{E}} \leq C\delta^\kappa$  with  $\kappa \geq 3$ . Let us notice that the limit  $\mathcal{U}$  in the first convergence (III.4.5) is the same that in (III.3.3). In order to prove (III.4.6) we first write the Green-St Venant deformation tensor as (using  $u_\delta = v_\delta - I_d$ )

$$\frac{1}{2\delta^{\kappa-1}} \Pi_\delta ((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) = \frac{1}{2\delta^{\kappa-1}} \Pi_\delta ((\nabla_x u_\delta)^T + \nabla_x u_\delta) + \frac{\delta^{\kappa-3}}{2} \Pi_\delta \left( \frac{1}{\delta^{\kappa-2}} (\nabla_x u_\delta)^T \frac{1}{\delta^{\kappa-2}} \nabla_x u_\delta \right).$$

Using convergences (III.3.5) and (III.3.9), we deduce that

$$\mathbf{E} = \begin{cases} \mathbf{E}' + \frac{1}{2} \mathbf{A}^T \mathbf{A} & \text{if } \kappa = 3 \\ \mathbf{E}' & \text{if } \kappa > 3, \end{cases}$$

where the matrix  $\mathbf{A}$  is defined in (III.3.3) and (III.3.5). Recalling relation (III.3.6) between  $\mathbf{A}$  and  $\mathcal{R}$  leads to (III.4.6).  $\square$

In the case  $\kappa > 3$ , Lemma III.4.1 shows first that starting from nonlinear deformations leads exactly to the same deformation model that starting from linearized deformations. The comparison in the case where  $\kappa = 3$  is more intricate. This is due to the definitions of the two warping  $\bar{v}$  and  $\bar{u}$  which do not satisfy the same geometrical conditions (see (II.2.7) for  $\bar{v}$  and the beginning of this section for  $\bar{u}$ ). The second difference concerns the comparison between the stretching deformation  $\mathcal{V}_S$  and the extentional displacement  $U_E$ . While it is easy to see that  $\frac{dU_E}{ds_3} \cdot \mathbf{t} = \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t}$  for  $\kappa > 3$ , in the case where  $\kappa = 3$  one obtains  $\frac{dU_E}{ds_3} \cdot \mathbf{t} = \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} - \frac{1}{2} \left\| \frac{d\mathcal{U}}{ds_3} \right\|_2^2$ . The correcting term  $\frac{1}{2} \left\| \frac{d\mathcal{U}}{ds_3} \right\|_2^2$  actually comes from the limit contribution of the term  $\frac{1}{2\delta^2} (\mathbf{R}_\delta - \mathbf{I}_3) \mathbf{t} \cdot (\mathbf{R}_\delta - \mathbf{I}_3) \mathbf{t}$ .

## IV. Asymptotic behavior of an elastic curved rod

This section is devoted to use the above geometrical results in order to analyze the asymptotic behavior of an elastic rod when its thickness tends to 0. As usual, we consider a elastic energy density which is bounded below by  $dist^2(F, SO(3))$  (see e.g. [7], [11], [12], [19] and [20]). As mentioned in the introduction, our decomposition of the deformation permit us to scale the applied forces in order to obtain estimates on the deformation and on the total elastic energy (see (IV.1.8)). Then, to simplify the argument, we specify the energy density through choosing a St Venant-Kirchhoff's material (see (IV.1.9)). In the following, we

derive the limit elastic energy in the two cases  $\kappa = 2$  and  $\kappa > 2$  using  $\Gamma$ -convergence techniques. The limit energy is expressed as a functional of the fields  $\mathcal{V}$ ,  $\mathbf{R}$ ,  $\mathcal{V}_S$  and  $\bar{v}$ . Such limit energies depending on more variables than the 3D ones have also been derived in [19] by different techniques. In the present paper we also eliminate the fields  $\mathcal{V}_S$  and  $\bar{v}$  to be in a position to obtain a minimization problem for the rotation field  $\mathbf{R}$  and the field  $\mathcal{V}$ . Let us emphasize that in the  $\Gamma$ -limit procedure, the decomposition of the deformations is again helpful in two directions. Firstly it provides an explicit expression of the limit Green-St Venant deformation tensor and secondly it simplifies the proof of the two conditions involved in the identification of the limit energy by  $\Gamma$ -convergence.

#### IV.1 Assumption on the forces

In this part we assume that the curved rod  $\mathcal{P}_\delta$  is made of an elastic material. As in [5] and [11] we assume that the elastic energy  $W$  satisfy (actually we will consider an explicit energy)

$$(IV.1.1) \quad \forall \mathbf{F} \in \mathbf{M}_3, \quad W(\mathbf{F}) \geq C \operatorname{dist}^2(\mathbf{F}, SO(3)),$$

where  $C$  is a strictly positive constant.

Let us denote by  $f_\delta \in (L^2(\Omega_\delta))^3$  the applied forces and by  $J(\phi)$  the total energy

$$(IV.1.2) \quad J(\phi) = \int_{\mathcal{P}_\delta} W(\nabla \phi) - \int_{\mathcal{P}_\delta} f_\delta \cdot \phi$$

This energy is considered over the set of admissible deformations:

$$(IV.1.3) \quad \mathbf{U}_\delta = \left\{ \phi \in (H^1(\mathcal{P}_\delta))^3 \mid \phi = I_d \text{ on } \Gamma_{0,\delta} \right\}.$$

For different boundary conditions see Subsection II.2.4. As far as the behavior of the forces  $f_\delta$  is concerned we split the forces into two parts. The first one does not depend on the variables  $(s_1, s_2)$  and the second part has a resultant equal to 0. Due to estimates (II.3.4), (II.3.6) and (II.3.7) the admissible order of these forces can be chosen different.

Let  $f$  be in  $(L^2(0, L))^3$  and let  $g$  be in  $(L^2(\Omega))^3$  such that

$$(IV.1.4) \quad \int_{\omega} g(S_1, S_2, s_3) dS_1 dS_2 = 0 \quad \text{for a.e. } s_3 \in ]0, L[.$$

We assume that  $f_\delta$  is defined by

$$(IV.1.5) \quad f_\delta(s) = \delta^\kappa f(s_3) + \delta^{\kappa-1} g\left(\frac{s_1}{\delta}, \frac{s_2}{\delta}, s_3\right) \quad \text{for a.e. } s \in \Omega_\delta.$$

The fact remains that to find a minimizer or to find a deformation that approaches the minimizer of  $J(\phi)$  or of  $J(\phi) - J(I_d)$  is the same. Let  $v$  be in  $\mathbf{U}_\delta$ , thanks to (II.3.7), (II.3.9), (IV.1.4) and (IV.1.5), we obtain

$$(IV.1.6) \quad \left| \int_{\mathcal{P}_\delta} f_\delta \cdot (v - I_d) \right| \leq C \delta^\kappa (\|f\|_{(L^2(0,L))^3} + \|g\|_{(L^2(\Omega))^3}) \|\operatorname{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)}.$$

Actually one can think to use estimate (II.3.4) instead of (II.3.7) and (II.3.9) in the above inequality. The reader will easily see that this gives a better estimate only in the case where  $\kappa < 2$  which is not considered in the following (see Remark below).

It is well known that generally a minimizer of  $J$  does not exist on  $\mathbf{U}_\delta$ . In the next sections we will investigate the behavior of the functional  $\frac{1}{\delta^{2\kappa}}(J(\phi) - J(I_d))$  in the framework of the  $\Gamma$ -convergence. Hence, we assume that

$$(IV.1.7) \quad \frac{1}{\delta^{2\kappa}}(J(v) - J(I_d)) \leq C_1$$

where  $C_1$  does not depend on  $\delta$ . Using (IV.1.1) and (IV.1.6) we obtain for such  $v$

$$C \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)}^2 - C\delta^\kappa (\|f\|_{(L^2(\Omega))^3} + \|g\|_{(L^2(\Omega))^3}) \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C_1 \delta^{2\kappa}.$$

Hence, we have

$$(IV.1.8) \quad \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^\kappa.$$

where the constant  $C$  depends on the sum  $\|f\|_{(L^2(0,L))^3} + \|g\|_{(L^2(\Omega))^3}$  and of  $C_1$ .

**Remark.** If one uses estimates (II.3.4) to bound the contribution of the forces in the energy, one alternatively obtains through similar calculations

$$\|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^{1+\kappa/2}.$$

Comparing to (IV.1.8), one gets a better estimate only if  $\kappa < 2$ .

Let us notice that once the assumption (IV.1.5) on the applied forces is adopted, the estimate (IV.1.8) and the results of Section II permit to obtain estimates of  $\mathcal{V}$ ,  $\mathbf{R}$ ,  $\bar{v}$  and  $\nabla_x v - \mathbf{R}$  with respect to  $\delta$ . To emphasize how these estimates can help pass to the limit as  $\delta$  tends to 0, we will restrict the following analysis to a classical and simple elastic energy. We denote by  $tr(\mathbf{A})$  the sum of the elements on the main diagonal of the  $3 \times 3$  matrix  $\mathbf{A}$ .

*In order to simplify the derivation of the limit model we choose*

$$(IV.1.9) \quad W(\mathbf{F}) = \begin{cases} \frac{\lambda}{8} (tr(\mathbf{F}^T \mathbf{F} - \mathbf{I}_3))^2 + \frac{\mu}{4} tr((\mathbf{F}^T \mathbf{F} - \mathbf{I}_3)^2) & \text{if } \det(\mathbf{F}) > 0 \\ +\infty & \text{if } \det(\mathbf{F}) \leq 0 \end{cases}$$

*which corresponds to a St Venant-Kirchhoff's material (see [7], [8]).*

For all  $3 \times 3$  matrices such that  $\det(\mathbf{F}) > 0$  we have

$$W(\mathbf{F}) \geq \frac{\mu}{4} \|\mathbf{F}^T \mathbf{F} - \mathbf{I}_3\|^2 \geq \frac{\mu}{4} \text{dist}^2(\mathbf{F}, SO(3))$$

hence assumption (IV.1.1) is satisfied. For every  $\phi \in \mathbf{U}_\delta$  satisfying (IV.1.7), we have using (IV.1.6)

$$\begin{aligned} \frac{\mu}{4} \|\nabla \phi^T \nabla \phi - \mathbf{I}_3\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}}^2 &\leq J(\phi) - J(I_d) + \int_{\mathcal{P}_\delta} f_\delta \cdot (\phi - I_d) \\ &\leq C_1 \delta^{2\kappa} + C\delta^\kappa (\|f\|_{(L^2(0,L))^3} + \|g\|_{(L^2(\Omega))^3}) \|\text{dist}(\nabla \phi, SO(3))\|_{L^2(\mathcal{P}_\delta)}. \end{aligned}$$

Due to estimate (IV.1.8) we obtain the following estimate of the Green-St Venant's tensor:

$$(IV.1.10) \quad \left\| \frac{1}{2} \{ \nabla \phi^T \nabla \phi - \mathbf{I}_3 \} \right\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^\kappa.$$

It results that  $\phi$  belongs to  $(W^{1,4}(\mathcal{P}_\delta))^3$  and moreover

$$(IV.1.11) \quad \|\nabla\phi\|_{(L^4(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^{\frac{1}{2}}.$$

Furthermore, there exists two strictly positive constants  $c$  and  $C$  which does not depend on  $\delta$  such that for any  $\phi \in \mathbf{U}_\delta$  satisfying (IV.1.7) we have

$$(IV.1.12) \quad -c\delta^{2\kappa} \leq J(\phi) - J(I_d) \leq C\delta^{2\kappa}.$$

We set

$$(IV.1.13) \quad m_\delta = \inf_{\phi \in \mathbf{U}_\delta} (J(\phi) - J(I_d)).$$

As a consequence of the inequality in (IV.1.12) we have

$$(IV.1.14) \quad -c \leq \frac{m_\delta}{\delta^{2\kappa}} \leq 0.$$

We denote

$$(IV.1.15) \quad m_\kappa = \liminf_{\delta \rightarrow 0} \frac{m_\delta}{\delta^{2\kappa}}.$$

## IV.2 Limit model in the case $\kappa = 2$

Let  $(v_\delta)_{0 < \delta \leq \delta_0}$  be a sequence of deformations belonging to  $\mathbf{U}_\delta$  and such that

$$(IV.2.1) \quad \liminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^4} < +\infty.$$

Upon extracting a subsequence (still indexed by  $\delta$ ) we can assume that the sequence  $(v_\delta)$  satisfies the condition (IV.1.7). From the estimates of the previous section we obtain

$$(IV.2.3) \quad \begin{cases} \|\text{dist}(\nabla v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^2, \\ \left\| \frac{1}{2} \{ \nabla v_\delta^T \nabla v_\delta - \mathbf{I}_3 \} \right\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^2, \\ \|\nabla v_\delta\|_{(L^4(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^{\frac{1}{2}} \end{cases}$$

For any fixed  $\delta \in (0, \delta_0]$ , the deformation  $v_\delta$  is decomposed following (II.2.1) in such a way that Theorem II.2.2 is satisfied. There exists a subsequence still indexed by  $\delta$  such that (see Section II.6)

$$(IV.2.4) \quad \begin{cases} \mathbf{R}_\delta \rightharpoonup \mathbf{R} \text{ weakly in } (H^1(0, L))^{3 \times 3} \\ \mathcal{V}_\delta \longrightarrow \mathcal{V} \text{ strongly in } (H^1(0, L))^3 \\ \mathcal{V}_{B,\delta} \longrightarrow \mathcal{V} \text{ strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta} \mathcal{V}_{S,\delta} \rightharpoonup \mathcal{V}_S \text{ weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^2} \Pi_\delta \bar{v}_\delta \rightharpoonup \bar{v} \text{ weakly in } (L^2(0, L; H^1(\omega)))^3 \end{cases}$$

where  $\mathbf{R}(s_3)$  belongs to  $SO(3)$  for any  $s_3 \in [0, L]$ ,  $\mathcal{V} \in (H^2(0, L))^3$  together with

$$(IV.2.5) \quad \mathcal{V}(0) = M(0), \quad \mathbf{R}(0) = \mathbf{I}_3, \quad \mathcal{V}_S(0) = 0, \quad \text{and} \quad \frac{d\mathcal{V}}{ds_3} = \mathbf{R}\mathbf{t}.$$

Furthermore, we also have (see (III.2.6) and (III.2.7))

$$(IV.2.6) \quad \begin{cases} \Pi_\delta v_\delta \longrightarrow \mathcal{V} \text{ strongly in } (H^1(\Omega))^3, \\ \Pi_\delta(\nabla_x v_\delta) \longrightarrow \mathbf{R} \text{ strongly in } (L^2(\Omega))^{3 \times 3}, \\ \frac{1}{2\delta} \Pi_\delta((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \rightharpoonup \mathbf{E} \text{ weakly in } (L^2(\Omega))^{3 \times 3}, \end{cases}$$

where

$$\mathbf{E} = \frac{1}{2} \left\{ (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \left( \frac{\partial \bar{v}}{\partial S_1} \mid \frac{\partial \bar{v}}{\partial S_2} \mid \frac{d\mathbf{R}}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \right)^T \mathbf{R} \right. \\ \left. + \mathbf{R}^T \left( \frac{\partial \bar{v}}{\partial S_1} \mid \frac{\partial \bar{v}}{\partial S_2} \mid \frac{d\mathbf{R}}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_S}{ds_3} \right) (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T \right\}.$$

Remark that, due to the decomposition (II.2.1), the convergences (IV.2.4) and (IV.2.6) imply that

$$(IV.2.7) \quad \frac{\Pi_\delta(v_\delta - \mathcal{V}_\delta)}{\delta} \longrightarrow S_1(\mathbf{R} - \mathbf{I}_3)\mathbf{n}_1 + S_2(\mathbf{R} - \mathbf{I}_3)\mathbf{n}_2 \text{ strongly in } (L^2(\Omega))^3.$$

Now, recall that

$$(IV.2.8) \quad \begin{cases} \frac{J(v_\delta) - J(I_d)}{\delta^4} \\ = \int_\Omega \left\{ \frac{\lambda}{2} \left[ \text{tr} \left( \frac{1}{2\delta} \Pi_\delta((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \right) \right]^2 + \mu \left\| \frac{1}{2\delta} \Pi_\delta((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \right\|^2 \right\} |\Pi_\delta \det(\nabla \Phi)| \\ - \int_\Omega f \cdot \Pi_\delta(v_\delta - I_d) |\Pi_\delta \det(\nabla \Phi)| - \int_\Omega g \cdot \frac{\Pi_\delta(v_\delta - I_d)}{\delta} |\Pi_\delta \det(\nabla \Phi)| \end{cases}$$

In order to obtain the limit of the terms involving the forces, we recall that  $\det(\nabla \Phi) = 1 + s_1 \det \left( \mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_1}{ds_3} \right) + s_2 \det \left( \mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_2}{ds_3} \right)$  so that indeed  $\Pi_\delta \det(\nabla \Phi)$  strongly converges to 1 in  $L^\infty(\Omega)$  as  $\delta$  tends to 0. As a consequence and using the convergences (IV.2.6) and (IV.2.7), it follows that

$$\lim_{\delta \rightarrow 0} \left( \int_\Omega f \cdot \Pi_\delta(v_\delta - I_d) |\Pi_\delta \det(\nabla \Phi)| + \int_\Omega g \cdot \frac{\Pi_\delta(v_\delta - I_d)}{\delta} |\Pi_\delta \det(\nabla \Phi)| \right) \\ = \int_0^L \left( |\omega| f + \sum_{\alpha=1}^2 \int_\omega g S_\alpha \det \left( \mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_\alpha}{ds_3} \right) dS_1 dS_2 \right) \cdot (\mathcal{V} - M) + \sum_{\alpha=1}^2 \int_0^L \left( \int_\omega g S_\alpha dS_1 dS_2 \right) \cdot (\mathbf{R} - \mathbf{I}_3) \mathbf{n}_\alpha.$$

In order to pass to the limit-inf in the left hand side of (IV.2.8), we recall that the map  $M \mapsto \frac{\lambda}{2} (\text{tr}(M))^2 + \mu \|M\|^2$  is continuous and convex from  $\mathbf{M}_3$  into  $\mathbb{R}$ , so that the map  $A \mapsto \int_\Omega \left( \frac{\lambda}{2} (\text{tr}(A))^2 + \mu \|A\|^2 \right)$  from  $(L^2(\Omega))^{3 \times 3}$  into  $\mathbb{R}$  is weak lower semi-continuous. The above strong convergence of  $\Pi_\delta \det(\nabla \Phi)$  together with convergences (IV.2.6) finally give

$$(IV.2.9) \quad \begin{cases} \int_\Omega \left\{ \frac{\lambda}{2} (\text{tr}(\mathbf{E}))^2 + \mu \|\mathbf{E}\|^2 \right\} \\ - \int_0^L \left( |\omega| f + \sum_{\alpha=1}^2 \int_\omega g S_\alpha \det \left( \mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_\alpha}{ds_3} \right) dS_1 dS_2 \right) \cdot (\mathcal{V} - M) \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_\omega g S_\alpha dS_1 dS_2 \right) \cdot (\mathbf{R} - \mathbf{I}_3) \mathbf{n}_\alpha \leq \liminf_{\delta \rightarrow 0} \frac{1}{\delta^4} (J(v_\delta) - J(I_d)). \end{cases}$$

Let  $\mathbf{U}_{nlin}$  be the set

$$\mathbf{U}_{nlin} = \left\{ (\mathcal{V}', \mathbf{R}', \mathcal{V}'_S, \bar{v}') \in (H^2(0, L))^3 \times (H^1(0, L))^{3 \times 3} \times (H^1(0, L))^3 \times (L^2(0, L; H^1(\omega)))^3 \mid \right. \\ \left. \mathcal{V}'(0) = M(0), \quad \mathcal{V}'_S(0) = 0, \quad \mathbf{R}'(0) = \mathbf{I}_3, \quad \int_{\omega} \bar{v}'(S_1, S_2, s_3) dS_1 dS_2 = 0 \quad \text{for a.e. } s_3 \in (0, L) \right. \\ \left. \mathbf{R}'(s_3) \in SO(3) \text{ for any } s_3 \in [0, L], \quad \frac{d\mathcal{V}'}{ds_3} = \mathbf{R}' \mathbf{t} \right\}.$$

The set  $\mathbf{U}_{nlin}$  is closed in the product space. For any  $(\mathcal{V}', \mathbf{R}', \mathcal{V}'_S, \bar{v}') \in \mathbf{U}_{nlin}$ , we denote by  $\mathcal{J}_{NL}$  the following limit energy

$$(IV.2.10) \quad \begin{cases} \mathcal{J}_{NL}(\mathcal{V}', \mathbf{R}', \mathcal{V}'_S, \bar{v}') = \int_{\Omega} \left\{ \frac{\lambda}{2} (\text{tr}(\mathbf{E}'))^2 + \mu \|\mathbf{E}'\|^2 \right\} \\ - \int_0^L \left( |\omega| f + \sum_{\alpha=1}^2 \int_{\omega} g S_{\alpha} \det(\mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_{\alpha}}{ds_3}) dS_1 dS_2 \right) \cdot (\mathcal{V}' - M) \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_{\omega} g S_{\alpha} dS_1 dS_2 \right) \cdot (\mathbf{R}' - \mathbf{I}_3) \mathbf{n}_{\alpha} \end{cases}$$

where

$$(IV.2.11) \quad \begin{cases} \mathbf{E}' = \frac{1}{2} \left\{ (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \left( \frac{\partial \bar{v}'}{\partial S_1} \mid \frac{\partial \bar{v}'}{\partial S_2} \mid \frac{d\mathbf{R}'}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}'_S}{ds_3} \right)^T \mathbf{R}' \right. \\ \left. + \mathbf{R}'^T \left( \frac{\partial \bar{v}'}{\partial S_1} \mid \frac{\partial \bar{v}'}{\partial S_2} \mid \frac{d\mathbf{R}'}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}'_S}{ds_3} \right) (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T \right\}. \end{cases}$$

With this notation (IV.2.9) reads as

$$(IV.2.12) \quad \mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) \leq \liminf_{\delta \rightarrow 0} \frac{J(v_{\delta}) - J(I_d)}{\delta^4}.$$

Now let  $(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v})$  be in  $\mathbf{U}_{nlin}$  and let  $\left( (\mathcal{V}_N, \mathbf{R}_N, \mathcal{V}_{S,N}, \bar{v}_N) \right)_{N \in \mathbb{N}^*}$  be a sequence of elements belonging to  $\mathbf{U}_{nlin}$  such that

$$(IV.2.13) \quad \begin{cases} \mathcal{V}_N \in (W^{2,\infty}(0, L))^3, & \mathcal{V}_N \longrightarrow \mathcal{V} \text{ strongly in } (H^2(0, L))^3 \\ \mathbf{R}_N \in (W^{1,\infty}(0, L))^{3 \times 3}, & \mathbf{R}_N \longrightarrow \mathbf{R} \text{ strongly in } (H^1(0, L))^{3 \times 3} \\ \mathcal{V}_{S,N} \in (W^{1,\infty}(0, L))^3, & \mathcal{V}_{S,N} \longrightarrow \mathcal{V}_S \text{ strongly in } (H^1(0, L))^3 \\ \bar{v}_N \in (W^{1,\infty}(\Omega))^3, & \bar{v}_N(S_1, S_2, 0) = 0, \text{ for a.e. } (S_1, S_2) \in \omega, \\ & \bar{v}_N \longrightarrow \bar{v} \text{ strongly in } (L^2(0, L; H^1(\omega)))^3. \end{cases}$$

To prove the existence of the sequence  $(\mathbf{R}_N)_{N \in \mathbb{N}^*}$  see the appendix at the end of the paper.

We consider the deformations  $(\delta \in (0, \delta_0])$

$$(IV.2.14) \quad v_{N,\delta}(s) = \mathcal{V}_N(s_3) + \mathbf{R}_N(s_3)(s_1 \mathbf{n}_1 + s_2 \mathbf{n}_2) + \delta \mathcal{V}_{S,N}(s_3) + \delta^2 \bar{v}_N\left(\frac{s_1}{\delta}, \frac{s_2}{\delta}, s_3\right), \quad s \in \Omega_{\delta}.$$

Using convergences (IV.2.13), the fact that  $(\mathcal{V}_N, \mathbf{R}_N, \mathcal{V}_{S,N}, \bar{v}_N)$  belongs to  $\mathbf{U}_{nlin}$  and proceeding as in Subsection III.2, we have

$$(IV.2.15) \quad \begin{cases} \Pi_{\delta} v_{N,\delta} \longrightarrow \mathcal{V}_N \text{ strongly in } (W^{1,\infty}(\Omega))^3, \\ \Pi_{\delta} (\nabla_x v_{N,\delta}) \longrightarrow \mathbf{R}_N \text{ strongly in } (L^{\infty}(\Omega))^{3 \times 3}, \\ \frac{1}{2\delta} \Pi_{\delta} ((\nabla_x v_{N,\delta})^T \nabla_x v_{N,\delta} - \mathbf{I}_3) \longrightarrow \mathbf{E}_N \text{ strongly in } (L^{\infty}(\Omega))^{3 \times 3}, \end{cases}$$

where

$$\begin{aligned} \mathbf{E}_N = & \frac{1}{2} \left\{ (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \left( \frac{\partial \bar{v}_N}{\partial S_1} \mid \frac{\partial \bar{v}_N}{\partial S_2} \mid \frac{d\mathbf{R}_N}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_{S,N}}{ds_3} \right)^T \mathbf{R}_N \right. \\ & \left. + \mathbf{R}_N^T \left( \frac{\partial \bar{v}_N}{\partial S_1} \mid \frac{\partial \bar{v}_N}{\partial S_2} \mid \frac{d\mathbf{R}_N}{ds_3} (S_1 \mathbf{n}_1 + S_2 \mathbf{n}_2) + \frac{d\mathcal{V}_{S,N}}{ds_3} \right) (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T \right\} \end{aligned}$$

If  $\delta$  is sufficiently small we have  $\det(\nabla_x v_{N,\delta}(x)) > 0$  for a.e.  $x \in \mathcal{P}_\delta$  because of the second convergence in (IV.2.15). It follows that  $J(v_{N,\delta}) < +\infty$ . We divide  $J(v_{N,\delta}) - J(I_d)$  by  $\delta^4$  and we pass to the limit using the strong convergences (IV.2.15). We obtain

$$(IV.2.16) \quad \lim_{\delta \rightarrow 0} \frac{1}{\delta^4} (J(v_{N,\delta}) - J(I_d)) = \mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}).$$

Letting  $N$  tend to  $+\infty$  and using (IV.2.13), it follows that for any  $(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{nlin}$

$$(IV.2.17) \quad \mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) = \lim_{N \rightarrow +\infty} \mathcal{J}_{NL}(\mathcal{V}_N, \mathbf{R}_N, \mathcal{V}_{N,S}, \bar{v}_N).$$

Hence, through a standard diagonal process for any  $(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{nlin}$  there exists a sequence of admissible deformations  $v_\delta \in (H^1(\mathcal{P}_\delta))^3$  such that

$$(IV.2.18) \quad \mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^4}.$$

The following theorem summarizes the above results.

**Theorem IV.2.1.** *The functional  $\mathcal{J}_{NL}$  is the  $\Gamma$ -limit of  $\frac{J(\cdot) - J(I_d)}{\delta^4}$  in the following sense:*

- consider any sequence of deformations  $(v_\delta)_{0 < \delta \leq \delta_0}$  belonging to  $\mathbf{U}_\delta$  and satisfying

$$\liminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^4} < +\infty$$

and let  $(\mathcal{V}_\delta, \mathbf{R}_\delta, \mathcal{V}_{S,\delta}, \bar{v}_\delta)$  be the terms of the decomposition of  $v_\delta$  given by Theorem II.2.2. Then there exists  $(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{nlin}$  such that (up to a subsequence)

$$\begin{aligned} \mathbf{R}_\delta & \rightharpoonup \mathbf{R} \quad \text{weakly in } (H^1(0, L))^{3 \times 3} \\ \mathcal{V}_\delta & \longrightarrow \mathcal{V} \quad \text{strongly in } (H^1(0, L))^3 \\ \mathcal{V}_{B,\delta} & \longrightarrow \mathcal{V} \quad \text{strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta} \mathcal{V}_{S,\delta} & \rightharpoonup \mathcal{V}_S \quad \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^2} \Pi_\delta \bar{v}_\delta & \rightharpoonup \bar{v} \quad \text{weakly in } (L^2(0, L; H^1(\omega)))^3 \end{aligned}$$

and we have

$$\mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) \leq \liminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^4}$$

- for any  $(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{nlin}$  there exists a sequence  $(v_\delta)_{0 < \delta \leq \delta_0}$  belonging to  $\mathbf{U}_\delta$  such that

$$\mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^4}.$$

Moreover, there exists  $(\mathcal{V}_0, \mathbf{R}_0, \mathcal{V}_{S,0}, \bar{\mathbf{v}}_0) \in \mathbf{U}_{nlin}$  such that

$$(IV.2.19) \quad m_2 = \lim_{\delta \rightarrow 0} \frac{m_\delta}{\delta^4} = \mathcal{J}_{NL}(\mathcal{V}_0, \mathbf{R}_0, \mathcal{V}_{S,0}, \bar{\mathbf{v}}_0) = \min_{(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{\mathbf{v}}) \in \mathbf{U}_{nlin}} \mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{\mathbf{v}}).$$

The next theorem shows that the variables  $\mathcal{V}_S$  and  $\bar{\mathbf{v}}$  can be eliminated in the minimization problem (IV.2.19). To this end let us first introduce a few notations. We denote by  $E$  the Young's modulus of the material and by  $\chi$  the solution of the following torsion problem:

$$(IV.2.22) \quad \begin{cases} \chi \in H^1(\omega), & \int_\omega \chi = 0 \\ \int_\omega \nabla \chi \nabla \psi = - \int_\omega \left\{ -S_2 \frac{\partial \psi}{\partial S_1} + S_1 \frac{\partial \psi}{\partial S_2} \right\} \\ \forall \psi \in H^1(\omega). \end{cases}$$

At least we set

$$K = \int_\omega \left[ \left( \frac{\partial \chi}{\partial S_1} - S_2 \right)^2 + \left( \frac{\partial \chi}{\partial S_2} + S_1 \right)^2 \right], \quad I_1 = \int_\omega S_1^2, \quad I_2 = \int_\omega S_2^2.$$

**Theorem IV.2.2** *Let  $(\mathcal{V}_0, \mathbf{R}_0)$  be given by Theorem IV.2.1. The minimum  $m_2$  of the functional  $\mathcal{J}_{NL}$  over  $\mathbf{U}_{nlin}$  satisfies the following minimization problem:*

$$(IV.2.20) \quad m_2 = \mathcal{F}_{NL}(\mathcal{V}_0, \mathbf{R}_0) = \min_{(\mathcal{V}, \mathbf{R}) \in \mathbf{V}_{nlin}} \mathcal{F}_{NL}(\mathcal{V}, \mathbf{R}),$$

where

$$\mathbf{V}_{nlin} = \left\{ (\mathcal{V}, \mathbf{R}) \in (H^2(0, L))^3 \times (H^1(0, L))^{3 \times 3} \mid \mathcal{V}(0) = M(0), \quad \mathbf{R}(0) = \mathbf{I}_3, \right. \\ \left. \mathbf{R}(s_3) \in SO(3) \text{ for any } s_3 \in [0, L], \quad \frac{d\mathcal{V}}{ds_3} = \mathbf{R} \mathbf{t} \right\},$$

and

$$(IV.2.21) \quad \begin{cases} \mathcal{F}_{NL}(\mathcal{V}, \mathbf{R}) = \frac{EI_1}{2} \int_0^L \left( \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{R} \mathbf{n}_1 \right)^2 + \frac{EI_2}{2} \int_0^L \left( \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{R} \mathbf{n}_2 \right)^2 + \frac{\mu K}{4} \int_0^L \left( \frac{d\mathbf{R}}{ds_3} \mathbf{n}_1 \cdot \mathbf{R} \mathbf{n}_2 \right)^2 \\ - \int_0^L \left( |\omega| f + \sum_{\alpha=1}^2 \int_\omega g S_\alpha \det(\mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_\alpha}{ds_3}) dS_1 dS_2 \right) \cdot (\mathcal{V} - M) \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_\omega g S_\alpha dS_1 dS_2 \right) \cdot (\mathbf{R} - \mathbf{I}_3) \mathbf{n}_\alpha. \end{cases}$$

**Proof of Theorem IV.2.2.** Let us first notice that in the expression (IV.2.10) of  $\mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{\mathbf{v}})$ , one can replace  $\mathbf{E}$  by  $\hat{\mathbf{E}}$  where  $\mathbf{E}$  and  $\hat{\mathbf{E}}$  are given by (III.2.7), (III.2.8) and (III.2.9).

In order to eliminate  $(\mathcal{V}_S, \bar{\mathbf{v}})$ , we fix  $(\mathcal{V}, \mathbf{R}) \in \mathbf{V}_{nlin}$  and we minimize the functional  $\mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \cdot, \cdot)$  over the space

$$\mathbf{W} = \left\{ (\mathcal{V}_S, \bar{\mathbf{v}}) \in (H^1(0, L))^3 \times (L^2(0, L; H^1(\omega)))^3 \mid \mathcal{V}_S(0) = 0, \right. \\ \left. \int_\omega \bar{\mathbf{v}}(S_1, S_2, s_3) dS_1 dS_2 = 0 \text{ for a.e. } s_3 \in (0, L) \right\}.$$

Through solving simple variational problems (see [14]), we find that the minimum of the functional  $\mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \cdot, \cdot)$  over the space  $\mathbf{W}$  is obtained with  $\frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{Rt} = 0$  and

$$(IV.2.23) \quad \begin{cases} \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{Rn}_1 = \nu \left\{ \frac{S_1^2 - S_2^2}{2} \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{Rn}_1 + S_1 S_2 \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{Rn}_2 \right\} \\ \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{Rn}_2 = \nu \left\{ S_1 S_2 \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{Rn}_1 + \frac{S_2^2 - S_1^2}{2} \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{Rn}_2 \right\} \\ \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{Rt} + S_1 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{Rn}_1 + S_2 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{Rn}_2 = \left\{ \chi(S_1, S_2) \frac{d\mathbf{R}}{ds_3} \mathbf{n}_1 \cdot \mathbf{Rn}_2 \right\} \mathbf{Rt} \end{cases}$$

where  $\nu = \frac{\lambda}{2(\lambda + \mu)}$  is the Poisson's coefficient of the material. Then the symmetric tensor  $\widehat{\mathbf{E}}$  (see again (III.2.9)) at the minimum is given by

$$(IV.2.24) \quad \widehat{\mathbf{E}}(\mathbf{R}) = \begin{pmatrix} -\nu \widehat{\mathbf{E}}_{33}(\mathbf{R}) & 0 & \frac{1}{2} \left( \frac{\partial \chi}{\partial S_1} - S_2 \right) \frac{d\mathbf{R}}{ds_3} \mathbf{n}_1 \cdot \mathbf{Rn}_2 \\ * & -\nu \widehat{\mathbf{E}}_{33}(\mathbf{R}) & \frac{1}{2} \left( \frac{\partial \chi}{\partial S_1} + S_1 \right) \frac{d\mathbf{R}}{ds_3} \mathbf{n}_1 \cdot \mathbf{Rn}_2 \\ * & * & \widehat{\mathbf{E}}_{33}(\mathbf{R}) \end{pmatrix},$$

where  $\widehat{\mathbf{E}}_{33}(\mathbf{R}) = -S_1 \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{Rn}_1 - S_2 \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{Rn}_2$ . Upon replacing  $\widehat{\mathbf{E}}$  by  $\widehat{\mathbf{E}}(\mathbf{R})$  in the expression of  $\mathcal{J}_{NL}$  we obtain

$$\min_{(\mathcal{V}_S, \bar{v}) \in \mathbf{W}} \mathcal{J}_{NL}(\mathcal{V}, \mathbf{R}, \mathcal{V}_S, \bar{v}) = \mathcal{F}_{NL}(\mathcal{V}, \mathbf{R}),$$

where the functional  $\mathcal{F}_{NL}$  is given by (IV.2.21).  $\square$

**Remark.** The above analysis shows that if  $(v_\delta)_{0 < \delta \leq \delta_0}$  is a sequence such that

$$m_2 = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^4},$$

then there exists a subsequence and  $(\mathcal{V}_0, \mathbf{R}_0) \in \mathbf{V}_{nlm}$ , which is a solution of Problem (IV.2.20), such that the sequence of the Green-St Venant's deformation tensors satisfies

$$\frac{1}{2\delta} \Pi_\delta ((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \longrightarrow (\mathbf{n}_1 | \mathbf{n}_2 | \mathbf{t}) \widehat{\mathbf{E}}(\mathbf{R}_0) (\mathbf{n}_1 | \mathbf{n}_2 | \mathbf{t})^T \quad \text{strongly in } (L^2(\Omega))^{3 \times 3},$$

where  $\widehat{\mathbf{E}}(\mathbf{R}_0)$  is defined in (IV.2.24).

### IV.3 Limit model in the case $\kappa > 2$

Let  $(v_\delta)_{0 < \delta \leq \delta_0}$  be a sequence of deformations belonging to  $\mathbf{U}_\delta$  and such that

$$(IV.3.1) \quad \varliminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}} < +\infty.$$

Upon extracting a subsequence (still indexed by  $\delta$ ) we can assume that the sequence  $(v_\delta)$  satisfies the condition (IV.1.7). From the estimates of the section IV.1 we obtain

$$(IV.3.3) \quad \begin{cases} \|\text{dist}(\nabla v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^\kappa, \\ \left\| \frac{1}{2} \{ \nabla v_\delta^T \nabla v_\delta - \mathbf{I}_3 \} \right\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^\kappa, \\ \|\nabla v_\delta\|_{(L^4(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^{\frac{1}{2}}. \end{cases}$$

For any fixed  $\delta \in (0, \delta_0]$ , the displacement  $u_\delta = v_\delta - I_d$  is decomposed following (II.2.1) and (III.3.2) in such a way that Theorem II.2.2 is satisfied. There exists a subsequence still indexed by  $\delta$  such that (see Section III.3)

$$(IV.3.4) \quad \begin{cases} \frac{1}{\delta^{\kappa-2}}(\mathbf{R}_\delta - \mathbf{I}_3) \rightharpoonup \mathbf{A} & \text{weakly in } (H^1(0, L))^{3 \times 3} \\ \frac{1}{\delta^{\kappa-2}}\mathcal{U}_\delta \rightarrow \mathcal{U} & \text{strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-1}}\mathcal{V}_{S, \delta} \rightharpoonup \mathcal{V}_S & \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^\kappa}\Pi_\delta \bar{v}_\delta \rightharpoonup \bar{v} & \text{weakly in } (L^2(0, L; H^1(\omega)))^3 \end{cases}$$

where  $\mathbf{A}$  is an antisymmetric matrix and  $\mathcal{U}(0) = \mathcal{V}_S(0) = 0$ . Moreover,  $\mathcal{U}$  belongs to  $(H^2(0, L))^3$  and there exists  $\mathcal{R} \in (H^1(0, L))^3$  with  $\mathcal{R}(0) = 0$  such that

$$(IV.3.5) \quad \frac{d\mathcal{U}}{ds_3} = \mathbf{A}\mathbf{t} = \mathcal{R} \wedge \mathbf{t}.$$

Furthermore, we also have

$$(IV.3.6) \quad \begin{cases} \frac{1}{\delta^{\kappa-2}}\Pi_\delta u_\delta \rightarrow \mathcal{U} & \text{strongly in } (H^1(\Omega))^3, \\ \Pi_\delta(\nabla_x v_\delta) \rightarrow \mathbf{I}_3 & \text{strongly in } (L^2(\Omega))^{3 \times 3}, \\ \frac{\Pi_\delta(u_\delta - \mathcal{U}_\delta)}{\delta^{\kappa-1}} \rightarrow S_1 \mathcal{R} \wedge \mathbf{n}_1 + S_2 \mathcal{R} \wedge \mathbf{n}_2 & \text{strongly in } (L^2(\Omega))^3, \\ \frac{1}{2\delta^{\kappa-1}}\Pi_\delta((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \rightharpoonup \mathbf{E} & \text{weakly in } (L^2(\Omega))^{3 \times 3}, \end{cases}$$

where (see Section III.3)

$$(IV.3.7) \quad \begin{cases} \mathbf{E} = (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t}) \widehat{\mathbf{E}} (\mathbf{n}_1 \mid \mathbf{n}_2 \mid \mathbf{t})^T \\ \widehat{\mathbf{E}} = \begin{pmatrix} \frac{\partial \bar{v}}{\partial S_1} \cdot \mathbf{n}_1 & \frac{1}{2} \left\{ \frac{\partial \bar{v}}{\partial S_1} \cdot \mathbf{n}_2 + \frac{\partial \bar{v}}{\partial S_2} \cdot \mathbf{n}_1 \right\} & \frac{1}{2} \left\{ \frac{\partial \bar{v}}{\partial S_1} \cdot \mathbf{t} - S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_1 \right\} \\ * & \frac{\partial \bar{v}}{\partial S_2} \cdot \mathbf{n}_2 & \frac{1}{2} \left\{ \frac{\partial \bar{v}}{\partial S_2} \cdot \mathbf{t} + S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_2 \right\} \\ * & * & -S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 + \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} \end{pmatrix}. \end{cases}$$

Proceeding as in the previous section, we pass to the limit-inf in  $\frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}}$  and we obtain

$$(IV.3.8) \quad \begin{cases} \int_\Omega \left\{ \frac{\lambda}{2} (tr(\mathbf{E}))^2 + \mu \|\mathbf{E}\|^2 \right\} \\ - \int_0^L \left( |\omega|f + \sum_{\alpha=1}^2 \int_\omega g(S_1, S_2, \cdot) S_\alpha \det(\mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_\alpha}{ds_3}) dS_1 dS_2 \right) \cdot \mathcal{U} \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_\omega g(S_1, S_2, \cdot) S_\alpha dS_1 dS_2 \right) \cdot (\mathcal{R} \wedge \mathbf{n}_\alpha) \leq \liminf_{\delta \rightarrow 0} \frac{1}{\delta^{2\kappa}} (J(v_\delta) - J(I_d)). \end{cases}$$

Let  $\mathbf{U}_{lin}$  be the space

$$\mathbf{U}_{lin} = \left\{ (\mathcal{U}', \mathcal{R}', \mathcal{V}'_S, \bar{v}') \in (H^2(0, L))^3 \times (H^1(0, L))^3 \times (H^1(0, L))^3 \times (L^2(0, L; H^1(\omega)))^3 \mid \right. \\ \left. \mathcal{U}'(0) = \mathcal{R}'(0) = \mathcal{V}'_S(0) = 0, \quad \frac{d\mathcal{U}'}{ds_3} = \mathcal{R}' \wedge \mathbf{t}, \quad \int_\omega \bar{v}'(S_1, S_2, s_3) dS_1 dS_2 = 0 \quad \text{for a.e. } s_3 \in (0, L) \right\}.$$

For any  $(\mathcal{U}', \mathcal{R}', \mathcal{V}'_S, \bar{v}') \in \mathbf{U}_{lin}$ , we set

$$(IV.3.9) \quad \begin{cases} \mathcal{J}_L(\mathcal{U}', \mathcal{R}', \mathcal{V}'_S, \bar{v}') = \int_{\Omega} \left\{ \frac{\lambda}{2} (\text{tr}(\mathbf{E}'))^2 + \mu \|\mathbf{E}'\|^2 \right\} \\ - \int_0^L \left( |\omega|f + \sum_{\alpha=1}^2 \int_{\omega} g(S_1, S_2, \cdot) S_{\alpha} \det(\mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_{\alpha}}{ds_3}) dS_1 dS_2 \right) \cdot \mathcal{U}' \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_{\omega} g(S_1, S_2, \cdot) S_{\alpha} dS_1 dS_2 \right) \cdot (\mathcal{R}' \wedge \mathbf{n}_{\alpha}), \end{cases}$$

with  $\mathbf{E}'$  is given by (IV.3.7) where we have replaced  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v})$  by  $(\mathcal{U}', \mathcal{R}', \mathcal{V}'_S, \bar{v}')$ . From (IV.3.8) it results that

$$(IV.3.10) \quad \mathcal{J}_L(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \leq \liminf_{\delta \rightarrow 0} \frac{J(v_{\delta}) - J(I_d)}{\delta^{2\kappa}}.$$

Now, let  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v})$  be in  $\mathbf{U}_{lin}$  and let  $(\mathcal{R}_N, \mathcal{V}_{S,N}, \bar{v}_N)_{N \in \mathbb{N}^*}$  sequences of elements such that

$$(IV.3.11) \quad \begin{cases} \mathcal{R}_N(0) = 0, \quad \mathcal{V}_{S,N}(0) = 0, \quad \bar{v}_N(S_1, S_2, 0) = 0, \quad \text{for a.e. } (S_1, S_2) \in \omega, \\ \mathcal{R}_N \in (W^{1,\infty}(0, L))^3, \quad \mathcal{R}_N \rightarrow \mathcal{R} \quad \text{strongly in } (H^1(0, L))^3 \\ \mathcal{V}_{S,N} \in (W^{1,\infty}(0, L))^3, \quad \mathcal{V}_{S,N} \rightarrow \mathcal{V}_S \quad \text{strongly in } (H^1(0, L))^3 \\ \bar{v}_N \in (W^{1,\infty}(\Omega))^3, \quad \bar{v}_N \rightarrow \bar{v} \quad \text{strongly in } (L^2(0, L; H^1(\omega)))^3 \end{cases}$$

Moreover we set

$$\frac{d\mathcal{U}_N}{ds_3} = \mathcal{R}_N \wedge \mathbf{t}, \quad \mathcal{U}_N(0) = 0.$$

We consider the deformations  $(\delta \in (0, \delta_0])$  and  $s \in \Omega_{\delta}$

$$(IV.3.12) \quad v_{N,\delta}(s) = \mathcal{V}_{N,\delta}(s_3) + \mathbf{R}_{N,\delta}(s_3)(s_1 \mathbf{n}_1 + s_2 \mathbf{n}_2) + \delta^{\kappa-1} \mathcal{V}_{S,N}(s_3) + \delta^{\kappa} \bar{v}_N\left(\frac{s_1}{\delta}, \frac{s_2}{\delta}, s_3\right)$$

where  $\mathbf{R}_{N,\delta}$  and  $\mathcal{V}_{N,\delta}$  are defined below

$$\begin{cases} \frac{d\mathbf{R}_{N,\delta}}{ds_3} = \delta^{\kappa-2} \mathbf{R}_{N,\delta} \mathbf{B}_N, & \mathcal{V}_{N,\delta}(s_3) = M(0) + \int_0^{s_3} \mathbf{R}_{N,\delta}(z) \mathbf{t}(z) dz. \\ \mathbf{R}_{N,\delta}(0) = \mathbf{I}_3 \end{cases}$$

Here  $\mathbf{B}_N$  is the  $3 \times 3$  antisymmetric matrix such that

$$\forall x \in \mathbb{R}^3, \quad \mathbf{B}_N x = \frac{d\mathcal{R}_N}{ds_3} \wedge x.$$

Using the above convergences and the fact that  $(\mathcal{U}_N, \mathcal{R}_N, \mathcal{V}_{S,N}, \bar{v}_N)$  belongs to  $\mathbf{U}_{lin}$ , we have

$$(IV.3.13) \quad \begin{cases} \frac{1}{\delta^{\kappa-2}} \Pi_{\delta} u_{N,\delta} \rightarrow \mathcal{U}_N \quad \text{strongly in } (W^{1,\infty}(\Omega))^3, \\ \Pi_{\delta} (\nabla_x v_{N,\delta}) \rightarrow \mathbf{I}_3 \quad \text{strongly in } (L^{\infty}(\Omega))^{3 \times 3}. \\ \frac{1}{2\delta^{\kappa-1}} \Pi_{\delta} ((\nabla_x v_{N,\delta})^T \nabla_x v_{N,\delta} - \mathbf{I}_3) \rightarrow \mathbf{E}_N \quad \text{strongly in } (L^{\infty}(\Omega))^{3 \times 3}, \end{cases}$$

where  $\mathbf{E}_N$  is given by (IV.3.7) where we have replaced  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v})$  by  $(\mathcal{U}_N, \mathcal{R}_N, \mathcal{V}_{S,N}, \bar{v}_N)$ . If  $\delta$  is sufficiently small we have  $\det(\nabla_x v_{N,\delta}(x)) > 0$  for a.e.  $x \in \mathcal{P}_\delta$ . We divide  $J(v_{N,\delta}) - J(I_d)$  by  $\delta^{2\kappa}$  and we pass to the limit. We obtain

$$(IV.3.14) \quad \lim_{\delta \rightarrow 0} \frac{1}{\delta^{2\kappa}} (J(v_{N,\delta}) - J(I_d)) = \mathcal{J}_L(\mathcal{U}_N, \mathcal{R}_N, \mathcal{V}_{S,N}, \bar{v}_N).$$

Now letting  $N$  tend to  $+\infty$  gives that for any  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}$

$$(IV.3.15) \quad \mathcal{J}_L(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) = \lim_{N \rightarrow +\infty} \mathcal{J}_L(\mathcal{U}_N, \mathcal{R}_N, \mathcal{V}_{S,N}, \bar{v}_N).$$

Hence, through a standard diagonal process for any  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}$  there exists a sequence of admissible deformations  $v_\delta \in (H^1(\mathcal{P}_\delta))^3$  such that

$$(IV.3.16) \quad \mathcal{J}_L(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}}.$$

The following theorem summarizes the results of the case  $\kappa > 2$ .

**Theorem IV.3.1.** *The functional  $\mathcal{J}_L$  is the  $\Gamma$ -limit of  $\frac{J(\cdot) - J(I_d)}{\delta^{2\kappa}}$  in the following sense:*

- for any sequence of deformations  $(v_\delta)_{0 < \delta \leq \delta_0}$  belonging to  $\mathbf{U}_\delta$  and satisfying

$$\liminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}} < +\infty$$

and let  $(\mathcal{U}_\delta, \mathbf{R}_\delta, \mathcal{V}_{S,\delta}, \bar{v}_\delta)$  be the terms of the decomposition of the displacement  $u_\delta = v_\delta - I_d$  given by (III.3.2).

Up to a subsequence there exists  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}$  such that

$$\begin{aligned} \frac{1}{\delta^{\kappa-2}} (\mathbf{R}_\delta - \mathbf{I}_3) &\rightharpoonup \mathbf{A} \quad \text{weakly in } (H^1(0, L))^{3 \times 3} \\ \frac{1}{\delta^{\kappa-2}} \mathcal{U}_\delta &\longrightarrow \mathcal{U} \quad \text{strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-1}} \mathcal{V}_{S,\delta} &\rightharpoonup \mathcal{V}_S \quad \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^\kappa} \Pi_\delta \bar{v}_\delta &\rightharpoonup \bar{v} \quad \text{weakly in } (L^2(0, L; H^1(\omega)))^3 \end{aligned}$$

where for any  $x \in \mathbb{R}^3$ ,  $\mathbf{A}x = \mathcal{R} \wedge x$  and we have

$$\mathcal{J}_L(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \leq \liminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}}$$

- for any  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}$  there exists a sequence  $(v_\delta)_{0 < \delta \leq \delta_0}$  belonging to  $\mathbf{U}_\delta$  such that

$$\mathcal{J}_L(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}}.$$

Moreover, there exists  $(\mathcal{U}_0, \mathcal{R}_0, \mathcal{V}_{S,0}, \bar{v}_0) \in \mathbf{U}_{lin}$  such that

$$m_\kappa = \lim_{\delta \rightarrow 0} \frac{m_\delta}{\delta^{2\kappa}} = \mathcal{J}_L(\mathcal{U}_0, \mathcal{R}_0, \mathcal{V}_{S,0}, \bar{v}_0) = \min_{(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}} \mathcal{J}_L(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}).$$

The next theorem is the analog of Theorem IV.2.2.

**Theorem IV.3.2** *Let  $(\mathcal{U}_0, \mathcal{R}_0)$  be given by Theorem IV.3.1. The minimum  $m_\kappa$  of the functional  $\mathcal{J}_L$  over  $\mathbf{U}_{lin}$  satisfies the following minimization problem which admits a unique solution:*

$$(IV.3.17) \quad m_\kappa = \mathcal{F}_L(\mathcal{U}_0, \mathcal{R}_0) = \min_{(\mathcal{U}, \mathcal{R}) \in \mathbf{V}_{lin}} \mathcal{F}_L(\mathcal{U}, \mathcal{R}),$$

where

$$\mathbf{V}_{lin} = \left\{ (\mathcal{U}, \mathcal{R}) \in (H^2(0, L))^3 \times (H^1(0, L))^3 \mid \mathcal{U}(0) = \mathcal{R}(0) = 0, \quad \frac{d\mathcal{U}}{ds_3} = \mathcal{R} \wedge \mathbf{t} \right\},$$

and

$$(IV.3.18) \quad \begin{cases} \mathcal{F}_L(\mathcal{U}, \mathcal{R}) = \frac{EI_1}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 \right)^2 + \frac{EI_2}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right)^2 + \frac{\mu K}{4} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \right)^2 \\ - \int_0^L \left( |\omega|f + \sum_{\alpha=1}^2 \int_{\omega} g(S_1, S_2, \cdot) S_\alpha \det(\mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_\alpha}{ds_3}) dS_1 dS_2 \right) \cdot \mathcal{U} \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_{\omega} g(S_1, S_2, \cdot) S_\alpha dS_1 dS_2 \right) \cdot (\mathcal{R} \wedge \mathbf{n}_\alpha) \end{cases}$$

$E$  is the Young's modulus and  $K$  is given in Theorem IV.1.3.

**Proof of Theorem IV.3.2.** We proceed as in Theorem IV.2.2. We fix  $(\mathcal{V}, \mathcal{R}) \in \mathbf{V}_{lin}$  and we minimize the functional  $\mathcal{J}_L(\mathcal{U}, \mathcal{R}, \cdot, \cdot)$  over the space  $\mathbf{W}$ . Through solving simple variational problems (see [14] again), we find that the minimum of the functional  $\mathcal{J}_L(\mathcal{U}, \mathcal{R}, \cdot, \cdot)$  over the space  $\mathbf{W}$  is obtained with  $\frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} = 0$  and

$$(IV.3.19) \quad \begin{cases} \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{n}_1 = -\nu \left\{ \frac{S_2^2 - S_1^2}{2} \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + S_1 S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right\} \\ \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{n}_2 = -\nu \left\{ -S_1 S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + \frac{S_2^2 - S_1^2}{2} \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right\} \\ \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{t} + S_1 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_1 + S_2 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_2 = \chi(S_1, S_2) \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \end{cases}$$

Then the symmetric tensor  $\widehat{\mathbf{E}}$  (see (IV.3.7)) at the minimum is given by

$$(IV.3.20) \quad \widehat{\mathbf{E}}(\mathcal{R}) = \begin{pmatrix} -\nu \widehat{\mathbf{E}}_{33}(\mathcal{R}) & 0 & \frac{1}{2} \left( \frac{\partial \chi}{\partial S_1} - S_2 \right) \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \\ * & -\nu \widehat{\mathbf{E}}_{33}(\mathcal{R}) & \frac{1}{2} \left( \frac{\partial \chi}{\partial S_1} + S_1 \right) \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \\ * & * & \widehat{\mathbf{E}}_{33}(\mathcal{R}) \end{pmatrix},$$

where  $\widehat{\mathbf{E}}_{33}(\mathcal{R}) = -S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1$ . Upon replacing  $\mathbf{E}$  by  $\widehat{\mathbf{E}}(\mathcal{R})$  in the expression of  $\mathcal{J}_L$  we obtain

$$\min_{(\mathcal{V}_S, \bar{v}) \in \mathbf{W}} \mathcal{J}_L(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) = \mathcal{F}_L(\mathcal{U}, \mathcal{R})$$

where the functional  $\mathcal{F}_L$  is given by (IV.3.18). □

**Remark.** The above analysis shows that if  $(v_\delta)_{0 < \delta \leq \delta_0}$  is a sequence such that

$$m_\kappa = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}},$$

then the sequence of the Green-St Venant's deformation tensors satisfies

$$\frac{1}{2\delta^{\kappa-1}}\Pi_\delta((\nabla_x v_\delta)^T \nabla_x v_\delta - \mathbf{I}_3) \longrightarrow (\mathbf{n}_1 | \mathbf{n}_2 | \mathbf{t}) \widehat{\mathbf{E}}(\mathcal{R}_0) (\mathbf{n}_1 | \mathbf{n}_2 | \mathbf{t})^T \quad \text{strongly in } (L^2(\Omega))^{3 \times 3},$$

where  $\widehat{\mathbf{E}}(\mathcal{R}_0)$  is defined in (IV.3.20) and  $\mathcal{R}_0$  is the solution of (IV.3.17).

#### IV.4 Extentional models for special forces.

In this subsection we investigate the case where  $f_\delta$  is given by

$$(IV.4.1) \quad f_\delta(s) = \delta^{\kappa-1} f(s_3) \quad \text{for a.e. } s \in \Omega_\delta,$$

where  $f$  belongs to  $(L^2(0, L))^3$ . Without any additional assumption on  $f$ , Subsection IV.1 shows that this leads to

$$\|\text{dist}(\nabla v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^{\kappa-1},$$

if  $\frac{1}{\delta^{2\kappa-2}}(J(v_\delta) - J(I_d)) \leq C_1$ . As a consequence, the results of Subsections IV.2 et IV.3 can be applied if  $\kappa \geq 3$ ). Let us for example consider the case  $\kappa > 3$  and remark that due to the choice of  $f$  the contribution of the forces in the limit energy  $\mathcal{F}_L(\mathcal{U}_0, \mathcal{R}_0)$  is equal to

$$-|\omega| \int_0^L f(s_3) \cdot \mathcal{U}_0(s_3) ds_3 = -|\omega| \int_0^L \left( \int_{s_3}^L f(s) ds \right) \cdot \mathcal{R}_0(s_3) \wedge \mathbf{t}(s_3) ds_3.$$

Then if the quantity  $\int_{s_3}^L f(s) ds$  is proportionnal to  $\mathbf{t}(s_3)$ , this contribution vanishes and then  $\mathcal{R}_0 = \mathcal{U}_0 = 0$  and the minimum is null. This example shows that for this kind of special forces, the energy have a smaller order than  $2\kappa - 2$  or equivalently that the estimates on  $v_\delta$  can be improved in this case.

We assume that there exists  $\tilde{f} \in H^1(0, L)$  such that

$$(IV.4.2) \quad \int_{s_3}^L f(l) dl = \tilde{f}(s_3) \mathbf{t}(s_3) \quad \text{for any } s_3 \in [0, L].$$

Let  $v$  an admissible deformation of the rod  $\mathcal{P}_\delta$ . Now, using (IV.4.2) we derive a new estimate of  $\int_{\mathcal{P}_\delta} f_\delta \cdot (v - I_d)$ . Notice first that  $\det(\nabla \Phi) = 1 + s_1 \det(\mathbf{n}_1 | \mathbf{n}_2 | \frac{d\mathbf{n}_1}{ds_3}) + s_2 \det(\mathbf{n}_1 | \mathbf{n}_2 | \frac{d\mathbf{n}_2}{ds_3})$ , then using the decomposition (II.2.1) for the admissible deformation  $v$ , estimates of Theorem II.2.2 and (II.3.5) together with  $\int_{\omega_\delta} s_\alpha ds_1 ds_2 = 0$  we deduce that

$$(IV.4.3) \quad \left\{ \begin{array}{l} \left| \int_{\mathcal{P}_\delta} f_\delta \cdot (v - I_d) - |\omega| \delta^{\kappa+1} \int_0^L f(s_3) \cdot (\mathcal{V}(s_3) - M(s_3)) ds_3 \right| \\ \leq C\delta^{\kappa+1} \|f\|_{(L^2(0, L))^3} \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)}. \end{array} \right.$$

We obtain by integrating by parts and using the decomposition (II.2.16) of  $\mathcal{V}$  (see also (III.4.7))

$$(IV.4.4) \quad \left\{ \begin{array}{l} \int_0^L f(s_3) \cdot (\mathcal{V}(s_3) - M(s_3)) ds_3 = \int_0^L \tilde{f}(s_3) \mathbf{t}(s_3) \cdot \left( \frac{d\mathcal{V}}{ds_3}(s_3) - \mathbf{t}(s_3) \right) ds_3 \\ = \int_0^L \tilde{f}(s_3) \mathbf{t}(s_3) \cdot (\mathbf{R}(s_3) - \mathbf{I}_3) \mathbf{t}(s_3) ds_3 + \int_0^L \tilde{f}(s_3) \mathbf{t}(s_3) \cdot \frac{d\mathcal{V}_S}{ds_3}(s_3) ds_3 \\ = -\frac{1}{2} \int_0^L \tilde{f}(s_3) (\mathbf{R}(s_3) - \mathbf{I}_3) \mathbf{t}(s_3) \cdot (\mathbf{R}(s_3) - \mathbf{I}_3) \mathbf{t}(s_3) ds_3 + \int_0^L \tilde{f}(s_3) \frac{d\mathcal{V}_S}{ds_3}(s_3) \cdot \mathbf{t}(s_3) ds_3. \end{array} \right.$$

Finally, using the above estimate and (II.2.17) we get

$$(IV.4.5) \quad \begin{cases} \left| \int_{\mathcal{P}_\delta} f_\delta \cdot (v - I_d) + \frac{|\omega|\delta^{\kappa+1}}{2} \int_0^L \tilde{f}(s_3)(\mathbf{R}(s_3) - \mathbf{I}_3)\mathbf{t}(s_3) \cdot (\mathbf{R}(s_3) - \mathbf{I}_3)\mathbf{t}(s_3) ds_3 \right| \\ \leq C\delta^\kappa \|f\|_{(L^2(0,L))^3} \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)}. \end{cases}$$

We assume that

$$\int_{\mathcal{P}_\delta} W(\nabla v) - \int_{\mathcal{P}_\delta} f_\delta \cdot (v - I_d) = J(v) - J(I_d) < +\infty$$

which implies using (IV.1.9)

$$(IV.4.6) \quad \frac{\mu}{4} \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)}^2 - \int_{\mathcal{P}_\delta} f_\delta \cdot (v - I_d) \leq J(v) - J(I_d) < +\infty.$$

Hence

$$(IV.4.7) \quad \begin{cases} \frac{\mu}{4} \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)}^2 + \frac{|\omega|\delta^{\kappa+1}}{2} \int_0^L \tilde{f}(\mathbf{R} - \mathbf{I}_3)\mathbf{t} \cdot (\mathbf{R} - \mathbf{I}_3)\mathbf{t} \\ \leq C\delta^\kappa \|f\|_{(L^2(0,L))^3} \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)} + J(v) - J(I_d) < +\infty \end{cases}$$

Now, in view of the above inequality, let us consider a sequence  $(v_\delta)_{0 < \delta \leq \delta_0}$  satisfying  $J(v_\delta) - J(I_d) \leq C_1 \delta^{2\kappa}$ . From estimate (II.3.8) we deduce that

$$(IV.4.8) \quad \left| \int_0^L \tilde{f}(\mathbf{R} - \mathbf{I}_3)\mathbf{t} \cdot (\mathbf{R} - \mathbf{I}_3)\mathbf{t} \right| \leq C^* \delta^{-4} \|f\|_{(L^2(0,L))^3} \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)}^2,$$

where the constant  $C^*$  only depends upon the geometry of the middle line of the rod. According to inequalities (IV.4.8) and (IV.4.7) the cases  $\kappa = 3$  and  $\kappa > 3$  lead two different energy estimates.

If  $\kappa > 3$  we obtain

$$(IV.4.9) \quad \begin{cases} \|\text{dist}(\nabla v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^\kappa, \\ \left\| \frac{1}{2} \{ \nabla v_\delta^T \nabla v_\delta - \mathbf{I}_3 \} \right\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^\kappa, \\ \|\nabla v_\delta\|_{(L^4(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^{\frac{1}{2}} \end{cases}$$

The constant  $C$  does not depend on  $\delta$ .

If  $\kappa = 3$ , the energy estimate depends on  $\|f\|_{(L^2(0,L))^3}$ . Indeed, if

$$(IV.4.10) \quad \|f\|_{(L^2(0,L))^3} < \frac{\mu}{2C^*|\omega|}$$

estimate (IV.4.8) and (IV.4.7) give

$$(IV.4.11) \quad \begin{cases} \left( \frac{\mu}{4} - \frac{C^*}{2} |\omega| \|f\|_{(L^2(0,L))^3} \right) \|\text{dist}(\nabla v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)}^2 \\ \leq C\delta^3 \|f\|_{(L^2(0,L))^3} \|\text{dist}(\nabla v, SO(3))\|_{L^2(\mathcal{P}_\delta)} + C_1 \delta^6 \end{cases}$$

and then

$$(IV.4.12) \quad \begin{cases} \|\text{dist}(\nabla v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)} \leq C\delta^3, \\ \left\| \frac{1}{2} \{ \nabla v_\delta^T \nabla v_\delta - \mathbf{I}_3 \} \right\|_{(L^2(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^3, \\ \|\nabla v_\delta\|_{(L^4(\mathcal{P}_\delta))^{3 \times 3}} \leq C\delta^{\frac{1}{2}} \end{cases}$$

The constant  $C$  does not depend on  $\delta$ .

In view of (IV.4.7), an alternative assumption to (IV.4.10) which also leads to estimates (IV.4.12) is to suppose that

$$(IV.4.13) \quad \tilde{f}(s_3) \geq 0 \quad \text{for almost any } s_3 \in (0, L).$$

In the sequel of this subsection, we will assume that the forces  $f$  satisfy (IV.4.2) together with (IV.4.10) or (IV.4.13) if  $\kappa = 3$ .

Now we have to pass to the limit-inf in  $\frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}}$ . According to estimates (IV.4.9) and (IV.4.12), performing this process in the elastic energy term is identical to the one detailed in the previous Subsection. We just focus on the behavior of the terms involving the forces. In view of (IV.4.3), (IV.4.9) and (IV.4.12) we get

$$\lim_{\delta \rightarrow 0} \frac{1}{\delta^{2\kappa}} \int_{\mathcal{P}_\delta} f_\delta \cdot (v_\delta - I_d) = \lim_{\delta \rightarrow 0} \frac{|\omega|}{\delta^{\kappa-1}} \int_0^L f(s_3) \cdot (\mathcal{V}_\delta(s_3) - M(s_3)) ds_3.$$

Now we use the notations and results of Subsection III.4, we have

$$\int_0^L f \cdot (\mathcal{V}_\delta - M) = \int_0^L f \cdot \mathcal{U}_\delta = \int_0^L \tilde{f} \mathbf{t} \cdot \frac{d\mathcal{U}_\delta}{ds_3} = \int_0^L \tilde{f} \mathbf{t} \cdot \frac{dU_{E,\delta}}{ds_3}.$$

Thanks to the convergences of Lemma III.4.1 we deduce that

$$(IV.4.14) \quad \lim_{\delta \rightarrow 0} \frac{1}{\delta^{2\kappa}} \int_{\mathcal{P}_\delta} f_\delta \cdot (v_\delta - I_d) = |\omega| \int_0^L \tilde{f} \mathbf{t} \cdot \frac{dU_E}{ds_3}.$$

Let us define the limit operator  $\mathcal{J}_{LS}$  by

$$(IV.4.15) \quad \forall (\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}, \quad \mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) = \int_\Omega \left\{ \frac{\lambda}{2} (\text{tr}(\hat{\mathbf{E}}))^2 + \mu \|\hat{\mathbf{E}}\|^2 \right\} - |\omega| \int_0^L \tilde{f} \frac{dU_E}{ds_3} \cdot \mathbf{t}.$$

The matrix  $\hat{\mathbf{E}}$  is given by (IV.3.7) and the displacement  $U_E$  is such that (see Lemma III.4.1)

$$(IV.4.16) \quad \frac{dU_E}{ds_3} \cdot \mathbf{t} = \begin{cases} \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} - \frac{1}{2} \left\| \frac{d\mathcal{U}}{ds_3} \right\|_2^2 & \text{if } \kappa = 3, \\ \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} & \text{if } \kappa > 3. \end{cases}$$

The expression of  $\mathcal{J}_{LS}$  shows that this functional has a unique minimizer. We have obtained the following result.

**Theorem IV.4.1.** *The functional  $\mathcal{J}_{LS}$  is the  $\Gamma$ -limit of  $\frac{J(\cdot) - J(I_d)}{\delta^{2\kappa}}$  in the following sense:*

- for any sequence of deformations  $(v_\delta)_{0 < \delta \leq \delta_0}$  belonging to  $\mathbf{U}_\delta$  and satisfying

$$\liminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}} < +\infty$$

and let  $(\mathcal{U}_\delta, \mathbf{R}_\delta, \mathcal{V}_{S,\delta}, \bar{v}_\delta)$  be the terms of the decomposition of the displacement  $u_\delta = v_\delta - I_d$  given by (III.3.2) and (III.4.3). Up to a subsequence there exists  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}$  such that

$$\begin{aligned} \frac{1}{\delta^{\kappa-2}}(\mathbf{R}_\delta - \mathbf{I}_3) &\rightharpoonup \mathbf{A} \quad \text{weakly in } (H^1(0, L))^{3 \times 3} \\ \frac{1}{\delta^{\kappa-2}}\mathcal{U}_\delta &\longrightarrow \mathcal{U} \quad \text{strongly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-1}}\mathcal{V}_{S,\delta} &\rightharpoonup \mathcal{V}_S \quad \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^{\kappa-1}}U_{E,\delta} &\rightharpoonup U_E \quad \text{weakly in } (H^1(0, L))^3 \\ \frac{1}{\delta^\kappa}\Pi_\delta \bar{v}_\delta &\rightharpoonup \bar{v} \quad \text{weakly in } (L^2(0, L; H^1(\omega)))^3 \end{aligned}$$

where for any  $x \in \mathbb{R}^3$ ,  $\mathbf{A}x = \mathcal{R} \wedge x$  and where the relation between  $U_E$ ,  $\mathcal{V}_S$  and  $\mathcal{U}$  is given by (IV.4.16). We have

$$\mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \leq \liminf_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}}$$

- for any  $(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}$  there exists a sequence  $(v_\delta)_{0 < \delta \leq \delta_0}$  belonging to  $\mathbf{U}_\delta$  such that

$$\mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}}.$$

Moreover, there exists a unique  $(\mathcal{U}_0, \mathcal{R}_0, \mathcal{V}_{S,0}, \bar{v}_0) \in \mathbf{U}_{lin}$  such that

$$m_\kappa = \lim_{\delta \rightarrow 0} \frac{m_\delta}{\delta^{2\kappa}} = \mathcal{J}_{LS}(\mathcal{U}_0, \mathcal{R}_0, \mathcal{V}_{S,0}, \bar{v}_0) = \inf_{(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}} \mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}).$$

The next theorem is the analog of Theorems IV.2.2 and IV.3.2.

**Theorem IV.4.2** Let  $(\mathcal{U}_0, \mathcal{R}_0, \mathcal{V}_{S,0})$  be given by Theorem IV.4.1 and  $U_{E,0} \in D_{E_x}$  defined by (IV.4.16). The minimum  $m_\kappa$  of the functional  $\mathcal{J}_{LS}$  over  $\mathbf{U}_{lin}$  is obtained with  $\mathcal{U}_0 = \mathcal{R}_0 = 0$  and it is given by the following minimization problem which admits a unique solution:

$$(IV.4.17) \quad m_\kappa = \mathcal{F}_{LS}(U_{E,0}) = \min_{U_E \in D_{E_x}} \mathcal{F}_{LS}(U_E),$$

where

$$(IV.4.18) \quad \mathcal{F}_{LS}(U_E) = |\omega| \left\{ \frac{E}{2} \int_0^L \left( \frac{dU_E}{ds_3} \cdot \mathbf{t} \right)^2 - \int_0^L \tilde{f} \frac{dU_E}{ds_3} \cdot \mathbf{t} \right\}.$$

$E$  is the Young's modulus.

**Proof of Theorem IV.4.2.** We proceed as in Theorem IV.3.2. We fix  $(\mathcal{V}, \mathcal{R}, \mathcal{V}_S)$  and we minimize the functional  $\bar{v} \mapsto \mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v})$  over the space

$$\widetilde{\mathbf{W}} = \left\{ \bar{v}' \in (L^2(0, L; H^1(\omega)))^3 \mid \int_\omega \bar{v}'(S_1, S_2, s_3) dS_1 dS_2 = 0 \quad \text{for a.e. } s_3 \in (0, L) \right\}.$$

Through solving simple variational problems (see [14] again), we find that the minimum of this functional is obtained for

$$(IV.4.19) \quad \begin{cases} \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{n}_1 = -\nu \left\{ S_1 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} + \frac{S_2^2 - S_1^2}{2} \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + S_1 S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right\} \\ \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{n}_2 = -\nu \left\{ S_2 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} - S_1 S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + \frac{S_2^2 - S_1^2}{2} \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right\} \\ \bar{v}(S_1, S_2, \cdot) \cdot \mathbf{t} + S_1 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_1 + S_2 \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{n}_2 = \chi(S_1, S_2) \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \end{cases}$$

Then the symmetric tensor  $\widehat{\mathbf{E}}$  (see (IV.3.7)) at the minimum is given by

$$(IV.4.20) \quad \widehat{\mathbf{E}}(\mathcal{R}, \mathcal{V}_S) = \begin{pmatrix} -\nu \widehat{\mathbf{E}}_{33}(\mathcal{R}, \mathcal{V}_S) & 0 & \frac{1}{2} \left( \frac{\partial \chi}{\partial S_1} - S_2 \right) \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \\ * & -\nu \widehat{\mathbf{E}}_{33}(\mathcal{R}, \mathcal{V}_S) & \frac{1}{2} \left( \frac{\partial \chi}{\partial S_1} + S_1 \right) \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \\ * & * & \widehat{\mathbf{E}}_{33}(\mathcal{R}, \mathcal{V}_S) \end{pmatrix},$$

where  $\widehat{\mathbf{E}}_{33}(\mathcal{R}, \mathcal{V}_S) = \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} - S_1 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 + S_2 \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1$ . Upon replacing  $\mathbf{E}$  by  $\widehat{\mathbf{E}}(\mathcal{R}, \mathcal{V}_S)$  in the expression of  $\mathcal{J}_{LS}$  and using (IV.4.16), we obtain that the minimum of the functional  $\mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \cdot)$  over the space  $\widetilde{\mathbf{W}}$  is equal to:

- if  $\kappa > 3$

$$\frac{EI_1}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 \right)^2 + \frac{EI_2}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right)^2 + \frac{\mu K}{4} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \right)^2 + \frac{E|\omega|}{2} \int_0^L \left( \frac{dU_E}{ds_3} \cdot \mathbf{t} \right)^2 - |\omega| \int_0^L \tilde{f} \frac{dU_E}{ds_3} \cdot \mathbf{t}$$

then, we immediately deduce that the minimum  $m_\kappa$  of the above quantity is obtained with  $\mathcal{U}_0 = \mathcal{R}_0 = 0$  and it is given by the minimum of the functional  $\mathcal{F}_{LS}$  defined by (IV.4.18).

- if  $\kappa = 3$

$$\begin{aligned} & \frac{EI_1}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 \right)^2 + \frac{EI_2}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right)^2 + \frac{\mu K}{4} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \right)^2 + \frac{|\omega|}{2} \int_0^L \tilde{f} \|\mathcal{R} \wedge \mathbf{t}\|_2^2 \\ & + \frac{E|\omega|}{2} \int_0^L \left( \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t} \right)^2 - |\omega| \int_0^L \tilde{f} \frac{d\mathcal{V}_S}{ds_3} \cdot \mathbf{t}, \end{aligned}$$

where the relation between  $\mathcal{V}_S$ ,  $\mathcal{U}$  and  $U_E$  is given by (IV.4.16). Now, if  $\tilde{f}(s_3) \geq 0$  for a.e.  $s_3 \in (0, L)$ , then the minimum  $m_3$  of the above quantity is obtained with  $\mathcal{U}_0 = \mathcal{R}_0 = 0$ .

We now prove that under the condition (IV.4.10) we still have  $\mathcal{U}_0 = \mathcal{R}_0 = 0$ . To this let  $(\mathcal{U}, \mathcal{R}, 0, \bar{v})$  (we have chosen  $\mathcal{V}_S = 0$ ) be in  $\mathbf{U}_{lin}$  and  $v_\delta$  be a sequence of admissible deformations given by Theorem (IV.3.1) such that

$$\mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, 0, \bar{v}) = \lim_{\delta \rightarrow 0} \frac{J(v_\delta) - J(I_d)}{\delta^{2\kappa}},$$

and

$$\|\mathbf{E}\|_{(L^2(\Omega))^{3 \times 3}} = \|\widehat{\mathbf{E}}\|_{(L^2(\Omega))^{3 \times 3}} = \lim_{\delta \rightarrow 0} \frac{1}{\delta^\kappa} \|\text{dist}(\nabla_x v_\delta, SO(3))\|_{L^2(\mathcal{P}_\delta)}.$$

In view of (IV.4.3), (IV.4.4), (IV.4.6) and (IV.4.8) we obtain

$$\left( \frac{\mu}{4} - \frac{C^*}{2} |\omega| \|f\|_{(L^2(0,L))^3} \right) \|\mathbf{E}\|_{(L^2(\Omega))^{3 \times 3}}^2 \leq \mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, 0, \bar{v}).$$

Now we choose  $\bar{v}$  as the minimizer of  $\mathcal{J}_{LS}(\mathcal{U}, \mathcal{R}, 0, \cdot)$  over  $\widetilde{\mathbf{W}}$  in the above inequality, it gives

$$\begin{aligned} & \left( \frac{\mu}{4} - \frac{C^*}{2} |\omega| \|f\|_{(L^2(0,L))^3} \right) \left[ \int_0^L (1 + 2\nu^2) \left\{ I_1 \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 \right)^2 + I_2 \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right)^2 \right\} + \frac{K}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \right)^2 \right] \\ & \leq \frac{EI_1}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 \right)^2 + \frac{EI_2}{2} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right)^2 + \frac{\mu K}{4} \int_0^L \left( \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \right)^2 + \frac{|\omega|}{2} \int_0^L \tilde{f} \|\mathcal{R} \wedge \mathbf{t}\|_2^2. \end{aligned}$$

It follows from the above analysis that the minimum  $m_3$  is obtained for  $\mathcal{U}_0 = \mathcal{R}_0 = 0$ . In both cases the minimum  $m_3$  is given by the minimum of the functional  $\mathcal{F}_{LS}$  defined by (IV.4.18).  $\square$

**Remark.** Still in the case where  $\kappa = 3$ , if  $f$  satisfies (IV.4.2) without any other assumption, the limit deformation is given by Theorem IV.2.2.

We assume  $\kappa = 3$ . Let us end this section with an interesting model obtained by superposing general forces given by (IV.1.5) denoted here by  $(f_\delta^0, g_\delta^0)$  and the special forces  $f_\delta^1$  described in (IV.4.2) satisfying the assumptions of this section ((IV.4.10) or (IV.4.13)). The corresponding total limit energy in this case is then

$$\left\{ \begin{array}{l} \forall (\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) \in \mathbf{U}_{lin}, \quad \mathcal{J}_{LG}(\mathcal{U}, \mathcal{R}, \mathcal{V}_S, \bar{v}) = \int_{\Omega} \left\{ \frac{\lambda}{2} (\text{tr}(\widehat{\mathbf{E}}))^2 + \mu \|\widehat{\mathbf{E}}\|^2 \right\} \\ - \int_0^L \left( |\omega| f^0 + \sum_{\alpha=1}^2 \int_{\omega} g^0(S_1, S_2, \cdot) S_{\alpha} \det(\mathbf{n}_1 | \mathbf{n}_2 | \frac{d\mathbf{n}_{\alpha}}{ds_3}) dS_1 dS_2 \right) \cdot \mathcal{U} \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_{\omega} g^0(S_1, S_2, \cdot) S_{\alpha} dS_1 dS_2 \right) \cdot (\mathcal{R} \wedge \mathbf{n}_{\alpha}) - |\omega| \int_0^L \tilde{f}^1 \frac{dU_E}{ds_3} \cdot \mathbf{t}. \end{array} \right.$$

Notice that the above energy leads to a linear limit model which couples the inextentional displacement, the torsion and the extentional displacement (see also (IV.4.24)).

The analysis of the present subsection and of Subsection IV.3 permits to state the following theorem.

**Theorem IV.4.3** *The functional  $\mathcal{J}_{LG}$  is the  $\Gamma$ -limit of  $\frac{J(\cdot) - J(I_d)}{\delta^6}$  in the sense of Theorem IV.4.1.*

Let  $(\mathcal{U}_0, \mathcal{R}_0, \mathcal{V}_{S,0}, \bar{v}_0)$  be a minimizer of the functional  $\mathcal{J}_{LG}$  over  $\mathbf{U}_{lin}$  and define  $U_{E,0} \in D_{E_x}$  by (IV.4.16). Then  $(\mathcal{U}_0, \mathcal{R}_0, U_{E,0})$  is the unique solution of the following minimization problem:

$$(IV.4.21) \quad m_3 = \mathcal{F}_{LG}(\mathcal{U}_0, \mathcal{R}_0, U_{E,0}) = \min_{(\mathcal{U}, \mathcal{R}, U_E) \in \mathbf{V}\mathbf{G}_{lin}} \mathcal{F}_{LG}(\mathcal{U}, \mathcal{R}, U_E),$$

where

$$\mathbf{V}\mathbf{G}_{lin} = \left\{ (\mathcal{U}, \mathcal{R}, U_E) \in (H^2(0, L))^3 \times (H^1(0, L))^3 \times D_{E_x} \mid \mathcal{U}(0) = \mathcal{R}(0) = 0, \quad U_E(0) = 0, \quad \frac{d\mathcal{U}}{ds_3} = \mathcal{R} \wedge \mathbf{t} \right\},$$

and

$$(IV.4.22) \quad \left\{ \begin{array}{l} \mathcal{F}_{LG}(\mathcal{U}, \mathcal{R}, U_E) = \frac{EI_1}{2} \int_0^L \left[ \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_2 \right]^2 + \frac{EI_2}{2} \int_0^L \left[ \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{n}_1 \right]^2 + \frac{\mu K}{4} \int_0^L \left[ \frac{d\mathcal{R}}{ds_3} \cdot \mathbf{t} \right]^2 \\ + \frac{E|\omega|}{2} \int_0^L \left[ \frac{dU_E}{ds_3} \cdot \mathbf{t} + \frac{1}{2} \left\| \frac{d\mathcal{U}}{ds_3} \right\|_2^2 \right]^2 \\ - \int_0^L \left( |\omega| f^0 + \sum_{\alpha=1}^2 \int_{\omega} g^0(S_1, S_2, \cdot) S_{\alpha} \det(\mathbf{n}_1 | \mathbf{n}_2 | \frac{d\mathbf{n}_{\alpha}}{ds_3}) dS_1 dS_2 \right) \cdot \mathcal{U} \\ - \sum_{\alpha=1}^2 \int_0^L \left( \int_{\omega} g^0(S_1, S_2, \cdot) S_{\alpha} dS_1 dS_2 \right) \cdot (\mathcal{R} \wedge \mathbf{n}_{\alpha}) - |\omega| \int_0^L \tilde{f}^1 \frac{dU_E}{ds_3} \cdot \mathbf{t} \end{array} \right.$$

$E$  is the Young's modulus and  $K$  is given in Theorem IV.1.3. Moreover we have

$$(IV.4.23) \quad \frac{dU_{E,0}}{ds_3} \cdot \mathbf{t} = -\frac{1}{2} \left\| \frac{d\mathcal{U}_0}{ds_3} \right\|_2^2 + \frac{2}{E} \tilde{f}^1$$

and the couple  $(\mathcal{U}_0, \mathcal{R}_0) \in \mathbf{V}_{lin}$  is the unique solution of the following variational problem:

$$(IV.4.24) \quad \left\{ \begin{array}{l} E \int_0^L \sum_{\alpha=1}^2 I_{3-\alpha} \left[ \frac{d\mathcal{R}_0}{ds_3} \cdot \mathbf{n}_{\alpha} \right] \left[ \frac{d\mathcal{R}_0}{ds_3} \cdot \mathbf{n}_{\alpha} \right] + \frac{\mu K}{2} \int_0^L \left[ \frac{d\mathcal{R}_0}{ds_3} \cdot \mathbf{t} \right] \left[ \frac{d\mathcal{R}_0}{ds_3} \cdot \mathbf{t} \right] + \frac{|\omega|}{2} \int_0^L \tilde{f}^1 \frac{d\mathcal{U}_0}{ds_3} \cdot \frac{d\mathcal{U}_0}{ds_3} \\ = \int_0^L \left( |\omega| f^0 + \sum_{\alpha=1}^2 \int_{\omega} g^0(S_1, S_2, \cdot) S_{\alpha} \det(\mathbf{n}_1 | \mathbf{n}_2 | \frac{d\mathbf{n}_{\alpha}}{ds_3}) dS_1 dS_2 \right) \cdot \mathcal{U} \\ + \sum_{\alpha=1}^2 \int_0^L \left( \int_{\omega} g^0(S_1, S_2, \cdot) S_{\alpha} dS_1 dS_2 \right) \cdot (\mathcal{R} \wedge \mathbf{n}_{\alpha}), \quad \forall (\mathcal{U}, \mathcal{R}) \in \mathbf{V}_{lin} \end{array} \right.$$

Indeed the remarks at the end of Sections IV.2 and IV.3 are still valid for the above chosen forces.

## V. Solutions of the non-linear minimization problem (IV.2.21)

The results of this subsection are limited to the case where the curved rod is fixed only on  $\Gamma_{0,\delta}$  (see Subsection II.2.4). As a consequence, the other extremity (for  $s_3 = L$ ) is free (or with little change submitted to a given load). For these boundary conditions, we replace the minimization problem (IV.2.21) by an integro-differential equation satisfies by  $\mathbf{R}$ . To do that, we write the minimization problem (IV.2.21) in terms of the unknown  $\mathbf{R}$ . We denote by  $\mathbf{G}$  the matrix of  $(L^2(0, L))^{3 \times 3}$  such that

$$\begin{aligned} \int_0^L \langle \mathbf{G}, \mathbf{R} - \mathbf{I}_3 \rangle &= \int_0^L \left( |\omega|f + \sum_{\alpha=1}^2 \int_{\omega} g(S_1, S_2, \cdot) S_{\alpha} \det(\mathbf{n}_1 \mid \mathbf{n}_2 \mid \frac{d\mathbf{n}_{\alpha}}{ds_3}) dS_1 dS_2 \right) \cdot (\mathcal{V} - M) \\ &+ \sum_{\alpha=1}^2 \int_0^L \left( \int_{\omega} g(S_1, S_2, \cdot) S_{\alpha} dS_1 dS_2 \right) \cdot (\mathbf{R} - \mathbf{I}_3) \mathbf{n}_{\alpha}, \end{aligned}$$

for any  $(\mathbf{R}, \mathcal{V}) \in \mathbf{V}_{min}$ , where  $\langle \cdot, \cdot \rangle$  is the inner product associated to the Frobenius norm over the space  $\mathbf{M}_3$ .

We set

$$\begin{aligned} \mathcal{A}_3 &= \left\{ \mathbf{A} \in (L^2(0, L))^{3 \times 3} \mid \mathbf{A}^T(s_3) = -\mathbf{A}(s_3) \text{ for a.e. } s_3 \in (0, L) \right\} \\ \mathcal{HS} &= \left\{ \mathbf{R} \in (H^1(0, L))^{3 \times 3} \mid \mathbf{R}(0) = \mathbf{I}_3 \text{ and for any } s_3 \in [0, L], \mathbf{R}(s_3) \in SO(3) \right\}. \end{aligned}$$

Let  $\mathbf{A}$  be a matrix belonging to  $\mathcal{A}_3$  and let  $\mathbf{R}_{\mathbf{A}}$  be the solution of the Cauchy's problem

$$(V.1) \quad \begin{cases} \mathbf{R}_{\mathbf{A}} \in (H^1(0, L))^{3 \times 3}, \\ \frac{d\mathbf{R}_{\mathbf{A}}}{ds_3}(s_3) = \mathbf{R}_{\mathbf{A}}(s_3) \mathbf{A}(s_3), \text{ for a.e. } s_3 \in (0, L), \\ \mathbf{R}_{\mathbf{A}}(0) = \mathbf{I}_3. \end{cases}$$

The map  $\mathbf{A} \mapsto \mathbf{R}_{\mathbf{A}}$  is one to one from  $\mathcal{A}_3$  onto  $\mathcal{HS}$ . An element  $\mathbf{R} \in \mathcal{HS}$  is associated to the element  $\mathbf{A} = \mathbf{R}^T \frac{d\mathbf{R}}{ds_3}$  of  $\mathcal{A}_3$ .

Taking into account the definition of  $\mathbf{G}$ , the minimum  $m_2$  is in fact the minimum of the functional

$$(V.2) \quad \mathcal{F}_{nl}(\mathbf{R}) = \frac{E}{2} \int_0^L \sum_{\alpha=1}^2 I_{\alpha} \left( \frac{d\mathbf{R}}{ds_3} \mathbf{t} \cdot \mathbf{R} \mathbf{n}_{\alpha} \right)^2 + \frac{\mu K}{4} \int_0^L \left( \frac{d\mathbf{R}}{ds_3} \mathbf{n}_1 \cdot \mathbf{R} \mathbf{n}_2 \right)^2 - \int_0^L \langle \mathbf{G}, \mathbf{R} - \mathbf{I}_3 \rangle$$

over the closed set  $\mathcal{HS}$ . In terms of  $\mathbf{A}$ ,  $m_2$  is also the minimum of the functional

$$(V.3) \quad \mathcal{G}(\mathbf{A}) = \frac{E}{2} \int_0^L \sum_{\alpha=1}^2 I_{\alpha} \left( \frac{d\mathbf{R}_{\mathbf{A}}}{ds_3} \mathbf{t} \cdot \mathbf{R}_{\mathbf{A}} \mathbf{n}_{\alpha} \right)^2 + \frac{\mu K}{4} \int_0^L \left( \frac{d\mathbf{R}_{\mathbf{A}}}{ds_3} \mathbf{n}_1 \cdot \mathbf{R}_{\mathbf{A}} \mathbf{n}_2 \right)^2 - \int_0^L \langle \mathbf{G}, \mathbf{R}_{\mathbf{A}} - \mathbf{I}_3 \rangle$$

over the space  $\mathcal{A}_3$ . In view of (V.1), we have

$$(V.4) \quad \mathcal{G}(\mathbf{A}) = \frac{E}{2} \int_0^L \sum_{\alpha=1}^2 I_{\alpha} \left( \mathbf{A} \mathbf{t} \cdot \mathbf{n}_{\alpha} \right)^2 + \frac{\mu K}{4} \int_0^L \left( \mathbf{A} \mathbf{n}_1 \cdot \mathbf{n}_2 \right)^2 - \int_0^L \langle \mathbf{G}, \mathbf{R}_{\mathbf{A}} - \mathbf{I}_3 \rangle.$$

In what follows we derive the first and the second derivatives of the last term in (V.4). By a standard calculation we show that for any matrices  $\mathbf{A}$  and  $\mathbf{B}$  in  $\mathcal{A}_3$  we have

$$\begin{aligned} \mathbf{R}_{\mathbf{A}+\mathbf{B}}(s_3) &= \mathbf{R}_{\mathbf{A}}(s_3) + \left( \int_0^{s_3} \mathbf{R}_{\mathbf{A}}(s) \mathbf{B}(s) \mathbf{R}_{\mathbf{A}}^T(s) ds \right) \mathbf{R}_{\mathbf{A}}(s_3) \\ &\quad + \left( \int_0^{s_3} \int_0^s \mathbf{R}_{\mathbf{A}}(t) \mathbf{B}(t) \mathbf{R}_{\mathbf{A}}^T(t) \mathbf{R}_{\mathbf{A}}(s) \mathbf{B}(s) \mathbf{R}_{\mathbf{A}}^T(s) dt ds \right) \mathbf{R}_{\mathbf{A}}(s_3) + O(\|\mathbf{B}\|_{(L^2(0,L))^{3 \times 3}}^3), \end{aligned}$$

as the consequence we obtain

$$\mathcal{G}(\mathbf{A} + \mathbf{B}) = \mathcal{G}(\mathbf{A}) + \mathcal{G}'(\mathbf{A})(\mathbf{B}) + \frac{1}{2} \mathcal{G}''(\mathbf{A})(\mathbf{B}, \mathbf{B}) + O(\|\mathbf{B}\|_{(L^2(0,L))^{3 \times 3}}^3),$$

where

$$(V.5) \quad \left\{ \begin{aligned} \mathcal{G}'(\mathbf{A})(\mathbf{B}) &= E \int_0^L \sum_{\alpha=1}^2 I_{\alpha}(\mathbf{A} \mathbf{t} \cdot \mathbf{n}_{\alpha})(\mathbf{B} \mathbf{t} \cdot \mathbf{n}_{\alpha}) + \frac{\mu K}{2} \int_0^L (\mathbf{A} \mathbf{n}_1 \cdot \mathbf{n}_2)(\mathbf{B} \mathbf{n}_1 \cdot \mathbf{n}_2) \\ &\quad - \int_0^L \langle \mathbf{G}(s_3), \left( \int_0^{s_3} \mathbf{R}_{\mathbf{A}}(s) \mathbf{B}(s) \mathbf{R}_{\mathbf{A}}^T(s) ds \right) \mathbf{R}_{\mathbf{A}}(s_3) \rangle ds_3 \\ \mathcal{G}''(\mathbf{A})(\mathbf{B}, \mathbf{B}) &= E \int_0^L \sum_{\alpha=1}^2 I_{\alpha}(\mathbf{B} \mathbf{t} \cdot \mathbf{n}_{\alpha})^2 + \frac{\mu K}{2} \int_0^L (\mathbf{B} \mathbf{n}_1 \cdot \mathbf{n}_2)^2 \\ &\quad - 2 \int_0^L \langle \mathbf{G}(s_3), \left( \int_0^{s_3} \int_0^s \mathbf{R}_{\mathbf{A}}(t) \mathbf{B}(t) \mathbf{R}_{\mathbf{A}}^T(t) \mathbf{R}_{\mathbf{A}}(s) \mathbf{B}(s) \mathbf{R}_{\mathbf{A}}^T(s) dt ds \right) \mathbf{R}_{\mathbf{A}}(s_3) \rangle ds_3. \end{aligned} \right.$$

In order to explicit the minimum of  $\mathcal{G}$ , we simplify the term involving the forces in  $\mathcal{G}'(\mathbf{A})(\mathbf{B})$ . We have

$$\begin{aligned} &\int_0^L \langle \mathbf{G}(s_3), \left( \int_0^{s_3} \mathbf{R}_{\mathbf{A}}(s) \mathbf{B}(s) \mathbf{R}_{\mathbf{A}}^T(s) ds \right) \mathbf{R}_{\mathbf{A}}(s_3) \rangle ds_3 \\ &= \int_0^L \langle \mathbf{G}(s_3) \mathbf{R}_{\mathbf{A}}^T(s_3), \left( \int_0^{s_3} \mathbf{R}_{\mathbf{A}}(s) \mathbf{B}(s) \mathbf{R}_{\mathbf{A}}^T(s) ds \right) \rangle ds_3. \end{aligned}$$

We integrate by parts the right hand side term in the above equality. This gives

$$\begin{aligned} &\int_0^L \langle \mathbf{G}(s_3), \left( \int_0^{s_3} \mathbf{R}_{\mathbf{A}}(s) \mathbf{B}(s) \mathbf{R}_{\mathbf{A}}^T(s) ds \right) \mathbf{R}_{\mathbf{A}}(s_3) \rangle ds_3 \\ &= \int_0^L \langle \left( \int_{s_3}^L \mathbf{G}(s) \mathbf{R}_{\mathbf{A}}^T(s) ds \right), \mathbf{R}_{\mathbf{A}}(s_3) \mathbf{B}(s_3) \mathbf{R}_{\mathbf{A}}^T(s_3) \rangle ds_3 \\ &= \int_0^L \langle \mathbf{R}_{\mathbf{A}}^T(s_3) \left( \int_{s_3}^L \mathbf{G}(s) \mathbf{R}_{\mathbf{A}}^T(s) ds \right) \mathbf{R}_{\mathbf{A}}(s_3), \mathbf{B}(s_3) \rangle ds_3. \end{aligned}$$

Using the fact that symmetric and antisymmetric matrices are orthogonal for the scalar product  $\langle \cdot, \cdot \rangle$ , we finally get for any matrix  $\mathbf{B} \in \mathcal{A}_3$

$$(V.6) \quad \left\{ \begin{aligned} \mathcal{G}'(\mathbf{A})(\mathbf{B}) &= E \int_0^L \sum_{\alpha=1}^2 I_{\alpha}(\mathbf{A} \mathbf{t} \cdot \mathbf{n}_{\alpha})(\mathbf{B} \mathbf{t} \cdot \mathbf{n}_{\alpha}) + \frac{\mu K}{2} \int_0^L (\mathbf{A} \mathbf{n}_1 \cdot \mathbf{n}_2)(\mathbf{B} \mathbf{n}_1 \cdot \mathbf{n}_2) \\ &\quad - \int_0^L \langle \mathbf{R}_{\mathbf{A}}^T(s_3) \left( \int_{s_3}^L \frac{1}{2} [\mathbf{G}(s) \mathbf{R}_{\mathbf{A}}^T(s) - \mathbf{R}_{\mathbf{A}}(s) \mathbf{G}^T(s)] ds \right) \mathbf{R}_{\mathbf{A}}(s_3), \mathbf{B}(s_3) \rangle ds_3. \end{aligned} \right.$$

The above derivations allow to prove the following theorem.

**Theorem V.1.** Let  $(\mathcal{V}_0, \mathbf{R}_0)$  be in  $\mathbf{V}_{nlin}$  and set  $\mathbf{A}_0 = \mathbf{R}_0^T \frac{d\mathbf{R}_0}{ds_3}$ . Then  $(\mathcal{V}_0, \mathbf{R}_0)$  is a solution of the minimization problem (IV.2.21) if and only if  $\mathbf{R}_0$  is a solution of the following integro-differential problem

$$(V.7) \quad \begin{cases} \mathbf{A}_0(s_3) \mathbf{n}_1(s_3) \cdot \mathbf{n}_2(s_3) = \frac{2}{\mu K} \mathbf{R}_0^T(s_3) \left( \int_{s_3}^L [\mathbf{G} \mathbf{R}_0^T - \mathbf{R}_0 \mathbf{G}^T] \right) \mathbf{R}_0(s_3) \mathbf{n}_1(s_3) \cdot \mathbf{n}_2(s_3) \\ \mathbf{A}_0(s_3) \mathbf{t}(s_3) \cdot \mathbf{n}_1(s_3) = \frac{1}{EI_1} \mathbf{R}_0^T(s_3) \left( \int_{s_3}^L [\mathbf{G} \mathbf{R}_0^T - \mathbf{R}_0 \mathbf{G}^T] \right) \mathbf{R}_0(s_3) \mathbf{t}(s_3) \cdot \mathbf{n}_1(s_3) \\ \mathbf{A}_0(s_3) \mathbf{t}(s_3) \cdot \mathbf{n}_2(s_3) = \frac{1}{EI_2} \mathbf{R}_0^T(s_3) \left( \int_{s_3}^L [\mathbf{G} \mathbf{R}_0^T - \mathbf{R}_0 \mathbf{G}^T] \right) \mathbf{R}_0(s_3) \mathbf{t}(s_3) \cdot \mathbf{n}_2(s_3). \end{cases}$$

Moreover, if

$$(V.8) \quad \|\mathbf{G}\|_{(L^2(0,L))^{3 \times 3}} < \frac{1}{L^{3/2}} \inf \left( EI_1, EI_2, \frac{\mu K}{2} \right)$$

the solution of the minimization problem (IV.2.21) is unique.

**Proof.** An element  $(\mathcal{V}_0, \mathbf{R}_0)$  of  $\mathbf{V}_{nlin}$  is a minimizer of (IV.2.21) only if  $\mathbf{A}_0$  is a minimizer of the functional  $\mathcal{G}$  given by (V.3). Hence, we have  $\mathcal{G}'(\mathbf{A}_0)(\mathbf{B}) = 0$  for any  $\mathbf{B} \in \mathcal{A}_3$ . In view of (V.5) and (V.6), the antisymmetric matrix  $\mathbf{A}_0$  satisfies

$$\begin{aligned} & E \int_0^L \sum_{\alpha=1}^2 I_\alpha (\mathbf{A}_0 \mathbf{t} \cdot \mathbf{n}_\alpha) (\mathbf{B} \mathbf{t} \cdot \mathbf{n}_\alpha) + \frac{\mu K}{2} \int_0^L (\mathbf{A}_0 \mathbf{n}_1 \cdot \mathbf{n}_2) (\mathbf{B} \mathbf{n}_1 \cdot \mathbf{n}_2) \\ &= \int_0^L \langle \mathbf{R}_0^T(s_3) \left( \int_{s_3}^L \frac{1}{2} [\mathbf{G}(s) \mathbf{R}_0^T - \mathbf{R}_0 \mathbf{G}^T] \right) \mathbf{R}_0(s_3), \mathbf{B}(s_3) \rangle ds_3, \quad \forall \mathbf{B} \in \mathcal{A}_3. \end{aligned}$$

This immediately gives (V.7).

Now we prove that the functional  $\mathcal{G}$  admits a unique minimizer, under the assumption (V.8). For any  $\mathbf{A} \in \mathcal{A}_3$  we get

$$\|\mathbf{A}\|_{(L^2(0,L))^{3 \times 3}}^2 = 2 \left\{ \|\mathbf{A} \mathbf{t} \cdot \mathbf{n}_1\|_{L^2(0,L)}^2 + \|\mathbf{A} \mathbf{t} \cdot \mathbf{n}_2\|_{L^2(0,L)}^2 + \|\mathbf{A} \mathbf{n}_1 \cdot \mathbf{n}_2\|_{L^2(0,L)}^2 \right\}.$$

From the expression (V.5) of  $\mathcal{G}''(\mathbf{A})(\mathbf{B}, \mathbf{B})$  and the above equality we have

$$(V.9) \quad \mathcal{G}''(\mathbf{A})(\mathbf{B}, \mathbf{B}) \geq \frac{1}{2} \left\{ \inf \left( EI_1, EI_2, \frac{\mu K}{2} \right) - L^{3/2} \|\mathbf{G}\|_{(L^2(0,L))^{3 \times 3}} \right\} \|\mathbf{B}\|_{(L^2(0,L))^{3 \times 3}}^2.$$

As a consequence of the above inequality, if  $\mathbf{G}$  satisfies (V.8) the functional  $\mathcal{G}$  is strictly convex, which insures the uniqueness of the minimizer  $\mathbf{A}_0$ .  $\square$

## Appendix. A few recalls on rotations

Let  $\mathbf{V}$  be a matrix belonging to  $SO(3)$ . The matrix  $\mathbf{V}$  is the matrix of a rotation  $\mathcal{R}_{\mathbf{a}, \theta}$  in  $\mathbb{R}^3$  where  $\mathbf{a}$  is a unit vector belonging to the axis of the rotation and where  $\theta$  belonging to  $[0, \pi]$  is the angle of rotation about this axis. The rotation is written as

$$\forall \mathbf{x} \in \mathbb{R}^3, \quad \mathcal{R}_{\mathbf{a}, \theta}(\mathbf{x}) = \cos(\theta) \mathbf{x} + (1 - \cos(\theta)) \langle \mathbf{x}, \mathbf{a} \rangle \mathbf{a} + \sin(\theta) \mathbf{a} \wedge \mathbf{x}.$$

We have

$$\|\mathbf{I}_3 - \mathbf{V}\| = 2\sqrt{2} \sin\left(\frac{\theta}{2}\right) \geq \frac{2\sqrt{2}}{\pi} \theta.$$

For all  $t \in [0, 1]$ , we denote by  $\mathbf{W}(t)$  the matrix of the rotation  $\mathcal{R}_{\mathbf{a}, t\theta}$ . The function  $t \rightarrow \mathbf{W}(t)$  belongs to  $(\mathcal{C}^1([0, 1]))^{3 \times 3}$  and satisfies

$$\mathbf{W}(0) = \mathbf{I}_3, \quad \mathbf{W}(1) = \mathbf{V}, \quad \mathbf{W}(t) \in SO(3), \quad \left\| \left\| \frac{d\mathbf{W}}{dt}(t) \right\| \right\| = \sqrt{2}\theta \leq 2\|\mathbf{I}_3 - \mathbf{V}\|, \quad t \in [0, 1].$$

Now, let  $\mathbf{U}_0$  and  $\mathbf{U}_1$  be two elements in  $SO(3)$ . We set

$$\mathbf{V} = \mathbf{U}_0^{-1}\mathbf{U}_1$$

and we consider the map

$$\mathbf{U}(t) = \mathbf{U}_0\mathbf{W}(t) \quad \text{for any } t \in [0, 1],$$

where  $\mathbf{W}(t)$  is defined above. We have built a path  $\mathbf{U} \in (\mathcal{C}^1([0, 1]))^{3 \times 3}$  such that

$$\begin{aligned} \mathbf{U}(0) &= \mathbf{U}_0, \quad \mathbf{U}(1) = \mathbf{U}_1, \quad \mathbf{U}(t) \in SO(3), \\ \left\| \left\| \frac{d\mathbf{U}}{dt}(t) \right\| \right\| &\leq 2\|\mathbf{U}_1 - \mathbf{U}_0\|, \quad t \in [0, 1]. \end{aligned}$$

**Lemma A.** *Let  $\mathbf{R}$  be in  $(H^1(0, L))^{3 \times 3}$  such that  $\mathbf{R}(0) = \mathbf{I}_3$  and such that for any  $s_3 \in [0, L]$  the matrix  $\mathbf{R}(s_3)$  belongs to  $SO(3)$ . There exists a sequence of matrices  $(\mathbf{R}_N)_{N \in \mathbb{N}^*}$  satisfying  $\mathbf{R}_N \in (W^{1, \infty}(0, L))^{3 \times 3}$ ,  $\mathbf{R}_N(0) = \mathbf{I}_3$  and for any  $s_3 \in [0, L]$  the matrix  $\mathbf{R}_N(s_3)$  belongs to  $SO(3)$  and moreover*

$$\mathbf{R}_N \longrightarrow \mathbf{R} \quad \text{strongly in } (H^1(0, L))^{3 \times 3}.$$

**Proof.** The matrix  $\mathbf{A} = \mathbf{R}^T \frac{d\mathbf{R}}{ds_3}$  is antisymmetric and belongs to  $(L^2(0, L))^{3 \times 3}$ . Let  $(\mathbf{A}_N)_{n \in \mathbb{N}}$  be a sequence of antisymmetric matrices such that

$$\mathbf{A}_N \in (\mathcal{C}([0, L]))^{3 \times 3} \quad \text{and} \quad \mathbf{A}_N \longrightarrow \mathbf{A} \quad \text{strongly in } (L^2(0, L))^{3 \times 3}.$$

Let  $\mathbf{R}_N$  ( $N \in \mathbb{N}$ ) be the solution of the Cauchy's problem

$$\begin{cases} \frac{d\mathbf{R}_N}{ds_3} = \mathbf{R}_N \mathbf{A}_N \\ \mathbf{R}_N(0) = \mathbf{I}_3 \end{cases}$$

We have  $\mathbf{R}_N \in (\mathcal{C}^1([0, L]))^{3 \times 3}$  and for any  $s_3 \in [0, L]$  the matrix  $\mathbf{R}_N(s_3)$  belongs to  $SO(3)$ . From the above strong convergence we deduce that

$$\mathbf{R}_N \longrightarrow \mathbf{R} \quad \text{strongly in } (H^1(0, L))^{3 \times 3}.$$

□

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