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### An MAS-Based ETL Approach for Complex Data

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**Abstract:** In a data warehousing process, the phase of data integration is crucial. Many methods for data integration have been published in the literature. However, with the development of the Internet, the availability of various types of data (images, texts, sounds, videos, databases...) has increased, and structuring such data is a difficult task. We name these data, which may be structured or unstructured, "complex data". In this paper, we propose a new approach for complex data integration, based on a Multi-Agent System (MAS), in association to a data warehousing approach. Our objective is to take advantage of the MAS to perform the integration phase for complex data. We indeed consider the different tasks of the data integration process as services offered by agents. To validate this approach, we have actually developed an MAS for complex data integration.

Keywords: Data integration, Complex data, ETL process, Multi-Agent Systems.

### 1 Introduction

The data warehousing and OLAP (On-Line Analytical Processing) technologies [Inm96, Kim96] are now considered mature in management applications, especially when data are numerical. With the development of the Internet, the availability of various types of data (images, texts, sounds, videos, databases...) has increased. These data, which may be structured or unstructured, are called "complex data". Structuring and exploiting these data is a difficult task and requires the use of efficient techniques and powerful tools to facilitate their integration into a data warehouse. It actually consists in Extracting and Transforming complex data before they are Loaded in the data warehouse (ETL process).

In this paper, we propose a new approach for complex data integration, based on a Multi-Agent System (MAS). Our approach consists in physically integrating complex data into a relational database (an ODS – Operating Data Storage) that we consider as a buffer ahead of the data warehouse. We are then interested in extracting, transforming and loading complex data into the ODS.

The aim of this paper is to take advantages of Multi-Agent Systems that are intelligent programs, composed of a set of agents, each one offering a set of services, to perform complex data integration. We can indeed assimilate the different tasks of the integration process, which is technically difficult, to services carried out by agents. Data extraction: This task is performed by an agent in charge of extracting data characteristics from complex data. The obtained characteristics are then transmitted to an agent responsible for data structuring. Data structuring: To perform this task, an agent deals with the organization of data according to a well-defined data model. Then, this model is transmitted to an agent responsible for data storage.

Data storage: This task is performed by an agent that feeds the database with the source data, using the model supplied by the data structuring agent.

In order to validate this approach, we have designed an MAS for complex data integration. This system is composed of a set of intelligent agents offering the different services that are necessary to achieve the integration process of complex data. It is based on an evolutionary architecture that offers a great flexibility. Our system indeed allows to update the existing services or to add/create new agents.

The remainder of this paper is organized as follows. Section 2 presents a state of the art regarding data integration approaches and agent technology. In Section 3, we present the issue of complex data integration and our approach. We explain the advantages of MASs in Section 4 and show why they are adapted to carry out this approach via our proposed architecture. Finally, we conclude this paper and present research perspectives in Section 5.

### 2 State of the art

We present in this section an overview of the techniques our proposal relies on, namely those regarding data integration, the ETL (Extracting, Transforming, and Loading) process, and Multi-Agent Systems.

### 2.1 Data integration

Nowadays, two main and opposed approaches are used to perform data integration over the Web.

In the mediator-based approach [Rou02], the different data remain located at their original sources. The user is provided an abstract view of the data, which represents distributed and heterogeneous data as if they were stored in a centralized and homogeneous system. The user's queries are executed through a mediator-wrapper system [GLR00]. A mediator reformulates queries according to the content of the various accessible data sources. A wrapper is data source-specific, and extracts the selected data from the target source. The major interest of this approach is its flexibility, since mediators are able to reformulate and/or

approximate queries to better satisfy the user. However, when the data sources are updated, modified data are lost, which is not pertinent in a decision support context where historicity is important.

On the opposite, in the data warehouse approach [Inm96, Kim96], all the data from the various data sources are centralized in a new database, the data warehouse. The multidimensional data model of a data warehouse is analysis-oriented: data represent indicators (measures) that can be observed according to axes of analysis (dimensions). A data warehouse actually characterizes and is optimized for one given analysis context. In a data warehouse context, data integration corresponds to the ETL process that accesses to, cleans and transforms the heterogeneous data before they are loaded in the data warehouse. This approach supports the dating of data and is tailored for analysis. However, refreshing a data warehouse is a complex and time-consuming task that implies running a whole ETL process again each time an update is required.

### 2.2 ETL process

The classical ETL process, as its name hints, proceeds in three steps [Kim96]. The first extraction phase includes understanding and reading the data source, and copying the necessary data in a buffer called the preparation zone. Then, the second transformation phase proceeds in several successive steps: clean the data from the preparation zone (syntactic errors, domain conflicts, etc.); discard some useless data fields; combine the data sources (by matching keys, for instance); create new keys

for dimensional records to avoid using keys that are specific to data sources; and build aggregates to optimize the more frequent queries. In this phase, metadata are essential to store the transformation rules and various correspondences. Eventually, the third *loading* phase stores the prepared data into multidimensional structures (data warehouse or data marts). It also usually includes an indexing phase to optimize later accesses.

### 2.3 Multi-Agent Systems

An agent software is a classical program that is qualified as "intelligent". Intelligent agents are used in many fields such as networks, on-board technologies, human learning... An intelligent agent is supposed to have the following intrinsic characteristics: intuitive – it must be able to take initiatives and to complete the actions that are assigned to it; reactive – it must be aware of its environment and act in consequence; sociable – it must be able to communicate with other agents and/or users [Klu01]. Moreover, agents may be mobile and can independently move through an acceptor network in order to perform various tasks.

A Multi-Agent System designates a collection of actors that communicate with each other [SZ96]. Each actor is able to offer specific services and has a well-defined goal. This introduces the concept of service: each agent is able to perform several tasks, in an autonomous way, and communicates the results to a receiving actor (human or software). The MAS must respect the programming standards defined by the FIPA (Foundation for Intelligent Physical Agents) [FIP02].

# 3 MAS-based approach for complex data ETL

## 3.1 Complex data integration approach

Data integration corresponds to the ETL phase in the data warehousing process. To achieve the integration of complex data, the traditional ETL approach is however not adapted. We present in this paper our approach to accomplish the extracting, transforming, and loading process on complex data in an original way.

In order to integrate complex data captured from the Web, for instance, into a decision support database such as a data warehouse, we have proposed a full modelling process (Figure 1). We first designed a conceptual UML model for a complex object representing a superclass of all the types of complex data we consider (text, multimedia documents, relational views from databases) [DBB02a]. The UML conceptual model is then directly translated into an XML schema (DTD or XML-Schema), which we view as a logical model. The last step in our (classical) modelling process is the production of a physical model in the form of XML documents that are stored in relational database. We consider this database as an ODS (Operational Data Storage), which is a data repository that is typically used in a traditional ETL process before the data warehouse proper is constituted. However, note that our objective is not only to store data, but also to truly prepare them for analysis.

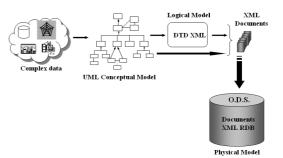


Figure 1: Classical modelling process for complex data integration

### 3.2 MAS-based prototype

The integration of complex data is more difficult than a classical ETL process. This technically difficult integration process requires a succession of tasks that we assimilate to services that may be carried out by agents. To effectively achieve this goal, we have designed an MAS-based prototype. Its architecture is presented in Figure 2. It is based on a platform of generic agents. We have instantiated five agents offering services that allow the integration of complex data. The purpose of this collection of agents is to perform several tasks. Each agent is able to offer specific services and has a well-defined goal.

The first main agent created in our prototype, *MenuAgent*, pilots the system, supervises agent migrations, and indexes the accessible sites from the platform. Some others default pilot agents help in the management of the agents and provide an interface for the agent development platform.

The essential of the integration process is achieved through services about collecting, structuring, generating and storing data, provided by the remaining agents we

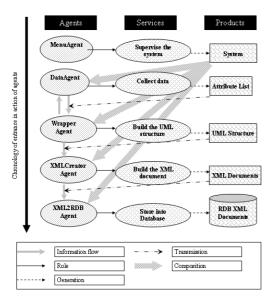


Figure 2: MAS-based ETL architecture for complex data integration

present in the next section.

To develop our prototype, we have built a platform using JADE version 2.61 [JAD02] and the Java language [Sun02], which is portable across agent programming platforms. The prototype is freely available online [BE03].

### 3.3 Complex data ETL

#### 3.3.1 Extracting

Recall that our modelling approach corresponds to the complex data integration process. The conceptual level helps the user selecting the data and establishing its analysis goals. The *Extraction* phase is thus carried out by the *DataAgent* agent that collects the data concerning the documents. This task consists in extracting the attributes of the complex object that has been se-

lected by the user. A particular treatment is applied, depending on the subdocument class (image, sound, etc.), since each subdocument class bears different attributes. The DataAgent agent uses three ways to extract the actual data: (1) it communicates with the user through graphical interfaces, allowing a manual capture of data; (2) it uses standard Java methods and packages; (3) it uses other ad-hoc automatic extraction algorithms [DBB+02b]. Our objective is to progressively reduce the number of manually-captured attributes and to add new attributes that would be useful for later analysis and that could be obtained with data mining techniques. This work is completed by the WrapperAgent agent that instantiates the UML structure based on the data supplied by the *DataAgent* agent.

### 3.3.2 Transforming

The logical level coincides with the *Transforming* phase. Our UML conceptual model is directly translated by the *XML-Creator* agent into an XML schema (DTD or XML-Schema), which we view as a logical model. XML is the format of choice for both storing and describing the data. The schema indeed represents the metadata. XML is also very interesting because of its flexibility and extensibility, while allowing straight mapping into a conventional database if strong structuring and retrieval efficiency are needed for analysis purposes.

### 3.3.3 Loading

The last level in our modelling process corresponds to the *Loading* phase. It consists in the production of a physical model in the

form of XML documents and their loading into a relational database. This is achieved by the XMLCreator and XML2RDBAgent The principle of the XMLCreagents. ator agent's service is to parse the XML schema recursively, fetching the elements it describes, and to write them into the output XML document, along with the associated values extracted from the original data, on the fly. Missing values are currently treated by inserting an empty element, but strategies could be devised to solve this problem, either by prompting the user or automatically. The XML documents obtained with the help of the XMLCreator agent are mapped into a relational database by the XML2RDBAgent agent. It operates in two steps. First, a DTD parser exploits our logical model (XML schema) to build a relational schema, i.e., a set of tables in which any valid XML document (regarding our DTD) can be mapped. To achieve this goal, we mainly used the techniques proposed by [ABK<sup>+</sup>00, KKR00]. Note that our DTD parser is a generic tool: it can operate on any DTD. It takes into account all the XML element types we need, e.g., elements with +, \*, or ? multiplicity, element lists, selections, etc. The last and easiest step consists in loading the valid XML documents into the previously build relational structure.

### 4 Justification

The variety of data types (images, texts, sounds, videos, databases...) increases the complexity of data. It is thus necessary to structure them in an "un-classical" way. Because data are complex, they necessitate more information. Furthermore, it is im-

portant to consider this information and to represent it in the form of metadata. Then, the choice of the XML formalism is fully justified. Since our proposal is based on a classical modelling process, it allows the user to determine what are his/her analysis objectives, to select how to represent the data and how to store them into a database. It constitutes a whole process permitting to carry out the integration of complex data. This is also the objective of the ETL process.

Our proposed process necessitates several tasks that must be performed, repetitively. These tasks are not necessarily sequential, and are assimilated to services offered by well-defined agents in a system intended to achieve such an integration process. With this goalin mind, we have developed a MAS-based prototype that is based upon a flexible and evolutive architecture on which we can updated services, and even create new agents to consider data refreshing, analysis and so on.

### 5 Conclusion and Perspectives

In this paper, we have proposed a new approach for complex data integration based on both the data warehouse technology and multi-agent systems. This approach is based on a flexible and evolutive architecture on which we can add, remove or modify services, and even create new agents. We then developed a MAS-based prototype that allows this integration with respect to the following three steps of the ETL process. Two agents named *DataAgent* and

WrapperAgent, respectively, model the input complex data into UML classes. The XMLCreator agent translates UML classes into XML documents that are mapped in a relational database by the XML2RDBAgent agent. Moreover, note that the different agents that compose our system are mobile and that the services they propose coincide with the ETL asks of the data warehousing process.

We plan to extend the services offered by our MAS-based prototype, especially for extracting data from their sources and analyzing them. For example, the *DataAgent* agent could converse with on-line search engines and exploit their answers. On the other hand, we could also create new agents in charge of modelling data multidimensionally in order to apply analysis methods such as OLAP or data mining.

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