

Journal of Neurology, Neurosurgery and Psychiatry, in press

BRAIN NETWORKS OF SPATIAL AWARENESS: EVIDENCE FROM DIFFUSION TENSOR IMAGING TRACTOGRAPHY

Marika Urbanski ^{1,2}, Michel Thiebaut de Schotten ^{1,2}, Sebastian Rodrigo³, Marco Catani ⁴,
Catherine Oppenheim ³, Emmanuel Touzé ⁵, Sylvie Chokron ⁶, Jean-François Méder ³,
Richard Lévy ^{1,2,7}, Bruno Dubois ^{1,2,7} & Paolo Bartolomeo ^{1,2,7}.

¹ INSERM-UPMC UMR S 610, Hôpital de la Salpêtrière, Paris, France

² IFR70, Hôpital de la Salpêtrière, Paris, France

³ Department of Neuroradiology, Hôpital Sainte-Anne, Paris, France

⁴ Section of Brain Maturation and Centre for Neuroimaging Science, Institute of Psychiatry, King's College London, SE5 8AF, UK

⁵ Department of Neurology, Hôpital Sainte-Anne, Paris, France

⁶ ERT TREAT VISION, CNRS UMR 5105 Paris & Grenoble, France

⁷ Department of Neurology, AP-HP, IFR 70, Hôpital de la Salpêtrière, Paris, France

Correspondence to: Marika Urbanski or to Paolo Bartolomeo, Inserm U610, G.H. Pitié Salpêtrière, 47 boulevard de l'Hôpital, 75013 PARIS, FRANCE, E-mail: marika.urbanski@gmail.com, or paolo.bartolomeo@chups.jussieu.fr. Telephone: +33 1 42 16 00 96, Fax: +33 1 42 16 41 95.

Key words: Unilateral Neglect, Spatial Attention, Diffusion MRI

Running title: Brain networks of spatial awareness

Word count: 1804 words.

Summary

Left unilateral neglect, a dramatic condition which impairs awareness of left-sided events, has been classically reported after right hemisphere cortical lesions involving the inferior parietal region. More recently, the involvement of long-range white matter tracts has been highlighted, consistent with the idea that awareness of events occurring in space depends on the coordinated activity of anatomically distributed brain regions. Damage to the superior longitudinal fasciculus (SLF), linking parietal to frontal cortical regions, or to the inferior longitudinal fasciculus (ILF), connecting occipital and temporal lobes, have been described in neglect patients. In this study four right-handed patients with right-hemisphere strokes were submitted to a high-definition anatomical MRI with diffusion tensor imaging (DTI) sequences and to a paper-and-pencil neglect battery. We used DTI tractography to visualize the SLF, the ILF and the inferior fronto-occipital fasciculus (IFOF), a pathway running in the depth of the temporal lobe, not hitherto associated with neglect. Two patients with cortical involvement of the inferior parietal and superior temporal regions, but intact and symmetrical fasciculi, showed no signs of neglect. The other two patients with signs of left neglect had superficial damage to the inferior parietal cortex and white matter damage involving the IFOF. These findings suggest that superficial damage to the inferior parietal cortex per se may not be sufficient to produce visual neglect. In some cases, a lesion to the direct connections between ventral occipital and frontal regions (i.e. IFOF) may contribute to the manifestation of neglect by impairing the top-down modulation of visual areas from frontal cortex.

Introduction

Left visual neglect is a frequent consequence of right hemisphere lesions, entailing a defective awareness for left-sided events. Lesions determining neglect often overlap on the temporo-parietal junction (TPJ)^{1,2}. Conflicting evidence, however, indicates lesions of more rostral parts of superior temporal gyrus (STG)^{3,4}. Signs of neglect can also occur after lesions of the ventrolateral prefrontal cortex (VLPFC),⁵ of the medial temporal lobe,² of the occipital lobe and the corpus callosum,⁶ or after damage to two major rostro-caudal brain pathways, the superior^{7,8} and inferior⁹ longitudinal fasciculi. Thus, rather than damage to single cortical modules, dysfunction of large cortical networks^{10,11} can be the crucial antecedent of neglect^{7,8,12-14}.

Diffusion tensor imaging (DTI) tractography can be used to track the long-range white matter pathways¹⁵ and then explore, in a standardized brain space, their relationships with the lesions found in stroke patients with standard, anatomical MRI. A recent meta-analysis¹³ of previous lesion overlapping studies demonstrated that the subcortical lesions of neglect patients invariably overlapped at or near the SLF. Disconnection between cortical modules might thus be a general mechanism of neglect¹². This possibility is also consistent with the results of monkey studies,^{16,17} rodent studies¹⁸ and of computer simulations of attention¹⁹. Here we describe four patients with strokes in the right hemisphere, two of whom showed signs of extrapersonal neglect on paper-and-pencil tests. We used DTI tractography to directly visualize the SLF, the ILF and the inferior fronto-occipital fasciculus (IFOF), a pathway running in the depth of the temporal lobe, not hitherto associated with neglect.

Methods

Four right-handed patients with right hemispheric vascular stroke gave written informed consent to participate to this study, which was approved by the ethics committee of the Hôtel-Dieu Hospital in Paris, France. Patients performed a paper-and-pencil neglect battery including tests of line

bisection, target cancellation, identification of overlapping figures and the copy of a landscape drawing (See Table 1 and the supplementary material for demographic and clinical data). MRI data were acquired using echo-planar imaging at 1.5T and diffusion tensor imaging (DTI) was acquired using 36 independent directions (full details of the MRI and DTI acquisition and processing are available in the supplementary material). Fibre tracking of the superior longitudinal fasciculus (SLF), inferior longitudinal fasciculus (ILF) and the inferior fronto-occipital fasciculus (IFOF) was performed with Brainvisa 3.0.2 (<http://brainvisa.info/>), using a two-regions of interest (ROIs) approach²⁰. The reconstructed tracts were displayed in 3D and the number of streamlines (a surrogate marker of tract volume) was counted for each fasciculus in both hemispheres (see Supplementary Material).

Table 1: Demographical and clinical data, with lesion location on structural MRI (see Supplementary Fig. 1)

Case	Lesion location	Clinical diagnosis		Visual Field	Gender / age / education (years of schooling)	Onset of illness (days)	Line bisection (% deviation)		Line cancellation (max 30 / 30)		Bells cancellation (max 15 / 15)		Letter cancellation (max 30 / 30)		Overlapping figures (max 10 / 10)		Landscape drawing (max 6)
		of neglect	diagnosis				Line	bisection	Line	cancellation	cancellation	cancellation	Letter	figures			
1	pl, STG, IPL, pMTOG	NO	NO	Normal	F / 45 / 14	9	-3.10	30 / 30	15 / 15	29 / 30	10 / 10	6					
2	pl, TP, STG, MTG, ITG	NO	NO	Normal	M / 60 / 14	5	+4.80	30 / 30	15 / 15	28 / 29	10 / 10	6					
3	Subinsular and temporal stem WM, BG, CR, IPL	YES	LE	LE	F / 59 / 10	9	+15.70*	29 / 30	0 / 6*	0 / 13*	6 / 10*	4.5*					
4	IPL, SPL, precuneus, cuneus, MTOG, pITG	YES	LH	LH	F / 80 / 17	729	+1.00	30 / 30	1 / 15*	9 / 28*	9 / 10*	3.5*					

pl, posterior part of the insula; STG, superior temporal gyrus; MTG, middle temporal gyrus; ITG, inferior temporal gyrus; IPL, inferior parietal lobule; SPL, superior parietal lobule; pMTOG, posterior part of the middle temporo-occipital gyrus; TP, temporal pole; WM, white matter; BG, basal ganglia; CR, corona radiata; LE, left extinction; LH, left hemianopia. * Pathological score^{20, 21}. For the line bisection test, the cumulated percentage of deviation from the true centre of all the lines was calculated, with rightward deviations carrying a positive sign and leftward deviations having a negative sign. For the cancellation tests and the overlapping figures test, the number of items cancelled (or identified) on each half of the page or of the central figure is reported. For the landscape copy, 2 points were assigned to the complete copy of the house and 1 point to the complete copy of each tree, 0.5 point were given to items whose only right half was copied, and 0 points to items completely omitted.

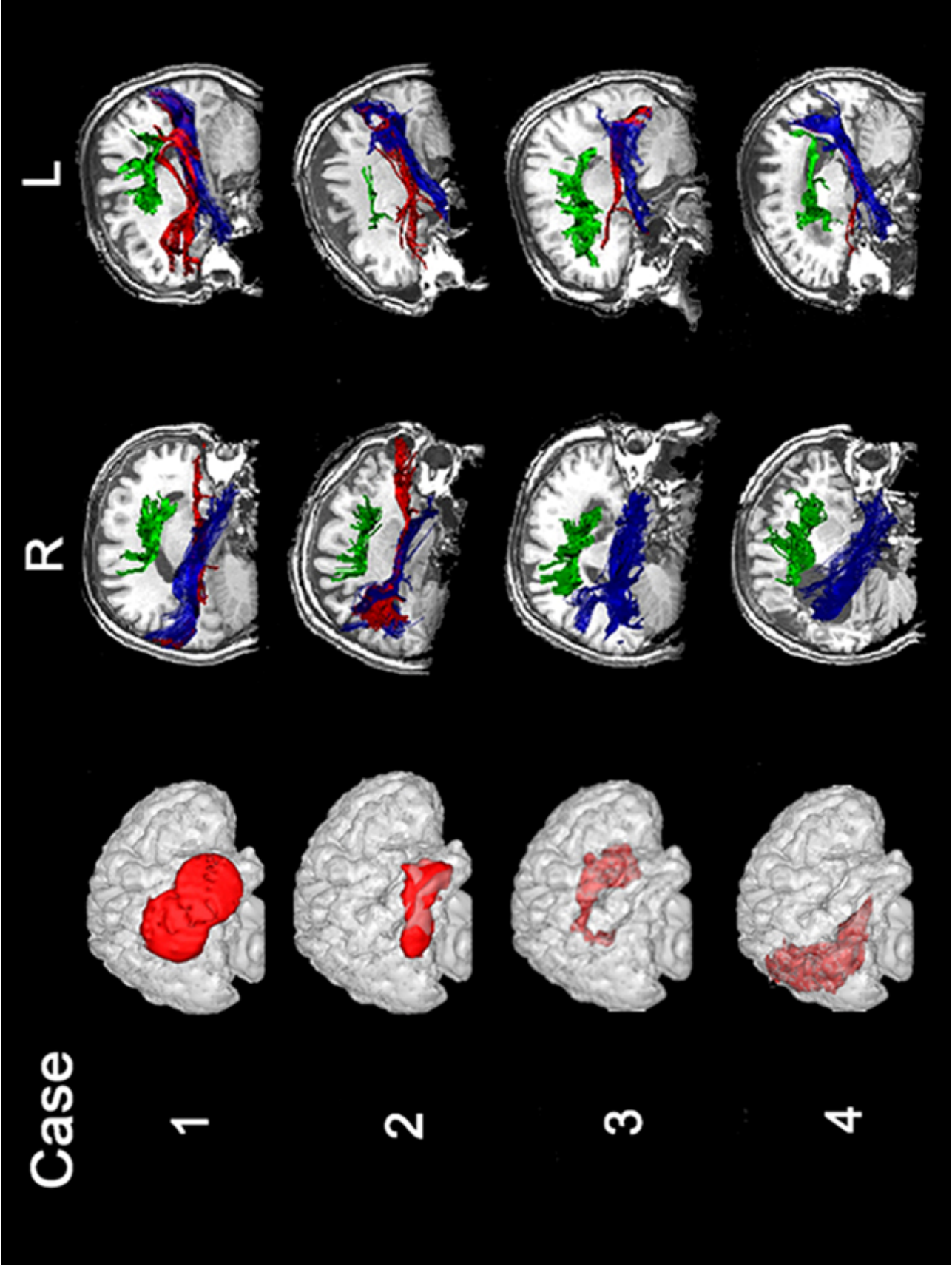


Figure 1: Three-dimensional anatomical reconstruction of the patients' lesions and lateral views (right hemisphere, R; left hemisphere, L) of the DTI tractography of the SLF (in green), the ILF (in blue) and the IFOF (in red) for the four patients studied. For each hemisphere, the three fasciculi are displayed on a T1 sagittal native MRI slice in the anterior/posterior commissure referential.

Results

Cases 1 and 2 demonstrated no signs of neglect on paper-and-pencil tests; cases 3 and 4 had signs of left neglect in more than three tests of the neglect battery (Table 1). Fig. 1 displays three-dimensional reconstructions of the lesions and DTI tractography (see also the supplementary material).

Case 1 displayed no signs of extinction or neglect on neuropsychological testing nine days after the onset of an ischemic stroke affecting both the inferior parietal and the superior temporal cortices, both of which has been considered as the crucial lesional correlate of neglect^{1, 4}. The tractography reconstruction visualized bilaterally intact SLF, IFOF and ILF.

Similarly, case 2 had no signs of extinction or neglect when assessed five days after clinical onset. The lesion involved the posterior part of the insula, the whole temporal pole and the superior, middle and inferior temporal gyri, including the temporo-parietal junction. Subcortical white matter was also affected, but long-range association tracts (SLF, IFOF and ILF) were intact.

Case 3 had left visual and tactile extinction and signs of severe left neglect with anosognosia. The lesion involved the subinsular and temporal stem white matter, the body of the caudate nucleus, the lenticular nucleus, the middle part of the corona radiata and the inferior parietal lobe with the underlying white matter. The tractography reconstruction showed intact ILF and SLF in both hemispheres, and complete absence of the right IFOF. At follow-up testing 34 and 41 days after clinical onset, case 3 still showed signs of left neglect (see Supplementary Material).

Case 4 had a right haemorrhagic occipital-parietal stroke. Two years after onset, she still had left hemiparesis and signs of left neglect. The lesion involved the inferior and superior parietal lobe with underlying white matter, the cuneus and precuneus, the middle temporo-occipital gyrus and the posterior part of the inferior temporal gyrus. The tractography reconstruction showed intact ILF and SLF and complete absence of the right IFOF.

Neither patient 1 nor 2 presented language deficits after stroke, which renders unlikely the

possibility of them having an unusual pattern of hemispheric lateralization.

The 2-ROIs approach to tractography dissections allows dissecting long-range pathways, but it may underestimate the involvement of more superficial (U-shaped) fronto-parietal connections. Hence, we have overlapped the lesions of the four patients to probabilistic maps of fronto-parietal connections as derived from a normative dataset (see Supplementary Fig. 2). This analysis showed that in all four subjects the lesions extended into superficial fronto-parietal connections, sparing deep long range SLF fibres.

Discussion

We used DTI-tractography to show direct evidence of disconnection of major rostro-caudal white matter pathways in neglect patients with vascular lesions. Previous studies demonstrating white-matter disconnection in neglect patients had relied on anatomical^{7, 9, 22} or functional¹⁴ MRI, and inferred the localization of tract lesion either from general anatomical knowledge,⁷ or from DTI in normal subjects⁹. Compared to previous attempts, the use of DTI tractography allowed us to identify more precisely the white matter pathways that were damaged in neglect patients.

The present results suggest that (1) complete damage of the IFOF can be associated with chronic visual neglect, and (2) cortical lesions sparing the SLF and IFOF, but damaging at least part of IPL and STG, two areas previously indicated as the critical cortical loci for spatial awareness,^{1, 4, 23} do not necessarily cause chronic visual neglect.

The limited number of subjects in this study do not allow us to generalise from these preliminary findings to the all neglect patients; nevertheless they do suggest that the neuroanatomical correlates of neglect may be more complex than previously thought and brings up important hypotheses on the role of direct connections between occipital and frontal lobes in spatial processing.

The involvement of the IFOF in left neglect has not been previously described. The IFOF connects the VLPFC and medial orbitofrontal cortex to the occipital lobe²⁰ and represents the only direct connection between occipital and frontal lobes in humans¹⁵. The inferior-lateral portion of the

frontal lobe, a cortical end-station of the IFOF, has been frequently associated with frontal neglect.²⁴ Lesions to the occipital origin of the IFOF have also been described in left neglect²⁴. Finally, as the central part of the IFOF runs in the stem of the temporal lobe, it is possible to hypothesise an occipito-frontal disconnecting mechanism in those neglect patients with large lesions of the temporal lobe²⁴. It remains to be seen whether a lesion of the IFOF per se is sufficient to cause neglect, without involvement of other cortical and subcortical regions. In our patients the inferior parietal cortex and the underlying U-shaped fibres were affected, which is in keeping with previous evidence from monkey studies¹⁶ and human patients^{7, 8, 13}. However, the extension into the deep white matter of parietal lobes is a factor that has not been considered before and future studies in larger series should clarify the relationship between clinical manifestations of neglect and extension of white matter lesions to fronto-parietal connections.

Interestingly, we observed that the two patients with IFOF lesion show little asymmetry of performance on the line cancellation test (i.e. a test without distracters), whereas they omitted most contralateral targets on the bells and letter cancellation tests. In the latter tests a target/distracter discrimination is required, an additional factor that neglect patients with predominantly frontal lesion seem to find particularly difficult⁵. IFOF disconnection may deafferent the ventral frontal cortex from more posterior sources of visual input, related, for example, to object identification. In the monkey, neuron populations in the lateral prefrontal cortex respond both to the location and to the identity of previously presented visual objects, thus allowing the integration of "what" and "where" information²⁵. Regions in the human VLPFC, which constitute a projection site for the IFOF, show lateral selectivity in the short-time retention of spatial information²⁶ and may be important to resolve perceptual ambiguity²⁷. Damage to these regions in the right hemisphere may bias towards the right the mental reconstruction of a number line²⁸. Furthermore, the right VLPFC is a cortical endpoint of the ventral spatial attentional network, which is important for the response to previously unattended targets, and whose dysfunction leads to neglect behavior¹⁴. The right

VLPFC may represent a convergence zone of three streams of visual processing: (1) the occipito-temporal stream, dedicated to object processing,^{29, 30} through the IFOF and the uncinate fasciculus,³¹ (2) the ventral parieto-frontal attentional network,¹⁴ presumably connected by the human homologue of the third branch of the SLF (described in the monkey by Schmahmann and Pandya³²) and (3) the dorsal parieto-frontal attentional network,¹⁴ linked by the human homologue of the second branch of the SLF^{8, 32}.

In conclusion these preliminary findings suggest that neglect is a syndrome with a heterogeneous clinical presentation and complex anatomical correlates, where damage to fronto-parietal and possibly occipito-frontal connections may impair at different levels visuo-spatial processing.

Acknowledgments

We thank the patients for their cooperation and the staff of BrainVISA software (www.brainvisa.info) for technical support for image analysis.

References

1. Vallar G. Extrapersonal visual unilateral spatial neglect and its neuroanatomy. *Neuroimage* 2001;14(1 Pt 2):S52-S58.
2. Mort DJ, Malhotra P, Mannan SK, Rorden C, Pambakian A, Kennard C, et al. The anatomy of visual neglect. *Brain* 2003;126(Pt 9):1986-1997.
3. Committeri G, Pitzalis S, Galati G, Patria F, Pelle G, Sabatini U, et al. Neural bases of personal and extrapersonal neglect in humans. *Brain* 2007;130(2):431-441.
4. Karnath H-O, Ferber S, Himmelbach M. Spatial awareness is a function of the temporal not the posterior parietal lobe. *Nature* 2001;411(6840):950-963.
5. Husain M, Kennard C. Distractor-dependent frontal neglect. *Neuropsychologia* 1997;35(6):829-841.
6. Park KC, Lee BH, Kim EJ, Shin MH, Choi KM, Yoon SS, et al. Deafferentation-disconnection neglect induced by posterior cerebral artery infarction. *Neurology* 2006;66(1):56-61.
7. Doricchi F, Tomaiuolo F. The anatomy of neglect without hemianopia: a key role for parietal-frontal disconnection? *NeuroReport* 2003;14(17):2239-2243.
8. Thiebaut de Schotten M, Urbanski M, Duffau H, Volle E, Lévy R, Dubois B, et al. Direct evidence for a parietal-frontal pathway subserving spatial awareness in humans. *Science* 2005;309(5744):2226-2228.
9. Bird CM, Malhotra P, Parton A, Coulthard E, Rushworth MF, Husain M. Visual neglect following right posterior cerebral artery infarction. *Journal of Neurology, Neurosurgery and Psychiatry* 2006;77:1008-1012.
10. Mesulam MM. A cortical network for directed attention and unilateral neglect. *Annals of Neurology* 1981;10:309-325.
11. Heilman KM, Watson RT, Valenstein E. Neglect and related disorders. In: Heilman KM, Valenstein E, editors. *Clinical Neuropsychology*. 3rd ed. New York: Oxford University Press; 1993. p. 279-336.
12. Bartolomeo P. A parieto-frontal network for spatial awareness in the right hemisphere of the human brain. *Archives of Neurology* 2006;63:1238-1241.
13. Bartolomeo P, Thiebaut de Schotten M, Doricchi F. Left unilateral neglect as a disconnection syndrome. *Cerebral Cortex* 2007;doi: 10.1093/cercor/bhl181.
14. He BJ, Snyder AZ, Vincent JL, Epstein A, Shulman GL, Corbetta M. Breakdown of functional connectivity in frontoparietal networks underlies behavioral deficits in spatial neglect. *Neuron* 2007;53(6):905-918.
15. Catani M. Diffusion tensor magnetic resonance imaging tractography in cognitive disorders. *Current Opinion in Neurology* 2006;19(6):599-606.
16. Gaffan D, Hornak J. Visual neglect in the monkey. Representation and disconnection. *Brain* 1997;120(Pt 9):1647-1657.
17. Buschman TJ, Miller EK. Top-down versus bottom-up control of attention in the prefrontal and posterior parietal cortices. *Science* 2007;315(5820):1860-1862.
18. Reep RL, Corwin JV, Cheatwood JL, Van Vleet TM, Heilman KM, Watson RT. A rodent model for investigating the neurobiology of contralateral neglect. *Cognitive and Behavioral Neurology* 2004;17(4):191-194.
19. Di Ferdinando A, Parisi D, Bartolomeo P. Modeling orienting behavior and extinction with "ecological" neural networks. *Journal of Cognitive Neuroscience* 2007;19(6).
20. Catani M, Howard RJ, Pajevic S, Jones DK. Virtual in vivo interactive dissection of white matter fasciculi in the human brain. *Neuroimage* 2002;17(1):77-94.
21. Bartolomeo P, D'Erme P, Gainotti G. The relationship between visuospatial and representational neglect. *Neurology* 1994;44:1710-1714.

22. Rousseaux M, Beis JM, Pradat-Diehl P, Martin Y, Bartolomeo P, Chokron S, et al. Normalisation d'une batterie de dépistage de la négligence spatiale. Etude de l'effet de l'âge, du niveau d'éducation, du sexe, de la main et de la latéralité [Presenting a battery for assessing spatial neglect. Norms and effects of age, educational level, sex, hand and laterality]. *Revue Neurologique* 2001;157:1385-1401.
23. Leibovitch FS, Black SE, Caldwell CB, Ebert PL, Ehrlich LE, Szalai JP. Brain-behavior correlations in hemispatial neglect using CT and SPECT: the Sunnybrook Stroke Study. *Neurology* 1998;50(4):901-908.
24. Karnath H-O, Fruhmann Berger M, Kuker W, Rorden C. The anatomy of spatial neglect based on voxelwise statistical analysis: a study of 140 patients. *Cerebral Cortex* 2004;14(10):1164-1172.
25. Husain M, Kennard C. Visual neglect associated with frontal lobe infarction. *Journal of Neurology* 1996;243(9):652-657.
26. Rao SC, Rainer G, Miller EK. Integration of what and where in the primate prefrontal cortex. *Science* 1997;276(5313):821-824.
27. Rizzuto DS, Mamelak AN, Sutherling WW, Fineman I, Andersen RA. Spatial selectivity in human ventrolateral prefrontal cortex. *Nature Neuroscience* 2005;8(4):415-417.
28. Sterzer P, Kleinschmidt A. A neural basis for inference in perceptual ambiguity. *Proceedings of the National Academy of Sciences of the United States of America* 2007;104(1):323-328.
29. Doricchi F, Guariglia P, Gasparini M, Tomaiuolo F. Dissociation between physical and mental number line bisection in right hemisphere brain damage. *Nature Neuroscience* 2005;8(12):1663-1665.
30. Mishkin M, Ungerleider LG, Macko KA. Object vision and spatial vision: Two cortical pathways. *Trends in Neurosciences* 1983;6:414-417.
31. Milner AD, Goodale MA. *The Visual Brain in Action*. Oxford: Oxford University Press; 1995.
32. Schmahmann JD, Pandya DN. *Fiber Pathways of the Brain*. New York: Oxford University Press; 2006.

The Corresponding Author has the right to grant on behalf of all authors and does grant on behalf of all authors, an exclusive licence (or non exclusive for government employees) on a worldwide basis to the BMJ Publishing Group Ltd and its Licensees to permit this article (if accepted) to be published in JNNP and any other BMJ PGL products to exploit all subsidiary rights, as set out in our licence (<http://jnnp.bmj.com/ifora/licence.pdf>).