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# A Model-Based Approach for Executable Specifications on Reconfigurable Hardware

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## Abstract

*UML 2.0 provides a rich set of diagrams for systems documentation and specification. Many efforts have been undertaken to employ different aspects of UML for multiple domains, mainly in the area of software systems. Considering the area of electronic design automation, however, we currently see only very few approaches, which investigate UML for hardware design and hardware/software co-design. In this article, we present an approach for executable UML closing the gap from system specification to its model-based execution on reconfigurable hardware. For this purpose, we present our Abstract Execution Platform (AEP), which is based on a Virtual Machine running an executable UML subset for embedded software and reconfigurable hardware. This subset combines UML 2.0 Class, StateMachine and Sequence Diagrams for complete system specification. We describe how these binary encoded UML specifications can be directly executed and give the implementation of such a virtual machine on a Virtex II FPGA. Finally, we present evaluation results comparing the AEP implementation with C code on a C167 microcontroller.*

## 1. Introduction

We currently can identify multiple gaps in the design of embedded and electronic systems, from specification to a first implementation. In current practice, all approaches follow a platform-specific code generation. For most tools, various code generator targets are available for different microcontrollers such as C167 and different Real-Time Operating Systems (RTOSs) like OSEK [10]. There have been many efforts to investigate retargetable compilers to easily adopt them to different hardware platforms [7].

Most recently, the MDA approach (Model-Driven Architecture) [17] became well recognized in the domain of embedded software and hardware systems. MDA is based on the idea of platform-independent development

with platform-independent models (PIMs). PIMs have to be mapped to platform-specific models (PSMs), which are used for the actual implementation. In that context, the notion of Executable UML plays a significant role, as it enables a UML-based PSM to become executable.

We introduce a novel approach to efficiently execute specifications on FPGAs. The specifications are defined by an UML 2.0 subset with precise behavioral semantics executing on our Abstract Execution Platform (AEP). Our UML subset covers Classes, StateMachines, and Interactions (given as Sequence Diagrams) with software exceptions, interrupts, and timeouts. We apply a virtual machine concept to implement the AEP on a FPGA. The AEP virtual machine directly executes binary encoded specifications, which resemble the object-oriented structure of the UML specification and combine efficient execution of state-transition tables with Activities, and Actions compiled to a Motorola 68K-like bytecode with object-oriented extensions.

The remainder of this paper is structured as follows. The next section discusses related works. Section 3 introduces the UML subset defining syntax and semantics for AEP specifications. Section 4 presents the concept of direct execution of specifications on a virtual machine. Section 5 introduces our implementation on a FPGA before Section 6 closes with summary and conclusion.

## 2. Related Works

Based on Starr's approach to executable UML [15], which is mainly based on class and state diagrams, Project Technology developed  $x_T$ UML [12] as an executable and translatable UML subset for embedded real-time systems in the areas of flight-critical systems, performance-critical fault-tolerant telecom systems, and resource-constrained consumer electronics. Their Nucleus Modeling tools basically integrate abstract, macro-like constructs, which are easily retargetable to the various C-dialects of different microcontroller platforms. A second approach to executable UML is xUML [19], which also includes a

complete development methodology. The concept of xUML is based on the Action Specification Language (ASL), which defines the behavioral semantics of active objects for code generation. ASL has been integrated into the OMG-adopted UML Standard for precise action semantics [16]. However, for creating an executable model, xUML still relies on a programming language-specific code generation (e.g., for Ada, C, C++).

In the area of platform independent execution, the most prominent approaches to portable code are the UCSD Pascal p-Code and the bytecode of the Java Virtual Machine [8]. The Java compiler generates low-level bytecode, which is executed on a Java Virtual Machine (VM). Though Java was originally designed for embedded systems, due to the large footprint of its runtime environment and the garbage collection memory management, the Java2 Standard Edition is not suited for small microcontrollers and real-time applications. Though, there exist Java profiles of the Java2 Micro Edition for limited devices like the CLDC (Connected Limited Device Configuration) and the CDC (Connected Device Configuration), we currently see no VM implementations for microcontrollers and no support for Real-Time Java for those platforms..

Considering execution on FPGAs, the Hardware Virtual Machine project targets at the specification of an abstract FPGA to overcome the problem of incompatible bit files. Designs for abstract FPGAs are automatically transformed into a bit file for a specific FPGA. The transformation is based on an automated creation of the design for the target FPGA from small fragments, which are then subject to place and route.

Lange and Kobschull introduce the idea of a virtual machine for abstracting hardware implementations from particular FPGA types [6]. The approach is based on the execution of a binary encoded register transfer level description of the logic that is referred to as bytecode. The bytecode is composed of blocks of instructions that are scheduled into multiple equal execution units within the actual virtual machine implementation. This virtual machine implementation is specific for a particular FPGA and may vary in the number of execution units. However, their bytecode describes low level hardware designs comparable with a direct FPGA implementation. High level constructs like control structures are not supported.

Our approach presents a runtime environment for FPGAs, which directly executes the binary representation of a platform independent UML specification. In contrast to other approaches, our concepts implement a completely model-based approach for the specification of real-time systems covering interrupts and timeouts. In contrast, to the Java Virtual Machine, we provide explicit separation of concerns by integrating StateMachines and Sequence Diagrams into one language. Hereby, the user has explicit

control on the use of efficiently running control-oriented StateMachine and data-oriented Sequence Diagrams. Additionally, our approach supports object-orientation at the bytecode level, where the Java compiler elaborates the object-oriented constructs before the bytecode generation. To our knowledge, our work presents the first real model-based approach, which generates binaries from UML for FPGA runtime environments.

### 3. Executing UML Models

Our approach is based on the concept of the Abstract Execution Platform (AEP). The AEP provides syntax and semantics for complete system specification based on a well-defined executable UML subset with precise execution semantics. Actual implementations of the AEP may apply inherently different techniques to implement the AEP semantics. Section 4 describes our AEP implementation given by a virtual machine on an FPGA. However, the AEP can also be implemented as a complete software solution, e.g., on embedded microcontrollers.

The behavioral part of the UML is based on the concepts of StateMachines, Activities, and Interactions. StateMachines invoke Activities when executing states or state transitions as Entry, Do, Exit, or Effect Activities, which in turn are composed of Actions. Transitions of StateMachines fire when an event occurs. Interactions are based on the concepts of partially ordered traces and are represented by Sequence Diagrams.

Our concepts for executable UML are based on a UML 2.0 subset covering Class-, StateMachine-, and Sequence Diagrams. System specification is based on the definition of a Class Diagram with classes, their properties, and their operations. In contrast to other approaches, we consider each operation as a StateMachine and use Sequence Diagrams as an action language for describing the Activities. Note that the case of a trivial StateMachine (i.e., a single state with a single Activity) equals to the use of Sequence Diagrams for the definition of an operation.

We support StateMachines with composite and simple states. Concurrent States are intentionally not supported, because concurrency is already supported through asynchronous operations that may be described by StateMachines. For events, we support timeout, interrupts, exceptions, explicit events, and the completion of the embedded Activities/Actions. Fig. 1 gives a simple controller with interrupts and timeouts as an example. Interactions are embedded as Activities and have one active object representing the currently activated instance. The Activity is described by the sequence of outgoing invocations from that active object. An example is given in Fig. 3 and will be further discussed in the next section. Asynchronous operation calls are instantiations of StateMachines. This leads to a dynamic composition of

multiple concurrent hierarchical StateMachines along the operation call hierarchy. Thus, state- and control-oriented modeling can be seamlessly combined following a fully object-oriented reactive concurrent system designs.

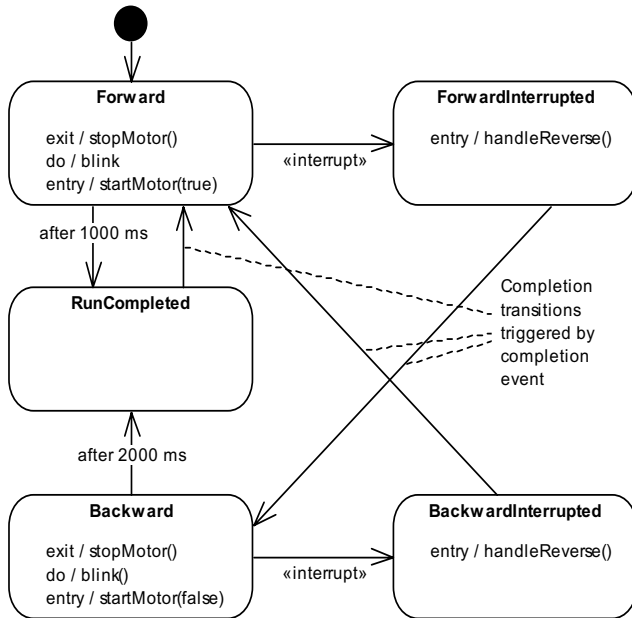


Fig. 1: StateMachine Example

#### 4. The AEP Virtual Machine

Our UML specifications are compiled to equivalent binary representations, which are directly executed on our Abstract Execution Platform (AEP). The AEP is realized as a virtual machine that can be implemented on different platforms, e.g., completely in software or directly in hardware on an FPGA.

In their binary specifications, StateMachines are encoded as binary state-transition tables, which we denote as *Executable State Machines (ESMs)*. Interactions defining Activities are compiled into equivalent *UML bytecode*.

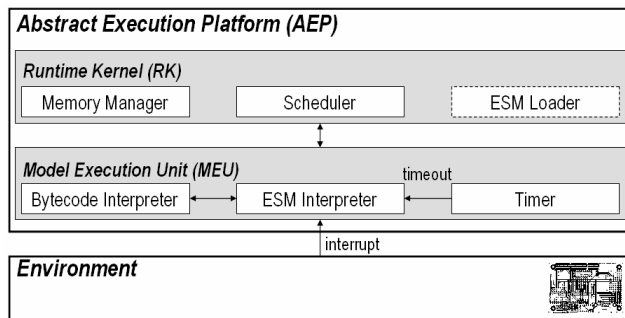


Fig. 2: AEP Virtual Machine - Architecture

The AEP virtual machine consists of the *Model Execution Unit (MEU)* and the *Runtime Kernel (RK)* as given in Fig. 2. The *RK* is an executable specification that on the hand is used for bootstrapping including initialization of the initial executable specification and on the other hand implements memory management, thread scheduling. The *RK* is executed by the *MEU*, which is composed of two interacting interpreters for the different parts of the binary UML representation; one for the Executable StateMachines (ESM) and another one for the Bytecode. A separate timer manages hard timeouts.

The *ESM Interpreter* performs immediate state transitions when events occur. Currently, five pre-defined events are supported: Completion, Interrupt, Timeout, Division-by-Zero, and Memory-Overflow. When a state is entered or a transition executed, the interpreter first checks, if an Activity in form of an Interaction with bytecode is defined. If there is bytecode in the embedded Activity, the bytecode interpreter is invoked. A generated bytecode sequence typically finishes with a COMPLETE instruction, which generates a completion event. If no Activity is defined or when the final state is reached, an immediate completion event is generated.

The *Bytecode Interpreter* executes the Bytecode in a microprocessor-like manner using an instruction pointer and a 32 bit word stack for program control. To provide a hardware-independent execution platform, the interpreter has no registers. All variables are managed on the stack.

Our UML bytecode is based on an instruction set derived from the Motorola 68K family [14], which is extended for the control of the ESM interpreter and the handling of classes and their instances (cf. Table 1).

Classes and interfaces are identified in our approach at runtime using unique identifiers. This allows late binding and lays the foundation for a software component architecture based on our approach like in COM [11].

Each class is a single block of binary data in memory. It essentially consists of the unique class identifier (the CLASSID), a function table for the implemented operations, an absolute pointer to the base class in memory (if any), and a reference to the linked list of implemented interfaces. Each of the interfaces, like the class itself, has a table with references to the actual method implementations. All absolute references are resolved by the RK class loader when loading a class.

Because static class attributes are private in our approach, subclasses must use operations to access inherited attributes. Thus, there is no need to distinguish between local and inherited attributes, which in turn simplifies the address management. The attributes are trailing to the actual base address of the class to enable consistent access to the operation table of a class and its subclasses. Much like a C++ vtable (virtual function table), this table grows in subclasses when appending

subclass operations. It is worth noting here, that in our approach, all non-private operations are technically virtual and can be overridden in subclasses. Private operations are directly resolved by the bytecode compiler and compiled into subroutines of the bytecode. Thus, these operations are not represented in those tables.

The table for each class includes three basic operations. These are the base constructor for filling the fields of an instance and two operations for initializing and finalizing the class when it is loaded or unloaded.

There is no need for code relocation in our approach, since the bytecode for an operation contains only relative branches. Thus, the code is completely relative except for operation invocations. Absolute instance and class references are used for such invocations, but are obtained during runtime.

Our bytecode introduces instructions to support object instantiation and destruction as well as operation invocations. The NEW instruction creates a new object allocating dynamic memory for the instance. The DESTROY instruction releases dynamically allocated instance memory. INVOKE\* and FORK/JOIN execute synchronous and asynchronous operation calls using an operation identifier (the actual offset in the operation table) and the instance or interface as parameters. Synchronization is implemented by the SYNC and RELEASE instructions for obtaining and releasing a mutex semaphore on an instance. The TRYSYNC instruction is a non-blocking variant of SYNC.

Three additional instructions provide explicit ESM control. The TRANS instruction performs an immediate transition to an explicitly given new state with all side effects. The EVENT instruction causes the ESM interpreter to process the event in the context of the current state hierarchy. COMPLETE causes the immediate execution of the completion transition for the current state.

Finally, the CONTINUE instruction explicitly changes the execution context to the specified thread. The state of the current thread is saved on the stack before the state of the new thread is restored from the stack. This new thread will be passed to the RK scheduler implementation for immediate schedule for execution.

A called operation uses the stack to create local variables and hold temporary values during nested computations. For this, the bytecode interpreter distinguishes three address spaces on the stack denoted as *frames*, which are created when invoking an operation (cf. Fig. 4). The *Parameter Frame* holds all input and output parameters. Output parameters are pushed on the stack with their default values. The *Local Frame* is for local variables and temporary data. Both frames have fixed sizes, which can be computed at compile time. The *Pass Frame* holds the designated parameters for a suboperation

call. That frame is dynamically expanded when additional parameters are pushed on the stack. The pass frame becomes the parameter frame during the invocation of that suboperation. It is extended with data for restoring the current state upon return, a reference to the parent state and, for non-static invocations, the reference to the called *this* instance.

An additional local address space is provided for accessing local instance attributes. All instructions fetching and storing stack data refer to one of the three stack frames or this local address space. This guarantees full memory protection, but comes at the cost of a significant overhead in stack use during operation invocations as address and size of parameter and local frames have to be saved when invoking an operation.

Mnemonic	Dst	Src	Semantics
<i>Data Movement &amp; Stack Instructions</i>			
move. [b,l,d]	mem	mem/im	Dst ← Src
push. [b,l,d]	mem		[-sp] ← Dst
pop. [b,l,d]	mem		Dst ← [sp+]
enter	imm		(Initialize local frame)
<i>Math Instructions</i>			
add. [b,l,d]	mem	mem/im	Dst ← Dst + Src
sub. [b,l,d]	mem	mem/im	Dst ← Dst - Src
neg. [b,l,d]	mem		Dst ← 0 - Dst
mul. [l,d]	mem	mem/im	Dst ← Dst * Src
div. [l,d]	mem	mem/im	Dst ← Dst / Src
mod. [l,d]	mem	mem/im	Dst ← Dst % Src
cmp. [b,l,d]	mem	mem/im	[Flags according]
<i>Logical Instructions</i>			
not. [b,l,d]	mem		Dst ← ~Dst
and. [b,l,d]	mem	mem/im	Dst ← Dst ^ Src
or. [b,l,d]	mem	mem/im	Dst ← Dst v Src
xor. [b,l,d]	mem	mem/im	Dst ← Dst ⊕ Src
<i>Shift Instructions</i>			
asl. [b,l,d]	mem	mem/im	Dst ← Dst << Src
asr. [b,l,d]	mem	mem/im	Dst ← Dst >> Src
lsl. [b,l,d]	mem	mem/im	Dst ← Dst <<< Src
lsr. [b,l,d]	mem	mem/im	Dst ← Dst >>> Src
<i>Control Instructions</i>			
bcc	disp		Conditional branch
bra	disp		Unconditional branch
<i>OO Control Instructions</i>			
new	mem	mem/im	Dst ← (instance of Src)
destroy	mem		(destroy instance Dst)
interface	mem	mem	Dst ← Dst as Src
invoke	mem	Im	(invoke Src on Dst)
invokes	mem	Im	(invoke static Src on Dst)
invokei	mem	Im	(interface op Src on Dst)
fork	mem	Im	(fork Src on Dst)
forks	mem	Im	(fork static)
<i>Synchronization Instructions</i>			
join	mem		(join thread Dst)
sync	mem		(obtain instance lock)
trysync	mem		(lock if possible)
release	mem		(release instance lock)
<i>ESM Control Instructions</i>			
trans	mem		(transition to Dst)
event	mem		(event Dst will be complete)
complete			(return)
<i>Thread Control Instructions</i>			
continue	Mem		(continue Thread Dst)

Table 1: AEP Bytecode Instruction Set

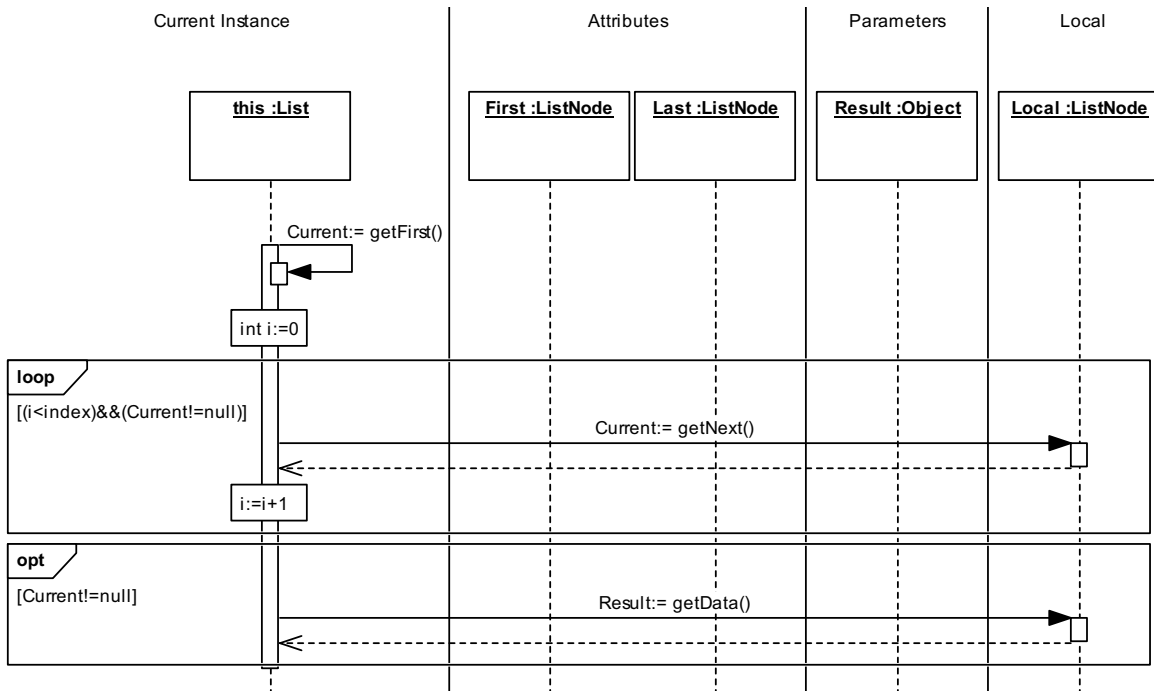


Fig. 3: get(index) operation for a linked list

#### 4.1 UML Bytecode Example

To illustrate our UML bytecode, we briefly outline it by the example of the `get(index)` operation of a linked list. The StateMachine for the operation is given by a trivial StateMachine with a single state and a single Activity for the completion of the state. Fig. 3 gives the Activity as a Sequence Diagram. The `get()` operation searches the linked list up to the given index. This is defined by a loop CombinedFragment for iterating the list until the given index or the end of the list is reached. Then, the data attribute of the `ListNode` is returned. The bytecode for that operation is given by the following sequence and the corresponding stack is shown in Fig. 4.

```

enter      8                // 8 bytes Local
move.l    #0, [Current]    // Current = default
move.l    #0, i            // i:=0
push.l    #0              // default return
invoke    #getFirst, [this] // invoke nonstatic
pop.l     [Current]        // store in Current
L1: cmp.l  [Index], [i]    // Index<i ?
        bnb  LE
        cmp.l #0, [Current] // Current!=null ?
        beq  LE
        invoke #getNext, [Current] // invoke nonstatic
        add.l #1, [i] // i=i+1
        jmp  L1 // loop
LE: cmp.l  #0, [Current] // Current!=null ?
        beq  END // Current!=null ?
        push.l #0 // default return
        invoke #getData, [Current] // invoke nonstatic
        pop.l [Result] // store in Result
END      complete        // leaves stack

```

Note that the `this` reference on the stack is added together with the parent stack frames of the non-static invoke instruction. The corresponding complete operation restores the parent frames from the stack and discards the `this` reference by adjusting the stack pointer accordingly.

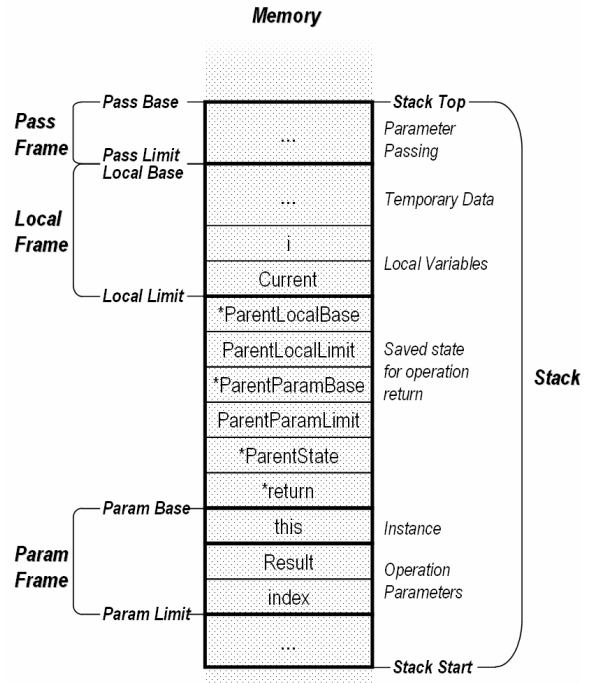


Fig. 4: Stack for a get(index) operation call

## 5. Evaluation Results

We have implemented a prototype of the AEP virtual machine using Handel-C on a Celoxica RC 200 evaluation board equipped with a Virtex II XC2V 1000-4. The virtual machine resides on the SmartMedia Card (SMC) and the binary encoded UML programs are loaded to the SRAM via the RS-232 interface. The current implementation runs with 35 MHz. However, this is just a limitation of the RC 200 board due to the SMC card without any implications for our concepts.

Our evaluation focused on the runtime of the bytecode interpreter, as it is essential for computations. We implemented five different UML examples and compared their runtimes with C implementations on a C167 with 20 MHz. These examples include a factorial calculation (*fak*), an adder-tree (*tree*), a Fibonacci calculation (*fib*), a butterfly node (*butterfly*) with 4 multipliers and two adders, and a matrix addition (*mmadd*).

All program data including stacks and dynamically allocated memory resides in the SRAM. Each instruction has to be fetched from SRAM during execution.

	Bytecode/AEP	C/C167
<b>Fak</b>	0.010 ms	0.032 ms
<b>Tree</b>	0.009 ms	0.009 ms
<b>Fib</b>	0.012 ms	0.015 ms
<b>butterfly</b>	0.021 ms	0.124 ms
<b>Mmadd</b>	0.067 ms	0.109 ms

**Table 2: Bytecode on AEP vs. C on C167**

The test results are summarized in Table 2. All tests were repeated 1000 times and the average is presented. During these tests, no anomalies (e.g., strong variance) were detected. In summary, our AEP prototype achieves a per-clock performance comparable to the C167 embedded microprocessor running C code. Considering the early stage of our prototype and the high-level model-based design method applying UML specifications, we think that this is a considerable result. However, the above examples are small and make no significant use of deep call hierarchies and state machines. While this is still not crucial for many designs, it is necessary to further explore the performance of more complex ESM models.

## 6. Conclusions and Outlook

We have presented a novel approach for executable UML specifications on FPGAs. This approach is based on an executable UML subset with precise execution semantics given by our Abstract Execution Platform (AEP). We have introduced the concept of a virtual machine for executing binary representations of UML specifications. Our evaluation compared our executable specifications on FPGA with corresponding C implementations on a C167 microcontroller. This showed

promising results considering that we start from a model-based, platform independent object-oriented UML specification and directly execute it on a virtual machine.

However, the evaluation of more complex programs is still necessary. Furthermore, we plan to evaluate different memory management and scheduling implementations

## Acknowledgements

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