

MOTIVIC ZETA FUNCTIONS OF MOTIVES

BRUNO KAHN

INTRODUCTION

Let \mathcal{M} be a tensor category with coefficients in a field K of characteristic 0, that is, a K -linear pseudo-abelian symmetric monoidal category such that the tensor product \otimes of \mathcal{M} is bilinear. Then symmetric and exterior powers of an object $M \in \mathcal{M}$ make sense, by using appropriate projectors relative to the action of the symmetric groups on tensor powers of M . One may therefore introduce the *zeta function* of M , a power series with coefficients in $K_0(\mathcal{M})$ [1, §13.3.2]:

$$Z_{\mathcal{M}}(M, T) = \sum_{n \geq 0} [S^n(M)] T^n.$$

Yves André showed that this zeta function is rational when $\mathcal{M} = \mathcal{M}(k)$ is the category of pure motives for some adequate equivalence relation over a field k and M is finite-dimensional in the sense of Kimura-O'Sullivan (ibid., Prop. 13.3.3.1). He raised the question of functional equations, in the light of Kapranov's result for curves [9]; this was achieved by Franziska Heinloth in [7] when M is the motive of an abelian variety. Heinloth also proved in [7, Lemma 4.1] that André's rationality argument in fact applies to any finite-dimensional object in any K -linear tensor category \mathcal{M} .

In the same vein, we propose in Theorem 1 below a functional equation for any finite-dimensional $M \in \mathcal{M}$ in any rigid K -linear tensor category; this theorem has a very simple proof. In particular, modulo homological equivalence we get a functional equation for the motivic zeta function of any smooth projective variety X for which the sign conjecture holds, that is, the sum of the even Künneth projectors of X is algebraic. For instance, this functional equation holds for any X over a finite field.

The main difference with Heinloth's formula is that ours involves the *determinant* of M , an invertible object of \mathcal{M} to be defined in Definition 2. This idea already appears in André's book [1, Proof of 12.1.6.3]. For this, we use the theory of 1-dimensional objects developed by Kimura

Date: June 16, 2006.

in [12]. In the case of motives, we conjecture that $\det(M)$ is always the tensor product of a power of the Lefschetz motive and an Artin motive of square 1: we show that this conjecture is closely related to the conservativity of realisation functors on finite-dimensional motives, follows from the Hodge or the Tate conjecture (see Proposition 2) and is true in important special cases (see Corollary 1 and Remark 5 d)).

Theorem 1 almost provides a cycle-theoretic proof of the functional equation for the usual zeta functions of motives over finite fields. The catch is the sign conjecture. Unfortunately, the only known proof of this conjecture over a finite field is by Katz-Messing [10], who rely on Deligne's proof of the Riemann hypothesis [5]. . . One could use Theorem 1 in the category of l -adic sheaves, but this is not much more than the original approach of Grothendieck et al.

Over general fields, the situation is even more open. Nevertheless the class of smooth projective varieties for which the sign conjecture is known is quite respectable: it contains varieties of dimension ≤ 2 , abelian varieties and complete intersections in a projective space. It is also stable under products, as the full subcategory of $\mathcal{M}(k)$ consisting of finite-dimensional objects is a (thick) tensor subcategory of $\mathcal{M}(k)$ by the results of [11]. This puts the remark of [7, §6] in a broader context.

Acknowledgements. I wish to thank Noriyuki Otsubo for an enlightening discussion, Yves André for several comments on the first draft of this paper and Jean-Louis Colliot-Thélène for his help in the example of Remark 5 c).

1. THE DETERMINANT

Let \mathcal{M} be a K -linear tensor category, where K is a field of characteristic 0. We assume that \mathcal{M} is *rigid* and that $\text{End}_{\mathcal{M}}(\mathbf{1}) = K$. For any M , we write $\chi(M) = \text{tr}(1_M) \in K$; this is the *Euler characteristic* of M . Unifying the terminologies of Kimura and O'Sullivan, we shall say that M is *positive* (resp. *negative*) if there exists an integer $N > 0$ such that $\Lambda^N(M) = 0$ (resp. $S^N(M) = 0$), and *finite-dimensional* if $M \simeq M^+ \oplus M^-$ where M^+ is positive and M^- is negative. It can then be shown that in the positive (resp. negative) case, $\chi(M)$ is a nonnegative (resp. nonpositive) integer and that the smallest such N is $\chi(M) + 1$ (resp. $-\chi(M) + 1$) ([11], [3, 9.1.7]).

We denote by \mathcal{M}_{kim} the full subcategory of finite-dimensional objects in \mathcal{M} : it is thick and rigid ([11], [3, 9.1.4 and 9.1.12]).

Recall that if M is finite-dimensional, a decomposition $M \simeq M^+ \oplus M^-$ is unique up to isomorphism ([11], [3, 9.1.10]). This allows us to

set

$$(1.1) \quad \chi^+(M) = \chi(M^+), \quad \chi^-(M) = \chi(M^-).$$

Definition 1 ([12, 15.2.3 and 15.2.4]). An object $L \in \mathcal{M}$ is

- *invertible* if there exists an object T and an isomorphism $L \otimes T \simeq \mathbf{1}$;
- *1-dimensional* if it is either positive or negative, and $\chi(M) = 1$ (M positive) or $\chi(M) = -1$ (M negative).

We denote by $\text{Pic}(\mathcal{M})$ the full subcategory of \mathcal{M} consisting of invertible objects: it is a symmetric monoidal subcategory of \mathcal{M} .

Lemma 1. a) An object $L \in \mathcal{M}$ is invertible if and only if it is 1-dimensional.

b) If M is positive, $\Lambda^{\chi(M)}(M)$ is invertible.

c) If M is negative, $S^{-\chi(M)}(M)$ is invertible.

Proof. a) is the contents of [12, 15.2.6 and 15.2.9]. In b) and c), it then suffices to prove that these objects are 1-dimensional. In case b), by [3, 7.2.4], $\chi(\Lambda^n(M)) = \binom{\chi(M)}{n}$, and in case c) $\chi(S^n(M)) = \binom{\chi(M)+n-1}{n}$ by the same reference. \square

Definition 2 (compare [12, 15.4.3]). Let $M \simeq M^+ \oplus M^-$ be finite dimensional. We define

$$\det(M) = \Lambda^{\chi^+(M)}(M^+) \otimes S^{-\chi^-(M)}(M^-)^{-1} \text{ (cf. (1.1).)}$$

This is the *determinant* of M : it is well-defined up to isomorphism.

Remarks 1. a) If L is invertible, we denote an object T as in Definition 1 by L^{-1} . Note that L^{-1} is unique up to unique isomorphism, and is the dual L^* of L .

b) $L \in \text{Pic}(\mathcal{M})$ is positive (resp. negative) if and only if the switch of $L \otimes L$ is trivial (resp. equals -1).

c) If M is positive, $\det(M)$ is positive. If M is negative, $\det(M)$ has the sign $(-1)^{\chi(M)}$: this follows from the proof of Lemma 1 c).

The following lemma will be used in the proof of Proposition 2.

Lemma 2. Let $f : M_1 \rightarrow M_2$ be a morphism between finite-dimensional objects such that $\chi^+(M_1) = \chi^+(M_2)$ and $\chi^-(M_1) = \chi^-(M_2)$. Then f induces a morphism $\det(f) : \det(M_1) \rightarrow \det(M_2)$. (In view of Definition 2, we don't claim that $\det(f)$ is canonically defined unless M is purely positive or negative). Moreover, f is an isomorphism if and only if $\det(f)$ is.

Proof. Choose decompositions $M_i = M_i^+ \oplus M_i^-$, and let

$$f = \begin{pmatrix} f^{++} & f^{-+} \\ f^{+-} & f^{--} \end{pmatrix}$$

be the corresponding matrix description of f . We set $\det(f) = \det(f^{++}) \otimes \det(f^{--})^{-1}$. If f is an isomorphism, then f^{++} and f^{--} are isomorphisms. To see this, one may go modulo the ideal \mathcal{N} of morphisms universally of trace 0: by [3, 9.1.14], \mathcal{N} is locally nilpotent and $f^{+-}, f^{-+} \in \mathcal{N}(M_1, M_2)$ by the proof of this proposition.

For the converse, we work in \mathcal{M}_{kim} . By [3, 9.2.2], $\mathcal{M}_{\text{kim}}/\mathcal{N}$ is abelian semi-simple. Let \bar{f} be the image of f in this category: we may write \bar{f} as the direct sum of an isomorphism $f' : M'_1 \rightarrow M'_2$ and a 0 morphism $f'' : M''_1 \rightarrow M''_2$. Then $\det(f) = \det(f') \otimes \det(f'')$. But if M''_1 and M''_2 were nonzero, we would have $\det(f'') = 0$, hence $\det(\bar{f}) = 0$, contrary to the hypothesis. Thus \bar{f} is an isomorphism, and by nilpotency f is an isomorphism. \square

Lemma 3. a) *If M is positive, we have isomorphisms*

$$\Lambda^n(M^*) \simeq \Lambda^{\chi(M)-n}(M) \otimes \det(M)^*$$

for all $n \in [0, \chi(M)]$.

b) *If M is negative, we have isomorphisms*

$$S^n(M^*) \simeq S^{-\chi(M)-n}(M) \otimes \det(M)^*$$

for all $n \in [0, -\chi(M)]$.

Proof. a) Note that $\Lambda^n(M^*) \simeq \Lambda^n(M)^*$. The obvious pairing

$$\Lambda^n(M) \otimes \Lambda^{\chi(M)-n}(M) \rightarrow \det(M)$$

and its dual applied with M^* instead of M define morphisms

$$\Lambda^n(M) \otimes (\Lambda^{\chi(M)-n}(M) \otimes \det(M)^*) \rightarrow \mathbf{1}$$

$$\mathbf{1} \rightarrow (\Lambda^{\chi(M)-n}(M) \otimes \det(M)^*) \otimes \Lambda^n(M)$$

and it is easy to check that they verify the duality axioms [6]. The proof for b) is similar. \square

2. THE FUNCTIONAL EQUATION

We can now state our theorem:

Theorem 1. *Let $M \simeq M^+ \oplus M^-$ be finite dimensional, and M^* be its dual. Then we have:*

$$Z_{\mathcal{M}}(M^*, T^{-1}) = (-1)^{\chi^+(M)} \det(M) T^{\chi(M)} Z_{\mathcal{M}}(M, T).$$

Proof. Since the zeta function transforms direct sums into products, we may treat separately the cases where M is positive and negative. Note that M^* has the same sign as M .

1. If M is positive, we have (see [1, proof of 13.3.3.1]):

$$Z_{\mathcal{M}}(M^*, T^{-1})^{-1} = \sum_{n=0}^{\chi(M)} [\Lambda^n(M^*)](-T)^{-n}.$$

Applying Lemma 3 a), we may rewrite this sum as

$$\begin{aligned} [\det(M)^*] \sum_{n=0}^{\chi(M)} [\Lambda^{\chi(M)-n}(M)](-T)^{-n} \\ &= [\det(M)^*] \sum_{n=0}^{\chi(M)} [\Lambda^n(M)](-T)^{n-\chi(M)} \\ &= [\det(M)^*](-T)^{-\chi(M)} \sum_{n=0}^{\chi(M)} [\Lambda^n(M)](-T)^n \\ &= [\det(M)^*](-T)^{-\chi(M)} Z_{\mathcal{M}}(M, T)^{-1}. \end{aligned}$$

2. If M is negative, we have

$$Z_{\mathcal{M}}(M^*, T^{-1}) = \sum_{n=0}^{-\chi(M)} S^n(M^*)T^{-n}.$$

Applying Lemma 3 b), we may rewrite this sum as

$$\begin{aligned} [\det(M)^*] \sum_{n=0}^{-\chi(M)} [S^{-\chi(M)-n}(M)]T^{-n} \\ &= [\det(M)^*] \sum_{n=0}^{-\chi(M)} [S^n(M)]T^{n+\chi(M)} \\ &= [\det(M)^*]T^{\chi(M)} \sum_{n \geq 0} S^n(M)]T^n \\ &= [\det(M)^*]T^{\chi(M)} Z_{\mathcal{M}}(M, T). \end{aligned}$$

□

Remarks 2. a) As remarked in [1, 13.2.1.1], the map $K_0(\mathcal{M}_{\text{kim}}) \rightarrow K_0(\mathcal{M}_{\text{kim}}/\mathcal{N})$ is an isomorphism thanks to the local nilpotency of \mathcal{N} : therefore it makes no difference to work in \mathcal{M}_{kim} or in $\mathcal{M}_{\text{kim}}/\mathcal{N}$ as long as the zeta function is concerned.

b) One may wonder if $\chi^-(M)$ is always even: this would give a nicer

formula in Theorem 1. As Yves André pointed out, this is of course false if we take for \mathcal{M} the category of $\mathbf{Z}/2$ -graded \mathbf{Q} -vector spaces. However, this turns out to be true (most of the time, and conjecturally always) if \mathcal{M} is the category of motives with rational coefficients over a field: Yves André gave us an argument for this in characteristic 0, and his argument inspired part of the proofs given in the next section.

3. THE CASE OF MOTIVES

We now assume that $\mathcal{M} = \mathcal{M}_{\sim}(k)$ is the category of motives over a field k with respect to a given adequate equivalence relation \sim : we shall often abbreviate $\mathcal{M}_{\sim}(k)$ into $\mathcal{M}(k)$. The two main examples for \sim are rational and homological equivalence. We only work with finite-dimensional motives M relatively to \sim . If \sim is homological equivalence, this hypothesis is equivalent to the sign conjecture.

Notice in any case that, in view of Remark 2 a), the motivic zeta function of M with respect to \sim is the same as its motivic zeta function with respect to any coarser adequate equivalence relation. Similarly, if H is a classical Weil cohomology theory and \sim is coarser than H -equivalence, then $K_0(\mathcal{M}_H(k)) \xrightarrow{\sim} K_0(\mathcal{M}_{\sim}(k)) \xrightarrow{\sim} K_0(\mathcal{M}_{\text{num}}(k))$ by the nilpotence result of [2, Prop. 5], without even restricting to finite-dimensional motives. Another such example is Voevodsky's nilpotence theorem [16], which implies that $K_0(\mathcal{M}_{\text{rat}}(k)) \xrightarrow{\sim} K_0(\mathcal{M}_{\text{alg}}(k))$.

Let $L \in \mathcal{M}_{\sim}(k)$ be an invertible motive. Let L_{num} be the image of L in $\mathcal{M}_{\text{num}}(k)$. By [2, Prop. 5], we may lift L_{num} to an invertible motive $L_H \in \mathcal{M}_H(k)$ with respect to any classical Weil cohomology theory, uniquely up to isomorphism.

Definition 3. The *weight* of L is the weight of the H -realisation of L_H under a suitable classical Weil cohomology theory H .

In characteristic 0, a “suitable” Weil cohomology theory is either Hodge cohomology or l -adic cohomology; in characteristic p , it is either crystalline cohomology or l -adic cohomology. That the weight does not depend on the choice of the suitable cohomology theory follows from Artin's comparison theorem in characteristic 0 and from Deligne's proof of the Riemann hypothesis in characteristic p [10].

Conjecture 1. *Let k be a field. There is no invertible motive L of odd weight n in $\mathcal{M}(k)$.*

Equivalently: there is no negative invertible motive in $\mathcal{M}(k)$.

In fact, we can almost prove Conjecture 1:

Proposition 1. *Conjecture 1 is true in the following cases:*

- (1) $\text{char } k = 0$.
- (2) k is a regular extension of \mathbf{F}_q , where q is not a square.
- (3) $n = 1$ and L is effective.

Moreover, the Tate conjecture over finite fields implies Conjecture 1 in the remaining cases. (Can one avoid the recourse to the Tate conjecture???)

Proof. Clearly, we may work modulo homological equivalence.

(1) This is obvious via the Hodge realisation: in fact, any invertible pure Hodge structure is of the form $\mathbf{Q}(r)$ since the Hodge numbers go by symmetric pairs. (This was part of André's argument alluded to in Remark 2.) Alternately, we may use l -adic cohomology as follows: Let $k_0 \subseteq k$ be a finitely generated field over which L is defined. Let S be a regular model of k_0 of finite type over \mathbf{Z} . Then one may find a closed point $s \in S$ with residue field \mathbf{F}_p (p a large enough prime number) such that L has good reduction at s . This reduces us to the case where $k = \mathbf{F}_p$. Let F be the Frobenius endomorphism of L . Since $\text{End}(\mathbf{1}) = \mathbf{Q}$, $\text{tr}(F)$ is a rational number, which is also the (unique) eigenvalue of the action of F on $H_l(L)$. But if n is the weight of L , $H_l(L)$ is a direct summand of $H^n(\bar{X}, \mathbf{Q}_l)(r)$ for some smooth projective variety X and some $r \in \mathbf{Z}$; by the Weil conjecture, $|\text{tr}(F)| = p^{n/2-r}$, a contradiction.

(2) The same l -adic argument as in (1) works, and a similar argument works for crystalline cohomology.

(3) Write L as a direct summand of $h(X)$ for some smooth projective variety X . We may write L as a direct summand of $h^1(X)$. (Note that there is always a canonical decomposition $h(X) = h^0(X) \oplus h^1(X) \oplus h^{\geq 2}(X)$ and that $\text{Hom}(L, h^0(X)) = \text{Hom}(L, h^{\geq 2}(X)) = 0$ for weight reasons.) Replacing X by its Albanese variety, we may assume that X is an abelian variety A . Now, by Poincaré's complete irreducibility theorem, the full subcategory of motives modulo homological equivalence consisting of the $h^1(A)$ is abelian semi-simple; thus $L = h^1(B)$ for some abelian variety B . But this is impossible, since L is 1-dimensional and $\dim h^1(B)$ has to be even.

Finally, if the Tate conjecture holds over \mathbf{F}_{q^2} , then the generalised Tate conjecture also holds thanks to Honda's theorem (compare [8, proof of Th. 2]); hence, up to twisting L by some power of the Lefschetz motive, we may assume that it is effective of weight 1 and we are reduced to (3). \square

Remark 3. Notice that Conjecture 1 becomes false over \mathbf{F}_{q^2} after extending scalars from \mathbf{Q} to a suitable quadratic extension, as shown

by h^1 of a supersingular elliptic curve. Similarly, Conjecture 1 becomes (very) false over any field after extending scalars from \mathbf{Q} to $\bar{\mathbf{Q}}$.

Theorem 2. *a) If conjecture 1 is true for k (compare Proposition 1), $\chi(M)$ is even for any negative $M \in \mathcal{M}(k)$. Thus, in this case, the functional equation of Theorem 1 simplifies as*

$$Z_{\mathcal{M}}(M^*, T^{-1}) = \det(M)(-T)^{\chi(M)} Z_{\mathcal{M}}(M, T).$$

b) $\chi^-(M)$ is even over any field k if $M = h(X)$ for X a smooth projective variety verifying the sign conjecture. We then have

$$(3.1) \quad Z_{\mathcal{M}}(h(X), T^{-1}) = (-T)^{\chi(X)} \det(h(X)) Z_{\mathcal{M}}(h(X), [\mathbb{L}]^{-d}T).$$

This formula holds modulo homological equivalence, and even modulo rational equivalence if $h_{\text{rat}}(X)$ is finite-dimensional.

Proof. a) If there exists a negative motive M of odd Euler characteristic, then $\det(M)$ is negative of Euler characteristic -1 (see Remark 1 c)). It remains to justify b): this is true since the odd-dimensional l -adic Betti numbers of X are even by Hard Lefschetz [5, (4.1.5)]. The functional equation for $h(X)$ then follows from the formula $h(X)^* = h(X) \otimes \mathbb{L}^{-d}$, where \mathbb{L} is the Lefschetz motive, and the obvious identity

$$Z_{\mathcal{M}}(h(X) \otimes \mathbb{L}^{-d}, T) = Z_{\mathcal{M}}(h(X), [\mathbb{L}]^{-d}T).$$

□

4. THE DETERMINANT OF A MOTIVE

When X is an abelian variety, (3.1) is Heinloth's formula, with the difference that the factor $\det(h(X))$ is replaced by 1. We want to explain in this section why this happens. This is a good opportunity to introduce the following strengthening of Conjecture 1:

Conjecture 2. *For any field k , $\text{Pic}(\mathcal{M}_{\sim}(k))$ is generated by \mathbb{L} and Artin motives of square 1.*

(Such Artin motives are in 1-to-1 correspondence with the elements of k^*/k^{*2} .)

Recall that a functor F is *conservative* if, when $F(f)$ is an isomorphism, f is already an isomorphism. We shall say that F is *essentially injective* if it is injective on isomorphism classes of objects. If F is fully faithful, it is both conservative and essentially injective.

Proposition 2. *a) Suppose that \sim is finer than homological equivalence with respect to some Weil cohomology theory H . Then conjecture*

2 implies that the realisation functor H is conservative on finite-dimensional motives.

b) If k verifies Conjecture 1, the essential injectivity of the Hodge realisation (over a field of characteristic 0) or the l -adic realisation (over a finitely generated field) implies Conjecture 2 for any \sim . In particular, Conjecture 2 follows from either the Hodge or the Tate conjecture.

Proof. a) Let $f : M_1 \rightarrow M_2$ be a morphism between finite-dimensional motives such that $H(f)$ is an isomorphism for some Weil cohomology theory H . This implies that $\chi^\pm(M_1) = \chi^\pm(M_2)$, hence, by Lemma 2, f induces a morphism $\det(f) : \det(M) \rightarrow \det(M')$. Obviously, $H(\det(f)) = \det H(f)$, hence $H(\det(f))$ is an isomorphism. But under Conjecture 2, H is clearly conservative on invertible motives, hence $\det(f)$ is an isomorphism and f is an isomorphism by Lemma 2.

For b), we may reduce to the case where \sim is finer than Hodge or l -adic equivalence, thanks to [2, Prop. 5].

1) Let us assume that $\text{char } k = 0$ and that the Hodge realisation is conservative. We may assume that $L \in \text{Pic}(\mathcal{M}(k))$ is defined over a subfield k_0 of \mathbf{C} . As seen in the proof of Proposition 1, $H(L) \simeq H(\mathbb{L}^n)$ for some $n \in \mathbf{Z}$; by essential injectivity, $L_{\mathbf{C}} \simeq \mathbb{L}^n$, hence up to twisting we may assume that $L_{\mathbf{C}} = \mathbf{1}$. There is a finitely generated extension k_1 of k_0 such that $L_{k_1} = \mathbf{1}$, and after a suitable specialisation we may assume that k_1/k_0 is finite. Taking traces, we get that $L \otimes h(k_1) \simeq h(k_1)$ in $\mathcal{M}(k_0)$; hence L is a direct summand of $h(k_1)$ and is an Artin motive. But Artin motives are in 1-to-1 correspondence with rational Galois representations of k , and any rational character of a (pro)finite group has square 1.

2) Let us assume that k is finitely generated, verifies Conjecture 1 and that the l -adic realisation is conservative. Let L be an invertible motive and let $R_l(L)$ be its l -adic realisation. Let n be the weight of L , which is assumed to be even. Using the same rationality argument as in the proof of Proposition 1 (1), we see that the eigenvalue of Frobenius acting on the specialisation of $R_l(L)$ at any finite place of good reduction and residue field \mathbf{F}_q is of the form $\pm q^{n/2}$. Thus Frobenius automorphisms act trivially on the l -adic representation $R_l(L^{\otimes 2} \otimes \mathbb{L}^{-n})$; by Čebotarev's density theorem, it follows that $R_l(L^{\otimes 2} \otimes \mathbb{L}^{-n})$ is trivial, hence $R_l(L \otimes \mathbb{L}^{-n/2})$ is given by a quadratic character of k . Let A be the corresponding Artin motive of square 1: by essential injectivity we have $L \simeq A \otimes L^{n/2}$, as desired.

It remains to justify the last assertion of b). If the Hodge conjecture holds, then the Hodge realisation functor is essentially injective on the level of motives modulo homological equivalence. But let

$L, L' \in \text{Pic}(\mathcal{M}_{\sim}(k))$: since L and L' are finite-dimensional, Kimura's nilpotence theorem implies that any isomorphism between them modulo homological equivalence lifts to an isomorphism modulo \sim . The argument is the same with the Tate conjecture. \square

Remarks 4. 1) With a little more effort, one can see that conjecture 2 implies the irreducibility of the motive $\mathbf{1}$ modulo homological equivalence. Indeed, let $f : L \rightarrow \mathbf{1}$ be a monomorphism: then $H(f)$ is also a monomorphism, hence $L = 0$ or $\chi(L) = \pm 1$ and one concludes immediately. The conservativity in Proposition 2 a) then follows from [1, 12.1.6.3]: I thank Yves André for pointing this out. In fact, after reading the proof [1, 12.1.6.3], I realised that it is very closely related to the proof of Prop. 2 a) given above!

2) Conjecture 2 is obviously equivalent to the following: for any finite-dimensional $M \in \mathcal{M}(k)$, we have

$$(4.1) \quad \det(M) = \mathbb{L}^r \otimes A$$

for some $r \in \mathbf{Z}$, where A is a 1-dimensional Artin motive of square 1. It is interesting to investigate this reformulation in the light of formal properties of \det , as follows:

Proposition 3. *Let \mathcal{M} be a rigid tensor \mathbf{Q} -linear category. Then, for any finite-dimensional M, N :*

- (1) $\det(M \oplus N) = \det(M) \det(N)$.
- (2) $\det(M \otimes N) = \det(M)^{\chi(N)} \otimes \det(N)^{\chi(M)}$.
- (3) $\det(\Lambda^n(M)) = \det(M)^r$, with $r = n \binom{\chi(M)}{n} / \chi(M)$.
- (4) $\det(S^n(M)) = \det(M)^s$, with $s = n \binom{\chi(M)+n-1}{n} / \chi(M)$.

Proof. This follows readily from identities between Schur functors as in [7, §4]. \square

Corollary 1. *a) the subset $K'_0(\mathcal{M}(k))$ of $K_0(\mathcal{M}(k))$ consisting of differences of classes of finite-dimensional motives that verify (4.1) is a sub- λ -ring of $K_0(\mathcal{M}(k))$.*

b) Similarly, the subset $K''_0(\mathcal{M}(k))$ of $K_0(\mathcal{M}(k))$ consisting of differences of classes of finite-dimensional motives such that $A = 1$ in (4.1) is a sub- λ -ring of $K_0(\mathcal{M}(k))$.

c) Suppose that M is weakly polarisable in the sense that $M \simeq M^ \otimes \mathbb{L}^n$ for some integer n . Then $\det(M)^2 = \mathbb{L}^{n\chi(M)}$.*

d) Suppose that X/k verifies the standard conjecture B. Then, for all $i \geq 0$, $\det(h^i(X))^2 = \mathbb{L}^{(-1)^i b_i(X)}$, where $b_i(X)$ is the i -th Betti number

of X . Moreover, letting $d = \dim X$:

$$\det(h(X)) = \begin{cases} \mathbb{L}^{d\chi(X)/2} & \text{if } d \text{ is odd} \\ \mathbb{L}^{\frac{d}{2}(\chi(X) - (-1)^{d/2} db_{d/2}(X))} \otimes \det(h^{d/2}(X)) & \text{if } d \text{ is even.} \end{cases}$$

e) $K_0''(\mathcal{M}(k))$ contains $[h^1(X)]$ for any smooth projective variety X . (Recall that the direct summand $h^1(X)$ of the Chow motive $h(X)$ was constructed by Murre [14]).

f) If C is a curve, $\det(h(C)) = \mathbb{L}^{\chi(C)/2}$.

g) If A is an abelian variety, $\det(h(A)) = 1$.

Proof. a), b) and c) follow directly from Proposition 3 (see also Remark 1 a) for c)). The first claim of d) follows from c) (note that $\chi(h^i(X)) = (-1)^i b_i(X)$). We get the second one by grouping the terms $\det(h^i(X))$ and $\det(h^{2d-i}(X))$ together for $i < d/2$, and using that $h^{2d-i}(X) \simeq h^i(X)^* \otimes \mathbb{L}^{d-i}$.

In e), note that $h^1(X) \simeq h^1(A)$, where A is the Albanese variety of X ; the claim then follows from the results of Shermenev, Beauville and Deninger-Murre, saying that $h^i(A) \simeq S^i(h^1(A))$ (apply this for $i = 2 \dim A$). f) follows from e). Finally, in g), A verifies the standard conjecture B by Lieberman-Kleiman; if $\dim A = g$, we have $b_i(A) = \binom{g}{i}$ and the claim follows from b) and d). \square

Remarks 5. a) Theorem 2 and Corollary 1 f) give back Kapranov's functional equation for curves [9], with coefficients in $K_0(\mathcal{M}(k))$ (a little information is lost).

b) The reader familiar with Heinloth's paper will recognize part of her arguments in the proof of Corollary 1 g). This corollary was actually inspired by reading her paper.

c) In view of Corollary 1 f) and g), one might expect that the Artin motive A appearing in (4.1) is always $\mathbf{1}$ for M of the form $h(X)$. This is wrong: rational surfaces give examples where (4.1) holds but A is nontrivial. Indeed, for such a surface S , $h^2(S) = \mathbb{L} \otimes NS_S$, where NS_S is the Artin motive corresponding to the Néron-Severi lattice of S . Hence $\det(h^2(S)) = \mathbb{L}^\rho \otimes \det(NS_S)$, where ρ is the Picard number. For examples where the action of the absolute Galois group of k on NS_S has nontrivial determinant $d \in k^*/k^{*2}$, we may take for S the blow-up of \mathbb{P}^2 at $\{\sqrt{d}, -\sqrt{d}\}$ (I thank Colliot-Thélène for pointing this out): this shows that all Artin motives of square 1 are caught thusly. This also incidentally explains the sign $(-1)^\mu$ in [13, p. 9]. I expect that this is typical of how nontrivial Artin motives may occur.

d) The class of varieties X such that $h(X) \in K_0'(\mathcal{M}(k))$ is closed under products; it is also closed under projective bundles and blow-ups with

smooth centres, in the sense that of $[h(X)] \in K'_0(\mathcal{M}(k))$ and Z is a closed smooth subvariety of X such that $[h(Z)] \in K'_0(\mathcal{M}(k))$, then $[h(Bl_Z(X))] \in K'_0(\mathcal{M}(k))$. Thus surfaces S such that $h(S)$ verifies (4.1) include rational surfaces, ruled surfaces, products of two curves and abelian surfaces. The first open case for Conjecture 2 seems to be that of a K3 surface.

REFERENCES

- [1] Y. André Une introduction aux motifs: motifs purs, motifs mixtes, périodes, Panoramas et synthèses **17**, SMF, 2004.
- [2] Y. André, B. Kahn *Construction inconditionnelle de groupes de Galois motiviques*, C. R. Acad. Sci. Paris **334** (2002), 989–994.
- [3] Y. André, B. Kahn *Nilpotence, radicaux et structures monoïdales* (with an appendix of P. O’Sullivan), Rend. Sem. Math. Univ. Padova **108** (2002), p. 107–291.
- [4] M. Atiyah, D. Tall *Group representations, λ -rings and the J -homomorphism*, Topology **8** (1969), 253–297.
- [5] P. Deligne *La conjecture de Weil, II*, Publ. Math. IHES **52** (1980), 137–252.
- [6] A. Dold, D. Puppe *Duality, trace and transfer*, Proc. Int. Conf. in Geometric Topology (Warsaw, 1978), PWN, Warsaw, 1980, 81–102.
- [7] F. Heinloth *A note on functional equations for zeta functions with values in Chow motives*, to appear in Ann. Inst. Fourier.
- [8] B. Kahn *Number of points of function fields over finite fields*, preprint, 2002.
- [9] M. Kapranov *The elliptic curve in the S -duality theory and Eisenstein series for Kac-Moody groups*, preprint ArXiv AG/0001005, 2000.
- [10] N. Katz, W. Messing *Some consequences of the Riemann hypothesis for varieties over finite fields*, Invent. Math. **23** (1974), 73–77.
- [11] S.-I. Kimura *Chow motives are finite-dimensional, in some sense*, Math. Ann. **331** (2005), 173–201.
- [12] S.-I. Kimura *A note on finite-dimensional motives*, to appear in the Murre proceedings.
- [13] S. Kleiman *The standard conjectures, in Motives*, Proc. Symposia Pure Math. **55** (1), AMS, 1994, 3–20.
- [14] J. Murre *On the motive of an algebraic surface*, J. Reine angew. Math. **409** (1990), 190–204.
- [15] J. Tate *Endomorphisms of abelian varieties over finite fields*, Invent. Math. **2** (1966), 134–144.
- [16] V. Voevodsky *A nilpotence theorem for cycles algebraically equivalent to 0*, Int. Math. Res. Notices **4** (1995), 1–12.

INSTITUT DE MATHÉMATIQUES DE JUSSIEU, 175–179 RUE DU CHEVALERET,
75013 PARIS, FRANCE

E-mail address: kahn@math.jussieu.fr