

VON NEUMANN COORDINATIZATION IS NOT FIRST-ORDER

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ABSTRACT. A lattice L is *coordinatizable*, if it is isomorphic to the lattice $\mathbf{L}(R)$ of principal right ideals of some von Neumann regular ring R . This forces L to be complemented modular. All known sufficient conditions for coordinatizability, due first to J. von Neumann, then to B. Jónsson, are first-order. Nevertheless, we prove that coordinatizability of lattices is not first-order, by finding a non-coordinatizable lattice K with a coordinatizable countable elementary extension L . This solves a 1960 problem of B. Jónsson. We also prove that there is no $\mathcal{L}_{\infty, \infty}$ statement equivalent to coordinatizability. Furthermore, the class of coordinatizable lattices is not closed under countable directed unions; this solves another problem of B. Jónsson from 1962.

1. INTRODUCTION

A *coordinatization theorem* is a statement that expresses a class of geometric objects in algebraic terms. Hence it is a path from *synthetic* geometry to *analytic* geometry. While the former includes lattice theory, as, for example, abundantly illustrated in M. K. Bennett's survey paper [2], the latter is more often written in the language of rings and modules. Nevertheless the concepts of analytic and synthetic geometry will not let themselves be captured so easily. For example, the main result of E. Hrushovski and B. Zilber [13, Theorem A] may certainly be viewed as a coordinatization theorem, with geometric objects of *topological* nature.

It should be no surprise that coordinatization theorems are usually very difficult results. The classical coordinatization theorem of Arguesian affine planes (as, for example, presented in E. Artin [1, Chapitre II]) was extended over the last century to a huge work on modular lattices, which also brought surprising and deep connections with coordinatization results in universal algebra, see the survey paper by C. Herrmann [11]. We cite the following milestone, due to J. von Neumann [24].

Von Neumann's Coordinatization Theorem. *If a complemented modular lattice L has a spanning finite homogeneous sequence with at least four elements, then L is coordinatizable, that is, there exists a von Neumann regular ring R such that L is isomorphic to the lattice $\mathbf{L}(R)$ of all principal right ideals of R .*

We refer the reader to Sections 2–4 for precise definitions. We observe that while the statement that a lattice is coordinatizable is, apparently, “complicated” (it begins with an existential quantifier over regular rings), von Neumann's sufficient condition is logically simple—for example, having a spanning homogeneous sequence with four elements is a first-order condition.

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The strongest known extension of von Neumann’s Coordinatization Theorem is due to B. Jónsson [15]. For further coordinatization results of modular lattices, see, for example, B. Jónsson and G. Monk [18], A. Day and D. Pickering [6], or the survey by M. Greferath and S.E. Schmidt [10].

Jónsson’s Extended Coordinatization Theorem. *Every complemented Arguesian lattice L with a “large partial 3-frame” is coordinatizable.*

Although having a large partial 3-frame is, apparently, not a first-order condition, we prove in Section 10, by using the dimension monoid introduced in F. Wehrung [27], that it can be expressed by a single first-order sentence.

Is coordinatizability first-order? The question was raised by B. Jónsson in the Introduction of [15]. We quote the corresponding excerpt.

A complete solution to our problem would consist in an axiomatic characterization of the class of all coordinatizable lattices. However, this seems to be an extremely difficult problem, and in fact it is doubtful that any reasonable axiom system can be found.

In the present paper, we confirm Jónsson’s negative guess, in particular removing the word “reasonable” from the second sentence above. In fact, our negative solution even holds for a restricted class of complemented modular lattices, namely, those that satisfy the identity of 2-distributivity,

$$x \vee (y_0 \wedge y_1 \wedge y_2) = (x \vee (y_0 \wedge y_1)) \wedge (x \vee (y_0 \wedge y_2)) \vee (x \vee (y_1 \wedge y_2)).$$

A few examples of 2-distributive modular lattices are diagrammed on Figure 1. The subspace lattice of a three-dimensional vector space is not 2-distributive. An important characterization of 2-distributivity for modular lattices is provided by C. Herrmann, D. Pickering, and M. Roddy [12]: *A modular lattice is 2-distributive iff it can be embedded into the subspace lattice of a vector space over any field.* So, in some sense, the theory of 2-distributive modular lattices is the “characteristic-free” part of the theory of modular lattices.

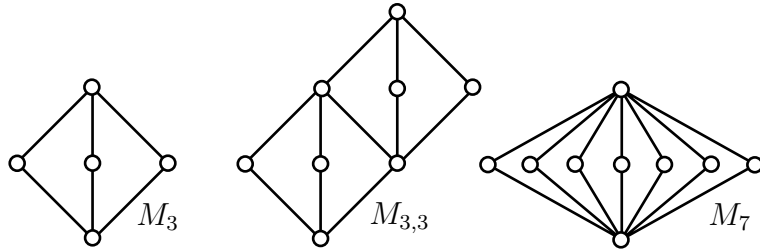


FIGURE 1. A few 2-distributive modular lattices.

We also find a large proper class of non-coordinatizable lattices with spanning M_ω , see Theorem 9.3. This result is sufficient to ensure that there is no $\mathcal{L}_{\infty, \infty}$ statement equivalent to coordinatizability (see Theorem 9.4).

We put $\mathbb{N} = \omega \setminus \{0\}$, and we denote by \mathbb{P} the set of all prime numbers. For a prime p , we denote by \mathbb{F}_{p^∞} an (the) algebraic closure of the prime field \mathbb{F}_p with p elements, and we put $\mathbb{F}_q = \{x \in \mathbb{F}_{p^\infty} \mid x^q = x\}$, for any power q of p . Hence \mathbb{F}_q is a (the) field with q elements, and \mathbb{F}_m is a subfield of \mathbb{F}_n iff n is a power of m .

Following standard set-theoretical notation, we denote by ω the chain of all natural numbers and by ω_1 the first uncountable ordinal.

If α is an equivalence relation on a set X , we denote by $[x]_\alpha$ the α -equivalence class of x modulo α , for every $x \in X$. If $f: X \rightarrow Y$ is a map, we put $f[Z] = \{f(x) \mid x \in Z\}$, for any $Z \subseteq X$. For an infinite set I , a family $x = \langle x_i \mid i \in I \rangle$ is *almost constant*, if there exists a (necessarily unique) a such that $\{i \in I \mid x_i \neq a\}$ is finite, and then we put $a = x(\infty)$, the *limit* of x .

2. LATTICES

Standard textbooks on lattice theory are G. Birkhoff [3], G. Grätzer [9], and R. N. McKenzie, G. F. McNulty, and W. F. Taylor [19]. We say that a lattice L is *bounded*, if it has a zero (i.e., a least element), generally denoted by 0 , and a unit (i.e., a largest element), generally denoted by 1 . For an element a in a lattice L , we put

$$L \upharpoonright a = \{x \in L \mid x \leq a\}, \text{ the } \textit{principal ideal} \text{ generated by } a.$$

We say that L is *modular*, if it satisfies the identity

$$x \wedge (y \vee (x \wedge z)) = (x \wedge y) \vee (x \wedge z).$$

We shall sometimes mention a stronger identity than modularity, the so-called *Arguesian identity*, which can be found, for example, in [9, Section IV.4]. The Arguesian identity holds in every lattice of permuting equivalence relations (see B. Jónsson [14] or [9, Section IV.4]). In particular, it holds in the lattice $\text{Sub } M$ of all submodules of any right module M over any ring.

In case L has a zero and for $a, b, c \in L$, we let $c = a \oplus b$ hold, if $c = a \vee b$ and $a \wedge b = 0$. It is well-known that the partial operation \oplus is associative in case L is modular (see [20, Satz I.1.8] or [27, Proposition 8.1]).

We say that L is *complemented*, if it is bounded and every $x \in L$ has a complement, that is, an element $y \in L$ such that $x \oplus y = 1$. We say that L is *sectionally complemented*, if L has a zero and every principal ideal of L is a complemented sublattice.

In case L has a zero, the relations of *perspectivity*, \sim , and *subperspectivity*, \lesssim , are defined in L by

$$\begin{aligned} x \sim y, & \text{ if } \exists z \in L \text{ such that } x \oplus z = y \oplus z, \\ x \lesssim y, & \text{ if } \exists z \in L \text{ such that } x \oplus z \leq y \oplus z. \end{aligned}$$

In case L is sectionally complemented and modular, $x \lesssim y$ iff there exists $x' \leq y$ (resp., $y' \geq x$) such that $x \sim x'$ (resp., $y \sim y'$) (see [24, Theorem I.3.1]).

An element a in a lattice L is *neutral* (see [9, Section III.3]), if $\{a, x, y\}$ generates a distributive sublattice of L , for all $x, y \in L$. An ideal I of a lattice L is *neutral* (see [9, Section III.4]), if I is a neutral element of the lattice $\text{Id } L$ of ideals of L . Then I is a *distributive ideal* of L , that is, the equivalence relation \equiv_I of L defined by

$$x \equiv_I y \iff \exists u \in I \text{ such that } x \vee u = y \vee u, \text{ for all } x, y \in L,$$

is a congruence of L . Then we denote by L/I the quotient lattice L/\equiv_I , and we put $[x]_I = [x]_{\equiv_I}$, the \equiv_I -equivalence class of x , for any $x \in L$.

In case L is sectionally complemented, this can be easily expressed in terms of the relations of perspectivity and subperspectivity in L . The following result is proved in [3, Theorem III.13.20].

Proposition 2.1. *Let I be an ideal of a sectionally complemented modular lattice L . Then I is neutral iff $x \sim y$ and $y \in I$ implies that $x \in I$, for all $x, y \in L$.*

Corollary 2.2. *Let L be a sectionally complemented modular lattice. An element $u \in L$ is neutral iff $x \lesssim u$ and $x \wedge u = 0$ implies that $x = 0$, for all $x \in L$.*

For a positive integer n , a *homogeneous sequence of order n* in a lattice L with zero is an independent (see [9, Definition IV.1.9]) sequence $\langle a_0, \dots, a_{n-1} \rangle$ of pairwise perspective elements of L .

The *center* of a bounded lattice L , denoted by $\text{cen } L$, is the set of all complemented neutral elements of L . The elements of $\text{cen } L$ correspond exactly to the direct decompositions of L . This can be expressed conveniently in the following way (see [9, Theorem III.4.1]).

Lemma 2.3. *Let L be a bounded lattice and let $a, b \in L$. Then the following are equivalent:*

- (i) *There are bounded lattices A and B and an isomorphism $f: L \rightarrow A \times B$ such that $f(a) = \langle 1, 0 \rangle$ and $f(b) = \langle 0, 1 \rangle$.*
- (ii) *The pair $\langle a, b \rangle$ is complementary in $\text{cen } L$, that is, $a, b \in \text{cen } L$ and $a \oplus b = 1$.*

Furthermore, $\text{cen}(L \upharpoonright a) = (\text{cen } L) \upharpoonright a$, for any $a \in \text{cen } L$.

For the following result we refer the reader to [9, Theorem III.2.9].

Proposition 2.4. *The center of a bounded lattice L is a Boolean sublattice of L .*

3. REGULAR RINGS

All our rings will be associative. Most of the time they will also be unital, with a few exceptions. A ring R is (von Neumann) *regular*, if every element a of R has a *quasi-inverse*, that is, an element b of R such that $aba = a$. For a regular ring R , the set $\mathbf{L}(R)$ of principal right ideals of R , that is,

$$\mathbf{L}(R) = \{xR \mid x \in R\} = \{xR \mid x \in R, x^2 = x\}$$

partially ordered by inclusion, is a sectionally complemented modular lattice (see Section 2), with least element $\{0_R\}$.

Hence every coordinatizable lattice is sectionally complemented and modular. It is observed in B. Jónsson [17, Section 9] that a bounded lattice is coordinatizable iff it can be coordinatized by a regular, unital ring.

We shall need the following classical result (see K. R. Goodearl [8, Theorem 1.7], or K. D. Fryer and I. Halperin [7, Section 3.6] for the general, non-unital case).

Proposition 3.1. *For any regular ring R and any positive integer n , the ring $M_n(R)$ of all $n \times n$ matrices with entries in R is regular.*

We shall need a more precise form of the result stating that $\mathbf{L}(R)$ is a lattice, proved in [7, Section 3.2].

Proposition 3.2. *Let R be a regular ring and let $a, b \in R$ with $a^2 = a$. Furthermore, let u be a quasi-inverse of $b - ab$. Then the following statements hold:*

- (i) *Put $c = (b - ab)u$. Then $aR + bR = (a + c)R$.*
- (ii) *Suppose that $b^2 = b$ and put $d = u(b - ab)$. Then $aR \cap bR = (b - bd)R$.*

A ring R endowed with its canonical structure of right R -module will be denoted by R_R .

Corollary 3.3. *Let R be a regular ring. Then $\mathbf{L}(R)$ is a sectionally complemented sublattice of $\text{Sub}(R_R)$.*

Remember that $\text{Sub}(R_R)$ is an Arguesian lattice; hence so is $\mathbf{L}(R)$.

We shall also use the following easy consequence of Proposition 3.2, already observed in F. Micol's thesis [21].

Corollary 3.4. *Let R and S be regular rings and let $f: R \rightarrow S$ be a ring homomorphism. Put $I = \ker f$. Then the following statements hold:*

- (i) *There exists a unique map $g: \mathbf{L}(R) \rightarrow \mathbf{L}(S)$ such that $g(xR) = f(x)S$ for all $x \in R$. We shall denote this map by $\mathbf{L}(f)$.*
- (ii) *$\mathbf{L}(f)$ is a 0-lattice homomorphism from $\mathbf{L}(R)$ to $\mathbf{L}(S)$.*
- (iii) *There is an isomorphism ε from $\ker \mathbf{L}(f)$ onto $\mathbf{L}(I)$, defined by the rule $\varepsilon(xR) = xI$, for all $x \in I$.*
- (iv) *If f is a ring embedding, then $\mathbf{L}(f)$ is a lattice embedding.*
- (v) *If f is surjective, then $\mathbf{L}(f)$ is surjective.*

Furthermore, the correspondence $R \mapsto \mathbf{L}(R)$, $f \mapsto \mathbf{L}(f)$ defines a functor from the category of regular rings and ring homomorphisms to the category of sectionally complemented modular lattices and 0-lattice homomorphisms. This functor preserves direct limits.

In particular, if we identify $\{xR \mid x \in I\}$ with $\mathbf{L}(I)$ (via the isomorphism ε), then we obtain the isomorphism $\mathbf{L}(R/I) \cong \mathbf{L}(R)/\mathbf{L}(I)$.

The following result sums up a few easy preservation statements.

Proposition 3.5.

- (i) *Any neutral ideal of a coordinatizable lattice is coordinatizable.*
- (ii) *Any homomorphic image of a coordinatizable lattice is coordinatizable.*
- (iii) *Any reduced product of coordinatizable lattices is coordinatizable.*

Proof. (i) Let R be a regular ring and let \mathbf{I} be a neutral ideal of $\mathbf{L}(R)$. The subset $I = \{x \in R \mid xR \in \mathbf{I}\}$ is a two-sided ideal of R (see [26, Theorem 4.3]), thus, in particular, it is a regular ring in its own right (see [8, Lemma 1.3]). Furthermore, as seen above, the rule $xI \mapsto xR$ defines an isomorphism from $\mathbf{L}(I)$ onto \mathbf{I} .

(ii) In the context of (i) above, $\mathbf{L}(R)/\mathbf{I} = \mathbf{L}(R)/\mathbf{L}(I) \cong \mathbf{L}(R/I)$. The isomorphism $\mathbf{L}(R/I) \rightarrow \mathbf{L}(R)/\mathbf{I}$ is given by $(\lambda + I)(R/I) \mapsto [\lambda R]_{\mathbf{I}}$.

(iii) Let $\langle L_i \mid i \in I \rangle$ be a family of coordinatizable lattices and let \mathcal{F} be a filter on I . For $i \in I$, let R_i be a regular ring such that $\mathbf{L}(R_i) \cong L_i$. Put $L = \prod_{\mathcal{F}} (L_i \mid i \in I)$ and $R = \prod_{\mathcal{F}} (R_i \mid i \in I)$. It is easy to verify that $\mathbf{L}(R)$ is isomorphic to L . \square

The treatment of direct decompositions of a unital ring parallels the theory for bounded lattices. For a unital ring R , we denote by $\text{cen } R$ the set of all central idempotents of L . It is well-known that $\text{cen } R$ is a Boolean algebra, with $a \vee b = a + b - ab$, $a \wedge b = ab$, and $\neg a = 1 - a$, for all $a, b \in \text{cen } R$. The elements of $\text{cen } R$ correspond exactly to the direct decompositions of R , in a way that parallels closely Lemma 2.3.

There is a natural correspondence between the center of a regular ring R and the center of the lattice $\mathbf{L}(R)$, see [20, Satz VI.1.8].

Proposition 3.6. *Let R be a unital regular ring. The map $e \mapsto eR$ defines an isomorphism from $B = \text{cen } R$ onto $\text{cen } \mathbf{L}(R)$. Furthermore,*

$$\text{cen}(eR) = \{xR \mid x \in B \upharpoonright e\}.$$

4. MODULES

A right module E over a ring R is *semisimple*, if the lattice $\text{Sub } E$ of all submodules of E is complemented.

Proposition 4.1. *Let E be a semisimple right module over a unital ring R . Let $S = \text{End } E$ be the endomorphism ring of E , and put*

$$\mathbf{I}(X) = \{f \in R \mid \text{im } f \subseteq X\}, \text{ for all } X \in \text{Sub } E.$$

Then S is a regular ring and $X \mapsto \mathbf{I}(X)$ defines a lattice isomorphism from $\text{Sub } E$ onto $\mathbf{L}(S)$, with inverse the map $fS \mapsto \text{im } f$.

Proof. Let $f \in S$. Since E is semisimple, there are submodules X and Y of E such that $E = X \oplus \ker f = Y \oplus \text{im } f$. Let $p: E \rightarrow \text{im } f$ be the projection along Y . For any $y \in E$, the element $p(y)$ belongs to $\text{im } f$, thus $p(y) = f(x)$ for a unique element $x \in X$, that we denote by $g(y)$. Then $g \in S$ and $f \circ g \circ f = f$, whence S is regular.

Let $X \in \text{Sub } E$. It is clear that $\mathbf{I}(X)$ is a right ideal of S . Furthermore, since X has a direct summand in E , there exists a projection p of E such that $\text{im } p = X$. So, to conclude the proof, it suffices to prove that $\mathbf{I}(X) = fS$, for any $f \in S$ with $\text{im } f = X$. It is clear that fS is contained in $\mathbf{I}(X)$. Conversely, let $g \in \mathbf{I}(X)$. The submodule $\ker f$ of E has a direct summand Y . For any $x \in E$, the element $g(x)$ belongs to $X = \text{im } f$, thus there exists a unique $y = h(x)$ in Y such that $g(x) = f(y)$. Hence $h \in S$ and $g = f \circ h$ belongs to fS ; whence $\mathbf{I}(X) = fS$. \square

In particular, we get the well-known result that for any right vector space E over any division ring, the endomorphism ring $R = \text{End } E$ is regular and $\mathbf{L}(R) \cong \text{Sub } E$.

A nontrivial right module E over a ring R is *simple*, if $\text{Sub } E = \{\{0\}, E\}$. We state the classical *Schur's Lemma*.

Proposition 4.2. *Let E be a simple right module over a ring. Then $\text{End } E$ is a division ring.*

Let a right module E over a ring R be expressed as a finite direct sum $E = E_1 \oplus \cdots \oplus E_n$. Let p_i (resp., e_i) denote the canonical projection on E_i (resp., the inclusion map $E_i \hookrightarrow E$), for all $i \in \{1, \dots, n\}$. Any endomorphism f of E gives raise to a system of homomorphisms $f_{i,j}: E_j \rightarrow E_i$, for $i, j \in \{1, \dots, n\}$, defined as $f_{i,j} = p_i \circ f \circ e_j$. Then the map

$$f \mapsto \begin{pmatrix} f_{1,1} & \cdots & f_{1,n} \\ \vdots & & \vdots \\ f_{n,1} & \cdots & f_{n,n} \end{pmatrix} \quad (4.1)$$

defines an isomorphism from $\text{End } E$ to the ring of all matrices as in the right hand side of (4.1), where $f_{i,j} \in \text{Hom}(E_j, E_i)$ for all $i, j \in \{1, \dots, n\}$, endowed with canonical addition and multiplication. We shall be especially interested in the case where all the E_i -s are isomorphic submodules.

Proposition 4.3. *In the context above, let $\gamma_i: E_1 \rightarrow E_i$ be an isomorphism, for all $i \in \{1, \dots, n\}$. Then the rule*

$$f \mapsto \begin{pmatrix} \gamma_1^{-1} f_{1,1} \gamma_1 & \cdots & \gamma_1^{-1} f_{1,n} \gamma_n \\ \vdots & & \vdots \\ \gamma_n^{-1} f_{n,1} \gamma_1 & \cdots & \gamma_n^{-1} f_{n,n} \gamma_n \end{pmatrix}$$

defines an isomorphism from $\text{End } E$ onto $M_n(\text{End } E_1)$.

5. COORDINATIZATION OF LATTICES OF LENGTH TWO

We denote by M_X the lattice of length two and distinct atoms q_x , for $x \in X$, for any nonempty set X . The lattices M_3 and M_7 are diagrammed on Figure 1, Page 2. Hence the simple lattices of length 2 are exactly the lattices M_κ , where $\kappa \geq 3$ is a cardinal number. A bounded lattice L has a *spanning* M_X , if there exists a 0, 1-lattice homomorphism $f: M_X \rightarrow L$ (observe that either f is one-to-one or L is trivial). The following result is folklore.

Proposition 5.1. *Let $n \geq 3$ be a natural number. Then the following are equivalent:*

- (i) $n - 1$ is a prime power;
- (ii) there exists a field F such that $\mathbf{L}(M_2(F)) \cong M_n$;
- (iii) there exists a regular ring R such that $\mathbf{L}(R) \cong M_n$;
- (iv) there exists a unital ring R such that $\text{Sub}(R_R) \cong M_n$;
- (v) there are a ring R and a right R -module E such that $\text{Sub } E \cong M_n$.

Proof. (i) \Rightarrow (ii) Set $q = n - 1$. Since the right \mathbb{F}_q -module $E = \mathbb{F}_q \times \mathbb{F}_q$ is semisimple, it follows from Proposition 4.1 that $\mathbf{L}(M_2(\mathbb{F}_q)) \cong \text{Sub } E$, whence $\mathbf{L}(M_2(\mathbb{F}_q))$ is isomorphic to M_n .

(ii) \Rightarrow (iii) It follows from Proposition 3.1 that $R = M_2(F)$ is a regular ring.

(iii) \Rightarrow (iv) and (iv) \Rightarrow (v) are trivial.

(v) \Rightarrow (i) By assumption, $\text{Sub } E = \{\{0\}, E\} \cup \{E_1, \dots, E_n\}$, where $E = E_i \oplus E_j$ for all distinct $i, j \in \{1, \dots, n\}$. In particular, $E_1 \oplus E_3 = E_2 \oplus E_3 = E$, thus $E_1 \cong E_2$. Since $\text{Sub } E \cong M_n$, the module E is semisimple, whence, by Proposition 4.1, $S = \text{End } E$ is a regular ring and $\text{Sub } E \cong \mathbf{L}(S)$. Furthermore, since $E = E_1 \oplus E_2$ and $E_1 \cong E_2$, it follows from Proposition 4.3 that $S \cong M_2(D)$ where we put $D = \text{End } E_1$. From $\text{Sub } E_1 = \{\{0\}, E_1\}$ and Proposition 4.2 it follows that D is a division ring, and hence, by using again Proposition 4.1,

$$M_n \cong \text{Sub } E \cong \mathbf{L}(S) \cong \mathbf{L}(M_2(D)) \cong \text{Sub}(D \times D).$$

Therefore, D is a finite division ring, so the order q of D is a prime power, and $n = 1 + q$. \square

In particular, the first non-coordinatizable lattice of length two is M_7 , see Figure 1, Page 2.

By keeping track of the isomorphisms in the direction (v) \Rightarrow (i) of the proof of Proposition 5.1, we obtain the following additional information.

Proposition 5.2. *Let κ be a cardinal number greater than or equal to 2. Then the regular rings coordinatizing $M_{1+\kappa}$ are exactly those of the form $M_2(D)$, where D is a division ring with κ elements.*

In particular, for any prime power q , there exists exactly one regular ring coordinatizing M_{1+q} , namely $M_2(\mathbb{F}_q)$.

We denote by \mathfrak{C} the class of all coordinatizable lattices and by \mathfrak{NC} its complement (within, say, the class of all complemented modular lattices). The following consequence of Proposition 5.2 is observed by B. Jónsson in the Introduction of [16].

Corollary 5.3. *The class \mathfrak{NC} is not first-order definable. In particular, \mathfrak{C} is not finitely axiomatizable.*

Proof. It follows from Proposition 5.1 that M_{4k+7} is not coordinatizable, for all $k < \omega$. Let \mathcal{U} be a nonprincipal ultrafilter on ω . The ultraproduct, with respect to \mathcal{U} , of the sequence $\langle M_{4k+7} \mid k < \omega \rangle$ is isomorphic to M_X , for some infinite set X ; thus, by Proposition 5.2, it is coordinatizable. In particular, the class \mathfrak{NC} is not closed under ultraproducts, hence it is not first-order definable. \square

6. A FIRST EXAMPLE ABOUT UNIONS OF COORDINATIZABLE LATTICES

It is well-known that the center $Z(R)$ of a regular ring R is regular (see [8, Theorem 1.14]). In particular, for each prime p , there are $a_p, c_p \in Z(R)$ with

$$p^2 a_p = p \cdot 1_R, \quad (6.1)$$

$$c_p = 1_R - p a_p. \quad (6.2)$$

Observe that c_p is independent of the element a_p satisfying (6.1).

Lemma 6.1. *The element c_p is a central idempotent of R , for each prime p . In addition, $c_p c_q = 0$ for all distinct primes p and q .*

Proof. It is trivial that $c'_p = p a_p$ is idempotent; thus, so is c_p . As a_p is central, so are c'_p and c_p .

Now let p and q be distinct primes, and put $e = c_p c_q$. From $p c'_p e = p^2 a_p e = p e$, it follows that $p c_p e = 0$. Since $c_p e = e$, we obtain that $p e = 0$. Similarly, $q e = 0$. Since p and q are coprime, it follows that $e = 0$, which establishes our claim. \square

This makes it possible to solve negatively an open problem raised by B. Jónsson in [17, Section 10].

Proposition 6.2. *There exists a countable 2-distributive complemented modular lattice L , with a spanning M_3 , which satisfies the two following properties:*

- (i) L is a directed union of finite coordinatizable lattices;
- (ii) L is not coordinatizable.

Consequently, the class \mathfrak{C} of coordinatizable lattices is not closed under countable directed unions.

Proof. Define L as the set of all almost constant sequences $x = \langle x_n \mid n < \omega \rangle$ of elements of M_4 such that $x(\infty) \in M_3$, endowed with componentwise ordering. It is easy to verify that L is a countable 2-distributive complemented modular lattice with a spanning M_3 .

For each $n < \omega$, put $L_n = (M_4)^n \times M_3$, and denote by $f_n: L_n \rightarrow L$ the map defined by the rule

$$f_n(\langle x_0, \dots, x_{n-1}, x \rangle) = \langle x_0, \dots, x_{n-1}, x, x, \dots \rangle.$$

Then f_n is a lattice embedding from L_n into L , and L is the increasing union of all images of the maps f_n . Observe that each L_n (thus each $f_n[L_n]$) is coordinatizable, see Proposition 5.1.

Now we prove that L is not coordinatizable. Suppose, to the contrary, that there are a regular ring R and an isomorphism $\varepsilon: \mathbf{L}(R) \rightarrow L$. For all $n < \omega$, denote by $\pi_n: L \rightarrow M_4$, $x \mapsto x(n)$ the n -th projection, and put $\pi_\omega: L \rightarrow M_3$, $x \mapsto x(\infty)$. Furthermore, put $I_n = \pi_n^{-1}\{0\}$, for all $n \leq \omega$. So I_n is a neutral ideal of L , and, as L is a complemented modular lattice, π_n induces an isomorphism from L/I_n onto $\text{im } \pi_n$. The subset $J_n = \{x \in R \mid \varepsilon(xR) \in I_n\}$ is a two-sided ideal of R , and, by Proposition 3.5, we can define an isomorphism $\varepsilon_n: \mathbf{L}(R/J_n) \rightarrow L/I_n$ by the rule

$$\varepsilon_n((\lambda + J_n)(R/J_n)) = [\varepsilon(\lambda R)]_{I_n}, \quad \text{for all } \lambda \in R.$$

In particular, for all $n < \omega$, $\mathbf{L}(R/J_n) \cong M_4$, thus, by Proposition 5.2, $R/J_n \cong M_2(\mathbb{F}_3)$. Similarly, $R/J_\omega \cong M_2(\mathbb{F}_2)$.

Now we consider the central elements a_p, c_p introduced in (6.1) and (6.2). Projecting the equality $4a_2 = 2 \cdot 1_R$ on R/J_n , for $n \leq \omega$, yields

$$c_2 \in J_n, \text{ for all } n < \omega, \tag{6.3}$$

$$c_2 \in 1 + J_\omega. \tag{6.4}$$

From $\bigcap_{n < \omega} I_n = \{0\}$ it follows easily that $\bigcap_{n < \omega} J_n = \{0\}$, so (6.3) yields that $c_2 = 0$, which contradicts (6.4). \square

7. DETERMINING SEQUENCES AND ATOMIC BOOLEAN ALGEBRAS

For models A and B of a first-order language \mathcal{L} , let $A \equiv B$ denote elementary equivalence of A and B . A Boolean algebra B is *atomic*, if the unit element of B is the join of the set $\text{At } B$ of all atoms of B . The following lemma is an immediate application of A. Tarski's classification of the complete extensions of the theory of Boolean algebras (see C. C. Chang and H. J. Keisler [5, Section 5.5]).

Lemma 7.1. *Let A and B be atomic Boolean algebras. Then $A \equiv B$ iff $\min\{|\text{At } A|, \aleph_0\} = \min\{|\text{At } B|, \aleph_0\}$.*

Now we recall a few notions about Boolean products. Let \mathcal{L} be a first-order language, let X be a Boolean space, and let A be a subdirect product of a family $\langle A_{\mathfrak{p}} \mid \mathfrak{p} \in X \rangle$ of models of \mathcal{L} . For a first-order formula $\varphi(x_0, \dots, x_{n-1})$ of \mathcal{L} and elements $a_0, \dots, a_{n-1} \in A$, we put

$$\|\varphi(a_0, \dots, a_{n-1})\| = \{\mathfrak{p} \in X \mid A_{\mathfrak{p}} \models \varphi(a_0(\mathfrak{p}), \dots, a_{n-1}(\mathfrak{p}))\}.$$

We say that the subdirect product

$$A \hookrightarrow \prod_{\mathfrak{p} \in X} A_{\mathfrak{p}} \tag{7.1}$$

is a *Boolean product* (see [4, Section IV.8]), if the following conditions hold:

- (i) $\|\varphi\|$ belongs to $\text{Clop } X$, for every *atomic* sentence φ with parameters from A ;
- (ii) for any elements $a, b \in A$ and any clopen subset Y of X , the element $a \upharpoonright_Y \cup b \upharpoonright_{X \setminus Y}$ belongs to A .

If, in addition, the Boolean value $\|\varphi\|$ belongs to $\text{Clop } X$, for every \mathcal{L} -sentence φ with parameters from A , we say that (7.1) is a *strong Boolean product*. It is observed in M. Weese [25, Section 8] that the statement that (7.1) is a strong Boolean product follows from the so-called *maximality property*, that is, for every \mathcal{L} -formula $\varphi(x, y_0, \dots, y_{n-1})$ and all $b_0, \dots, b_{n-1} \in A$, there exists $a \in A$ such that

$$\|\varphi(a, b_0, \dots, b_{n-1})\| = \|\exists x \varphi(x, b_0, \dots, b_{n-1})\|.$$

As the following easy lemma shows, the two notions are, in fact, equivalent.

Lemma 7.2. *Any strong Boolean product has the maximality property.*

Proof. Suppose that (7.1) is a strong Boolean product, let $\varphi(x, y_0, \dots, y_{n-1})$ be a \mathcal{L} -formula, and let $b_0, \dots, b_{n-1} \in A$. It follows from the assumption that $U = \|\exists x \varphi(x, b_0, \dots, b_{n-1})\|$ is a clopen subset of X . By definition of the $\|_-\|$ symbol, the equality

$$U = \bigcup (\|\varphi(x, b_0, \dots, b_{n-1})\| \mid x \in A)$$

holds, thus, since U is compact, there are $k < \omega$ and elements $a_0, \dots, a_{k-1} \in A$ such that

$$U = \bigcup (\|\varphi(a_j, b_0, \dots, b_{n-1})\| \mid j < k).$$

There are pairwise disjoint clopen subsets $U_j \subseteq \|\varphi(a_j, b_0, \dots, b_{n-1})\|$, for $j < k$, such that $U = \bigcup (U_j \mid j < k)$. Since (7.1) is a Boolean product, there exists $a \in A$ such that $a \upharpoonright_{U_j} = a_j \upharpoonright_{U_j}$, for all $j < k$. Therefore,

$$U = \|\varphi(a, b_0, \dots, b_{n-1})\|. \quad \square$$

The following definition is the natural extension of S. Feferman and R. L. Vaught's determining sequences (see [5, Section 6.3]) to strong Boolean products.

Definition 7.3. For a formula φ of a first-order language \mathcal{L} , a pair $\langle \Phi, \langle \varphi_i \mid i \in I \rangle \rangle$ is a *determining sequence* of φ , if the following conditions hold:

- (i) the set I is finite, Φ is a first-order formula of the language $\langle \vee, \wedge \rangle$ with set of free variables indexed by I , and all φ_i -s are \mathcal{L} -formulas with the same free variables as φ ;
- (ii) Φ is *isotone*, that is, the theory of Boolean algebras infers the following statement:

$$\left[\Phi(x_i \mid i \in I) \text{ and } \prod_{i \in I} (x_i \leq y_i) \right] \implies \Phi(y_i \mid i \in I).$$

- (iii) for every strong Boolean product as in (7.1) and for every \mathcal{L} -formula $\varphi(\vec{a})$ with parameters from A , the following equivalence holds:

$$A \models \varphi(\vec{a}) \iff \text{Clop } X \models \Phi(\|\varphi_i(\vec{a})\| \mid i \in I).$$

An immediate consequence of Lemma 7.2 and [25, Theorem 8.1] is the following.

Lemma 7.4. *For every first-order language \mathcal{L} , every formula of \mathcal{L} has a determining sequence.*

We shall use later the following application to Boolean algebras.

Lemma 7.5. *Let A be a subalgebra of a Boolean algebra B . We suppose that both A and B are atomic, with $\text{At } A = \text{At } B$. Then A is an elementary submodel of B .*

Proof. Let $\varphi(x_0, \dots, x_{n-1})$ be a formula of the language $\langle \vee, \wedge \rangle$ and let $a_0, \dots, a_{n-1} \in A$ such that $A \models \varphi(\vec{a})$ (where $\vec{a} = \langle a_0, \dots, a_{n-1} \rangle$); we shall prove that $B \models \varphi(\vec{a})$. Denote by U the (finite) set of atoms of the Boolean subalgebra of A generated by $\{a_i \mid i < n\}$. We use the canonical isomorphisms

$$A \cong \prod (A \upharpoonright u \mid u \in U), \quad B \cong \prod (B \upharpoonright u \mid u \in U).$$

Let \mathcal{L} denote the first-order language obtained by enriching the language of Boolean algebras by n additional constants $\underline{a}_0, \dots, \underline{a}_{n-1}$. Let $\bar{\varphi}$ denote the sentence $\varphi(\underline{a}_0, \dots, \underline{a}_{n-1})$ of \mathcal{L} . The assumption that $A \models \varphi(\vec{a})$ can be rewritten as

$$\prod (\langle A \upharpoonright u, \vec{a} \wedge u \mid u \in U \rangle \models \bar{\varphi}). \quad (7.2)$$

Let $u \in U$. Since $a_i \wedge u \in \{0, u\}$ for all $i < n$, it follows from Lemma 7.1 that $\langle A \upharpoonright u, \vec{a} \wedge u \rangle \equiv \langle B \upharpoonright u, \vec{a} \wedge u \rangle$. Therefore, since elementary equivalence is preserved under direct products (see [5, Theorem 6.3.4]), it follows from (7.2) that

$$\prod (\langle B \upharpoonright u, \vec{a} \wedge u \mid u \in U \rangle \models \bar{\varphi},$$

that is, $B \models \varphi(\vec{a})$. □

8. COORDINATIZABILITY IS NOT FIRST-ORDER

We put $P_p = \{p^{k!} \mid k \in \mathbb{N}\}$ (where $k! = k(k-1) \cdots 2 \cdot 1$), for any prime p , and we put $P = P_2 \cup P_3$. We shall construct a pair of lattices K and L . The construction can also be performed in a similar fashion for any pair of distinct primes, we just pick 2 and 3 for simplicity. Our lattices are the following:

$$K = \left\{ x \in \prod (M_{1+k} \mid k \in P) \mid x \text{ is almost constant} \right\};$$

$$L = \left\{ x \in \prod (M_{1+k} \mid k \in P) \mid \text{both } x \upharpoonright_{P_2} \text{ and } x \upharpoonright_{P_3} \text{ are almost constant} \right\}.$$

Of course, both K and L are 2-distributive complemented modular lattices with spanning M_3 , and K is a 0, 1-sublattice of L . Furthermore, $\text{cen } K = K \cap \mathbf{2}^P$ and $\text{cen } L = L \cap \mathbf{2}^P$, where $\mathbf{2} = \{0, 1\}$. Let ∞ , ∞_2 , and ∞_3 denote distinct objects not in P . We put

$$U = P \cup \{\infty\}, \quad V = P \cup \{\infty_2, \infty_3\}.$$

Endow U with the least topology making every singleton in P clopen, and V with the least topology making every singleton of P clopen as well as $P_2 \cup \{\infty_2\}$ (and thus also $P_3 \cup \{\infty_3\}$). Observe that U is isomorphic to $\omega + 1$ endowed with its interval topology, while V is isomorphic to the disjoint union of two copies of U . In particular, both U and V are Boolean spaces. The *canonical map* from V onto U is the map $e: V \rightarrow U$, whose restriction to P is the identity, and that sends both ∞_2 and ∞_3 to ∞ . The inverse map $\varepsilon: \text{Clop } U \hookrightarrow \text{Clop } V$, $X \mapsto e^{-1}[X]$ is the *canonical embedding* from $\text{Clop } U$ into $\text{Clop } V$. As an immediate application of Lemma 7.5, we observe the following.

Lemma 8.1. *The map ε is an elementary embedding from $\text{Clop } U$ into $\text{Clop } V$.*

Now we shall represent both K and L as Boolean products. We put

$$K' = \{x \in \mathbf{C}(U, M_\omega) \mid (\forall k \in P) x(k) \in M_{1+k}\};$$

$$L' = \{x \in \mathbf{C}(V, M_\omega) \mid (\forall k \in P) x(k) \in M_{1+k}\}.$$

The verification of the following lemma is trivial.

Lemma 8.2. *Both maps from K' to K and from L' to L defined by restriction to P are lattice isomorphisms.*

We set $M_{1+k} = M_\omega$ for $k \in \{\infty, \infty_2, \infty_3\}$. With each of the lattices K' and L' is associated a subdirect product, namely,

$$K' \hookrightarrow \prod (M_{1+k} \mid k \in U), \quad x \mapsto \langle x(k) \mid k \in U \rangle \quad (8.1)$$

$$L' \hookrightarrow \prod (M_{1+k} \mid k \in V), \quad x \mapsto \langle x(k) \mid k \in V \rangle. \quad (8.2)$$

We denote by $\|_-\|^{K'}$ (resp., $\|_-\|^{L'}$) the Boolean value function defined by the subdirect decomposition (8.1) (resp., (8.2)). We denote by a' (resp., a'') the image of a under the canonical isomorphism from K onto K' (resp., from L onto L'), for any $a \in K$ (resp., $a \in L$).

Lemma 8.3. *Both subdirect products (8.1) and (8.2) are strong Boolean products. Furthermore, $\|\varphi(\vec{a}'')\|^{L'} = \varepsilon(\|\varphi(\vec{a}')\|^{K'})$, for every formula $\varphi(x_0, \dots, x_{n-1})$ of $\langle \vee, \wedge \rangle$ and all $a_0, \dots, a_{n-1} \in K$.*

Proof. Let $\varphi(x_0, \dots, x_{n-1})$ be a formula of the language $\langle \vee, \wedge \rangle$. An easy application of the Compactness Theorem of first-order predicate logic gives that for any $a_0, \dots, a_{n-1} \in M_\omega$, the following statements are equivalent:

- $M_\omega \models \varphi(a_0, \dots, a_{n-1})$;
- $M_{1+k} \models \varphi(a_0, \dots, a_{n-1})$ for all but finitely many $k \in P$;
- $M_{1+k} \models \varphi(a_0, \dots, a_{n-1})$ for infinitely many $k \in P$.

Hence, for any finite sequence $\vec{a} = \langle a_i \mid i < n \rangle$ in K^n , both Boolean values $\|\varphi(\vec{a}')\|^{K'}$ and $\|\varphi(\vec{a}'')\|^{L'}$ are clopen, respectively in U and in V , and they are determined by their restrictions to P . Furthermore, $\|\varphi(\vec{a}'')\|^{L'} = \varepsilon(\|\varphi(\vec{a}')\|^{K'})$. \square

Proposition 8.4. *The lattice K is an elementary submodel of L .*

Proof. Let $\varphi(x_0, \dots, x_{m-1})$ be a formula of $\langle \vee, \wedge \rangle$ and let $\vec{a} = \langle a_i \mid i < m \rangle \in K^m$ such that $K \models \varphi(\vec{a})$. By Lemma 7.4, φ has a determining sequence, say, $\langle \Phi, \langle \varphi_j \mid j < n \rangle \rangle$. Since $K' \models \varphi(\vec{a}')$ and by Lemma 8.3, the following relation holds:

$$\text{Clop } U \models \Phi(\|\varphi_0(\vec{a}')\|^{K'}, \dots, \|\varphi_{n-1}(\vec{a}')\|^{K'}).$$

Hence, by Lemma 8.1,

$$\text{Clop } V \models \Phi(\varepsilon(\|\varphi_0(\vec{a}')\|^{K'}), \dots, \varepsilon(\|\varphi_{n-1}(\vec{a}')\|^{K'})).$$

By Lemma 8.3, $\varepsilon(\|\varphi_j(\vec{a}')\|^{K'}) = \|\varphi_j(\vec{a}'')\|^{L'}$, for all $j < n$, and hence, again by Lemma 8.3, $L' \models \varphi(\vec{a}'')$, and therefore $L \models \varphi(\vec{a})$. \square

Proposition 8.5. *The lattice K is not coordinatizable.*

Proof. Suppose otherwise, and let $\varepsilon: \mathbf{L}(R) \twoheadrightarrow K$ be an isomorphism, where R is a regular ring. We denote by $\pi_q: K \twoheadrightarrow M_{1+q}$ the canonical projection, for all $q \in P$. The subset $I_q = \pi_q^{-1}\{0\}$ is a neutral ideal of K , and, as K is a complemented modular lattice, π_q induces an isomorphism from K/I_q onto M_{1+q} . The subset $J_q = \{x \in R \mid \varepsilon(xR) \in I_q\}$ is a two-sided ideal of R , and, by Proposition 3.5, we can define an isomorphism $\varepsilon_q: \mathbf{L}(R/J_q) \twoheadrightarrow K/I_q$ by the rule

$$\varepsilon_q((\lambda + J_q)(R/J_q)) = [\varepsilon(\lambda R)]_{I_q}, \quad \text{for all } \lambda \in R.$$

In particular, for all $q \in P$, $\mathbf{L}(R/J_q) \cong M_{1+q}$, thus, by Proposition 5.2,

$$R/J_q \cong M_2(\mathbb{F}_q). \quad (8.3)$$

Now we consider again the elements a_p and c_p introduced in (6.1) and (6.2). It follows from Proposition 3.6 that $u_p = \varepsilon(c_p R)$ belongs to the center of K . From $c_2 c_3 = 0$ (see Lemma 6.1) it follows that $u_2 \wedge u_3 = 0$. As $\text{cen } K$ consists of all almost constant elements of $\{0, 1\}^P$, it follows that either $u_2(\infty) = 0$ or $u_3(\infty) = 0$. Suppose, for example, that $u_2(\infty) = 0$. In particular, there exists $q \in P_2$ such that $\pi_q(u_2) = 0$. As q is a power of 2 and by (8.3), we get $2a_2 \in J_q$, so

$$c_2 \in 1 + J_q. \quad (8.4)$$

On the other hand,

$$\begin{aligned} 0 &= [u_2]_{I_q} && \text{(because } \pi_q(u_2) = 0\text{)} \\ &= [\varepsilon(c_2 R)]_{I_q} && \text{(by the definition of } u_2\text{)} \\ &= \varepsilon_q((c_2 + J_q)(R/J_q)) && \text{(by the definition of } \varepsilon_q\text{)}, \end{aligned}$$

thus, as ε_q is an isomorphism, $c_2 \in J_q$, which contradicts (8.4). \square

Proposition 8.6. *The lattice L is coordinatizable.*

Proof. It is obvious that $L \cong L_2 \times L_3$, where we put

$$L_p = \left\{ x \in \prod (M_{1+q} \mid q \in P_p) \mid x \text{ is almost constant} \right\}, \quad \text{for each prime } p.$$

Hence it suffices to prove that L_p is coordinatizable, for each prime p .

Put $S_q = M_2(\mathbb{F}_q)$, for each prime power q . As $\mathbb{F}_{p^{k!}}$ is a subfield of $\mathbb{F}_{p^{(k+1)!}}$ for each $k < \omega$, we can define a unital ring R_p by

$$R_p = \left\{ \lambda \in \prod (S_q \mid q \in P_p) \mid \lambda \text{ is almost constant} \right\}.$$

It is easy to verify that R_p is a regular ring. We shall prove that $L_p \cong \mathbf{L}(R_p)$. Fix a one-to-one enumeration $\langle \xi_k \mid k \in \mathbb{N} \rangle$ of \mathbb{F}_{p^∞} such that $\mathbb{F}_{p^{n!}} = \{\xi_k \mid 1 \leq k \leq p^{n!}\}$ for all $n < \omega$. We put $\alpha_0 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ and $\alpha_k = \begin{pmatrix} 1 & 0 \\ \xi_k & 0 \end{pmatrix}$, for each $k \in \mathbb{N}$. For all $q \in P_p$, there exists a unique isomorphism $\eta_q: \mathbf{L}(S_q) \rightarrow M_{1+q}$ such that

$$\eta_q(\alpha_k S_q) = q_k \quad (\text{the } k\text{-th atom of } M_{1+q}), \quad \text{for all } k \in \{0, 1, \dots, q\}.$$

We can define a map $\varepsilon_p: \mathbf{L}(R_p) \rightarrow \prod (M_{1+q} \mid q \in P_p)$ by the rule

$$\varepsilon_p(\lambda R_p) = \langle \eta_q(\lambda_q S_q) \mid q \in P_p \rangle, \quad \text{for all } \lambda \in R_p.$$

For any $\lambda \in R_p$, there exists $m \in P_p$ such that $\lambda_q = \lambda_m$ for all $q \geq m$ in P_p . If λ_m is neither zero nor invertible in S_m , then there exists a unique $k \in \{0, 1, \dots, m\}$ such that $\lambda_m S_m = \alpha_k S_m$, thus $\lambda_q S_q = \alpha_k S_q$ for all $q \geq m$ in P_p , and thus $\varepsilon_p(\lambda R_p)$ is almost constant (with limit q_k). This holds trivially in case λ_m is either zero or invertible, therefore the range of ε_p is contained in L_p . Now it follows from Corollary 3.4(ii) that ε_p is a lattice homomorphism from $\mathbf{L}(R_p)$ onto L_p .

For idempotent $\alpha, \beta \in R_p$, if $\varepsilon_p(\alpha R_p) = \varepsilon_p(\beta R_p)$, then $\eta_q(\alpha_q S_q) = \eta_q(\beta_q S_q)$ for all $q \in P_p$, thus (as the η_q s are isomorphisms) $\alpha_q = \beta_q \alpha_q$ and $\beta_q = \alpha_q \beta_q$ for all $q \in P_p$, so $\alpha = \beta \alpha$ and $\beta = \alpha \beta$, and so $\alpha R_p = \beta R_p$. Therefore, ε_p is one-to-one.

Let $x \in L_p$. If $x(\infty) \in \{0, 1\}$, then, as each η_q is an isomorphism, there exists $\lambda \in R_p$, with limit either 0 or 1, such that $\varepsilon_p(\lambda R_p) = x$. Now suppose that $x(\infty) = q_k$, with $k < \omega$. There exists $m \geq k$ in P_p such that $x_q = q_k$ holds for all

$q \geq m$ in P_p . For each $q < m$ in P_p , there exists $\lambda_q \in S_q$ such that $\eta_q(\lambda_q S_q) = x_q$. Put $\lambda_q = \alpha_k$, for all $q \geq m$ in P_p . Then $\lambda \in R_p$ and $\varepsilon_p(\lambda R_p) = x$. Therefore, the map ε_p is surjective, and so it is an isomorphism. \square

By combining Propositions 8.4, 8.5, and 8.6, we obtain a negative solution to Jónsson's Problem.

Theorem 8.7. *Neither the class \mathcal{C} of coordinatizable lattices nor its complement \mathcal{NC} are first-order classes. In fact, there are countable, 2-distributive lattices K and L with spanning M_3 such that K is an elementary sublattice of L , the lattice K belongs to \mathcal{NC} , and the lattice L belongs to \mathcal{C} .*

By using sheaf-theoretical methods, we could prove that every countable 2-distributive complemented modular lattice with a spanning M_ω is coordinatizable. Hence the use of prime numbers in Theorem 8.7 is somehow unavoidable. As we shall see in the next section, this result does not extend to the uncountable case.

9. AN UNCOUNTABLE NON-COORDINATIZABLE LATTICE WITH A SPANNING M_ω

We start with an elementary lemma of linear algebra.

Lemma 9.1. *Let E be a unital ring, let F be a division ring, let $n \in \mathbb{N}$, and let $\varphi: M_n(E) \rightarrow M_n(F)$ be a unital ring homomorphism. There are a unital ring homomorphism $\sigma: E \rightarrow F$ and a matrix $a \in \text{GL}_n(F)$ such that*

$$\varphi(x) = a(\sigma x)a^{-1}, \text{ for all } x \in M_n(E),$$

where σx denotes the matrix obtained by applying σ to all the entries of x .

Proof. Put $R = M_n(E)$ and $S = M_n(F)$. Let $\langle e_{i,j}^E \mid 1 \leq i, j \leq n \rangle$ denote the canonical system of matrix units of R , and similarly for S . Then $\langle \varphi(e_{i,j}^E) \mid 1 \leq i, j \leq n \rangle$ is a system of matrix units of S , thus, since F is a division ring, there exists $a \in \text{GL}_n(F)$ such that $\varphi(e_{i,j}^E) = a e_{i,j}^F a^{-1}$ for all $i, j \in \{1, \dots, n\}$. Hence, conjugating φ by a^{-1} , we reduce the problem to the case where $\varphi(e_{i,j}^E) = e_{i,j}^F$, for all $i, j \in \{1, \dots, n\}$. Fix $i, j \in \{1, \dots, n\}$ and $x \in E$. As $e_{i,j}^E x = e_{i,i}^E x e_{j,j}^E$, the value $\varphi(e_{i,j}^E x)$ belongs to $e_{i,i}^F S e_{j,j}^F$, thus it has the form $e_{i,j}^F \sigma_{i,j}(x)$, for a unique $\sigma_{i,j}(x) \in F$.

It is obvious that all $\sigma_{i,j}$ -s are unit-preserving additive homomorphisms from E to F . Applying the ring homomorphism φ to the equalities

$$e_{i,i}^E x = e_{i,j}^E (e_{j,i}^E x) = (e_{i,j}^E x) e_{j,i}^E, \text{ for all } x \in E,$$

we obtain that $\sigma_{i,i} = \sigma_{i,j} = \sigma_{j,i}$. Thus $\sigma_{i,j} = \sigma_{1,1}$, for all $i, j \in \{1, \dots, n\}$. Denote this map by σ . So $\varphi(x) = \sigma x$, for all $x \in R$, and σ is a ring homomorphism. \square

Corollary 9.2. *Let E and F be division rings and let $\varphi: M_2(E) \hookrightarrow M_2(F)$ be a unital ring embedding. If φ is not an isomorphism, then the complement of the image of $\mathbf{L}(\varphi)$ in $\mathbf{L}(M_2(F))$ has cardinality at least $|E|$.*

Proof. By using Lemma 9.1, we may conjugate φ by a suitable element of $M_2(F)$ to reduce the problem to the case where $\varphi(x) = \sigma x$ identically on $M_2(E)$, for a suitable unital ring embedding $\sigma: E \hookrightarrow F$. Since φ is not an isomorphism, σ is not surjective. In particular, $|F \setminus \sigma E| \geq |E|$. Now observe that the matrices of the form $e_\lambda = \begin{pmatrix} 1 & 0 \\ \lambda & 0 \end{pmatrix}$, with $\lambda \in F$, are idempotent matrices of $M_2(F)$ with pairwise distinct image spaces. Furthermore, $e_\lambda M_2(F)$ does not belong to the image of $\mathbf{L}(\varphi)$, for all $\lambda \in F \setminus \sigma E$. \square

Now our counterexample. For an infinite cardinal number κ , whose successor cardinal we denote by κ^+ , we put

$$\overline{L}_\kappa = \left\{ x \in (M_{\kappa+1})^{\kappa^+} \mid x \text{ is almost constant} \right\}, \quad (9.1)$$

$$L_\kappa = \left\{ x \in \overline{L}_\kappa \mid x(\infty) \in M_\kappa \right\}, \quad (9.2)$$

both ordered componentwise. It is obvious that L_κ is a 2-distributive complemented modular lattice with a spanning M_κ .

Theorem 9.3. *The lattice L_κ is not coordinatizable, for any infinite cardinal number κ .*

Proof. Otherwise let R be a unital regular ring and let $\varepsilon: \mathbf{L}(R) \rightarrow L_\kappa$ be an isomorphism. For $x \in M_{\kappa+1}$ and $i < \kappa^+$, let $x \cdot u_i$ denote the element of L_κ with i -th component x and all other components zero; furthermore, put $u_i = 1 \cdot u_i$. There are central idempotents $a_i \in \text{cen } R$ such that $\varepsilon(a_i R) = u_i$, for all $i < \kappa^+$. Since $\mathbf{L}(a_i R) \cong L_\kappa \upharpoonright u_i \cong M_\kappa$ (see Proposition 3.5(i)), there exists, by Proposition 5.2, a division ring E_i of cardinality κ such that $a_i R \cong M_2(E_i)$ (as rings). Put

$$J_X = \bigoplus (a_i R \mid i \in X), \text{ for all } X \subseteq \kappa^+,$$

and put $J = J_{\kappa^+}$. Observe that $I = \varepsilon \mathbf{L}(J)$ is the ideal of all almost null elements of L_κ . It follows that

$$\mathbf{L}(R/J) \cong \mathbf{L}(R)/\mathbf{L}(J) \cong L_\kappa/I \cong M_\kappa, \quad (9.3)$$

with the canonical isomorphism $\zeta: \mathbf{L}(R/J) \rightarrow L_\kappa/I$ of Proposition 3.5(ii) given by

$$\zeta((x+J)R/J) = [\varepsilon(xR)]_I, \text{ for all } x \in R.$$

Furthermore, it follows from (9.3) and Proposition 5.2 that $R/J \cong M_2(E)$, for some division ring E with κ elements. In particular, R/J has κ elements. For any $\lambda \in R/J$, pick $\dot{\lambda} \in R$ such that $\lambda = \dot{\lambda} + J$. Of course, we may take $\dot{0}_{R/J} = 0_R$ and $\dot{1}_{R/J} = 1_R$. For $\alpha, \beta, \gamma \in R/J$ such that $\gamma = \alpha - \beta$, there exists a finite subset X of κ^+ such that $\dot{\gamma} \equiv \dot{\alpha} - \dot{\beta} \pmod{J_X}$. By doing the same for the product map $\langle \alpha, \beta \rangle \mapsto \alpha\beta$, the zero, and the unit of R/J and forming the union of all corresponding X -s, we obtain a subset X of κ^+ of cardinality at most κ such that $p_i: \lambda \mapsto a_i \dot{\lambda}$ defines a unital ring homomorphism from R/J to $a_i R$, for all $i \in \kappa^+ \setminus X$. Since R/J is simple, p_i is an embedding.

Put $\dot{x}_\lambda = \varepsilon(\dot{\lambda}R)$ (an element of L_κ), for all $\lambda \in R/J$. Observe that $\zeta(\lambda(R/J)) = [\dot{x}_\lambda]_I$, for all $\lambda \in R/J$; in particular

$$L_\kappa/I = \{[\dot{x}_\lambda]_I \mid \lambda \in R/J\}. \quad (9.4)$$

For $\alpha, \beta, \gamma \in R/J$ such that $[\dot{x}_\gamma]_I = [\dot{x}_\alpha]_I \vee [\dot{x}_\beta]_I$, there exists a finite subset Y of κ^+ such that the equality $\dot{x}_\gamma(i) = \dot{x}_\alpha(i) \vee \dot{x}_\beta(i)$ holds for all $i \in \kappa^+ \setminus Y$. By doing the same for the meet and the constants 0 and 1, and then taking the union of X and all corresponding Y -s, we obtain a subset Y of κ^+ containing X , with at most κ elements, such that $g_i: [\dot{x}_\lambda]_I \mapsto \dot{x}_\lambda \wedge u_i$ defines a $\langle \vee, \wedge, 0, 1 \rangle$ -homomorphism from L_κ/I into $L_\kappa \upharpoonright u_i$, for all $i \in \kappa^+ \setminus Y$; since L_κ/I is simple, g_i is, actually, an embedding.

Let $\zeta_i : \mathbf{L}(a_i R) \rightarrow L_\kappa \upharpoonright u_i$, $xR \mapsto \varepsilon(xR)$ denote the canonical isomorphism, for all $i < \kappa^+$. We shall verify that the following diagram is commutative, for $i \in \kappa^+ \setminus Y$.

$$\begin{array}{ccc} \mathbf{L}(R/J) & \xrightarrow{\mathbf{L}(p_i)} & \mathbf{L}(a_i R) \\ \zeta \downarrow & & \downarrow \zeta_i \\ L_\kappa/I & \xrightarrow{g_i} & L_\kappa \upharpoonright u_i \end{array}$$

Let $\lambda \in R/J$. It is immediate that $\zeta_i \circ \mathbf{L}(p_i)(\lambda(R/J)) = \varepsilon(a_i \dot{\lambda} R)$. On the other hand, we compute

$$\begin{aligned} g_i \circ \zeta(\lambda(R/J)) &= g_i([\dot{x}_\lambda]_I) \\ &= \dot{x}_\lambda \wedge u_i \\ &= \varepsilon(\dot{\lambda} R) \wedge \varepsilon(a_i R) \\ &= \varepsilon(\dot{\lambda} R \wedge a_i R) \\ &= \varepsilon(a_i \dot{\lambda} R) \quad (\text{because } a_i \in \text{cen } R), \end{aligned}$$

which completes the verification of the commutativity of the diagram above. By applying (9.4) to the classes modulo I of constant functions, we obtain that for all $q \in M_\kappa$, there exists $\lambda_q \in R/J$ such that the set $Z_q = \{i \in \kappa^+ \mid \dot{x}_{\lambda_q}(i) \neq q\}$ is finite; whence

$$g_i([\dot{x}_{\lambda_q}]_I) = q \cdot u_i, \text{ for all } i \in \kappa^+ \setminus (Y \cup Z_q). \quad (9.5)$$

Furthermore, there exists a subset Z of κ^+ containing $Y \cup \bigcup \{Z_q \mid q \in M_\kappa\}$, with at most κ elements, such that \dot{x}_λ is constant on $\kappa^+ \setminus Z$, with value, say, $y_\lambda \in M_\kappa$, for all $\lambda \in R/J$. Hence,

$$g_i([\dot{x}_\lambda]_I) = \dot{x}_\lambda \wedge u_i = y_\lambda \cdot u_i \neq q_\kappa \cdot u_i, \text{ for all } (\lambda, i) \in (R/J) \times (\kappa^+ \setminus Z). \quad (9.6)$$

Therefore, by (9.5) and (9.6), we obtain that

$$\text{im } g_i = (L_\kappa \upharpoonright u_i) \setminus \{q_\kappa \cdot u_i\}, \text{ for all } i \in \kappa^+ \setminus Z.$$

Since both maps ζ and ζ_i are isomorphisms and the diagram above is commutative, the complement in $\mathbf{L}(a_i R)$ of the range of $\mathbf{L}(p_i)$ is also a singleton, for all $i \in \kappa^+ \setminus Z$. Since $R/J \cong M_2(E)$ and $a_i R \cong M_2(E_i)$, we obtain, by Corollary 9.2, a contradiction. \square

Pushing the argument slightly further yields the following strong negative statement.

Theorem 9.4. *There is no formula θ of $\mathcal{L}_{\infty, \infty}$ such that the class of 2-distributive coordinatizable lattices is the class of all models of θ .*

Proof. For any division ring D with infinite cardinal κ , the ring of all almost constant κ^+ -sequences of elements of $M_2(D)$ coordinatizes the lattice \overline{L}_κ defined in (9.1); whence $\overline{L}_\kappa \in \mathcal{C}$. We have seen in Theorem 9.3 that $L_\kappa \in \mathcal{NC}$. Of course, L_κ is a sublattice of \overline{L}_κ . Since κ is arbitrarily large, it is sufficient, in order to conclude the proof, to establish that L_κ is a $\mathcal{L}_{\kappa, \kappa}$ -elementary submodel of \overline{L}_κ .

So we need to prove that $\overline{L}_\kappa \models \varphi$ implies that $L_\kappa \models \varphi$, for every $\langle \vee, \wedge \rangle$ -sentence φ in $\mathcal{L}_{\kappa, \kappa}$ with parameters from L_κ . The only nontrivial instance of the proof is to verify that $\overline{L}_\kappa \models \exists \vec{x} \psi(\vec{a}, \vec{x})$ implies that $L_\kappa \models \exists \vec{x} \psi(\vec{a}, \vec{x})$, for every formula ψ in

$\mathcal{L}_{\kappa, \kappa}$ for which we have already proved elementariness, with a list of parameters $\vec{a} = \langle a_\xi \mid \xi < \alpha \rangle$ from L_κ and a list of free variables $\vec{x} = \langle x_\eta \mid \eta < \beta \rangle$, where $\alpha, \beta < \kappa$. So let us fix a list $\vec{b} = \langle b_\eta \mid \eta < \beta \rangle$ from \overline{L}_κ such that $\overline{L}_\kappa \models \psi(\vec{a}, \vec{b})$. Since $\alpha, \beta < \kappa$, there are $\gamma < \kappa^+$ and an automorphism σ of $M_{\kappa+1}$ such that the following statements hold:

- (i) $x(\zeta) = x(\infty)$, for all $x \in \{a_\xi \mid \xi < \alpha\} \cup \{b_\eta \mid \eta < \beta\}$ and all $\zeta \in \kappa^+ \setminus \gamma$;
- (ii) $\sigma(a) = a$, for all $a \in \{a_\xi(\infty) \mid \xi < \alpha\}$;
- (iii) $\sigma(b) \in M_\kappa$, for all $b \in \{b_\eta(\infty) \mid \eta < \beta\}$.

Denote by τ the automorphism of \overline{L}_κ defined by the rule

$$\tau(x)(\zeta) = \begin{cases} x(\zeta), & \text{if } \zeta < \gamma, \\ \sigma(x(\zeta)), & \text{if } \gamma \leq \zeta, \end{cases} \quad \text{for all } \langle x, \zeta \rangle \in \overline{L}_\kappa \times \kappa^+.$$

Then τ fixes all a_ξ -s while the element $c_\eta = \tau(b_\eta)$ belongs to L_κ , for all $\eta < \beta$. From $\overline{L}_\kappa \models \psi(\vec{a}, \vec{b})$ it follows that $\overline{L}_\kappa \models \psi(\vec{a}, \vec{c})$, thus, by the induction hypothesis, $L_\kappa \models \psi(\vec{a}, \vec{c})$, and therefore $L_\kappa \models \exists \vec{x} \psi(\vec{a}, \vec{x})$. \square

10. APPENDIX: LARGE PARTIAL THREE-FRAMES ARE FINITELY AXIOMATIZABLE

For a positive integer n and a bounded lattice L , we say that L has a *large partial n -frame*, if there exists a homogeneous sequence $\langle a_0, \dots, a_{n-1} \rangle$ of order n in L such that L is generated by a_0 as a neutral ideal. It is clear that the existence of a large partial $(n+1)$ -frame implies the existence of a large partial n -frame.

Having a large partial 3-frame does not appear to be a first-order condition *a priori*. However, we shall now prove that it is.

Proposition 10.1. *Let L be a complemented Arguesian lattice. Then L has a large partial 3-frame iff there are $a_0, a_1, a_2, b \in L$ such that*

- (i) $a_0 \oplus a_1 \oplus a_2 \oplus b = 1$;
- (ii) $a_i \sim a_j$, for all distinct $i, j < 3$;
- (iii) $b \lesssim a_0 \oplus a_1$.

In particular, for a complemented Arguesian lattice, having a large partial 3-frame can be expressed by a single first-order sentence.

Proof. It is obvious that the given condition implies that $\langle a_0, a_1, a_2 \rangle$ is a homogeneous sequence such that the neutral ideal generated by a_0 is L .

Conversely, suppose that L has a large partial 3-frame. We shall make use of the *dimension monoid* $\text{Dim } L$ of L introduced in [27]. As in [27], we denote by $\Delta(x, y)$ the element of $\text{Dim } L$ representing the abstract “distance” between elements x and y of L . Since L has a zero, we put $\Delta(x) = \Delta(0, x)$, for all $x \in L$. We shall also use the result, proved in [27, Theorem 5.4], that the dimension monoid of a modular lattice is a refinement monoid.

Putting $\varepsilon = \Delta(1)$ and applying the unary function Δ to the parameters of a large partial 3-frame of L , we obtain that there are $n \in \mathbb{N}$ and $\alpha, \beta \in \text{Dim } L$ such that the following relations hold:

$$3\alpha + \beta = \varepsilon; \tag{10.1}$$

$$\beta \leq n\alpha. \tag{10.2}$$

Furthermore, by Jónsson's Theorem, L is coordinatizable, thus *normal* as defined in [27]. This implies easily the following statement:

$$(\Delta(x) = \Delta(y) \text{ and } x \wedge y = 0) \implies x \sim y, \text{ for all } x, y \in L. \quad (10.3)$$

Since $\text{Dim } L$ is a refinement monoid, (10.2) implies (see [27, Lemma 3.1]) the existence of elements $\alpha_k \in \text{Dim } L$, for $0 \leq k \leq n$, such that

$$\alpha = \sum_{0 \leq k \leq n} \alpha_k \quad \text{and} \quad \beta = \sum_{0 \leq k \leq n} k \alpha_k.$$

Put $\bar{\alpha} = \sum_{0 \leq k \leq n} (\lfloor \frac{k}{3} \rfloor + 1) \alpha_k$ and $\bar{\beta} = \sum_{0 \leq k \leq n} (k - 3 \lfloor \frac{k}{3} \rfloor) \alpha_k$, where $\lfloor x \rfloor$ denotes the largest integer below x , for every rational number x . Hence we immediately obtain

$$3\bar{\alpha} + \bar{\beta} = \varepsilon. \quad (10.4)$$

To prove that $\bar{\beta} \leq 2\bar{\alpha}$, it suffices to prove that $k - 3 \lfloor \frac{k}{3} \rfloor \leq 2 \lfloor \frac{k}{3} \rfloor + 2$ for all $k \in \{0, 1, \dots, n\}$, which is immediate.

Since the Δ function is a V-measure (see [27, Corollary 9.6]), there are $a_0, a_1, a_2, b \in L$ such that $a_0 \oplus a_1 \oplus a_2 \oplus b = 1$ in L while $\Delta(a_i) = \bar{\alpha}$ for all $i < 3$ and $\Delta(b) = \bar{\beta}$. Hence, by (10.3), $\langle a_0, a_1, a_2 \rangle$ is a homogeneous sequence. Furthermore, $\Delta(b) = \bar{\beta} \leq 2\bar{\alpha} = \Delta(a_0 \oplus a_1)$, thus, by [27, Corollary 9.4], there are $x_0 \leq a_0$, $x_1 \leq a_1$, and $b_0, b_1 \leq b$ such that $\Delta(x_0) = \Delta(b_0)$, $\Delta(x_1) = \Delta(b_1)$, and $b = b_0 \oplus b_1$. It follows again from (10.3) that $b = b_0 \oplus b_1 \sim x_0 \oplus x_1$, whence $b \lesssim a_0 \oplus a_1$. \square

We remind the reader of Jónsson's Extended Coordinatization Theorem (cf. Page 2), which states that for complemented Arguesian lattices, existence of a large partial 3-frame implies coordinatizability. In particular, lattices with a large partial 3-frame are not enough to settle Jónsson's Problem.

11. OPEN PROBLEMS

Some of our problems will be formulated in the language of descriptive set theory. We endow the powerset $\mathfrak{P}(X) \cong \mathbf{2}^X$ with the product topology of the discrete topological space $\mathbf{2} = \{0, 1\}$, for any set X . So $\mathfrak{P}(X)$ is compact Hausdorff, metrizable in case X is countable. Hence the space $\mathbf{S} = \mathfrak{P}(\omega^2) \times \mathfrak{P}(\omega^3) \times \mathfrak{P}(\omega^3)$, endowed with the product topology, is also compact metrizable. We endow it with its canonical recursive presentation (see Y. N. Moschovakis [23]).

We define \mathbf{L} as the set of all triples $\xi = \langle E, M, J \rangle \in \mathbf{S}$ such that E is a partial ordering on a nonzero initial segment m of ω on which J and M are, respectively, the join and the meet operation with respect to E , and the lattice $L_\xi = \langle m, E, M, J \rangle$ is complemented modular.

Since stating that a structure is a complemented modular lattice can be expressed by a finite set of $\forall\exists$ axioms, it is not hard to verify that \mathbf{L} is a Π_2^0 subset of \mathbf{S} . Put

$$\mathbf{CL} = \{\xi \in \mathbf{L} \mid L_\xi \text{ is coordinatizable}\},$$

the set of *real codes* of coordinatizable lattices. As \mathbf{CL} is defined by a second-order existential statement, it is a Σ_1^1 subset of \mathbf{S} .

Problem 1. Is \mathbf{CL} a Borel subset of \mathbf{S} ?

Problem 2. Is the set of real codes of countable complemented modular lattices admitting an orthocomplementation a Borel subset of \mathbf{S} ?

By using sheaf-theoretical methods, we could prove that the analogue of Problem 2 for 2-distributive lattices with spanning M_3 has a *positive* solution. In fact, the obtained condition is first-order.

Problem 3. Let K be an elementary sublattice of a countable bounded lattice L . If K is coordinatizable, is L coordinatizable?

Our next problem is related to a possible weakening of the definition of coordinatizability.

Problem 4. If the join-semilattice $\text{Sub}_c M$ of all finitely generated submodules of a right module M is a complemented lattice, is it coordinatizable?

Proposition 4.1 answers Problem 4 positively only in case M is noetherian.

Problem 5. Describe the elementary invariants of 2-distributive complemented modular lattices. Is the theory of 2-distributive complemented modular lattices decidable?

Problem 6. If a finite lattice L can be embedded into some complemented modular lattice, can it be embedded into some *finite* complemented modular lattice?

A complemented modular lattice L is *uniquely coordinatizable*, if there exists a unique (up to isomorphism) regular ring R such that $L \cong \mathbf{L}(R)$.

Problem 7. Is the class of uniquely coordinatizable lattices a first-order class?

It is established in [15] that every complemented Arguesian lattice with a large partial 3-frame (see Section 10) is uniquely coordinatizable. The uniqueness part is [15, Theorem 9.4].

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